

1. Implement the insertion sort and merge sort algorithms with any programming language you choose and run them with input number lists. Generate the list elements with a random function and increase the list size incrementally until you find the execution time of your merge sort program is consistently shorter. Draw the two curves in a figure (execution time vs input list size) about the two programs. Using the example to discuss why the asymptotic analysis is meaningful. Attached program codes in your submission.

## Merge Sort

```
import random
import time
import timeit

start = time.time()

def mergeSort(arr):
    if len(arr) > 1:
        mid = len(arr) // 2
        L = arr[:mid]
        R = arr[mid:]

        mergeSort(L)
        mergeSort(R)

        i = j = k = 0

        while i < len(L) and j < len(R):
            if L[i] < R[j]:
                arr[k] = L[i]
                i += 1
            else:
                arr[k] = R[j]
                j += 1
            k += 1

        while i < len(L):
            arr[k] = L[i]
            i += 1
            k += 1

        while j < len(R):
            arr[k] = R[j]
            j += 1
            k += 1

def printList(arr):
```

### REFERENCE:

[HTTPS://ATEKIHCAN.GITHUB.IO/CLRS/P02-01/](https://atekihcan.github.io/CLRS/P02-01/)  
[HTTPS://WWW.GEEKSFORGEEKS.ORG](https://www.geeksforgeeks.org)

```
    for i in range(len(arr)):
        print(arr[i],end=" ")
    print()

if __name__ == '__main__':
    res = random.sample(range(1, 10000), 20)

    print ("Given array is", end="\n")
    printList(res)
    mergeSort(res)
    print("Sorted array is: ", end="\n")
    printList(res)

end = time.time()
print(end - start)
```

## Insertion Sort

```
import random
import time

start = time.time()

def insertionSort(arr):

    for i in range(1, len(arr)):

        key = arr[i]

        j = i-1
        while j >=0 and key < arr[j] :
            arr[j+1] = arr[j]
            j -= 1
        arr[j+1] = key

res = random.sample(range(1, 10000), 100)
print ("Given array is", end="\n")
print(res)
insertionSort(res)
print ("Sorted array is:")
print(res)

end = time.time()
print(end - start)
```

## REFERENCE:

[HTTPS://ATEKIHCAN.GITHUB.IO/CLRS/P02-01/](https://atekihcan.github.io/CLRS/P02-01/)  
[HTTPS://WWW.GEEKSFORGEEKS.ORG](https://www.geeksforgeeks.org)

