# Bayesian Evals

December 2024

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- How best to model uncertainty in evals?
- How to test for subjective quality of generations (not MCQA)
  - A/B tests (e.g. chatbot arena -> Elo scores) expensive, difficult to model uncertainty
  - Red teaming difficult, not standardised

OLMES (Open Language Model Evaluation Standard) - Gu et al.

 Considers the uncertainty between different experiment setups

 But not the uncertainty inside each eval experiment

 (that is, inter-model uncertainty but not intra-model uncertainty)

Table 1: Scores reported in different references for LLM performances on ARC-CHALLENGE and OPENBOOKQA. Scores indicated with † are using multiple-choice formulation (MCF) rather than "cloze" formulation (CF) (see Section 2.1 for definitions). Entries with "?" denote either undocumented or mixed approaches across models. Different references use different evaluation setups, some of which are not fully specified, so conclusions about which models perform best are not reproducible.

	ARC-CHALLENGE Evaluations:						OPENBOOKQA Evaluations:						
Model↓	Ref1	Ref2	Ref3	Ref4	Ref5	Ref6	OLMES	Ref2	Ref4	Ref5	Ref7	Ref8	OLMES
MPT-7B RPJ-INCITE-7B	1.500	42.6			46.5 42.8		45.7 45.3	51.4		48.6 49.4			52.4 49.0
Falcon-7B	47.9	42.4		44.5	47.5		49.7	51.6	44.6	53.0		$26.0^{\dagger}$	55.2
Mistral-7B	60.0		55.5	54.9			78.6 <sup>†</sup>				52.2	$77.6^{\dagger}$	$80.6^{\dagger}$
Llama2-7B	53.1	45.9	43.2	45.9	48.5	$53.7^{\dagger}$	54.2	58.6	58.6	48.4	58.6	$54.4^{\dagger}$	57.8
Llama2-13B	59.4	49.4	48.8	49.4		$67.6^{\dagger}$	67.3 <sup>†</sup>	57.0	57.0		57.0	$63.4^{\dagger}$	$65.4^{\dagger}$
Llama3-8B	60.2					$78.6^{\dagger}$	79.3 <sup>†</sup>					$76.6^{\dagger}$	$77.2^{\dagger}$
Num shots	25	0	0	0	0	25	5	0	0	0	0	5	5
Curated shots	No					No	Yes	111				No	Yes
Formulation	RC	RC	RC?	RC	RC	MC	MC/RC	RC	RC	RC	RC	MC	MC/RC
Normalization	char	char	?	char?	pmi	none	none/pmi	pmi	pmi?	pmi	pmi?	none	none/pmi

Ref	Reference citation	Ref Reference citation
Ref2 Ref3	HF Open LLM Leaderboard (Beeching et al., 2023) Llama2 paper (Touvron et al., 2023a) Mistral 7B (Jiang et al., 2023) Falcon paper (Almazrouei et al., 2023)	Ref5 OLMo paper (Groeneveld et al., 2024) Ref6 Llama3 model card (AI@Meta, 2024) Ref7 Gemma paper (Gemma Team et al., 2024) Ref8 HELM Lite Leaderboard (Liang et al., 2023)

### BetterBench – Reuel et al.

 Methodology for evaluating quality of benchmarks

 "14 out of 24 benchmarks did not perform multiple evaluations of the same model or report statistical significance or uncertainty of results"

- "an average score of 5.62 [out of 15] on Reporting statistical significance"

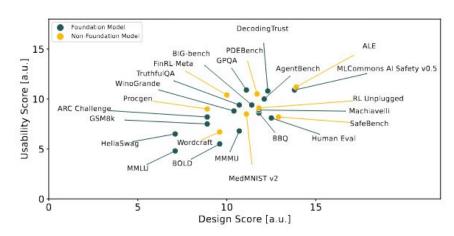
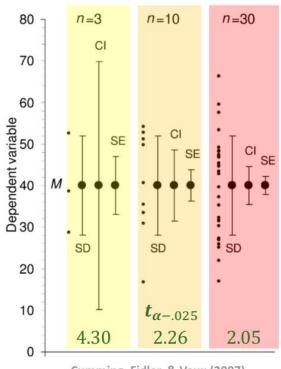


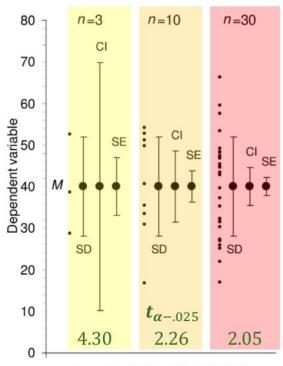
Figure 7: Design and usability score for all 24 assessed benchmarks. The usability score is the weighted average of the implementation, documentation, and maintenance scores. Benchmarks were split into foundation model and non-foundation model benchmarks, depending on the model group they're targeting.

