

**Welcome to the HSBC Safeguard**

Our systems rely on having the most up-to-date customer information to do their job of detecting financial crime and allow HSBC to service you better. To do this, we need you to update your personal and company or organisation information with us.

***Please complete your review ahead of the due date indicated at the top of this page.***

Please note that any reference to 'Country' throughout the following forms covers countries and territories.

**Let's get started**

During the update, we'll require information on the following areas:

- Your core business or organisation details
- How you intend to use your account
- The owners and individuals that make key decisions on behalf of your business or organisation
- The funding of your business or organisation, originally and in the future

To simplify the process for you, each section has been pre-populated with the information we already hold. Please review the information in each section and provide us with any updates where appropriate.

If you can't finish the review in one go, press save at the bottom of the page and log out.

**Information you provide to us**

We'll use any information you provide to us as explained in our [\*\*Privacy Notice\*\*](#).

**Need help?**

The Live Chat facility available within the form should be used if you need help while updating your information.

**Completion**

Our records will be updated once the online review is fully completed and submitted. We'll let you know if any further information or documentation is needed.

**HSBC Safeguard**

To learn more about HSBC Safeguard, visit [\*\*business.hsbc.uk/safeguard\*\*](https://business.hsbc.uk/safeguard).

Thank you for your help. We look forward to receiving your completed review soon.

## Basic Details

What is the full legal name(s) of your organisation?

All questions in this section will refer to this entity unless we state otherwise

Christ's College Boat Club

Does your organisation operate under a different name? ☐ Not Applicable

Please select 'Not Applicable' if you do not have a different name.

Christ's College Boat Club Social

Has the name of your organisation changed in the last 5 years?

☐ Yes ☒ No

Please provide one of the following: incorporation number, your registration number, or an equivalent organisation identification number.

00000000

What was your date of establishment / registration / formation?

11/06/2005

What is the country of establishment / registration / formation?

United Kingdom

## Organisation Details

Have there been any changes to the primary activities in your organisation in the past 5 years? If yes, please mention the changes here. Please state "No" if there have been no changes.

No

What is the purpose of your organisation?

Rowing for university students and staff

Does the organisation perform a business related activity?

☒ Yes ☐ No

What is the principle business activity of your organisation?

College Boat Club collection of sponsorships funds & subscriptions from members; payment of expenses

What are the organisation's key products/services?

Indoor and outdoor rowing equipment

Is your organisation registered or regulated by a government authority (state, federal or international body)?

☒

Yes

☐

No

Is the organisation registered as a Charity?

☐

Yes

☒

No

What is the name of the regulatory body(ies) of your organisation?

British Rowing

What is the country of the regulatory body(ies) of your organisation?

United Kingdom

Please provide the regulatory reference number of your organisation, for eg., the registered charity number

00000000

In your normal day to day activity do you make or intend to make payments on behalf of your clients?

☐

Yes

☒

No

Is your organisation involved in any non-banking financial activity?

☐

Yes

☒

No

What is the class(es) of Member(s)?

Sports club students and staff

What is the approximate number of members?

51-100

Select all country / countries where you have physical premises

United Kingdom

What is your country of primary operations?

United Kingdom

Do you generate more than 20% revenue from any country?

☒ Yes ☐ No

Please provide all countries where you generated 20% or more of revenue in the last 12 months?

United Kingdom

Do you acquire more than 20% purchases from any country?

☒ Yes ☐ No

Please provide all countries where you acquired 20% or more of purchases in the last 12 months?

United Kingdom

Do you have any government or state funding provided to your organisation?

☐ Yes ☒ No

Does your organisation accept donations?

☒ Yes ☐ No

What is the type of donor(s)?

Select all that apply.

Individual

Do you receive donations in cash?

☐ Yes ☒ No

How are Key Controllers appointed?

Elected by members

Is the organisation funded by a single donor?

☐ Yes ☒ No

Is there any anonymous donor contributing funds over 10% to the organisation?

☐ Yes ☒ No

Please provide details on the controls your organisation has in regards to the donors for managing money laundering and terrorist financing risks.

All donations are passed through Christ's College and monitored by accountants

Does the organisation give funds directly to an Ultimate Beneficiary or provide funds to an Intermediate Beneficiary?

☐ Yes ☒ No

Is the entitlement percentage of the Beneficiary(ies) fixed?

☐ Yes ☒ No

## Contact Details

What is the registered address of the organisation?

Address

Christ's College  
St Andrew's Street  
Cambridge

Country

United Kingdom

Postal Code

CB2 3BU

Is the principal organisation address the same as the registered address?

☐

Yes

☒

No

What is your principal organisation address?

Address

Christs College Boathouse, Victoria Avenue, Cambridge

Country

United Kingdom

Postal Code

CB4 1EH

What are your organisation's country(ies) / jurisdiction(s) of residence for tax purposes?

