### **Announcements**

### **Upcoming Deadlines:**

- Project 2 basics autograder due 3/2 at 11:59 PM.
- Project 2 due 3/7 at 11:59 PM. Autograder will be minimal since it largely will involve manual testing.
- No lab this week: It will be project 2 office hours instead.

Lecture today/friday somewhat more slowly paced (given project).

Today: Practice with what we learned Monday.

See study guides for each lecture starting Monday:

Asymptotics I
[video] [slides] [guide]

 Webcast viewers, do all B-level problems in guide before watching this lecture. Distance: 80,652 miles
Temperature: 2
Iterations: 1,000,000

## CS61B

Lecture 18: Asymptotics II: Analysis of Algorithms

- Review of Asymptotic Notation
- Examples 1-2: For Loops
- Example 3: A Basic Recurrence
- Example 4: Binary Search
- Example 5: Mergesort

## **Summary of Asymptotic Notations**

	Informal meaning:	Family	Family Members		
Big Theta Θ(f(N))	Order of growth is f(N).	Θ(N <sup>2</sup> )	$N^2/2$ $2N^2$ $N^2 + 38N + N$		
Big O O(f(N))	Order of growth is less than or equal to f(N).	O(N <sup>2</sup> )	N <sup>2</sup> /2 2N <sup>2</sup> lg(N)		
Big Omega $\Omega(f(N))$	Order of growth is greater than or equal to f(N).	$\Omega(N^2)$	$\begin{array}{c} N^2/2 \\ 2N^2 \\ e^N \end{array}$		

From discussion

## Example 1/2:For Loops

### **Monday's Lecture**

We discussed ways to analyze algorithm performance. To understand how code scales, we symbolically count number of executions of a *representative operation* as a function of input size N.

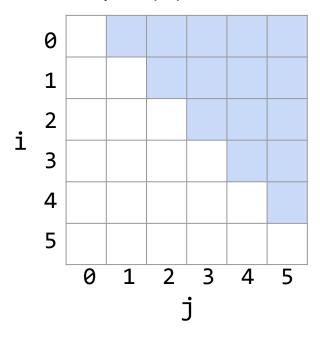
- Focus on behavior for large N: *ignore lower order terms*.
- Ignore constant multiplicative factors.

```
int count = 0, N = a.length;
for (int i = 0; i < N; i++) {
   if (a[i] == k) {
      count += 1;
   }
}
a[k] += count;</pre>
Big Theta formalizes our intuitive simplifications.

operation
increment
N+1 to 2N+1
Θ(N)
```

### **Example 1: Nested For Loops**

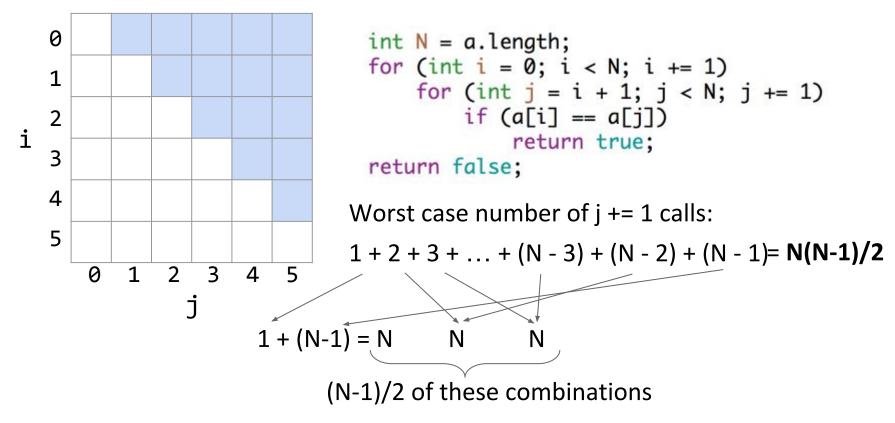
Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$  in the worst case.



```
int N = a.length;
for (int i = 0; i < N; i += 1)
    for (int j = i + 1; j < N; j += 1)
        if (a[i] == a[j])
        return true;
return false;</pre>
```