- Accuracy (overall and per-task) averaged over n runs



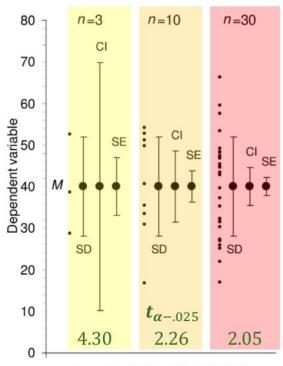
Cumming, Fidler, & Vaux (2007)

- Accuracy (overall and per-task) averaged over n runs
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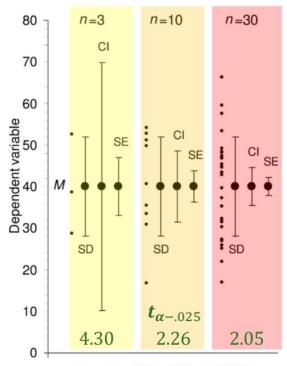
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- How can we use these error bars to consider the probability with which we can say "Model A > Model B"?



Cumming, Fidler, & Vaux (2007)

### Set up

Suppose we have  $\mathcal{N}$  questions, and our model achieves scores  $\{s_i\}_{i=1}^n$  (between 0 and 1) where  $s_i$  can be decomposed into a mean component  $x_i$  and a zero-mean random component  $\epsilon_i$ .

$$s_i = x_i + \epsilon_i$$

We want to infer  $\mu = \mathbb{E}[s] = \mathbb{E}[x]$ 

Let 
$$\bar{s} = \frac{1}{n} \sum_i s_i$$
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CLT: 
$$\operatorname{SE}_{\operatorname{CLT}} = \sqrt{\operatorname{Var}(s)/n} = \sqrt{\left(\frac{1}{n-1}\sum_{i}(s_i - \bar{s})^2\right)/n}$$

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Obtain 95% confidence intervals as  $CI_{95\%}=\bar{s}\pm 1.96\times SE_{CLT}$  (if you do the data collection 100 times, 95 of those times you'll get  $\mu\in CI_{95\%}$ )

Points out that the LLama 3 (Dubey et al., 2024) paper reports only  $SE_{Bernoulli}$ , even when castake on values in (e.g.) F1 score). This leads to confidence intervals that are too wide.

**∞** Meta

The Llama 3 Herd of Models

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### The Llama 3 Herd of Models

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Significance estimates. Benchmark scores are estimates of a model's true performance. These estimates have variance because benchmark sets are finite samples drawn from some underlying distribution. We follow Madaan et al. (2024b) and report on this variance via 95% confidence intervals (CIs), assuming that benchmark scores are Gaussian distributed. While this assumption is incorrect (e.g., benchmark scores are bounded), preliminary bootstrap experiments suggest CIs (for discrete metrics) are a good approximation:

$$CI(S) = 1.96 \times \sqrt{\frac{S \times (1 - S)}{N}}.$$

Herein, S is the observed benchmark score (e.g., accuracy or EM) and N the sample size of the benchmark. We omit CIs for benchmark scores that are not simple averages. We note that because subsampling is not the only source of variation, our CI values lower bound the actual variation in the capability estimate.

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Therefore suggests using clustered standard error, where  $s_{i,c}$  is the ith question from cluster/task c

$$SE_{clustered} = \left(SE_{C.L.T.}^2 + \frac{1}{n^2} \sum_{c} \sum_{i} \sum_{j \neq i} (s_{i,c} - \bar{s})(s_{j,c} - \bar{s})\right)^{1/2}$$

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	$SE_{clustered}$	$SE_{C.L.T.}$	Ratio
DROP	(1.34)	(0.44)	3.05
RACE-H	(0.51%)	(0.46%)	1.10
MGSM	(1.62%)	(0.86%)	1.88

Table 4: Clustered and naive standard errors computed on two popular evals using Anthropic models (non-fictional numbers). Analyzing the same data, clustered standard errors can be over 3X larger than naive standard errors.

