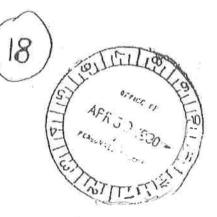


THE CITY OF NEW YORK OFFICE OF THE MAYOR NEW YORK, N.Y. 10007



EXECUTIVE ORDER NO. 50

APRIL 25, 1980

DUREAU OF LABOR SERVICES

By the power vested in me as Mayor of the City of New York, it is hereby ordered:

Section 1. Purpose. It is the purpose of this Order to ensure compliance with the equal employment opportunity requirements of City, State and Federal law in City contracting.

- 8 2. Bureau Continued. The Bureau of Labor Services
 shall continue to serve such purposes and to have such responsibilities
 as restated by this Order.
- g 3. Definitions. Whenever used in this Executive Order, the following terms shell have the following meanings:
 - (a) Bureau means the Bureau of Labor Services;
 - (b) construction project means any construction, reconstruction, rehabilitation, alteration, conversion, extension, improvement, repair or demolition of real property contracted by the City,
 - (c) contract means any written agreement, purchase order or instrument whereby the City is committed to expend or does expend funds in return for work, labor, services, supplies, equipment, materials, or any combination of the foregoing:

- (i) Unless otherwise required by law, the term "contract" shall include any City grant, loan, guarantee or other City assistance for a construction project.
- (ii) The term "contract" shall not include:
 - (A) contracts for financial or other assistance between the City and a government or government agency;
 - (B) contracts, resolutions, indentures, declarations of trust, or other instruments authorizing or relating to the authorization, issuance, award, and sale of bonds, certificates of indebtedness, notes or other fiscal obligations of the City, or consisting thereof; or
 - (C) employment by the City of its officers and employees which is subject to the equal employment opportunity requirements of applicable law.
- (d) contracting agency means any administration, board, bureau, commission, department or other governmental agency of the City of New York, or any official thereof, authorized on behalf of the City to provide for, enter into, award or administer contracts;
- (e) contractor means a person, including a vendor, who is a party or a proposed party to a contract with a contracting agency, first-level subcontractors of supply or service contractors, and all levels of subcontractors of construction contractors;
- of Labor Services;
- person who, or a member of a family which, is considered economically disadvantaged under applicable law.
- (h) employment report means a report filed by a contractor containing information as to the employment practices, policies and programs, employment statistics and collective bargaining agreements, if any, of the contractor in such form as the Bureau may direct by regulation;

- (1) equal employment opportunity means the treatment of all employees and applicants for employment without unlawful discrimination as to race, creed, color, national origin, sex, age, handicap, marital status, sexual orientation or affectional preference in all employment decisions, including but not limited to recruitment, hiring, compensation, training and apprenticeship, promotion, upgrading, demotion, downgrading, transfer, lay-off and termination, and all other terms and conditions of employment except as provided by law,
- (j) trainee means an economically disadvantaged person who qualifies for and receives training in one of the construction trades pursuant to a program other than apprenticeship programs, approved by the Bureau and, where required by law, the State Department of Labor, Bureau of Apprenticeship and Training.
- of the Bureau shall be as follows:
 - (a) To implement, monitor compliance with, and enforce this Order and programs established pursuant to City, State and Federal law requiring contractors to provide equal employment opportunity:
 - (b) To implement, monitor complance with, and enforce on the job training requirements on construction projects;
 - (c) To monitor compliance by contractors with State and Federal prevailing wage requirements where required;
 - (d) To advise, and assist contractors and labor unions with respect to their obligations to provide equal employment opportunity;
 - (a) To advise and assist persons in the private sector with respect to amployment problems;
 - (f) To establish advisory committees, including representatives of employers, labor unions, community organizations and others concerned with the enforcement of this Order; and
 - (g) To serve as the City's principal liaison to Federal, State and local contract compliance agencies.

§ 5. Contract Provisions.

- (a) Equal Employment Opportunity. A contracting agency shall include in every contract to which it becomes a party such provisions requiring the contractor to ensure equal employment opportunity as the Bureau may direct by regulation.
- (b) Cn-the-Job Training. A contracting agency shall include in every contract concerning a construction project to which it becomes a party such provisions requiring the contractor to provide on-the-job training for economically disadvantaged persons as the Bureau may direct by regulation.
- (c) Subcontractors. A contracting agency shall include in every contract to which it becomes a party such provisions requiring the contractor not to discriminate unlawfully in the selection of subcontractors as the Bureau may direct by regulation.