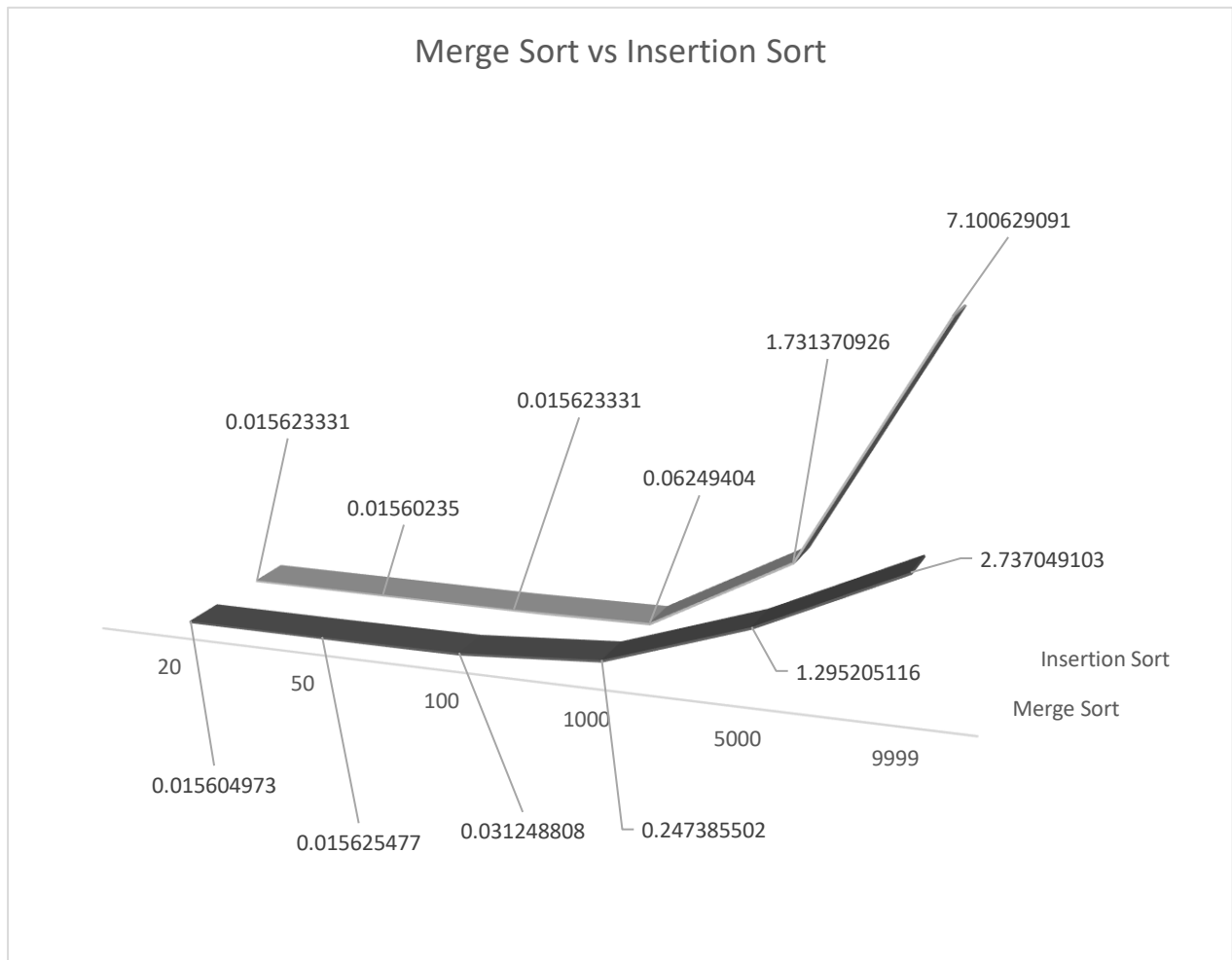


Figure 1

## 2. Insertion Sort on Small Arrays in Merge Sort

Although merge sort runs in  $\Theta(n \lg n)$  worst-case time and insertion sort runs in  $\Theta(n^2)$  worst-case time, the constant factors in insertion sort make it faster for small  $n$ . Thus, it makes sense to use insertion sort within merge sort when subproblems become sufficiently small. Consider a modification to merge sort in which  $n/k$  sublists of length  $k$  are sorted using insertion sort and then merged using the standard merging mechanism, where  $k$  is a value to be determined.

1. Show that the  $n/k$  sublists, each of length  $k$ , can be sorted by insertion sort in  $\Theta(nk)$  worst-case time.
  - For input of length  $k$ , insertion sort runs on  $\Theta(k^2)$  worst-case time. So the worst-case time required to sort  $n/k$  sublists, each of length  $k$ , with insertion sort:  

$$T(k) = n/k[ak^2 + bk + c] = ank + bn + (cn/k)$$
 Now,  $k$  is an integer significantly smaller than  $n$ . So, for large values of  $n$ , we can ignore the last terms of  $T(k)$ . Thus,  $T(k) = \Theta(nk)$ .

### REFERENCE:

[HTTPS://ATEKIHCAN.GITHUB.IO/CLRS/P02-01/](https://atekihcan.github.io/CLRS/P02-01/)  
[HTTPS://WWW.GEEKSFORGEEKS.ORG](https://www.geeksforgeeks.org)

2. Show that the sublists can be merged in  $\Theta(\lg(n/k))$  worst-case time.
  - We have  $n$  elements divided into  $n/k$  sorted sublists each of length  $k$ . To merge these  $n/k$  sorted sublists and get a single sorted list of length  $n$ , we have to take 2 sublists together and continue to merge them. This will result in  $\lg(n/k)$ . And in every step, we are going to compare  $n$  elements. So the whole process will take time:  $\Theta(\lg(n/k))$ .
3. Given that the modified algorithm runs in  $\Theta(nk + \lg(n/k))$  worst-case time, what is the largest asymptotic ( $\Theta$ -notation) value of  $k$  as a function of  $n$  for which the modified algorithm has the same asymptotic running time as standard merge sort?
  - For the modified algorithm to have the same asymptotic running time as standard merge sort,  $\Theta(nk + \lg(n/k)) = \Theta(nk + \lg n - \lg k)$  must be same as  $\Theta(\lg n)$ .

To satisfy this condition,  $k$  cannot grow faster than  $\lg n$  asymptotically (if  $k$  grows faster than  $\lg n$ , because of the  $nk$  term, the algorithm will run at worse asymptotic time than  $\Theta(\lg n)$ ). But just this argument is not enough as we have to check for  $k = \Theta(\lg n)$ , the requirement holds or not.

*If we assume,  $k = \Theta(\lg n)$ ,*

$$\begin{aligned}\Theta(nk + \lg(n/k)) &= \Theta(nk + \lg n - \lg k) \\ &= \Theta(\lg n + \lg n - \lg(\lg n)) \\ &= \Theta(2\lg n - \lg(\lg n)) \doteq \Theta(\lg n)\end{aligned}$$

4. How should  $k$  be chosen in practice?
  - For  $k$  to be used in practise we need to calculate exact running time with proper values for constant factors.