"sliding scale between cases where scores within a cluster are perfectly correlated ([...] each cluster acts as a single indep. observation) and perfectly uncorrelated"

How to compare two models, A and B?

Naively: 
$$\hat{\mu}_{A-B} = \hat{\mu}_A - \hat{\mu}_B$$
  $SE_{A-B} = \sqrt{SE_A^2 + SE_B^2}$   $CI_{A-B,95\%} = \hat{\mu}_{A-B} \pm 1.96 \times SE_{A-B}$ 

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But if you know the set of questions used for A and B's evals you can do paired analysis:  $s_{A-B,i} = s_{A,i} - s_{B,i}$   $\bar{s}_{A-B} = \bar{s}_A - \bar{s}_B$ 

$$SE_{A-B,paired} = \sqrt{Var(s_{A-B})/n} = \sqrt{\left(\frac{1}{n-1}\sum_{i}(s_{A-B,i} - \bar{s}_{A-B})^2\right)/n}$$

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Or with clustering:

$$SE_{A-B,paired,clustered} = \frac{1}{n} \left( \sum_{c} \sum_{i} \sum_{j} (s_{A-B,i,c} - \bar{s}_{A-B})(s_{A-B,j,c} - \bar{s}_{A-B}) \right)^{1/2}$$

### How are we modelling the process of evals?

So far just used CLT/Gaussianity assumption – can we do better?

Anthropic paper suggests (briefly)  $x_i \sim \mathcal{U}[0,1]$  and  $s_i \sim Ber(x_i)$  so  $\epsilon_i = 1 - x_i$  with probability  $x_i$  and  $\epsilon_i = -x_i$  with probability  $1 - x_i$ .

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Desi's blogs go into more detail with Binomial modelling.

### Desi's Blog

Examines "GSM-Symbolic: Understanding the Limitations of Mathematical Reasoning in Large Language Models" by Mirzadeh et al. (2024) which:

 Takes the popular maths benchmark GSM8K and creates a new version GSM-Symbolic in which we can have "same questions, different numbers"

### GSM8K **GSM Symbolic Template** When Sophie watches her nephew, she When {name} watches her {family}, she gets out a variety gets out a variety of toys for him. of toys for him. The bag of building blocks has {x} The bag of building blocks has 31 blocks in it. The bin of stuffed animals has {y} stuffed blocks in it. The bin of stuffed animals inside. The tower of stacking rings has {z} animals has 8 stuffed animals inside. multicolored rings on it. {name} recently bought a tube The tower of stacking rings has 9 of bouncy balls, bringing her total number of toys she multicolored rings on it. Sophie bought for her {family} up to {total}. How many bouncy recently bought a tube of bouncy balls came in the tube? balls, bringing her total number of toys for her nephew up to 62. How #variables: many bouncy balls came in the tube? - name = sample(names) family = sample(["nephew", "cousin", "brother"]) x = range(5.100)v = range(5, 100)z = range(5.100)- total = range(100, 500) - ans = range(85, 200) #conditions: Let T be the number of bouncy balls Let T be the number of bouncy balls in the tube. After buying the tube of balls, {name} has $\{x\} + \{y\} + \{z\} + T =$ After buying the tube of balls, So $\{x + y + z\} + T = \{\text{total}\}\ \text{toys for her }\{\text{family}\}.$ phie has 31+8+9+T = 48 + T = 62 toys for her nephew. Thus, $T = \{total\} - \{x + y + z\} = \langle \{total\} - \{tot$ Thus, T = 62-48 = <<62-48=14>>14}={ans}>>{ans} bouncy balls came in the tube. bouncy balls came in the tube.

