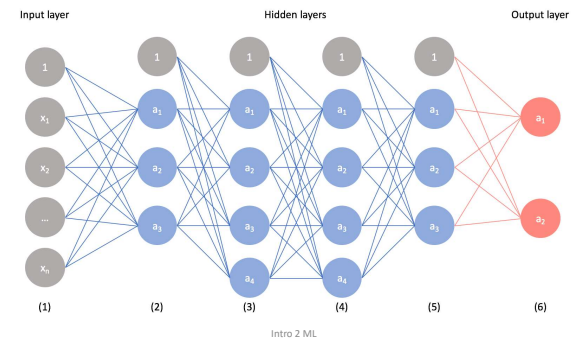


# Computer Vision and Machine Learning

(Neural Network-3)

Bhabatosh Chanda  
bchanda57@gmail.com

## Neural network: An example



## CNN or ConvNet: function

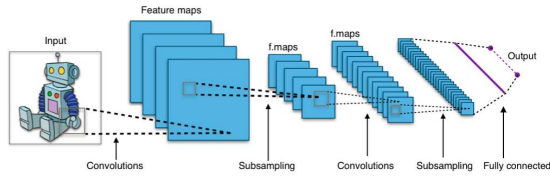
- ConvNet has two parts:
  - feature learning (Conv, ReLU and Pool) and
  - Classification (Fully-connected network and softmax).
- ConvNet architectures for images:
  - The explicit assumption that the inputs are images
  - Allows us to extract certain features
  - Large images do not fit into fully-connected structure
  - Pooling vastly reduces the number of parameters

## CNN or ConvNet: function

- ConvNet has two parts:
  - feature learning (Conv, ReLU and Pool) and
  - Classification (Fully-connected network and softmax).
- ConvNet architectures for images:
  - The explicit assumption that the inputs are images
  - Allows us to extract certain features
  - Large images do not fit into fully-connected structure
  - Pooling vastly reduces the number of parameters

## Structure of CNN

- **Input** is passed through a series of
  - Convolutional layer(s),
  - Non-linear (squashing) operation,
  - Pooling (downsampling), and
  - Fully connected layers (optional)
 to obtain **output**.



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## Terms and standard variations

- Convolutional layer
  - Filter  $\equiv$  Neuron  $\equiv$  Kernel
  - Weights  $\equiv$  Parameters
  - Receptive field = size of the kernel
  - Output: Activation map  $\equiv$  Feature map
- Non-linear
  - Sigmoid OR tanh OR ReLU
- Pooling layer
  - Max OR Mean OR Median

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## Convolutional neural network (CNN)

### Biological Connection -

- Hubel and Wiesel (1962) showed that some neuronal cells in the brain fired only in the presence of edges of a certain orientation.
  - Some neurons fire when exposed to vertical edges and some to horizontal or diagonal edges.
  - They are organized in a columnar architecture and produce visual perception.
- Convolutional operation (filter) can extract various features.
- Existence of feature may be confirmed by firing the neuron.

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## Convolution and Neural network

### Convolution

- 1D continuous convolution:  $f * g(x) = \int_{-\infty}^{\infty} f(\alpha)g(x-\alpha)d\alpha$
- 1D discrete convolution:  $f * g(x) = \sum_{j=0}^{M-1} f(j)g(x-j)$
- 2D continuous convolution:  $f * g(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(\alpha, \beta)g(x-\alpha, y-\beta)d\alpha d\beta$
- 2D discrete convolution:  $f * g(x, y) = \sum_{k=0}^{N-1} \sum_{j=0}^{M-1} f(j, k)g(x-j, y-k)$

