

UCMS Criminal Extract Usage Guide

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Introduction

This document is written as a guide for agencies that will be using the UCMS Criminal Extract (abbreviated in this document as UCE), especially for the staff that will be writing and maintaining the process to consume the data in the UCE. This document summarizes important details about the UCE. In addition, a field-by-field, record-by-record mapping from the legacy flatfile DSH extract to the UCE is provided, to aid agencies in converting their process to use the UCE. The UCE is produced by the Division of Technology and Court Research (DoTCr) in the Unified Court System of New York.

Source System

The UCE retrieves information from the Universal Case Management System (UCMS), which is the case management system used by criminal court staff for day-to-day court operations. The UCE is structured around UCMS' representation of data.

Format

The UCE is output as XML (Extensible Markup Language). One benefit of XML is that it is a universally recognized file format and language used to store and communicate data, with support from a wide variety of tools, so you will have both ample flexibility and support when working with the data. XML files are self-describing in that each piece of data is coupled inside of a named element. Additionally, all XML files shared with you will be described by the same XML Schema, which defines the structure, datatypes, and cardinality of the data. The schema tells you exactly what items may appear in the XML files, and each item is commented so that you have a clear understanding of it. The XML schema is described by an XSD (XML Schema Definition). Using the XML format provides both you and us a flexible and universally supported medium for sharing data.

Two Categories of Files

There are two different categories of output files. One is for domain/dictionary values, and the other for dockets in each criminal court. The domain files include dictionaries that are the same for every court, for example, case types and bail statuses, as well as dictionaries that are different for each court, for example, judges and parts. The dockets files include most significant information tied to dockets, for some examples, charges and appearances. Each file will either be a domain file or a docket file, but not both. (add more details).

Two Subcategories of Docket Files

There are two subcategories of dockets files – incremental and comprehensive. The incremental files only include dockets that may have changed since the last time the incremental files were produced. The intent is that incremental files are produced every day, and you may stay up-to-date by consuming these files daily. The comprehensive files include all active dockets, in addition to all dockets recently disposed. The intent is that the first time you start consuming this information, you may get a complete view of active dockets with these files. Then you can stay up-to-date by using the incremental files. In some cases, if your data becomes significantly out-of-date or corrupted, you may refresh all active dockets again with these files.

Full Docket Details Included

Note that if it is detected a docket may have changed, *the full docket is included* in the docket file. You may compare the updated docket with your view of the docket to determine what changed. There are permanent reference keys assigned to each significant element in the XML so that you may identify the data you have already consumed.

Permission Levels

There are different levels of permission based upon sealing levels of dockets and charges. You will be given access to the set of files corresponding to your permission level. (Detail more about deleted versus sealed).

Usage Requirements

This section contains some requirements DoTCr recommends agencies follow in order to make the best use of the UCE. It is essential that technical staff who will be developing your process review these requirements *before* implementing the process.

Do NOT Validate Extracts Against the XSDs

To ensure forward compatibility despite future changes, it is essential that agencies do NOT configure their process to programmatically validate the XML extracts against the XML Schema Definition (XSD) files provided. DoTCr validates every extract it produces against the latest XSD, and so every XML file produced is valid. Although DoTCr does not intend to change the file structure regularly, it will be occasionally necessary to add and remove fields and elements. By avoiding XSD validation, you will ensure that the addition or removal of a field or two will not break your process. If XSD validation is used, every change, no matter how small, will break your process.

Field-by-Field Mapping

This section contains a field-by-field mapping, to map the fields in the DSH extract to the UCE. These are broken down by DSH record, and into four columns, described in the table below.

DSH Record Columns		
Column	Description	Example
DSH Field Name	This indicates the acronym for the field as it is named in the DSH.	DSH-DEF-AKA-FNAME1
DSH Purpose	This contains a brief description of what the DSH field means.	Alias #1 first name
UCE XML	This contains the fully qualified path to the XML element or elements that correspond to the field. This column uses XPath format.	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAKAName/First
Mapping Details	This contains an explanation of how the mapping applies, and caveats or details to be aware of.	In UCMS, there can be multiple “also known as” (AKA) names for a party, and the number is not limited to 2.

Paradigm Shift

There are some significant differences between the UCE structure and the DSH structure. As a general rule, the UCE contains more elements than the DSH extract, and also contains more detailed relationships between elements. Many items that are ascribed to dockets in the DSH are ascribed to sub-elements of dockets in UCMS, such as charges, sentences, and appearances.

Details of this paradigm shift are described in the “Mapping Details” column of each element in the field-by-field mappings for each record. For just one example of a mapping detail:

In UCMS, the arresting officer information is stored with every charge. Because there may be multiple charges on a single docket, there may also be multiple arresting officers associated with a single docket.

This details a key difference between how UCMS and the DSH represents arresting officer information and DSH. There are many other examples you will find in the field-by-field mappings below.

RECORD TYPE 0**HEADER RECORD**

The following fields are mapped as follows.

DSH Field Name	DSH Purpose	UCE XML Element	Mapping Details
HEADER INDICATOR	HEADER	Not Applicable	There is no need for a header indicator in the UCE because it is in XML format.
DSH-CTY	County	/CriminalDockets/District/County/@Name /CriminalDockets/District/County/@CountyCode	The name can be accessed, as well as permanent ID, as attributes under the County element. All of the dockets are nested under the county element.
DSH-REC-TYP			The type is inferred by the element name in XML and so need not be explicitly included.
DSH-DATE	Data entry date for which file was generated	/CriminalDockets/@GeneratedDateTime	The date and time the extract is generated is specified as an attribute under CriminalDockets.

The rest of record 0 consists of blanks which are not needed in the UCE.

RECORD TYPE 1

Case/Defendant data record containing case-related data-one record per case

DSH Field Name	DSH Purpose	UCE XML Element	Mapping Details
DSH-CTY	County	/CriminalDockets/District/County/@Name /CriminalDockets/District/County/@CountyCode	The name can be accessed, as well as permanent ID, as attributes under the County element. All of the dockets are nested under the county element.
DSH-ID	Internal ID	/CriminalDockets/District/County/Court/Docket/@DocketID	This element contains the permanent, unique ID for each docket.
DSH-REC-TYP	Record Type	Not Applicable	The type is inferred by the element name in XML.
DSH-DSEQ	Docket sequence	/CriminalDockets/District/County/Court/Docket/CRIMSIIdentifiers	This is the identifiers information from the previous system. You will find the CRIMSIId and DSeq under this element.
DSH-DEF-LNAME	Defendant last name	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyName/Last	
DSH-DEF-FNAME	Defendant first name	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyName/First	
DSH-DEF-MI	Defendant middle initial	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyName/Middle	
DSH-DEF-DOB	Defendant date of birth	/CriminalDockets/District/County/Court/Docket/PrimaryParty/DateOfBirth	
DSH-DEF-SEX	Defendant sex	/CriminalDockets/District/County/Court/Docket/PrimaryParty/Gender	
DSH-DEF-RACE	Defendant race	/CriminalDockets/District/County/Court/Docket/PrimaryParty/Race	
DSH-DEF-ETHNIC	Defendant ethnicity	/CriminalDockets/District/County/Court/Docket/PrimaryParty/Ethnicity	
DSH-DEF-EYES	Defendant eye color	/CriminalDockets/District/County/Court/Docket/PrimaryParty/EyeColor	
DSH-DEF-HAIR	Defendant hair color	/CriminalDockets/District/County/Court/Docket/PrimaryParty/HairColor	
DSH-DEF-WGT	Defendant weight	/CriminalDockets/District/County/Court/Docket/PrimaryParty/Weight	
DSH-DEF-HGT	Defendant height	/CriminalDockets/District/County/Court/Docket/PrimaryParty/Height	
DSH-DEF-AKA-LNAME1	Alias #1 last name	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAKAName/Last	In UCMS, there can be multiple “also known as” (AKA) names for a party, and the number is not limited to 2.

