Scroll & zkEVM & Proving system

Ye Zhang



What is Scroll?

A scaling solution for Ethereum

What is Scroll?

An EVM-equivalent zk-Rollup



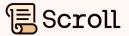














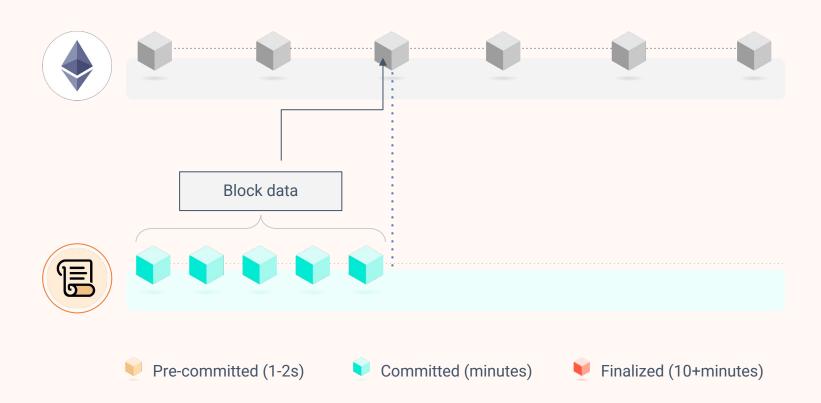




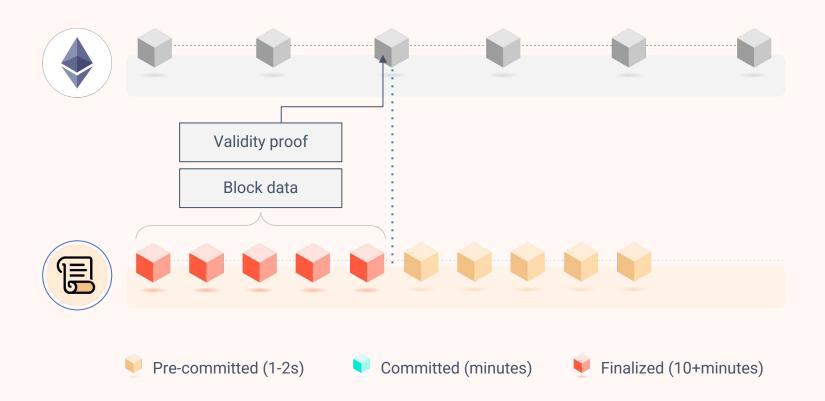




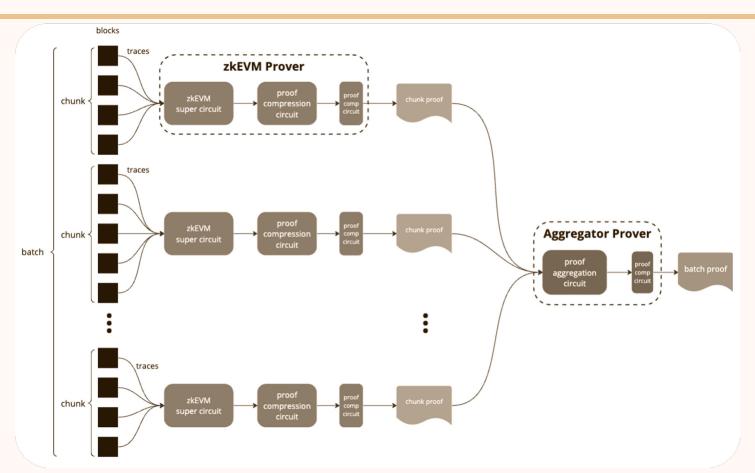












Build for users and developers



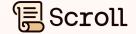
For users and devs:

Scroll = Ethereum

But cheaper and faster with a higher throughput



Build for users and developers



For users and devs:

Scroll = Ethereum

But cheaper and faster with a higher throughput



The journey with community



Privacy & Scaling Explorations (PSE)
A zk working group within the Ethereum Foundation

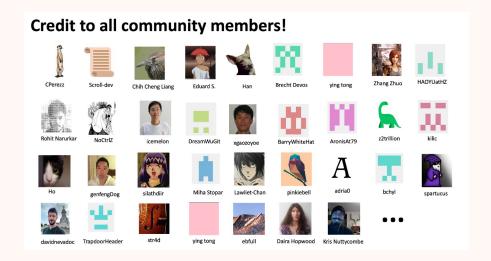


The journey with community



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The journey with community



Privacy & Scaling Explorations (PSE)

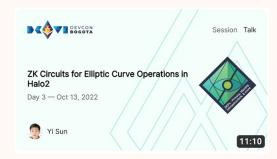
A zk working group within the Ethereum Foundation



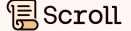


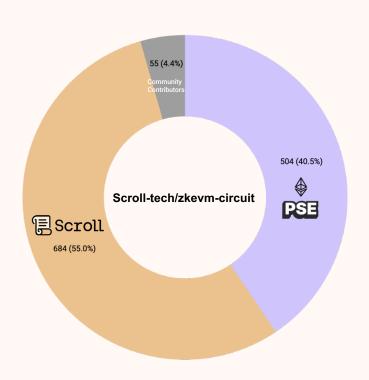






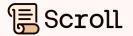
Value: Openness and Community-driven Scroll





- Full transparency anyone can review code
- The most open zkEVM from day 1
- The most community-driven zkEVM
- The only zkEVM that supports ecPairing

Security first



Security Audit









Kalos



Trail of Bits 0

OpenZeppelin

Zellic

ABDK

Replay Txs from different networks

Standard EVM test vectors

Fuzzing for zkEVM opcodes

In-house Security Team



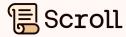
Reuse Geth
Fully open-source
Many more...

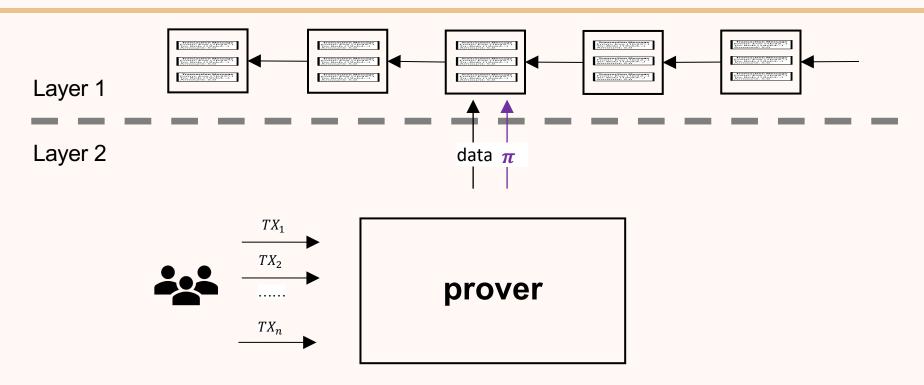
Outline



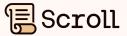
- Background & motivation
- zkEVM circuit arithmetization
- zkEVM prover optimization
- Something interesting to share

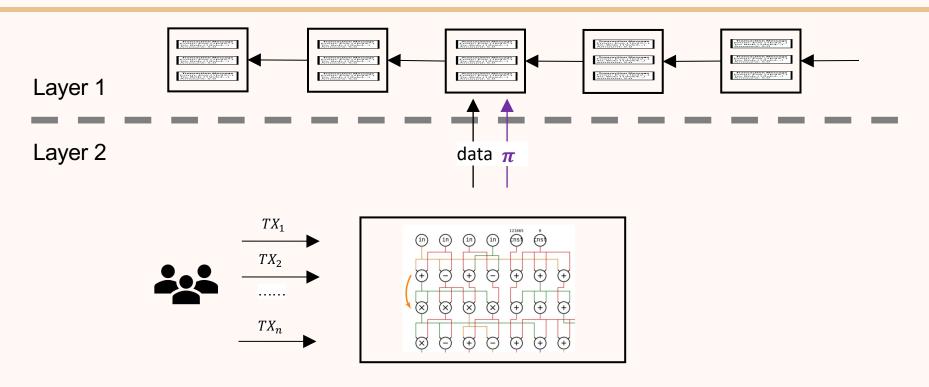
Zk-Rollup



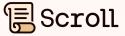


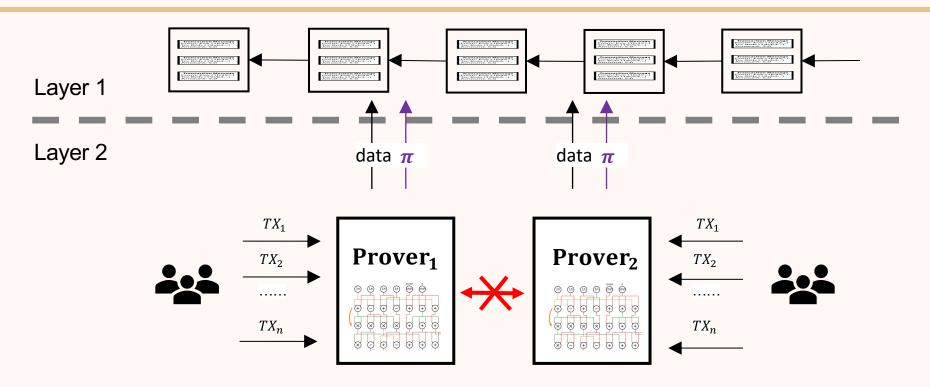
However, ...



