

# CMP\_SC 3050: Graphs

Rohit Chadha

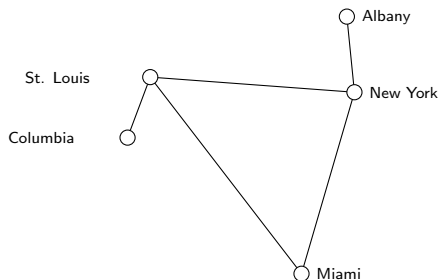
September 16, 2014

# Graphs

A graph is a way of encoding pairwise relationships amongst a set of objects

- The objects are often called vertices
- The pairwise relationships are called edges
- If the relationship is symmetric, we get undirected graphs
- If the relationship is asymmetric, we get directed graphs

# Transportation Networks

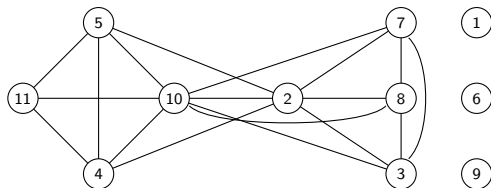


**Scheme:** Points denote cities, warehouses, ports, airfields, etc. A line between  $x$  and  $y$  denotes the ability to move goods, people, etc. from  $x$  to  $y$ .

**Goal:** Design network so that traffic can move efficiently, reliably

...

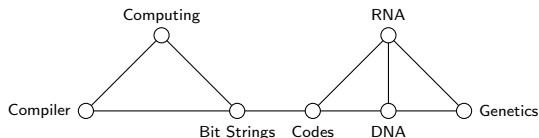
# Social Networks



**Scheme:** Points denote individuals who interact. A line between two points denotes friendship relation between the individuals

**Goal:** Study the dynamics of interaction

# Information Retrieval



**Scheme:** Points denote “descriptors” or “index terms.” Lines denote similarity between descriptors

**Goal:** Can be used to classify similar documents together, retrieve similar documents ...

# Undirected Graphs

A (undirected) **graph**  $G$  is a pair of sets  $(V, E)$  where

- 1  $V$  is a set of **vertices** or **nodes** and
- 2  $E$  is a set of unordered pairs of vertices called **edges**.  
An edge is a 2-element set  $\{u, v\}$  where  $u, v \in V$

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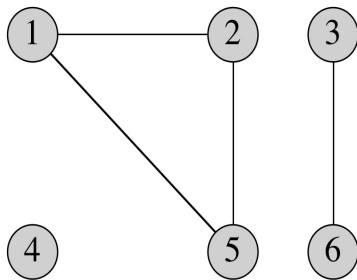
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The number of vertices shall be denoted by  $|V|$  and the number of edges by  $|E|$

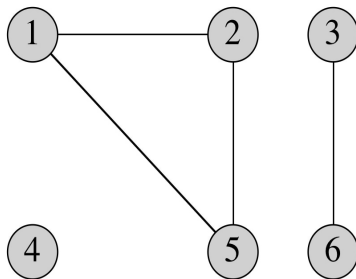
# Example



# Example

$$V = \{1, 2, 3, 4, 5, 6\}$$

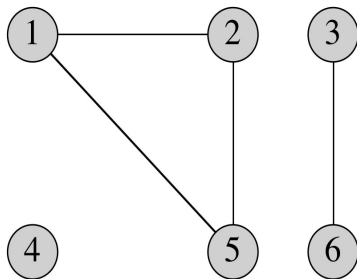
$$E = \{(1, 2), (2, 5), (1, 5), (3, 6)\}$$



# Some terminology

- The **end points** of an edge  $(u, v)$  are the vertices  $u$  and  $v$
- A **self-loop** is an edge both of whose endpoints are the same
- A **simple undirected graph** is an undirected graph without loops.  
Unless otherwise stated, we will take undirected graph to mean a simple undirected graph
- An edge  $(u, v)$  is said to be **incident on** vertices  $u$  and  $v$
- The **degree** of a vertex is the number of edges incident on it
- A vertex  $v$  is said to be **adjacent** to vertex  $u$  if there is an edge  $(u, v)$  in the graph

