# Software Requirements Specification for ChemCode: A program for solving chemistry problems

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# 1 Revision History

| Date          | Version | Notes  |
|---------------|---------|--|
| Jan. 21, 2023 | 0.0     | Start document, fill in title page, and add references   |
|               | 0.1.0   | Fill in Problem Description and Goal Statements sections,  |
|               |         | as well as all relevant definitions  |
| Jan. 22, 2023 | 0.1.1   | Replace the notion of "fractional oxidation state" with  |
|               |         | "nonstoichiometric compound"   |
|               | 0.1.2   | Fix capTemplate reference  |
|               | 0.2.0   | Update Abbreviations and Acronyms  |
|               | 0.2.1   | Add IM for balancing a chemical reaction, along with rele-   |
|               |         | vant reference information   |
| Jan. 23, 2023 | 0.2.2   | Add IM for determining if a chemical reaction is feasible, along with relevant reference information |
| Jan. 25, 2023 | 0.2.3   | Add references between sections for notation and definitions   |
|               | 0.2.4   | Abstract IM for determining if a chemical reaction is feasi-   |
|               |         | ble  |
|               | 0.2.5   | Add IM for converting chemical equation to a matrix, along   |
|               |         | with relevant reference information  |
|               | 0.2.6   | Rename and add input constraint to IM for balancing a  |
|               |         | chemical reaction  |
|               | 0.2.7   | Add note about IM for balancing a chemical reaction re-  |
|               |         | turning the smallest solution  |
|               | 0.2.8   | Add TM for matrix equation (TM1)   |
|               | 0.2.9   | Improve referencing, mainly for TMs, and add note about  |
|               |         | potential TM for Conservation of Mass  |
|               | 0.3.0   | Add check for Conservation of Mass in IM for determining if a chemical reaction is feasible          |
| Jan. 26, 2023 | 0.3.1   | Add Likely Changes from Problem Statement, add assump-   |
| ,             |         | tion about difference between number of elements and com-  |
|               |         | pounds (A1), and add mole to Table of Units  |
|               | 0.3.2   | Update TM1 (and TM function) from feedback from pre-   |
|               |         | sentation  |
|               | 0.3.3   | Fill in Scope of Requirements, fix A1 and change "infeasi-   |
|               |         | ble" to "feasible"   |
| Jan. 27, 2023 | 0.4.0   | Improve section referencing in Revision History  |
|               | 0.4.1   | Fill in Functional Requirements  |
| Jan. 28, 2023 | 0.4.2   | Fill in main Nonfunctional Requirements, along with rele-  |
|               |         | vant reference information   |
|               | 0.4.3   | Add TM for the Law of Conservation of Mass (TM2)   |

| Date          | Version | Notes  |
|---------------|---------|--|
| Jan. 28, 2023 | 0.4.4   | Represent input to IM for converting chemical equation to<br>a matrix as two sequences of chemical formulas, add as-<br>sumptions about user input, and remove infeasible likely<br>change |
| Jan. 29, 2023 | 0.5.0   | Add NFRs for understandability (NFR2) and verifiability (NFR6) and improve quality of other NFRs   |
|               | 0.5.1   | Fill in Introduction based on Drasil examples  |
|               | 0.5.2   | Fill in Physical System Description and remove "product" and "reactant" from Terminology and Definitions   |
| Jan. 30, 2023 | 0.5.3   | Remove unnecessary template comments about SRS document and the ones from Specific System Description up to Instance Models  |
|               | 0.5.4   | Remove unnecessary content from Table of Symbols and add the notion of "gram" to the document  |
|               | 0.6.0   | Make NFR1 more accurate to current scope with potential future information for stretch goals added in comment  |
|               | 0.6.1   | Make System Context diagram (Figure 1) and start to fill in System Context   |
| Jan. 31, 2023 | 0.6.2   | Fill in rest of General System Description   |
| Feb. 1, 2023  | 0.6.3   | Change abbreviation of theoretical model from "T" to "TM" and make TM references consistent with other references  |
| Feb. 2, 2023  | 0.6.4   | Fill in Reference Material, renaming specific matrices/vectors in Instance Models  |
|               | 0.6.5   | Reformat and clarify Likely Changes  |
| Feb. 3, 2023  | 0.6.6   | Add type notation and information  |
|               | 0.6.7   | Fill in Unlikely Changes, add likely change for identifying more types of reactions (LC9), and reference A1 in IM for converting chemical equation to a matrix                             |
|               | 0.6.8   | Fill in Traceability Matrices and remove more unnecessary content  |
|               | 1.0     | Fill in data constraints sections, update traceability matrices, and finalize formatting   |
| Feb. 14, 2023 | 1.1.0   | Improve mathematical notation (remove " $\times$ 1" from vector types, define $\mathbb{R}$ ) and citations   |
|               | 1.2.0   | Improve requirements based on feedback   |
| Feb. 22, 2023 | 1.2.1   | Add equation to TM2, including relevant Data Types   |
| ,             | 1.2.2   | Improve TM1 based on feedback  |
|               | 1.2.3   | Add ChemCode abbreviation and missing definitions  |
| Feb. 26, 2023 | 1.2.4   | Add DD1  |

