## Convolution model Step by Step v1

February 7, 2025

### 1 Convolutional Neural Networks: Step by Step

Welcome to Course 4's first assignment! In this assignment, you will implement convolutional (CONV) and pooling (POOL) layers in numpy, including both forward propagation and (optionally) backward propagation.

By the end of this notebook, you'll be able to:

- Explain the convolution operation
- Apply two different types of pooling operation
- Identify the components used in a convolutional neural network (padding, stride, filter, ...) and their purpose
- Build a convolutional neural network

Notation: - Superscript [l] denotes an object of the  $l^{th}$  layer. - Example:  $a^{[4]}$  is the  $4^{th}$  layer activation.  $W^{[5]}$  and  $b^{[5]}$  are the  $5^{th}$  layer parameters.

- Superscript (i) denotes an object from the  $i^{th}$  example.
  - Example:  $x^{(i)}$  is the  $i^{th}$  training example input.
- Subscript i denotes the  $i^{th}$  entry of a vector.
  - Example:  $a_i^{[l]}$  denotes the  $i^{th}$  entry of the activations in layer l, assuming this is a fully connected (FC) layer.
- n<sub>H</sub>, n<sub>W</sub> and n<sub>C</sub> denote respectively the height, width and number of channels of a given layer. If you want to reference a specific layer l, you can also write n<sub>H</sub><sup>[l]</sup>, n<sub>W</sub><sup>[l]</sup>, n<sub>C</sub><sup>[l]</sup>.
  n<sub>H<sub>prev</sub></sub>, n<sub>W<sub>prev</sub></sub> and n<sub>C<sub>prev</sub></sub> denote respectively the height, width and number of channels of
- $n_{H_{prev}}$ ,  $n_{W_{prev}}$  and  $n_{C_{prev}}$  denote respectively the height, width and number of channels of the previous layer. If referencing a specific layer l, this could also be denoted  $n_H^{[l-1]}$ ,  $n_W^{[l-1]}$ ,  $n_C^{[l-1]}$ .

You should be familiar with numpy and/or have completed the previous courses of the specialization. Let's get started!

#### 1.1 Important Note on Submission to the AutoGrader

Before submitting your assignment to the AutoGrader, please make sure you are not doing the following:

- 1. You have not added any extra print statement(s) in the assignment.
- 2. You have not added any extra code cell(s) in the assignment.
- 3. You have not changed any of the function parameters.

- 4. You are not using any global variables inside your graded exercises. Unless specifically instructed to do so, please refrain from it and use the local variables instead.
- 5. You are not changing the assignment code where it is not required, like creating extra variables.

If you do any of the following, you will get something like, Grader Error: Grader feedback not found (or similarly unexpected) error upon submitting your assignment. Before asking for help/debugging the errors in your assignment, check for these first. If this is the case, and you don't remember the changes you have made, you can get a fresh copy of the assignment by following these instructions.

#### 1.2 Table of Contents

Section ?? • Section ?? • Section ?? – Section ?? \* Section ?? - Section ?? \* Section ?? - Section ?? \* Section ?? • Section ?? - Section ?? \* Section ?? • Section ?? - Section ?? \* Section ?? \* Section ?? \* Section ?? · Section ?? - Section ?? \* Section ?? · Section ?? \* Section ?? · Section ?? \* Section ??

· Section ??

#### ## 1 - Packages

Let's first import all the packages that you will need during this assignment. - numpy is the fundamental package for scientific computing with Python. - matplotlib is a library to plot graphs in Python. - np.random.seed(1) is used to keep all the random function calls consistent. This helps to grade your work.

# []: ### v1.1

```
[23]: import numpy as np
import h5py
import matplotlib.pyplot as plt
from public_tests import *

//matplotlib inline
plt.rcParams['figure.figsize'] = (5.0, 4.0) # set default size of plots
plt.rcParams['image.interpolation'] = 'nearest'
plt.rcParams['image.cmap'] = 'gray'

//load_ext autoreload
//autoreload 2

np.random.seed(1)
```

The autoreload extension is already loaded. To reload it, use: %reload ext autoreload

## 2 - Outline of the Assignment

You will be implementing the building blocks of a convolutional neural network! Each function you will implement will have detailed instructions to walk you through the steps:

- Convolution functions, including:
  - Zero Padding
  - Convolve window
  - Convolution forward
  - Convolution backward (optional)
- Pooling functions, including:
  - Pooling forward
  - Create mask
  - Distribute value
  - Pooling backward (optional)

This notebook will ask you to implement these functions from scratch in numpy. In the next notebook, you will use the TensorFlow equivalents of these functions to build the following model:

**Note**: For every forward function, there is a corresponding backward equivalent. Hence, at every step of your forward module you will store some parameters in a cache. These parameters are used to compute gradients during backpropagation.

#### ## 3 - Convolutional Neural Networks

Although programming frameworks make convolutions easy to use, they remain one of the hardest concepts to understand in Deep Learning. A convolution layer transforms an input volume into an output volume of different size, as shown below.

In this part, you will build every step of the convolution layer. You will first implement two helper functions: one for zero padding and the other for computing the convolution function itself.

```
\#\#\# 3.1 - Zero-Padding
```

Zero-padding adds zeros around the border of an image:

Figure 1: Zero-Padding Image (3 channels, RGB) with a padding of 2.

The main benefits of padding are:

- It allows you to use a CONV layer without necessarily shrinking the height and width of the volumes. This is important for building deeper networks, since otherwise the height/width would shrink as you go to deeper layers. An important special case is the "same" convolution, in which the height/width is exactly preserved after one layer.
- It helps us keep more of the information at the border of an image. Without padding, very few values at the next layer would be affected by pixels at the edges of an image.

### Exercise 1 - zero\_pad Implement the following function, which pads all the images of a batch of examples X with zeros. Use np.pad. Note if you want to pad the array "a" of shape (5, 5, 5, 5, 5) with pad = 1 for the 2nd dimension, pad = 3 for the 4th dimension and pad = 0 for the rest, you would do:

```
a = np.pad(a, ((0,0), (1,1), (0,0), (3,3), (0,0)), mode='constant', constant_values = (0,0))
```

```
[24]: # GRADED FUNCTION: zero_pad
      def zero_pad(X, pad):
          Pad with zeros all images of the dataset X. The padding is applied to the ⊔
       ⇒height and width of an image,
          as illustrated in Figure 1.
          Argument:
          X -- python number array of shape (m, n H, n W, n C) representing a batch of \Box
       \hookrightarrow m images
          pad -- integer, amount of padding around each image on vertical and
       \hookrightarrow horizontal dimensions
          Returns:
          X pad -- padded image of shape (m, nH + 2 * pad, nW + 2 * pad, nC)
          #( 1 line)
          # X pad = None
          # YOUR CODE STARTS HERE
               # Get the dimensions of the input image batch
          m, n_H, n_W, n_C = X.shape
          # Use np.pad to add padding to the height and width dimensions
          # We apply padding to the 2nd and 3rd dimensions (n_H \text{ and } n_W) of each image
          X_{pad} = np.pad(X, ((0, 0), (pad, pad), (pad, pad), (0, 0)), 
       →mode='constant', constant_values=0)
```