# Example



# Example

$$\text{degree}(1) = 2$$

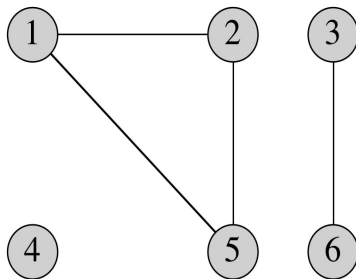
$$\text{degree}(2) = 2$$

$$\text{degree}(3) = 1$$

$$\text{degree}(4) = 0$$

$$\text{degree}(5) = 2$$

$$\text{degree}(6) = 1$$



# Paths

A **path**  $P$  in a graph  $G$  is a sequence of vertices  $v_1, v_2, \dots, v_k$  of  $G$  such that for each  $i = 1, 2, \dots, k$ , the pair  $(v_i, v_{i+1})$  is an edge of  $G$

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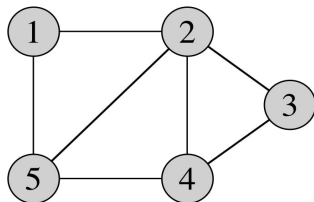
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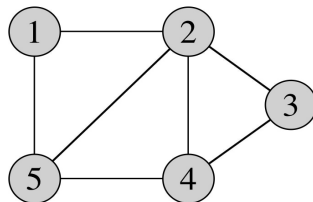
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- $P$  is said to be **simple** if all the vertices are distinct
- The distance of a vertex  $v$  from the vertex  $u$  is the length of the **shortest** path from  $u$  to  $v$

# Example



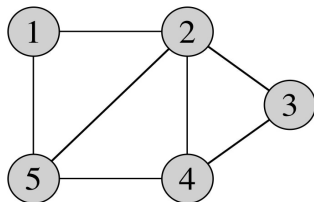
# Example

- 1, 2, 3, 4, 2, 5 is a path of length 5 from 1 to 5 (but this is not simple)



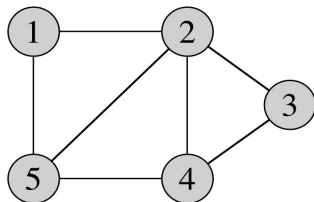
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# Example

- 1, 2, 3, 4, 2, 5 is a path of length 5 from 1 to 5 (but this is not simple)
- 1, 2, 5 is a simple path of length 2 from 1 to 5
- Distance of 5 from 1 is 1



# Cycles

A **cycle**  $C$  in a graph  $G$  is a path  $v_1, v_2, \dots, v_k$  if

- 1  $v_1 = v_k$  and
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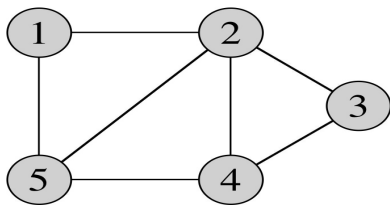
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# Cycles

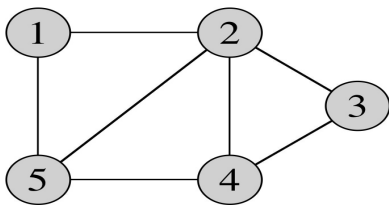
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  - A graph with no cycles is said to be **acyclic**

# Example

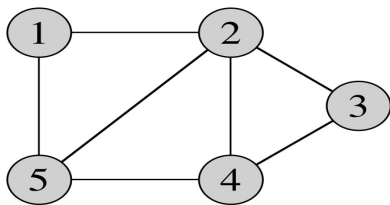


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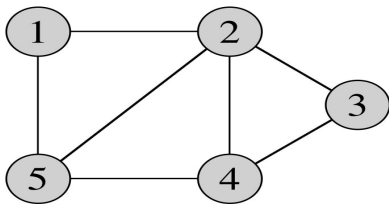
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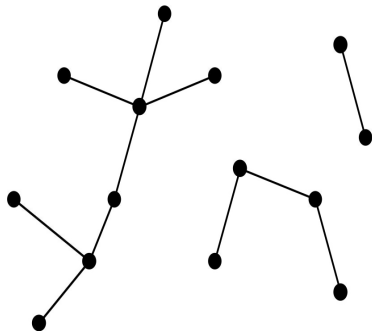


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## Example



- 1, 2, 3, 4, 2, 5, 1 is a cycle (but not simple)
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An acyclic graph

# Connectivity

A vertex  $v$  is said to be **reachable from**  $u$  if there is a path from  $u$  to  $v$

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The graph  $G$  is said to be connected if every vertex is reachable from every other vertex

