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Differentiating Between Healthy Control Participants and those with Mild Cognitive Impairment using Volumetric MRI Data

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Abstract

Objective: To determine whether volumetric measures of the hippocampus, entorhinal cortex, and other cortical measures can differentiate between cognitively normal individuals and subjects with mild cognitive impairment (MCI).

Method: Magnetic resonance imaging (MRI) data from 46 cognitively normal subjects and 50 subjects with MCI as part of the Boston University Alzheimer's Disease Center research registry and the Alzheimer's Disease Neuroimaging Initiative were used in this cross-sectional study. Cortical, subcortical, and hippocampal subfield volumes were generated from each subject's MRI data using FreeSurfer v6.0. Nominal logistic regression models containing these variables were used to identify subjects as control or MCI.

Results: A model containing regions of interest (superior temporal cortex, caudal anterior cingulate, pars opercularis, subiculum, precentral cortex, caudal middle frontal cortex, rostral middle frontal cortex, pars orbitalis, middle temporal cortex, insula, banks of the superior temporal sulcus, parasubiculum, paracentral lobule) fit the data best ($R^2 = 0.7310$, whole model test chi square = 97.16, p < 0.0001).

Conclusions: MRI data correctly classified most subjects using measures of selected medial temporal lobe structures in combination with those from other cortical areas yielding an overall

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Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be found at: http://adni.loni.usc.edu/wpcontent/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf

classification accuracy of 93.75%. These findings support the notion that while volumes of medial temporal lobe regions differ between cognitively normal and MCI subjects, differences that can be used to distinguish between these two populations are present elsewhere in the brain.

Keywords

Neuroimaging; Healthy Aging; Hippocampus; Entorhinal Cortex; Atrophy; Logistic Models

Introduction

The prevalence of Alzheimer's disease (AD) continues to rise as the global population ages. In recent years, researchers have come to view AD as a continuum, rather than a sequence of distinct phases of cognitive and neuropathological changes (Aisen et al., 2017). During the "preclinical" aspects of the continuum, individuals are generally cognitively asymptomatic. However, many "preclinical" individuals go on to develop symptoms, such as episodic memory loss, and this is referred to as mild cognitive impairment (MCI). In recent years, MCI has been clinically characterized by criteria such as: self- or informant-reported cognitive complaints, objective cognitive impairment, preserved independence in functional abilities, and the absence of dementia (Petersen et al., 2014). As the disease progresses, cognitive impairment worsens and functional impairment becomes increasingly apparent in everyday life. At this point, a person is considered to have AD dementia (Aisen et al., 2017). With the growing understanding of AD as a continuum, identifying biomarkers of pathophysiological changes has become paramount.

Morphometric magnetic resonance imaging (MRI) studies have established that the areas of the brain often first damaged in MCI and AD are the hippocampus and the entorhinal cortex (Du et al., 2001; Killiany et al., 2000, see reviews Pini et al., 2016; Zhou, Zhang, Zhao, Qian, & Dong, 2016). The pathophysiological changes present in AD include the accumulation of amyloid beta (Aβ) that form plaques, as well as the aggregation of tau proteins which form neurofibrillary tangles. Such tangles induce neuronal death resulting in morphometric changes that start in the medial temporal lobe (Gómez-Isla et al., 1996, see review Spires-Jones & Hyman, 2014). In order to obtain sensitive and specific measures of these structures and the various changes that occur, researchers have begun to segment the hippocampus into subfields (de Flores, La Joie, & Chételat, 2015; Pini et al., 2016).

Previous studies reported reductions in the volumes of the whole hippocampus, hippocampal subfields, and entorhinal cortex in the brains of MCI and AD subjects compared to controls (Mueller et al., 2010; Pennanen et al., 2004, see review de Flores et al., 2015). Such studies utilized a cross-sectional approach to affirm subjects as controls, MCI, or AD based upon the characteristics of various regions of interest (ROIs) (Colliot et al., 2008; Du et al., 2001; Hanseeuw et al., 2011; Khan et al., 2015; Mueller et al., 2010; Xu et al., 2000). Many of these studies focused on subjects who already had MCI in order to best predict who with MCI will convert to AD, (Khan et al., 2015; Killiany et al., 2000; Plant et al., 2010; Westman et al., 2011) with less emphasis on creating models that accurately classify subjects as control or MCI. These classification studies often use the characteristics of only one ROI as a classifier variable, such as the hippocampus, entorhinal cortex, or a specified

hippocampal subfield, and have failed to achieve classification accuracies exceeding 80% (Colliot et al., 2008; Du et al., 2001; Hanseeuw et al., 2011; Mueller et al., 2010; Pennanen et al., 2004; Westman et al., 2011, see review Weiner et al., 2015). Thus, there remains a significant need for models that can correctly classify subjects in earlier stages of the AD continuum.