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- Takes the popular maths benchmark GSM8K and creates a new version GSM-Symbolic in which we can have "same questions, different numbers"
- Claims that:
  - Models exhibit worse performance on GSM-Symbolic than GSM8K; and
  - This implies that "current LLMs are not capable of genuine logical reasoning; instead, they attempt to replicate the reasoning steps observed in their training data"

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### But claim 1 needs backing up

- Mirzadeh et al. create 50 versions of GSM-Symbolic and run evals for various models
- Compare against a point estimate for GSM8K
- Assumption: if a model is doing 'logic' then its performance on these datasets should be equivalent.

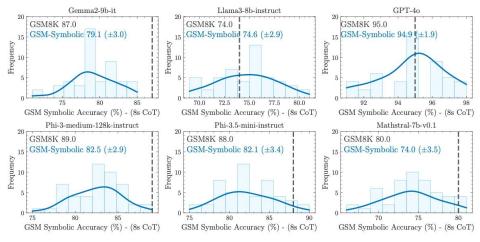


Figure 2: The distribution of 8-shot Chain-of-Thought (CoT) performance across 50 sets generated from GSM-Symbolic templates shows significant variability in accuracy among all state-of-the-art models. Furthermore, for most models, the average performance on GSM-Symbolic is lower than on GSM8K (indicated by the dashed line). Interestingly, the performance of GSM8K falls on the right side of the distribution, which, statistically speaking, should have a very low likelihood, given that GSM8K is basically a single draw from GSM-Symbolic.

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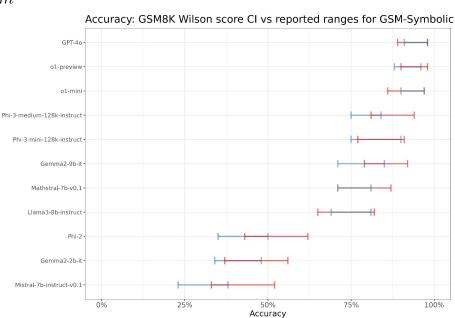
Assume each model m has a probability of success  $p_m$  (the same across all N questions).

Then the number of correct answers is  $Bin(N, p_m)$ .

So we can get (Wilson) confidence intervals.

Now it's less obvious that model performance on GSM-Symbolic *is* actually worse than on GSM8K.

$$n_s$$
 = #success  $n_f$  = #fail  $z_lpha pprox rac{(p-\hat{p}\,)}{\sigma_n}$   $p\in_{lpha} rac{n_{
m s}+rac{1}{2}\,z_lpha^2}{n+z_lpha^2}\,\pm\,rac{z_lpha}{n+z_lpha^2}\,\sqrt{rac{n_{
m s}\,n_{
m f}}{n}+rac{z_lpha^2}{4}}$ 



Reported, GSM-Symbolic — Wilson Score, GSM8K

### Is the drop in performance statistically significant?

Let  $p_m^{8K}$  be the true probability of success for model m on GSM8K and  $p_m^{\rm Symb}$  be the true probability of success on GSM-Symbolic.

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Run Fisher's exact test on two-sided and one-sided hypotheses:

$$H_0: p_m^{8K} = p_m^{\text{Symb}}$$

$$H_1^{\text{two-sided}}: p_m^{8K} \neq p_m^{\text{Symb}}$$

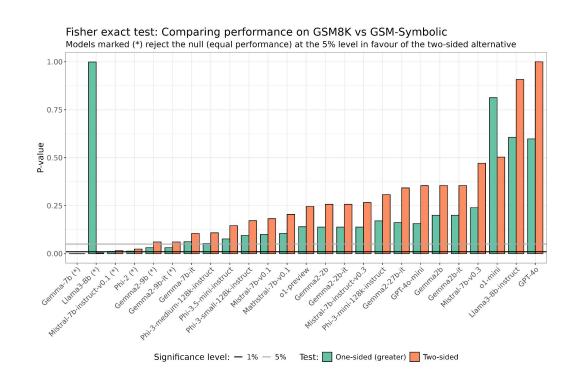
$$H_0: p_m^{8K} = p_m^{\text{Symb}}$$

$$H_1^{\text{one-sided}}: p_m^{8K} > p_m^{\text{Symb}}$$

### Is the drop in performance statistically significant? ... Maybe

It turns out there is some (weak) evidence that the models *are* performing worse on GSM-Symbolic compared to GSM8K

(especially when you include irrelevant information in the questions)



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  - Doesn't rely on CLT (IID and large N assumptions)
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#### Drawbacks:

- Have to choose priors carefully (actually not too much of an issue)
- Computation (e.g. Stan)

### **Experiments Setup**

- 29 LLMs
  - actually have ~60
- 24 Tasks (BigBench Hard) (about 200-250 questions each)
  - actually have at least ~40 tasks
- Raw eval binary data (success/fail)
   from Huggingface leaderboard  $\mathcal{D}$



#### **Open LLM Leaderboard**

Comparing Large Language Models in an open and reproducible way

```
meta-llama/Meta-Llama-3.1-70B
meta-llama/Meta-Llama-3.1-8B
meta-llama/Meta-Llama-3-8B
meta-llama/Meta-Llama-3-70B
mistralai/Mixtral-8x7B-v0.1
mistralai/Mixtral-8x22B-v0.1
google/gemma-2-27b
google/gemma-2-2b
Qwen/Qwen1.5-7B
Owen/Owen1.5-110B
Owen/Owen2.5-0.5B
Owen/Owen2.5-3B
Owen/Owen2.5-14B
0wen/0wen2.5-72B
meta-llama/Meta-Llama-3.1-70B-Instruct
meta-llama/Meta-Llama-3.1-8B-Instruct
meta-llama/Meta-Llama-3-8B-Instruct
meta-llama/Meta-Llama-3-70B-Instruct
microsoft/Phi-3.5-mini-instruct
microsoft/Phi-3.5-MoE-instruct
mistralai/Mixtral-8x7B-Instruct-v0.1
mistralai/Mistral-7B-Instruct-v0.3
google/gemma-2-27b-it
Owen/Owen1.5-7B-Chat
Qwen/Qwen1.5-110B-Chat
Owen/Owen2.5-0.5B-Instruct
Owen/Owen2.5-3B-Instruct
Qwen/Qwen2.5-14B-Instruct
Owen/Owen2.5-72B-Instruct
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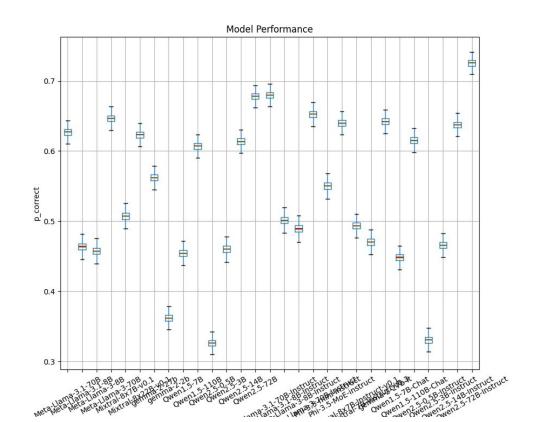
```
bbh boolean expressions",
bbh causal judgement",
"bbh date understanding",
bbh disambiguation ga",
"bbh formal fallacies".
bbh geometric shapes",
'bbh hyperbaton",
bbh logical deduction five objects",
bbh logical deduction three objects",
"bbh movie recommendation",
"bbh navigate",
"bbh object counting",
"bbh penguins in a table",
"bbh reasoning about colored objects",
'bbh ruin names",
"bbh salient translation error detection",
'bbh snarks",
"bbh sports understanding",
'bbh temporal sequences",
"bbh tracking shuffled objects five objects".
"bbh tracking shuffled objects seven objects"
"bbh tracking shuffled objects three objects"
bbh web of lies".
```

### Model 1: Beta Binomial

Prior  $p_m \sim \text{Beta}(1,1)$ 

Model  $\bar{s}_{m,t} \sim \text{Bin}(N_t, p_m)$ 

Infer  $\{p_m\}_{m=1}^M | \mathcal{D}$ 

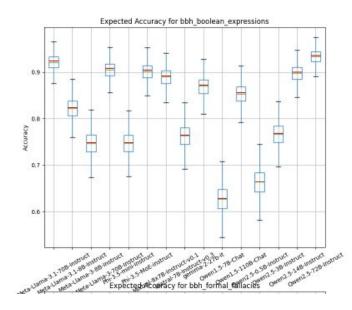


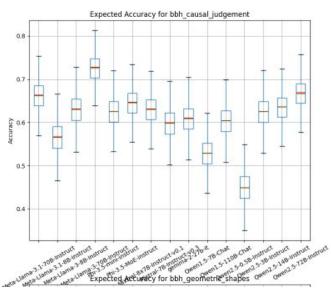
### Model 2: Beta Binomial with per-task model performance

Prior  $p_{m,t} \sim \text{Beta}(1,1)$ 

Model  $\bar{s}_{m,t} \sim \text{Bin}(N_t, p_{m,t})$ 

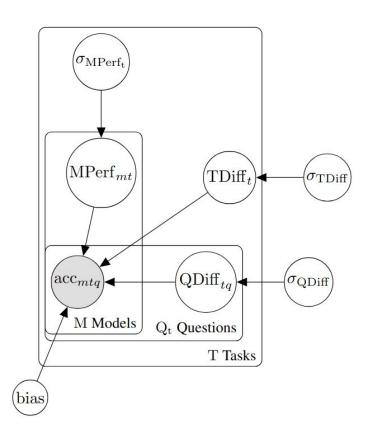
Infer  $\{p_{m,t}\}_{m=1}^M | \mathcal{D}$ 





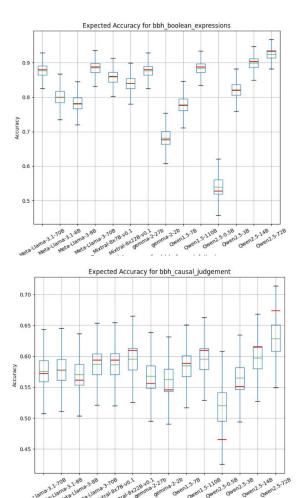
#### Model 3: Question-based model

