# Philosophy and Psychology of Generalization and Creativity

1<sup>st</sup> Meeting "Intro" (9/18/24)

Simons Institute, Berkeley

# Why this reading group?

- To develop **new** mathematical tools for emerging generalization settings, we may have to **return to the old**: those fields which have been studying generalization for centuries.
- My personal take is that, in many circumstances, the shortest path to consilient mathematical theories explaining contemporary phenomena in Al is not through math alone, but rather through the interaction between

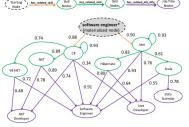
disciplines: math + phil + psych + CS.

# Brief Introductions (Who doesn't love icebreakers?)



- To get started, we can share:
  - Name
    - Sam
  - If I wasn't in academia I would probably be a...
    - Musician.
  - One thing in generalization I am really excited about right now is...
    - Automated creative discovery
  - After this reading group, I hope to have discovered/learned...
    - The abstract building blocks of intelligence + generalization



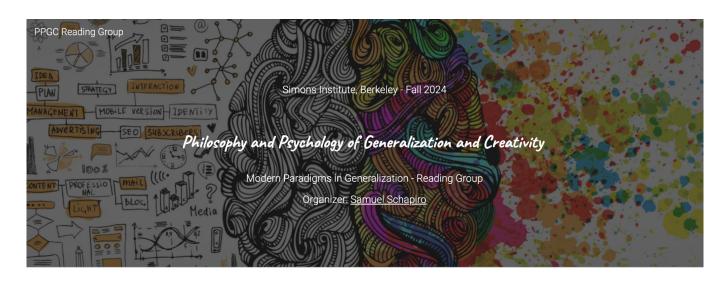




## Resources: Website

- Our <u>website</u> has a beautiful background photo that is really fun to look at.
  - More importantly, it has our schedule in case you get lost.

https://sites.google.com/berkeley.edu/generalization-phil-and-psych



## Resources: Google Doc - Schedule

Any other guest speakers you would like to see?

Meeting Date	Торіс	Link to Slides	Presenters?
9/18/24	Introduction (Topics, Goals, Structure, Social Activities, etc.)		N/A
10/1/24*	Generalization in Children and Adults (Ontogenetic Perspective): Part 1/2		
10/8/24*	Generalization in Children and Adults (Ontogenetic Perspective): Part 2/2		
10/15/24*	Exceptional Cases of Generalization in Creative Scientists (Phylogenetic Perspective): Part 1/2		
10/22/24*	Exceptional Cases of Generalization in Creative Scientists (Phylogenetic Perspective): Part 2/2		
10/29/24*	Philosophical Foundations and Limits of Generalization (Epistemological Perspective): Part 1/2		
11/5/24*	Philosophical Foundations and Limits of Generalization (Epistemological Perspective): Part 2/2		
11/19/24*	Hybrid slot		
November	Talk From Paul Thagard on Creativity in Generative AI (day, time TBD)		
11/26/24*	Futures of Generalization: Automated Discovery, Computational Creativity, and Foundation Models: Part 1/2		
12/10/24*	Futures of Generalization: Automated Discovery, Computational Creativity, and Foundation Models: Part 2/2		

#### Talk on **Creativity**

Paul Thagard

emotion in cognition.

best explanation.[8]

level.[10]

From Wikipedia, the free encyclopedia

Article Talk

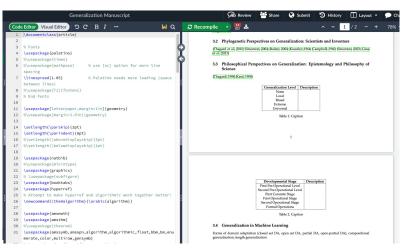


## Resources: Manuscript

During the reading group, we can document our perspectives in a manuscript that taxonomizes the many forms of generalization, addressing especially those recognized as having importance in:

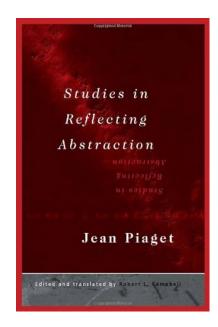
- Children and adults
- Exceptional scientists and inventors
- Epistemology, philosophy of science, and metaphysics
- Successful machine learning and AI systems

These are the main themes of the semester... more on these in the next 4 slides...