# Connected components

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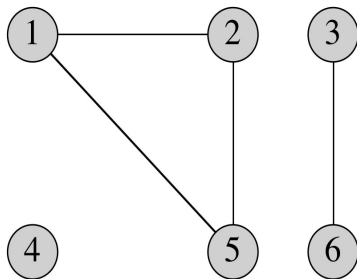
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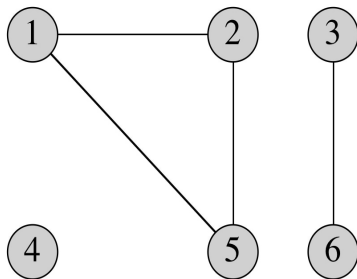
**Fact:** For undirected graphs,  $con(u) = con(v)$  iff  $v$  is reachable from  $u$

# Example



# Example

$con(1) = \{1, 2, 5\}$   
 $con(2) = \{1, 2, 5\}$   
 $con(3) = \{3, 6\}$   
 $con(4) = \{4\}$   
 $con(5) = \{1, 2, 5\}$   
 $con(6) = \{3, 6\}$



# Trees

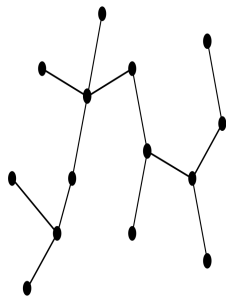
# Trees

An acyclic graph is called a **forest**

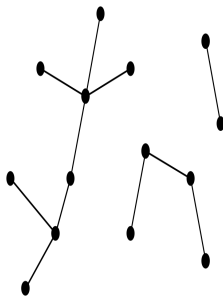
A **tree** is a graph that is acyclic and connected



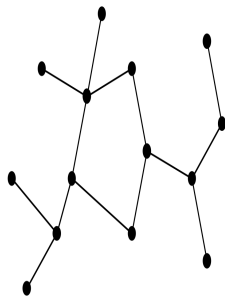
## Example



(a)

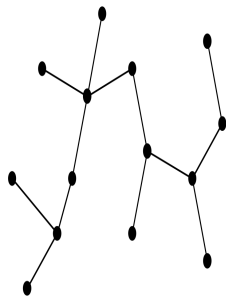


(b)

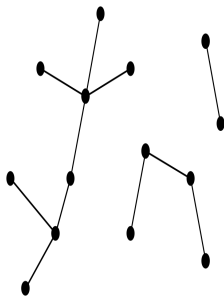


(c)

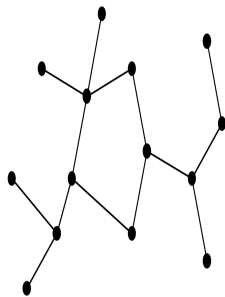
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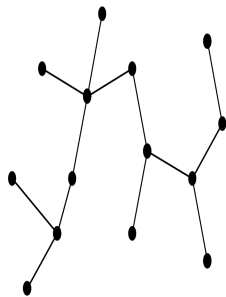
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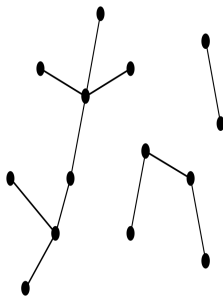
(c)

(a) A tree

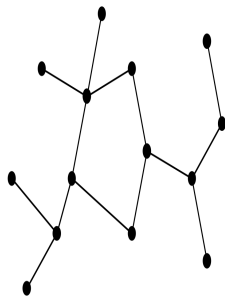
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(a)



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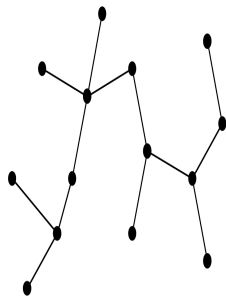


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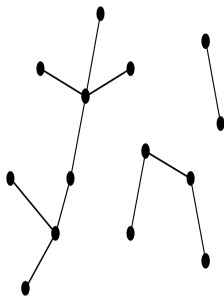
(a) A tree

(b) A forest

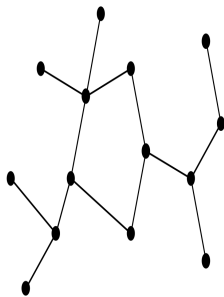
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(a)



(b)



(c)

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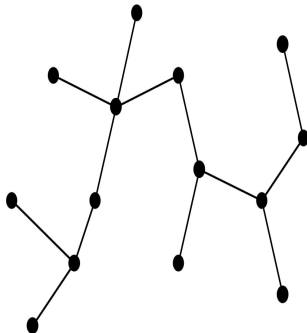
(b) A forest

