# POLI 2000: Designing Political Research Yue Hu Fall 2017

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Office Hours: 12:30 - 15:30 M & by Appointment Class Hours: 15:30 - 16:45 M/W

Office: 313 Shaeffer Hall Class Room: 105 EPB

#### Overview

How do candidates win elections? Why do countries get involved in international crises and wars? What makes a country more powerful than the others? What explains the choices of violent non-state actors like terrorists? There are just some of the questions that political scientists study. The goal of this course is to show you how to research these questions as a scholar in political science. This course will introduce students to political science research and various ways that social scientific research is undertaken.

#### Objectives

This class will introduce core elements of political science research, such as concept, theory, hypothesis, and evidence. You will also learn how to develop a new theory, construct testable causal inferences, and design different approaches to test your theories empirically. Emphasis will be on an active hands-on learning environment and full interaction between the instructor and students.

There are three fundamental goals of this course. First, students are expected to understand the basic principles of scientific research and apply them to evaluate the established or on-going projects in political science. Second, students are expected to understand common methods in political science research and how they can offer empirical evidence to test theoretical inferences. Third, by taking the course, students are expected to be able to develop their own research designs following the principles of social science research.

## Requirements

I will base your grade for the course on your performance in the four areas below. You will get a score for each component, and your total grade will be the sum of them. The grade points will be translated to letter grades in the following way: 93-100 A, 90-92.9 A-, 87-89.9 B+, 83-86.9 B, 80-82.9 B-, 77-79.9 C+, 73-76.9 C, 70-72.9 C-, 67-69.9 D+, 63-66.9 D, 60-62.3 D-, 59.9 or less F.

## Class Performance (10% attendance + 10% participation + 5% presentation).

I will take attendance at the beginning of every class. You are given three (free) absences (i.e., no excuse is needed) but any absences beyond that will result in ONE WHOLE GRADE REDUCTION per absence regardless of the excuse, except for serious medical reasons or participating in University-sponsored events. Further, if you are part of any University-sponsored event that may cause more than three absences, you should let me know at least 24 hours before the fourth absence and show me the verification that you are the formal or necessary participant of the event. Non-University sponsored events are not excusable absences. You should use your free absences for these events. The ratio of the times of your attendance to the total number of the classes minus three will determine how much you will get from the 10% attendance grade. If the ratio is equal or above one, you will get full 10% attendance grade. Confused? Come see me sooner rather than later if you need clarification of this policy.

Regarding participation, I am looking for you to show that you have fully read and critically evaluated the assigned readings (all available in ICON) and are actively engaged in our in-class discussions. Before each week's class, you will receive a one-page reading guideline. It will give you a hint how to read the week's readings and to which part you should pay special attention. The guideline will always include a recommended reading order and several questions to help you comprehend the materials.

By the second week, we will decide a presentation schedule together. You will sign in a schedule sheet by picking the topic and articles you are interested. Starting from the third Monday, every first 20 minutes will be your showtime. The student who signed for that day will make a 10-min presentation about the reading. The presentation should include three parts: a summary of the article and its relations with other materials, which point in the article impresses you the most and why, and at least one question you really want to know but the article does not spell it out. I will evaluate your performance in each—especially the latter two—aspects (see more details in Rubric for In-Class Presentation). Please cherish this chance to practice your presentation capability. There is no chance for makeup presentation if you miss it.

#### In-Class Quizzes (12%)

You will get eight pop quizzes throughout the semester. Each quiz includes 1-2 questions about the required readings we are going to discuss in the class. (Hint: some questions may come from the reading guide.) At the end of the semester, the six highest scores of the eight quizzes will be recorded to contribute to your final grade. Quizzes will be administered and completed either at the beginning of class. You cannot make up any missed or failed quizzes for any reason. Feel free to discuss the readings with your classmates before class. However, you cannot share your answers to the reading questions with your classmates.

#### Critical Response Paper (10%)

You are expected to submit one response paper over the course of the semester. In the paper, You need to review at least three reading materials of the week. (You are free to write one response paper for your presentation week.) Moreover, I would like to see

- 1. One and ONLY one paragraph to summarize each material (article or assigned chapter).
- 2. Some discussion about what you learn from these materials relating to the weekly topic.

- 3. Your perspective on the argument in the materials—do you agree with the authors? If yes, why are their arguments convincing for you? If not, why?
- 4. Citing other sources if appropriate (but not required).