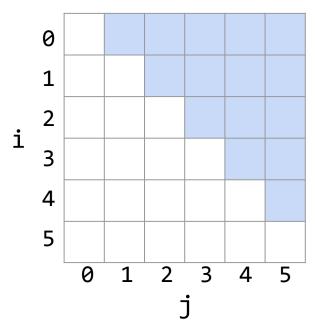
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```
int N = a.length;
for (int i = 0; i < N; i += 1)
    for (int j = i + 1; j < N; j += 1)
        if (a[i] == a[j])
            return true;
return false;</pre>
```

Worst case number of j += 1 calls:

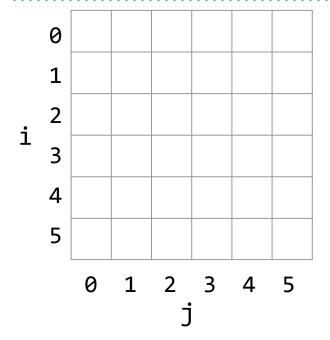
$$1 + 2 + 3 + ... + (N - 3) + (N - 2) + (N - 1) = N(N-1)/2$$

Overall worst case runtime:  $\Theta(N^2)$ 

Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ . By simple, we mean there should be no unnecessary multiplicative constants or additive terms.

```
public static void printIndices2(int n) {
    for (int i = 1; i < n; i = i * 2) {
        for (int j = 0; j < i; j += 1) {
            System.out.println("hello");
            int A = 1 + 1;
        }
    }
}</pre>
```

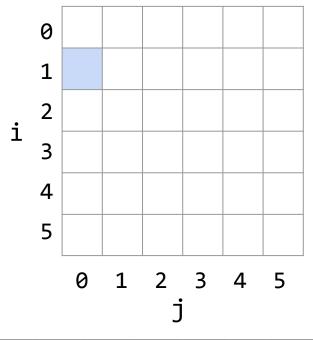
- A. 1 D. N log N
- 3.  $\log N$  E.  $N^2$
- C. N F. Something else



Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

Cost model, println("hello") calls:

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----	----



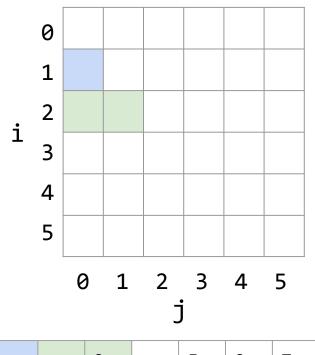
```
public static void printIndices2(int n) {
    for (int i = 1; i <= n; i = i * 2) {
        for (int j = 0; j < i; j += 1) {
            System.out.println("hello");
            int A = 1 + 1;
        }
}</pre>
```

Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

Cost model, println("hello") calls:



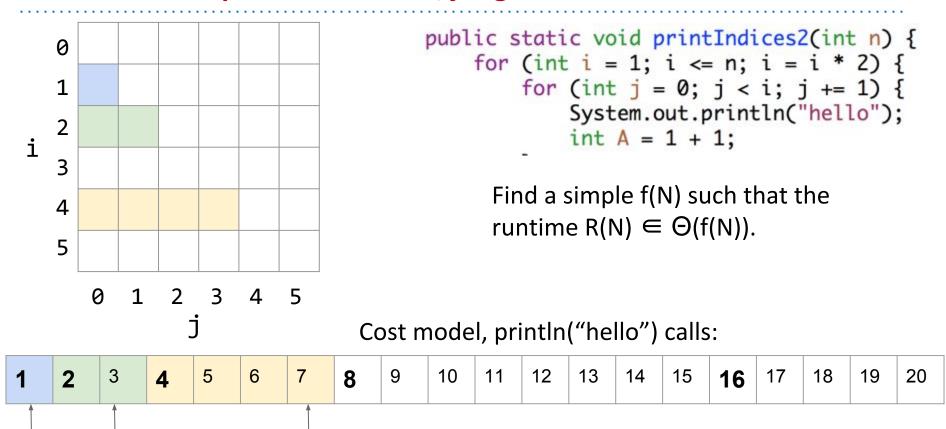
n=1



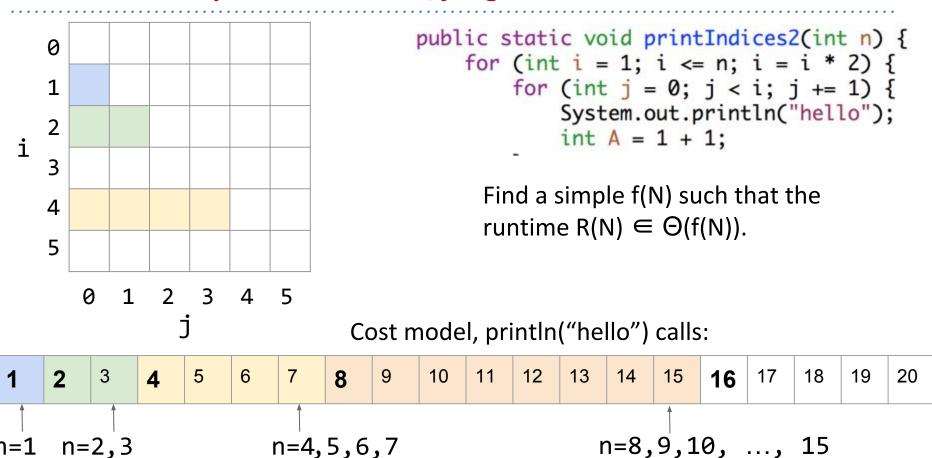
Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

Cost model, println("hello") calls:





n=1 n=2,3 n=4,5,6,7



Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