| Date             | Version | Notes   |
|------------------|---------|---|
| Feb. 27, 2023    | 1.2.5   | Add DD for matrix representation and clarify Mathematical                                       |
|                  |         | Notation for types  |
| Feb. 28, 2023    | 1.2.6   | Add DD2   |
|                  | 1.2.7   | Add GD1   |
|                  | 1.2.8   | Add DD for GCF (DD4)  |
|                  | 1.2.9   | Add notion of parametric solution to GD1 and add IM1  |
|                  | 1.3.0   | Remove old IMs  |
| Mar. 4, 2023     | 1.3.1   | Clarify inputs and outputs and improve line breaking in System Context                          |
|                  | 1.3.2   | Replace method of solving with integer programming and<br>improve Revision History table format |
| Mar. 5, 2023     | 1.3.3   | Replace old TM1 with one for an integer linear program (TM1)                                    |
|                  | 1.3.4   | Replace old GD1 with one that corresponds to TM1  |
| Mar. 6, 2023     | 1.3.5   | Clarify old GD1 (types, output, and special matrices/vectors)                                   |
|                  | 1.3.6   | Remove all content from specification based on solving a system of linear equations             |
|                  | 1.3.7   | Add IM for output (IM3)   |
|                  | 1.3.8   | Update Mathematical Notation (add notion of transpose and remove unneeded content)              |
| Mar. 7, 2023     | 1.3.9   | Account for compound coefficients in TM2  |
| ,                | 1.3.10  | Add TM2 reference to IM3 and improve IM referencing   |
|                  | 1.3.11  | Move old DD3 and GD1 to be IMs (IM1 and IM2, respectively)                                      |
|                  | 1.4     | Update traceability   |
|                  | 1.4.1   | Clarify Purpose of Document and Scope of Requirements   |
|                  | 1.4.2   | Clarify A2 and add A3   |
| Mar. 8, 2023     | 1.4.3   | Add unlikely changes for checking that inputted formulas  |
|                  | -       | (UC2) and equations (UC3) correspond to real-world com-   |
|                  |         | pounds and reactions, respectively, including supporting definitions                            |
| Mar. 9, 2023     | 1.4.4   | Refine Goal Statements  |
|                  | 1.4.5   | Finish updating document based on Dr. Smith's feedback  |
|                  | 1.4.6   | Add some content from Physical System Description to Introduction                               |
|                  | 1.4.7   | Clarify A4 and A5, including relevant reference material  |
| Mar. 10-11, 2023 | 1.4.8   | Improve compound data type (correct tuple notation and change sequence to set)                  |
|                  | 1.4.9   | Add reaction data type  |
| Mar. 13, 2023    | 1.4.10  | Fix error in DD1  |

| Date                           | Version        | Notes  |
|--------------------------------|----------------|--|
| Mar. 14, 2023<br>Apr. 17, 2023 | 1.5.0<br>1.5.1 | Reflect change to DD2 in IM1 Add (commented out) TikZ code for figure in Physical System Description and fixed order of the elements of the system |
|                                | 1.5.2          | tem Add links to Verification and Validation (VnV) Plan for Nonfunctional Requirements   |

## 2 Reference Material

This section records information for easy reference.

## 2.1 Abbreviations and Acronyms

| symbol   | description                                       |
|----------|---|
| A        | Assumption  |
| ChemCode | Chemistry Code                                    |
| DD       | Data Definition                                   |
| elems    | elements [Is this correct? —SC]                   |
| GD       | General Definition                                |
| GS       | Goal Statement                                    |
| IM       | Instance Model                                    |
| IUPAC    | International Union of Pure and Applied Chemistry |
| LC       | Likely Change                                     |
| NIST     | National Institute of Standards and Technology    |
| PS       | Physical System Description                       |
| R        | Requirement                                       |
| SRS      | Software Requirements Specification               |
| TM       | Theoretical Model                                 |
| VnV      | Verification and Validation                       |

#### 2.2 Table of Units

Throughout this document, SI (Système International d'Unités) is employed as the unit system. Several units are used as described below. For each unit, the symbol is given, followed by a description of the unit and the SI name.

| symbol | unit                | SI   |
|--------|---------------------|------|
| g      | mass                | gram |
| mol    | amount of substance | mole |

### 2.3 Table of Symbols

The table that follows summarizes the symbols used in this document along with their units and types. The choice of symbols was made to be consistent with linear algebra conventions while distinguishing between specific matrices. The symbols are listed in alphabetical order.

[Should symbols for data types be included here? —SC]