The goal of the present study was to utilize morphometric MRI measures to identify a broader set of variables that could classify controls and MCI subjects using logistic regression. The first step was to determine the utility of the whole hippocampus, hippocampal subfields, and entorhinal cortex as classifiers. Next, less-studied cortical regions outside the medial temporal lobe were added to the model to determine whether any of these regions could improve the model fit and classification accuracy. While these regions are not as commonly used in classification models, researchers have begun to find consistent patterns of atrophy in MCI and AD in regions beyond the medial temporal lobe including the frontal, parietal, and temporal lobes (Hänggi, Streffer, Jäncke, & Hock, 2011; Karas et al., 2004). Knowledge regarding the classification value of these regions in cognitively normal subjects and those with MCI could enhance our understanding of the disorder.

Materials and methods

Subjects

This study utilized MRI scans from 96 subjects selected from two sources. Forty-two scans were obtained from the Boston University Alzheimer's Disease Center (BU ADC) Clinical Core Registry Health Outreach Program for the Elderly (HOPE). The BU ADC is a center (of 30) funded by the National Institute on Aging (NIA) that contributes data to the National Alzheimer's Coordinating Center (NACC). The BU ADC registry, including subject recruitment and inclusion/exclusion criteria, has previously been described (Ashendorf et al., 2017; Galetta et al., 2017). HOPE subjects' diagnoses were made at consensus conferences, following presentation and discussion of all medical history and evaluation results such as clinical interview, informant input, neuropsychological test scores, and MRI scans viewed for clinical criteria (hippocampal atrophy, white matter signal abnormalities, and evidence of microbleeds). No formal biomarker (amyloid, tau, or genetic) data is presented at such consensus conferences. Subjects were determined to be cognitively normal (*n*=19) if their objective neuropsychological test scores were within the normal range and they had a Clinical Dementia Rating (CDR) (Morris, 1993) Global Score of 0.0. All MCI diagnoses (*n*=23) were amnestic and followed published criteria (Petersen et al., 2014).

Fifty-four scans were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (for more information, refer to adni.loni.usc.edu). The ADNI was launched in 2003 as a public-private partnership, led by Principle Investigator, Michael W. Weiner, MD. The primary goal of the ADNI has been to elucidate clinical, genetic, imaging, and biochemical biomarkers of AD and to better understand the progression from normal cognition to MCI to AD (Weiner et al., 2015). Twenty-seven of the subjects were cognitively normal controls and the other twenty-seven subjects had amnestic MCI single domain. ADNI scans were selected to have come from a Philips 3T scanner (to ensure comparable imaging parameters as HOPE scans) and to balance the demographic data of the HOPE

subjects. All procedures were approved by local IRBs and subjects gave informed consent at the time of their enrollment in both studies in accordance with the Declaration of Helsinki. In total, there were 96 scans analyzed (46 control and 50 MCI; 54 females and 42 males) in this study. All MCI scans came from subjects that had the amnestic form of MCI, 8 also showed impairment in another domain such as language, executive function, or visuospatial functioning in addition to the impairment of memory.

HOPE and ADNI collect demographic data including age, education and APOE &4 status, as well as neuropsychological test scores from the Mini-Mental State Exam (MMSE), Geriatric Depression Scale (GDS), Logical Memory recall (modified from the Wechsler D. Wechsler Memory Scale-Revised (WMS-R) San Antonio, Texas: Psychological Corporation; 1987), and Part B of the Trailmaking Test (Table 1). The neuropsychological tests and diagnostic procedures utilized in both studies were based on comparable protocols. Given that these neuropsychological measures were utilized to differentiate and define groups, none of these measures could again be used as outcomes.

Imaging Assessments

The scans we used were the 3D magnetization-prepared rapid acquisition of gradient echo (MPRAGE) sequence. These were acquired on 3T Philips scanners. For the BU ADC scans, a 32-channel headcoil and sense factor of 2 was used with the following imaging parameters: TR = 6.7 ms, TE = 3.1 ms, flip angle = 9° , reconstructed and acquisition voxel size = $0.98 \times 0.98 \times 1.2$ mm, FOV = 250 mm x 250 mm x 180 mm, 150 sagittal slices. For the ADNI scans (Jack et al., 2008), an 8-channel headcoil and a sense factor of 1.8 was used with the following imaging parameters: TR = 6.8 ms, TE = 3.1 ms, flip angle = 9° , reconstructed voxel size = 1.05 mm x 1.05 mm x 1.20 mm, acquisition voxel size = 1.11mm x 1.11mm x 1.20mm, FOV = 270mm x 252 mm x 240 mm, 170 sagittal slices. DICOM scans were downloaded from the ADNI database.