"Worst case" here is irrelevant, all cases the same.

Cost model, println("hello") calls:

• 
$$R(N) = \Theta(1 + 2 + 4 + 8 + ... + N)$$

D. N log N

B.  $\log N$  E.  $N^2$ 

C. N F. Something else

Cost model, println("hello") calls:

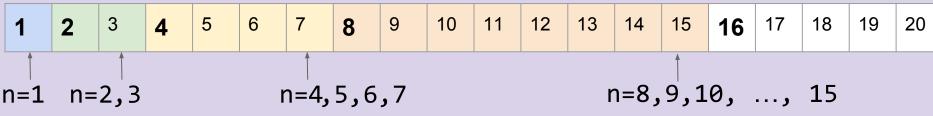
public static void printIndices2(int n) {

int A = 1 + 1;

for (int i = 1;  $i \le n$ ; i = i \* 2) {

for (int j = 0; j < i; j += 1) {

System.out.println("hello");



Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

N	R(N)			
1	1			
4	1 + 2 + 4 = 7			
7	1 + 2 + 4 = 7			
8	1+2+4+8=15			
27	1+2+4+8+16=31			
185	+ 64 + 128 = 255			
715	+ 256 + 512 = 1023			

"Worst case" here is irrelevant, all cases the same.

Cost model, println("hello") calls:

• 
$$R(N) = \Theta(1 + 2 + 4 + 8 + ... + N)$$

A. 1 D. N log N

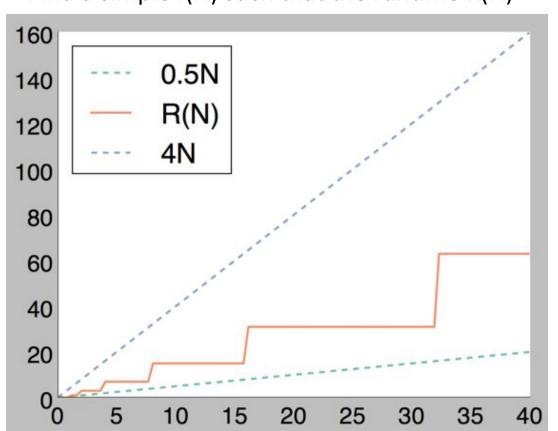
B.  $\log N$  E.  $N^2$ 

C. N F. Something else

Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

N	R(N)	1 * N < R(N)	2 * N > R(N)		
1	1	1	2		
4	1 + 2 + 4 = 7	4	8		
7	1 + 2 + 4 = 7	7	14		
8	1 + 2 + 4 + 8 = 15	8	16		
27	1+2+4+8+16=31	27	54		
185	+ 64 + 128 = 255	185	370		
715	+ 256 + 512 = 1023	715	1430		

Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .



$$R(N) = \Theta(1 + 2 + 4 + 8 + ... + N)$$
  
=  $\Theta(N)$ 

A. 1 D. N log N B. log N E.  $N^2$ 

C. N F. Something else

### Repeat After Me...

There is no magic shortcut for these problems (well... <u>usually</u>)

- Runtime analysis often requires careful thought.
- CS70 and especially CS170 will cover this in much more detail.
- This is not a math class, though we'll expect you to know these:
  - $0 + 2 + 3 + ... + N = N(N+1)/2 = \Theta(N^2)$
  - $\circ$  1 + 2 + 4 + 8 + ... + N = 2N 1 =  $\Theta(N)$

