

Merge Sort

Madhavan Mukund

<https://www.cmi.ac.in/~madhavan>

Programming, Data Structures and Algorithms using Python
Week 2

Beating the $O(n^2)$ barrier

- Both selection sort and insertion sort take time $O(n^2)$
- This is infeasible for $n > 10000$

Beating the $O(n^2)$ barrier

- Both selection sort and insertion sort take time $O(n^2)$
- This is infeasible for $n > 10000$
- How can we bring the complexity below $O(n^2)$?

Beating the $O(n^2)$ barrier

- Both selection sort and insertion sort take time $O(n^2)$
- This is infeasible for $n > 10000$
- How can we bring the complexity below $O(n^2)$?

Strategy 3

- Divide the list into two halves

Beating the $O(n^2)$ barrier

- Both selection sort and insertion sort take time $O(n^2)$
- This is infeasible for $n > 10000$
- How can we bring the complexity below $O(n^2)$?

Strategy 3

- Divide the list into two halves
- Separately sort the left and right half

Beating the $O(n^2)$ barrier

- Both selection sort and insertion sort take time $O(n^2)$
- This is infeasible for $n > 10000$
- How can we bring the complexity below $O(n^2)$?

Strategy 3

- Divide the list into two halves
- Separately sort the left and right half
- Combine the two sorted halves to get a fully sorted list

Combining two sorted lists

- Combine two sorted lists **A** and **B** into a single sorted list **C**

Combining two sorted lists

- Combine two sorted lists **A** and **B** into a single sorted list **C**
 - Compare first elements of **A** and **B**

Combining two sorted lists

- Combine two sorted lists **A** and **B** into a single sorted list **C**
 - Compare first elements of **A** and **B**
 - Move the smaller of the two to **C**

Combining two sorted lists

- Combine two sorted lists **A** and **B** into a single sorted list **C**
 - Compare first elements of **A** and **B**
 - Move the smaller of the two to **C**
 - Repeat till you exhaust **A** and **B**

Combining two sorted lists

- Combine two sorted lists **A** and **B** into a single sorted list **C**

- Compare first elements of **A** and **B**
- Move the smaller of the two to **C**
- Repeat till you exhaust **A** and **B**

32 74 89

21 55 64

Combining two sorted lists

- Combine two sorted lists **A** and **B** into a single sorted list **C**

- Compare first elements of **A** and **B**
- Move the smaller of the two to **C**
- Repeat till you exhaust **A** and **B**

32 74 89

~~21~~ 55 64

21

Combining two sorted lists

- Combine two sorted lists **A** and **B** into a single sorted list **C**

- Compare first elements of **A** and **B**
- Move the smaller of the two to **C**
- Repeat till you exhaust **A** and **B**

~~32~~ 74 89

~~21~~ 55 64

21 32

Combining two sorted lists

- Combine two sorted lists A and B into a single sorted list C

- Compare first elements of A and B
- Move the smaller of the two to C
- Repeat till you exhaust A and B

32 74 89

21 ~~55~~ 64

21 32 55

Combining two sorted lists

- Combine two sorted lists **A** and **B** into a single sorted list **C**

- Compare first elements of **A** and **B**
- Move the smaller of the two to **C**
- Repeat till you exhaust **A** and **B**

32 74 89

21 55 ~~64~~

21 32 55 64

Combining two sorted lists

- Combine two sorted lists **A** and **B** into a single sorted list **C**

- Compare first elements of **A** and **B**
- Move the smaller of the two to **C**
- Repeat till you exhaust **A** and **B**

32 ~~74~~ 89

21 55 64

21 32 55 64 74

Combining two sorted lists

- Combine two sorted lists **A** and **B** into a single sorted list **C**

- Compare first elements of **A** and **B**
- Move the smaller of the two to **C**
- Repeat till you exhaust **A** and **B**

32 74 89

21 55 64

21 32 55 64 74 89

Combining two sorted lists

- Combine two sorted lists A and B into a single sorted list C

- Compare first elements of A and B
- Move the smaller of the two to C
- Repeat till you exhaust A and B

32 74 89

21 55 64

21 32 55 64 74 89

- Merging A and B

Merge sort

- Let n be the length of L

Merge sort

- Let n be the length of L
- Sort $A[:n//2]$

Merge sort

- Let n be the length of L
- Sort $A[:n//2]$
- Sort $A[n//2:]$

Merge sort

- Let n be the length of L
- Sort $A[:n//2]$
- Sort $A[n//2:]$
- Merge the sorted halves into B

Merge sort

- Let n be the length of L
- Sort $A[:n//2]$
- Sort $A[n//2:]$
- Merge the sorted halves into B
- How do we sort $A[:n//2]$ and $A[n//2:]$?