#### Content: Generalization in Children and Adults [10/1, 10/8]

- A main resource here is the developmental psychologist Jean Piaget. A central theme here will probably be in distinguishing what is innate vs. learned
- 1. Generalization in Children and Adults (Ontogenetic Perspective) [10/1,10/8]
  - Principles of Genetic Epistemology Jean Piaget
    - Chapter 1 "Psychogenesis" (33 pages)
  - · Studies in Reflecting Abstraction Jean Piaget
    - Chapter 1: Abstraction, Differentiation, and Integration in the Use of Elementary Arithmetic Operations (22 pages)
    - Chapter 4: Abstraction and Generalization During Transfers of Units (18 pages)
  - The Role of Innatism in Human Generalization
    - Argument from the Poverty of the Stimulus
  - Generalization in Adults
    - Empirical human generalization behavior on sequence processing tasks (e.g. https://arxiv.org/pdf/1901.04587)
- 1.1 Key Questions:
  - 1.1.1 How do children generalize as they form knowledge? What is learned and what is innate?
  - 1.1.2 What are the limits, scope, and forms of generalization in adults?





#### Content: Generalization in Scientists and Inventors [10/15, 10/22]

- Lots of perspectives here! This should be a fun and creative time. Notable experts on this topic are Dean Simonton, Margaret Boden, Paul Thagard.
- 2. Generalization in Scientists and Inventors (Phylogenetic Perspective) [10/15,10/22]
  - Bisociation: The Act of Creation Arthur Koestler
    - Chapter 5 "Moments of Truth" (20 pages)
    - Chapter 6 "Three Illustrations: Gutenberg's printing press, Kepler's synthesis of astronomy and physics, and Darwin's natural selection" (24 pages)
  - Combinatorial Creativity: Creativity in Science: Chance, Logic, Genius, and Zeitgeist -Dean Simonton
    - Chapter 3 "Combinatorial Processes" (36 pages)
    - Chapter 5 "Creative Scientists" (38 pages)
  - Abductive Reasoning: From da Vinci's Flying Machines to a Theory of the Creative Process
  - Blind Variations: <u>The Blind Variation and Selective Retention Theory of Creativity</u> -Dean Simonton (15 pages)
  - Four Mental Operations in Creative Cognition: The Importance of Abstraction







- Janusian, Homospatial, and Sep-Con Articulation Thinking: Flight From Wonder: An Investigation into Scientific Creativity - Albert Rothenberg
  - Chapter 3-4: Janusian Thinking
  - Chapter 5: Homospatial Thinking
  - o Chapter 6: Sep-Con Articulation
- Associative and Combinatorial Basis of Creativity
  - <u>Creative Combination of Representations: Scientific Discovery and Technological Innovation</u> Paul Thagard (23 pages)
  - The Associative Basis of the Creative Process Sarnoff Mednick (13 pages)
  - Forward Flow: A new measure to quantify free thought and predict creativity
- Exploratory Basis of Creativity
  - The Creative Mind: Myth and Mechanism (34 pages)
    - Chapter 4 "Maps of the Mind"

#### 2.1 Key Questions:

- 2.1.1 What are historical instances of exceptional generalization from creative scientists?
   What made them so creative?
- 2.1.2 What cognitive processes facilitated these creative discoveries?
- 2.1.3 How many forms of creativity are there? Can we unite them into one comprehensive theory?