```
public static void printIndices2(int n) {
    for (int i = 1; i < n; i = i * 2) {
        for (int j = 0; j < i; j += 1) {
            System.out.println("hello");
            int A = 1 + 1;</pre>
```

### Repeat After Me...

There is no magic shortcut for these problems (well... <u>usually</u>)

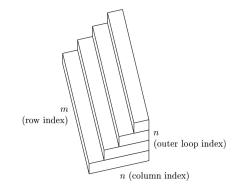
- Runtime analysis often requires careful thought.
- CS70 and especially CS170 will cover this in much more detail.
- This is not a math class, though we'll expect you to know these:

$$0 + 2 + 3 + ... + N = N(N+1)/2 = \Theta(N^2)$$

$$\circ$$
 1 + 2 + 4 + 8 + ... + N = 2N - 1 =  $\Theta(N)$ 

- Strategies:
  - Write out examples
  - Draw pictures

QR decomposition runtime, from a numerical linear algebra textbook



The  $m \times n$  rectangle at the bottom corresponds to the first pass through the outer loop, the  $m \times (n-1)$  rectangle above it to the second pass, and so on.

## **Example 3: Recursion**

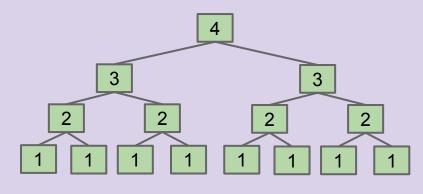
### Recursion (Intuitive): PollEv.com/jhug or JHUG to 37607

Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

```
public static int f3 (int n) {
    if (n <= 1) {
       return 1;
    }
    return f3(n-1) + f3(n-1)
}</pre>
```

Using your intuition, give the order of growth of the runtime of this code as a function of N?

- A. 1
- B. log N
- C. N
- D.  $N^2$
- E.  $2^N$



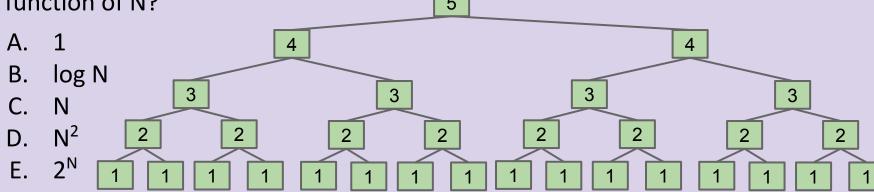
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Using your intuition, give the order of growth of the runtime of this code as a function of N?

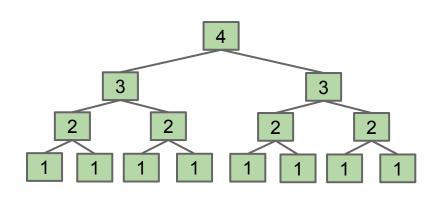
5



Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

```
public static int f3 (int n) {
    if (n <= 1) {
       return 1;
    }
    return f3(n-1) + f3(n-1)
}</pre>
```

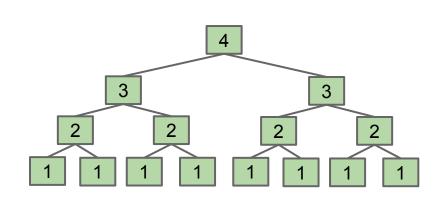
$$\bullet \quad \mathsf{C}(1) = 1$$



Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