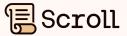


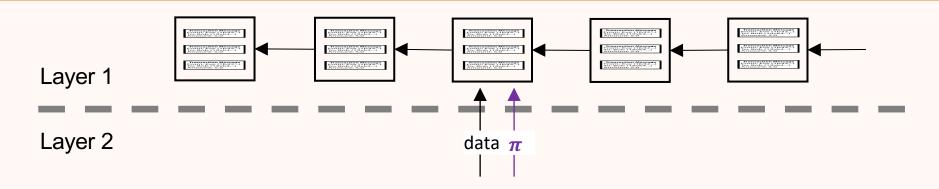
However, ...

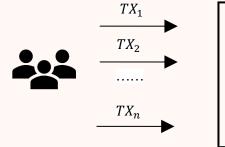




Zk-Rollup





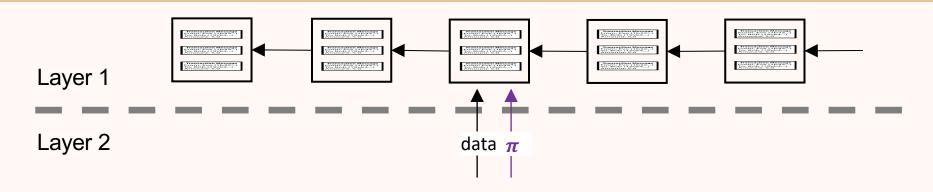


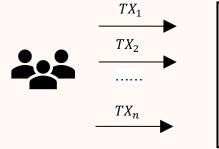


- Developer friendly
- Composability
- Hard to build 🕾
- Large proving overhead ⊗

Zk-Rollup



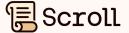






- Polynomial commitment
- Lookup + Custom gate
- Hardware acceleration
- Recursive proof

zkEVM flavors (by Justin Drake)



Language level

Transpile an EVM-friendly language (Solidity or Yul) to a SNARK-friendly VM which differs from the EVM. This is the approach of Matter Labs and Starkware.

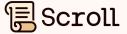
Bytecode level

Interpret EVM bytecode directly, though potentially producing different state roots than the EVM, e.g. if certain implementation-level data structures are replaced with SNARK-friendly alternatives. This is the approach taken by Scroll, Hermez, and Consensys.

Consensus level

Target full equivalence with EVM as used by Ethereum L1 consensus. That is, it proves validity of L1 Ethereum state roots. This is part of the "zk-SNARK everything" roadmap for Ethereum.

zkEVM flavors (by Justin Drake)



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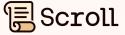
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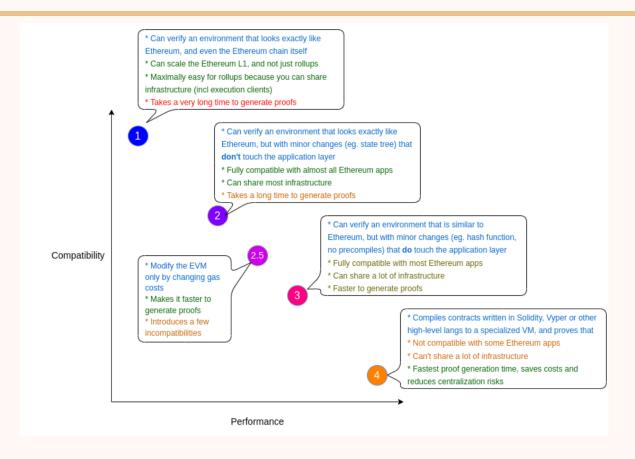
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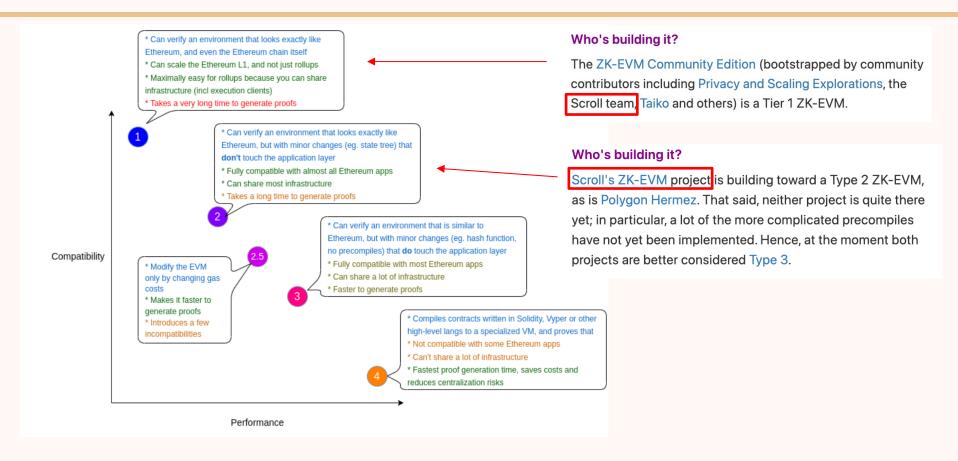
zkEVM flavors (by Vitalik)



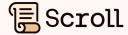


zkEVM flavors (by Vitalik)





Outline



- Background & motivation
- zkEVM circuit arithmetization
- zkEVM prover optimization
- Something interesting to share

The workflow of zero-knowledge proof





Constraints

Proof

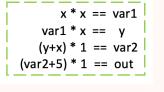
```
def hcf(x, y):
    if x > y:
        smaller = y
    else:
        smaller = x

for i in range(1, smaller + 1):
        if((x % i == 0) and (y % i == 0)):
        hcf = i

return hcf
```