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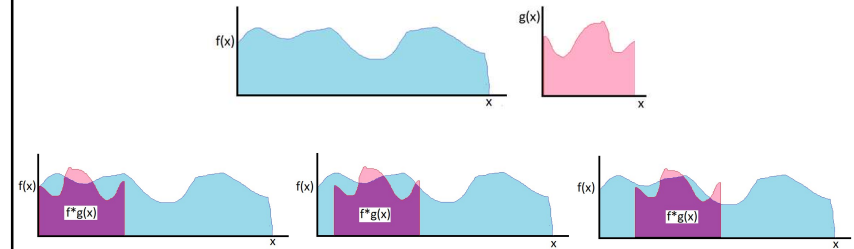
## Properties of Convolution

- Commutative:  $f * g = g * f$
- Associative:  $(f * g) * h = f * (g * h)$
- Homogeneous:  $f * (\tau g) = \tau f * g$
- Distributive (over addition):  $f * (g + h) = f * g + f * h$
- Shift-Invariant:  $f * g(x - x_0, y - y_0) = (f * g)(x - x_0, y - y_0)$

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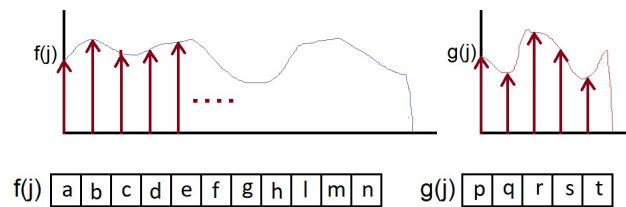
## 1D continuous convolution: Example



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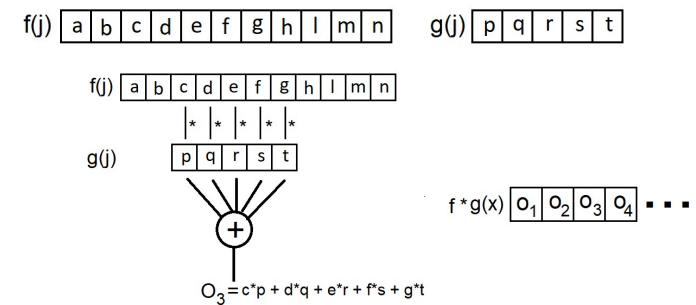
## 1D discrete convolution: Example



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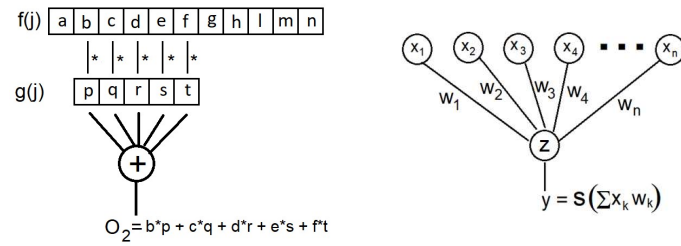
## 1D discrete convolution: Example (cont.)



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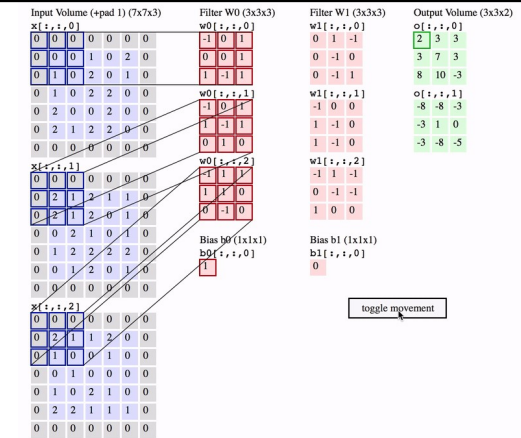
## Convolution and neural network



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## 2D Convolution:

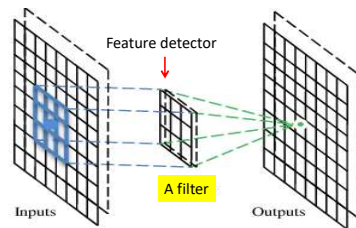


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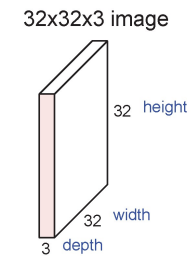
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## A convolutional layer

A convolutional layer has a number of filters that perform convolution operation.