```
public static int f3 (int n) {
    if (n <= 1) {
       return 1;
    }
    return f3(n-1) + f3(n-1)
}</pre>
```

- C(1) = 1
- C(N) = 2C(N-1) + 1

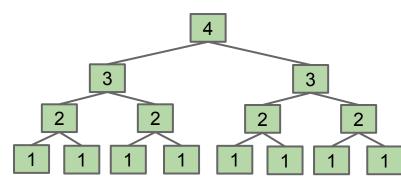


Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

```
public static int f3 (int n) {
    if (n <= 1) {
       return 1;
    }
    return f3(n-1) + f3(n-1)
}</pre>
```

- C(1) = 1 Possible to solve mechanically (with algebra), but we won't. Instead, we'll use intuition in 61b.
- C(N) = 2C(N-1) + 1

$$C(N) = 1 + 2 + 4 + 8 + ... + ???$$



### Recursion and Recurrence Relations: PollEv.com/jhug or JHUG to

### 37607

Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

```
public static int f3 (int n) {
    if (n <= 1) {
       return 1;
    }
    return f3(n-1) + f3(n-1)
}</pre>
```

One approach: Count number of calls to f3, given by C(N).

- C(1) = 1 Possible to solve mechanically (with algebra), but we won't. Instead, we'll use intuition in 61b.
- C(N) = 2C(N-1) + 1

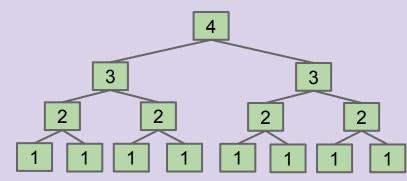
$$C(N) = 1 + 2 + 4 + 8 + ... + 2^{N-1} = ???$$

 $A. 2^N$ 

C.  $2^{N+1}$ 

B.  $2^{N}-1$ 

D.  $2^{N+1} + 1$ 



## Sums of Powers of 2 (Revisited)

$$C(N) = 1 + 2 + 4 + 8 + ... + 2^{N-1} = ???$$

This is just the same sum we saw before, where  $Q = 2^{N-1}$ :

• 
$$1 + 2 + 4 + 8 + ... + Q = 2Q - 1 = \Theta(Q)$$

$$C(N) = 1 + 2 + 4 + 8 + ... + 2^{N-1} = 2(2^{N-1}) - 1 = 2^{N} - 1$$

Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

```
public static int f3 (int n) {
    if (n <= 1) {
       return 1;
    }
    return f3(n-1) + f3(n-1)
}</pre>
```

- C(1) = 1 Possible to solve mechanically (with algebra), but we won't. Instead, we'll use intuition in 61b.
- C(N) = 2C(N-1) + 1

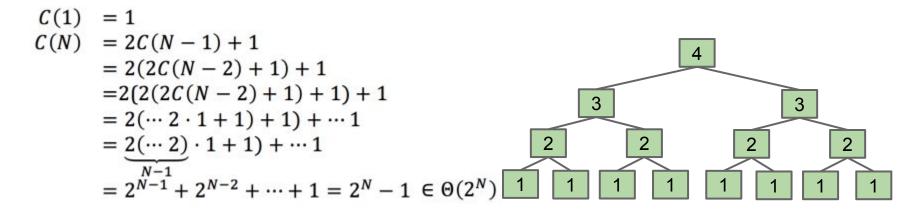
$$C(N) = 1 + 2 + 4 + 8 + ... + 2^{N-1} = 2^{N} - 1 = \Theta(2^{N})$$

### **Recursion and Recurrence Relations (Extra)**

Find a simple f(N) such that the runtime  $R(N) \subseteq \Theta(f(N))$ .