# # YOUR CODE ENDS HERE return X\_pad

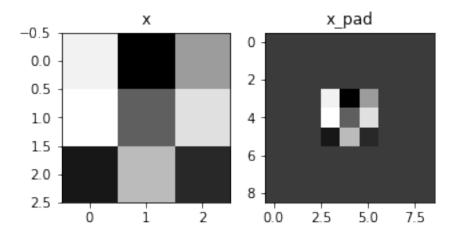
```
[25]: np.random.seed(1)
      x = np.random.randn(4, 3, 3, 2)
      x_pad = zero_pad(x, 3)
      print ("x.shape =\n", x.shape)
      print ("x_pad.shape =\n", x_pad.shape)
      print ("x[1,1] = n", x[1, 1])
      print (x_{pad}[1,1] = n, x_{pad}[1, 1])
      fig, axarr = plt.subplots(1, 2)
      axarr[0].set_title('x')
      axarr[0].imshow(x[0, :, :, 0])
      axarr[1].set_title('x_pad')
      axarr[1].imshow(x_pad[0, :, :, 0])
      zero_pad_test(zero_pad)
     x.shape =
      (4, 3, 3, 2)
     x_pad.shape =
      (4, 9, 9, 2)
     x[1,1] =
      [[ 0.90085595 -0.68372786]
      [-0.12289023 -0.93576943]
      [-0.26788808 0.53035547]]
     x_{pad}[1,1] =
      [[0. 0.]]
      [0. 0.]
      [0. 0.]
      [0. 0.]
      [0. 0.]
      [0. 0.]
      [0. 0.]
      [0. 0.]
      [0. 0.]]
     x.shape =
      (4, 3, 3, 2)
     x_pad.shape =
```

(4, 9, 9, 2)

 $x_{pad}[1,1] =$ 

[[ 0.90085595 -0.68372786] [-0.12289023 -0.93576943] [-0.26788808 0.53035547]]

x[1,1] =



### 3.2 - Single Step of Convolution

In this part, implement a single step of convolution, in which you apply the filter to a single position of the input. This will be used to build a convolutional unit, which:

- Takes an input volume
- Applies a filter at every position of the input
- Outputs another volume (usually of different size)

Figure 2: Convolution operation with a filter of 3x3 and a stride of 1 (stride = amount you move the window each time you slide)

In a computer vision application, each value in the matrix on the left corresponds to a single pixel value. You convolve a 3x3 filter with the image by multiplying its values element-wise with the original matrix, then summing them up and adding a bias. In this first step of the exercise, you will implement a single step of convolution, corresponding to applying a filter to just one of the positions to get a single real-valued output.

Later in this notebook, you'll apply this function to multiple positions of the input to implement the full convolutional operation.

### Exercise 2 - conv\_single\_step Implement conv\_single\_step().

#### Hint.

**Note**: The variable b will be passed in as a numpy array. If you add a scalar (a float or integer) to a numpy array, the result is a numpy array. In the special case of a numpy array containing a single value, you can cast it as a float to convert it to a scalar.

```
[26]: # GRADED FUNCTION: conv_single_step
      def conv_single_step(a_slice_prev, W, b):
          Apply one filter defined by parameters W on a single slice (a\_slice\_prev)_{\sqcup}
       \hookrightarrow of the output activation
          of the previous layer.
          Arguments:
          a_slice_prev -- slice of input data of shape (f, f, n_C_prev)
           W -- Weight parameters contained in a window - matrix of shape (f, f_{,\sqcup}
       \hookrightarrow n\_C\_prev)
          b -- Bias parameters contained in a window - matrix of shape (1, 1, 1)
          Returns:
          Z -- a scalar value, the result of convolving the sliding window (W, b) on \Box
       \rightarrowa slice x of the input data
           11 11 11
          #( 3 lines of code)
          # Element-wise product between a_slice_prev and W. Do not add the bias yet.
          \# s = None
          # Sum over all entries of the volume s.
          \# Z = None
          # Add bias b to Z. Cast b to a float() so that Z results in a scalar value.
          \# Z = None
          # YOUR CODE STARTS HERE
          # Element-wise product between a_slice_prev and W. Do not add the bias yet.
          s = a_slice_prev * W
          # Sum over all entries of the volume s.
          Z = np.sum(s)
          # Add bias b to Z.
          Z = Z + b
          # Cast Z to np.float64 to match the expected output type.
          Z = np.float64(Z)
          # YOUR CODE ENDS HERE
          return Z
```

```
[27]: np.random.seed(1)
   a_slice_prev = np.random.randn(4, 4, 3)
   W = np.random.randn(4, 4, 3)
   b = np.random.randn(1, 1, 1)

Z = conv_single_step(a_slice_prev, W, b)
   print("Z =", Z)
   conv_single_step_test(conv_single_step)

assert (type(Z) == np.float64), "You must cast the output to numpy float 64"
   assert np.isclose(Z, -6.999089450680221), "Wrong value"
```

Z = -6.999089450680221

All tests passed!

###3.3 - Convolutional Neural Networks - Forward Pass

In the forward pass, you will take many filters and convolve them on the input. Each 'convolution' gives you a 2D matrix output. You will then stack these outputs to get a 3D volume:

### Exercise 3 - conv\_forward Implement the function below to convolve the filters W on an input activation A\_prev.

This function takes the following inputs: \* A\_prev, the activations output by the previous layer (for a batch of m inputs); \* Weights are denoted by W. The filter window size is f by f. \* The bias vector is b, where each filter has its own (single) bias.

You also have access to the hyperparameters dictionary, which contains the stride and the padding.

**Hint**: 1. To select a 2x2 slice at the upper left corner of a matrix "a\_prev" (shape (5,5,3)), you would do:

```
a_slice_prev = a_prev[0:2,0:2,:]
```

Notice how this gives a 3D slice that has height 2, width 2, and depth 3. Depth is the number of channels.

This will be useful when you will define a\_slice\_prev below, using the start/end indexes you will define.

2. To define a\_slice you will need to first define its corners vert\_start, vert\_end, horiz\_start and horiz\_end. This figure may be helpful for you to find out how each of the corners can be defined using h, w, f and s in the code below.

Figure 3: Definition of a slice using vertical and horizontal start/end (with a 2x2 filter) This figure shows only a single channel.