The MRI scans were segmented with FreeSurfer v6.0 (http://surfer.nmr.mgh.harvard.edu; for additional details, see Desikan et al., 2006; Iglesias et al., 2015). FreeSurfer v6.0 utilizes an improved atlas that can automatically segment hippocampal regions into a greater number of subfields than previous versions have allowed (Iglesias et al., 2015).

Statistical Analysis

Independent samples one-tailed t tests were used to assess for differences between the control and MCI groups in terms of neuropsychological outcome measures and MRI measures. Independent samples two-tailed t tests were used to assess demographic factors, such as age and education, as there was no expected direction to any group differences. A chi square test was performed to determine if a difference existed in APOE &4 status between the groups. In terms of these analyses, we had two goals in mind. First, to establish whether the groups were matched in terms of demographics and APOE &4 status. To assess the significance of these tests, we used a *p* value of 0.05 without correction to increase the stringency of not finding a difference. Our second goal was purely exploratory and involved looking for differences between the groups with the expectation that neuropsychological performance and size of brain regions would be less in the MCI group. The analyses

conducted towards this goal were meant to help us know the data – selection into regression models was made independent of these exploratory analyses. These tests were interpreted using an arbitrary p value of 0.01. Measures generated from FreeSurfer v6.0 included estimated total intracranial volume (eTIV), 68 cortical volumes, 12 subcortical volumes, the right and left hippocampal volumes, and 24 hippocampal subfield volumes (for additional segmentation details, see Iglesias et al., 2015). All volumes generated by FreeSurfer v6.0 were examined then used in subsequent models. For a complete list of the variables collected from FreeSurfer v6.0, see http://www.bumc.bu.edu/mri/personnel-and-students/reneedevivo/. Data was visually inspected for errors and edited as needed. ANCOVA was performed to determine whether eTIV, age, gender, education, APOE &4 status, or study (i.e. HOPE or ADNI) had an effect on any morphometric MRI variables. Age and eTIV had an effect on majority of the ROI volumes, while gender, education, APOE ε4, and study had no impact. In order to correct for age and eTIV, residuals were computed based upon the values of all subjects included in this study. Additionally, an overall MANOVA was run using study (HOPE or ADNI) as a between subjects variable and brain ROIs as a repeated measure to ensure the use of different headcoils in the two studies did not have any overall effect on the outcome. This analysis was run because different headcoils were used in the ADNI and HOPE (8 channels vs. 32 channels, respectively) studies.

Using these residuals, targeted nominal logistic regression models were created to determine how well the volumes of individual regions such as the entorhinal cortex, whole hippocampus, and hippocampal subfields could classify subjects. These regions were utilized first due to their importance in cognitive impairment. To follow up, additional stepwise variable logistical models (mixed, probability to enter/leave p < 0.25) were run using subgroups of ROIs (subcortical, cortical, and hippocampal subfields) to determine which ROIs classified subjects best. To control for multicollinearity, correlations were calculated between the subcortical and cortical regions. Regions that had intercorrelated values above 0.7 with one or more other regions were excluded from the stepwise models. A series of stepwise logistic models were used as steps for data reduction. The preliminary stepwise logistic models were computed using separate classes of variables as follows (1) left hippocampal subfield variables, (2) right hippocampal subfield variables, (3) left subcortical variables, (4) right subcortical variables, (5) left cortical variables, and (6) right cortical variables. From the results of these preliminary analyses, midlevel analyses were conducted using (1) hippocampal subfield variables and (2) combined cortical and subcortical group variables. No bilateral regions were included in any stepwise analyses, rather the unilateral region with the most predictive value, or lowest p value was used. Following the midlevel stepwise models, an upper midlevel stepwise logistic model was created using the selected cortical and subcortical group variables and selected hippocampal subfield variables. Finally, a nominal logistic model was generated to create an optimal classification model of group membership. For a schematic of this data reduction process, see Figure 1. Within each of the logistic models, FDR correction was used to establish the significant contributors to each model. Across models, Bonferroni correction (p value divided by the number of models) was used to determine the appropriate p value for significance. As a final check, the nominal logistic model was re-generated using a "backward" approach to ensure the order of the variable selection was not driving the model.

