

Analysis of Algorithms

LMNs- Algorithms

DSA

Algorithms

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GATE 2026 DSA Course

An **algorithm** is a step-by-step procedure or set of rules to solve a specific problem. It is a logical sequence of instructions designed to achieve a desired output for given inputs.

Analysis of Algorithm

- 1) Worst Case Analysis (Usually Done): In the worst case analysis, we calculate upper bound on running time of an algorithm by considering worst case (a situation where algorithm takes maximum time)
- 2) Average Case Analysis (Sometimes done): In average case analysis, we take all possible inputs and calculate computing time for all of the inputs.
- 3)Best Case Analysis (Bogus): In the best case analysis, we calculate lower bound on running time of an algorithm.

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Asymptotic Notations

• O Notation: The theta notation bounds a functions from above and below, so it defines exact asymptotic behavior.

 $\Theta((g(n)) = \{f(n): \text{ there exist positive constants } c1, c2 \text{ and } n0 \text{ such that } 0 <= c1*g(n) <= f(n) <= c2*g(n) \text{ for all } n >= n0\}$

• **Big O Notation:** The Big O notation defines an upper bound of an algorithm, it bounds a function only from above.

$$O(g(n)) = \{ f(n): \text{ there exist positive constants c and } n0 \text{ such that } 0 <= f(n) <= cg(n) \text{ for all } n >= n0 \}$$

• Ω Notation: Just as Big O notation provides an asymptotic upper bound on a function, Ω notation provides an asymptotic lower bound.

$$\Omega$$
 (g(n)) = {f(n): there exist positive constants c and n0 such that $0 <= cg(n) <= f(n)$ for all $n >= n0$ }.

read more about - Asymptotic Notations

Solving recurrences

- **Substitution Method**: We make a guess for the solution and then we use mathematical induction to prove the guess is correct or incorrect.
- Recurrence Tree Method: We draw a recurrence tree and calculate the time taken by every level of tree. Finally, we sum the work done at all levels.
- Master theorem Method: Only for following type of recurrences or for recurrences that can be transformed to following type.

$$T(n) = aT(n/b) + f(n)$$
 where $a \ge 1$ and $b \ge 1$

Sorting

Algorithm	Worst Case	Average Case	Best Case	Min. no. of swaps	Max. no. of swaps
<u>Bubble</u>	Θ(n ²)	$\Theta(n^2)$	Θ(n)	0	⊖(n ²)
<u>Selection</u>	Θ(n ²)	Θ(n ²)	Θ(n ²)	0	Θ(n)
Insertion	Θ(n ²)	$\Theta(n^2)$	Θ(n)	0	⊖(n ²)

<u>Quick</u>	Θ(n ²)	Θ(nlgn)	Θ(nlgn)	0	⊖(n ²)
<u>Merge</u>	Θ(nlgn)	Θ(nlgn)	Θ(nlgn)	ls not in-place sorting	Is not in-place sorting
<u>Heap</u>	Θ(nlgn)	Θ(nlgn)	Θ(nlgn)	O(nlgn)	Θ(nlgn)

Searching

Algorithm	Worst Case	Average Case	Best Case
Linear Search ⊖(n)		Θ(n)	Θ(1)
Binary Search	O (logn)	O (logn)	O (1)

Trees

Unlike Arrays, Linked Lists, Stack and queues, which are linear data structures, trees are hierarchical data structures. Depth First

Important Tree Properties and Formulas

- (a) Inorder
- (b) Preorder
- (c) Postorder

Binary Search Tree

Binary Search Tree, is a node-based binary tree data structure which has the following properties:

- The left subtree of a node contains only nodes with keys less than the node's key.
- The right subtree of a node contains only nodes with keys greater than the node's key.
- The left and right subtree each must also be a binary search tree. There must be no duplicate nodes.
- 1. Insertion
- 2. Deletion

AVL Tree

AVL tree is a self-balancing Binary Search Tree (BST) where the difference between

heights of left and right subtrees cannot be more than one for all nodes.

1. Insertion

2. Deletion

B-Tree

B-Tree is a self-balancing search tree. In most of the other self-balancing search

trees (like **B-Tree** and Red Black Trees), it is assumed that everything is in main

memory. To understand use of B-Trees, we must think of huge amount of data that

cannot fit in main memory. When the number of keys is high, the data is read from

disk in the form of blocks. Disk access time is very high compared to main memory

access time. The main idea of using B-Trees is to reduce the number of disk

accesses.