| symbol       | unit | type                      | description  |
|--------------|------|---------------------------|--|
| 0            | _    | $\mathbb{Z}^m_{>0}$       | zero vector  |
| 1            | _    | $\mathbb{Z}_{>0}^{m}$     | unary vector   |
| $\mathbf{A}$ | _    | $\mathbb{R}^{m \times n}$ | generic matrix   |
| b            | _    | $\mathbb{R}^m$            | generic vector   |
| $\mathbf{c}$ | _    | $\mathbb{Z}^n_{>0}$       | vector of smallest coefficients that balance a chemical equation |
| c            | _    | C                         | generic compound   |
| ${f E}$      | _    | $\mathbb{R}^{m \times n}$ | matrix representation of a chemical equation                     |
| e            | _    | E                         | generic element  |
| i            | _    | $\mathbb{Z}_{\geq 0}$     | generic non-negative integer                                     |
| j            | _    | $\mathbb{Z}_{\geq 0}$     | generic non-negative integer                                     |
| m            | _    | $\mathbb{Z}_{>0}$         | generic positive integer   |
| n            | _    | $\mathbb{Z}_{>0}$         | generic positive integer   |
| $p_i$        | _    | $(C,\mathbb{Z}_{>0})$     | tuple from the product side of a chemical equation               |
| r            | _    | R                         | generic reaction   |
| $r_i$        | _    | $(C,\mathbb{Z}_{>0})$     | tuple from the reactant side of a chemical equation              |
| s            | _    | string                    | output message   |
| X            | _    | $\mathbb{R}^n$            | generic vector   |
| x            | _    | $\mathbb{Z}_{\geq 0}$     | generic non-negative integer                                     |
| y            | _    | $\mathbb{Z}_{>0}$         | generic positive integer   |

#### 2.4 Mathematical Notation

The set of non-negative integers (including zero) is denoted by  $\mathbb{Z}_{\geq 0}$  and the set of positive integers (excluding zero) is denoted by  $\mathbb{Z}_{\geq 0}$  [1]. The set of real numbers is denoted by  $\mathbb{R}$  and consists of every number "that can be expressed as an infinite decimal expansion" [2]. The sets of non-negative (including zero) and positive (excluding zero) real numbers are denoted by  $\mathbb{R}_{\geq 0}$  and  $\mathbb{R}_{\geq 0}$ , respectively [3].

Matrices are written in bold uppercase letters (for example  $\mathbf{A}$ ), while vectors (onedimensional matrices) are written in bold lowercase letters, (for example  $\mathbf{x}$ ) [4]. The type of a matrix will be denoted by the type of each value in the matrix with the matrix's dimensions as a superscript; for example, a matrix of real numbers with two rows and three columns is of type  $\mathbb{R}^{2\times3}$  [5, p. 3]. Since vectors are one-dimensional matrices, their types only have one dimension; for example, a row vector with four real number entries is denoted by  $\mathbb{R}^4$  [5, p. 4]. The zero matrix/vector is a special type of matrix/vector where each element is zero and is denoted by  $\mathbf{0}$  [6]. Similarly, the unary matrix/vector, denoted by  $\mathbf{1}$ , will represent a matrix/vector where each element is one. Individual entries in a matrix are written in lowercase letters with their row and column number, in that order, written as subscripts (for example  $a_{12}$ ) [4]. The transpose of a matrix is the matrix that results from "switching its rows with its columns"; the transpose of  $\mathbf{A}$  is denoted by  $\mathbf{A}^{\mathrm{T}}$  [7].

The notation for definite description (I) is taken from [8].

### 3 Introduction

Chemical equations are common ways of representing chemical reactions (as described in Physical System Description), but to ensure the Law of Conservation of Mass (TM2) is observed, they must be balanced [9]. This process of balancing a chemical equation involves introducing coefficients before each chemical formula such that there are the same number of atoms of each element on the reactant and product sides of the equation. Because balancing must be done before a given chemical reaction can be used [9], it is useful to have a tool to automatically do this. This would improve the productivity of scientists and engineers and reduce the potential for human error. This program should balance a given chemical reaction if it is feasible (see Terminology and Definitions), and if not, it should provide a descriptive message communicating this to the user. The program that performs these tasks as specified by this document will be called "ChemCode".

The following section provides an overview of the Software Requirements Specification (SRS) for ChemCode. This section explains the purpose of this document, the scope of the requirements, the characteristics of the intended reader, and the organization of the document.

#### 3.1 Purpose of Document

The primary purpose of this document is to record the requirements of the program for solving chemistry problems related to balancing chemical equations. Goals, assumptions, theoretical models, definitions, and other model derivation information are specified, allowing the reader to fully understand and verify the purpose and scientific basis of ChemCode. With the exception of system constraints, this SRS will remain abstract, describing what problem is being solved, but not how to solve it.

This document will be used as a starting point for subsequent development phases, including writing the design specification and the software Verification and Validation (VnV) Plan. The design document will show how the requirements are to be realized, including decisions on the numerical algorithms and programming environment. The VnV Plan will show the steps that will be used to increase confidence in the software documentation and the implementation. Although the SRS fits in a series of documents that follow the so-called "waterfall model," the actual development process is not constrained in any way. Even when the waterfall model is not followed, the most logical way to present the documentation is to "fake" a rational design process [10].

## 3.2 Scope of Requirements

The scope of the requirements omits any chemical equation with more than one compound than element. Furthermore, it requires all inputted chemical formulas of compounds to describe real chemical compounds, be formatted following a set of conventions, and only consist of atomic symbols and subscripts.

#### 3.3 Characteristics of Intended Reader

Reviewers of this documentation should have an understanding of high-school level chemistry, namely stoichiometry (see Terminology and Definitions), and undergraduate Level 3 linear optimization, namely integer programming.

#### 3.4 Organization of Document

The organization of this document is based on a template [11], which is based on the template for an SRS for scientific computing software [12] [13] [14]. The presentation follows the standard pattern of presenting goals, theories, definitions, and assumptions. For readers that would like a more bottom-up approach, they can start reading the Instance Models and trace back to find any additional information they require.