The paper should be 2-4 pages, double-spaced, 1-inch margins, and in 12 font size. Papers will be graded in a 100 scale and evaluated based on the above points (see more details in Rubric for Critical Response Paper). The paper is due at the BEGINNING of the Monday class (i.e., 15:30) of the week the materials are going to discussed (submit to ICON). Late paper will be penalized 10 points for each day it is late.

#### Examination (20%)

There is only one exam (viz. midterm) for this course. The exam is comprised of identification and short essay questions. The exam will be held on 2017-10-09 in the same class as the lecture.

#### Research Proposal (23%)

You are expected to submit a research proposal at the end of the semester. It could be a proposal for your degree thesis or a funding application. The proposal should include at least six parts:

- 1. A cover table (see the template on ICON).
- 2. An introduction to your research question and why it is important.
- 3. A brief literature review about what scholars have done on this topic. You need to cite at least three articles published in academic journals in political science in this part and discuss how they relate to your topic. Your citation should be in the style used by the American Political Science Association (see the guideline here).
- 4. A section discussing your theory and hypotheses.
- 5. A section discussing the data and method you plan to use to test your theory and why they are the best choice for your project.
- 6. A section discussing the operational feasibility of your research design.

Each part is worth a proportion of total grade. (See the grade distribution in Rubric for Research Proposal). You are expected to finish the project throughout the semester and with my help. Here is the general plan and some important dates:

- You have half of the semester prior to the Midterm to figure out what **question** you want to study. Once you find it, submit it to ICON, and I will assess whether it is a good question for the proposal. You must get my approval by 2017-10-04.
- In the next step, you are expected to review the existing literature and construct the **theoretical arguments** about your research question. You must submit a brief about the theory for my approval by 2017-11-13 (submitted in ICON).
- The **final proposal** is due by 2017-12-11 (submitted in ICON). Late paper will be penalized 10 points for each day of being late.

The proposal is expected to be 1500 - 2500 words (excluding the title and reference pages) in double-spaced, 1-inch margins, and 12 font size. The proposal will be evaluated based on each of the above parts and the overall writing quality (see more details in Rubric for Research Proposal).

#### Extra credit

I offer five extra credits that can be directly contributed to your final grade (1 extra credit = 1 score of the grade). There are two ways to get extra credits.

- 1. You can earn three extra credits by using bibliography management software (EndNote, Jabref, Zotero, etc. See more information about this type of software here.) and submitting relevant bibliography files with your proposal.
- 2. You can earn two credits by gaining a Certification in Human Subjects Protections (CITI) in "Group 2 Social & Behavioral IRB-02". See more information about it here. To get the credit, you need to send the CITI proof to me by the due of the research paper.

### Required Textbook:

Earl R. Babbie. *The Practice of Social Research*. 13th ed. Australia: Wadsworth Cengage Learning, 2012. ISBN: 9781133049791 1133049796.

Stephen Van Evera. Guide to Methods for Students of Political Science. Cornell paperbacks. Cornell University Press, 1997. ISBN: 9780801484575.

#### Schedule

#### Week 1 (2017-08-21/2017-08-23): Being a Political Scientist

Robert O Keohane. "Political Science as a Vocation". PS: Political Science & Politics 42.02 (2009), pp. 359–363.

John S Dryzek. "Revolutions without Enemies: Key Transformations in Political Science". American Political Science Review 100.04 (2006), pp. 487–92.

Gary King. "Publication, Publication". PS: Political Science & Politics 39.01 (2006), pp. 119–125.

#### Week 2 (2017-08-28/2017-08-30): Being Scientific

Babbie (2012), pp.1-27, 112-120.

Gary King. "Replication, Replication". PS: Political Science & Politics 28.03 (1995), pp. 444–452.

Gabriel A Almond. "Separate Tables: Schools and Sects in Political Science". PS: Political Science & Politics 21.4 (1988), pp. 828–42.

#### Week 3 (2017-09-04/2017-09-06): What's A Good Question (Labor Day)

Barbara Geddes. "Big Questions, Little Answers: How the Questions You Choose Affect the Answer You Get". In: *Paradigms and Sand Castles: Theory Building and Research Design in Comparative Politics*. Ann Arbor: University of Michigan Press, 2010. Chap. 2, pp. 27–88.

Van Evera (1997), pp.97-99.

#### Week 4 (2017-09-11/2017-09-13): How to Find Research Question

Babbie (2012), pp.91-112.

Efren O Perez and Margit Tavits. "Language Shapes People's Time Perspective and Support for Future-Oriented Policies". American Journal of Political Science (2017), pp. 1–13.