A leave-one-out prediction of the one-out validation technique was conducted to better estimate how the model would perform on a different dataset (Fan, Batmanghelich, Clark, & Davatzikos, 2008; Misra, Fan, & Davatzikos, 2009). Essentially, we re-ran the upper midlevel stepwise and final nominal logistic regression models leaving one subject out each time until all subjects had been left out once. All statistical analyses were conducted with JMP Pro 13.0 on a Mac Pro running operator system macOS Sierra.

Results

Demographic Data

There were no differences between the groups in terms of age, years of education, or APOE ϵ 4 status (p's > 0.05) (Table 1). As expected, differences were found between the groups in MMSE Score, Logical Memory Immediate Raw Score, Logical Memory Delayed Raw Score, and Part B of the Trailmaking Test (p's < 0.01) (Table 1). There was a trend for MCI subjects to have higher GDS scores (p = 0.025) though neither group expressed clinically relevant scores on the GDS.

MRI Data

When comparing uncorrected volumes, the MCI group had smaller volumes in 15 of the 24 hippocampal subfields, bilateral hippocampal formations, and the right entorhinal cortex, when compared to the control group (p's < 0.01). In comparing the residual data (adjusted for age and eTIV) between the control and MCI groups, the same 15 hippocampal subfields, bilateral hippocampal formations, and the right entorhinal cortex remained significantly smaller. In addition, three hippocampal subfields (left fimbria, right hippocampal tail, and right fimbria) and the left entorhinal cortex were significantly smaller in MCI subjects when residual volumes were compared (Figures 2-5, effect sizes reported in Table 2). The results of an overall MANOVA showed the effect of study (i.e. headcoil) was not significant (F (1, 94) = 2.894, p = 0.09, Cohen's d = 0.36).

To determine how well the volumes of the entorhinal cortex, hippocampus, and hippocampal subfields identified group membership, these variables were entered into three targeted nominal logistic regression models consisting of both the right and left volumes of each region. Though some were significant, none of these models provided a good model according to the R^2 values obtained: hippocampal subfields ($R^2 = 0.3629$, whole model test chi square = 48.2361, p = 0.0024), whole hippocampus ($R^2 = 0.1817$, whole model test chi square = 24.1557, p < 0.0001), and entorhinal cortex ($R^2 = 0.0688$, whole model test chi square = 9.1421, p = 0.0103). Stepwise analyses using a wider subset of variables were conducted to see if better classification could be obtained. Tests of multicollinearity showed both the left cuneus and left pericalcarine were correlated with more than one other region with a R value greater than 0.7 and they were excluded from the following analyses. The 6 preliminary stepwise models selected 5 hippocampal subfield variables, 4 subcortical variables, and 20 cortical variables. Midlevel stepwise analyses conducted on the hippocampal subfield variables and combined subcortical and cortical variables, further refined the data selected to 3 hippocampal subfield variables and 15 subcortical and cortical variables. All 18 of the selected regions (p's < 0.01 FDR corrected) were entered into an

upper midlevel stepwise and subsequent nominal logistic regression model, which was significant ($R^2 = 0.7120$, whole model test chi square = 94.63, p < 0.0001) and had a classification rate of 0.9063 (misclassification rate = 0.0937) as 40 out of 46 control subjects and 47 out of 50 MCI subjects were classified correctly. Since the two regions (left cuneus and left pericalcarine) which were originally excluded after the tests of multicollinearity were independent of the other regions included in the final models, these regions were forced into the model to see if their addition improved the model. The final model with the left cuneus and left pericalcarine variables revealed that 13 variables were significant contributors ($R^2 = 0.7310$, whole model test chi square = 97.16, p < 0.0001) and had a classification rate of 0.9375 (misclassification rate = 0.0625) as 43 out of 46 control subjects and 47 out of 50 MCI subjects were classified correctly. Table 3 outlines the 13 variables that were significant contributors to the final model and all model fit statistics for the described stepwise and nominal logistic analyses are reported in Table 4. The average classification rate from each leave-out-one analysis was 0.7708 (misclassification rate = 0.2292) as 37 out of 46 control subjects and 37 out of 50 MCI subjects were classified correctly.

As an additional exploratory analysis looking at the value of the whole hippocampus as a classifier versus the value of hippocampal subfields, the final nominal logistic model was reconducted excluding the hippocampal subfield variables that were chosen and substituting a unilateral whole hippocampal volume variable instead. When using the whole right hippocampal volume, the model was weakened ($R^2 = 0.6313$, whole model test chi square = 83.91, p < 0.0001) and the whole right hippocampus variable was not a significant contributor to this model, which had a classification rate of 0.875 (misclassification rate = 0.125). When using the whole left hippocampal variable, the model was essentially similar to when the whole right hippocampal variable was used and also weaker than the original model ($R^2 = 0.6413$, whole model test chi square = 85.24, p < 0.0001). Similarly, the whole left hippocampus variable was not a significant contributor in this model. This model had a classification rate of 0.875 (misclassification rate = 0.125). As a final check of the analysis, we re-ran the final nominal logistic model in a "backward" fashion to assure that the order of selection did not bias the analysis. The results of this were the same ($R^2 = 0.7310$, whole model test chi square = 97.16, p < 0.0001) with 43 out of 46 control subjects classified correctly and 47 out of 50 MCI subjects classified correctly.