Merge sort

- Let n be the length of L
- Sort $A[:n//2]$
- Sort $A[n//2:]$
- Merge the sorted halves into B
- How do we sort $A[:n//2]$ and $A[n//2:]$?
 - Recursively, same strategy!

Merge sort

- Let n be the length of L
- Sort $A[:n//2]$
- Sort $A[n//2:]$
- Merge the sorted halves into B
- How do we sort $A[:n//2]$ and $A[n//2:]$?
 - Recursively, same strategy!

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

Merge sort

- Let n be the length of L
- Sort $A[:n//2]$
- Sort $A[n//2:]$
- Merge the sorted halves into B
- How do we sort $A[:n//2]$ and $A[n//2:]$?
 - Recursively, same strategy!

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

43	32	22	78
----	----	----	----

63	57	91	13
----	----	----	----

Merge sort

- Let n be the length of L

- Sort $A[:n//2]$

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Sort $A[n//2:]$

- Merge the sorted halves into B

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- How do we sort $A[:n//2]$ and $A[n//2:]$?

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Recursively, same strategy!

Merge sort

- Let n be the length of L

- Sort $A[:n//2]$

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Sort $A[n//2:]$

- Merge the sorted halves into B

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- How do we sort $A[:n//2]$ and $A[n//2:]$?

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Recursively, same strategy!

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

Merge sort

- Let n be the length of L

- Sort $A[:n//2]$

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Sort $A[n//2:]$

- Merge the sorted halves into B

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- How do we sort $A[:n//2]$ and $A[n//2:]$?

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Recursively, same strategy!

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

Merge sort

- Let n be the length of L

- Sort $A[:n//2]$

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Sort $A[n//2:]$

- Merge the sorted halves into B

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- How do we sort $A[:n//2]$ and $A[n//2:]$?

32	43	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Recursively, same strategy!

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

Merge sort

- Let n be the length of L

- Sort $A[:n//2]$

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Sort $A[n//2:]$

- Merge the sorted halves into B

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- How do we sort $A[:n//2]$ and $A[n//2:]$?

32	43	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Recursively, same strategy!

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

Merge sort

- Let n be the length of L

- Sort $A[:n//2]$

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Sort $A[n//2:]$

- Merge the sorted halves into B

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- How do we sort $A[:n//2]$ and $A[n//2:]$?

32	43	22	78	57	63	91	13
----	----	----	----	----	----	----	----

- Recursively, same strategy!

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

Merge sort

- Let n be the length of L

- Sort $A[:n//2]$

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Sort $A[n//2:]$

- Merge the sorted halves into B

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- How do we sort $A[:n//2]$ and $A[n//2:]$?

32	43	22	78	57	63	13	91
----	----	----	----	----	----	----	----

- Recursively, same strategy!

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

Merge sort

- Let n be the length of L

- Sort $A[:n//2]$

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Sort $A[n//2:]$

- Merge the sorted halves into B

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- How do we sort $A[:n//2]$ and $A[n//2:]$?

32	43	22	78	57	63	13	91
----	----	----	----	----	----	----	----

- Recursively, same strategy!

Merge sort

- Let n be the length of L

- Sort $A[:n//2]$

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Sort $A[n//2:]$

- Merge the sorted halves into B

22	32	43	78
----	----	----	----

63	57	91	13
----	----	----	----

- How do we sort $A[:n//2]$ and $A[n//2:]$?

32	43
----	----

22	78
----	----

57	63
----	----

13	91
----	----

- Recursively, same strategy!

Merge sort

- Let n be the length of L

- Sort $A[:n//2]$

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

- Sort $A[n//2:]$

- Merge the sorted halves into B

22	32	43	78
----	----	----	----

13	57	63	91
----	----	----	----

- How do we sort $A[:n//2]$ and $A[n//2:]$?

32	43
----	----

22	78
----	----

57	63
----	----

13	91
----	----

- Recursively, same strategy!

Merge sort

- Let n be the length of L
- Sort $A[:n//2]$
- Sort $A[n//2:]$
- Merge the sorted halves into B
- How do we sort $A[:n//2]$ and $A[n//2:]$?
 - Recursively, same strategy!

43	32	22	78	63	57	91	13
----	----	----	----	----	----	----	----

22	32	43	78
----	----	----	----

13	57	63	91
----	----	----	----

Merge sort

- Let n be the length of L
- Sort $A[:n//2]$
- Sort $A[n//2:]$
- Merge the sorted halves into B
- How do we sort $A[:n//2]$ and $A[n//2:]$?
 - Recursively, same strategy!