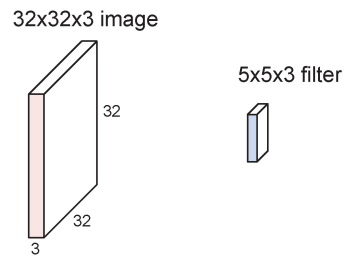
## Convolutional layer: Operation & Size



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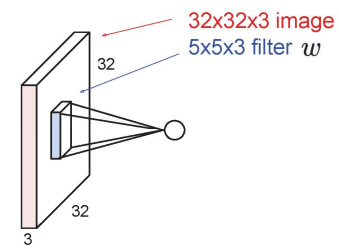
## Convolutional layer: Operation & Size



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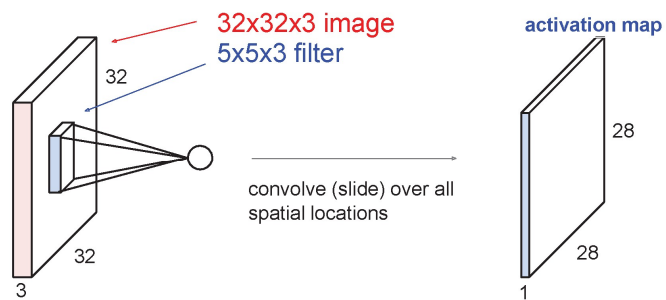
## Convolutional layer: Operation & Size



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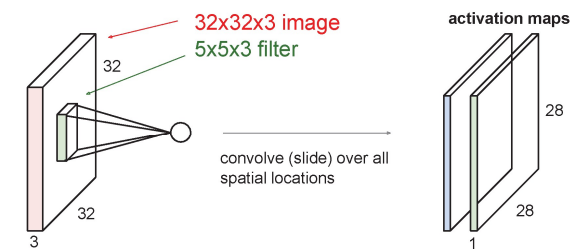
## Convolutional layer: Operation & Size



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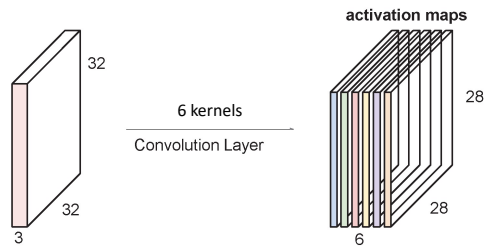
## Convolutional layer: Operation & Size



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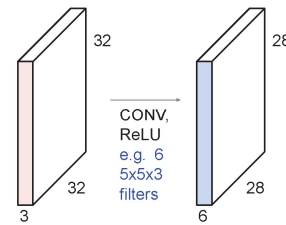
## Convolutional layer: Operation & Size



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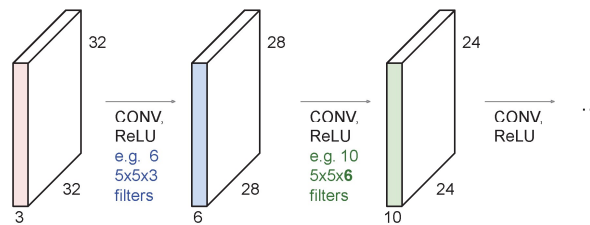
## Convolutional layer: Operation & Size



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## Convolutional layer: Operation & Size

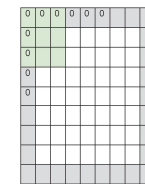


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## Zero padding

- To prevent size reduction in Convolution Layer.
- If size of kernel is  $K \times K$  ( $K$  odd), input image is padded with 0 (zero) around it by a thickness of  $(K-1)/2$ .



