# CS838 Project Progress Report

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#### 1 Background

We proposed a project to use deep CNN to colorize black and white images automatically. We would explore the technique transfer learning to transfer the learned weights from VGG16 to build a new model for colorizing image. We would also compare the result using transfer learning verses training the model from scratch. The report describes the progress we have made so for in our proposed project. We also address the issues we have encountered and the remaining work towards the completion of the project.

## 2 Progress

We started out by finding the suitable data set for our colorizing model. We then did some research on digital image processing with specific area in color theory and image compression. We defined the model based on the image compress technique we learned to best suited for the task for colorizing.

#### 2.1 Data Set

There are a number of data sets for computer vision available publicly. Among the available image data sets the ImageNet data set is the most famous one. Many machine learning models involving vision are trained on ImageNet images. There are approximately one million image files from 1000 categories in the ImageNet data set and about 120G in size. We downloaded (took really long time!) the entire image set and looked some images. It turned that there are many images either in black and white or were not

useful coloring examples. We believe a good coloring example image should contain a single object, the foreground and background should be distinguishable and should have good saturation and hue. It would be impossible to hand pick the good examples given the time constrain and the shear number of images in the data set. For this reason we switched to MIRFLICKR [4] which is a collection of images from the flicker picture sharing website. The MIRFLICKR pictures are very appearing visually because a lot of them were produced by photographer users from flicker.

We picked the first 10000 images (black and white images were excluded) from MIRFLICKR as our image set. The images was then split into 70% for training, 20% for tuning, and the remaining 10% for testing.

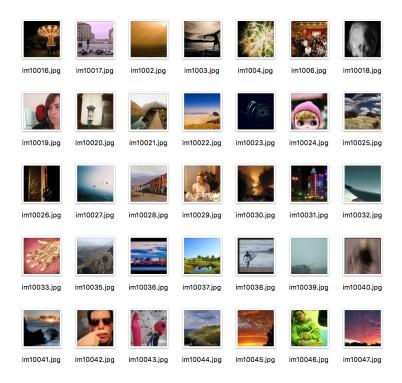


Figure 1: Some sample images from our training set

The images were come in various sizes but for out model we use accepts images of  $224 \times 224$  pixels. The rational for choosing such image size was because the images trained on VGG16 were  $224 \times 224$  pixels and we would use VGG16 weights for training our own model. To make the MIRFLICKR images compatible with our model we need to resize the images into  $224 \times 224$ 

pixels. However, instead of simply resizing the images into  $224 \times 224$  pixels, we scale the shorter side of the image to 224 pixels and crop the image along with the 224 pixel side to  $224 \times 224$  pixels. We think training on images with the correct aspect ration might give a better result for coloring. The scaling and cropping was done by a python script [1] we created.

To construct a training example from a color image we could convert the image into gray scale image and use the gray scale image as input  $\boldsymbol{X}$ , and the RGB values as the target  $\boldsymbol{Y}$ . Note, the input is a  $1\times 224\times 224$  vector and the target is a  $3\times 224\times 224$  vector. As explained by Ryan Dahl's web blog post [3] that we could convert the image from RGB color space into YUV color space, where Y is the intensity of the image, and UV are two chrominance components of the image. This reduces the target vector  $\boldsymbol{Y}$  to  $2\times 224\times 224$ . The following figure shows the original image, the Y component, the U component, and the V component.



Figure 2: The original picture and its YUV components

#### 2.2 Model

We use the VGG16 model as our starting model as shown in Figure 3. The VGG16 has an output layer of 1000 units with Softmax activation. The output layer is designed in such a way that it can be used to classify image from a thousand categories. Our initial design of transfer learning was that after loading the weights for the model we remove the final layer and replace with a  $2 \times 224 \times 224$  regression unit. For activation function we use the hyperbolic tangent function. This proved to be a disaster as the number of parameters in the model skyrocketed to 545, 402, 688! from 138, 357, 544.

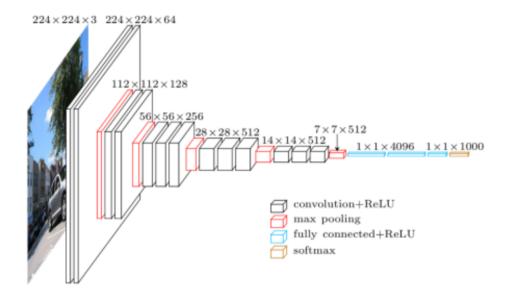


Figure 3: The VGG16 model visualization

It turns out that YUV color space is closely related YCbCr color space which was used for digital encoding of color information suited for video and still-image compression and transmission such as MPEG and JPEG [5]. For our use we could simply treat UV as same as CbCr. The main difference between these two color spaces was scaling. Since we scale pixel values between -1 and 1, it wouldn't make any difference for us. The nice property of YCbCr color space was that human eyes are far more sensitive to change in intensity than color. This allowed us to throw away most of the CbCr information while still maintain the image quality. The process of throwing away color information is called color space compression.

We experimented around different compression rate and it appeared that we can throw away 80% of the color information and the image still maintains very good color quality.



Figure 4: Image on the left with 90% color space compression

As show in the figure above, the image on the left is the original image, the image on the right has 90% of the color information removed.