#### Reminder:

The formulas relating the output shape of the convolution to the input shape are:

$$n_{H} = \left\lfloor \frac{n_{H_{prev}} - f + 2 \times pad}{stride} \right\rfloor + 1$$

$$n_{W} = \left\lfloor \frac{n_{W_{prev}} - f + 2 \times pad}{stride} \right\rfloor + 1$$

 $n_C$  = number of filters used in the convolution

For this exercise, don't worry about vectorization! Just implement everything with for-loops.

#### Additional Hints (if you're stuck):

- Use array slicing (e.g.varname[0:1,:,3:5]) for the following variables: a\_prev\_pad ,W, b
  - Copy the starter code of the function and run it outside of the defined function, in separate cells.
  - Check that the subset of each array is the size and dimension that you're expecting.
- To decide how to get the vert\_start, vert\_end, horiz\_start, horiz\_end, remember that these are indices of the previous layer.
  - Draw an example of a previous padded layer (8 x 8, for instance), and the current (output layer) (2 x 2, for instance).
  - The output layer's indices are denoted by h and w.
- Make sure that a\_slice\_prev has a height, width and depth.
- Remember that a\_prev\_pad is a subset of A\_prev\_pad.
  - Think about which one should be used within the for loops.

```
[28]: # GRADED FUNCTION: conv forward
      def conv_forward(A_prev, W, b, hparameters):
          Implements the forward propagation for a convolution function
          Arguments:
          A_prev -- output activations of the previous layer,
              numpy array of shape (m, n_H_prev, n_W_prev, n_C_prev)
          W -- Weights, numpy array of shape (f, f, n_C_prev, n_C)
          b -- Biases, numpy array of shape (1, 1, 1, n_C)
          hparameters -- python dictionary containing "stride" and "pad"
          Returns:
          Z -- conv output, numpy array of shape (m, n_H, n_W, n_C)
          cache -- cache of values needed for the conv_backward() function
          # Retrieve dimensions from A prev's shape (1 line)
          # (m, n_Hprev, n_Wprev, n_Cprev) = None
          # Retrieve dimensions from W's shape (1 line)
          \# (f, f, n_C_prev, n_C) = None
```

```
# Retrieve information from "hparameters" (2 lines)
   # stride = None
   # pad = None
   # Compute the dimensions of the CONV output volume using the formula given
\rightarrowabove.
   # Hint: use int() to apply the 'floor' operation. (2 lines)
   # n H = None
   \# n_W = None
   # Initialize the output volume Z with zeros. (1 line)
   \# Z = None
   # Create A_prev_pad by padding A_prev
   # A_prev_pad = None
   # for i in range(None): # loop over the batch of training
\rightarrow examples
       # a_prev_pad = None
                                          # Select ith training example's
\rightarrow padded activation
       # for h in range(None): # loop over vertical axis of the \Box
\rightarrow output volume
           # Find the vertical start and end of the current "slice" (2 lines)
           # vert_start = None
           # vert_end = None
           # for w in range(None): # loop over horizontal axis of the
\rightarrow output volume
               # Find the horizontal start and end of the current "slice" (24
\rightarrow lines)
               # horiz start = None
               # horiz_end = None
               # for c in range(None): # loop over channels (= #filters) of
\rightarrow the output volume
                    # Use the corners to define the (3D) slice of a_prev_pad_
\hookrightarrow (See Hint above the cell). (1 line)
                    # a_slice_prev = None
                    # Convolve the (3D) slice with the correct filter W and
→bias b, to get back one output neuron. (3 line)
                    # weights = None
                    # biases = None
                    \# Z[i, h, w, c] = None
   # YOUR CODE STARTS HERE
```

```
# Retrieve dimensions from A prev's shape
   (m, n_H_prev, n_W_prev, n_C_prev) = A_prev.shape
   # Retrieve dimensions from W's shape
   (f, f, n_C_prev, n_C) = W.shape
   # Retrieve information from "hparameters"
   stride = hparameters["stride"]
   pad = hparameters["pad"]
   # Compute the dimensions of the CONV output volume
   n_H = int((n_H_prev - f + 2 * pad) / stride) + 1
   n_W = int((n_W_prev - f + 2 * pad) / stride) + 1
   # Initialize the output volume Z with zeros
   Z = np.zeros((m, n_H, n_W, n_C))
   # Pad A_prev to match the output dimensions
   A_prev_pad = np.pad(A_prev, ((0,), (pad,), (pad,), (0,)), mode='constant',__
# Loop over the batch of training examples
   for i in range(m):
       # Select the ith training example's padded activation
      a_prev_pad = A_prev_pad[i]
       # Loop over the vertical axis of the output volume
      for h in range(n_H):
           # Calculate the vertical start and end of the current "slice"
           vert_start = h * stride
          vert_end = vert_start + f
           # Loop over the horizontal axis of the output volume
           for w in range(n W):
               # Calculate the horizontal start and end of the current "slice"
              horiz_start = w * stride
              horiz_end = horiz_start + f
               # Loop over channels of the output volume
               for c in range(n_C):
                   # Define the slice of A prev_pad
                   a_slice_prev = a_prev_pad[vert_start:vert_end, horiz_start:
→horiz_end, :]
                   # Convolve the slice with the filter W and add bias b
                   weights = W[:, :, :, c]
                   biases = b[:, :, :, c]
```

```
Z[i, h, w, c] = np.sum(a_slice_prev * weights) + biases

# YOUR CODE ENDS HERE

# Save information in "cache" for the backprop
cache = (A_prev, W, b, hparameters)

return Z, cache
```

Finally, a CONV layer should also contain an activation, in which case you would add the following line of code:

```
# Convolve the window to get back one output neuron
Z[i, h, w, c] = ...
# Apply activation
A[i, h, w, c] = activation(Z[i, h, w, c])
You don't need to do it here, however.
## 4 - Pooling Layer
```

The pooling (POOL) layer reduces the height and width of the input. It helps reduce computation, as well as helps make feature detectors more invariant to its position in the input. The two types of pooling layers are:

- Max-pooling layer: slides an (f, f) window over the input and stores the max value of the window in the output.
- Average-pooling layer: slides an (f, f) window over the input and stores the average value of the window in the output.

These pooling layers have no parameters for backpropagation to train. However, they have hyper-parameters such as the window size f. This specifies the height and width of the  $f \times f$  window you would compute a max or average over.

### 4.1 - Forward Pooling Now, you are going to implement MAX-POOL and AVG-POOL, in the same function.

### Exercise 4 - pool\_forward

Implement the forward pass of the pooling layer. Follow the hints in the comments below.