DSH-DEF- AKA- FNAME1	Alias #1 first name	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAKAName/First	In UCMS, there can be multiple “also known as” (AKA) names for a party, and the number is not limited to 2.
DSH-DEF- AKA-MI1	Alias #1 middle initial	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAKAName/Middle	In UCMS, there can be multiple “also known as” (AKA) names for a party, and the number is not limited to 2.
DSH-DEF- AKA- LNAME2	Alias #2 last name	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAKAName/Last	In UCMS, there can be multiple “also known as” (AKA) names for a party, and the number is not limited to 2.
DSH-DEF- AKA- FNAME2	Alias #2 first name	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAKAName/First	In UCMS, there can be multiple “also known as” (AKA) names for a party, and the number is not limited to 2.
DSH-DEF- AKA-MI2	Alias #2 middle initial	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAKAName/Middle	In UCMS, there can be multiple “also known as” (AKA) names for a party, and the number is not limited to 2.
DSH-DEF- ICO	Defendant address- in care of	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAddress/MailCareOf	
DSH-DEF- STREET	Street	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAddress/Street1 /CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAddress/Street2	In UCMS, a Street1 and Street2 field are available.
DSH-DEF- APT	Apartment	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAddress/Apartment	
DSH-DEF- CITY	City	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAddress/City	
DSH-DEF- ST	State	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAddress/State	
DSH-DEF- ZIP	Zip code (1st 5 digits)	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAddress/ZipPostalCode	The full zip code will be included in this element. If the defendant’s country uses postal codes, this element will contain the appropriate value.
DSH-DEF- ZIPX	Zip code (last 4 digits)	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAddress/ZipPostalCode	The full zip code will be included in this element. If the defendant’s country uses postal codes, this element will contain the appropriate value.
DSH-DEF- NYSID	Defendant NYSID #	/CriminalDockets/District/County/Court/Docket/PrimaryParty/NYSID	
DSH-DEF- CCN	CJTN/ CCN	/CriminalDockets/District/County/Court/Docket/Charge/CJTN	In UCMS, the Criminal Justice Tracking Number is stored with the charge. Because there may be multiple charges

			on a single docket, there also may be multiple CJTNs on a single docket.
DSH-DEF-ARRNUM	Defendant Arrest #	/CriminalDockets/District/County/Court/Docket/Charge/ArrestNumber	In UCMS, the Arrest Number is stored with the charge. Because there may be multiple charges on a single docket, there may also be multiple Arrest Numbers on a single docket.
DSH-DEF-ARR-DT	Arrest date	/CriminalDockets/District/County/Court/Docket/Charge/ArrestDate	In UCMS, the Arrest Date is stored with the charge. Because there may be multiple charges on a single docket, there may also be multiple Arrest Dates on a single docket.
DSH-DEF-ARR-TM	Arrest time	/CriminalDockets/District/County/Court/Docket/Charge/ArrestTime	In UCMS, the Arrest Time is stored with the charge. Because there may be multiple charges on a single docket, there may also be multiple Arrest Times on a single docket.
DSH-CAS-CRIME-DT	Crime date	/CriminalDockets/District/County/Court/Docket/Charge/IncidentDate	In UCMS, the Incident Date is stored with the charge. Because there may be multiple charges on a single docket, there may also be multiple Incident Dates on a single docket.
DSH-INT-LANG	Interpreter Language	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryLanguage	While UCMS does not directly record the interpreter language, it does record the primary language of the defendant.
DSH-DEF-NAM-FMT	Defendant Name type	/CriminalDockets/District/County/Court/Docket/PrimaryParty/PrimaryPartyAKAName/IsPerson	If this flag is true, the defendant is a person. Otherwise, it is an organization.
DSH-BAC	Blood Alcohol Level	/CriminalDockets/District/County/Court/Docket/Charge/BAC	In UCMS, the blood alcohol content (BAC) is stored with the charge. Because there may be multiple charges on a single docket, there may also be multiple BACs stored on a single docket.

RECORD TYPE 2

Arresting officer data – one record per case

DSH Field Name	DSH Purpose	UCE XML Element	Mapping Details
DSH-CTY	County	/CriminalDockets/District/County/@Name /CriminalDockets/District/County/@CountyCode	The name can be accessed, as well as permanent ID, as attributes under the County element. All of the dockets are nested under the county element.
DSH-ID	Internal ID	/CriminalDockets/District/County/Court/Docket/@DocketID	This element contains the permanent, unique ID for each docket.
DSH-REC-TYP	Record Type	Not Applicable	The type is inferred by the element name in XML.
DSH-DSEQ	Docket sequence	/CriminalDockets/District/County/Court/Docket/CRIMSIIdentifiers	This is the identifiers information from the previous system. You will find the CRIMSIId and DSeq under this element.
DSH-APO-LNAME	Arresting officer last name	/CriminalDockets/District/County/Court/Docket/Charge/ArrestingOfficer/ArrestingOfficerName/Last	In UCMS, the arresting officer information is stored with every charge. Because there may be multiple charges on a single docket, there may also be multiple arresting officers associated with a single docket.
DSH-APO-FNAME	Arresting officer first name	/CriminalDockets/District/County/Court/Docket/Charge/ArrestingOfficer/ArrestingOfficerName/First	In UCMS, the arresting officer information is stored with every charge. Because there may be multiple charges on a single docket, there may also be multiple arresting officers associated with a single docket.
DSH-APO-MI	Arresting officer middle initial	/CriminalDockets/District/County/Court/Docket/Charge/ArrestingOfficer/ArrestingOfficerName/Middle	In UCMS, the arresting officer information is stored with every charge. Because there may be multiple charges on a single docket, there may also be multiple arresting officers associated with a single docket.
DSH-APO-SHLD	APO shield number	/CriminalDockets/District/County/Court/Docket/Charge/ArrestingOfficer/ShieldNumber	In UCMS, the arresting officer information is stored with every charge. Because there may be multiple charges on a single docket, there may also be multiple

			arresting officers associated with a single docket.
DSH-APO-AGY	Arresting agency	/CriminalDockets/District/County/Court/Docket/Charge/ArrestingAgency	In UCMS, the arresting agency information is stored with every charge. Because there may be multiple charges on a single docket, there may also be multiple arresting agencies associated with a single docket.
DSH-APO-CMD	APO command	/CriminalDockets/District/County/Court/Docket/Charge/ArrestingOfficer/Command	In UCMS, the arresting officer information is stored with every charge. Because there may be multiple charges on a single docket, there may also be multiple commands associated with a single docket.

RECORD TYPE 3

Defense attorney/ADA data – multiple records per case

Similar to the DSH, UCMS allows multiple attorneys per docket.