The Goal Statements are refined to the Theoretical Models, which are refined to the Instance Models.

## 4 General System Description

This section provides general information about the system. It identifies the interfaces between the system and its environment, describes the user characteristics and lists the system constraints.

#### 4.1 System Context

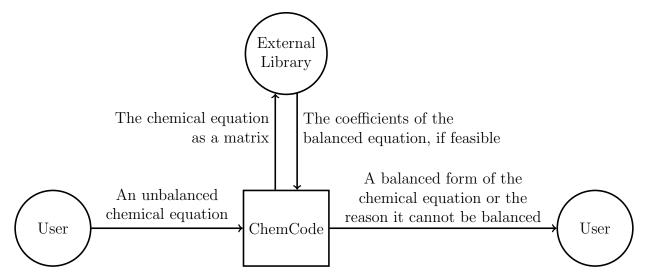


Figure 1: System Context

Figure 1 shows the system context. A circle represents an external entity outside the software. A rectangle represents the software system itself (ChemCode). Arrows are used to show the data flow between the system and its environment.

#### • User Responsibilities:

- Provide an unbalanced chemical equation, ensuring conformation to input data format required by ChemCode
- Ensure required software assumptions from Subsection 5.2.1 are appropriate for the problem to which the user is applying the software

#### • ChemCode Responsibilities:

- Detect data type mismatch, such as a string of characters instead of a rational number
- Detect improperly formatted chemical equations
- Format the inputted chemical equation as a matrix
- If the inputted chemical equation is feasible, find its balanced form with the smallest positive integer coefficients
- If the inputted chemical equation is infeasible, determine the reason why

#### • External Library Responsibilities:

- Solve the integer programming problem for the chemical equation

#### 4.2 User Characteristics

The end user of ChemCode should have an understanding of high-school level chemistry, namely stoichiometry (see Terminology and Definitions).

### 4.3 System Constraints

ChemCode will be developed using Drasil [15], "a framework for generating high-quality documentation and code for Scientific Computing Software" [16, p. iii], with the goal of extending it by adding concepts relevant to the problem outlined in Problem Description. Since Drasil is built on the idea of reusability, external libraries will be used to solve the integer programming problems. This was previously done with ordinary differential equation (ODE) solvers, since "creating a complete ODE solver in Drasil would take considerable time, and there are already many reliable external libraries . . . tested by long use" [17, p. 24]; these rationales also apply to solvers of integer programming problems.

## 5 Specific System Description

This section first presents the problem description, which gives a high-level view of the problem to be solved. This is followed by the solution characteristics specification, which presents the assumptions, theories, definitions and finally the instance models.

#### 5.1 Problem Description

ChemCode is intended to balance chemical equations (including ones with nonstoichiometric compounds; see Terminology and Definitions) so they can be useful for other computations [9]. Additionally, since molecules only exist in positive integers (since dividing a molecule changes its composition into new types of molecules), the coefficients used to balance the equation must be whole numbers, and by convention should be as small as possible [9].

#### 5.1.1 Terminology and Definitions

This subsection provides a list of terms that are used in the subsequent sections and their meaning, with the purpose of reducing ambiguity and making it easier to correctly understand the requirements:

- Balanced: (Referring to a chemical equation) following the Law of Conservation of Matter (TM2) [9].
- **Compound**: A molecule made up of more than one atom, which may or may not be of different elements.
- **Element**: The group of "all atoms with the same number of protons in the atomic nucleus" [18]. For example, all atoms with one proton are hydrogen atoms.
- Equation: A textual representation of a chemical reaction.
- Feasible: (Referring to a chemical equation) able to be balanced [19].
- Formula: A textual representation of a chemical compound.
- **Hydrate**: "A compound formed by the chemical combination of water and some other substance in a definite molecular ratio" [20].
- **Isotope**: An atom that is the same element as another but has a different number of neutrons [9].
- Polyatomic Ion: A group of atoms "bonded together that carr[ies] an overall electric charge" [9].
- **Polymer**: A macromolecule "formed by the chemical bonding of large numbers of smaller molecules" [21].

- Nonstoichiometric Compound: "Any solid chemical compound in which the numbers of atoms of the elements present cannot be expressed as a ratio of small positive integers" [22].
- Reaction: An interaction between different types of matter that results in at least one new substance being formed [9, p. 286].
- Stoichiometry: "The calculation of the quantities of reactants or products in a chemical reaction using the relationships found in a balanced chemical equation" [9, p. 337].