**Reminder**: As there's no padding, the formulas binding the output shape of the pooling to the input shape is:

$$n_H = \left\lfloor \frac{n_{H_{prev}} - f}{stride} \right\rfloor + 1$$

$$n_W = \left\lfloor \frac{n_{W_{prev}} - f}{stride} \right\rfloor + 1$$

$$n_C = n_{C_{prev}}$$

```
[30]: # GRADED FUNCTION: pool_forward

def pool_forward(A_prev, hparameters, mode = "max"):
    """

    Implements the forward pass of the pooling layer

Arguments:
    A_prev -- Input data, numpy array of shape (m, n_H_prev, n_W_prev, n_C_prev)
    hparameters -- python dictionary containing "f" and "stride"
    mode -- the pooling mode you would like to use, defined as a string ("max"
    →or "average")

Returns:
    A -- output of the pool layer, a numpy array of shape (m, n_H, n_W, n_C)
    cache -- cache used in the backward pass of the pooling layer, contains the
    →input and hparameters
    """
```

```
# Retrieve dimensions from the input shape
   (m, n_H_prev, n_W_prev, n_C_prev) = A_prev.shape
   # Retrieve hyperparameters from "hparameters"
   f = hparameters["f"]
   stride = hparameters["stride"]
   # Define the dimensions of the output
   n_H = int(1 + (n_H_prev - f) / stride)
   n_W = int(1 + (n_W_prev - f) / stride)
   n_C = n_C_prev
   # Initialize output matrix A
   A = np.zeros((m, n_H, n_W, n_C))
   # for i in range(None):
                                                     # loop over the training
\rightarrow examples
       # for h in range(None):
                                                     # loop on the vertical axis_
\hookrightarrow of the output volume
           # Find the vertical start and end of the current "slice" (2 lines)
           # vert start = None
           # vert_end = None
                                     # loop on the horizontal
           # for w in range(None):
\rightarrow axis of the output volume
               # Find the vertical start and end of the current "slice" (24
\rightarrow lines)
               # horiz_start = None
               # horiz_end = None
               # for c in range (None): # loop over the channels of \Box
\hookrightarrow the output volume
                    # Use the corners to define the current slice on the ithu
\rightarrow training example of A_prev, channel c. (1 line)
                    # a_prev_slice = None
                    # Compute the pooling operation on the slice.
                    # Use an if statement to differentiate the modes.
                    # Use np.max and np.mean.
                    # if mode == "max":
                        \# A[i, h, w, c] = None
                    # elif mode == "average":
                        \# A[i, h, w, c] = None
   # YOUR CODE STARTS HERE
```

```
# Retrieve dimensions from the input shape
   (m, n_H_prev, n_W_prev, n_C_prev) = A_prev.shape
   # Retrieve hyperparameters from "hparameters"
  f = hparameters["f"]
  stride = hparameters["stride"]
  # Define the dimensions of the output
  n_H = int(1 + (n_H_prev - f) / stride)
  n_W = int(1 + (n_W_prev - f) / stride)
  n_C = n_C_prev # number of channels remains the same
  # Initialize output matrix A
  A = np.zeros((m, n_H, n_W, n_C))
   # Loop over the training examples
  for i in range(m):
       # Loop on the vertical axis of the output volume
      for h in range(n_H):
           vert_start = h * stride
           vert_end = vert_start + f
           # Loop on the horizontal axis of the output volume
           for w in range(n_W):
               horiz start = w * stride
               horiz_end = horiz_start + f
               # Loop over the channels of the output volume
               for c in range(n_C):
                   # Define the current slice from A prev
                   a_prev_slice = A_prev[i, vert_start:vert_end, horiz_start:
→horiz_end, c]
                   # Compute the pooling operation on the slice
                   if mode == "max":
                       A[i, h, w, c] = np.max(a_prev_slice)
                   elif mode == "average":
                       A[i, h, w, c] = np.mean(a_prev_slice)
   # YOUR CODE ENDS HERE
   # Store the input and hparameters in "cache" for pool_backward()
   cache = (A_prev, hparameters)
   # Making sure your output shape is correct
   \#assert(A.shape == (m, n_H, n_W, n_C))
```

```
return A, cache
```

```
[31]: # Case 1: stride of 1
      print("CASE 1:\n")
      np.random.seed(1)
      A_prev_case_1 = np.random.randn(2, 5, 5, 3)
      hparameters_case_1 = {"stride" : 1, "f": 3}
      A, cache = pool_forward(A_prev_case_1, hparameters_case_1, mode = "max")
      print("mode = max")
      print("A.shape = " + str(A.shape))
      print("A[1, 1] = \n", A[1, 1])
      A, cache = pool_forward(A_prev_case_1, hparameters_case_1, mode = "average")
      print("mode = average")
      print("A.shape = " + str(A.shape))
      print("A[1, 1] = \n", A[1, 1])
      pool_forward_test_1(pool_forward)
      # Case 2: stride of 2
      print("\n\033[0mCASE 2:\n")
      np.random.seed(1)
      A_prev_case_2 = np.random.randn(2, 5, 5, 3)
      hparameters_case_2 = {"stride" : 2, "f": 3}
      A, cache = pool_forward(A_prev_case_2, hparameters_case_2, mode = "max")
      print("mode = max")
      print("A.shape = " + str(A.shape))
      print("A[0] =\n", A[0])
      print()
      A, cache = pool_forward(A_prev_case_2, hparameters_case_2, mode = "average")
      print("mode = average")
      print("A.shape = " + str(A.shape))
      print("A[1] =\n", A[1])
      pool_forward_test_2(pool_forward)
     CASE 1:
     mode = max
     A.shape = (2, 3, 3, 3)
     A[1, 1] =
      [[1.96710175 0.84616065 1.27375593]
      [1.96710175 0.84616065 1.23616403]
      [1.62765075 1.12141771 1.2245077 ]]
```

```
mode = average
A.shape = (2, 3, 3, 3)
A[1, 1] =
[[ 0.44497696 -0.00261695 -0.31040307]
 [ 0.50811474 -0.23493734 -0.23961183]
 [ 0.11872677  0.17255229  -0.22112197]]
All tests passed!
CASE 2:
mode = max
A.shape = (2, 2, 2, 3)
A[0] =
 [[[1.74481176 0.90159072 1.65980218]
  [1.74481176 1.6924546 1.65980218]]
 [[1.13162939 1.51981682 2.18557541]
  [1.13162939 1.6924546 2.18557541]]]
mode = average
A.shape = (2, 2, 2, 3)
A[1] =
 [[[-0.17313416 0.32377198 -0.34317572]
  [ 0.02030094  0.14141479 -0.01231585]]
 [ 0.15077452  0.28911175  0.00123239]]]
All tests passed!
Expected output
CASE 1:
mode = max
A.shape = (2, 3, 3, 3)
A[1, 1] =
 [[1.96710175 0.84616065 1.27375593]
 [1.96710175 0.84616065 1.23616403]
 [1.62765075 1.12141771 1.2245077 ]]
mode = average
A.shape = (2, 3, 3, 3)
A[1, 1] =
 [[ 0.44497696 -0.00261695 -0.31040307]
 [ 0.50811474 -0.23493734 -0.23961183]
 [ 0.11872677  0.17255229  -0.22112197]]
All tests passed!
CASE 2:
```

```
mode = max
A.shape = (2, 2, 2, 3)
A[0] =
   [[[1.74481176  0.90159072  1.65980218]
   [1.74481176  1.6924546   1.65980218]]

[[1.13162939  1.51981682  2.18557541]
   [1.13162939  1.6924546   2.18557541]]]

mode = average
A.shape = (2, 2, 2, 3)
A[1] =
   [[[-0.17313416   0.32377198  -0.34317572]
   [  0.02030094   0.14141479  -0.01231585]]

[[  0.42944926   0.08446996  -0.27290905]
   [  0.15077452   0.28911175   0.00123239]]]
All tests passed!
```