Properties of Prim's Minimum Spanning Tree Algorithm,

1. B-Tree Insertion

2. B-Tree Deletion

Minimum Spanning Tree

Minimum Spanning Tree (MST) problem: Given connected graph G with positive

edge weights, find a min weight set of edges that connects all of the vertices. MST

is fundamental problem with diverse applications.

• Network design— telephone, electrical, hydraulic, TV cable, computer, road

• Approximation algorithms for NP-hard problems – traveling salesperson

problem, Steiner tree

Cluster analysis- k clustering problem can be viewed as finding an MST and

deleting the k-1 most expensive edges.

Example: Kruskal's Minimum Spanning Tree Algorithm

Graph

Graph is a data structure that consists of following two components:

1. A finite set of vertices also called as nodes.

- **2.** A finite set of ordered pair of the form (u, v) called as edge. The pair is ordered because (u, v) is not same as (v, u) in case of directed graph(di-graph). The pair of form (u, v) indicates that there is an edge from vertex u to vertex v. The edges may contain weight/value/cost. Following two are the most commonly used representations of graph.
- 1. **Adjacency Matrix**: Adjacency Matrix is a 2D array of size V x V where V is the number of vertices in a graph.
- 2. **Adjacency List:** An array of linked lists is used. Size of the array is equal to number of vertices.

Graph Algorithms

Algorithm	Time Complexity	
Breadth First Traversal for a Graph	O(V+E) for adjacency list representation and O(V * V) for adjacency matrix representation.	
Depth First Traversal for a Graph	O(V+E) for adjacency list representation and O(V * V) for adjacency matrix representation.	
Dijkstra's shortest path algorithm	Adjacency matrix- O(V^2). Adjacency list- O(E log V)	
Topological Sorting: Shortest Path in Directed Acyclic Graph	O(V+E)	

Some Interesting Graph Questions

Divide and Conquer

- 1. *Divide:* Break the given problem into subproblems of same type.
- 2. *Conquer:* Recursively solve these subproblems
- 3. *Combine:* Appropriately combine the answers

Time Complexity Analysis

If a problem of size n is divided into k subproblems, each of size n/m, and the merging step takes O(f(n)), the time complexity is given by the **Master Theorem**:

T(n) = kT(n/m) + O(f(n))

Following are some standard algorithms that are <u>Divide and Conquer</u> algorithms.

1. Binary Search

Binary Search is a searching algorithm. This algorithm include following steps:

• Divide the search space into two halves.

• Compare the middle element with the target.

Recursively search in the relevant half.

Time Complexity: O(log n)

read more

2. Quicksort

It is a sorting algorithm. The algorithm picks a pivot element, rearranges the array elements in such a way that all elements smaller than the picked pivot element move to left side of pivot, and all greater elements move to right side. Finally, the algorithm recursively sorts the subarrays on left and right of pivot element.

Time Complexity: Best and Average Case: O(n log n), Worst Case: O(n²) (when the pivot is poorly chosen)

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3. Merge Sort

It is also a sorting algorithm. The algorithm divides the array in two halves, recursively sorts them and finally merges the two sorted halves.

Time Complexity: Worst, Average, and Best Case: O(n log n)

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4. Closest Pair of Points

The problem is to find the closest pair of points in a set of points in x-y plane. The problem can be solved in $O(n^2)$ time by calculating distances of every pair of points

and comparing the distances to find the minimum. The Divide and Conquer

algorithm solves the problem in O(nLogn) time.

5. Strassen's Matrix Multiplication

• Steps:

1. Divide matrices into smaller submatrices.

2. Perform recursive multiplications and additions.

3. Combine results.

• Time Complexity: O(n².81)

Greedy Approach

Greedy is an algorithmic paradigm that builds up a solution piece by piece, always

choosing the next piece that offers the most obvious and immediate benefit. Greedy algorithms are used for optimization problems. An optimization problem can be

solved using Greedy if the problem has the following property:

At every step, we can make a choice that looks best at the moment, and we get the

optimal solution of the complete problem.

Following are some standard algorithms that are Greedy algorithms:

1) Kruskal's Minimum Spanning Tree (MST)

In Kruskal's algorithm, we create a MST by picking edges one by one. The Greedy

Choice is to pick the smallest weight edge that doesn't cause a cycle in the MST

constructed so far.

Time Complexity: O(E log V).

