## nn4

May 25, 2023

# 1 Neural Networks

### 1.1 Introduction

popular

#### 1.1.1 Setup

neurons w/ weights w (+ biases b) and nonlinearity/activation  $\phi$   $\phi(\sum_i x_i w_i + b_i)$ 

In layers w/ weights  $W \in \mathbb{R}^{n_l \times n_{l+1}}$  and biases  $b_l \in \mathbb{R}^{n_k}$  w/  $n_l$  neurons in layer l:

$$\phi(W_l x_l + b_l)$$

(abuse of notation w/  $\phi$ )

(if input points are  $x \in \mathbb{R}^d$ , then  $n_l = d$ )

Do this for all layers to get some output values in your final layer (forward pass)

set initial weights  $W_l$  randomly

Tons of different shapes/types of NNs

split data into train and test (80/20ish is good)

## 1.1.2 Backpropagation

Loss L(y) is a function of the output y and the target t, e.g.:

$$L(y) = (t - j)^2$$

Calculate derivative wrt each weight  $D_n = \frac{\partial L(y)}{\partial w_n}$  and use gradient descent to update weights:

$$w_n \leftarrow w_n - \eta D_n$$

for learning rate  $\eta > 0$ 

[]: from sklearn.datasets import load\_iris
X, y = load\_iris(as\_frame = True, return\_X\_y=True)

```
[]: from sklearn.model_selection import train_test_split
     X_train, X_test, y_train, y_test = train_test_split(X, y, test_size=0.2,__
      →random_state=42)
[]: import tensorflow as tf
     train = tf.data.Dataset.from_tensor_slices((X_train, y_train))
     test = tf.data.Dataset.from tensor slices((X test, y test))
    2023-05-25 10:32:46.830399: I tensorflow/core/util/port.cc:110] oneDNN custom
    operations are on. You may see slightly different numerical results due to
    floating-point round-off errors from different computation orders. To turn them
    off, set the environment variable `TF_ENABLE_ONEDNN_OPTS=0`.
    2023-05-25 10:32:46.831816: I tensorflow/tsl/cuda/cudart_stub.cc:28] Could not
    find cuda drivers on your machine, GPU will not be used.
    2023-05-25 10:32:46.856799: I tensorflow/tsl/cuda/cudart_stub.cc:28] Could not
    find cuda drivers on your machine, GPU will not be used.
    2023-05-25 10:32:46.857396: I tensorflow/core/platform/cpu feature guard.cc:182]
    This TensorFlow binary is optimized to use available CPU instructions in
    performance-critical operations.
    To enable the following instructions: AVX2 AVX_VNNI FMA, in other operations,
    rebuild TensorFlow with the appropriate compiler flags.
    2023-05-25 10:32:47.343245: W
    tensorflow/compiler/tf2tensorrt/utils/py_utils.cc:38] TF-TRT Warning: Could not
    find TensorRT
    2023-05-25 10:32:48.761339: I
    tensorflow/compiler/xla/stream_executor/cuda/cuda_gpu_executor.cc:996]
    successful NUMA node read from SysFS had negative value (-1), but there must be
    at least one NUMA node, so returning NUMA node zero. See more at
    https://github.com/torvalds/linux/blob/v6.0/Documentation/ABI/testing/sysfs-bus-
    pci#L344-L355
    2023-05-25 10:32:48.761768: W
    tensorflow/core/common_runtime/gpu/gpu_device.cc:1956] Cannot dlopen some GPU
    libraries. Please make sure the missing libraries mentioned above are installed
    properly if you would like to use GPU. Follow the guide at
    https://www.tensorflow.org/install/gpu for how to download and setup the
    required libraries for your platform.