Timothy J McKeown. "Case Studies and the Statistical Worldview Review of King, Keohane, and Verba's Designing Social Inquiry Scientific Inference in Qualitative Research". *International Organization* 53.01 (1999), pp. 161–190.

Charles C Ragin and Lisa M Amoroso. Constructing Social Research: The Unity and Diversity of Method. Pine Forge Press, 2010. (Chapter 1, 2)

#### Week 5 (2017-09-18/2017-09-20): Concepts

Babbie (2012), pp.165-177.

Michael Barnett and Raymond Duvall. "Power in International Politics". *International Organization* 59.01 (2005), pp. 39–75.

David Collier and Steven Levitsky. "Democracy with Adjectives: Conceptual Innovation in Comparative Research". World Politics 49.03 (1997), pp. 430–451.

David Collier and James E Mahon. "Conceptual "Stretching" Revisited: Adapting Categories in Comparative Analysis". American Political Science Review 87.04 (1993), pp. 845–855.

#### Week 6 (2017-09-25/2017-09-27): Principles of Measurement

Babbie (2012), pp.177-194, 197-223.

Jason Seawright and David Collier. "Rival Strategies of Validation Tools for Evaluating Measures of Democracy". Comparative Political Studies 47.1 (2014), pp. 111–138.

Andreas Schedler. "Judgment and Measurement in Political Science". *Perspectives on Politics* 10.1 (2012), pp. 21–36.

Robert Adcock and David Collier. "Measurement Validity: A Shared Standard for Qualitative and Quantitative Research". *American Political Science Review* 33 (2001), pp. 529–546.

#### Week 7 (2017-10-02/2017-10-04): Measurement in Practice

Due for the research question approval: 2017-10-04.

Van Evera (1997), pp.7-50.

Midterm Review

#### Week 8 (2017-10-09/2017-10-11): What's Theory?

Midterm.

Babbie (2012), pp.57-83.

Adam Przeworski and Henry Teune. *The Logic of Comparative Social Inquiry*. New York: Joh Wiley and Sons, 1970. Chapter 1.

#### Week 9 (2017-10-16/2017-10-18): Theory and Causal Inference

John Gerring. "Causal mechanisms: Yes, But..." Comparative Political Studies 43.11 (2010), pp. 1499–1526.

John Gerring. "Causation: A Unified Framework for the Social Sciences". *Journal of Theoretical Politics* 17.2 (2005), pp. 163–198.

Steven Bernstein, Richard Ned Lebow, Janice Gross Stein and Steven Weber. "God Gave Physics the Easy Problems: Adapting Social Science to an Unpredictable World". *European Journal of International Relations* 6.1 (2000), pp. 43–76.

James D. Fearon. "Counterfactuals and Hypothesis Testing In Political Science". World Politics 43.2 (1991), pp. 169–195.

#### Week 10 (2017-10-23/2017-10-25): Experimental Logic and Design

Babbie (2012), pp.271-291.

Alan S Gerber and Donald P Green. "Field Experiments and Natural Experiments". In: *The Oxford Handbook of Political Science*. Ed. by Robert E. Goodin. 2011. http://www.oxfordhandbooks. com/view/10.1093/oxfordhb/9780199604456.001.0001/oxfordhb-9780199604456-e-050?mediaType=Article (visited on <math>06/15/2017).

Alex Mintz, Steven B Redd and Arnold Vedlitz. "Can We Generalize from Student Experiments to the Real World in Political Science, Military Affairs, and International Relations?" *Journal of Conflict Resolution* 50.5 (2006), pp. 757–776.

Alex Mintz. "Foreign Policy Decision Making in Familiar and Unfamiliar Settings". *Journal of Conflict Resolution* 48.1 (2004), pp. 91–104.

#### Week 11 (2017-10-30/2017-11-01): Principles of Case Study

Jack S Levy. "Case Studies: Types, Designs, and Logics of Inference". Conflict Management and Peace Science 25.1 (2008), pp. 1–18.

John Gerring. "What is a Case Study and What is it Good for?" American Political Science Review 98.02 (2004), pp. 341–354.

Timothy J McKeown. "Case Studies and the Limits of the Quantitative Worldview". In: *Rethinking Social Inquiry: Diverse Tools, Shared Standards*. Ed. by David Collier and Henry E. Brady. Lanham, MD: Rowman and Littlefield, 2004, pp. 139–167.

Adam Przeworski and Henry Teune. *The Logic of Comparative Social Inquiry*. New York: Joh Wiley and Sons, 1970. 31-39, 74-87.