#### 5.1.2 Physical System Description

Chemical reactions are interactions between different types of matter that result in new substances being formed [9, p. 286]. [If this is in Terminology and Definitions, should I say it here, too? —SC] These are represented using chemical equations, with the reactant(s)—the substance(s) present at the beginning of the reaction—on the left-hand side and the product(s)—the substance(s) formed by the reaction—on the right. An example of a chemical equation representing the combustion of methane in the presence of oxygen to form carbon dioxide and water vapour is shown below. The subscripts indicate how many atoms of each element are present in the given chemical compound.

$$CH_4 + O_2 \rightarrow CO_2 + H_2O$$

As mentioned in Problem Description, chemical equations must be balanced to be useful [9]. This means that there must be the same number of each element before and after the reaction takes place to satisfy the Law of Conservation of Mass (TM2). Since changing the subscripts in a formula will change the type of molecule (for example, O<sub>3</sub> is ozone, not oxygen), equations are balanced by introducing coefficients before each compound. If no coefficient is present, there is an implicit coefficient of "1". The above equation looks like this in its balanced form:

$$CH_4 + 2O_2 \rightarrow CO_2 + 2H_2O$$

The physical system of ChemCode includes the following elements:

PS1: The reactants of a given chemical reaction.

PS2: The products of the same chemical reaction.

#### 5.1.3 Goal Statements

Given a representation of a chemical equation, the goal statements of ChemCode are:

GS1: Determine if the equation is feasible (see Terminology and Definitions).

GS2: If the chemical equation is feasible, find its balanced form with the smallest positive integer coefficients.

GS3: If the chemical equation is infeasible, determine the reason why.

#### 5.2 Solution Characteristics Specification

The instance models that govern ChemCode are presented in Subsection 5.2.6. The information to understand the meaning of the instance models and their derivation is also presented, so that the instance models can be verified.

#### 5.2.1 Assumptions

This section simplifies the original problem and helps in developing the theoretical model by filling in the missing information for the physical system. The numbers given in the square brackets refer to the theoretical model [TM], general definition [GD], data definition [DD], instance model [IM], likely change [LC], or unlikely change [UC] in which the respective assumption is used.

- A1: For all inputted chemical equations, there is at most one more compound than element. [Should this be an assumption or a requirement? —SC] [IM1, UC1]
- A2: All inputted chemical formulas describe real chemical compounds. [IM1, UC2]
- A3: All inputted chemical equations describe real chemical equations. [IM1, UC3]
- A4: All inputted chemical formulas follow the conventions laid out in [23] and [24] by the International Union of Pure and Applied Chemistry (IUPAC). [IM1, LC6]
- A5: All inputted chemical formulas only consist of atomic symbols and subscripts; this means that they cannot contain dots, parentheses, hyphens, or superscripts, so hydrates (see Terminology and Definitions), polymers, and formulas with isotopes and some with polyatomic ions will not be present in inputted chemical equations. [IM1, LC1]

#### 5.2.2 Theoretical Models

This section focuses on the general equations and laws that ChemCode is based on.

Number: TM1

Label: Integer linear program

 $\text{maximize} \quad \mathbf{c}^T \mathbf{x}$ 

Equation: subject to  $Ax \le b$ , [Is it right for this to be labelled "equation"? —SC]  $x \ge 0$ ,

and  $\mathbf{x} \in \mathbb{Z}^n$ 

**Description:** The above equation [? —SC] gives the canonical form of an integer linear program, which is "a mathematical optimization or feasibility program in which some or all of the variables are restricted to be integers [and] the objective function and the constraints (other than the integer constraints) are linear" [25]. **A** is a generic matrix of type  $\mathbb{R}^{m \times n}$ , **b** is a generic vector of type  $\mathbb{R}^n$ , **c** is a generic vector of type  $\mathbb{R}^n$ , and **x** is a generic vector of type  $\mathbb{Z}^n$ . The values of **x** are unknown and will be solved for.

Notes: None

Source: https://en.wikipedia.org/wiki/Integer\_programming

Ref. By: IM2

**Derivation for TM1:** Not Applicable

Number: TM2

Label: Law of Conservation of Mass

**Equation:**  $\forall e : E, r : R \mid \sum_{r_i \in r.\text{reac}} r_i.\text{coeff} \times \text{count}(e, r_i.\text{comp}) = \sum_{p_i \in r.\text{prod}} p_i.\text{coeff} \times \text{count}(e, p_i.\text{comp}),$  where  $r_i$  represents a tuple of a compound and a coefficient from the reactant side of a chemical equation,  $p_i$  represents a tuple of the same type from the product side, and count(e, c) is from DD1.

**Description:** This law states that "matter can neither be created nor destroyed in a chemical reaction . . . but it may change forms to other substances" [9, p. 112].

Notes: None

**Source:** https://chem.libretexts.org/Courses/Anoka-Ramsey\_Community\_College/Introduction\_to\_Chemistry/03%3A\_Matter\_and\_Energy/3.06%3A\_Conservation\_of\_Mass

**Ref. By:** IM1, IM2, IM3

**Derivation for TM2:** Not Applicable

#### 5.2.3 General Definitions

There are no general definitions.