Please select all that apply.

United Kingdom

For UK taxpayer(s) the TIN is the Unique Taxpayer Reference (UTR)

United Kingdom

Please provide the actual information for this country

Does your organisation have a tax identification number(TIN)?

[Click here for additional information](#)

☐

Yes

☒

No

What is the reason for not having a tax identification number?

Unable to provide

# Financial Information

What was your annual income / turnover in the last 12 months?

Currency	Amount
GBP	20,000.00

What was the initial source of investment(s) or funds to start your organisation? Multiple sources can be selected.

Injection of funds from Associated entity

Injection of funds from Associated entity

Please enter the amount initially invested through this source of investment.

Currency	Amount
GBP	1,000.00

How does your organisation continue to fund its ongoing cash flow requirements? Multiple sources can be selected.

Donations, Membership dues, Injection of funds from Associated entity

Donations

Please enter the percentage of revenue each source of ongoing funding typically covers.

30%

Membership dues

Please enter the percentage of revenue each source of ongoing funding typically covers.

10%

Injection of funds from Associated entity

Please enter the percentage of revenue each source of ongoing funding typically covers.

60%

What is the main use(s) of your bank account(s)?

Operational Account - domestic

Do you expect to see an increase/decrease of 20% or more annually with respect to the following:

1) the value of annual cash deposits expected to flow through your accounts?

☐ Yes ☒ No

2) the value of annual international payments to or from your account?

☐ Yes ☒ No

Over the next 12 months, do you expect to make international payments to countries that you do not currently transact with?

☐ Yes ☒ No

# Sanctions Exposure

This section will help us understand the degree of your exposure to sanctioned countries.

HSBC is committed to combating financial crime and doing its part to protect the integrity of the global financial system. Please let us know if you are engaging, or intend to engage, with countries, territories, governments, individuals, or entities that may be the subject or target of economic sanctions.

Please visit our [Sanctions Policy](#) for further information.

If the answer is yes to any of the following questions for you as a customer, your **Connected Parties** or any other entities who are part of your **Customer Group**, please select 'yes' below.

Do you have any **Activity** through HSBC or other Financial Institutions involving:

- Cuba, Iran, North Korea, Syria or the Crimea Region and/or an **Address** in one of these locations?
- Individuals, entities, governments or organisations which are currently targeted by sanctions?
- A **Sectoral Sanction Identification (SSI) target**?
- The **Government of Venezuela**?
- Donations from the Government of Sudan to a **U.S. Person**?
- The **Direct Funding** of the Government of Belarus or Zimbabwe?
- The energy, military or defence industry sectors in Russia?

☐ Yes ☒ No

Are you owned by, controlled by, acting on behalf of, or acting in a capacity for:

- Individuals, entities, governments or organisations currently targeted by sanctions?
- A Sectoral Sanction Identification (SSI) target?
- The Government of Venezuela?
- Individuals, entities, governments or organisations located in Cuba, Iran, North Korea, Syria or the Crimea Region?

☐ Yes ☒ No

## Please refer to below definitions:

**Connected Parties** – includes beneficial owners, key controllers, directors, guarantors, trustees, settlors, grantors, founders, protectors and beneficiaries.

**Customer Group** - includes any subsidiary, branch, joint venture, holding company or affiliate.

**Business Activity** – includes operations, suppliers, customers, agents, legal agreements, commercial arrangements, contracts, origin or shipping of goods, interactions/exposure to state owned or controlled entities, investments or dealings in bonds and/or securities

**Address** – includes current residential, registered office, business and correspondence address.

**SSI** – includes those entities and their subsidiaries that are targeted under the U.S. and/or EU Sectoral Sanctions. Please refer to OFAC's Sectoral Sanctions Identifications (SSI) List at [https://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/ssi\\_list.aspx](https://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/ssi_list.aspx) and the EU website - Economic sanctions targeting exchanges with Russia in specific economic sectors section for further information on these Sanctions.

**Government of Venezuela** - includes the state and Government of Venezuela, any political subdivision, agency, or instrumentality, including the Central Bank of Venezuela and Petroleos de Venezuela, S.A. (PdVSA), any entities owned 50% or more, or controlled, directly or indirectly, by the foregoing, and any person who has acted or purported to act directly or indirectly for or on behalf of, any of the foregoing, including as a member of the Maduro regime.

**U.S. Person** – include i) US citizens and US permanent residents (including green card holders), wherever located; ii) any business or entity organised under US law, its non-US branches and, depending on the sanctions program, its non-US subsidiaries; iii) US branches of non-US businesses; and iv) Any person present in the USA, regardless of nationality.