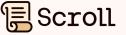


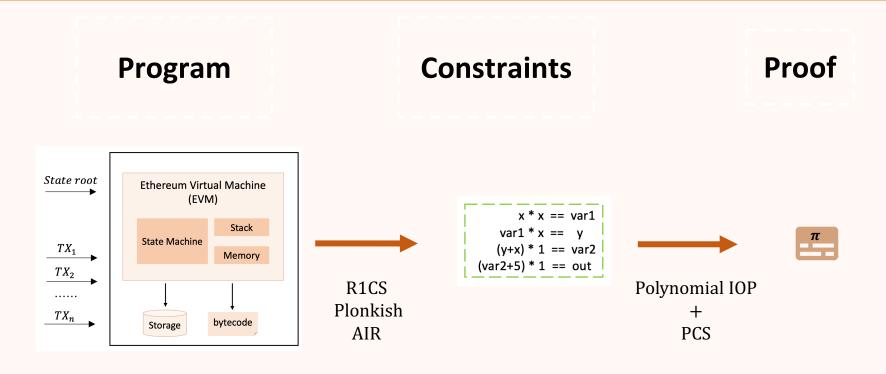




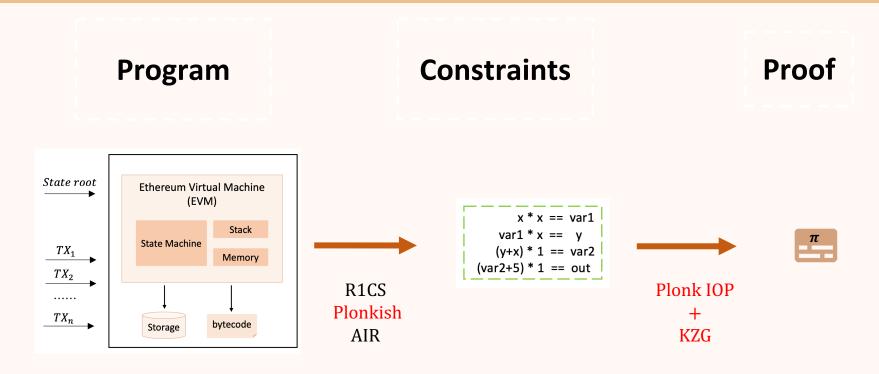
Polynomial IOP +

PCS

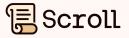


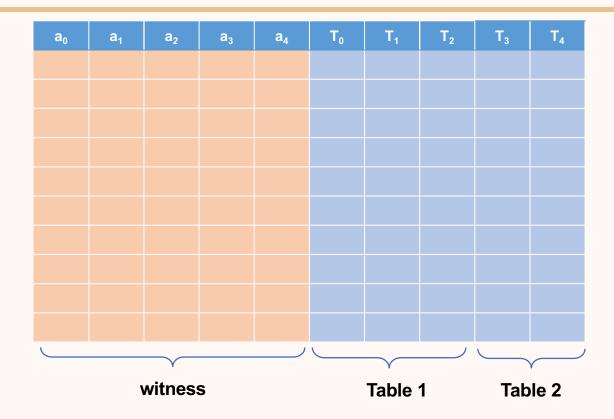




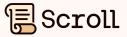


Plonkish Arithmetization



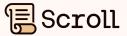


Plonkish Arithmetization

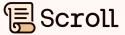


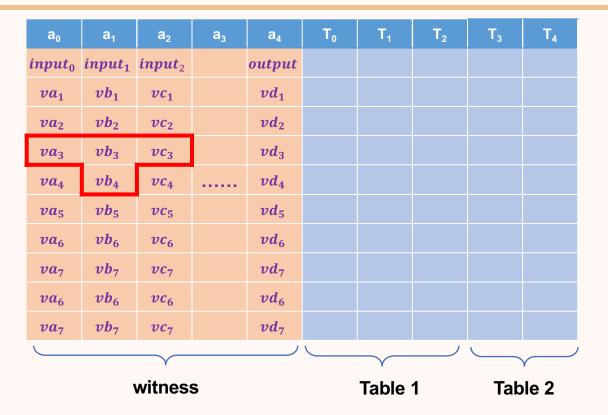
	a ₀	a ₁	a ₂	a ₃	a ₄	T _o	T ₁	T ₂	T ₃	T ₄
	$input_0$	$input_1$	input ₂		output					
	va_1	vb_1	vc_1		vd_1					
	va_2	vb_2	vc_2		vd_2					
	va_3	vb_3	vc_3		vd_3					
	va_4	vb_4	vc_4		vd_4					
	va_5	vb_5	vc_5		vd_5					
	va_6	vb_6	vc_6		vd_6					
	va_7	vb_7	vc_7		vd_7					
	va_6	vb_6	vc_6		vd_6					
	va_7	vb_7	vc_7		vd_7					
witness							Table 1 Table			le 2

31



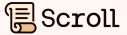
a ₀	a ₁	a ₂	a ₃	a ₄	T ₀	T ₁	T ₂	T ₃	T ₄
$nput_0$	$input_1$	input ₂		output					
va_1	vb_1	vc_1		vd_1					
va_2	vb_2	vc_2		vd_2					
va ₃	vb_3	vc_3		vd_3					
va_4	vb_4	vc_4		vd_4					
va_5	vb_5	vc_5		vd_5					
va_6	vb_6	vc_6		vd_6					
va_7	vb_7	vc_7		vd_7					
va_6	vb_6	vc_6		vd_6					
va_7	vb_7	vc_7		vd_7					
	,	witnes	S			Table '	1	Tab	le 2





$$va_3*vb_3*vc_3-vb_4=0$$

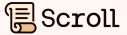
- High degree
- More customized

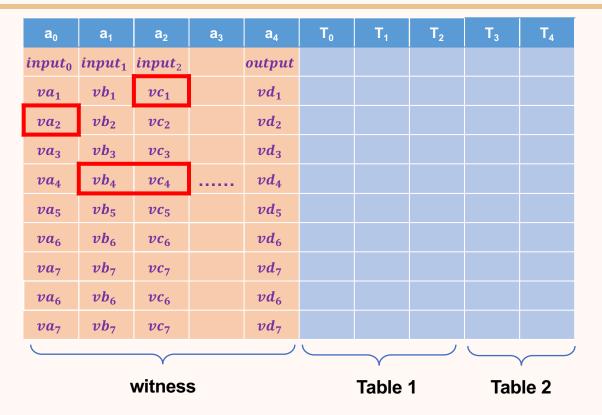


a_0	a ₁	a ₂	a ₃	a ₄	T ₀	T ₁	T ₂	T ₃	T ₄
$input_0$	$input_1$	input ₂		output					
va_1	vb_1	vc_1		vd_1					
va_2	vb_2	vc_2		vd_2					
va_3	vb_3	vc_3		vd_3					
va_4	vb_4	vc_4		vd_4					
va_5	vb_5	vc_5		vd_5					
va_6	vb_6	vc_6		vd_6					
va_7	vb_7	vc_7		vd_7					
va_6	vb_6	vc_6		vd_6					
va_7	vb_7	vc_7		vd_7					
	,	witnes	S		Table 1 Table 2			le 2	

$$vb_1 * vc_1 + vc_2 - vc_3 = 0$$

- High degree
- More customized

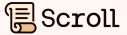


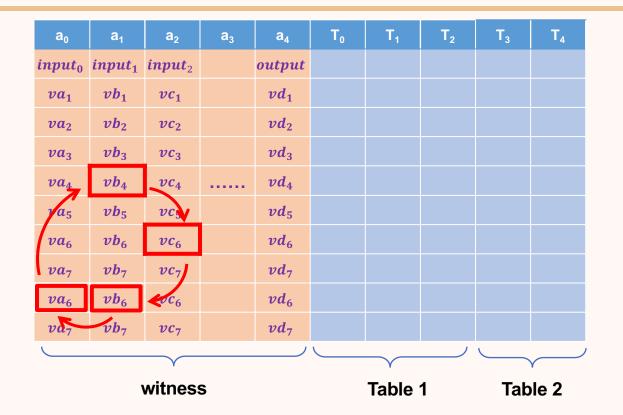


$$vc_1 + va_2 * vb_4 - vc_4 = 0$$

- High degree
- More customized

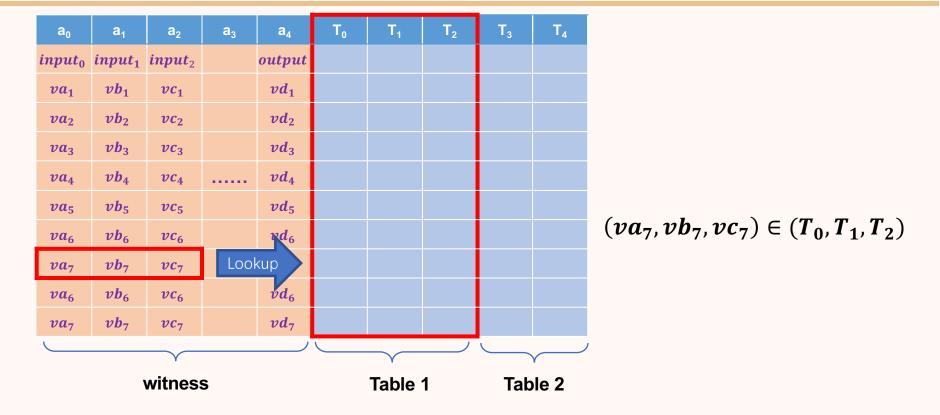
Plonkish Arithmetization – Permutation

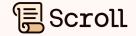




$$vb_4 = vc_6 = vb_6 = va_6$$

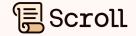






a_0	a ₁	a ₂	a ₃	a ₄	T ₀	T ₁	T ₂	T ₃	T ₄	
$input_0$	$input_1$	input ₂		output	0000					
va_1	vb_1	vc_1		vd_1	0001					
va_2	vb_2	vc_2		vd_2	0010					
va_3	vb_3	vc_3		vd_3	0011					
va_4	vb_4	vc_4		vd_4	0100					
va_5	vb_5	vc_5		vd_5	0101					
va_6	vb_6	vc ₆		nd_6						
va_7	vb_7	vc_7	Lool	кир	1101					
va_6	vb_6	vc ₆		vd_6	1110					
va_7	vb_7	vc_7		vd_7	1111					
	•	witnes	S		Table	1	Tab	le 2		

 $vc_7 \in [0, 15]$



a_0	a ₁	a ₂	\mathbf{a}_3	a ₄	T ₀	T ₁	T ₂	T ₃	T ₄
$input_0$	$input_1$	input ₂		output	0000	0000	0000		
va_1	vb_1	vc_1		vd_1	0000	0001	0001		
va_2	vb_2	vc_2		vd_2	0000	0010	0010		
va_3	vb_3	vc_3		vd_3	0000	0011	0011		
va_4	vb_4	vc_4		vd_4	0000	0100	0100		
va_5	vb_5	vc_5		vd_5	0000	0101	0101		
va_6	vb_6	vc_6		nd_6					
va_7	vb_7	vc_7	Lool	kup	1111	1101	0010		
va_6	vb_6	vc ₆		vd_6	1111	1110	0001		
va_7	vb_7	vc_7		vd_7	1111	1111	0000		
	•	witnes	S		Table 1			Tab	le 2

$$vc_7 \in [0, 15]$$

$$va_7 \oplus vb_7 = vc_7$$



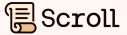
a ₀	a ₁	a ₂	a ₃	a ₄	T ₀	T ₁	T ₂	T ₃	T ₄
$input_0$	$input_1$	input ₂		output	0000	0000	0000		
va_1	vb_1	vc_1		vd_1	0000	0001	0001		
va_2	vb_2	vc_2		vd_2	0000	0010	0010		
va_3	vb_3	vc_3		vd_3	0000	0011	0011		
va_4	vb_4	vc_4		vd_4	0000	0100	0100		
va_5	vb_5	vc_5		vd_5	0000	0101	0101		
va_6	vb_6	vc ₆		rd_6					
va_7	vb_7	vc_7	Loo	kup	1111	1101	0010		
va_6	vb_6	vc_6		vd_6	1111	1110	0001		
va_7	vb_7	vc_7		vd_7	1111	1111	0000		
	•	witnes	S			Table	Tab	le 2	