#### 5.2.4 Data Definitions

This section collects and defines all the data needed to build the instance models. The dimension of each quantity is also given.

| Number      | DD1  |
|-------------|--|
| Label       | Count of an element in a compound  |
| Symbol      | $\operatorname{count}(e,c)$  |
| SI Units    |  |
| Equation    | $count(e,c) = \begin{cases} \mathbf{I} x : \mathbb{R}_{>0} \mid (e,x) \in c, & \exists x : \mathbb{R}_{>0} \mid (e,x) \in c \\ 0, & \text{otherwise} \end{cases}$              |
| Description | The output of count $(e, c)$ is of type $\mathbb{R}_{\geq 0}$ and represents the number of atoms of a given element $e$ (of type $E$ ) in a given compound $c$ (of type $C$ ). |
| Sources     | _  |
| Ref. By     | TM2, IM1   |

| Number      | DD2  |
|-------------|--|
| Label       | Set of elements in a chemical equation   |
| Symbol      | $\mathrm{elems}(r)$  |
| SI Units    | _  |
| Equation    | elems $(r) = \{e : E \mid \exists c : C, y : \mathbb{Z}_{>0}, x : \mathbb{R}_{>0} \mid (c, y) \in r. \text{prod} \cup r. \text{reac} \land (e, x) \in c\}$ |
| Description | The output of elems $(r)$ is of type $set\ of\ E$ and represents the set of elements that occur in a given chemical equation $r$ (of type $R$ ).           |
| Sources     | _  |
| Ref. By     | IM1  |

## 5.2.5 Data Types

This section collects and defines all the data types needed to document the models.

| Type Name   | Element   |
|-------------|---|
| Type Def    | $E = \{ \text{H, He, Li, Be, B, C, N, O, F, Ne, Na, Mg, Al, Si, P, S, Cl, Ar, K, Ca, Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn, Ga, Ge, As, Se, Br, Kr, Rb, Sr, Y, Zr, Nb, Mo, Tc, Ru, Rh, Pd, Ag, Cd, In, Sn, Sb, Te, I, Xe, Cs, Ba, La, Ce, Pr, Nd, Pm, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm, Yb, Lu, Hf, Ta, W, Re, Os, Ir, Pt, Au, Hg, Tl, Pb, Bi, Po, At, Rn, Fr, Ra, Ac, Th, Pa, U, Np, Pu, Am, Cm, Bk, Cf, Es, Fm, Md, No, Lr, Rf, Db, Sg, Bh, Hs, Mt, Ds, Rg, Cn, Nh, Fl, Mc, Lv, Ts, Og }$ |
| Description | A type representing each element from [26].   |
| Sources     | [27]  |

| Type Name   | Compound   |
|-------------|--|
| Type Def    | $C = set \ of \ tuple \ of \ (elem : E, count : \mathbb{R}_{>0})$                            |
| Description | A type representing a compound (a set of elements, each with a specified positive quantity). |
| Sources     |  |

| Type Name   | Reaction  |
|-------------|---|
| Type Def    | $R = tuple \ of \ (prod : sequence \ of \ tuple \ of \ (comp : C, coeff : \mathbb{Z}_{>0}),$<br>reac : sequence of tuple of $(comp : C, coeff : \mathbb{Z}_{>0})$ |
| Description | A type representing a reaction (a product and a reactant side, each being a sequence of compounds with their own coefficients).                                   |
| Sources     |   |

#### 5.2.6 Instance Models

This section transforms the problem defined in Problem Description into one which is expressed in mathematical terms. It uses concrete symbols defined in Section 5.2.4 to replace the abstract symbols in the models identified in Section 5.2.2.

The goal GS1 is solved by IM2 and the goals GS2 and GS3 are solved by IM3.

| Number      | IM1   |
|-------------|---|
| Label       | Matrix representation of a chemical equation  |
| Symbol      | $\mathbf{E}$  |
| SI Units    | _   |
| Equation    | $\forall e_i \in \text{elems}(r), c_j \in r. \text{reac} \cup r. \text{prod} \mid e_{ij} = \begin{cases} \text{count}(e_i, c_j), & c_j \in r. \text{reac} \\ -\text{count}(e_i, c_j), & \text{otherwise} \end{cases}$   |
| Description | E is a matrix of type $\mathbb{R}^{ \mathrm{elems}(r)  \times  r.\mathrm{reac} \cup r.\mathrm{prod} }$ constructed from a given chemical equation $r$ (of type $R$ ). It represents this chemical equation as a matrix where each row corresponds to a different element and each column corresponds to a different compound. Positive entries indicate the compound is a reactant, negative entries indicate the compound is a product, and zeroes indicate the corresponding element is not present in the corresponding compound; this allows for ensuring that the Law of Conservation of Mass (TM2) is followed [Add more detailed derivation? —SC]. count( $e, c$ ) is from DD1 and elems( $r$ ) is from DD2.  Each compound present in the inputted sequences are assumed to be valid (A2), be formatted correctly (A4), and only consist of atomic symbols and subscripts (A5). The chemical reaction represented by the inputted sequences is also assumed to be valid (A3). The number of columns is assumed to be at most one more than the number of rows (A1). |
| Sources     | [19]  |
| Ref. By     | IM2, R2   |