#### Week 12 (2017-11-06/2017-11-08): Case Study in Practice

Van Evera (1997), pp.49-88.

Barbara Geddes. "How the Cases You Choose Affect the Answers You Get: Selection Bias in Comparative Politics". In: *Paradigms and Sand Castles: Theory Building and Research Design in Comparative Politics*. Ann Arbor: University of Michigan Press, 2010, pp. 89–129.

Andrew Bennett and Colin Elman. "Case Study Methods in the International Relations Subfield". Comparative Political Studies 40.2 (2007), pp. 170–195.

#### Week 13 (2017-11-13/2017-11-15): A Glance of Other Small-N Methods

Due for the brief of the theory: 2017-11-13.

Babbie (2012), pp.295-321.

Andrew Bennett. "Process Tracing: A Bayesian Perspective". In: Oxford Handbook of Political Methodology. Ed. by Janet Box-Steffensmeier, Henry Brady and David Coiller. 2008, pp. 702–21.

Giovanni Capoccia and R Daniel Kelemen. "The Study of Critical Junctures: Theory, Narrative, and Counterfactuals in Historical Institutionalism". World Politics 59.03 (2007), pp. 341–369.

Gerardo L. Munck. "Tools for Qualitative Research". In: *Rethinking Social Inquiry: Diverse Tools, Shared Standards*. Ed. by David Collier and Henry E. Brady. Lanham, MD: Rowman and Littlefield, 2004, pp. 105–121.

#### Week 14 (2017-11-20/2017-11-22): Thanks Giving Week

#### Week 15 (2017-11-27/2017-11-29): Understanding Large-N Analyses

Babbie (2012), pp.415-438.

Wenfang Tang, Yue Hu and Shuai Jin. "Affirmative Inaction: Language Education and Labor Mobility among China's Muslim Minorities". *Chinese Sociological Review* (4 2016), pp. 346–66.

Gary King, Jennifer Pan and Margaret E Roberts. "How Censorship in China Allows Government Criticism but Silences Collective Expression". *American Political Science Review* 107.02 (2013), pp. 326–343.

Emilie M Hafner-Burton and Alexander H Montgomery. "Power Positions: International Organizations, Social Networks, and Conflict". *Journal of Conflict Resolution* 50.1 (2006), pp. 3–27.

#### Week 16 (2017-12-04/2017-12-06): Professionalization

Babbie (2012), pp.498-519.

Van Evera (1997), pp.99-111.

Presentation at APSA 2014: Policy Bargaining and International Conflict

Presentation at MPSA 2017: Trump Scenes

Week 17 (2017-12-11/2017-12-13): Final Week

Due for the research proposal: 2017-12-11.

# **Rubric for In-Class Presentation**

Item	Criterion	Grade
Duration	> 8  mins  1; 5-8  mins  .5; < 5  min  0.	X
Summary about the material	clearly described the logic and main arguments 1; covered the main arguments 0.5; failed to capture the main arguments 0.	X
Relation with other materials	clearly explained the relation with the topic of the week and other materials 1; mention another material 0.5; only talked about the assigned reading 0.	X
Impressive point	clearly described impressive point(s) and explained why 1; mentioned the impressive point 0.5; not discuss the point at all 0.	X
Critical reading	clearly described the question and why it's important 1; posted a question 0.5; not raise any question 0.	X

# Rubric for Critical Response Paper

Item	Criterion	Grade
Summary of	clearly described the logic and main arguments 2; covered the main	X
the material	arguments 1; failed to capture the main arguments 0	
learned Point	clearly explained the learned points and their importance 2; clearly	X
	describe the points learned 1; no learned point mentioned 0	
Critical	clear opinions and why 2; have a perspective of the reading 1; no	X
thinking	perspective at all 0	

# Rubric for Research Proposal

Item	Criterion	$\operatorname{Grad}_{\Theta}$
Cover table (5%)	Is the table fully filled? Is every element defined?	X
Research Question Approval (5%)	Was the research proposal approved by 2017-10-09?	X
Brief of Theory (5%)	Was the brief of the theory submitted by 2017-11-12?	X
Introduction (10%)	Is the research question well stated? Does the intro clearly explain the importance of the study? Does the intro clearly explain the potential contribution of this project?	X
Literature Review (10%)	Does the LR address more than three existing studies? Does the LR clearly review the findings of the existing literature? Does the LR clearly state how the existing literature serve as the basis for this study?	X
Theory (15%)	Is the causal logic clearly stated? Are the concepts in the theory defined well? Is the causal chain complete and consistent? Are the causal inferences (hypotheses) clearly stated and consistent with the theory?	X
Research Design (20%)	Does the author clearly describe the strategy to test the hypotheses? Does the author defend his/her method choice well?	X
Data (10%)	Is there a complete plan of data collection? How do the data fit the research design? Validations?	X
Feasibility (5%)	Is the research design a feasible one for a college student? What are the potential difficulties the researcher may encounter?	X
Citation (5%)	Are the citations well presented? Is there a full bibliography attached? Are the citation and bibliography styles consistent with the APSR requirement?	X
Overall writing (10%)	Was the proposal proofread and edited? Are the paragraphs well framed and organized? Does the layout match the requirement?	X