    Skipping registering GPU devices...
[]: train = train.repeat(20).shuffle(1000).batch(32)
     test = test.batch(1)
[]: model = tf.keras.Sequential([
        tf.keras.layers.Dense(10, activation=tf.nn.relu), # hidden layer
         # tf.keras.layers.Dense(10, activation=tf.nn.relu), # hidden layer
        tf.keras.layers.Dropout(0.2),
        tf.keras.layers.Dense(3, activation=tf.nn.softmax) # output layer
     ])
```

```
model.compile(
   loss="sparse_categorical_crossentropy",
   metrics=["accuracy"],
model.fit(
   train.
   validation_data=test,
   epochs=10,
)
Epoch 1/10
2023-05-25 10:32:55.174651: I tensorflow/core/common_runtime/executor.cc:1197]
[/device:CPU:0] (DEBUG INFO) Executor start aborting (this does not indicate an
error and you can ignore this message): INVALID_ARGUMENT: You must feed a value
for placeholder tensor 'Placeholder/_1' with dtype int64 and shape [120]
       [[{{node Placeholder/_1}}]]
2023-05-25 10:32:55.174817: I tensorflow/core/common runtime/executor.cc:1197]
[/device:CPU:0] (DEBUG INFO) Executor start aborting (this does not indicate an
error and you can ignore this message): INVALID ARGUMENT: You must feed a value
for placeholder tensor 'Placeholder/_1' with dtype int64 and shape [120]
       [[{{node Placeholder/ 1}}]]
0.5008 - val_loss: 0.7285 - val_accuracy: 0.7000
accuracy: 0.5783 - val_loss: 0.6143 - val_accuracy: 0.7333
1/75 [...] - ETA: Os - loss: 0.7435 - accuracy:
0.5625
2023-05-25 10:32:55.503983: I tensorflow/core/common_runtime/executor.cc:1197]
[/device:CPU:0] (DEBUG INFO) Executor start aborting (this does not indicate an
error and you can ignore this message): INVALID_ARGUMENT: You must feed a value
for placeholder tensor 'Placeholder/_1' with dtype int64 and shape [30]
       [[{{node Placeholder/_1}}]]
accuracy: 0.6425 - val_loss: 0.5250 - val_accuracy: 0.8333
accuracy: 0.7437 - val_loss: 0.4611 - val_accuracy: 0.8000
0.7975 - val_loss: 0.4182 - val_accuracy: 0.9000
Epoch 6/10
0.8271 - val_loss: 0.3814 - val_accuracy: 0.9333
```

```
Epoch 7/10
   0.8496 - val_loss: 0.3469 - val_accuracy: 0.9000
   accuracy: 0.8679 - val_loss: 0.3244 - val_accuracy: 0.9667
   accuracy: 0.8958 - val_loss: 0.3008 - val_accuracy: 1.0000
   Epoch 10/10
   accuracy: 0.8933 - val_loss: 0.2770 - val_accuracy: 1.0000
[]: <keras.callbacks.History at 0x7f93c3baab60>
[]: predict X = [
   [5.1, 3.3, 1.7, 0.5],
   [5.9, 3.0, 4.2, 1.5],
   [6.9, 3.1, 5.4, 2.1],
   predictions = model.predict(predict_X)
   print(predictions[0])
   1/1 [======] - Os 43ms/step
   [0.92734677 0.07142308 0.0012302 ]
   1/1 [======= ] - 0s 43ms/step
   [0.92734677 0.07142308 0.0012302 ]
[]: for pred_dict, expected in zip(predictions, ["setosa", "versicolor", []

¬"virginica"]):
      predicted_index = pred_dict.argmax()
      predicted = load_iris().target_names[predicted_index]
      probability = pred_dict.max()
      tick_cross = " " if predicted == expected else " "
      print(f"{tick_cross} Prediction is '{predicted}' ({100 * probability:.
    →1f}%), expected '{expected}'")
    Prediction is 'setosa' (92.7%), expected 'setosa'
    Prediction is 'versicolor' (70.1%), expected 'versicolor'
    Prediction is 'virginica' (62.7%), expected 'virginica'
```