2) Prim's Minimum Spanning Tree

In Prim's algorithm also, we create a MST by picking edges one by one. We maintain

two sets: set of the vertices already included in MST and the set of the vertices not

yet included. The Greedy Choice is to pick the smallest weight edge that connects

the two sets.

Time Complexity: O(E log V).

3) Dijkstra's Shortest Path

The Dijkstra's algorithm is very similar to Prim's algorithm. The shortest path tree is built up, edge by edge. We maintain two sets: set of the vertices already included in the tree and the set of the vertices not yet included. The Greedy Choice is to pick the edge that connects the two sets and is on the smallest weight path from source to the set that contains not yet included vertices.

Time Complexity: $O((V + E) \log V)$.

4) Huffman Coding

Huffman Coding is a loss-less compression technique. It assigns variable length bit codes to different characters. The Greedy Choice is to assign least bit length code to the most frequent character.

Time Complexity:O(n log n).

read more about - Greedy Approach

Dynamic Programming

Dynamic Programming (DP) is a problem-solving approach that breaks a problem into smaller overlapping subproblems, solves each subproblem once, and stores its solution to avoid redundant computations. This technique is especially useful for optimization problems

Steps in Dynamic Programming

- **Define Subproblems**: Break the problem into smaller, overlapping subproblems.
- **Recurrence Relation**: Define the relation that connects the solution of the problem with its subproblems.
- Base Case: Identify the simplest subproblems and their solutions.
- **Solve and Store**: Use memoization or tabulation to store solutions to subproblems.
- Construct Solution: Combine solutions of subproblems to solve the overall problem.

Common Examples of Dynamic Programming

1. Fibonacci Numbers

- Problem: Compute the nth Fibonacci number.
- Recurrence Relation: F(n) = F(n-1) + F(n-2)
- Time Complexity: O(n) with memoization or tabulation.

2. Longest Common Subsequence (LCS)

- Problem: Find the longest subsequence common to two strings.
- Recurrence Relation: LCS(X, Y, i, j) = 1 + LCS(X, Y, i-1, j-1) if X[i] == Y[j].

 Otherwise, LCS(X, Y, i, j) = max(LCS(X, Y, i-1, j), LCS(X, Y, i, j-1))
- Time Complexity: O(m * n) for strings of lengths m and n.

3. Knapsack Problem

- Problem: Maximize the total value of items that can be put in a knapsack of a given capacity.
- Recurrence Relation: K(i, W) = max(K(i-1, W), K(i-1, W-w[i]) + v[i]). If item i is included, add its value v[i] and reduce capacity w by its weight w[i]. Otherwise, skip the item.
- **Time Complexity**: O(n * W), where n is the number of items and w is the knapsack capacity.

4. Matrix Chain Multiplication

- **Problem**: Find the most efficient way to multiply matrices by minimizing the number of scalar multiplications.
- Recurrence Relation: M(i, j) = min(M(i, k) + M(k+1, j) + p[i-1]*p[k]*p[j]) for all k
 from i to j-1
- Time Complexity: O(n³).

read more about - **Dynamic Programming**

Backtracking

Backtracking is a general algorithmic technique that involves exploring all possible solutions to a problem by building a solution incrementally and abandoning solutions that fail to satisfy the constraints. It is often used for solving problems that require finding combinations, permutations, or subsets.

Steps in Backtracking

- 1. **Choose**: Make a choice for the current step.
- 2. Explore: Recursively explore all possible solutions based on the current choice.

3. **Backtrack**: If the solution is invalid or all possibilities have been explored, undo the last choice and try a different one.

Examples of Backtracking

1. N-Queens Problem

- **Problem**: Place N queens on an N \times N chessboard so that no two queens threaten each other.
- Approach: Place one queen in each row and recursively check if the placement is valid

2. Sudoku Solver

- **Problem**: Fill a 9x9 grid with numbers 1-9 such that each row, column, and 3x3 sub-grid contains all numbers without repetition.
- Approach: Place a number in an empty cell and recursively check if the grid is valid.

3. Subset Sum Problem

- **Problem**: Determine if there is a subset of a given set whose sum equals a target value.
- Approach: Either include or exclude an element in the subset and recursively check for the solution.

4. Generating Permutations

- **Problem**: Generate all possible permutations of a given string or array.
- Approach: Swap elements recursively and backtrack to undo the swaps.

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Question 1: Which of the following is the value of T3(n) where T3(n) is defined as T3(n) = 5*T3(n-1) - 4*T3(n-2) C1*5n + C2*4n C1 + C2*4n C1*2n + C2*4n C1*5n +...

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