e.g. input 7x7  
3x3 filter, applied with stride 1  
pad with 1 pixel border

(recall:)  
 $(N - F) / \text{stride} + 1$

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## Training

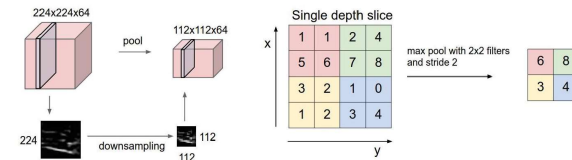
- Network training can be separated into 4 distinct steps
  - the forward pass,
  - the loss function,
  - the backward pass, and
  - the weight update.
- Training strategy and methods are same as neural network.

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## Pooling

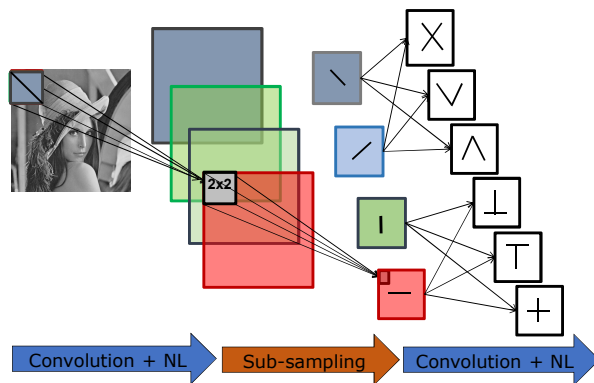
- To reduce the number of parameters.
- To increase effective size of receptive field.
- Sum or max or median over non-overlapping / overlapping regions
  - Invariant to small geometric transformation



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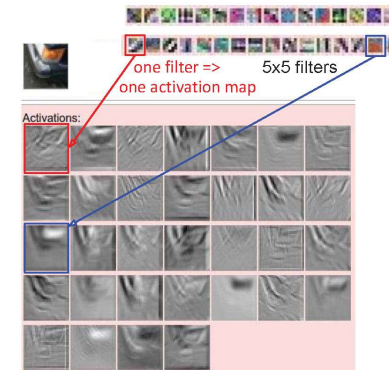
## What happens in Convolutional NN ?



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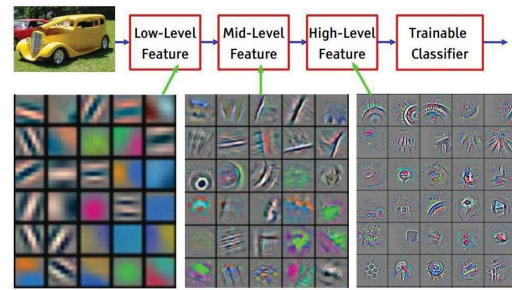
## Kernel and extracted features



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## Kernel an extracted features



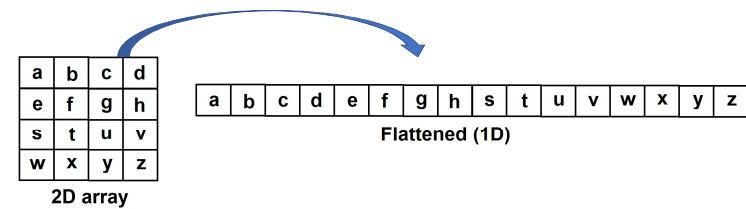
Feature visualization of convolutional net trained on ImageNet from [Zeller & Fergus 2013]

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## Flattening

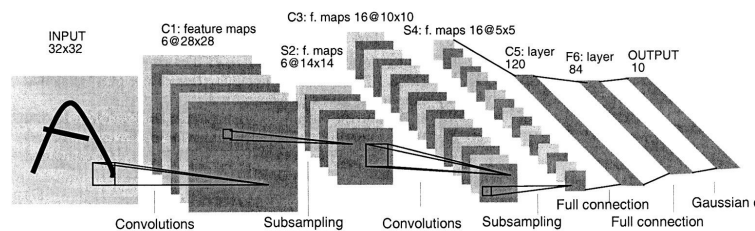
- Converts 2D array of Activation field or Feature map to linear (1D) array.
- To facilitate input to feed to fully connected neural network.