DSH Field Name	DSH Purpose	UCE XML Element	Mapping Details
DSH-CTY	County	/CriminalDockets/District/County/@Name /CriminalDockets/District/County/@CountyCode	The name can be accessed, as well as permanent ID, as attributes under the County element. All of the dockets are nested under the county element.
DSH-ID	Internal ID	/CriminalDockets/District/County/Court/Docket/@DocketID	This element contains the permanent, unique ID for each docket.
DSH-REC-TYP	Record Type	Not Applicable	The type is inferred by the element name in XML.
DSH-DSEQ	Docket sequence	/CriminalDockets/District/County/Court/Docket/CRIMSIIdentifiers	This is the identifiers information from the previous system. You will find the CRIMSIId and DSeq under this element.
DSH-ATY-DT	Date attorney assigned/retained	/CriminalDockets/District/County/Court/Docket/Attorney/AssignmentStartDate /CriminalDockets/District/County/Court/Docket/Attorney/AssignmentEndDate	UCMS records the duration of the attorney assignment. AssignmentStartDate is mandatory and records the date the assignment begins. AssignmentEndDate is optional. If present, it indicates the date the assignment ends. If not present, the assignment has not ended.
DSH-ATY-PT	Court part attorney assigned/retained		UCMS does not associate parts with attorneys; rather, each docket appearance is held in a part. Different appearances may be held in different parts.
DSH-ATY-CRT-TYP	Court type attorney assigned/ retained		UCMS does not associate attorneys with court types; rather, each docket is filed in a

			court, which may either be a local criminal court, or a superior criminal court.
DSH-ATY-TYP	Attorney type	/CriminalDockets/District/County/Court/Docket/Attorney/Representation	Each attorney assigned to a party in a docket is ascribed a specific type of representation. District attorneys are identified as such in this element.
DSH-ATN-LNAME	Attorney last name	/CriminalDockets/District/County/Court/Docket/Attorney/AttorneyName/Last	
DSH-ATN-FNAME	Attorney first name	/CriminalDockets/District/County/Court/Docket/Attorney/AttorneyName/First	
DSH-ATN-MI	Attorney middle initial	/CriminalDockets/District/County/Court/Docket/Attorney/AttorneyName/Middle	
DSH-ATN-FIRM	Attorney firm name	/CriminalDockets/District/County/Court/Docket/Attorney/AttorneyName/OrganizationName	If this is an organization, this element will contain the full name of the organization.
DSH-ATN-STREET	Street	/CriminalDockets/District/County/Court/Docket/Attorney/AttorneyAddress/Street1 /CriminalDockets/District/County/Court/Docket/Attorney/AttorneyAddress/Street2	In UCMS, a Street1 and Street2 field are available.
DSH-ATN-CITY	City	/CriminalDockets/District/County/Court/Docket/Attorney/AttorneyAddress/City	
DSH-ATN-ST	State	/CriminalDockets/District/County/Court/Docket/Attorney/AttorneyAddress/State	
DSH-ATN-ZIP	Zip code (1st 5 digits)	/CriminalDockets/District/County/Court/Docket/Attorney/AttorneyAddress/ZipPostalCode	The full zip code will be included in this element. If the defendant's country uses postal codes, this element will contain the appropriate value.
DSH-ATN-ZIPX	Zip code (last 4 digits)	/CriminalDockets/District/County/Court/Docket/Attorney/AttorneyAddress/ZipPostalCode	The full zip code will be included in this element. If the attorney's country uses postal codes, this element will contain the appropriate value.
DSH-ATN-PHN-AC	Area code	/CriminalDockets/District/County/Court/Docket/Attorney/MainPhone	UCMS does not individually store the area code, exchange, and number. Rather, the entire number is stored together.
DSH-ATN-PHN-XCH	Exchange	/CriminalDockets/District/County/Court/Docket/Attorney/MainPhone	UCMS does not individually store the area code, exchange,

			and number. Rather, the entire number is stored together.
DSH-ATN-PHN-NUM	Number	/CriminalDockets/District/County/Court/Docket/Attorney/MainPhone	UCMS does not individually store the area code, exchange, and number. Rather, the entire number is stored together.
DSH-LAS-CMPLX	Legal Aid Complex		In UCMS, there is no separate distinction for representation by legal aid.
DSH-ADA-DT	Date ADA assigned	/CriminalDockets/District/County/Court/Docket/Attorney/AssignmentStartDate /CriminalDockets/District/County/Court/Docket/Attorney/AssignmentEndDate	District attorney information is not saved in a separate field; rather, district attorneys are saved as regular employees with a representation type indicating it is a district attorney. The assignment start and end dates track when the assignment starts and finishes.
DSH-ADA-CRT-TYP	Court type ADA assigned	/CriminalDictionaries/District/County/Court/IsLocalCriminal	District attorney information is not saved in a separate field; rather, district attorneys are saved as regular employees with a representation type indicating it is a district attorney. UCMS does not associate attorneys with court types; rather, each docket is filed in a court, which may either be a local criminal court, or a superior criminal court. All dockets are assigned to a court, and any attorneys assigned to the docket will implicitly appear in the court assigned to the docket.
DSH-ADA-LNAME	ADA last name	/CriminalDockets/District/County/Court/Docket/Attorney/AttorneyName/Last	District attorney information is not saved in a separate field; rather, district attorneys are saved as regular employees with a representation type

			indicating it is a district attorney.
DSH-ADA-FNAME	ADA first name	/CriminalDockets/District/County/Court/Docket/Attorney/AttorneyName/First	District attorney information is not saved in a separate field; rather, district attorneys are saved as regular employees with a representation type indicating it is a district attorney.
DSH-ADA-MI	ADA middle initial	/CriminalDockets/District/County/Court/Docket/Attorney/AttorneyName/Middle	District attorney information is not saved in a separate field; rather, district attorneys are saved as regular employees with a representation type indicating it is a district attorney.

RECORD TYPE 4

Docket data – multiple records per case

DSH Field Name	DSH Purpose	UCE XML Element	Mapping Details
DSH-CTY	County	/CriminalDockets/District/County/@Name /CriminalDockets/District/County/@CountyCode	The name can be accessed, as well as permanent ID, as attributes under the County element. All of the dockets are nested under the county element.
DSH-ID	Internal ID	/CriminalDockets/District/County/Court/Docket/@DocketID	This element contains the permanent, unique ID for each docket.
DSH-REC-TYP	Record Type	Not Applicable	The type is inferred by the element name in XML.
DSH-DSEQ	Docket sequence	/CriminalDockets/District/County/Court/Docket/CRIMSIIdentifiers	This is the identifiers information from the previous system. You will find the CRIMSIId and DSeq under this element.
DSH-DKT-CRT-TYP	Court type of case	/CriminalDictionaries/District/County/Court/IsLocalCriminal	If this flag is true, the court is a local criminal court; otherwise, it is a superior criminal court. The DSH extract only contains local and superior criminal cases.
DSH-DKT-DISPLAY	Docket/superior court #	/CriminalDockets/District/County/Court/Docket/DocketNumber	This is the unique identifier assigned to a docket by the Universal Case Management System. It is used extensively by court staff to distinguish one docket from another. Docket numbers may only be unique within a single court, not statewide.
DSH-DKT-TOPC	Top charge sequence #	/CriminalDockets/District/County/Court/Docket/Charge/IsArrestTopCharge /CriminalDockets/District/County/Court/Docket/Charge/IsArraignmentTopCharge /CriminalDockets/District/County/Court/Docket/Charge/IsDispositionTopCharge /CriminalDockets/District/County/Court/Docket/Charge/IsCurrentTopCharge /CriminalDockets/District/County/Court/Docket/Charge/IsConvictionTopCharge	UCMS uses an algorithm-based approach to determine the top charge of a docket at different points in the docket's lifecycle – arrest, arraignment, current, conviction and disposition. There is no concept of a sequence number for a top charge in UCMS,