(c) Neither a tree nor a forest

# Properties of trees

The following statements are equivalent

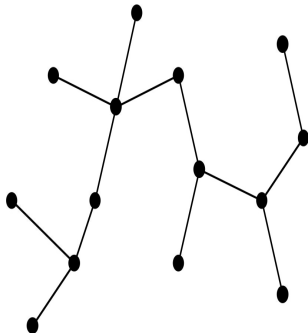
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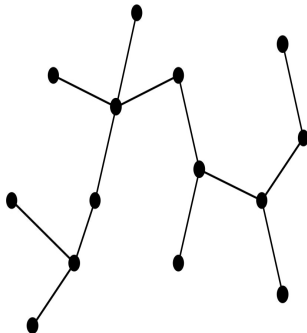
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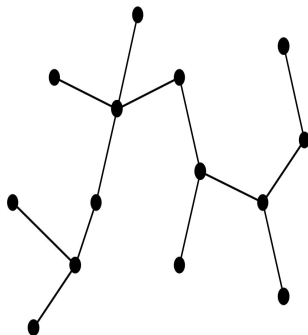
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- $T$  is connected and  $|E| = |V| - 1$

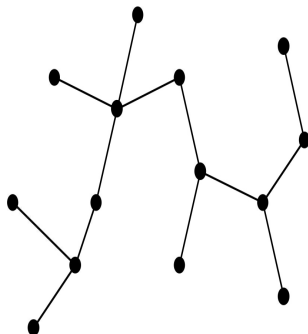




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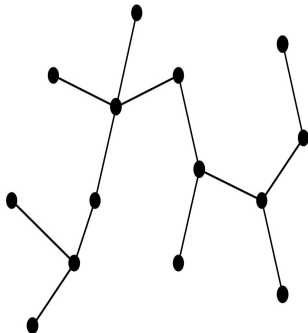
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- $T$  is acyclic and  $|E| = |V| - 1$



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- $T$  is connected, but removing any edge makes  $T$  disconnected
- $T$  is connected and  $|E| = |V| - 1$
- $T$  is acyclic and  $|E| = |V| - 1$
- $T$  is acyclic, but if any new edge is added to the graph then the resulting graph is acyclic

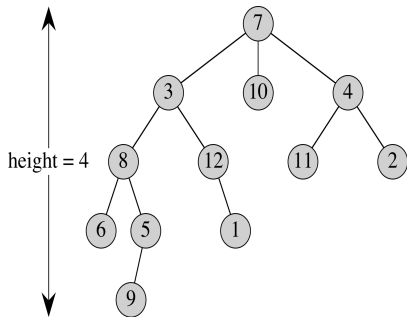


# Rooted Trees

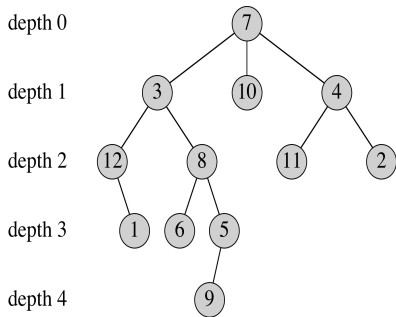
A **rooted tree** is a tree with a designated vertex  $r$  as **root**. In a rooted tree the edges are assumed to be oriented away from the root

- $u$  is said to be **parent** of  $v$  if  $(u, v)$  is an edge, and  $u$  appears before  $v$  in the path from  $r$  to  $v$ . In such a case,  $v$  is said to be a **child** of  $u$
- $u$  is an **ancestor** of  $v$  if  $u$  appears on the path from  $r$  to  $v$ . In such a case,  $v$  is also called a **descendent** of  $u$
- A vertex with no children is said to be a **leaf**. A nonleaf vertex is said to be an internal vertex
- The length of a simple path from the root to a vertex  $u$  is called the **depth** of  $u$
- A **level** of a tree consists of all vertices of a tree at the same depth
- The **height** of a vertex  $u$  is the length of the longest simple downward path from  $u$  to a leaf
- The **height** of a tree is the height of the root

# Example



(a)



(b)

# How to represent (store) a graph?

Let  $G = (V, E)$  be a graph

## Adjacency matrix representation

Let  $n = |V|$

Assume that the vertices are numbered  $1, 2, \dots, n$

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A graph  $G = (V, E)$  with  $n$  vertices and  $m$  edges can be represented by a  $n \times n$  matrix  $A$  where

$$A(i, j) = \begin{cases} 1 & \text{if } (i, j) \in E \\ 0 & \text{otherwise} \end{cases}.$$