### Content: Philosophical Limits of Generalization [10/29, 11/5]

- Mainly drawing from philosophy of science, epistemology, and when we are brave — metaphysics
- 3. Philosophical Foundations and Limits of Generalization (Epistemological Perspective) [10/29,11/5]
  - An Essay Concerning Human Understanding John Locke (1689) (tentative)
  - An Enquiry Concerning Human Understanding David Hume (1748) (tentative)
  - Critique of Pure Reason Immanuel Kant (1781)
    - The Transcendental Aesthetic (synthetic à priori judgments, e.g., <a href="http://www.philosophypages.com/hy/5f.htm">http://www.philosophypages.com/hy/5f.htm</a>) and the rebuttal from Quine (Two Dogmas of Empiricism, 1951);
    - o The Transcendental Analytic (pure categories of understanding);
    - The Transcendental Deduction of the Categories (Causality, logic, etc. are not learned but belong to a class of à priori human faculties whose acquisition is not derivable through experience but for which experience is a necessary condition for their acquisition); and
    - The Transcendental Schemata (derives how the pure categories of understanding apply to experience to form intelligence)
  - Ontological Relativity and Other Essays W. V. Quine (1969)
  - Computational Philosophy of Science Paul Thagard (1988)
    - Chapter 3 "Theories and Explanations" (15 pages)
    - Chapter 4 "Discovery and the Emergence of Meaning" (22 pages)
    - Chapter 5 "Theory Evaluation" (24 pages)
  - Abstraction and Representation Peter Damerow (1996)
    - Chapter 2 "Representation and Meaning" (25 pages)

- Since this is 1 ½ months away, the resources listed here are more tentative. Following Max Raginsky's talk, we should include some additional works on induction/deduction in phil of sci.
- Chapter 3 "Philosophical and Pedagogical Remarks on the Concept 'Abstract'" (15 pages)
- Ben Recht: https://www.argmin.net/p/meehls-philosophical-probability

#### 3.1 Key Questions:

- 3.1.1 What are the epistemological necessities for generalization?
- 3.1.2 What role does generalization play in science?

## Content: Futures of Generalization [11/26, 12/10]

- 4. Looking Ahead to the Future of Generalization: Automated Discovery, Computational Creativity, and Foundation Models [11/26,12/10]
  - Automated Scientific Discovery and Computational Creativity
    - Survey on Automated Scientific Discovery
    - BACON + Rest of Pat Langley's work
    - Computational Drug Discovery
    - Creativity in MDPs
  - Forms of OOD Generalization
  - Creativity of Foundation Models:
    - The Al Scientist
      - What are the strengths and weaknesses, and -- knowing what we know now about generalization and creativity -- where is there room for improvement?
    - Can ChatGPT make explanatory inferences? Benchmarks for Abductive Reasoning - Paul Thagard
    - Artificial Scientists & Artists Based on the Formal Theory of Creativity, Formal Theory of Creativity, Fun, and Intrinsic Motivation - Jürgen Schmidhuber
    - Assessing and Understanding Creativity in Large Language Models
    - On the Creativity of Large Language Models

#### 4.1 Key Questions:

- 4.1.1 How have automated discovery systems been structured historically, and what were they able to accomplish?
- 4.1.2 To what extent are foundation models creative? In which circumstances can foundation models generalize but humans cannot, and vice versa?
- 4.1.3 What does the future of generalization look like?

My personal take is that the future of generalization is in automated creative and scientific discovery, which (again, personal take) will completely revolutionize what science, industry, and art look like in our lifetimes.



# <u>Summary</u>

- Mottos of this reading group:
  - "explain the new by studying the old"
  - "build the artificial by studying the natural"
- Our three main resources are the: 1) website, 2) manuscript, 3) google doc
- The four main themes of the semester are:
  - 1) Studying generalization in children and adults
  - 2) Studying exceptional generalization in scientists and inventors (creativity)
  - o 3) Studying the philosophical foundations and limits of generalization
  - 4) Pontificating on futures of generalization

Hope you enjoy this semester! :)