Discussion

Nominal Logistic Model of Group Membership

The present study sought to expand current knowledge regarding what regions of the brain are most influential in classifying subjects who are cognitively normal versus those with MCI. Some previous studies have found the entorhinal cortex to be most effective at discriminating between controls and subjects with cognitive impairment (Killiany et al., 2000; Pennanen et al., 2004), while others have found the entire hippocampal formation or various hippocampal subfield volumes to perform best (Du et al., 2001; Hanseeuw et al., 2011; Mueller et al., 2010; Xu et al., 2000). Regardless, a majority of such cross-sectional studies built classification models utilizing only one region and report 60–81% accuracy

(Hanseeuw et al., 2011; Mueller et al., 2010; Pennanen et al., 2004). Studies including multiple ROIs in their models often achieve greater accuracy as was the goal of the present study (Convit et al., 2000; Convit et al., 1997; Hänggi et al., 2011; Killiany et al., 2000).

Initially, we utilized the volumes of the whole hippocampal formations, hippocampal subfields, and entorhinal cortices to build three targeted classification models and individually, these subgroups performed poorly at classification. However, when ROIs outside the medial temporal regions were added to our classification model, we were able to correctly identify 43 out of 46 control subjects and 47 out of 50 MCI subjects for an overall classification accuracy of 93.75% with 93.48% sensitivity and 94% specificity. Table 3 shows the 13 volumes that contributed most to this model. Notably, although subcortical, cortical, and hippocampal subfield variables were all taken into account in the data reduction stepwise model, only cortical regions and hippocampal subfields were chosen by the data reduction models to go into the final logistic model.

Cross-sectional studies exploring the classification value of hippocampal subfields volumes versus whole hippocampal volumes have found disparate results (Hanseeuw et al., 2011; Pluta et al., 2012). Some have found the CA1 volume to be a stronger classifier than whole hippocampal volume (La Joie et al., 2013; Pluta et al., 2012), while other studies have found the subiculum volume, but not the CA1 volume, to be a more effective classifier than whole hippocampal volume (Hanseeuw et al., 2011). Our findings are in line with those promoting the classification value of the subiculum volume. When we created a model including either the right or the left whole hippocampus volume, it did not perform as well as our model which included the parasubiculum and subiculum (misclassification rates were worse and the R^2 values were weaker). Such findings have been highlighted previously (Mueller et al., 2010, see review Pini et al., 2016).

Thus far, relatively less research has been done analyzing the influence of cortical structures in classification models for control and MCI populations. One study found the volume of the caudal portion of the anterior cingulate and the banks of the superior temporal sulcus to be important for discriminating controls, stable MCI, and MCI who decline (Killiany et al., 2000). We also found both regions to be important classifiers in this study.

One of the first cross-sectional studies that used classification models to identify controls and MCI subjects based on hippocampal volume obtained a classification accuracy of 73.4% (Convit et al., 1997). Three years later this group found that adding the fusiform gyrus and combined middle and inferior temporal gyrus helped to identify who with MCI would further decline to AD (Convit et al., 2000). We also found the middle temporal gyrus to be important in our classification model while the fusiform gyrus was less meaningful. Though these studies had subjects of different cognitive capacities, the overlapping ROIs in both studies suggest that volume loss in the middle temporal gyri and the fusiform gyri may contribute to cognitive impairment.

Instead of creating classification models, other studies identify regions that show the greatest amount of volume loss in MCI subjects compared to controls. Such studies examining volumetric differences often report regions such as the parietal and lateral temporal lobes to

be implicated in the early stages of the AD continuum following the medial temporal lobe (Desikan et al., 2009; Fan et al., 2008; Hangii et al., 2011). These studies report volume reductions in many of the regions that were important in our classification model, such as the insula (Fan et al., 2008; Karas et al., 2004), the superior temporal cortex (Fan et al., 2008; Karas et al., 2004), and the middle temporal cortex (Fan et al., 2008). Therefore, while these studies do not make classification models like the present study, the reoccurring significance of regions such as the insula and middle temporal cortex suggests such regions have a role in cognitive impairment and disease.