			and the 5 flags identified can be used to determine if a particular charge is a top charge.
DSH-DKT-SEAL-DT	Date ordered sealed	/CriminalDockets/District/County/Court/Docket/SealDate	This is the date the docket was sealed. Charges can be sealed individually and independent of a docket. Further, a docket seal may not be specifically ordered; rather, after all charges are sealed, the docket will then become automatically sealed.
DSH-DKT-SEAL-STATUS	Sealed status of case		UCMS does not have a sealed status.
DSH-DKT-SEAL-TYPE	Type of sealing	/CriminalDockets/District/County/Court/Docket/SealType	This element records the type of seal if the docket is sealed. It can also indicate that the docket is not sealed, or that the court ordered the docket not to be sealed.
DSH-CDF-DKT-DISPLAY	Docket/case #	/CriminalDockets/District/County/Court/Docket/Codefendant/CodefendantDocketNumber	For local criminal, this field contains the docket number for any codefendants on the case. There may be no codefendants for a docket. Superior Criminal information is not currently included.
DSH-CDF-LNAME	Codefendant last name	/CriminalDockets/District/County/Court/Docket/Codefendant/CodefendantName/Last	
DSH-CDF-FNAME	Codefendant first name	/CriminalDockets/District/County/Court/Docket/Codefendant/CodefendantName/First	
DSH-CDF-MI	Codefendant middle init	/CriminalDockets/District/County/Court/Docket/Codefendant/CodefendantName/Middle	
DSH-DKT-SUMMONS-NUM	Summons Number (for NYC Universal Summons Cases) or Ticket Number(for non-NYC cases)	/CriminalDockets/District/County/Court/Docket/Charge/TicketNumber /CriminalDockets/District/County/Court/Docket/Charge/ComplaintNumber	Court staff may enter the Summons numbers either as a ticket number or a complaint number.
DSH-DKT-DA-NUMBER	District Attorney Number	/CriminalDockets/District/County/Court/Docket/DACaseNumber	

RECORD TYPE 5

Appearance/Disposition-related information, using docket-specific data-multiple records per docket

DSH Field Name	DSH Purpose	UCE XML Element	Mapping Details
DSH-CTY	County	/CriminalDockets/District/County/@Name /CriminalDockets/District/County/@CountyCode	The name can be accessed, as well as permanent ID, as attributes under the County element. All of the dockets are nested under the county element.
DSH-ID	Internal ID	/CriminalDockets/District/County/Court/Docket/@DocketID	This element contains the permanent, unique ID for each docket.
DSH-REC-TYP	Record Type	Not Applicable	The type is inferred by the element name in XML.
DSH-DSEQ	Docket sequence	/CriminalDockets/District/County/Court/Docket/CRIMSIIdentifiers	This is the identifiers information from the previous system. You will find the CRIMSIId and DSeq under this element.
DSH-APP-DATE	Court appearance date	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceDate	
DSH-APP-PART	Court appearance part	/CriminalDockets/District/County/Court/Docket/Appearance/AppearancePartReference /CriminalDictionaries/District/County/Court/Part	The docket xml extract contains a reference to the part, which is the unique identifier for the part in the criminal dictionary xml extract for the court. Cross-reference that file to obtain more information about the part.
DSH-APP-JUDGE-LNAME	Last name of judge	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceJudgeReference /CriminalDictionaries/Judge/JudgeName/Last	The docket xml extract contains a reference to the appearance judge, which is the unique identifier for the judge in the criminal dictionary xml extract for the court. Cross-reference that file to obtain more information about the part.
DSH-APP-JUDGE-FNAME	First name of judge	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceJudgeReference /CriminalDictionaries/Judge/JudgeName/First	The docket xml extract contains a reference to the appearance judge, which is the unique identifier for the judge in the criminal dictionary xml extract for the court. Cross-reference that file to obtain more information about the part.
DSH-APP-JUDGE-MI	Middle initial of judge	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceJudgeReference /CriminalDictionaries/Judge/JudgeName/Middle	The docket xml extract contains a reference to the appearance judge, which is the unique identifier for the judge in the criminal

			dictionary xml extract for the court. Cross-reference that file to obtain more information about the part.
DSH-APP-CREP1	Name of court reporter 1	/CriminalDockets/District/County/Court/Docket/Appearance/CourtReporter/CourtReporterName	This element contains the court reporter name. In UCMS, there can only be one court reporter for each appearance.
DSH-APP-CREP2	Name of court reporter 2		In UCMS, there can only be one court reporter for each appearance.
DSH-APP-CAL-SEC	Calendar section of this appearance	/CriminalDockets/District/County/Court/Docket/Appearance/AppearancePurpose/Purpose	The UCMS version of a calendar section is termed an “appearance purpose”, which indicates the purpose of the appearance.
DSH-APP-REL-STA	Release status	/CriminalDockets/District/County/Court/Docket/Bail/BailStatus	UCMS records the latest status of bail for the defendant on a docket, which includes more than just the release.
DSH-APP-BOND-AMT	Bond bail amount set	/CriminalDockets/District/County/Court/Docket/Bail/BailActivity/BailActivityDetails/BailSetDetails/InsuranceCompanyBondAmount /CriminalDockets/District/County/Court/Docket/Bail/BailActivity/BailActivityDetails/BailSetDetails/PartiallySecuredAppearanceBondAmount /CriminalDockets/District/County/Court/Docket/Bail/BailActivity/BailActivityDetails/BailSetDetails/PartiallySecuredSuretyBondAmount /CriminalDockets/District/County/Court/Docket/Bail/BailActivity/BailActivityDetails/BailSetDetails/SecuredAppearanceBondAmount /CriminalDockets/District/County/Court/Docket/Bail/BailActivity/BailActivityDetails/BailSetDetails/SecuredSuretyBondAmount /CriminalDockets/District/County/Court/Docket/Bail/BailActivity/BailActivityDetails/BailSetDetails/UnsecuredAppearanceBondAmount /CriminalDockets/District/County/Court/Docket/Bail/BailActivity/BailActivityDetails/BailSetDetails/UnsecuredSuretyBondAmount	In UCMS, there are 7 types of bonds identified. Each element saves information corresponding to its bond type.
DSH-APP-CASH-AMT	Cash bail amount set	/CriminalDockets/District/County/Court/Docket/Bail/BailActivity/BailActivityDetails/BailSetDetails/BailCashSetTo	
DSH-APP-BAIL-MD	Bail made indicator	/CriminalDockets/District/County/Court/Docket/Bail/BailStatus	UCMS records the latest status of bail for the defendant on a docket. When a bail is “made”, it is recorded with a status of posted in UCMS.
DSH-APP-PLEA-STA	Plea vacated/plea withdrawn indicator	/CriminalDockets/District/County/Court/Docket/Charge/ChargeOutcomes/ChargeOutcomeType	In UCMS, pleas are one of many possible outcomes recorded at the charge level. Outcomes are not stored at the appearance level. The ChargeOutcomeType element