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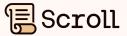
RAM operation

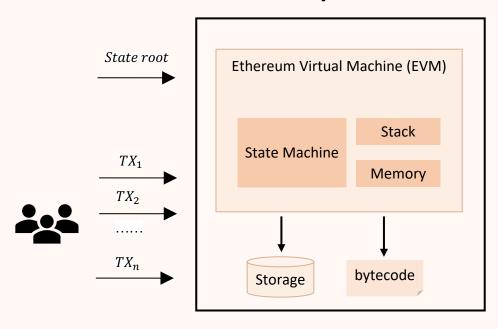
Plonkish Arithmetization – Constraints



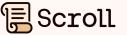
a ₀	a ₁	a ₂	a ₃	a ₄	T _o	T ₁	T ₂	T ₃	T ₄
$input_0$	$input_1$	input ₂		output					
va_1	vb_1	vc_1		vd_1					
va_2	vb_2	vc_2		vd_2					
va_3	vb_3	vc_3		vd_3					
va_4	vb_4	vc_4		vd_4					
va_5	vb_5	vc_5		vd_5					
va_6	vb_6	vc_6		vd_6					
va_7	vb_7	vc_7		vd_7					
va_6	vb_6	vc_6		vd_6					
va_7	vb_7	vc_7		vd_7					
	•	witnes	S			Table	1	Table	e 2

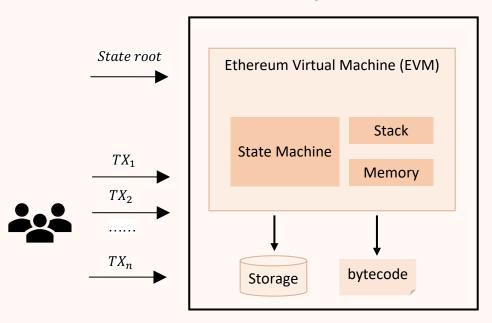
How should we choose "front-end"?





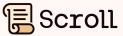
Why Plonkish arithmetization?

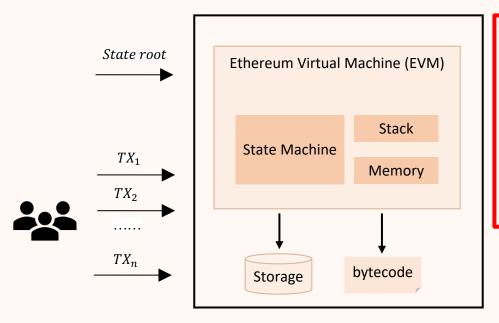




- EVM word size is 256bit
 - Efficient range proof
- EVM has zk-unfriendly opcodes
 - Efficient way to connect circuits
- Read & Write consistency
 - Efficient mapping
- EVM has a dynamic execution trace
 - Efficient on/off selectors

Why Plonkish arithmetization?

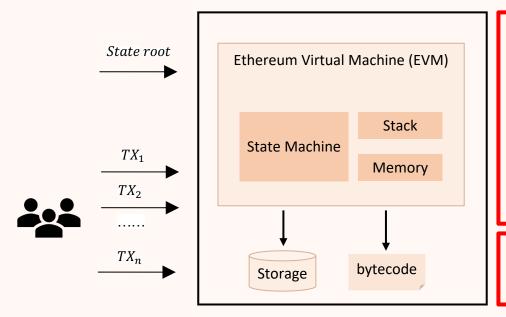




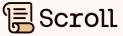
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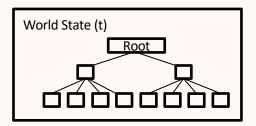
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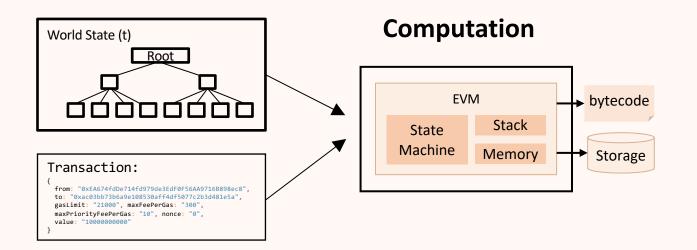




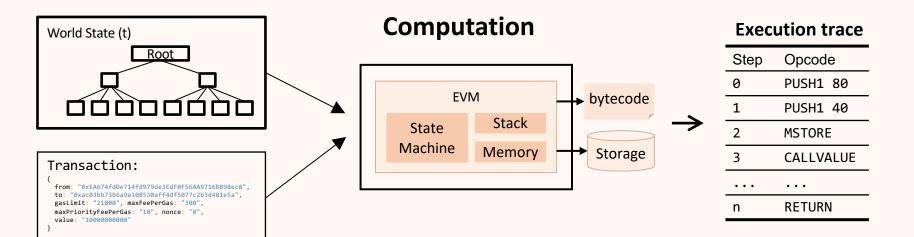
```
Transaction:

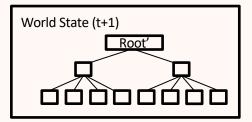
{
   from: "0xEA674fdDe714fd979de3EdF0F56AA9716B898ec8",
   to: "0xac03bb73b6a9e108530aff4df5077c2b3d481e5a",
   gasLimit: "21000", maxFeePerGas: "300",
   maxPriorityFeePerGas: "10", nonce: "0",
   value: "100000000000"
}
```