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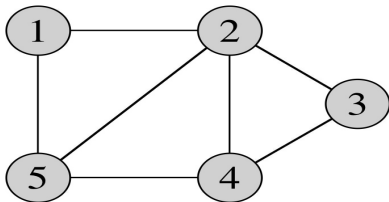
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$A$  is the **adjacency matrix** of  $G$

## Example



	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0



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A graph  $G = (V, E)$  with  $n$  vertices and  $m$  edges can be represented by a  $n \times n$  matrix  $A$  where

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- How much space this representation requires:
- How much time it takes to list all vertices adjacent to vertex  $i$ :
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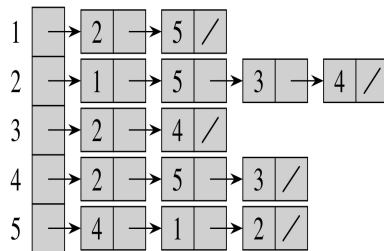
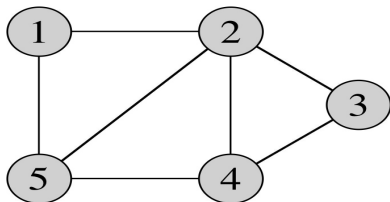
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In pseudocode, denote the array as attribute  $G.Adj$

# Example



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- So if the graph is **sparse**, that is contains very few edges then the adjacency list representation is preferred otherwise we prefer the adjacency matrix representation
- We will use adjacency list representation for most cases. This is the default representation
- Sometimes, however, graph algorithms become easier when using adjacency matrix representation (and we will be clear when we use this representation)

# Representing graph attributes

Graph algorithms usually need to maintain attributes for vertices and/or edges.

- Denote attribute  $a$  of vertex  $v$  by  $v.a$
- Denote attribute  $f$  of edge  $u$  by  $u.f$

## Implementing graph attributes

- No one best way to implement. Depends on programming language, algorithm etc..
- If representing the graph with adjacency lists, can represent vertex attributes in additional arrays that parallel the  $Adj$  array, e.g.,
  - ▶ If  $n$  is the number of vertices which are numbered  $1, 2, \dots, n$  in  $Adj$  then store the attribute  $a$  in another array  $a[1..n]$  with  $a.i$  storing the value of attribute  $a$  for the vertex  $i$

# Fundamental graph algorithms

- ➊ Given graph  $G$  and vertices  $s$  and  $t$ , is  $t$  reachable from  $s$ ?
- ➋ Given graph  $G$  and vertex  $s$ , compute  $con(s)$ .
- ➌ Given graph  $G$ , compute the connected components of  $G$ .

## A first attempt at search

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$con(s) = \{s\}$

**while** there is an edge  $(u, v)$  such that  $u \in con(s)$  and  $v \notin con(s)$

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What is missing in this algorithm?

The order in which edges are considered is left unspecified



# Breadth First Search (BFS)

**Key idea:** Processes the vertices in the graph in the order of their shortest distance from the vertex  $s$  (the start vertex)

- Send a wave out from  $s$
- First hits all vertices 1 edge from  $s$
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- From there, hits all vertices 2 edges from  $s$
- Use a queue  $Q$  to maintain the wavefront
  - ▶  $v \in Q$  if and only if wave has hit  $v$  but has not come out of  $v$

# BFS algorithm in pseudocode

**Input:** A graph  $G = (V, E)$   
and a **source** vertex  
 $s \in V$

**Output:** For each  $v \in V$ ,  
 $v.d$  is the distance  
of  $v$  from  $u$

# BFS algorithm in pseudocode

**BFS**( $V, E, s$ )  
    // Distance of  $s$  from  $s$  is 0  
     $s.d = 0$   
**Input:** A graph  $G = (V, E)$  and a **source** vertex  $s \in V$   
    // Initialize other nodes as unreachable  
    **for** each  $u \in V \setminus \{s\}$   
         $u.d = \infty$   
**Output:** For each  $v \in V$ ,  $v.d$  is the distance of  $v$  from  $u$   
    // Queue gets initialized  
     $Q = \emptyset$   
    ENQUEUE( $Q, s$ )  
    // Process the vertices in the queue  
    **while**  $Q \neq \emptyset$   
         $u = \text{DEQUEUE}(Q)$   
        **for** each  $v \in G.Adj[u]$   
            **if**  $v.d == \infty$   
                 $v.d = u.d + 1$   
                ENQUEUE( $Q, v$ )

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How do we get  $con(s)$ ?