			indicates the type of outcome which may include vacated pleas and withdrawn pleas.
DSH-APP-ARGN-TYP	Arraignment type	/CriminalDockets/District/County/Court/Docket/DeskAppearanceTicketIndicator	
DSH-APP-HRNG-TYP	Hearing type	Not Applicable	There is no concept of a hearing type in UCMS. Important information about appearances can be found in other elements including charge outcomes, sentences, appearance purposes, etc ...
DSH-APP-ADJ-RSN	Reason for adjournment	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceScheduledReason/ScheduledReason	
DSH-APP-DLY-RSN	Reason for delay in sentencing		UCMS does not record a reason for delay. There is no corresponding element in the XML extract.
DSH-APP-TFR-TYP	Court to which case was transferred	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceOutcome/CriminalTransferInfo	The CriminalTransferInfo element contains additional information about docket transfers, including the court to which it was transferred.
DSH-APP-RES-IND	Restored to calendar after ACD	/CriminalDockets/District/County/Court/Docket/Charge/ChargeOutcomes/ChargeOutcomeType /CriminalDockets/District/County/Court/Docket/Charge/ChargeOutcomes/EffectiveAppearanceReference /CriminalDockets/District/County/Court/Docket/Appearance/AppearanceDate	While UCMS does not specifically record whether an appearance comes after an ACD, it does have record of all ACD outcomes in the ChargeOutcomeType element. Further, the EffectiveAppearanceReference references the appearance for which the charge outcome applies, and the AppearanceDate element gives an ordering of all appearances.
DSH-APP-RET-FRM	Jurisdiction from which case was returned	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceOutcome/AppearanceOutcomeType	UCMS does not track the jurisdiction from which the case was returned; however, it does track whether or not a docket was returned, with an appearance outcome of "Returned From Transfer".
DSH-ADJ-DATE	Next scheduled court date	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceDate	Appearances in UCMS are ordered by date. The AppearanceDate element may be used to determine which appearance is the latest.
DSH-ADJ-PART	Next scheduled court part	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceDate /CriminalDockets/District/County/Court/Docket/Appearance/AppearancePartReference	Appearances in UCMS are ordered by date. The AppearanceDate element may be used to determine which appearance is the latest. The AppearancePartReference indicates which part the appearance is for.

DSH-ADJ-CAL-SEC	Calendar section of next scheduled appearance	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceDate /CriminalDockets/District/County/Court/Docket/Appearance/AppearancePurpose/Purpose	Appearances in UCMS are ordered by date. The AppearanceDate element may be used to determine which appearance is the latest. The UCMS version of a calendar section is termed an “appearance purpose” found in the AppearancePurpose element, which indicates the purpose of the appearance.
DSH-WARRANT-TYPE	Type of warrant issued	/CriminalDockets/District/County/Court/Docket/Warrant/IsArrestWarrant /CriminalDockets/District/County/Court/Docket/Warrant/IsBenchWarrant	The IsArrestWarrant and IsBenchWarrant elements indicate the type of warrant.
DSH-DKD-DTL	Outcome of court appearance/ Docket Disposition	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceOutcome /CriminalDockets/District/County/Court/Docket/Charge/ChargeOutcomes /CriminalDockets/District/County/Court/Docket/DocketDisposition	In UCMS, an appearance may be given one or more docket level outcomes. Charges may also be given one or more charge outcomes. In terms of docket dispositions, these are not tied to appearances in UCMS. Rather, the elements available within the DocketDisposition element give information regarding the docket disposition.
DSH-DKD-BAIL-EXON	Bail exonerated indicator	/CriminalDockets/District/County/Court/Docket/Bail/BailActivity/BailActivityType /CriminalDockets/District/County/Court/Docket/Bail/BailStatus	In UCMS, there is a history of bail activity as well as a status. The BailActivityType element under the BailActivity element indicates the type of activity which includes exoneration. The BailActivity elements are a history of bail activity on the docket. The BailStatus element indicates the current status of bail, which includes Bail Exoneration, but may change if further action is taken on bail after exoneration.
DSH-DKD-TOP	Temporary order of protection indicator	/CriminalDockets/District/County/Court/Docket/OrderOfProtection/IsFinal	If the IsFinal element is true, the OP is final which means it's usually issued at disposition of the docket and for a longer term. If false, the OP is temporary and is for a shorter term.
DSH-DKD-CAS	Consolidated/superseding case number	/CriminalDockets/District/County/Court/Docket/Charge/ChargeOutcomes/ChargeOutcomeAdditionalInfo/ChargeOutcomeConsolidatedInto /CriminalDockets/District/County/Court/Docket/Charge/ChargeOutcomes/ChargeOutcomeAdditionalInfo/ChargeOutcomeSupercedingDocketReference	In UCMS, consolidations and supersessions occur at a charge level, not at a docket level. These two elements contain additional detail about the consolidation or supersession.
DSH-DKD-DNS-IND	Do not seal order issued by court	/CriminalDockets/District/County/Court/Docket/SealType /CriminalDockets/District/County/Court/Docket/Charge/SealType	In UCMS, seals and “Do not Seals” can happen at a docket or charge level. When

			the judge orders that a docket or charge not be sealed, the seal type is “Do not Seal” or “Sealing Waived”.
DSH-DKS-DTL	Sentence type(s) imposed	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemType	The SentenceltemType element describes the type of sentence that was imposed.
DSH-DKS-IMP-TM	Length of imprisonment time	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionallInfo/Imprisonment/CalculatedMinImprisonmentDays /CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionallInfo/Imprisonment/CalculatedMaxImprisonmentDays	These are calculated fields to conveniently summarize the sentence item's minimum and maximum imprisonment details for informational purposes only. The value in these fields are approximations. The official details are found under the ImprisonmentDetail element.
DSH-DKS-RES-AMT	Restitution amount	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionallInfo/Restitution/Amount	The amount required for restitution is found in the Amount element.
DSH-DKS-LS-TM	License suspension time	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionallInfo/LicenseAction/LicenseActionType /CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionallInfo/LicenseAction/LicenseActionDuration /CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionallInfo/LicenseAction/LicenseActionDurationUnit	In UCMS, a license suspension is just one of many possible actions that can be taken against the defendant’s license. The LicenseActionType indicates which type of action is being taken, suspension being one of them. The LicenseActionDuration and LicenseActionDurationUnit indicate for how long the action is in effect.
DSH-DKS-PROB-TM	Probation time	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionallInfo/Probation/ProbationDuration /CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionallInfo/Probation/ProbationDurationUnit	The ProbationDuration field contains the length of time, and the ProbationDurationUnit describes what unit of time is being used, such as years, months, days, hours.
DSH-DKS-FIN-AMT	Fine amount	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionallInfo/Fine/Amount	This is the amount of the fine that is part of the sentence item.
DSH-FIN-PY-STA	Fine payment status	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/FinesPaid	UCMS does not record a status for fine payments; however, it does record how much has been paid for a fine, which can be found in the FinesPaid element.
DSH-DKS-CS-TM	Community service hours	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionallInfo/ConditionalDischarge/DischargeCondition/DischargeConditionDetails/CommunityServiceConditionForDischarge/CommunityServiceDuration /CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionallInfo/ConditionalDischarge/DischargeCondition/DischargeConditionDetails/CommunityServiceConditionForDischarge/CommunityServiceDurationUnit	For sentencing, the defendant may be required to complete community service as a condition of discharge or a condition of probation.