| Number      | IM2  |
|-------------|--|
| Label       | Integer linear program for chemical equation   |
| SI Units    |  |
| Equation    | $\begin{array}{lll} \text{minimize} & \mathbf{x} \\ \text{subject to} & \mathbf{E}\mathbf{x} = 0, \\ & \mathbf{x} > 0, \\ \text{and} & \mathbf{x} \in \mathbb{Z}^n \end{array} \text{[Is it right for this to be labelled "equation"? —SC]}$   |
| Description | This is a specific instance of the ILP from TM1 with $\mathbf{A} = \mathbf{E}$ (from IM1), $\mathbf{b} = 0$ (since there should be no difference between the number of atoms of each element on each side of the equation), and $\mathbf{c} = 1$ (since only the sum of the coefficients need to be minimized to obtain the smallest solution). The goal is changed from "maximize" to "minimize" since chemical equations have the smallest coefficients by convention [9]. The constraints are also modified; for the Law of Conservation of Mass (TM2) to hold, $\mathbf{E}\mathbf{x}$ must equal $0$ , and to avoid trivial solutions and ensure that mass is conserved, each coefficient must be positive ( $\mathbf{x} > 0$ ). The solution to this equation [?—SC] is the vector of the smallest positive integer coefficients for the compounds in the chemical equation such that the Law of Conservation of Mass (TM2) is satisfied. $0$ is the zero vector and $1$ is the unary vector (see Mathematical Notation). |
| Source      |  |
| Ref. By     | IM3, R3, R5, NFR1  |

| Number      | IM3  |  |  |  |  |
|-------------|--|--|--|--|--|
| Label       | Output message   |  |  |  |  |
| Input       | The solution from IM2, if one exists   |  |  |  |  |
| Output      | The inputted chemical equation balanced with the found coefficients, a solution exists   |  |  |  |  |
|             | $s = \begin{cases} \text{"This reaction is infeasible because} \\ \text{[reasoning], violating the Law of,} \\ \text{Conservation of Mass."} \end{cases} $ the Law of Conservation of Mass (TM2) is violated |  |  |  |  |
|             | "This reaction is infeasible, likely because it is overconstrained.", otherwise  |  |  |  |  |
| Description | s is a string that will be output to the user.   |  |  |  |  |
| Sources     | _  |  |  |  |  |
| Ref. By     | R4, R6   |  |  |  |  |

#### 5.2.7 Input Data Constraints

There are no input data constraints.

#### 5.2.8 Properties of a Correct Solution

The vector outputted by IM2 can be used as the value for  $\mathbf{x}$  in a "sanity" check; the original input (formatted as a matrix according to IM1) can then be used as  $\mathbf{E}$ . Performing this matrix multiplication should result in the zero vector,  $\mathbf{0}$  (from IM2).

## 6 Requirements

This section provides the functional requirements, the business tasks that the software is expected to complete, and the nonfunctional requirements, the qualities that the software is expected to exhibit.

#### 6.1 Functional Requirements

- R1: Input a representation of a chemical equation.
- R2: Convert the inputted equation to matrix form (from IM1).
- R3: Determine if the inputted equation is feasible (see Terminology and Definitions; from IM2).
- R4: If the inputted equation is infeasible, output a descriptive message (from IM3).
- R5: If the inputted equation is feasible, balance the chemical equation with the smallest positive integer coefficients possible (from IM2).
- R6: If the inputted equation is feasible, output a balanced form of the equation in the same format as the input (from IM3).

#### 6.2 Nonfunctional Requirements

- NFR1: **Accuracy:** Chemical equations are only useful if they are balanced [9], so computed coefficients from IM2 should be exact.
- NFR2: **Understandability:** A new intended user (as described by **User Characteristics**) should be able to learn how to use ChemCode in an acceptable amount of time, as measured by the procedure in Section 10 of the Verification and Validation (VnV) Plan.
- NFR3: **Usability:** An intended user (as described by User Characteristics) should find Chem-Code easy to use, as measured by the procedure in Section 11 of the VnV Plan.
- NFR4: **Maintainability:** The development time for any of the likely changes should not exceed MAINTAIN\_FRAC of the original development time.
- NFR5: **Portability:** ChemCode should be able to run on systems with the corresponding programming language installed, including systems running on Windows or macOS. The tests from the VnV Plan should pass in these environments.
- NFR6: Verifiability: ChemCode is tested following the VnV Plan.

## 7 Likely Changes

- LC1: The system currently assumes that inputted chemical formulas only consist of atomic symbols and subscripts (A5). In the future, the user might be able to input more complex chemical formulas, such as those containing dots, parentheses, hyphens, and/or superscripts.
- LC2: In the future, ChemCode might be able to, given the amount of one substance (in moles) in a reaction, calculate the amount required/produced of every other substance (also in moles) in the reaction.<sup>1</sup>
- LC3: In the future, ChemCode might be able to, given the amount of each reactant (in moles) in a reaction, determine the limiting reactant(s). This is dependent on LC2.
- LC4: In the future, ChemCode might be able to, given the amount of more than one reactant (in moles) in a reaction, calculate the theoretical yield of each product (also in moles). This is dependent on LC3.
- LC5: In the future, ChemCode might be able to, given the amount of more than one reactant (in moles) in a reaction, calculate the amount of excess reactant(s) (also in moles). This is dependent on LC3.
- LC6: The system currently assumes that inputted chemical formulas follow the conventions laid out in [23] and [24]. In the future, ChemCode might be able to parse valid inputted chemical formulas that do not follow these conventions and format them correctly when outputting them.
- LC7: In the future, the user might be able to enter the amounts required by LC2, LC3, LC4, and LC5 in terms of mass (e.g., in grams).<sup>1</sup>
- LC8: In the future, ChemCode might be able to classify a chemical reaction as "combination (or synthesis), decomposition, combustion, single replacement, [or] double replacement" [9, p. 301].<sup>1</sup>
- LC9: In the future, ChemCode might also be able to classify "oxidation-reduction reactions, ...acid-base reactions, and condensation reactions" [9, p. 301]. This should be done after LC8.
- LC10: In the future, ChemCode might allow the user to input phase labels.<sup>1</sup>
- LC11: In the future, ChemCode might be able to, given the phase labels for the reactants of a reaction, identify the phase labels for the products, which would involve determining solubility. This is dependent on LC10.
- LC12: In the future, ChemCode might be able to identify when a reaction will not take place. This is dependent on LC11.