# 1.2 Convolutional Neural Networks (CNNs)

#### 1.2.1 Image Kernel Convolutions

images are matrices of pixel values use kernel to convolve over image to get new image (using padding at edges maybe so output image is same size as input image—e.g. zero padding (add a border of zeros) or mirror padding (add a border of identical pixels to the edge pixels))

e.g. for kernel w and image w/ pixel coords f(x,y) we get pixel value g(x,y) where:

$$g(x,y) = w * f(x,y) = \sum_{dx=-a}^a \sum_{dy=-b}^b w(dx,dy) f(x-dx,y-dy)$$

e.g. for a 3x3 kernel:

$$w = \begin{bmatrix} 1 & 0 & -1 \\ 1 & 0 & -1 \\ 1 & 0 & -1 \end{bmatrix}$$

(^directional edge detection kernel (I think?))

#### 1.2.2 CNNs

Convolutional Layers We'll make an NN learn the convolution kernels for us! (i.e. learn the weights  $w_{x,y}(dx,dy)$ —i.e. the weights of the kernel depend on the pixels being convolved over.) And we can stack these layers to get more complex kernels.

**Pooling Layers** We can also use pooling layers to reduce the size of the image (e.g. max pooling). These just take a window of pixels and output the max value (or average value or something), meaning we can reduce the size of the image without losing too much information (downsampling).