		<p>/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionalInfo/Probation/ProbationCondition/ProbationConditionDetails/CommunityServiceConditionForProbation/CommunityServiceDuration</p> <p>/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionalInfo/Probation/ProbationCondition/ProbationConditionDetails/CommunityServiceConditionForProbation/CommunityServiceDurationUnit</p>	
DSH-DKS-OP-TM	Order of protection time	<p>/CriminalDockets/District/County/Court/Docket/OrderOfProtection/IssuedDate</p> <p>/CriminalDockets/District/County/Court/Docket/OrderOfProtection/ExpirationDate</p> <p>/CriminalDockets/District/County/Court/Docket/OrderOfProtection/ExpiresOnWarrantReturn</p> <p>/CriminalDockets/District/County/Court/Docket/OrderOfProtection/VacateDate</p>	UCMS does not record a simple duration for an order of protection. Rather, after an order of protection issued, it may expire, expire on warrant return, or be vacated.
DSH-DKS-CONC-CONS	Concurrent/consecutive sentence indicator	<p>/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionalInfo/Imprisonment/IsConcurrent</p> <p>/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionalInfo/Imprisonment/IsConsecutive</p>	The concept of concurrent or consecutive sentences applies only to imprisonment in UCMS.
DSH-DKS-CASE-NUM	Concurrent/consecutive docket or case #	/CriminalDockets/District/County/Court/Docket/Charge/ChargeOutcomes/ChargeOutcomeAdditionalInfo/ChargeOutcomeCoveredBy	This element contains three choices -- CoveredByCharge, CoveredByUCMSDocket, and CoveredByOtherDocket. The most common is CoveredByCharge, which means one charge covers another charge in the same docket. The second most common is CoveredByUCMSDocket, which means a charge is covered by another docket in UCMS, in the same or another court (but for the same defendant). CoveredByOtherDocket means a charge is covered by a docket outside of UCMS.
DSH-DKS-SEN-SEV	Conditions related to severity of sentence		UCMS does not have a concept of sentence severity. There is no corresponding element.
DSH-DKS-SUR-AMT	Amount of surcharge	<p>/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionalInfo/SurchargeOverview/Surcharge/SurchargeAmount</p> <p>/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionalInfo/SurchargeOverview/Surcharge/SurchargeType</p>	There are several different kinds of surcharges recorded in UCMS. The SurchargeAmount field stores the amount, while the SurchargeType field stores the type of surcharge.
DSH-DKS-SUR-TM	Surcharge imprisonment time alternative	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/Sentenceltem/SentenceltemAdditionalInfo/SurchargeOverview/JailDays	This number of days is the alternative to not paying the surcharge.

DSH-SUR-PY-STA	Surcharge payment status	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SurchargesPaid	UCMS does not record a status for surcharge payments; however, it does record how much has been paid for a surcharge, which can be found in the SurchargesPaid element.
DSH-ADV-FR-DT	Advanced from date	/CriminalDockets/District/County/Court/Docket/Appearance/RescheduledFromAppearanceReference /CriminalDockets/District/County/Court/Docket/Appearance/AppearanceDate	If an appearance results from another appearance being rescheduled, the RescheduledFromAppearanceReference indicates which appearance it came from. The AppearanceDate of that appearance can be used to determine the date.
DSH-BAL-STY-LNAME	Surety last name	/CriminalDockets/District/County/Court/Docket/Bail/BailActivity/BailActivityDetails/BailPostedDetails/SuretyName	The BailPostedDetails element contains surety information.
DSH-BAL-STY-FNAME	Surety first name	/CriminalDockets/District/County/Court/Docket/Bail/BailActivity/BailActivityDetails/BailPostedDetails/SuretyName	The BailPostedDetails element contains surety information.
DSH-BAL-STY-MI	Surety middle initial	/CriminalDockets/District/County/Court/Docket/Bail/BailActivity/BailActivityDetails/BailPostedDetails/SuretyName	The BailPostedDetails element contains surety information.
DSH-WO-RET-PT	Warrant Ordered Return Part	/CriminalDockets/District/County/Court/Docket/Warrant/ReturnPartReference	This is a reference to the part to which this warrant will be returned, if any has been specified.

RECORD TYPE 6

Charges associated with a specific case- multiple records per docket

DSH Field Name	DSH Purpose	UCE XML Element	Mapping Details
DSH-CTY	County	/CriminalDockets/District/County/@Name /CriminalDockets/District/County/@CountyCode	The name can be accessed, as well as permanent ID, as attributes under the County element. All of the dockets are nested under the county element.
DSH-ID	Internal ID	/CriminalDockets/District/County/Court/Docket/@DocketID	This element contains the permanent, unique ID for each docket.
DSH-REC-TYP	Record Type		The type is inferred by the element name in XML.
DSH-DSEQ	Docket sequence	/CriminalDockets/District/County/Court/Docket/CRIMSIIdentifiers	This is the identifiers information from the previous system. You will find the CRIMSIId and DSeq under this element.
DSH-CHG-SEQ	Charge sequence #	/CriminalDockets/District/County/Court/Docket/Charge/NumberOfCounts /CriminalDockets/District/County/Court/Docket/Charge/StartingIndex	These elements are used together to determine the order the charges appear in the docket. The court decides the exact ordering. For example, if a charge has a starting index of 1, and a NumberOfCounts of 3, then the next charge's starting index would be 4 to make room for all of the counts for the first charge.
DSH-CHG-LAW	Law code	/CriminalDockets/District/County/Court/Docket/Charge/LawTitle	This element gives the overall classification of the charge.
DSH-CHG-ART-SEC	Article and section	/CriminalDockets/District/County/Court/Docket/Charge/ArticleSection	This element gives the section of law for the charge code.
DSH-CHG-SUB-SEC	Sub-section	/CriminalDockets/District/County/Court/Docket/Charge/SubSection	This element gives the subsection of the law for this charge code.
DSH-CHG-CLASS	Class	/CriminalDockets/District/County/Court/Docket/Charge/Weight	The class of a charge is contained within the charge weight in UCMS. The charge weight contains both the class and severity. Some charges don't have classes.
DSH-CHG-CATEGORY	Category	/CriminalDockets/District/County/Court/Docket/Charge/Weight	The category/severity of a charge is contained within the charge weight in UCMS. The charge weight contains both

			the class and severity. Some charges don't have classes.
DSH-CHG-DEGREE	Degree	/CriminalDockets/District/County/Court/Docket/Charge/Degree	For some charges, the degree identifies the level of intent of the crime. For example, first degree murder requires proof of pre-meditation while second degree murder does not.
DSH-CHG-ATT-IND	Attempt Indicator	/CriminalDockets/District/County/Court/Docket/Charge/IsAttemptedCharge	This indicates whether the crime was attempted or actually carried out. Some charges have an attempted variation, such as attempted murder, where the murder did not actually occur but the defendant intended to murder.
DSH-CHG-CNT	Charge count	/CriminalDockets/District/County/Court/Docket/Charge/NumberOfCounts	This is the number of counts for this charge (the number of times the charge is attributed to the defendant).
DSH-CHG-ARR	Arrest charge indicator	/CriminalDockets/District/County/Court/Docket/Charge/ArrestChargeIndicator	If true, then this charge came in with the arrest. Otherwise, the charge was added after the arrest.
DSH-CHG-CCT-ARGN	Lower court arraignment indicator		There is no direct mapping in UCMS for this field.
DSH-CHG-SCT-ARGN	Superior court arraignment indicator		There is no direct mapping in UCMS for this field.
DSH-CHG-ADD-DT	Court date on which charge was added	/CriminalDockets/District/County/Court/Docket/Charge/EffectiveStartDate	This is the date the charge was either added, or was considered to be added nunc-pro tunc. In rare cases, a judge may order that a charge take effect on an earlier date, which is the meaning of nunc-pro tunc.
DSH-CHG-DESC	Charge description	/CriminalDockets/District/County/Court/Docket/Charge/Description	This is a human readable description of the charge, such as "Vio Liq Sale Consump Off Premis" for example.
DSH-CHG-NCIC	NCIC Code		There is no corresponding element.
DSH-CHG-WPN-DRG-TYP	Weapon/drug type	/CriminalDockets/District/County/Court/Docket/Charge/WeaponType /CriminalDockets/District/County/Court/Docket/Charge/Category	The WeaponType elements contains the type of weapon used to commit the crime, if a weapon was used and the type is known.