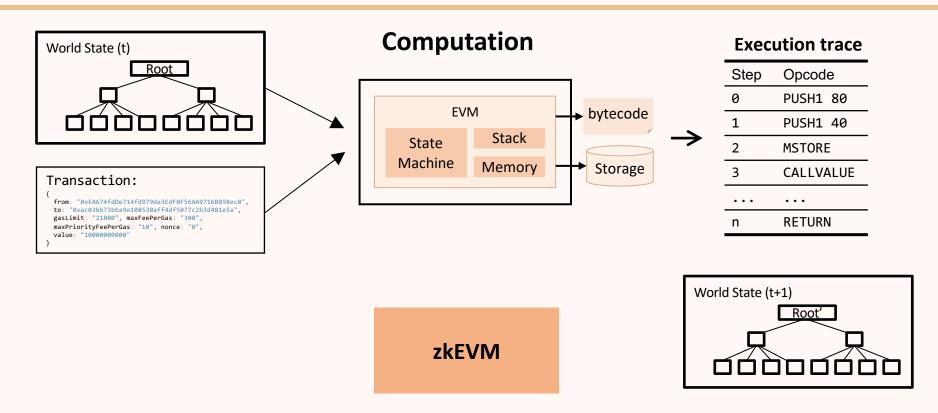




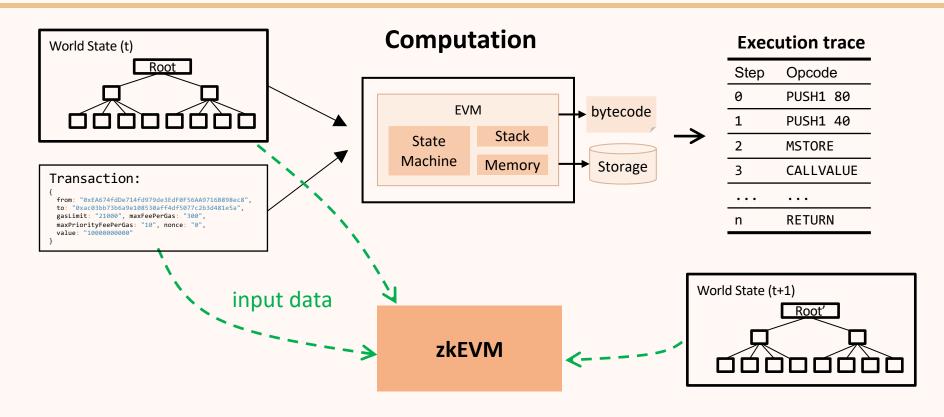


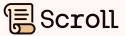


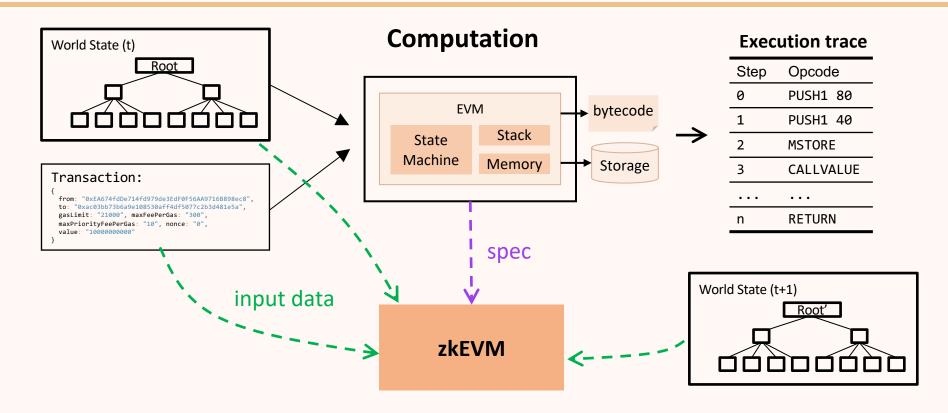




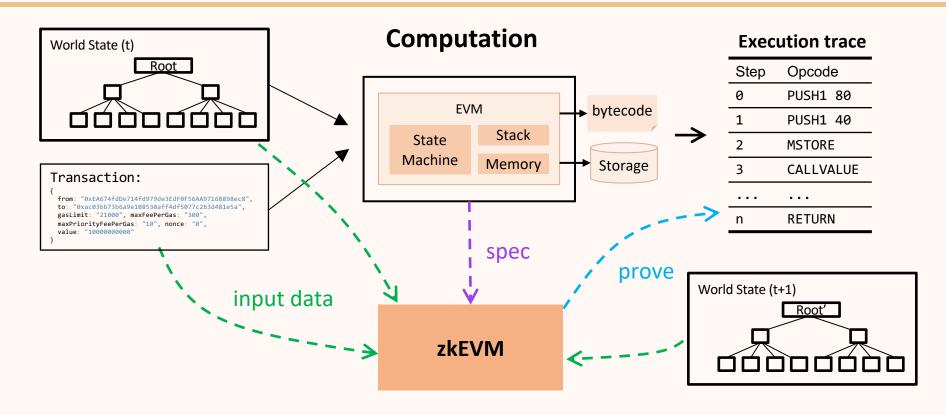


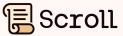


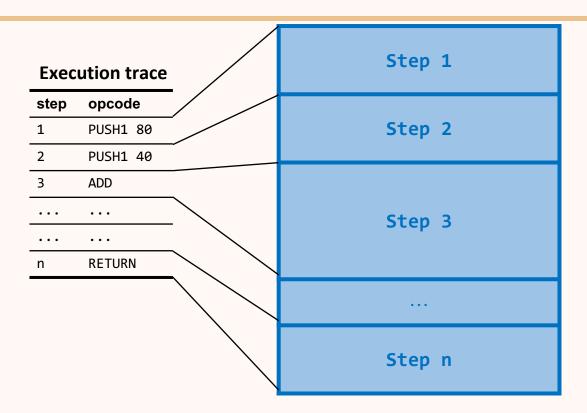


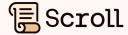


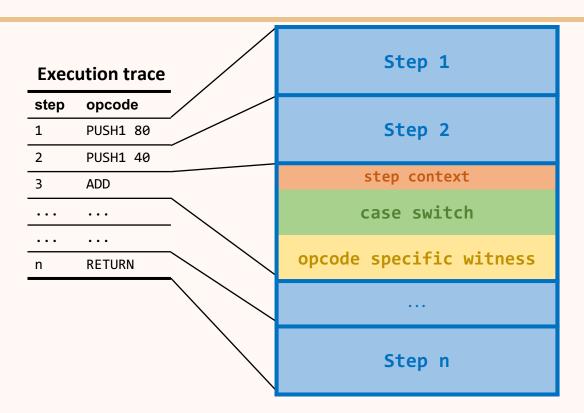


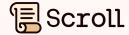


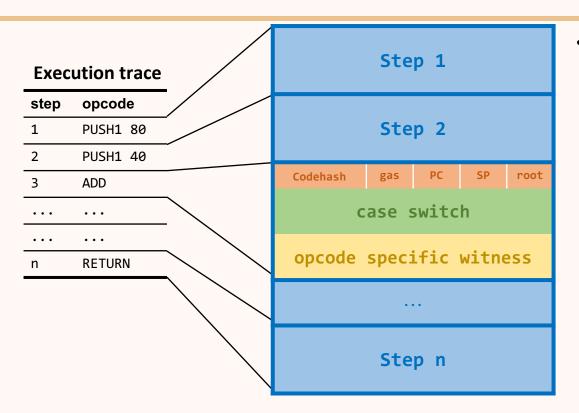






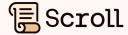


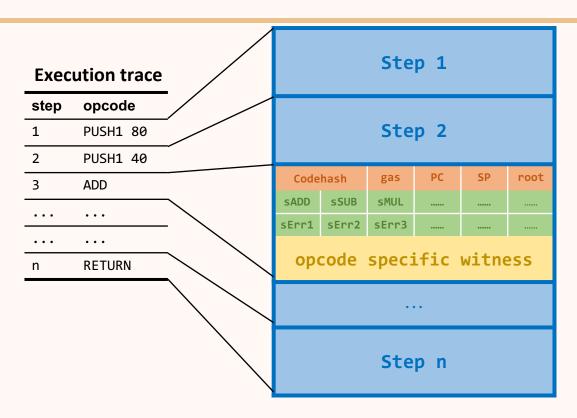




Step context

- Codehash
- Gas left
- Program counter, Stack pointer





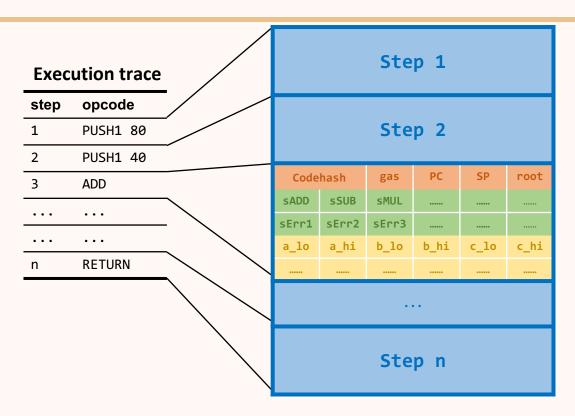
Step context

- Codehash
- Gas left
- Program counter, Stack pointer

Case switch

- Select opcodes & error cases
- Exactly one is switched on





Step context

- Codehash
- Gas left
- Program counter, Stack pointer

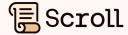
Case switch

- Select opcodes & error cases
- Exactly one is switched on

Opcode specific witness

- Extra witness used for opcodes
- i.e. operands, carry, limbs, ...

EVM circuit - ADD





Step 2

Code	hash	gas	PC	SP	root
sADD	sSUB	sMUL	******	*****	•••••
sErr1	sErr2	sErr3	*****	*****	•••••
a_lo	a_hi	b_lo	b_hi	c_lo	c_hi
•••••		•••••	•••••	•••••	*****
codeł	nash'	gas'	PC'	SP'	root'