Fully Connected Layers (FC/Dense Layers) Fully connected layers are just like the ones we've seen before (i.e. in non-convolution-land), but we flatten the image first (i.e. we take the image and turn it into a vector of pixel values).

```
[]: model = tf.keras.models.Sequential([
         tf.keras.layers.Conv2D(
             filters=16,
             kernel_size=5,
             padding="same",
             activation=tf.nn.relu
         ),
         tf.keras.layers.MaxPool2D((2, 2), (2, 2), padding="same"),
         tf.keras.layers.Conv2D(
             filters=32,
             kernel_size=5,
             padding="same",
             activation=tf.nn.relu
         ),
         tf.keras.layers.MaxPool2D((2, 2), (2, 2), padding="same"),
         tf.keras.layers.Conv2D(
             filters=64,
             kernel_size=5,
             padding="same",
             activation=tf.nn.relu
         ),
         tf.keras.layers.MaxPool2D((2, 2), (2, 2), padding="same"),
```

```
tf.keras.layers.Conv2D(
             filters=128,
             kernel_size=5,
             padding="same",
             activation=tf.nn.relu
         ),
         tf.keras.layers.MaxPool2D((2, 2), (2, 2), padding="same"),
         tf.keras.layers.Flatten(),
         tf.keras.layers.Dense(128, activation="relu"),
         tf.keras.layers.Dropout(0.4),
         tf.keras.layers.Dense(10, activation="softmax")
     ])
     model.compile(
         loss="sparse_categorical_crossentropy",
         metrics=["accuracy"],
     )
[]: import tensorflow_datasets as tfds
     ds_train, ds_test = tfds.load(
         "mnist",
         split=["train", "test"],
         as_supervised=True,
     )
    /home/dg22309/Documents/Compass First
    Year/Compass/SC2/.conda/lib/python3.10/site-packages/tqdm/auto.py:21:
    TqdmWarning: IProgress not found. Please update jupyter and ipywidgets. See
    https://ipywidgets.readthedocs.io/en/stable/user_install.html
      from .autonotebook import tqdm as notebook_tqdm
[]: ds_train.element_spec
[]: (TensorSpec(shape=(28, 28, 1), dtype=tf.uint8, name=None),
     TensorSpec(shape=(), dtype=tf.int64, name=None))
[]: def normalize_img(image, label):
         return tf.cast(image, tf.float32) / 255., label
     ds_train = ds_train.map(normalize_img)
     ds_train = ds_train.shuffle(1000)
     ds_train = ds_train.batch(128)
     ds_test = ds_test.map(normalize_img)
     ds_test = ds_test.batch(128)
```

```
[]: model.fit(
       ds_train,
       validation_data=ds_test,
       epochs=3,
    )
   Epoch 1/20
   2023-05-25 10:47:29.029591: I tensorflow/core/common runtime/executor.cc:1197]
   [/device:CPU:0] (DEBUG INFO) Executor start aborting (this does not indicate an
   error and you can ignore this message): INVALID ARGUMENT: You must feed a value
   for placeholder tensor 'Placeholder/_1' with dtype string and shape [1]
           [[{{node Placeholder/_1}}]]
   2023-05-25 10:47:29.029965: I tensorflow/core/common runtime/executor.cc:1197]
   [/device:CPU:0] (DEBUG INFO) Executor start aborting (this does not indicate an
   error and you can ignore this message): INVALID ARGUMENT: You must feed a value
   for placeholder tensor 'Placeholder/_0' with dtype string and shape [1]
           [[{{node Placeholder/_0}}]]
   0.9053
   2023-05-25 10:47:40.700244: I tensorflow/core/common_runtime/executor.cc:1197]
   [/device:CPU:0] (DEBUG INFO) Executor start aborting (this does not indicate an
   error and you can ignore this message): INVALID ARGUMENT: You must feed a value
   for placeholder tensor 'Placeholder/_0' with dtype string and shape [1]
           [[{{node Placeholder/_0}}]]
   2023-05-25 10:47:40.700526: I tensorflow/core/common runtime/executor.cc:1197]
   [/device:CPU:0] (DEBUG INFO) Executor start aborting (this does not indicate an
   error and you can ignore this message): INVALID_ARGUMENT: You must feed a value