```
public static int f3 (int n) {
    if (n <= 1) {
       return 1;
    }
    return f3(n-1) + f3(n-1)
}</pre>
```

This approach not covered in class. Provided for those of you who really want the algebra.



# **Example 4: Binary Search**

## Binary Search (demo: <a href="http://goo.gl/iSbyRV">http://goo.gl/iSbyRV</a>)

### Trivial to implement?

See Jon Bentley's book Programming Pearls.

- Idea published in 1946.
- First correct implementation in 1962.
  - See Bug in Java's binary search discovered in 2006. http://goo.gl/gQI0FN

```
static int binarySearch(String[] sorted, String x, int lo, int hi) {
    if (lo > hi) return -1;
    int m = (lo + hi) / 2;
    int cmp = x.compareTo(sorted[m]);
   if (cmp < 0) return binarySearch(sorted, x, lo, m - 1);
   else if (cmp > 0) return binarySearch(sorted, x, m + 1, hi);
    else return m;
```

## Binary Search (Intuitive): PollEv.com/jhug or JHUG to 37607

```
static int binarySearch(String[] sorted, String x, int lo, int hi) {
   if (lo > hi) return -1;
   int m = (lo + hi) / 2;
   int cmp = x.compareTo(sorted[m]);
   if (cmp < 0) return binarySearch(sorted, x, lo, m - 1);
   else if (cmp > 0) return binarySearch(sorted, x, m + 1, hi);
   else return m;
}
```

```
Let N = hi - lo + 1. for simplicity: assume N=2^k-1 for some k
```

- What is the order of growth of the runtime of binarySearch?
  - 4. 1
  - B. log N
  - C. N
  - D. N log N
  - E. 2<sup>N</sup>









### **Binary Search**

```
static int binarySearch(String[] sorted, String x, int lo, int hi) {
       if (lo > hi) return -1;
       int m = (lo + hi) / 2;
       int cmp = x.compareTo(sorted[m]);
       if (cmp < 0) return binarySearch(sorted, x, lo, m - 1);
       else if (cmp > 0) return binarySearch(sorted, x, m + 1, hi);
       else return m;
                               for simplicity: assume N=2^k-1 for some k
Approach: Measure number of string comparisons for N = hi - lo + 1.
    C(0)
            = 0
                                                                     N=15
    C(1)
           = 1
    C(N) = 1 + C((N-1)/2)
                                                                     N=7
                                                                     N=3
```

N=1

### **Binary Search**

C(N) = ???

```
static int binarySearch(String[] sorted, String x, int lo, int hi) {
       if (lo > hi) return -1;
       int m = (lo + hi) / 2;
       int cmp = x.compareTo(sorted[m]);
       if (cmp < 0) return binarySearch(sorted, x, lo, m - 1);
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Approach: Measure number of string comparisons for N = hi - lo + 1.
   C(0)
            = 0
                                                                     N=15
           = 1
   C(1)
    C(N) = 1 + C((N-1)/2)
                                                                     N=7
Give C(N) in terms of k:
                                                                     N=3
```

N=1

### **Binary Search**

```
static int binarySearch(String[] sorted, String x, int lo, int hi) {
       if (lo > hi) return -1;
       int m = (lo + hi) / 2;
       int cmp = x.compareTo(sorted[m]);
       if (cmp < 0) return binarySearch(sorted, x, lo, m - 1);
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       else return m;
                               for simplicity: assume N=2^k-1 for some k
Approach: Measure number of string comparisons for N = hi - lo + 1.
   C(0)
            = 0
                                                                     N=15
   C(1) = 1
    C(N) = 1 + C((N-1)/2)
                                                                     N=7
                                                                     N=3
C(N) = 1 + 1 + ... + 1 + 0 = k
```

N=1

## **Binary Search**