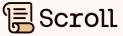
Step n

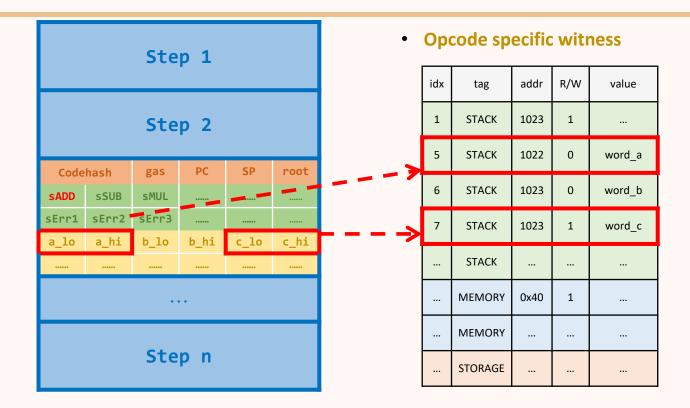
Step context

Case switch

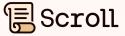
Opcode specific witness

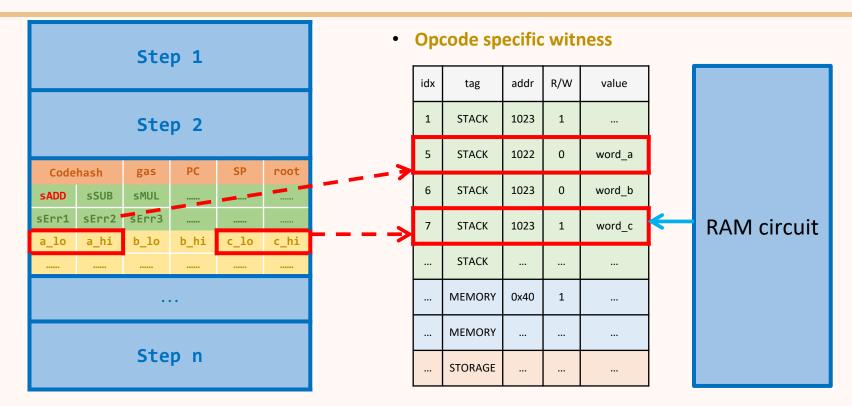
EVM circuit - ADD



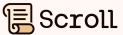


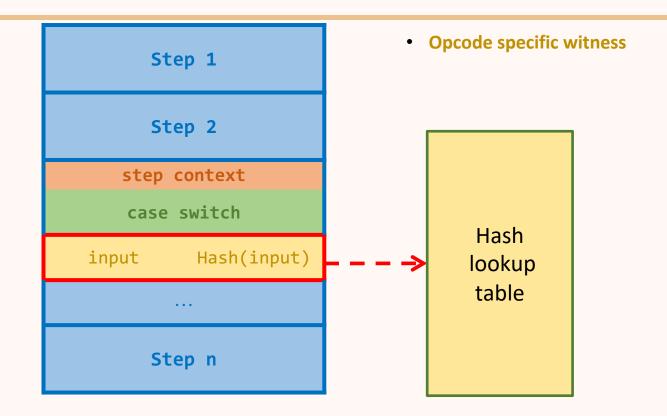
EVM circuit - ADD



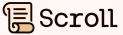


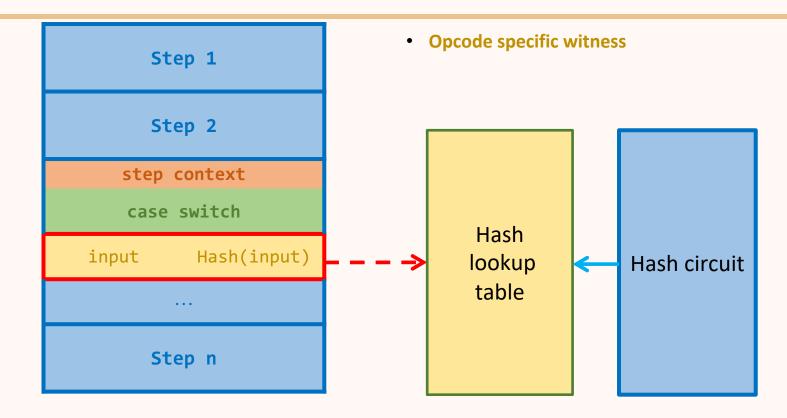
EVM circuit - Hash



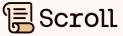


EVM circuit - Hash

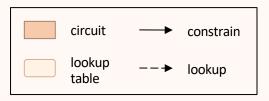




The architecture of zkEVM circuits

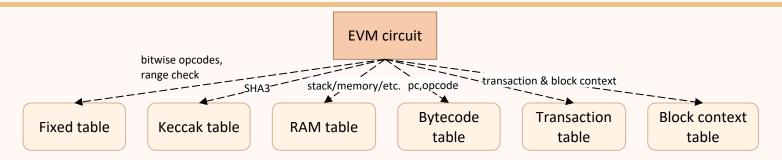


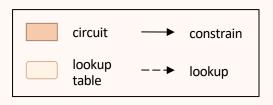
EVM circuit



The architecture of zkEVM circuits

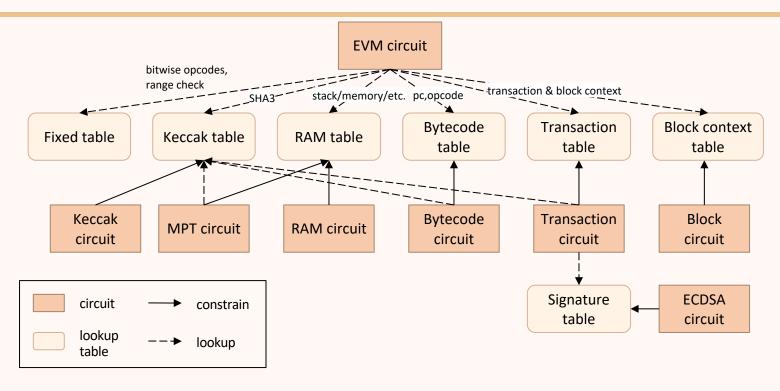




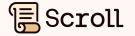


The architecture of zkEVM circuits





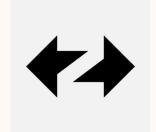
Different zkEVM comparsion





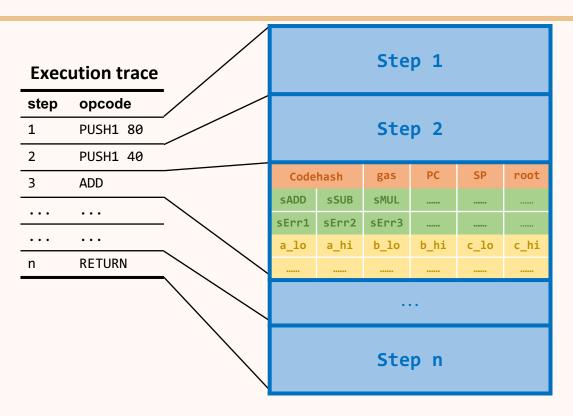






Will walk through without slides :(





Step context

- Codehash
- Gas left
- Program counter, Stack pointer

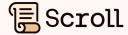
Case switch

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- Exactly one is switched on

Opcode specific witness

- Extra witness used for opcodes
- i.e. operands, carry, limbs, ...

Outline

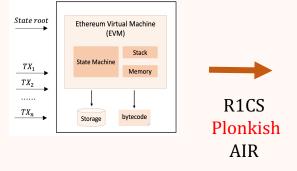


- Background & motivation
- zkEVM circuit arithmetization
- zkEVM prover optimization
- Something interesting to share

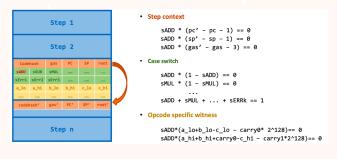
The workflow of zero-knowledge proof

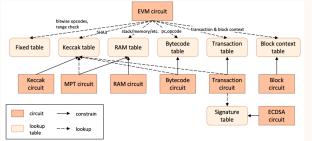






Constraints

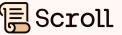




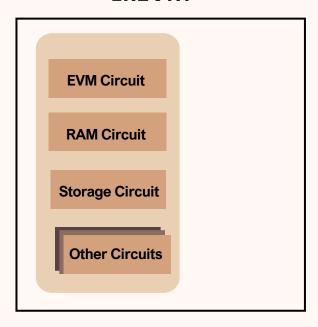
Proof



The proof system for zkEVM



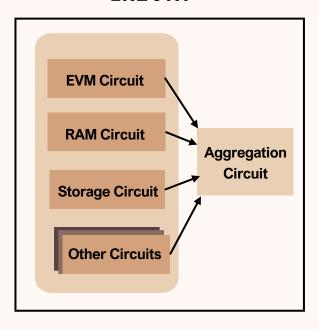
zkEVM



The proof system for zkEVM



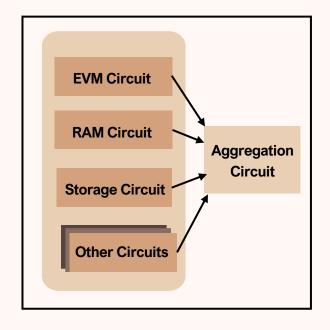
zkEVM



Two-layer architecture



zkEVM

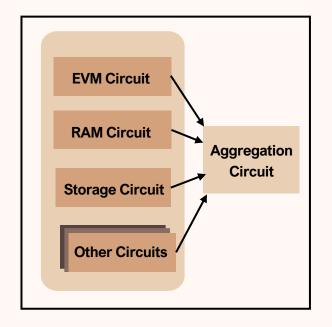


The first layer needs to handle large computation

- Custom gate, Lookup support ("expressive", customized)
- Hardware friendly prover (parallelizable, low peak memory)
- The verification circuit is small
- Transparent or Universal trusted setup
- Some promising candidates
 - Plonky2/Starky /eSTARK
 - Halo2/Halo2-KZG
 - New IOP without FFTs (i.e. HyperPlonk, Plonk without FFT)
 - If Spartan/Virgo/... (sumcheck based) or Nova can support Plonkish

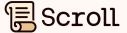
Two-layer architecture

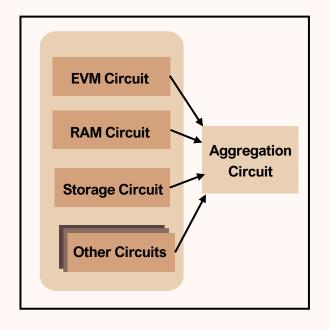




- The second layer needs to be verifier efficient (in EVM)
 - Proof is efficiently verifiable on EVM (small proof, low gas cost)
 - Prove the verification circuit of the former layer efficiently
 - Ideally, hardware friendly prover
 - Ideally, transparent or universal trusted setup
- Some promising candidates
 - Groth16
 - Plonk with very few columns
 - KZG/Fflonk/Keccak FRI (larger code rate)