   for placeholder tensor 'Placeholder/_2' with dtype string and shape [1]
           [[{{node Placeholder/_2}}]]
   accuracy: 0.9054 - val_loss: 0.0500 - val_accuracy: 0.9852
   Epoch 2/20
   accuracy: 0.9831 - val_loss: 0.0447 - val_accuracy: 0.9865
   accuracy: 0.9894 - val_loss: 0.0271 - val_accuracy: 0.9908
   469/469 [============= ] - 12s 25ms/step - loss: 0.0267 -
   accuracy: 0.9922 - val_loss: 0.0265 - val_accuracy: 0.9926
   accuracy: 0.9943 - val_loss: 0.0255 - val_accuracy: 0.9928
   Epoch 6/20
   469/469 [============= ] - 13s 27ms/step - loss: 0.0154 -
   accuracy: 0.9956 - val_loss: 0.0291 - val_accuracy: 0.9919
```

```
Epoch 7/20
   469/469 [============= ] - 13s 27ms/step - loss: 0.0127 -
   accuracy: 0.9963 - val_loss: 0.0275 - val_accuracy: 0.9937
   469/469 [============= ] - 13s 27ms/step - loss: 0.0100 -
   accuracy: 0.9973 - val_loss: 0.0363 - val_accuracy: 0.9923
   accuracy: 0.9976 - val_loss: 0.0298 - val_accuracy: 0.9916
   Epoch 10/20
   469/469 [============= ] - 13s 27ms/step - loss: 0.0073 -
   accuracy: 0.9978 - val_loss: 0.0559 - val_accuracy: 0.9884
   Epoch 11/20
   469/469 [============ ] - 13s 27ms/step - loss: 0.0070 -
   accuracy: 0.9980 - val_loss: 0.0327 - val_accuracy: 0.9927
   Epoch 12/20
   469/469 [============ ] - 13s 27ms/step - loss: 0.0059 -
   accuracy: 0.9982 - val_loss: 0.0431 - val_accuracy: 0.9910
   Epoch 13/20
   469/469 [=========== ] - 13s 27ms/step - loss: 0.0055 -
   accuracy: 0.9985 - val_loss: 0.0418 - val_accuracy: 0.9923
   Epoch 14/20
   469/469 [============ ] - 13s 28ms/step - loss: 0.0047 -
   accuracy: 0.9985 - val_loss: 0.0430 - val_accuracy: 0.9908
   Epoch 15/20
   accuracy: 0.9987 - val_loss: 0.0420 - val_accuracy: 0.9923
   Epoch 16/20
   accuracy: 0.9987 - val_loss: 0.0384 - val_accuracy: 0.9935
   Epoch 17/20
   469/469 [============== ] - 15s 31ms/step - loss: 0.0031 -
   accuracy: 0.9991 - val_loss: 0.0372 - val_accuracy: 0.9931
   Epoch 18/20
   469/469 [============= ] - 14s 29ms/step - loss: 0.0030 -
   accuracy: 0.9991 - val_loss: 0.0484 - val_accuracy: 0.9928
   Epoch 19/20
   469/469 [============= ] - 13s 27ms/step - loss: 0.0033 -
   accuracy: 0.9991 - val_loss: 0.0454 - val_accuracy: 0.9931
   Epoch 20/20
   469/469 [============ ] - 13s 27ms/step - loss: 0.0030 -
   accuracy: 0.9991 - val_loss: 0.0470 - val_accuracy: 0.9921
[]: <keras.callbacks.History at 0x7f934c448640>
[]: from urllib.request import urlretrieve
```

```
for i in list(range(1,10)) + ["dog"]:
        urlretrieve(f"https://github.com/milliams/intro_deep_learning/raw/master/
      []: import numpy as np
    from skimage.io import imread
    images = []
    for i in list(range(1,10)) + ["dog"]:
        images.append(np.array(imread(f"{i}.png")/255.0, dtype="float32"))
    images = np.array(images)[:,:,:,np.newaxis]
    images.shape
[]: (10, 28, 28, 1)
[]: probabilities = model.predict(images)
    1/1 [======] - Os 42ms/step
    1/1 [======] - Os 42ms/step
[]: truths = list(range(1, 10)) + ["dog"]
    table = []
    for truth, probs in zip(truths, probabilities):
        prediction = probs.argmax()
        if truth == 'dog':
            print(f"{truth}. CNN thinks it's a {prediction} ({probs[prediction]*100:
      →.1f}%)")
        else:
            print(f"{truth} at {probs[truth]*100:4.1f}%. CNN thinks it's a__

¬{prediction} ({probs[prediction]*100:4.1f}%)")
        table.append((truth, probs))
    1 at 45.1%. CNN thinks it's a 1 (45.1%)
    2 at 8.9%. CNN thinks it's a 3 (34.6%)
    3 at 26.7\%. CNN thinks it's a 3 (26.7\%)
    4 at 0.0%. CNN thinks it's a 5 (59.8%)
    5 at 100.0%. CNN thinks it's a 5 (100.0%)
    6 at 1.0%. CNN thinks it's a 5 (37.4%)
    7 at 24.0%. CNN thinks it's a 5 (58.7%)
    8 at 2.8%. CNN thinks it's a 3 (42.9%)
    9 at 22.5%. CNN thinks it's a 3 (29.3%)
    dog. CNN thinks it's a 3 (18.6%)
```