BFS( $V, E, s$ )

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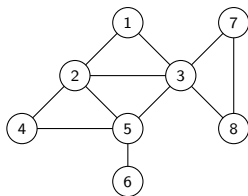
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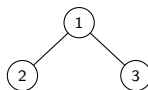
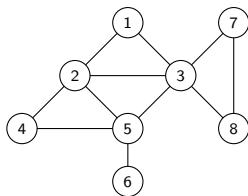
# BFS: An Example



The queue at the beginning of each operation of the **while** loop

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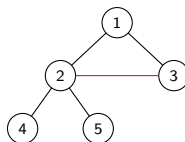
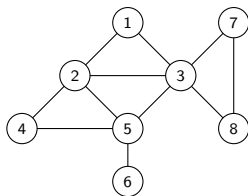
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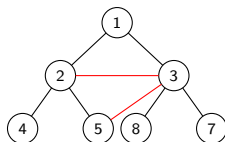
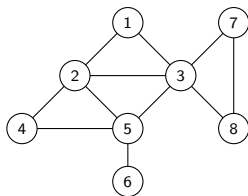


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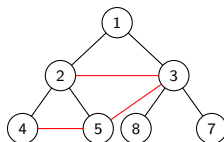
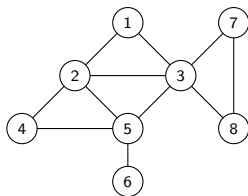
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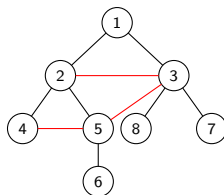
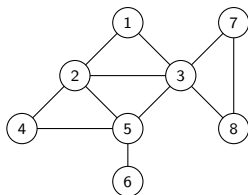
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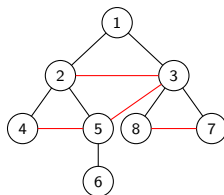
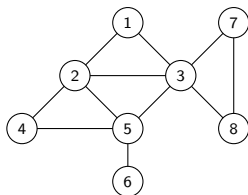
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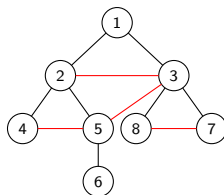
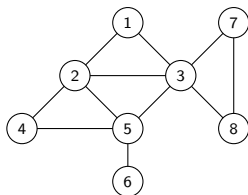
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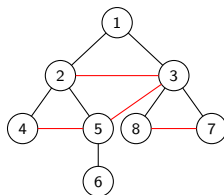
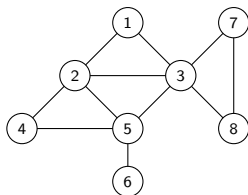
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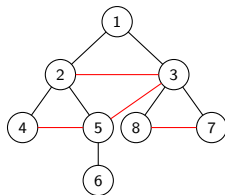
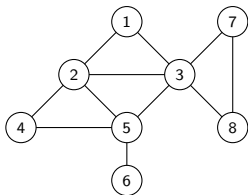
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Breadth First Search Tree is the tree with the black edges as the set of edges

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  - ▶  $O(|V|)$  because every vertex enqueued at most once
  - ▶  $O(|E|)$  because every edge examined at most twice
- It requires extra  $O(|V|)$  working space

# How to solve fundamental graph algorithms with BFS

- ➊ Given graph  $G$  and vertices  $s$  and  $t$ , is  $t$  reachable from  $s$ ?
- ➋ Given graph  $G$  and vertex  $s$ , compute  $con(s)$ .
- ➌ Given graph  $G$ , compute the connected components of  $G$ .

# How to solve fundamental graph algorithms with BFS

- ① Given graph  $G$  and vertices  $s$  and  $t$ , is  $t$  reachable from  $s$ ?

**Answer:**  $t$  is reachable from  $s$  if  $t.d$  is not  $\infty$

- ② Given graph  $G$  and vertex  $s$ , compute  $con(s)$ .

**Answer:**  $con(s)$  is just the set of all vertices  $t$  reachable from  $s$

- ③ Given graph  $G$ , compute the connected components of  $G$ .