```
bias \sim \mathcal{N}(0,1)
taskDifficultyStd \sim Gamma(2, 2)
questionDifficultyStd \sim Gamma(2, 2)
modelPerformanceStd \sim Gamma(2, 2)
taskDifficulty, \sim \mathcal{N}(0, \text{taskDifficultyStd})
questionDifficulty<sub>q</sub> \sim \mathcal{N}(0, \text{questionDifficultyStd})
modelPerformance_t \sim \mathcal{N}(0, modelPerformanceStd)
\bar{s}_{m,q} \sim \text{Ber(sigmoid(bias))}
                            + modelPerformance<sub>m</sub>
                            - taskDifficulty<sub>task(q)</sub>
                             - questionDifficulty_q))
```



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```
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                            + modelPerformance<sub>m</sub>
                            - taskDifficulty<sub>task(q)</sub>
                             - questionDifficulty_q))
```



### Model 4: Question-based model w/ across-task performance

```
\begin{aligned} & \text{bias} \sim \mathcal{N}(0,1) \\ & \text{taskDifficultyStd} \sim \text{Gamma}(2,2) \\ & \text{questionDifficultyStd} \sim \text{Gamma}(2,2) \\ & \text{modelPerformanceStd} \sim \text{Gamma}(2,2) \\ & \text{acrossTaskPerformanceStd} \sim \text{Gamma}(2,2) \\ & \text{taskDifficulty}_t \sim \mathcal{N}(0, \text{taskDifficultyStd}) \\ & \text{questionDifficulty}_q \sim \mathcal{N}(0, \text{questionDifficultyStd}) \\ & \text{modelPerformance}_{m,t} \sim \mathcal{N}(0, \text{modelPerformanceStd}) \\ & \text{acrossTaskPerformance}_m \sim \mathcal{N}(0, \text{acrossTaskPerformanceStd}) \end{aligned}
```

 $\bar{s}_{m,q} \sim \text{Ber(sigmoid(bias))} \\ + \text{modelPerformance}_{m,t} \\ + \text{acrossTaskPerformance}_{m}$ 

- taskDifficulty<sub>task(q)</sub>
- questionDifficult $y_q$ ))