#### 1.2.3 Data Augmentation

add inverted images to training data to make the NN more robust to different images (could also do rotated images, &c.)

```
[]: ds_train, ds_test = tfds.load(
        "mnist",
        split=["train", "test"],
        as_supervised=True,
    )
    def invert_img(image, label):
        return 1.-image, label
    ds_train = ds_train.map(normalize_img)
    ds train = ds train.concatenate(ds train.map(invert img)) # new line
    ds train = ds train.shuffle(1000)
    ds_train = ds_train.batch(128)
    ds_test = ds_test.map(normalize_img)
    ds_test = ds_test.concatenate(ds_test.map(invert_img)) # new line
    ds_test = ds_test.batch(128)
    model.fit(
        ds train,
        validation_data=ds_test,
        epochs=3,
    Epoch 1/5
      3/938 [...] - ETA: 24s - loss: 0.0028 - accuracy:
    0.9974
    2023-05-25 10:56:51.891885: I tensorflow/core/common runtime/executor.cc:1197]
    [/device:CPU:0] (DEBUG INFO) Executor start aborting (this does not indicate an
    error and you can ignore this message): INVALID ARGUMENT: You must feed a value
    for placeholder tensor 'Placeholder/ 28' with dtype int64 and shape [1]
             [[{{node Placeholder/ 28}}]]
    2023-05-25 10:56:51.892199: I tensorflow/core/common runtime/executor.cc:1197]
    [/device:CPU:0] (DEBUG INFO) Executor start aborting (this does not indicate an
    error and you can ignore this message): INVALID_ARGUMENT: You must feed a value
    for placeholder tensor 'Placeholder/_0' with dtype string and shape [1]
             [[{{node Placeholder/_0}}]]
    0.9830
    2023-05-25 10:57:14.820890: I tensorflow/core/common_runtime/executor.cc:1197]
    [/device:CPU:0] (DEBUG INFO) Executor start aborting (this does not indicate an
    error and you can ignore this message): INVALID_ARGUMENT: You must feed a value
    for placeholder tensor 'Placeholder/27' with dtype int64 and shape [1]
             [[{{node Placeholder/_27}}]]
    2023-05-25 10:57:14.821536: I tensorflow/core/common runtime/executor.cc:1197]
    [/device:CPU:0] (DEBUG INFO) Executor start aborting (this does not indicate an
```

```
error and you can ignore this message): INVALID_ARGUMENT: You must feed a value
   for placeholder tensor 'Placeholder/_3' with dtype int64 and shape [1]
           [[{{node Placeholder/_3}}]]
   938/938 [============ ] - 25s 26ms/step - loss: 0.0587 -
   accuracy: 0.9830 - val_loss: 0.0577 - val_accuracy: 0.9836
   Epoch 2/5
   accuracy: 0.9921 - val_loss: 0.0454 - val_accuracy: 0.9880
   Epoch 3/5
   938/938 [========= ] - 24s 26ms/step - loss: 0.0201 -
   accuracy: 0.9942 - val_loss: 0.0531 - val_accuracy: 0.9876
   Epoch 4/5
   938/938 [============ ] - 24s 26ms/step - loss: 0.0164 -
   accuracy: 0.9954 - val_loss: 0.0457 - val_accuracy: 0.9901
   Epoch 5/5
   accuracy: 0.9964 - val_loss: 0.0409 - val_accuracy: 0.9914
[]: <keras.callbacks.History at 0x7f93b0278fa0>
[]: probabilities = model.predict(images)
   1/1 [======= ] - Os 15ms/step
   1/1 [======= ] - Os 15ms/step
[]: truths = list(range(1, 10)) + ["dog"]
    table = []
    for truth, probs in zip(truths, probabilities):
       prediction = probs.argmax()
       if truth == 'dog':
           print(f"{truth}. CNN thinks it's a {prediction} ({probs[prediction]*100:
     else:
           print(f"{truth} at {probs[truth]*100:4.1f}%. CNN thinks it's a__
     →{prediction} ({probs[prediction]*100:4.1f}%)")
       table.append((truth, probs))
   1 at 67.2%. CNN thinks it's a 1 (67.2%)
   2 at 100.0%. CNN thinks it's a 2 (100.0%)
   3 at 99.7%. CNN thinks it's a 3 (99.7%)
   4 at 100.0%. CNN thinks it's a 4 (100.0%)
   5 at 100.0%. CNN thinks it's a 5 (100.0%)
   6 at 100.0%. CNN thinks it's a 6 (100.0%)
   7 at 99.8%. CNN thinks it's a 7 (99.8%)
   8 at 100.0%. CNN thinks it's a 8 (100.0%)
   9 at 12.4%. CNN thinks it's a 0 (51.8%)
   dog. CNN thinks it's a 8 (32.5%)
```