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## Fully connected neural network



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## Autoencoder

- Autoencoder is an unsupervised learning technique.
  - Neural network (CNN) is exploited for **representation learning**.
- A neural network (CNN) architecture includes *a bottleneck* in the network that forces a **compressed** representation of input.
- It has two parts:
  - Encoder (from the input to bottleneck)
  - Decoder (beyond bottleneck to the output)

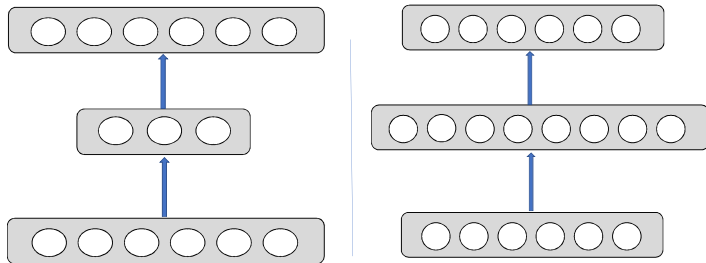
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## Undercomplete AE vs Overcomplete AE

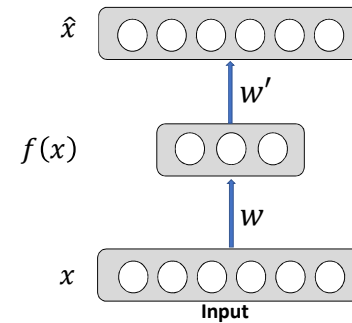


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## Undercomplete AE



- Hidden layer is **Undercomplete** if smaller than the input layer
  - Compresses the input
- Hidden nodes contain
  - Good features for the training distribution.
- Without bottleneck network could be trained easily to memorize data.

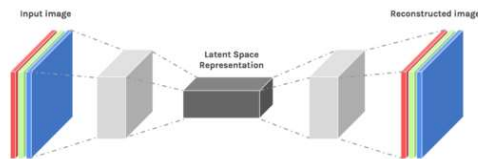
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## Bottleneck layer (undercomplete)

- Suppose input images are  $n \times n$  and the latent space is  $m < n \times n$ .
- Then the latent space is not sufficient to reproduce all images.
- Needs to learn an encoding that captures the important features in training data, sufficient for approximate reconstruction.

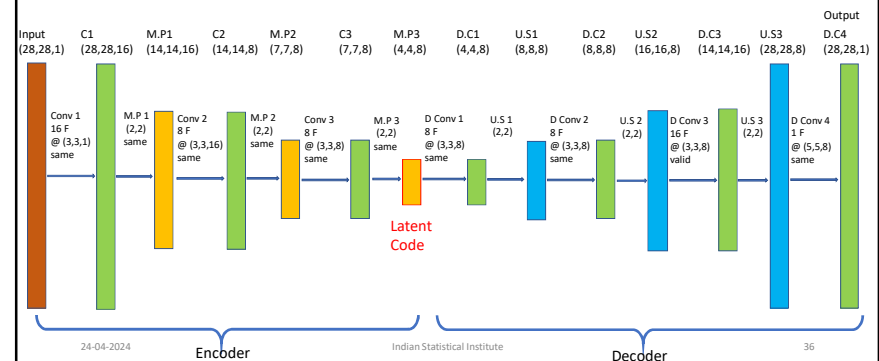


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## Convolutional AE



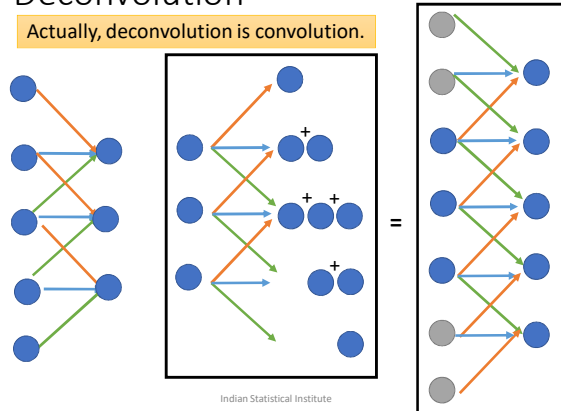
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## CNN - Deconvolution

Actually, deconvolution is convolution.