Two-layer architecture

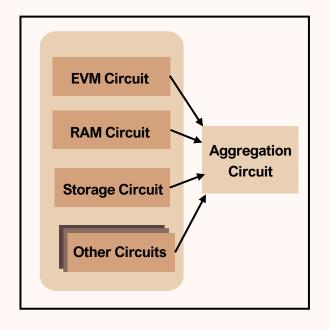




- The first layer is Halo2-KZG (Poseidon hash transcript)
 - Custom gate, Lookup support
 - Good enough prover performance (GPU prover)
 - The verification circuit is "small"
 - Universal trusted setup
- The second layer is Halo2-KZG (Keccak hash transcript)
 - Custom gate, Lookup support (express non-native efficiently)
 - Good enough prover performance (GPU prover)
 - The final verification cost can be configured to be really small

The performance

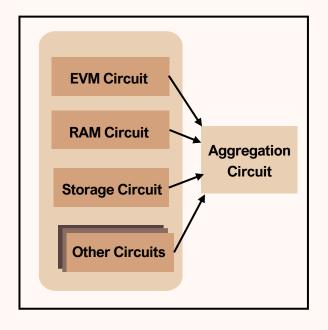




- The first layer needs to be "expressive"
 - EVM circuit has 143 columns, 5700 custom gates, 58 lookups
 - Highest custom gate degree: 9
 - For 1M gas, EVM circuit needs 2^18 rows (more gas, more rows)
- The second layer needs to aggregate proofs into one proof
 - Aggregation circuit has 23 columns, 1 custom gate, 7 lookups
 - Highest custom gate degree: 5
 - For aggregating EVM, RAM, Storage circuits, it needs 2^25 rows

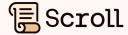
The performance





- Our GPU prover optimization
 - MSM, NTT and quotient kernel
 - Pipeline and overlap CPU and GPU computation
 - Multi-card implementation, memory optimization
- The Performance
 - For EVM circuit
 - CPU prover takes 270.5s, GPU prover takes 30s (9x speedup!)
 - For Aggregation circuit
 - CPU prover takes 2265s, GPU prover takes 149s (15x speedup!)
 - For 5M gas, first layer takes 2 minutes, second layer takes 3 minutes

Outline



- Background & motivation
- zkEVM circuit arithmetization
- zkEVM prover optimization
- Something interesting to share

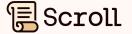


Frontend

R1CS / Plonkish/ AIR / CCS

Backend

Polynomial IOP + PCS



Frontend

R1CS / Plonkish/ AIR / CCS

Backend

Polynomial IOP + PCS

Different front-end is suitable for different applications

- R1CS if good for linear combination and general
- Plonkish/AIR is more uniform and customized
- CCS has smaller witness, but sparse matrix opening is expensive

The PCS influences concrete properties a lot

- Trusted setup, Security assumption
- Prover efficiency, Proof size, Verifier efficiency





Backend Polynomial IOP + PCS

KZG

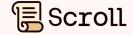
- Universal trusted setup, DLOG
- Prover is doing MSM, Verifier is doing pairing, small proof

FRI-based

- No trusted setup, hash collision
- Prover is doing hashes & FFTs, Verifier is doing hashes, large proof

IPA

- No trusted setup, DLOG
- Prover is doing MSM, Verifier is doing MSM, medium proof
- Multilinear PCS (for sum-check based constructions)



Frontend R1CS / Plonkish/ AIR / CCS

Backend Polynomial IOP + PCS

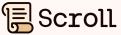
Commonly used

- Halo2: Plonkish, Plonk IOP, IPA/KZG
- Plonky2: Plonkish, Plonk IOP, FRI
- STARK: AIR, STARK IOP, FRI
- (Groth16 is based on linear PCP, not fall into this category)

New protocols based on multilinear PCS

- Spartan: R1CS, Spartan IOP, IPA derived
- HyperPlonk: Plonkish, HyperPlonk IOP, KZG/FRI derived
- Nova, SuperNova, HyperNova, Protostar

Some other considerations



Ecosystem

- Compatibility with existing libraries
- Existing projects and gadgets

Implementation

- Industrial vs academic implementation
- Consider the best practice (GPUs)
- License
- Audit

Standardize

- Community
- ZK ASICs



- Next generation zkEVM (faster, higher gas limit, etc)
- On-chain data compression, 4844 support
- Decentralized prover
- Decentralized sequencer
- Interoperability between zkRollups

Finally, ...



We are building cool things at Scroll!

- Scroll is a general purpose zkRollup scaling solution for Ethereum
- Building a native zkEVM using very advanced circuit arithmetization + proof system
- Building fast prover through hardware acceleration (GPU in production) + proof recursion
- We are live on the mainnet with a production-level robust infrastructure

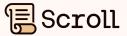
There are a bunch of interesting problems to be solved and we are hiring!

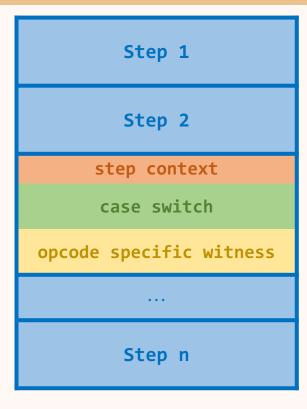
- Protocol design and mechanism design
- Zk engineer & research for practical efficiency

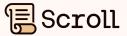


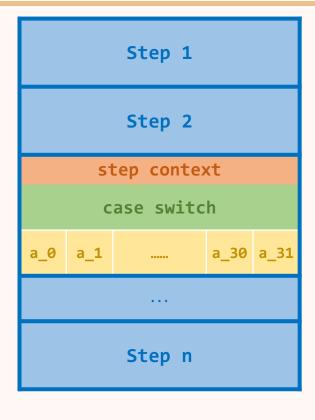
X / Twitter: @yezhang1998





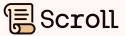


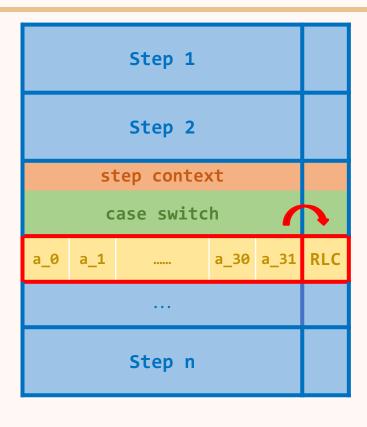




Break down 256-bit word into 32 8-bit limbs.

$$A = a_0 + a_1 * 256 + a_2 * 256^2 + \dots + a_{31} * 256^{31}$$

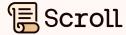


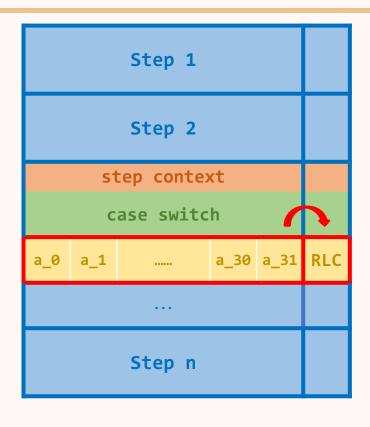


• Break down 256-bit word into 32 8-bit limbs.

$$A = a_0 + a_1 * 256 + a_2 * 256^2 + \dots + a_{31} * 256^{31}$$

$$A_{RLC} \equiv a_0 + a_1 * \theta + a_2 * \theta^2 + \dots + a_{31} * \theta^{31} \pmod{F_p}$$





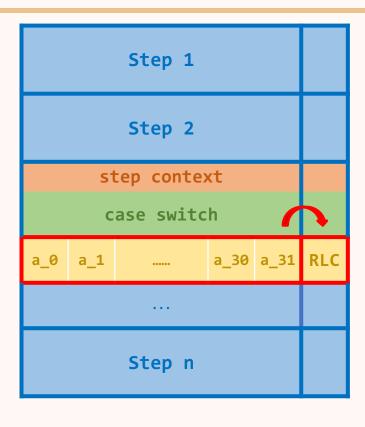
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- θ should be computed after $a_0, ..., a_{31}$ are fixed
 - Multi-phase prover: synthesis part of witness, derive witness



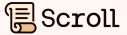


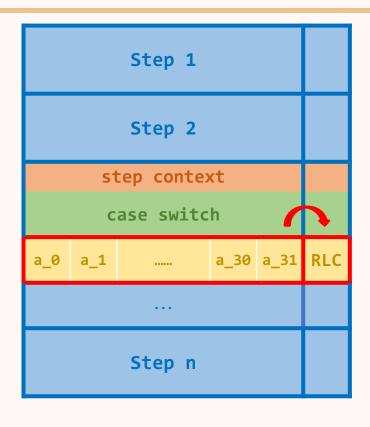
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- θ should be computed after $a_0, ..., a_{31}$ are fixed
 - Multi-phase prover: synthesis part of witness, derive witness
- RLC is useful in many places
 - Compress EVM word into one value
 - Encode dynamic length input
 - Lookup layout optimization