```
static int binarySearch(String[] sorted, String x, int lo, int hi) {
       if (lo > hi) return -1;
        int m = (lo + hi) / 2;
        int cmp = x.compareTo(sorted[m]);
        if (cmp < 0) return binarySearch(sorted, x, lo, m - 1);
       else if (cmp > 0) return binarySearch(sorted, x, m + 1, hi);
        else return m;
                                 for simplicity: assume N=2^k-1 for some k
Approach: Measure number of string comparisons for N = hi - lo + 1.
    C(0)
             = 0
                                                                         N=15
    C(1)
           = 1
    C(N)
           = 1 + C((N-1)/2)
                                                                         N=7
                                                  Ig is short for base 2
                                                                         N=3
C(N) = 1 + 1 + ... + 1 + 0 = k
                                    k = \lceil \lg N \rceil = \Theta(\log N)
                                                                         N=1
```

## **Unproven BigTheta Properties We've Just Used**

Some easy-to-prove properties:

$$\log_K(N) \in \Theta(\log_Q(N))$$

$$\lceil f(N) \rceil \in \Theta(f(N))$$

$$\lfloor f(N) \rfloor \in \Theta(f(N))$$

Base of logarithm doesn't matter. We'll usually omit it completely!

# **Log Time Is Really Terribly Fast**

Throughout this course we will see ways of doings things in constant vs log time. In practice, the difference is minimal.

N	log <sub>2</sub> N	Runtime (seconds)	
100	6.6	1 nanosecond	
100,000	16.6	2.5 nanoseconds	
100,000,000	26.5	4 nanoseconds	
100,000,000,000	36.5	5.5 nanoseconds	
100,000,000,000	46.5	7 nanoseconds	

## **A Note on Solving Recurrence Relations**

The entire goal is to find a pattern that yields a closed form solution for C(N).

- Use whatever tricks you'd like.
- This is not CS70.
  - We'll not deviate too terribly far from the patterns you'll see today and in discussion 8 (summing very simple arithmetic and geometric series).
  - We will not write rigorous proofs.

# **Example 5: Merge Sort**

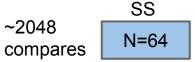
## **Selection Sort: A Prelude to Example 5.**

#### Earlier in class we discussed a sort called selection sort:

- Find the smallest unfixed item, move it to the front, and 'fix' it.
- Sort the remaining unfixed items using selection sort.

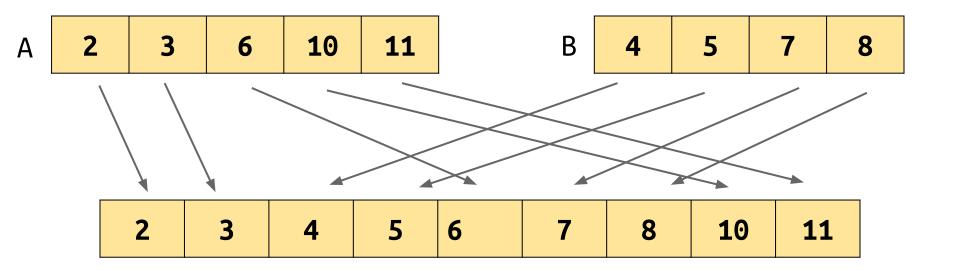
This algorithm requires  $\Theta(N^2)$  comparisons.

- Look at all N unfixed items to find smallest.
- Then look at N-1 remaining unfixed.
- ...
- Look at last two unfixed items.
- Done, sum is  $2+3+4+5+...+N = \Theta(N^2)$





## Array Merging of N Total Items (A.length + B.length = N)



What is the runtime for merge?  $\Theta(1)$ ,  $\Theta(N)$ ,  $\Theta(N^2)$ ???

 $\bullet$   $\Theta(N)$  compares and array accesses.

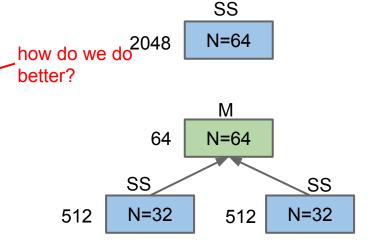
## **The Merge Operation**

### One way to sort N items:

- Give half of the items away for sorting to one algorithm.
- Give the other half to some other algorithm.
- Merge the results:  $\Theta(N)$  compares.

Suppose the other two algs are selection sort.