13	22	32	43	57	63	78	91
----	----	----	----	----	----	----	----

22	32	43	78
----	----	----	----

13	57	63	91
----	----	----	----

Merge sort

- Let n be the length of L
- Sort $A[:n//2]$
- Sort $A[n//2:]$
- Merge the sorted halves into B
- How do we sort $A[:n//2]$ and $A[n//2:]$?
 - Recursively, same strategy!

13	22	32	43	57	63	78	91
----	----	----	----	----	----	----	----

Merge sort

- Let n be the length of L
- Sort $A[:n//2]$
- Sort $A[n//2:]$
- Merge the sorted halves into B
- How do we sort $A[:n//2]$ and $A[n//2:]$?
 - Recursively, same strategy!

Merge sort

- Let n be the length of L
- Sort $A[:n//2]$
- Sort $A[n//2:]$
- Merge the sorted halves into B
- How do we sort $A[:n//2]$ and $A[n//2:]$?
 - Recursively, same strategy!

Divide and Conquer

- Break up the problem into disjoint parts
- Solve each part separately
- Combine the solutions efficiently

Merging sorted lists

- Combine two sorted lists **A** and **B** into **C**

Merging sorted lists

- Combine two sorted lists **A** and **B** into **C**
 - If **A** is empty, copy **B** into **C**

Merging sorted lists

- Combine two sorted lists **A** and **B** into **C**
 - If **A** is empty, copy **B** into **C**
 - If **B** is empty, copy **A** into **C**

Merging sorted lists

- Combine two sorted lists **A** and **B** into **C**
 - If **A** is empty, copy **B** into **C**
 - If **B** is empty, copy **A** into **C**
 - Otherwise, compare first elements of **A** and **B**
 - Move the smaller of the two to **C**

Merging sorted lists

- Combine two sorted lists **A** and **B** into **C**
 - If **A** is empty, copy **B** into **C**
 - If **B** is empty, copy **A** into **C**
 - Otherwise, compare first elements of **A** and **B**
 - Move the smaller of the two to **C**
 - Repeat till all elements of **A** and **B** have been moved

Merging sorted lists

- Combine two sorted lists **A** and **B** into **C**
 - If **A** is empty, copy **B** into **C**
 - If **B** is empty, copy **A** into **C**
 - Otherwise, compare first elements of **A** and **B**
 - Move the smaller of the two to **C**
 - Repeat till all elements of **A** and **B** have been moved

```
def merge(A,B):
    (m,n) = (len(A),len(B))
    (C,i,j,k) = ([],0,0,0)
    while k < m+n:
        if i == m:
            C.extend(B[j:])
            k = k + (n-j)
        elif j == n:
            C.extend(A[i:])
            k = k + (n-i)
        elif A[i] < B[j]:
            C.append(A[i])
            (i,k) = (i+1,k+1)
        else:
            C.append(B[j])
            (j,k) = (j+1,k+1)
    return(C)
```

Merge sort

- To sort A into B , both of length n

Merge sort

- To sort A into B , both of length n
- If $n \leq 1$, nothing to be done

Merge sort

- To sort A into B , both of length n
- If $n \leq 1$, nothing to be done
- Otherwise

Merge sort

- To sort A into B , both of length n
- If $n \leq 1$, nothing to be done
- Otherwise
 - Sort $A[:n//2]$ into L

Merge sort

- To sort A into B , both of length n
- If $n \leq 1$, nothing to be done
- Otherwise
 - Sort $A[:n//2]$ into L
 - Sort $A[n//2:]$ into R

Merge sort

- To sort A into B , both of length n
- If $n \leq 1$, nothing to be done
- Otherwise
 - Sort $A[:n//2]$ into L
 - Sort $A[n//2:]$ into R
 - Merge L and R into B

Merge sort

- To sort A into B , both of length n
- If $n \leq 1$, nothing to be done
- Otherwise
 - Sort $A[:n//2]$ into L
 - Sort $A[n//2:]$ into R
 - Merge L and R into B

```
def mergesort(A):  
    n = len(A)  
  
    if n <= 1:  
        return(A)  
  
    L = mergesort(A[:n//2])  
    R = mergesort(A[n//2:])  
  
    B = merge(L,R)  
  
    return(B)
```

Summary

- Merge sort using divide and conquer to sort a list

Summary

- Merge sort using divide and conquer to sort a list
- Divide the list into two halves

Summary

- Merge sort using divide and conquer to sort a list
- Divide the list into two halves
- Sort each half

Summary

- Merge sort using divide and conquer to sort a list
- Divide the list into two halves
- Sort each half
- Merge the sorted halves

Summary

- Merge sort using divide and conquer to sort a list
- Divide the list into two halves
- Sort each half
- Merge the sorted halves
- Next, we have to check that the complexity is less than $O(n^2)$