**Direct Funding** – also includes loan facilities, bond issues or other debt/equity finance-related products.

## Connected Parties



Within this section we will require details of the ownership of your organisation and connected parties

Before you (or anyone on your behalf) provides information about any individual to us or a member of the HSBC Group you must ensure that you have a legitimate interest, lawful purpose or the agreement of the relevant individual. You must also ensure they've been provided with our Privacy Notice, which explains the way in which their information will be processed and their rights in relation to their information.

### Connected Party 1

Is the connected party an individual or an entity?

☒ Individual ☐ Entity

What is the full name of the connected party?

Samuel Peter Drury

### Senior Management

This person is responsible for running the organisation. They make the key decisions and therefore are also called a Key Controller or Senior Management . Anyone who is the ONLY Authorised Signatory for the organisation as per the Bank mandate is also considered a Key Controller or Senior Management. For example, managing members may be considered key controllers.

☒ Yes ☐ No

### Settlor

A Settlor is an individual that provides the Source of Wealth for the Trust. The party who creates a Trust by a written Trust Deed is called a Settlor (or Trustor/Donor/Grantor). The Settlor usually transfers the assets into the Trust; this can be at inception or during the life of the Trust. A Settlor can be a Beneficial Owner if they own 25% or more of the company.

☐ Yes ☒ No

### Trustee

The Trustee(s) of a Trust hold(s) legal title to all assets held in the trust and exercise(s) control over the Trust assets according to terms of the Trust Deed. A Trustee may be an Individual or a Legal Entity. Control is defined as a power under the Trust Deed (or equivalent) or under local laws (whether exercisable alone, jointly with another person or with the consent of another person) to among others. The extent of the Trustee's powers and duties and any limitations are defined in the Trust Deed (as decided by the Settlor). Limits can cover all aspects such as a requirement to hold a real asset (property) or deposit funds with a specified custodian.

☐ Yes ☒ No

What is their date of birth?

21/01/1999

What is their permanent residential address?

Address

15  
Heath Road  
Scopwick  
Lincoln

Country

United Kingdom

Postal Code

LN4 3NU

Please specify the position / title which this individual holds within the organisation

Treasurer

Does the connected party currently hold or has ever held a diplomatic or government position including local government positions?

Please see the help text for full list of positions.

☐

Yes

☒

No

Does an immediate family member or an associate of the connected party currently hold or has ever held a diplomatic or government position including local government positions?

Please see the help text for full list of positions and the definition of family members.

In respect of the full list of positions please see helptext listed in the question above ("Does the connected party currently hold or has ever held a diplomatic or government position including local government positions?")

Also please see helptext attached to this question for the definition of family members

☐

Yes

☒

No

## Document Upload

Please upload the documents listed below to support the periodic review of your account information.

The following file types can be uploaded:  
.bmp, .doc, .docx, .gif, .jpg, .jpeg, .pdf, .png, .ppt, .pptx, .tif, .vsd, .vsdx, .xls, .xlsx, .xps and .zip

Please be aware that the maximum size limit for each document that you can upload is 10MB and the total size limit for all files uploaded is 500MB.

## Documents to be provided for Owners and Key Controllers

We have not identified any documents required from you at this stage. Please proceed to the next section. However if would wish to provide any documents that you think are relevant for the review then please use the "other documents" button below

## Other Documents

Please provide any additional relevant document that is needed to support your KYC data.

## Additional Information

This section will help us know more about your organisation

Please provide the details of the contact person

What is their full name?

Samuel Peter Drury

What is their organisation telephone number?

Country	Number
United Kingdom	07743925459

What is their organisation email address?

spd38@cam.ac.uk

What is their mobile number?

Country	Number
United Kingdom	07743925459

## Customer Feedback

Although we have tried to make our process as easy and simple as possible, we understand that updating your customer information can be inconvenient and sometimes time consuming. Thank you for taking the time to do so.

If you were to express how efficient/professional the experience was on a scale from 0 to 10, where 10 means 'extremely efficient/professional' and zero means 'not at all efficient/professional', how would you rate your experience on this occasion:  
0 = Not efficient at all, 10 = Extremely efficient

☐ 0

☐ 1

☐ 2

☐ 3

☐ 4

☐ 5

☐ 6

☐ 7

☒ 8

☐ 9

☐ 10

Thank you for your time. Your feedback is important to us and will help us to make further improvements to our process.

## Declaration

The information we have collected about you, your financial associates and individuals connected to your business or organisation may be shared with fraud prevention agencies who may use it to prevent fraud and money-laundering and to verify your identity and the identity of your financial associates and individuals connected to your business or organisation. If fraud is detected, you, your financial associates and individuals connected to your business or organisation could be refused certain services, finance or employment.

For more information on how we and fraud prevention agencies may use information about you, your financial associates and individuals connected to your business or organisation and your and their data protection rights, see our Privacy Notice by clicking the 'Privacy Notice' link at the bottom of this page.

I have confirmed all entered details are correct.

☒ I agree with the above declaration

Full name

Samuel Peter Drury