<sup>&</sup>lt;sup>1</sup>These examples of problems related to chemical equations were taken from [9].

## 8 Unlikely Changes

UC1: A1 assumes that for all inputted chemical equations, there is at most one more compound than element. Using WebQC's chemical equation balancer [28], equations without this constraint, such as  $O_3+C \rightarrow O_2+CO_2$ , "can be balanced in an infinite number of ways" and are "combination[s] of two different reactions" [28]. Manual empirical analysis of these equations led to unexpected results. The constraint in A1 might be a law of nature and a byproduct of "balancing chemical equations [being] just linear algebra" [29, p. 193], but preliminary investigation did not find any proof of this. Therefore, this constraint will remain an assumption and is unlikely to be relaxed.

UC2: A2 assumes that all inputted chemical formulas describe real chemical compounds. While there are extensive libraries of chemical compounds, such as PubChem (which documents 114 million compounds) [30], it is impossible to verify that any external database of chemical compounds is complete for the sake of verifying user input. Therefore, it is unlikely that there will be a reliable way of ensuring the user only inputs real chemical formulas.

UC3: A3 assumes that all inputted chemical equations describe real chemical reactions. While there are extensive libraries of chemical reactions, such as the National Institute of Standards and Technology (NIST) Chemical Kinetics Database (which documents 38,000 reactions) [31], it is impossible to verify that any external database of chemical reactions is complete for the sake of verifying user input. Therefore, it is unlikely that there will be a reliable way of ensuring the user only inputs real chemical reactions.

## 9 Traceability Matrices

The purpose of the traceability matrices is to provide easy references on what has to be additionally modified if a certain component is changed. Every time a component is changed, the items in the column of that component that are marked with an "X" may have to be modified as well. Table 1 shows the dependencies of theoretical models, general definitions, data definitions, instance models, and data constraints on each other. Table 3 shows the dependencies of theoretical models, general definitions, data definitions, instance models, likely changes, and unlikely changes on the assumptions. Table 2 shows the dependencies of requirements on instance models.

|       | TM1 | TM2 | DD1 | DD2 | IM1 | IM2 | IM3 |
|-------|-----|-----|-----|-----|-----|-----|-----|
| TM1   |     |     | X   |     |     |     |     |
| TM2   |     |     |     |     |     |     |     |
| DD1   |     |     |     |     |     |     |     |
| DD2   |     |     |     |     |     |     |     |
| IM1   |     | X   | X   | X   |     |     |     |
| IM2   | X   | X   |     |     | X   |     |     |
| IM3   |     | X   |     |     |     | X   |     |
| 5.2.7 |     |     |     |     |     |     |     |
| 5.2.8 |     |     |     |     | X   | X   |     |

Table 1: Traceability Matrix Showing the Connections Between Items of Different Sections

|      | IM1 | IM2 | IM3 |
|------|-----|-----|-----|
| R1   |     |     |     |
| R2   | X   |     |     |
| R3   |     | X   |     |
| R4   |     |     | X   |
| R5   |     | X   |     |
| R6   |     |     | X   |
| NFR1 |     | X   |     |
| NFR2 |     |     |     |
| NFR3 |     |     |     |
| NFR4 |     |     |     |
| NFR5 |     |     |     |
| NFR6 |     |     |     |

Table 2: Traceability Matrix Showing the Connections Between Requirements and Instance Models

|        | A1 | A2 | A3 | A4 | A5 |
|--------|----|----|----|----|----|
| TM1    |    |    |    |    |    |
| TM2    |    |    |    |    |    |
| DD1    |    |    |    |    |    |
| $DD_2$ |    |    |    |    |    |
| IM1    | X  | X  | X  | X  | X  |
| IM2    |    |    |    |    |    |
| IM3    |    |    |    |    |    |
| LC1    |    |    |    |    | X  |
| LC2    |    |    |    |    |    |
| LC3    |    |    |    |    |    |
| LC4    |    |    |    |    |    |
| LC5    |    |    |    |    |    |
| LC6    |    |    |    | X  |    |
| LC7    |    |    |    |    |    |
| LC8    |    |    |    |    |    |
| LC9    |    |    |    |    |    |
| LC10   |    |    |    |    |    |
| LC11   |    |    |    |    |    |
| LC12   |    |    |    |    |    |
| UC1    | X  |    |    |    |    |
| UC2    |    | X  |    |    |    |
| UC3    |    |    | X  |    |    |

Table 3: Traceability Matrix Showing the Connections Between Assumptions and Other Items

# 10 Values of Auxiliary Constants

 ${\tt MAINTAIN\_FRAC} = 1/10$ 

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