S 6. Employment Reports.

- (a) Submission Requirements. No contracting agency shall enter into a contract with any contractor unless such contractor's employment report is first submitted to the Bureau for its review. Unless otherwise required by law, an employment report shall not be required for the following:
 - (i) a contract in the amount of \$50,000 or less;
 - (ii) an emergency contract or other exempt contract except as the Bureau may direct by regulation; and
 - (iii) a contract with a contractor who has received a certificate of compliance with the equal employment opportunity requirements of applicable law from the Bursau, or an appropriate agency of the State of New York or the United States within the preceding twelve months, except as the Bursau may direct by regulation.

- (b) Bureau Review. The Bureau shall review all employment reports to determine whether contractors are in compliance with the equal employment opportunity requirements of City, State and Federal law and the provisions of this Order. The contracting agency shall transmit the employment report to the Bureau within ten business days after the selection of a proposed contractor. A contracting agency may thereafter award a contract unless the Bureau gives prior written notice to the contracting agency and the contractor as follows:
 - agency and the contractor within five business days after the receipt by the Bureau of the employment report that the contractor has falled to submit a complete employment report, the Director may require the contracting agency to disapprove the contractor unless such deficiency is corrected in a timely manner;
 - (ii) If the Bureau notifies the contracting agency and the contractor within fifteen business days of the receipt by the Bureau of the completed employment report that the Bureau has found reason to believe that the contractor is not in substantial compliance with applicable legal requirements and the provisions of this order, the Bureau shall promptly take such action as may be necessary to remedy the contractor's noncompliance as provided by this order.

Provided that a contracting agency may award a requirements contract of an open market purchase agreement prior to review by the Bureau of the contractor's employment report, but may not make a purchase order against such contract or agreement until it has first transmitted such contractor's employment report to the Bureau and the Bureau has completed its review in the manner provided by this Section.

- (d) Employment Program. The Bureau may require a contractor to adopt and adhere to a program designed to ensure equal employment opportunity.
- (d) Periodic Reports. Contractors shall file periodic employment reports after the award of a contract in such form and frequency as the Bureau may direct by regulation to determine whether such contractors are in compliance with applicable legal requirements and the previsions of this Order.

- \$ 7. Training Programs. The Bureau shall monitor the recruitment, training and placement of economically disadvantaged persons in on-the-job training programs on construction projects. Contracting agencies shall require contractors to make a good faith effort to achieve the ratio of one trainee to four journey-level employees of each craft on each construction project.
 - (a) The Bureau shall determine the number of trainees and hours of training required by each contractor or subcontractor for each construction project.
 - (b) In the event that a contractor fails to make a good faith effort to train the required number of individuals for the required amount of hours, the Bureau, after consultation with the contracting agency, shall direct such agency to reduce the contractor's compensation by an amount equal to the amount of wages and fringe benefits which the contractor failed to pay to trainees.
 - (c) On-the-job training of economically disadvantaged persons shall not be required on construction contracts in the amount of \$125,000 or less.
- Bureau shall conduct such investigations and hold such hearings as may be necessary to determine whether contractors are in compliance with the equal employment opportunity requirements of City, State and Federal law and the provisions of this Order.
 - (a) Voluntary Compliance. The Bureau shall seek to obtain the voluntary compliance of contractors and labor unions with applicable legal requirements and the provisions of this Order.