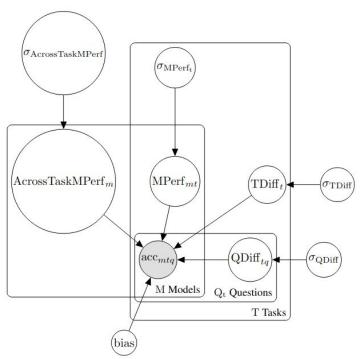
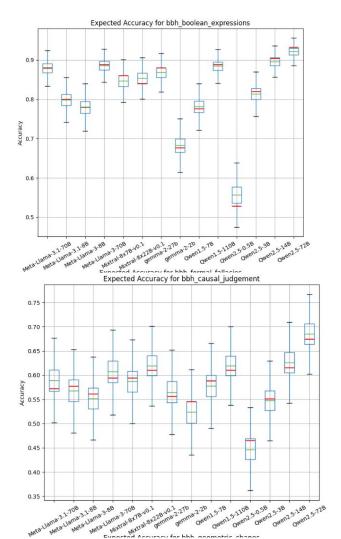


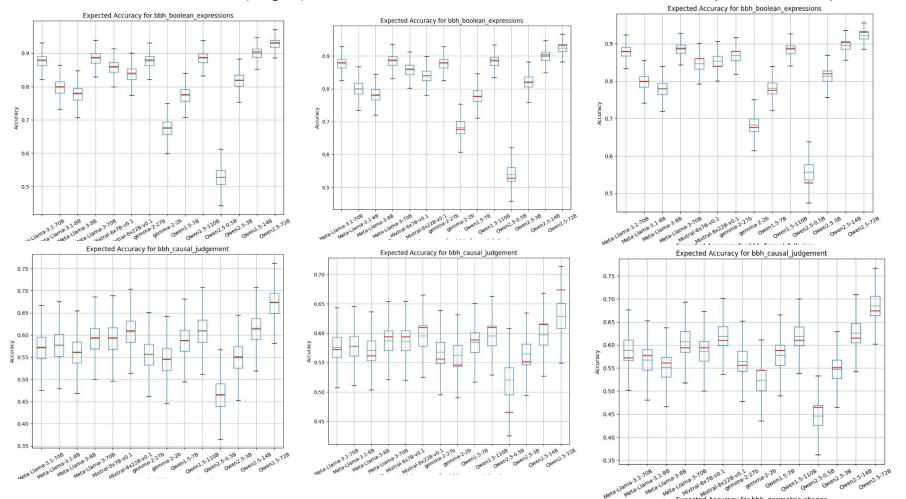
Figure 4. Graphical model for the hierarchical model with permodel overall performance variables.

## Model 3: w/ across-task performance

```
bias \sim \mathcal{N}(0,1)
taskDifficultyStd \sim Gamma(2, 2)
questionDifficultyStd \sim Gamma(2, 2)
modelPerformanceStd \sim Gamma(2, 2)
acrossTaskPerformanceStd \sim Gamma(2, 2)
taskDifficulty, \sim \mathcal{N}(0, \text{taskDifficultyStd})
questionDifficulty<sub>q</sub> \sim \mathcal{N}(0, \text{questionDifficultyStd})
\text{modelPerformance}_{m,t} \sim \mathcal{N}(0, \text{modelPerformanceStd})
\operatorname{acrossTaskPerformance}_m \sim \mathcal{N}(0, \operatorname{acrossTaskPerformanceStd})
\bar{s}_{m,q} \sim \text{Ber(sigmoid(bias))}
                             +modelPerformance<sub>m.t</sub>
                             +acrossTaskPerformance<sub>m</sub>
                              - taskDifficulty<sub>task(q)</sub>
                              - questionDifficulty<sub>a</sub>))
```



Model 2 vs 3 vs 4 - some (slight) reduction in size of error bars – needs frequentist comparison



# Bayesian hierarchical modelling for evals makes it easy to...

1. Easily find a Prob(Model A > Model B) – HMC gives us samples of  $p_{m,t}|\mathcal{D}$ , just look at

$$\frac{1}{\text{num samples}} \sum_{\text{samples}} \frac{1}{T} \sum_{t} \mathbb{I}[p_{A,t} > p_{B,t}]$$

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  - b. Per-task model performance
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- 2. Elicit information about latent variables of interest:
  - a. Task difficulty
  - b. Per-task model performance
  - c. Capabilities\*

\* If we make modelPerformance and taskDifficulty vectors of length C (say 5 or 7) with likelihood s.t.  $\bar{s}_{m,t}$  depends on their dot product, each dimension of those vectors might be interpretable as model/task capabilities e.g. arithmetic, grammar, logic etc.

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