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## Autoencoder: Basic

Given data  $x$  (no labels) we would like to learn the functions  $f$  (encoder) and  $g$  (decoder) where:

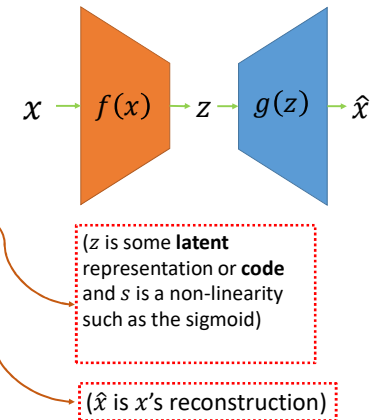
$$f(x) = s(wx + b) = z$$

and

$$g(z) = s(w'z + b') = \hat{x}$$

$$\text{such that } h(x) = g(f(x)) = \hat{x}$$

where  $h()$  is an **approximation** of the identity function.



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## Training the AE

- We can train Autoencoder simply by using **Gradient descent** method like any other fully connected neural network (FC-NN).

- Loss or error function may be defined as

$$E(x) = ||x - \hat{x}||^2 = ||x - h(x)||^2 = ||x - g(f(x))||^2$$

- So, still it needs to define a loss – this is an implicit supervision.

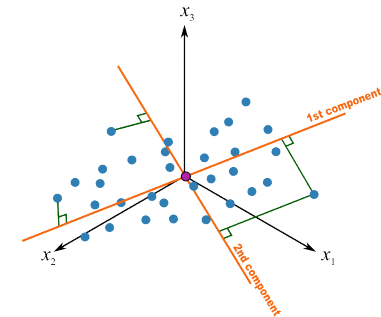
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## PCA – Principal component analysis

- Statistical approach for data compression and visualization
- Invented by Karl Pearson in 1901
- Weakness: linear components only.

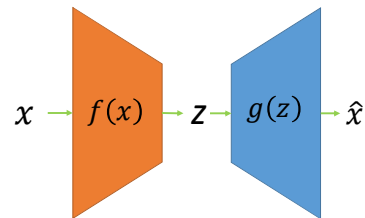


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## Autoencoder and PCA



- Traditionally an autoencoder is used for dimensionality reduction and feature learning.
- Unlike the **PCA** now we can use activation functions to achieve non-linearity.
- It has been shown that an AE without activation functions achieves the **PCA** capacity.

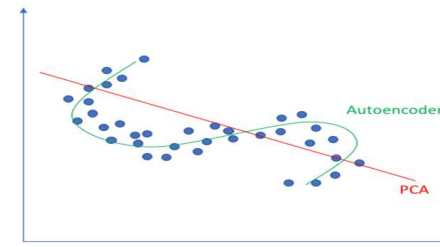
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## AE vs. PCA: Representation visualization

Linear vs nonlinear dimensionality reduction



PCA attempts to discover a lower dimensional hyperplane which describes the original data, autoencoders learn nonlinear manifold.

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## Properties of Autoencoders

- **Data-specific:** Autoencoders are only able to compress data similar to what they have been trained on.
- **Lossy:** The decompressed outputs will be degraded compared to the original inputs.
- **Learned automatically from examples:** It is easy to train specialized instances of the algorithm that will perform well on a specific type of input.