		/CriminalDockets/District/County/Court/Docket/Charge/DrugType	<p>The Category element identifies the category of the charge, such as Drug, Marijuana, Weapon, etc ... Not all charges have categories.</p> <p>If the charge is drug related, the DrugType elements contains the type of drug associated with the charge.</p>
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RECORD TYPE 7

Charge disposition data- multiple records per docket

DSH Field Name	DSH Purpose	UCE XML Element	Mapping Details
DSH-CTY	County	/CriminalDockets/District/County/@Name /CriminalDockets/District/County/@CountyCode	The name can be accessed, as well as permanent ID, as attributes under the County element. All of the dockets are nested under the county element.
DSH-ID	Internal ID	/CriminalDockets/District/County/Court/Docket/@DocketID	This element contains the permanent, unique ID for each docket.
DSH-REC-TYP	Record Type		The type is inferred by the element name in XML.
DSH-DSEQ	Docket sequence	/CriminalDockets/District/County/Court/Docket/CRIMSIIdentifiers	This is the identifiers information from the previous system. You will find the CRIMSIId and DSeq under this element.
DSH-CHG-SEQ	Charge sequence #	/CriminalDockets/District/County/Court/Docket/Charge/NumberOfCounts /CriminalDockets/District/County/Court/Docket/Charge/StartingIndex	These elements are used together to determine the order the charges appear in the docket. The court decides the exact ordering. For example, if a charge has a starting index of 1, and a NumberOfCounts of 3, then the next charge's starting index would be 4 to make room for all of the counts for the first charge.
DSH-CHG-CNT	Charge count	/CriminalDockets/District/County/Court/Docket/Charge/NumberOfCounts	This is the number of counts for this charge (the number of times the charge is attributed to the defendant).
DSH-CHD-CHG-DSP	Charge disposition code	/CriminalDockets/District/County/Court/Docket/Charge/ChargeOutcomes/ChargeOutcomeType	In UCMS, the type of the charge outcome is the identifier for a charge. There is no separate code.
DSH-CHD-SEN-TYP	Concurrent/consecutive indicator	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Imprisonment/IsConcurrent	These elements indicate whether or not the imprisonment sentence is consecutive or concurrent. This

		/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Imprisonment/IsConsecutive	concept only applies to imprisonment sentences in UCMS.
DSH-CHD-CS-SEQ	Concurrent/consecutive charge sentence #		UCMS does not currently have a direct mapping for this field.
DSH-CHD-XFR	Jurisdiction to which charge was transferred	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceOutcome/CriminalTransferInfo	<p>In UCMS, charges are not individually transferred; rather, the entire docket is transferred. The assumption is that all undisposed charges on the docket are being transferred.</p> <p>The CriminalTransferInfo element contains additional information about docket transfers, including the court to which it was transferred.</p>

RECORD TYPE 8

Charge sentence data- multiple records per docket

In UCMS, a sentence is tied to a charge. Each sentence is comprised of one or more sentence items, which give the details about the sentence. For example, if a defendant receives a fine and imprisonment, the fine would be one sentence item and the imprisonment the second.

DSH Field Name	DSH Purpose	UCE XML Element	Mapping Details
DSH-CTY	County	/CriminalDockets/District/County/@Name /CriminalDockets/District/County/@CountyCode	The name can be accessed, as well as permanent ID, as attributes under the County element. All of the dockets are nested under the county element.
DSH-ID	Internal ID	/CriminalDockets/District/County/Court/Docket/@DocketID	This element contains the permanent, unique ID for each docket.
DSH-REC-TYP	Record Type		The type is inferred by the element name in XML.
DSH-DSEQ	Docket sequence	/CriminalDockets/District/County/Court/Docket/CRIMSIdentifiers	This is the identifiers information from the previous system. You will find the CRIMSIId and DSeq under this element.
DSH-CHG-SEQ	Charge sequence #	/CriminalDockets/District/County/Court/Docket/Charge/NumberOfCounts /CriminalDockets/District/County/Court/Docket/Charge/StartingIndex	These elements are used together to determine the order the charges appear in the docket. The court decides the exact ordering. For example, if a charge has a starting index of 1, and a NumberOfCounts of 3, then the next charge's starting index would be 4 to make room for all of the counts for the first charge.
DSH-CHG-CNT	Charge count	/CriminalDockets/District/County/Court/Docket/Charge/NumberOfCounts	This is the number of counts for this charge (the number of times the charge is attributed to the defendant).

DSH-CHS-CHS	Sentence type(s) imposed	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItem Type	This is the type of sentence item on a sentence, such as "Fine", "Fee", "Imprisonment", etc ...
DSH-CHS-IMP-TM	Length of imprisonment time	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItem AdditionalInfo/Imprisonment/CalculatedMinImprisonmentDays /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItem AdditionalInfo/Imprisonment/CalculatedMaxImprisonmentDays	These are calculated fields to conveniently summarize the sentence item's minimum and maximum imprisonment details for informational purposes only. The value in these fields are approximations. The official details are found under the ImprisonmentDetail element.
DSH-CHS-LS-TM	License suspension time	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/LicenseAction/LicenseActionType /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/LicenseAction/LicenseActionDuration /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/LicenseAction/LicenseActionDurationUnit	In UCMS, a license suspension is just one of many possible actions that can be taken against the defendant's license. The LicenseActionType indicates which type of action is being taken, suspension being one of them. The LicenseActionDuration and LicenseActionDurationUnit indicate for how long the action is in effect.
DSH-CHS-PROB-TM	Probation time	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Probation/ProbationDuration /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Probation/ProbationDurationUnit	The ProbationDuration field contains the length of time, and the ProbationDurationUnit describes what unit of time is being used, such as years, months, days, hours.
DSH-CHS-FIN-AMT	Fine amount	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Fine/Amount	This is the amount of the fine that is part of the sentence item.
DSH-CHS-CS-TM	Community service hours	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/ConditionalDischarge/DischargeCondition/DischargeConditionDetails/CommunityServiceConditionForDischarge/CommunityServiceDuration /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/ConditionalDischarge/DischargeCondition/DischargeConditionDetails/CommunityServiceConditionForDischarge/CommunityServiceDurationUnit	For sentencing, the defendant may be required to complete community service as a condition of discharge or a condition of probation.