## CLAS Teaching Policies & Resources — Syllabus Insert

#### Administrative Home

The College of Liberal Arts and Sciences is the administrative home of this course and governs matters such as the add/drop deadlines, the second-grade-only option, and other related issues. Different colleges may have different policies. Questions may be addressed to 120 Schaeffer Hall, or see the CLAS Academic Policies Handbook at https://clas.uiowa.edu/students/handbook.

#### **Electronic Communication**

University policy specifies that students are responsible for all official correspondences sent to their University of Iowa e-mail address (@ uiowa.edu). Faculty and students should use this account for correspondences (Operations Manual, III.15.2, k.11).

#### Accommodations for Disabilities

The University of Iowa is committed to providing an educational experience that is accessible to all students. A student may request academic accommodations for a disability (which includes but is not limited to mental health, attention, learning, vision, and physical or health-related conditions). A student seeking academic accommodations should first register with Student Disability Services and then meet with the course instructor privately in the instructor's office to make particular arrangements. Reasonable accommodations are established through an interactive process between the student, instructor, and SDS. See <a href="https://sds.studentlife.uiowa.edu/">https://sds.studentlife.uiowa.edu/</a> for information.

#### Nondiscrimination in the Classroom

The University of Iowa is committed to making the classroom a respectful and inclusive space for all people irrespective of their gender, sexual, racial, religious or other identities. Toward this goal, students are invited to optionally share their preferred names and pronouns with their instructors and classmates. The University of Iowa prohibits discrimination and harassment against individuals on the basis of race, class, gender, sexual orientation, national origin, and other identity categories set forth in the University's Human Rights policy. For more information, contact the Office of Equal Opportunity and Diversity, diversity@uiowa.edu, or visit diversity.uiowa.edu.

#### **Academic Honesty**

All CLAS students or students taking classes offered by CLAS have, in essence, agreed to the College's Code of Academic Honesty: "I pledge to do my own academic work and to excel to the best of my abilities, upholding the IOWA Challenge. I promise not to lie about my academic work, to cheat, or to steal the words or ideas of others; nor will I help fellow students to violate the Code of Academic Honesty." Any student committing academic misconduct is reported to the College and placed on disciplinary probation or may be suspended or expelled (CLAS Academic Policies Handbook).

#### **CLAS Final Examination Policies**

The final examination schedule for each class is announced by the Registrar generally by the fifth week of classes. Final exams are offered only during the official final examination period. No exams of any kind are allowed during the last week of classes. All students should plan on being at the UI through the final examination period. Once the Registrar has announced the date, time, and location of each final exam, the complete schedule will be published on the Registrar's web site and will be shared with instructors and students. It is the student's responsibility to know the date, time, and place of a final exam.

#### Making a Suggestion or a Complaint

Students with a suggestion or complaint should first visit with the instructor (and the course supervisor), and then with the departmental DEO (Wenfang Tang, 335-2358). Complaints must be made within six months of the incident (CLAS Academic Policies Handbook).

#### **Understanding Sexual Harassment**

Sexual harassment subverts the mission of the University and threatens the well-being of students, faculty, and staff. All members of the UI community have a responsibility to uphold this mission and to contribute to a safe environment that enhances learning. Incidents of sexual harassment should be reported immediately. See the UI Office of the Sexual Misconduct Response Coordinator for assistance, definitions, and the full University policy.

#### Reacting Safely to Severe Weather

In severe weather, class members should seek appropriate shelter immediately, leaving the classroom if necessary. The class will continue if possible when the event is over. For more information on Hawk Alert and the siren warning system, visit the Department of Public Safety website.

# Reference

Babbie, Earl R. 2012. The Practice of Social Research. 13th ed. Australia: Wadsworth Cengage Learning.

Van Evera, Stephen. 1997. Guide to Methods for Students of Political Science. Cornell Paperbacks. Cornell University Press.