#### What you should remember:

- A convolution extracts features from an input image by taking the dot product between the input data and a 3D array of weights (the filter).
- The 2D output of the convolution is called the feature map
- A convolution layer is where the filter slides over the image and computes the dot product
   This transforms the input volume into an output volume of different size
- Zero padding helps keep more information at the image borders, and is helpful for building deeper networks, because you can build a CONV layer without shrinking the height and width of the volumes
- Pooling layers gradually reduce the height and width of the input by sliding a 2D window over each specified region, then summarizing the features in that region

Congratulations! You have now implemented the forward passes of all the layers of a convolutional network. Great work!

The remainder of this notebook is optional, and will not be graded. If you carry on, just remember to hit the Submit button to submit your work for grading first.

```
## 5 - Backpropagation in Convolutional Neural Networks (OPTIONAL / UNGRADED)
```

In modern deep learning frameworks, you only have to implement the forward pass, and the framework takes care of the backward pass, so most deep learning engineers don't need to bother with the details of the backward pass. The backward pass for convolutional networks is complicated. If you wish, you can work through this optional portion of the notebook to get a sense of what backprop in a convolutional network looks like.

When in an earlier course you implemented a simple (fully connected) neural network, you used backpropagation to compute the derivatives with respect to the cost to update the parameters. Similarly, in convolutional neural networks you can calculate the derivatives with respect to the cost in order to update the parameters. The backprop equations are not trivial and were not derived in lecture, but are briefly presented below.

### 5.1 - Convolutional Layer Backward Pass

Let's start by implementing the backward pass for a CONV layer.

#### 5.1.1 - Computing dA: This is the formula for computing dA with respect to the cost for a certain filter  $W_c$  and a given training example:

$$dA += \sum_{h=0}^{n_H} \sum_{w=0}^{n_W} W_c \times dZ_{hw}$$
 (1)

Where  $W_c$  is a filter and  $dZ_{hw}$  is a scalar corresponding to the gradient of the cost with respect to the output of the conv layer Z at the hth row and wth column (corresponding to the dot product taken at the ith stride left and jth stride down). Note that at each time, you multiply the same filter  $W_c$  by a different dZ when updating dA. We do so mainly because when computing the forward propagation, each filter is dotted and summed by a different a\_slice. Therefore when computing the backprop for dA, you are just adding the gradients of all the a\_slices.

In code, inside the appropriate for-loops, this formula translates into:

#### 5.1.2 - Computing dW: This is the formula for computing  $dW_c$  ( $dW_c$  is the derivative of one filter) with respect to the loss:

$$dW_c += \sum_{h=0}^{n_H} \sum_{w=0}^{n_W} a_{slice} \times dZ_{hw}$$
 (2)

Where  $a_{slice}$  corresponds to the slice which was used to generate the activation  $Z_{ij}$ . Hence, this ends up giving us the gradient for W with respect to that slice. Since it is the same W, we will just add up all such gradients to get dW.

In code, inside the appropriate for-loops, this formula translates into:

$$dW[:,:,:,c] += a\_slice * dZ[i, h, w, c]$$

#### 5.1.3 - Computing db:

This is the formula for computing db with respect to the cost for a certain filter  $W_c$ :

$$db = \sum_{h} \sum_{w} dZ_{hw} \tag{3}$$

As you have previously seen in basic neural networks, db is computed by summing dZ. In this case, you are just summing over all the gradients of the conv output (Z) with respect to the cost.

In code, inside the appropriate for-loops, this formula translates into:

$$db[:,:,:,c] += dZ[i, h, w, c]$$

### Exercise 5 - conv\_backward

Implement the conv\_backward function below. You should sum over all the training examples, filters, heights, and widths. You should then compute the derivatives using formulas 1, 2 and 3 above.

```
[32]: def conv_backward(dZ, cache):
           11 11 11
          Implement the backward propagation for a convolution function
          Arguments:
          dZ -- gradient of the cost with respect to the output of the conv layer \Box
       \hookrightarrow (Z), numpy array of shape (m, n_H, n_W, n_C)
          cache -- cache of values needed for the conv_backward(), output of □
       \hookrightarrow conv_forward()
          Returns:
          dA_prev -- gradient of the cost with respect to the input of the conv layer_
       \hookrightarrow (A\_prev),
                      numpy array of shape (m, n_H_prev, n_W_prev, n_C_prev)
          dW -- gradient of the cost with respect to the weights of the conv layer (W)
                 numpy array of shape (f, f, n_C_prev, n_C)
          db -- gradient of the cost with respect to the biases of the conv layer (b)
                 numpy array of shape (1, 1, 1, n_C)
           11 11 11
          # Retrieve information from "cache"
          \# (A\_prev, W, b, hparameters) = None
          # Retrieve dimensions from A_prev's shape
          \# (m, n_H_prev, n_W_prev, n_C_prev) = None
          # Retrieve dimensions from W's shape
          \# (f, f, n_C_prev, n_C) = None
          # Retrieve information from "hparameters"
          # stride = None
          # pad = None
          # Retrieve dimensions from dZ's shape
          \# (m, n_H, n_W, n_C) = None
          # Initialize dA_prev, dW, db with the correct shapes
          # dA prev = None
          # dW = None
          # db = None
          # Pad A_prev and dA_prev
          # A_prev_pad = zero_pad(A_prev, pad)
          # dA_prev_pad = zero_pad(dA_prev, pad)
```

```
#for i in range(m):
                                             # loop over the training examples
       \# select ith training example from A\_prev\_pad and dA\_prev\_pad
       # a_prev_pad = None
       # da_prev_pad = None
       #for h in range(n_H):
                                              # loop over vertical axis of
→ the output volume
           for w in range(n_W):
                                              # loop over horizontal axis of
\rightarrow the output volume
               for c in range(n_{-}C):
                                              # loop over the channels of the
→output volume
                   # Find the corners of the current "slice"
                   # vert start = None
                   # vert end = None
                   # horiz_start = None
                   # horiz_end = None
                   # Use the corners to define the slice from a_prev_pad
                   # a slice = None
                   # Update gradients for the window and the filter's
→parameters using the code formulas given above
                   # da_prev_pad[vert_start:vert_end, horiz_start:horiz_end, :
\rightarrow] += None
                   # dW[:,:,:,c] += None
                   # db[:,:,c] += None
       # Set the ith training example's dA_prev to the unpadded da_prev_pad_
\hookrightarrow (Hint: use X[pad:-pad, pad:-pad, :])
       \# dA_prev[i, :, :] = None
   # YOUR CODE STARTS HERE
      # Retrieve information from "cache"
   (A_prev, W, b, hparameters) = cache
   # Retrieve dimensions from A prev's shape
   (m, n_H_prev, n_W_prev, n_C_prev) = A_prev.shape
   # Retrieve dimensions from W's shape
   (f, f, n_C_prev, n_C) = W.shape
   # Retrieve information from "hparameters"
   stride = hparameters["stride"]
   pad = hparameters["pad"]
   # Retrieve dimensions from dZ's shape
```

```
(m, n_H, n_W, n_C) = dZ.shape
   # Initialize dA_prev, dW, db with the correct shapes
   dA_prev = np.zeros((m, n_H_prev, n_W_prev, n_C_prev))
   dW = np.zeros((f, f, n_C_prev, n_C))
   db = np.zeros((1, 1, 1, n_C))
   # Pad A_prev and dA_prev
   A_prev_pad = zero_pad(A_prev, pad)
   dA_prev_pad = np.zeros_like(A_prev_pad)
   # For each training example
   for i in range(m):
       # Select ith training example from A_prev_pad and dA_prev_pad
       a_prev_pad = A_prev_pad[i]
       da_prev_pad = dA_prev_pad[i]
       # For each vertical axis of the output volume
       for h in range(n_H):
           for w in range(n_W):
               for c in range(n_C): # Loop over the channels of the_
→output volume
                   # Find the corners of the current "slice"
                   vert_start = h * stride
                   vert_end = vert_start + f
                   horiz_start = w * stride
                   horiz_end = horiz_start + f
                   # Use the corners to define the slice from a_prev_pad
                   a_slice = a_prev_pad[vert_start:vert_end, horiz_start:
→horiz_end, :]
                   # Update gradients for the window and the filter's
\rightarrow parameters
                   da_prev_pad[vert_start:vert_end, horiz_start:horiz_end, :]__
\rightarrow+= W[:,:,c] * dZ[i, h, w, c]
                   dW[:,:,:,c] += a\_slice * dZ[i, h, w, c]
                   db[:,:,:,c] += dZ[i, h, w, c]
       # Set the ith training example's dA_prev to the unpadded da_prev_pad
       dA_prev[i] = da_prev_pad[pad:-pad, pad:-pad, :]
   # Ensure the output shape is correct
   assert dA_prev.shape == (m, n_H_prev, n_W_prev, n_C_prev), f"Shape mismatch:
→ {dA_prev.shape} != {(m, n_H_prev, n_W_prev, n_C_prev)}"
```

```
# YOUR CODE ENDS HERE

# Making sure your output shape is correct
assert(dA_prev.shape == (m, n_H_prev, n_W_prev, n_C_prev))
return dA_prev, dW, db
```

```
[33]: | # We'll run conv_forward to initialize the 'Z' and 'cache conv",
      # which we'll use to test the conv_backward function
      np.random.seed(1)
      A_prev = np.random.randn(10, 4, 4, 3)
      W = np.random.randn(2, 2, 3, 8)
      b = np.random.randn(1, 1, 1, 8)
      hparameters = {"pad" : 2,
                     "stride": 2}
      Z, cache_conv = conv_forward(A_prev, W, b, hparameters)
      # Test conv_backward
      dA, dW, db = conv_backward(Z, cache_conv)
      print("dA_mean =", np.mean(dA))
      print("dW_mean =", np.mean(dW))
      print("db_mean =", np.mean(db))
      assert type(dA) == np.ndarray, "Output must be a np.ndarray"
      assert type(dW) == np.ndarray, "Output must be a np.ndarray"
      assert type(db) == np.ndarray, "Output must be a np.ndarray"
      assert dA.shape == (10, 4, 4, 3), f"Wrong shape for dA {dA.shape} != (10, 4, 4, 1)
       \hookrightarrow4, 3)"
      assert dW.shape == (2, 2, 3, 8), f"Wrong shape for dW {dW.shape} != (2, 2, 3, 4)
      assert db.shape == (1, 1, 1, 8), f"Wrong shape for db {db.shape} != (1, 1, 1, 1, 1)
      با(8⇔
      assert np.isclose(np.mean(dA), 1.4524377), "Wrong values for dA"
      assert np.isclose(np.mean(dW), 1.7269914), "Wrong values for dW"
      assert np.isclose(np.mean(db), 7.8392325), "Wrong values for db"
      print("\033[92m All tests passed.")
```

```
dA_mean = 1.4524377775388075
dW_mean = 1.7269914583139097
db_mean = 7.839232564616838
All tests passed.
```

#### **Expected Output:**

dA mean

1.45243777754

dW mean

1.72699145831

db mean

7.83923256462

## 5.2 Pooling Layer - Backward Pass

Next, let's implement the backward pass for the pooling layer, starting with the MAX-POOL layer. Even though a pooling layer has no parameters for backprop to update, you still need to backpropagate the gradient through the pooling layer in order to compute gradients for layers that came before the pooling layer.

### 5.2.1 Max Pooling - Backward Pass

Before jumping into the backpropagation of the pooling layer, you are going to build a helper function called create\_mask\_from\_window() which does the following:

$$X = \begin{bmatrix} 1 & 3 \\ 4 & 2 \end{bmatrix} \quad \to \quad M = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} \tag{4}$$

As you can see, this function creates a "mask" matrix which keeps track of where the maximum of the matrix is. True (1) indicates the position of the maximum in X, the other entries are False (0). You'll see later that the backward pass for average pooling is similar to this, but uses a different mask.