**Answer:**

- ▶ Compute the connected component of a vertex numbered 1.
- ▶ Then pick the (smallest numbered) vertex not reachable from 1 and compute its connected component.
- ▶ Keep going..

# Depth first search (DFS)

## Key idea:

Search **deeper** in the graph whenever possible

Start exploring the vertices in the graph from  $s$

- 1 Check the most recently discovered vertex  $v$
- 2 Pick a vertex adjacent to  $v$  which has as yet not been discovered and start exploring this vertex
- 3 Once all such vertices are explored then **backtrack**

# Use color-coding

As DFS progresses, every vertex has a color

**WHITE:** undiscovered

**GRAY:** discovered, but not finished (not done exploring from it)

**BLACK:** finished (have found everything reachable from it)

# Pseudocode for DFS

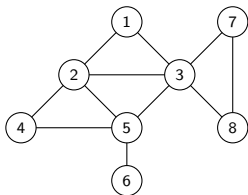
**Input:** A graph  $G = (V, E)$  and a **source** vertex  $s \in V$

**Output:** For each  $v \in V$ ,  $v.color$  is BLACK if  $v$  is reachable from  $s$  and is WHITE otherwise

```
DFS(V, E, s)
    for each  $u \in V$ 
         $u.color = \text{WHITE}$ 
    DFS_RECUR(V, E, s)

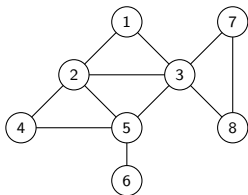
DFS_RECUR(V, E, u)
    // Discover  $u$ 
     $u.color = \text{GRAY}$ 
    for each  $v \in G.Adj[u]$ 
        // Explore ( $u, v$ )
        if  $v.color == \text{WHITE}$ 
            DFS_RECUR(V, E, v)
    // Finish  $u$ 
     $u.color = \text{BLACK}$ 
```

# DFS: An Example



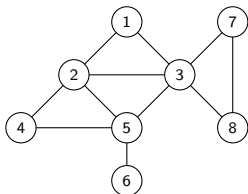
1

# DFS: An Example

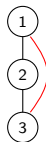
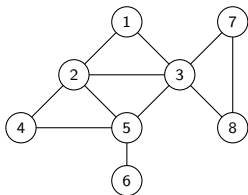




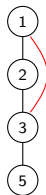
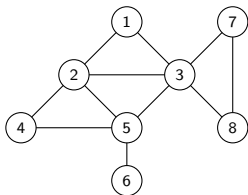
# DFS: An Example



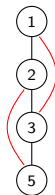
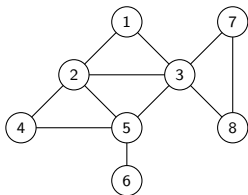
# DFS: An Example



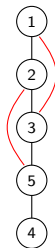
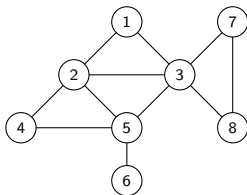
# DFS: An Example



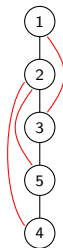
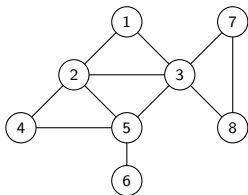
# DFS: An Example



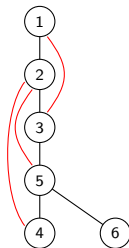
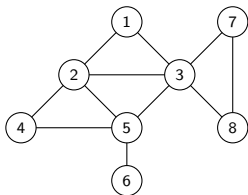
# DFS: An Example



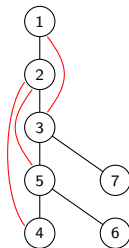
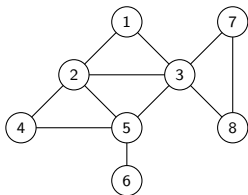
# DFS: An Example



# DFS: An Example

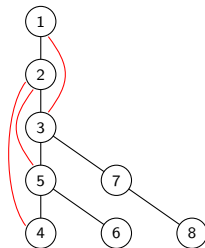
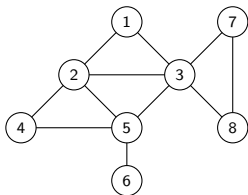


# DFS: An Example

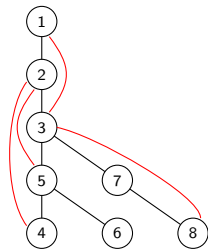
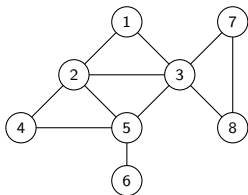




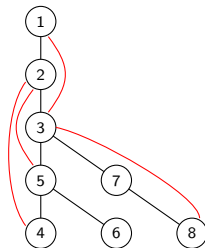
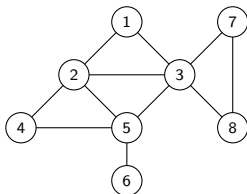
# DFS: An Example



# DFS: An Example



# DFS: An Example



Depth First Search Tree is the set of black edges.