Demographics

In this study, the groups were well matched in terms of their demographics (i.e. age, education, APOE e4 status, and gender) (Table 1). It is noteworthy that there was a slightly greater percentage of individuals in the control group who had APOE e4 than in the MCI group. Since APOE e4 status was not used as a selection criteria, this merely reflects the sample which we had access to. Neuropsychological measures revealed expected differences between the control and MCI groups. The control group performed better than the MCI group on four of the five neuropsychological tasks (MMSE, Logical Memory Immediate Recall, Logical Memory Delayed Recall, and Part B of the Trailmaking Test) (Table 1). A difference in GDS score approached significance (p = 0.025) with the MCI group endorsing, on average, 0.78 points higher on this scale. It is feasible this difference is a result of the GDS specifically asking about a decrease in memory, rather than a reflection of true depression symptoms.

MRI

We found smaller residual volumes in the MCI group in 18 of the 24 hippocampal subfields, the bilateral hippocampal formations, and the bilateral entorhinal cortices, when compared to the controls. These findings are consistent with previous reports in the literature (Du et al., 2001; Hanseeuw et al., 2011; Killiany et al., 2001; La Joie et al., 2013; Pennanen et al., 2004, see review Zhou et al., 2016).

Limitations

While the best fit model created in this study is promising, there are limitations to consider. This study utilized sufficient data to meet the intended goals, but the sample size remains modest. This was driven by a desire to make optimal use of control and MCI subjects from our local ADC population as it more closely resembles a clinical population. We supplemented the subject number using subjects from the ADNI study, though we did not want to overwhelm the study with the "clinical trials population" found in ADNI (Petersen et al., 2013). Furthermore, when working with classification models such as nominal logistic regression, the ultimate goal is to build a model using one dataset and then apply it to a parallel dataset. The very large number of comparisons and variables included in the models limits the strength of our findings. Since we aimed to investigate regions that are less-studied in MCI, we used all available subjects to build this model. As such, we realize the potential to be able to refine our findings in a future study as more local subjects become available.

Further research creating classification models of control and MCI subjects utilizing medial temporal regions as well as less-studied cortical regions will likely help clarify whether the 13 regions in our model are truly classifiers of cognitive impairment versus characteristics of our sample.

Conclusion

The results of our study highlight the utility of not only using measures of the hippocampus, entorhinal cortex, and hippocampal subfields in classification models of controls and MCI subjects. Moreover, our findings provide evidence of the value of less-studied regions beyond the medial temporal lobe and their ability to aid classification models of those who exhibit normal aging and those who do not.

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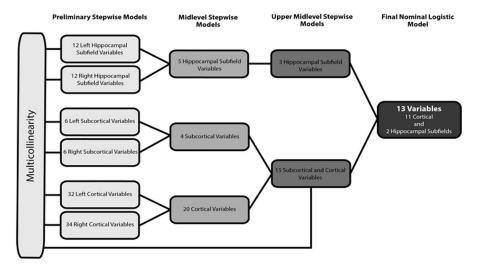


Figure 1. Outline of Stepwise and Nominal Logistic Regression Analyses

Overview of the process for determining the "best" classification model. Various preliminary and midlevel analyses were used as a data-reduction technique to identify the variables which produce the most accurate classification model.

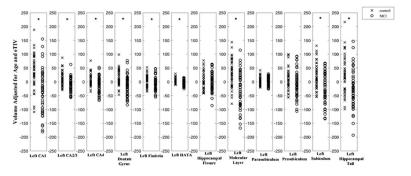


Figure 2. Volumetric Spread of Left Adjusted Hippocampal Subfields

The volume (adjusted for age and eTIV) of 12 identified hippocampal subfields was measured in all control and MCI subjects and is plotted above. Regions showing significant differences (p < 0.01) are denoted with a (*) and were found in 9 of the 12 left hippocampal subfields where the MCI subjects had reduced volume in comparison to the controls. eTIV = Estimated Total Intracranial Volume, CA = Cornu Ammonis, HATA = Hippocampal Amygdala Transition Area, MCI = Mild Cognitive Impairment

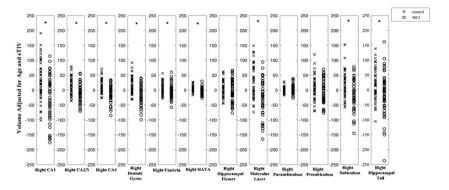


Figure 3. Volumetric Spread of Right Adjusted Hippocampal Subfields

The volume (adjusted for age and eTIV) of 12 identified hippocampal subfields was measured in all control and MCI subjects and is plotted above. Regions showing significant differences (p < 0.01) are denoted with a (*) and were found in 9 of the 12 right hippocampal subfields where the MCI subjects had reduced volume in comparison to the controls. eTIV = Estimated Total Intracranial Volume. CA = Cornu Ammonis, HATA = Hippocampal Amygdala Transition Area, MCI = Mild Cognitive Impairment