- N=64:
  - Merge: ~64 compares.
  - Selection sort: ~512 each.
- Still  $\Theta(N^2)$ , but faster since  $N+2*(N/2)^2 < N^2$ 
  - ~1088 vs. ~2048 compares for N=64.



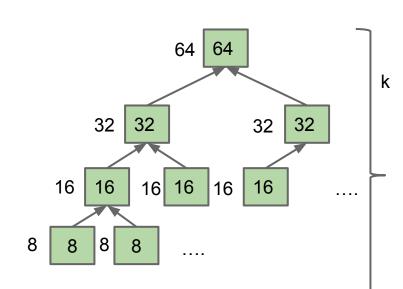
## **Example 5: Merge Sort**

### One way to sort N items:

- Give half of the items away for sorting to one algorithm.
- Give the other half to some other algorithm.
- Merge the results:  $\Theta(N)$  compares.

## Suppose they each use merge sort.

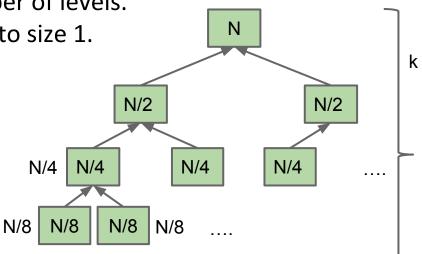
- N=64:
  - Top level: 64 compares
  - Next level: 64=32 + 32 compares.
  - Overall: 64\*k compares.
  - $\circ$  k =  $^{\sim}$ lg(64), so  $^{\sim}$ 384 compares.



## **Merge Sort: More General**

## Intuitive explanation:

- Every level does N work
  - Top level does N work.
  - Next level does N/2 + N/2 = N.
  - One more level down: N/4 + N/4 + N/4 + N/4 = N.
- Thus work is just Nk, where k is the number of levels.
  - How many levels? Goes until we get to size 1.
  - $\circ$  k = lg(N)
- Overall runtime is N log N.



## Merge Sort: Same Idea as Previous Slide, but Using Algebra

C(N): Number of items merged at each stage.

$$C(N) = \begin{cases} 1 & : N < 2 \\ 2C(N/2) + N & : N \ge 2 \end{cases}$$

$$= 2(2C(N/4) + N/2) + N$$

$$= 4C(N/4) + N + N$$

$$= 8C(N/8) + N + N + N$$

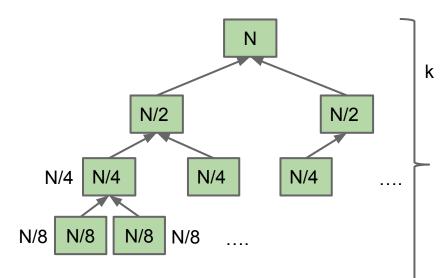
$$= N \cdot 1 + N + N + N + N$$

$$= N \cdot 1 + N + N + N + N$$

$$= N \cdot 1 + N + N + N + N + N$$

$$= N + N \lg N \in \Theta(N \lg N)$$

Only works for N=2<sup>k</sup>. Can be generalized at the expense of some tedium (e.g. separately prove big O and big Omega)



**Table 2.1** The running times (rounded up) of different algorithms on inputs of increasing size, for a processor performing a million high-level instructions per second. In cases where the running time exceeds  $10^{25}$  years, we simply record the algorithm as taking a very long time.

N log N is basically as good as N.

• Only a tiny bit slower. N = 1,000,000, and the log N is only 20.

2	n	$n \log_2 n$	$n^2$	$n^3$	1.5 <sup>n</sup>	$2^n$	n!
n = 10	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	4 sec
n = 30	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	18 min	$10^{25}$ years
n = 50	< 1 sec	< 1 sec	< 1 sec	< 1 sec	11 min	36 years	very long
n = 100	< 1 sec	< 1 sec	< 1 sec	1 sec	12,892 years	10 <sup>17</sup> years	very long
n = 1,000	< 1 sec	< 1 sec	1 sec	18 min	very long	very long	very long
n = 10,000	< 1 sec	< 1 sec	2 min	12 days	very long	very long	very long
n = 100,000	< 1 sec	2 sec	3 hours	32 years	very long	very long	very long
n = 1,000,000	1 sec	20 sec	12 days	31,710 years	very long	very long	very long

## **Summary**

Theoretical analysis of algorithm performance requires careful thought.

- Finding a simple expression for runtime is about finding patterns.
- Know the patterns we've learned today (more in HW and discussion).

Different solutions to the same problem may have radically different runtimes.  $N^2$  vs. N log N kind of a big deal.

#### Next time:

- Amortized analysis.
- Empirical measurement of program runtime.
- Sneak preview of complexity theory (extra).