# DFS and BFS Trees: An Example

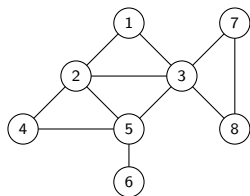


Figure : Graph G

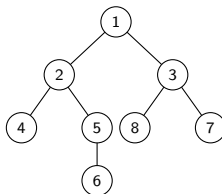


Figure : BFS Tree starting from 1

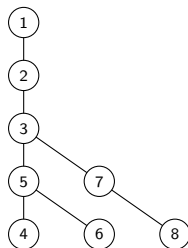


Figure : DFS Tree starting from 1

# DFS tree with visit times

It will be useful to **timestamp** the vertices with the times during which they are visited

- $v.d$  = time at which  $v$  is discovered by the DFS algorithm
- $v.f$  = time at which processing of  $v$  finishes

## DFS tree with visit times

It will be useful to **timestamp** the vertices with the times during which they are visited

- $v.d$  = time at which  $v$  is discovered by the DFS algorithm
- $v.f$  = time at which processing of  $v$  finishes

DFS( $V, E, s$ )

**for** each  $u \in V$

$u.color = \text{WHITE}$

$time = 0$

  DFS\_VISIT( $V, E, s$ )

DFS\_VISIT( $V, E, u$ )

$time = time + 1$

$u.d = time$

$u.color = \text{GRAY}$

**for** each  $v \in G.Adj[u]$

**if**  $v.color == \text{WHITE}$

      DFS\_VISIT( $V, E, v$ )

$u.color = \text{BLACK}$

$time = time + 1$

$u.f = time$

# Visit Times: Example

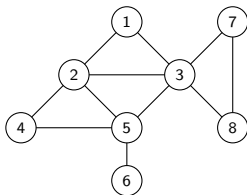


Figure : Graph G

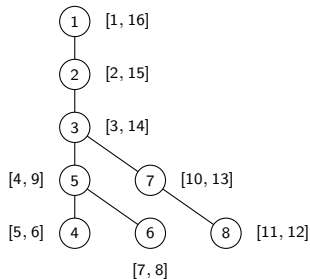
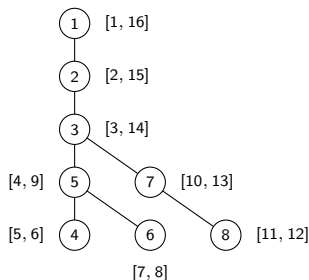


Figure : DFS Tree with visit times

# Properties of the DFS tree: Parenthesis Theorem



For all  $u, v$  reachable from  $s$ , exactly one of the following holds:

- The intervals  $[u.d, u.f]$  and  $[v.d, v.f]$  are disjoint and neither of  $u$  or  $v$  is a descendant of the other in the DFS tree
- The interval  $[u.d, u.f]$  **contains**  $[v.d, v.f]$  and  $v$  is a descendant of  $u$  in the DFS tree
- The interval  $[u.d, u.f]$  **is contained in**  $[v.d, v.f]$  and  $u$  is a descendant of  $v$  in the DFS tree

So  $v.d < u.d < v.f < u.f$  cannot happen



# Other properties of the DFS

- 1  $v$  is a descendant of  $u$  in DFS tree if and only if at time  $u.d$ , there is a path in the graph from  $u$  to  $v$  consisting of only white vertices

# Other properties of the DFS

- ①  $v$  is a descendant of  $u$  in DFS tree if and only if at time  $u.d$ , there is a path in the graph from  $u$  to  $v$  consisting of only white vertices
- ② Running time is  $O(|V| + |E|)$

# Summary

- Graphs are a good way to model and pairwise relationships amongst a collection of individuals, objects
- We studied basic graph search algorithms BFS and DFS which give different strategies for solving fundamental graph algorithms
- This part was based on Appendix B.4 and Chapters 22.1, 22.2 and 22.3 from the book