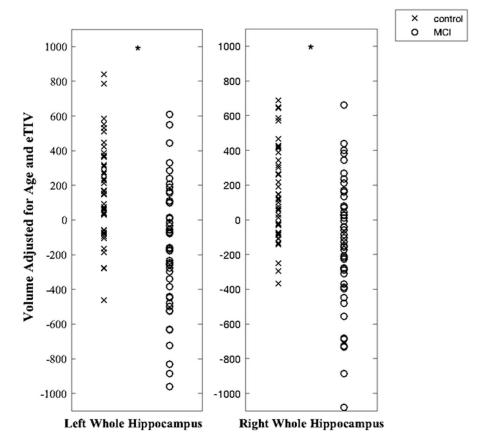


Figure 4. Volumetric Spread of Adjusted Whole Hippocampal Formations

The volume (adjusted for age and eTIV) of both whole hippocampal formations was measured in control and MCI subjects and is plotted above. Both right and left hippocampal formations in MCI subjects showed a significant reduction in volume compared to controls and is indicated by a (*) (p<0.01). eTIV = Estimated Total Intracranial Volume, MCI = Mild Cognitive Impairment

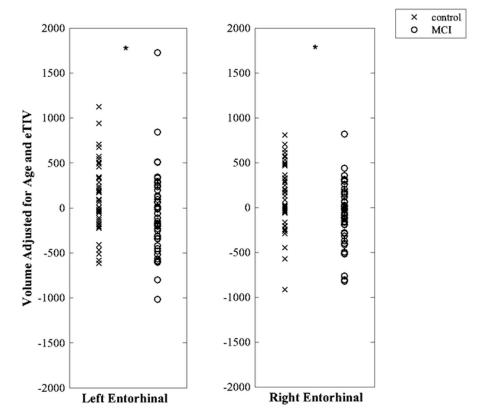


Figure 5. Volumetric Spread of Adjusted Entorhinal Cortices

The volume (adjusted for age and eTIV) of both entorhinal cortices was measured in control and MCI subjects and is plotted above. Both right and left entorhinal cortices in MCI subjects showed a significant reduction in volume compared to controls and is indicated by a (*) (p < 0.01). eTIV = Estimated Total Intracranial Volume, MCI = Mild Cognitive Impairment

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 Table 1.

 Demographic and Neuropsychological Test Data for Control and MCI Groups

	Mean for Control Group ± Standard Deviation (Range from lowest to highest	tandard Group ± Standard (Range Deviation (Range		Cohen's d
Age (in years) (n = 96) two-tailed test	75.24 ± 8.50 (54-96)			0.10
Education (in years) (n = 96) two-tailed test	16.02 ± 2.44 (12-20)	16.56 ± 2.57 (12-21)	0.3	0.22
APOE e4 Status (n = 94)	17/45 control participants have at least 1 ε4 allele. Of the controls, 1 participant was homozygous for the ε4 allele.	16/49 MCI participants have at least 1 ε4 allele. Of the MCI group, 1 participant was homozygous for the ε4 allele.	0.87	0.053 (Cohen's W)
Logical Memory Immediate (n = 87)	15.79 ± 2.97) (n = 43)	10.82 ±4.71 (n = 44)	0.000000039*	1.26
Logical Memory Delayed (n = 87)	14.86 ± 3.41) (n = 43)	9.25 ±5.04 (n = 44)	0.0000000170*	1.30
Mini Mental State Examination (n = 96)	29.27 ± 0.96 (n = 46)	28.00 ± 1.99 (n = 50)	0.0000976*	0.81
Geriatric Depression Score (n = 95)	0.78 ±1.06 (n = 45)	$1.56 \pm 2.45 (n = 50)$	0.025	0.41
Part B of Trailmaking Test (n = 96, Time to completion in seconds)	70.48 ± 21.05 (n = 46)	130.24 ± 82.88 (n = 50)	0.00000364* (p value <0.0001* Wilcoxon-Mann- Whitney Test)	0.99

p value < 0.01, MCI = Mild Cognitive Impairment, APOE = Apolipoprotein E, $\varepsilon 4$ = Allele 4

Note: There were 96 subjects total. Smaller sample sizes reflect missing data. All tests were one-tailed t tests unless denoted otherwise in the above table.