Table 1: The colorize model (with color space compression) based on VGG16 architecture

Layer (type)	Output Shape	Parameters
input 1 (Input Layer)	(None, 224, 224, 3)	0
block 1 conv 1 (Conv 2D)	(None, 224, 224, 64)	1792
block 1 conv 2 (Conv 2D)	(None, 224, 224, 64)	36928
block 1 pool (Max Pooling 2D)	(None, 112, 112, 64)	0
block 2 conv 1 (Conv 2D)	(None, 112, 112, 128)	73856
block 2 conv 2 (Conv 2D)	(None, 112, 112, 128)	147584
block 2 pool (Max Pooling 2D)	(None, 56, 56, 128)	0
block 3 conv 1 (Conv 2D)	(None, 56, 56, 256)	295168
block 3 conv 2 (Conv 2D)	(None, 56, 56, 256)	590080
block 3 conv 3 (Conv 2D)	(None, 56, 56, 256)	590080
block 3 pool (Max Pooling 2D)	(None, 28, 28, 256)	0
block 4 conv 1 (Conv 2D)	(None, 28, 28, 512)	1180160
block 4 conv 2 (Conv 2D)	(None, 28, 28, 512)	2359808
block 4 conv 3 (Conv 2D)	(None, 28, 28, 512)	2359808
block 4 pool (Max Pooling 2D)	(None, 14, 14, 512)	0
block 5 conv 1 (Conv 2D)	(None, 14, 14, 512)	2359808
block 5 conv 2 (Conv 2D)	(None, 14, 14, 512)	2359808
block 5 conv 3 (Conv 2D)	(None, 14, 14, 512)	2359808
block 5 pool (Max Pooling 2D)	(None, 7, 7, 512)	0
flatten (Flatten)	(None, 25088)	0
fc 1 (Dense)	(None, 4096)	102764544
fc 2 (Dense)	(None, 4096)	16781312
colorize (Dense)	(None, 2178)	8923266

We discarded 85% of the color information, that is we resize the chrominance components UV to 15% of its original size using bi-cubic interpolation. This process reduced the UV size down to  $33\times33$  from  $224\times224$ . We adjusted the output layer to the size of reduced color dimension and the parameters went from 545,402,688 to 143,183,810.

### 2.3 Training

We trained out model on 1500 images with 50 epoch, the training set is hardly large enough to train a complex task such as colorization, but due to the limited computing resources (more on that in the Challenges section) and time constrain, we had to settle with 1500 samples. The training process took about 25 hours to complete. We hadn't really had a chance to try different hyper-parameters, optimizers, and loss functions. We simply configured our model to use GSD and MSE.

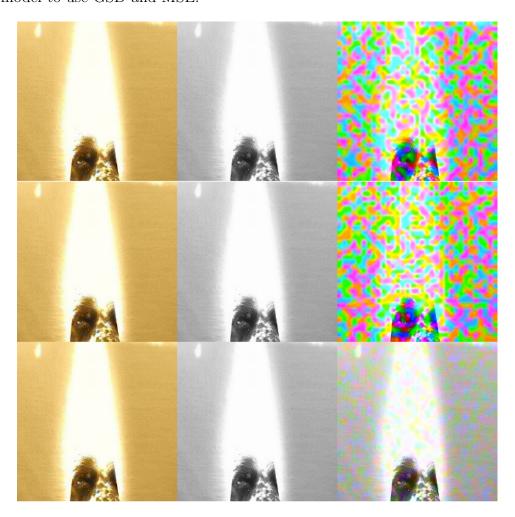


Figure 5: Predictions in various epoch, left the ground truth, middle the input image, right the predicted image

# Here are some predicted images:









### 3 Challenges

One of the major challenges were getting the various pieces of software to work on our MacPro workstation machine. We used OpenCV for image manipulations however OpenCV did not have bindings for Python3 and we had to build it from source and it was not an easy task due to various dependent components we also had to build.

For tensorflow the default version installed via pip was not optimized to use vector instructions (such as SSE, AVX) on Intel processors. After much research on the Internet we were able to build the tensorflow from source. Getting tensorflow to work with GPU (Nvidia CUDA library, don't even try OpenCl!) was another challenge in that the CUDA library is very fussy on the versions of LLVM compiler on OSX. We had to downgrade the LLVM compiler on our Mac to a lower version in order to compile with the CUDA library.

The major shock came as we try to train our model on GPU enabled tensorflow we discovered that our GPU, nVidia GeForce GTX 660, only supports CUDA compute capability 3.0 whatever that is, but the minimum requirement for tensorflow is 3.5. We were not be able to run every planned experiments.

#### 4 Remaining Tasks

So far we were only able to train 1500 samples with 50 epochs. Each epoch took about half hour to complete. Ideally we would like to train at lease 7000 examples, but due to the GPU failure and the given time constrain, we

were not able to perform such tarining. With that said we would like to do the following:

- (i) Modify our loss function to better optimize colorization. It might be worth while to try a Euclidean distance between the true and predicted color components:  $\sqrt{(U-\hat{U})^2+(V-\hat{V})^2}$
- (ii) Plot the model accuracy and model loss curve
- (iii) Try some different optimization such as Adam update, batch normalization, etc.
- (iv) If time permits create visualization of weights in various layers [2].

# References

- [1] CHEONG, S. Python script for preprocessing the image data. GitHub source code https://github.com/sekcheong/colorize/blob/master/src/preprocess.py.
- [2] CHOLLET, F. How convolutional neural networks see the world. https://blog.keras.io/how-convolutional-neural-networks-see-the-world.html.
- [3] Dahl, R. Automatic colorization. http://tinyclouds.org/colorize/.
- [4] M.J. Huiskes, M. L. The mir flickr retrieval evaluation. ACM International Conference on Multimedia Information Retrieval (2008).
- [5] WIKIPEDIA. Yuv. https://en.wikipedia.org/wiki/YUV.