- (b) Noncompliance. Upon receiving a complaint or at its own instance, the Bureau shall determine whether there is reason to believe a contractor is not in compliance with applicable legal requirements and the provisions of this Order.
- (c) Hearings. The Bureau shall hold a hearing on prior written notice to a contractor and the contracting agency before any adverse determination is made with respect to such contractor's employment practices or imposing any sanction or remedy for non-compliance with applicable legal requirements and the provisions of this Order. The hearing shall be held before a City hearing officer, or such other person designated by the Director, who shall submit a report containing findings of fact and recommendations to the Director. Based on the record as a whole, the Director shall determine whether a contractor has failed to comply with applicable legal requirements or the provisions of this Order and the appropriate sanctions for noncompliance.
- (d) Notices. The Bureau shall give prior notice of any hearing and shall provide a copy of any hearing report and determination of the Director under paragraph (c) of this Section to the contracting agency, the Corporation Counsel and the Comptroller. The Bureau shall notify appropriate City, State and Federal agencies of violations of law and may, with the approval of the Corporation Counsel, initiate proceedings in such agencies.
- § 9. Sanctions and Remedies. After making a determination that a contractor is not complying with applicable legal-requirements and the provisions of this Order, the Director may direct that such sanctions as may be permitted by law or contractual provisions be imposed, including the disapproval of a proposed contractor, the suspension or termination of a contract and the reduction of a contract and the

- (a) Within five business days of the issuance of a determination by the Director under Section 8(c), a contracting agency head may file with the Director written objections to the sanctions to be imposed. Where such objections have been filed, the Director and the contracting agency head shall jointly determine the appropriate sanctions to be imposed.
- (b) In lieu of any of the foregoing sanctions, the Director may require a contractor to adopt and adhere to a program to ensure equal employment opportunity.

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- S 10. Public Agencies. Any administration, board, bureau, commission, department or other public agency, not subject to this Order, which imposes by rule, regulation or order equal employment opportunity requirements, may, with the consent of the Mayor, delegate such responsibilities to the Bureau as may be consistent with this Order.
- S 11. Confidentiality. To the extent permitted by law and consistent with the proper discharge of the Bureau's responsibilities under this Order, all information provided by a contractor to the Bureau shall be confidential.
- s 12. Regulations. The Bureau shall promulgate such regulations, subject to the approval of the Mayor, as may be necessary to discharge its responsibilities under this Order, including regulations increasing the dollar amounts referred to in this Order. Any regulations of the Bureau establishing terms and conditions for contractors shall be approved as to form by the Corporation Counsel.

- § 13. Annual Report. The Bureau shall submit an annual report to the Mayor concerning its responsibilities under this Order.
- § 14. Separability. If any provision of this Order or the application thereof is held invalid, the remainder of this Order and the application thereof to other persons or circumstances shall not be affected by such holding and shall remain in full force and effect.
- \$ 15. Revocation of Prior Orders. Executive Orders No. 71 (1968), No. 20 (1970), No. 23 (1970), No. 27 (1970), No. 31 (1971), No. 74 (1973), No. 7 (1974), and No. 80 (1977) are hereby revoked and the first paragraph of Section 2 of Executive Order No. 4 (1978) is hereby deleted. Nothing in this Order shall be deemed to relieve any person of any obligation not inconsistent with this Order assumed or imposed pursuant to an Order superseded by this Order.
- \$ 16. Effective Date. This Order shall take effect immediately:

EDWARD I. KOCH

MAYOR

EXECUTIVE ORDER No. 159

December 28, 2011

AMENDMENT OF EXECUTIVE ORDER No. 50 OF 1980 ESTABLISHING THE BUREAU OF LABOR SERVICES

WHEREAS, Executive Order 50 of 1980 created, among other things, a process to ensure equal employment opportunity in City contracting; and

WHEREAS, that process includes the filing of employment reports documenting potential City contractors' compliance with equal employment opportunity principles and requirements; and

WHEREAS, the Mayor's Office of Contract Services ("MOCS") performs routine, random evaluations of client services contractors' internal controls including equal employment policies and practices;

WHEREAS MOCS regularly monitors public records and databases to identify federal and state enforcement actions filed against every City contractor, including those concerning equal employment opportunities laws and regulations; and

WHEREAS, potential City contractors are also required to file similar, and extensive, federal and state documentation with the Bureau of Labor Services concerning their compliance with equal opportunity principles and requirements; and

WHEREAS, the combination of governmental requirements to demonstrate compliance has become duplicative and particularly burdensome for smaller potential contractors, and thus there is a need to reduce such duplication, while fully preserving the same high standards and oversight for those contractors;

NOW THEREFORE,

By the power vested in me as Mayor of the City of New York, it is thereby ordered.

Section 1. Prior Order Amended.