Table 2.

Between Group Measures of Effect Size

Region (Age and eTIV corrected)	Cohen's d		
Left Whole Hippocampus	1.045175658		
Left Molecular Layer	1.001047715		
Left CA1	0.97950074		
Right Molecular Layer	0.956542325		
Left CA4	0.925790159		
Right Dentate Gyrus	0.925375322		
Right Whole Hippocampus	0.925312224		
Left Subiculum	0.90302485		
Left CA2/3	0.902831378		
Left Dentate Gyrus	0.902202961		
Right CA4	0.885329746		
Right CA2/3	0.852106001		
Right CA1	0.825208486		
Left Hippocampal Tail	0.810886649		
Left HATA	0.778884444		
Right Subiculum	0.763229419		
Right HATA	0.641063049		
Right Hippocampal Tail	0.582298616		
Right Entorhinal Cortex	0.576953066		
Left Fimbria	0.572899506		
Left Entorhinal Cortex	0.520818628		
Right Fimbria	0.497658825		
Left Presubiculum	0.469300487		
Right Presubiculum	0.363948262		
Left Hippocampal Fissure	0.264820413		
Right Hippocampal Fissure	0.199064252		
Left Parasubiculum	-0.036346266		
Right Parasubiculum	-0.116042635		

CA = Cornu Ammonis, eTIV = Estimated Intracranial Volume, HATA = Hippocampal Amygdala Transition Area

Table 3.

ROI Classifiers of Group Membership

Region (Age and eTIV corrected)	FDR P Value Effect Likelihood Ratio Test (* denotes significance)				
Left Superior Temporal Cortex	0.00001*				
Right Caudal Anterior Cingulate	0.00001*				
Right Pars Opercularis	0.00001*				
Left Subiculum	0.00002*				
Right Precentral Cortex	0.00011*				
Left Caudal Middle Frontal Cortex	0.00011*				
Left Rostral Middle Frontal Cortex	0.00015*				
Left Pars Orbitalis	0.00015*				
Left Middle Temporal Cortex	0.00090*				
Right Insula	0.00116*				
Left Banks of the Superior Temporal Sulcus	0.00117*				
Right Parasubiculum	0.00287*				
Right Paracentral Lobule	0.00287*				
Left Fusiform Cortex	0.01654				
Left Transverse Temporal Cortex	0.03894				
Left Cuneus Cortex	0.12556				
Left Entorhinal Cortex	0.12738				
Left Pericalcarine	0.28605				

p value < 0.01, eTIV = Estimated Intracranial Volume, FDR = False Discovery Rate

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 Table 4.

 Model Statistics of All Stepwise and Nominal Logistic Regressions

							Classification Accuracy (out of 96)	
Stage	Variables	Chi Square	p value (* denotes significance)	R squared	AIC	BIC	Correct	Miss
Targeted Nominal Logistic	24 hippocampal subfields	48.23612	0.0024*	0.3629	153.253	198.79	72	24
Targeted Nominal Logistic	2 hippocampal formations	24.1557	< 0.0001*	0.1817	115.023	122.455	68	28
Targeted Nominal Logistic	2 entorhinal cortices	9.1421	0.0103	0.0688	130.036	137.468	60	36
Preliminary Stepwise	12 right hippocampal subfields	27.04459	< 0.0001*	0.2035	114.313	124.13	64	32
Preliminary Stepwise	12 left hippocampal subfields	30.81566	< 0.0001*	0.2318	112.769	124.924	67	29
Preliminary Stepwise	6 right subcortical	15.5924	0.0036*	0.1173	127.992	140.147	63	33
Preliminary Stepwise	6 left subcortical	21.52908	< 0.0001*	0.162	119.828	129.646	66	30
Preliminary Stepwise	34 right cortical	58.2929	< 0.0001*	0.4386	110.625	143.09	78	18
Preliminary Stepwise	32 left cortical	49.2495	< 0.0001*	0.3705	116.853	147.569	77	19
Midlevel Stepwise	5 hippocampal subfields	29.79788	< 0.0001*	0.2242	111.559	121.377	67	29
Midlevel Stepwise	20 cortical and 4 subcortical	79.07338	< 0.0001*	0.5949	92.7302	126.874	85	11
Upper Midlevel Stepwise	15 subcortical/cortical and 3 hippocampal subfields	94.6322	< 0.0001*	0.712	80.1315	115.879	87	9
Final Nominal Logistic	11 cortical and 2 hippocampal subfields	97.16355	< 0.0001*	0.731	83.754	122.477	90	6

p value < 0.01, AIC = Akaike Information Criterion, BIC = Bayesian Information Criterion