### Exercise 6 - create mask from window

Implement  $create_mask_from_window()$ . This function will be helpful for pooling backward. Hints: - np.max() may be helpful. It computes the maximum of an array. - If you have a matrix X and a scalar x: A = (X == x) will return a matrix A of the same size as X such that:

```
A[i,j] = True if X[i,j] = x

A[i,j] = False if X[i,j] != x
```

• Here, you don't need to consider cases where there are several maxima in a matrix.

```
[19]: def create_mask_from_window(x):
    """

    Creates a mask from an input matrix x, to identify the max entry of x.

Arguments:
    x -- Array of shape (f, f)

Returns:
    mask -- Array of the same shape as window, contains a True at the position → corresponding to the max entry of x.
    """

# (1 line)
```

```
# mask = None
          # YOUR CODE STARTS HERE
          # Find the index of the maximum value in the input matrix
          max_index = np.unravel_index(np.argmax(x), x.shape)
          # Create a mask of the same shape as x, and set all entries to False
          mask = np.zeros_like(x, dtype=bool)
          # Set the position of the max value to True
          mask[max index] = True
          # YOUR CODE ENDS HERE
          return mask
[20]: np.random.seed(1)
      x = np.random.randn(2, 3)
      mask = create mask from window(x)
      print('x = ', x)
      print("mask = ", mask)
      x = np.array([[-1, 2, 3],
                     [2, -3, 2],
                     [1, 5, -2]])
      y = np.array([[False, False, False],
           [False, False, False],
           [False, True, False]])
      mask = create_mask_from_window(x)
      assert type(mask) == np.ndarray, "Output must be a np.ndarray"
      assert mask.shape == x.shape, "Input and output shapes must match"
      assert np.allclose(mask, y), "Wrong output. The True value must be at position_
      \rightarrow (2, 1)"
      print("\033[92m All tests passed.")
     x = [[1.62434536 -0.61175641 -0.52817175]]
      [-1.07296862 0.86540763 -2.3015387 ]]
     mask = [[ True False False]
      [False False False]]
      All tests passed.
     Expected Output:
     x =
     [[1.62434536 - 0.61175641 - 0.52817175] [-1.07296862 0.86540763 - 2.3015387]]
```

mask =

```
[[ True False False] [False False False]]
```

Why keep track of the position of the max? It's because this is the input value that ultimately influenced the output, and therefore the cost. Backprop is computing gradients with respect to the cost, so anything that influences the ultimate cost should have a non-zero gradient. So, backprop will "propagate" the gradient back to this particular input value that had influenced the cost.

```
\#\#\# 5.2.2 - Average Pooling - Backward Pass
```

In max pooling, for each input window, all the "influence" on the output came from a single input value—the max. In average pooling, every element of the input window has equal influence on the output. So to implement backprop, you will now implement a helper function that reflects this.

For example if we did average pooling in the forward pass using a 2x2 filter, then the mask you'll use for the backward pass will look like:

$$dZ = 1 \quad \rightarrow \quad dZ = \begin{bmatrix} 1/4 & 1/4 \\ 1/4 & 1/4 \end{bmatrix} \tag{5}$$

This implies that each position in the dZ matrix contributes equally to output because in the forward pass, we took an average.

```
### Exercise 7 - distribute value
```

Implement the function below to equally distribute a value dz through a matrix of dimension shape.

#### Hint

```
[17]: def distribute value(dz, shape):
          Distributes the input value in the matrix of dimension shape
          Arguments:
          dz -- input scalar
          shape -- the shape (n_H, n_W) of the output matrix for which we want to_\sqcup
       \rightarrow distribute the value of dz
          Returns:
          a -- Array of size (n_H, n_W) for which we distributed the value of dz
          # Retrieve dimensions from shape (1 line)
          \# (n H, n W) = None
          # Compute the value to distribute on the matrix (1 line)
          # average = None
          # Create a matrix where every entry is the "average" value (1 line)
          \# a = None
          # YOUR CODE STARTS HERE
          # Retrieve dimensions from shape
          n_H, n_W = shape
```

```
# Compute the value to distribute on the matrix
average = dz / (n_H * n_W)

# Create a matrix where every entry is the "average" value
a = np.full((n_H, n_W), average)
# YOUR CODE ENDS HERE
return a
```

```
[18]: a = distribute_value(2, (2, 2))
print('distributed value =', a)

assert type(a) == np.ndarray, "Output must be a np.ndarray"
assert a.shape == (2, 2), f"Wrong shape {a.shape} != (2, 2)"
assert np.sum(a) == 2, "Values must sum to 2"

a = distribute_value(100, (10, 10))
assert type(a) == np.ndarray, "Output must be a np.ndarray"
assert a.shape == (10, 10), f"Wrong shape {a.shape} != (10, 10)"
assert np.sum(a) == 100, "Values must sum to 100"

print("\033[92m All tests passed.")
```

```
distributed value = [[0.5 0.5]
  [0.5 0.5]]
All tests passed.
```

#### **Expected Output:**

```
\begin{aligned} & \text{distributed\_value} = \\ & [[~0.5~0.5] < & \text{br} > [~0.5~0.5]] \end{aligned}
```

### 5.2.3 Putting it Together: Pooling Backward

You now have everything you need to compute backward propagation on a pooling layer.

```
### Exercise 8 - pool backward
```