- a. Section 3 of Executive Order No. 50, dated April 25, 1980, as amended by Executive Order No. 94 of 1986 and Executive Order No. 108 of 1986, is amended to read as follows:
 - \S 3. <u>Definitions</u>. Whenever used in this Executive Order, the following terms shall have the following meanings:

- (a) Bureau means the Bureau of Labor Services.
- (b) <u>client services</u> shall have the meaning set forth in the Procurement Policy Board Rules of the City of New York (9 RCNY §1-01(e)).
- (c) <u>construction project</u> means any construction, reconstruction, rehabilitation, alteration, conversion, extension, improvement, repair or demolition of real property contracted by the City.
- (d) <u>contract</u> means any written agreement, purchase order or instrument whereby the City is committed to expend or does expend funds in return for work, labor, services, supplies, equipment, materials, or any combination of the foregoing:
 - (i) Unless otherwise required by law, the term "contract" shall include any City grant, loan, guarantee or other City assistance for a construction project.
 - (ii) The term "contract" shall not include:
 - (A) contracts for financial or other assistance between the City and a government or government agency;
 - (B) contracts, resolutions, indentures, declarations of trust, or other instruments authorizing or relating to the authorization, issuance, award, and sale of bonds, certificates of indebtedness, notes, or other fiscal obligations of the City, or consisting thereof; or
 - (C) employment by the City of its officers and employees which is subject to the equal employment opportunity requirements of applicable law.
- (e) <u>contracting agency</u> means any administration, board, bureau, commission, department, or other governmental agency of the City of New York, or any official thereof, authorized on behalf of the City to provide for, enter into, award, or administer contracts.
- (f) <u>contractor</u> means a person, including a vendor, who is a party or a proposed party to a contract with a contracting agency, first-level subcontractors of supply and service contractors, and all levels of subcontractors of construction.
- (g) Director means the Director of the Bureau of Labor Services.
- (h) <u>economically disadvantaged person</u> means a person who, or a member of a family which, is considered economically disadvantaged under applicable law.
- (i) <u>employment report</u> means a report filed by a contractor containing information as to the employment practices, policies, programs, employment statistics and collective bargaining agreements, if any, of the contractor in such form as the Bureau may direct by regulation.
- (j) equal employment opportunity means the treatment of all employees and applicants for employment without unlawful discrimination as to race, creed, color, national origin,

sex, age, disability, marital status, sexual orientation or citizenship status in all employment decisions, including but not limited to recruitment, hiring, compensation, training and apprenticeship, promotion, upgrading, demotion, downgrading, transfer, layoff and termination, and all other terms and conditions of employment.

- (k) trainee means an economically disadvantaged person who qualifies for and receives training in one of the construction trades pursuant to a program other than apprenticeship programs, approved by the Bureau and, where required by law, the State Department of Labor and the United States Department of Labor, Office of Apprenticeship and Training.
- § 2. Subdivision (a) of section 6 of such Order is amended to read as follows:
 - (a) <u>Submission Requirements</u>. No contracting agency shall enter into a contract with any contractor unless such contractor's employment report is first submitted to the Bureau for its review. Unless otherwise required by law, an employment report shall not be required for the following:
 - (i) a construction contract in the amount of less than \$1 million; a construction subcontract in the amount of less than \$750,000; or a supply and service contract in the amount of \$50,000 or less or of more than \$50,000 in which the contractor employs fewer than 50 employees at the facility or facilities involved in the contract;
 - (ii) an emergency contract or other exempt contract except as the Bureau may direct by regulation;
 - (iii) a contract with a contractor who has received a certificate of compliance with the equal employment opportunity requirements of applicable law from the Bureau within the preceding thirty-six months, or an appropriate agency of the State of New York or of the United States within the preceding thirty-six months, except as the Bureau may direct by regulation; and
 - (iv) a contract for client services, as defined in section three above; except that nothing in this section shall prevent the Director in the Director's sole discretion from requiring an employment report from a client services agency that has contracted with the City if the Director believes that the client services agency is in violation of the equal employment requirements of City, State or Federal law.
- § 3. The Mayor's Office of Contract Services shall assist the Director by referring any complaints or concerns about the compliance of current or potential client services contractors with the equal employment requirements of City, State or Federal law to the Director for further review, including the requirement of filing employment reports by such contractors.
 - § 4. Effective Date. This Order shall take effect immediately.

Michael R. Bloomberg Mayor