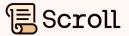
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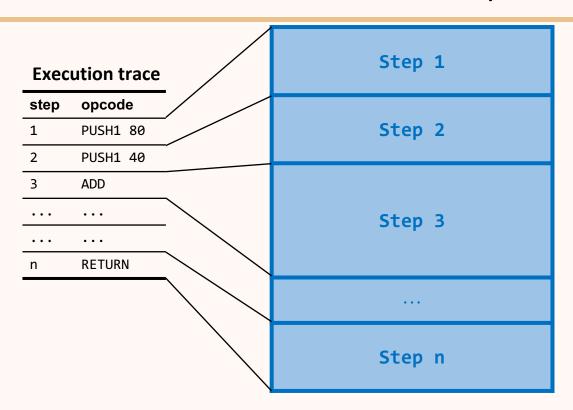
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$$A_{RLC} \equiv a_0 + a_1 * \theta + a_2 * \theta^2 + \dots + a_{31} * \theta^{31} \pmod{F_p}$$

- θ should be computed after $a_0, ..., a_{31}$ are fixed
 - Multi-phase prover: synthesis part of witness, derive witness
- RLC is useful in many places, remove it?
 - Compress EVM word into one value → high, low for EVM word
 - Encode dynamic length input → fixed chunk, dynamic times
 - Lookup layout optimization

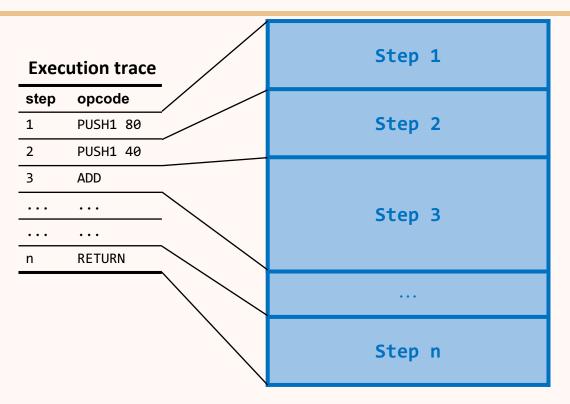
Circuit - Layout





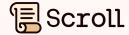
Circuit - Layout

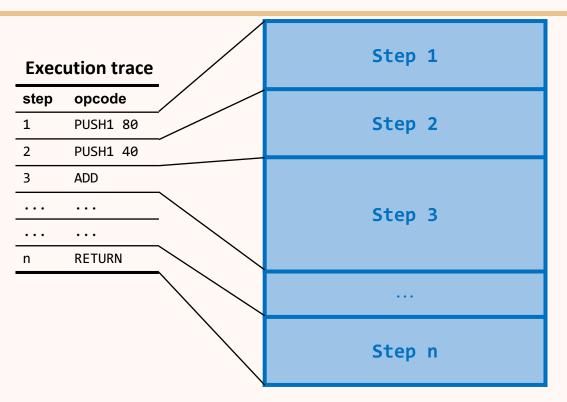




- The execution trace is dynamic
 - → enable different constraints
 - → permutation is not fixed
 - → hard to use standard gates

Circuit - Layout

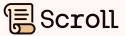


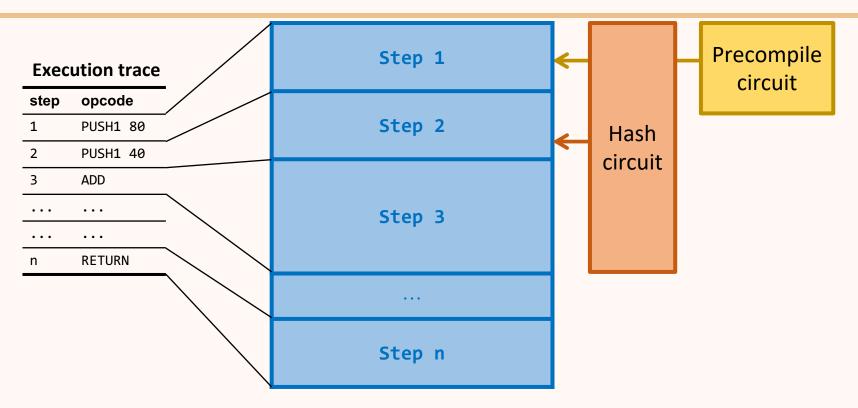


- The execution trace is dynamic
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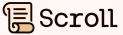
- Better way to layout?
 - We have 2000+ custom gates
 - Different rotation to access cells

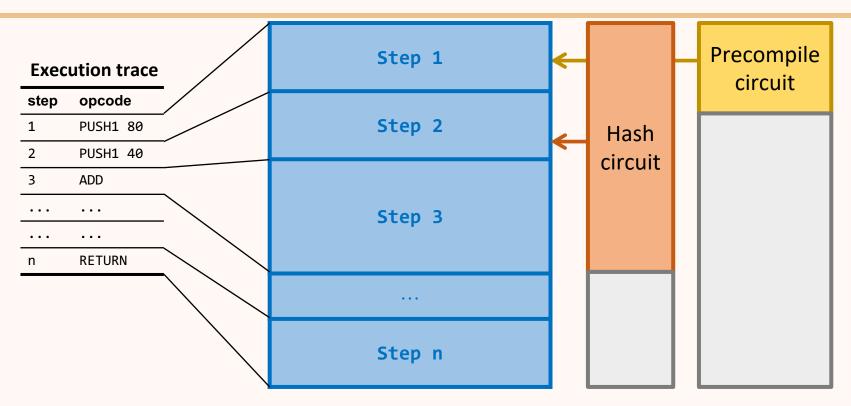
Circuit - Dynamic size



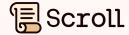


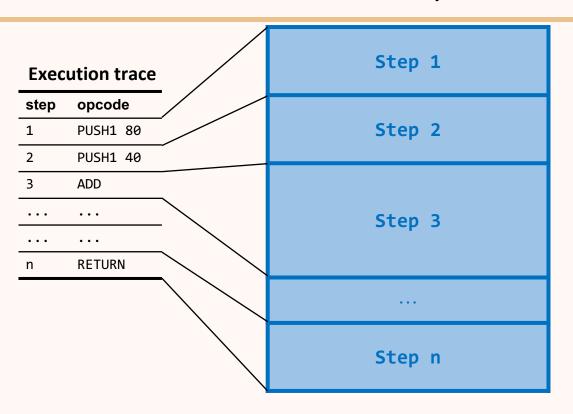
Circuit - Dynamic size





Circuit - Dynamic size



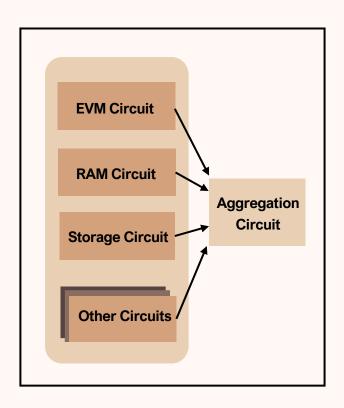


- Some bad influences
 - i.e. Mload is more costly (more rows)
 - i.e. Maximum number of Keccaks
 - i.e. Pay larger proving cost for padding

Can we make zkEVM dynamic?

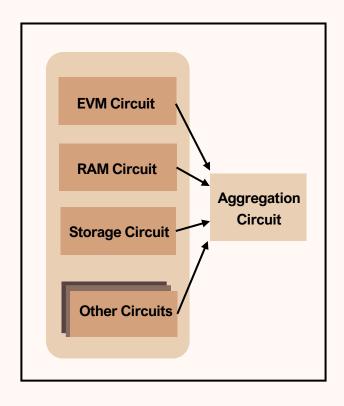
Prover – Hardware & Algorithm





Prover – Hardware & Algorithm





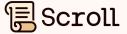
Our prover

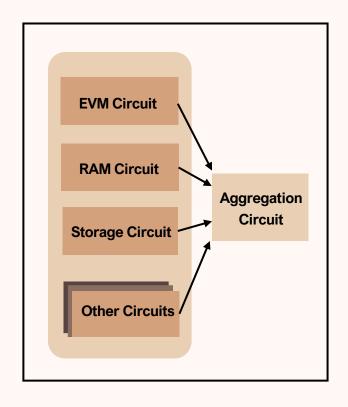
- GPU can make MSM & NTT really fast
 Bottleneck moves to witness generation & data copy
- Need large CPU memory (1TB -> 300GB+)

Hardware friendly prover?

- Parallelizable & Low peak memory
- Don't ignore the witness generation
- Run on cheap machines, more decentralized

Prover – Hardware & Algorithm





Best way to compose different proof system?

- The first layer needs to be "expressive"
- The second layer needs to be verifier efficient (in EVM)
- Should we move to smaller field?
 (Breakdown/FRI with Goldilocks, Mersenne prime)
- Should we stick to EC-based constructions?
 (SuperNova, Cyclic elliptic curve with fast MSM)
- More options waiting for you → Reach out to us!