Implement the pool\_backward function in both modes ("max" and "average"). You will once again use 4 for-loops (iterating over training examples, height, width, and channels). You should use an if/elif statement to see if the mode is equal to 'max' or 'average'. If it is equal to 'average' you should use the distribute\_value() function you implemented above to create a matrix of the same shape as a\_slice. Otherwise, the mode is equal to 'max', and you will create a mask with create\_mask\_from\_window() and multiply it by the corresponding value of dA.

```
Arguments:
   dA -- gradient of cost with respect to the output of the pooling layer,
\hookrightarrow same shape as A
   cache -- cache output from the forward pass of the pooling layer, contains \sqcup
→ the layer's input and hparameters
   mode -- the pooling mode you would like to use, defined as a string ("max"_{\sqcup}
\hookrightarrow or "average")
   Returns:
   dA_prev -- gradient of cost with respect to the input of the pooling layer, ⊔
\hookrightarrow same shape as A_prev
   # Retrieve information from cache (1 line)
   # (A_prev, hparameters) = None
   # Retrieve hyperparameters from "hparameters" (2 lines)
   # stride = None
   # f = None
   \# Retrieve dimensions from A_prev's shape and dA's shape (2 lines)
   # m, n_H_prev, n_W_prev, n_C_prev = None
   # m, n_H, n_W, n_C = None
   # Initialize dA_prev with zeros (1 line)
   \# dA\_prev = None
   # for i in range(None): # loop over the training examples
       # select training example from A_prev (1 line)
       # a_prev = None
       # for h in range(n_H):
                                                # loop on the vertical axis
           # for w in range(n_W):
                                                 # loop on the horizontal axis
                # for c in range(n_C): # loop over the channels_
\hookrightarrow (depth)
                    # Find the corners of the current "slice" (4 lines)
                    # vert_start = None
                    # vert end = None
                    # horiz_start = None
                    # horiz_end = None
                    # Compute the backward propagation in both modes.
                    # if mode == "max":
                        # Use the corners and "c" to define the current slice
\rightarrow from a_prev (1 line)
```

```
# a_prev_slice = None
                        # Create the mask from a_prev_slice (1 line)
                        # mask = None
                        # Set dA_prev to be dA_prev + (the mask multiplied by
\rightarrow the correct entry of dA) (1 line)
                        # dA_prev[i, vert_start: vert_end, horiz_start:__
\rightarrowhoriz_end, c] += None
                   # elif mode == "average":
                        # Get the value da from dA (1 line)
                        # da = None
                        # Define the shape of the filter as fxf (1 line)
                        # shape = None
                        # Distribute it to get the correct slice of dA prev. i.
\rightarrowe. Add the distributed value of da. (1 line)
                        # dA_prev[i, vert_start: vert_end, horiz_start:__
\rightarrowhoriz_end, c] += None
   # YOUR CODE STARTS HERE
   # Retrieve information from cache
   A_prev, hparameters = cache
   # Retrieve hyperparameters from "hparameters"
   stride = hparameters["stride"]
   f = hparameters["f"]
   # Retrieve dimensions from A_prev's shape and dA's shape
   m, n_H_prev, n_W_prev, n_C_prev = A_prev.shape
   m, n_H, n_W, n_C = dA.shape
   # Initialize dA_prev with zeros
   dA_prev = np.zeros_like(A_prev)
   # Loop over the training examples
   for i in range(m): # loop over the training examples
       a_prev = A_prev[i] # select training example from A_prev
       # Loop over the vertical axis (height)
       for h in range(n_H):
           # Loop over the horizontal axis (width)
           for w in range(n_W):
               # Loop over the channels (depth)
```

```
for c in range(n_C):
                     # Find the corners of the current "slice"
                     vert_start = h * stride
                     vert_end = vert_start + f
                     horiz_start = w * stride
                     horiz_end = horiz_start + f
                     # Compute the backward propagation in both modes.
                     if mode == "max":
                         # Use the corners and "c" to define the current slice.
\hookrightarrow from a_prev
                         a_prev_slice = a_prev[vert_start:vert_end, horiz_start:
 →horiz_end, c]
                         # Create the mask from a_prev_slice
                         mask = (a_prev_slice == np.max(a_prev_slice))
                         # Set dA_prev to be dA_prev + (the mask multiplied by
\hookrightarrow the correct entry of dA)
                         dA_prev[i, vert_start:vert_end, horiz_start:horiz_end,__
\rightarrowc] += mask * dA[i, h, w, c]
                     elif mode == "average":
                         # Get the value da from dA
                         da = dA[i, h, w, c]
                         # Define the shape of the filter as fxf
                         shape = (f, f)
                         # Distribute it to get the correct slice of dA_prev. i.
\rightarrowe. Add the distributed value of da
                         dA_prev[i, vert_start:vert_end, horiz_start:horiz_end,_
\rightarrowc] += da / (f * f)
    # YOUR CODE ENDS HERE
    # Making sure your output shape is correct
    assert(dA_prev.shape == A_prev.shape)
    return dA_prev
import numpy as np
def pool_forward(A_prev, hparameters, mode="max"):
    HHHH
```

```
Implements the forward pass of the pooling layer.
   Arguments:
   A_prev -- input data of shape (m, n_H_prev, n_W_prev, n_C_prev)
   hparameters -- hyperparameters containing stride and filter size
   mode -- the pooling mode you would like to use, defined as a string ("max" \sqcup
→or "average")
   Returns:
   A -- output of the pooling layer, shape (m, n_H, n_W, n_C)
   cache -- cache of the forward pass, used for backward pass
   # Retrieve hyperparameters from "hparameters"
   stride = hparameters["stride"]
   f = hparameters["f"]
   # Retrieve dimensions from A_prev's shape
   m, n_H_prev, n_W_prev, n_C_prev = A_prev.shape
   # Compute the dimensions of the output (A)
   n_H = (n_H_prev - f) // stride + 1
   n_W = (n_W_prev - f) // stride + 1
   # Initialize the output A
   A = np.zeros((m, n_H, n_W, n_C_prev))
   # Loop over the training examples
   for i in range(m):
       a_prev = A_prev[i]
       # Loop over the vertical axis (height)
       for h in range(n_H):
           # Loop over the horizontal axis (width)
           for w in range(n_W):
               # Loop over the channels (depth)
               for c in range(n_C_prev):
                   # Find the corners of the current "slice"
                   vert_start = h * stride
                   vert_end = vert_start + f
                   horiz_start = w * stride
                   horiz_end = horiz_start + f
                   # Get the current slice from A prev
                   a_slice = a_prev[vert_start:vert_end, horiz_start:
→horiz_end, c]
```

```
[16]: np.random.seed(1)
      A_prev = np.random.randn(5, 5, 3, 2)
      hparameters = {"stride" : 1, "f": 2}
      A, cache = pool_forward(A_prev, hparameters)
      print(A.shape)
      print(cache[0].shape)
      dA = np.random.randn(5, 4, 2, 2)
      dA_prev1 = pool_backward(dA, cache, mode = "max")
      print("mode = max")
      print('mean of dA = ', np.mean(dA))
      print('dA_prev1[1,1] = ', dA_prev1[1, 1])
      dA_prev2 = pool_backward(dA, cache, mode = "average")
      print("mode = average")
      print('mean of dA = ', np.mean(dA))
      print('dA_prev2[1,1] = ', dA_prev2[1, 1])
      assert type(dA_prev1) == np.ndarray, "Wrong type"
      assert dA_prev1.shape == (5, 5, 3, 2), f"Wrong shape {dA_prev1.shape} != (5, 5, 0)
      →3, 2)"
      assert np.allclose(dA_prev1[1, 1], [[0, 0],
                                           [5.05844394, -1.68282702],
                                           [ 0, 0]]), "Wrong values for mode max"
      assert np.allclose(dA_prev2[1, 1], [[0.08485462, 0.2787552],
                                           [1.26461098, -0.25749373],
                                          [1.17975636, -0.53624893]]), "Wrong values"
      →for mode average"
      print("\033[92m All tests passed.")
```

```
[ 0.
                0.
                           ]]
mode = average
mean of dA = 0.14571390272918056
dA_prev2[1,1] = [[ 0.08485462  0.2787552 ]
 [ 1.26461098 -0.25749373]
 [ 1.17975636 -0.53624893]]
 All tests passed.
Expected Output:
mode = max:
mean of dA =
0.145713902729
dA_prev[1,1] =
[[0. \ 0.\ ]\ [5.05844394 \ -1.68282702]\ [0. \ 0.\ ]]
mode = average
mean of dA =
0.145713902729
dA_prev[1,1] =
[[0.08485462\ 0.2787552\ ]\ [1.26461098\ -0.25749373]\ [1.17975636\ -0.53624893]]
```

Congratulations! You've completed the assignment and its optional portion. You now understand how convolutional neural networks work, and have implemented all the building blocks of a neural network. In the next assignment you will implement a ConvNet using TensorFlow. Nicely done! See you there.