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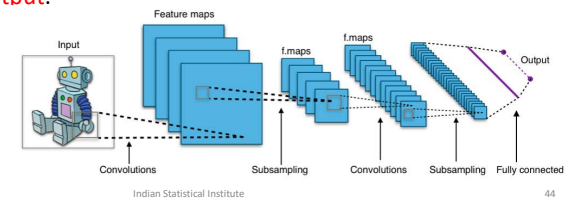
<https://www.edureka.co/blog/autoencoders-tutorial/>

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## Structure of CNN for classification

- **Input is passed through** a series of
    - Convolutional layer(s),
    - nonlinear (squashing) operation,
    - pooling (downsampling), and
    - fully connected layers (optional)
- to obtain the output.**



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## Problem with standard autoencoders

- Standard autoencoders learn to generate compact representations and reconstruct their inputs well,
  - but asides from a few tasks like feature extraction and denoising, their applications are fairly limited.
- The fundamental problem with autoencoders, for generation, is
  - the latent space they convert their inputs into, where their encoded vectors lie, may not allow easy interpretation.

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## Denoising autoencoders

- Basic autoencoder trains to minimize the loss between  $x$  and the reconstruction  $g(f(x))$ .
- Denoising autoencoders train to minimize the loss between  $x$  and  $g(f(x+w))$ , where  $w$  is random noise.
- Same possible architectures, different training data.



- [Kaggle has a dataset on damaged documents.](https://blog.keras.io/building-autoencoders-in-keras.html)

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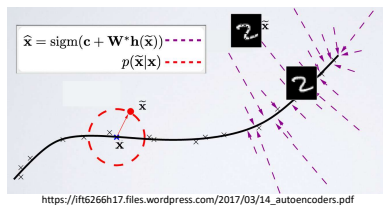
<https://blog.keras.io/building-autoencoders-in-keras.html>

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## Denoising autoencoders

- Denoising autoencoders can't simply memorize the input output relationship.
- Intuitively, a denoising autoencoder learns a projection from a neighborhood of training data back onto the training data.


[https://ih6266h17.files.wordpress.com/2017/03/14\\_autoencoders.pdf](https://ih6266h17.files.wordpress.com/2017/03/14_autoencoders.pdf)

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## Sparse autoencoder

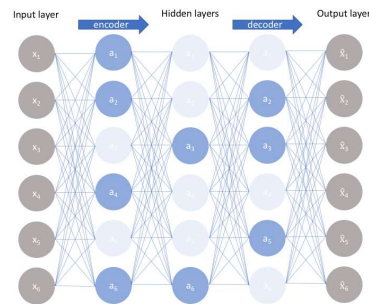
- Sparse autoencoders provides an alternative method for creating a bottleneck.
  - It does not require a reduction in the number of nodes in hidden layers apriori.
  - Encoder and decoder rely on activating a small number of neurons in the hidden layer.
  - Individual nodes of a trained model that are activated are *data-dependent*.
    - Different inputs result in activation of different nodes.
- Undercomplete autoencoder use the entire network for every observation, while a sparse autoencoder use only a selective portion of the network.

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## Sparse autoencoder: architecture



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## Sparse autoencoder: sparsity

- Loss function is constructed to penalize activation of hidden neurons within a layer.
  - In other words, only a subset of neurons are activated for an input.
  - Set of neurons to be activated in a hidden layer depends on the input.
  - A neuron is said to be active if its output is close to 1.
- Such sparsity may be imposed in two different ways.
  - **L1 regularization**
  - **KL-divergence**

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## Sparsity: L1 regularization

- By adding a term to the loss function  $L(x, \hat{x})$  to penalize the absolute value of the activation vector  $\mathbf{a}$  in the layer  $\mathbf{h}$  for  $i$ -th observation

$$L(x, \hat{x}) + \gamma \sum_i |a_i^{(h)}|$$

where  $\gamma$  is the controlling parameter.

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Thank you!  
Any question?

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