		/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Probation/ProbationCondition/ProbationConditionDetails/CommunityServiceConditionForProbation/CommunityServiceDuration /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Probation/ProbationCondition/ProbationConditionDetails/CommunityServiceConditionForProbation/CommunityServiceDurationUnit	
DSH-CHS-OP-TM	Order of protection time	/CriminalDockets/District/County/Court/Docket/OrderOfProtection/IssuedDate /CriminalDockets/District/County/Court/Docket/OrderOfProtection/ExpirationDate /CriminalDockets/District/County/Court/Docket/OrderOfProtection/ExpiresOnWarrantReturn /CriminalDockets/District/County/Court/Docket/OrderOfProtection/VacateDate	<p>UCMS does not record a simple duration for an order of protection. Rather, after an order of protection issued, it may expire, expire on warrant return, or be vacated.</p> <p>Orders of protection are issued on dockets, but not charges, in UCMS.</p>
DSH-CHS-STY	Sentence stayed indicator	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceOutcomeDetails/SentenceOutcome /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceOutcomeDetails/ExecutionStayedDetails	<p>A sentence stay is one of many possible sentence outcomes. The SentenceOutcome element indicates what kind of outcome has been used, and the ExecutionStayedDetails element contains additional information about sentence stays.</p>

RECORD TYPE 9

Charge resentence data- multiple records per docket

In UCMS, sentences and re-sentences are stored the same way. Use this element:

/CriminalDockets/District/County/Court/Docket/Charge/Sentence/IsResentence

to distinguish whether a sentence is a first-time sentence or a re-sentence.

DSH Field Name	DSH Purpose	UCE XML Element	Mapping Details
DSH-CTY	County	/CriminalDockets/District/County/@Name /CriminalDockets/District/County/@CountyCode	The name can be accessed, as well as permanent ID, as attributes under the County element. All of the dockets are nested under the county element.
DSH-ID	Internal ID	/CriminalDockets/District/County/Court/Docket/@DocketID	This element contains the permanent, unique ID for each docket.
DSH-REC-TYP	Record Type		The type is inferred by the element name in XML.
DSH-DSEQ	Docket sequence	/CriminalDockets/District/County/Court/Docket/CRIMSIIdentifiers	This is the identifiers information from the previous system. You will find the CRIMSIId and DSeq under this element.
DSH-CHG-SEQ	Charge sequence #	/CriminalDockets/District/County/Court/Docket/Charge/NumberOfCounts /CriminalDockets/District/County/Court/Docket/Charge/StartingIndex	These elements are used together to determine the order the charges appear in the docket. The court decides the exact ordering. For example, if a charge has a starting index of 1, and a NumberOfCounts of 3, then the next charge's starting index would be 4 to make room for all of the counts for the first charge.
DSH-CHG-CNT	Charge count	/CriminalDockets/District/County/Court/Docket/Charge/NumberOfCounts	This is the number of counts for this charge (the number of times the charge is attributed to the defendant).

DSH-CHS-CHS	Sentence type(s) imposed	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemTypeInfo	This is the type of sentence item on a sentence, such as "Fine", "Fee", "Imprisonment", etc ...
DSH-CHS-IMP-TM	Length of imprisonment time	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Imprisonment/CalculatedMinImprisonmentDays /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Imprisonment/CalculatedMaxImprisonmentDays	These are calculated fields to conveniently summarize the sentence item's minimum and maximum imprisonment details for informational purposes only. The value in these fields are approximations. The official details are found under the ImprisonmentDetail element.
DSH-CHS-LS-TM	License suspension time	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/LicenseAction/LicenseActionTypeInfo /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/LicenseAction/LicenseActionDuration /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/LicenseAction/LicenseActionDurationUnit	In UCMS, a license suspension is just one of many possible actions that can be taken against the defendant's license. The LicenseActionType indicates which type of action is being taken, suspension being one of them. The LicenseActionDuration and LicenseActionDurationUnit indicate for how long the action is in effect.
DSH-CHS-PROB-TM	Probation time	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Probation/ProbationDuration /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Probation/ProbationDurationUnit	The ProbationDuration field contains the length of time, and the ProbationDurationUnit describes what unit of time is being used, such as years, months, days, hours.
DSH-CHS-FIN-AMT	Fine amount	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Fine/Amount	This is the amount of the fine that is part of the sentence item.
DSH-CHS-CS-TM	Community service hours	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/ConditionalDischarge/DischargeCondition/DischargeConditionDetails/CommunityServiceConditionForDischarge/CommunityServiceDuration /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/ConditionalDischarge/DischargeCondition/DischargeConditionDetails/CommunityServiceConditionForDischarge/CommunityServiceDurationUnit /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Probation/ProbationCondition/ProbationConditionDetails/CommunityServiceConditionForProbation/CommunityServiceDuration	For sentencing, the defendant may be required to complete community service as a condition of discharge or a condition of probation.

		/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceItem/SentenceItemAdditionalInfo/Probation/ProbationCondition/ProbationConditionDetails/CommunityServiceConditionForProbation/CommunityServiceDurationUnit	
DSH-CHS-OP-TM	Order of protection time	/CriminalDockets/District/County/Court/Docket/OrderOfProtection/IssuedDate /CriminalDockets/District/County/Court/Docket/OrderOfProtection/ExpirationDate /CriminalDockets/District/County/Court/Docket/OrderOfProtection/ExpiresOnWarrantReturn /CriminalDockets/District/County/Court/Docket/OrderOfProtection/VacateDate	UCMS does not record a simple duration for an order of protection. Rather, after an order of protection issued, it may expire, expire on warrant return, or be vacated.
DSH-CHS-STY	Sentence stayed indicator	/CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceOutcomeDetails/SentenceOutcome /CriminalDockets/District/County/Court/Docket/Charge/Sentence/SentenceOutcomeDetails/ExecutionStayedDetails	A sentence stay is one of many possible sentence outcomes. The SentenceOutcome element indicates what kind of outcome has been used, and the ExecutionStayedDetails element contains additional information about sentence stays.

RECORD TYPE 10

Motion-related data- multiple records per docket

Actions for a motion take place at appearances. Use this field:

/CriminalDockets/District/County/Court/Docket/Appearance/MotionFiledReference

to identify motions that are appearance related.

DSH Field Name	DSH Purpose	UCE XML Element	Mapping Details
DSH-CTY	County	/CriminalDockets/District/County/@Name /CriminalDockets/District/County/@CountyCode	The name can be accessed, as well as permanent ID, as attributes under the County element. All of the dockets are nested under the county element.
DSH-ID	Internal ID	/CriminalDockets/District/County/Court/Docket/@DocketID	This element contains the permanent, unique ID for each docket.
DSH-REC-TYP	Record Type		The type is inferred by the element name in XML.
DSH-DSEQ	Docket sequence	/CriminalDockets/District/County/Court/Docket/CRIMSIIdentifiers	This is the identifiers information from the previous system. You will find the CRIMSIId and DSeq under this element.
DSH-MOT-APP-DT	Motion appearance date	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceDate	For appearances tied to a motion, this date indicates the motion appearance date.
DSH-MOT-PT	Motion appearance part	/CriminalDockets/District/County/Court/Docket/Appearance/AppearancePartReference	For appearances tied to a motion, the part reference indicates the motion appearance part.
DSH-MOT-SEQ	Motion sequence #	/CriminalDockets/District/County/Court/Docket/Motion/MotionNumber	This is the number assigned to the motion.
DSH-MOT-TYPE	Motion type	/CriminalDockets/District/County/Court/Docket/Motion/MotionType	
DSH-MOT-FILED-DT	Motion filed date	/CriminalDockets/District/County/Court/Docket/Motion/FilingDate	
DSH-MOT-FILED-PTY	Party who filed motion	/CriminalDockets/District/County/Court/Docket/Motion/FilingEntity	This is the entity which filed the motion, whether attorney or party.
DSH-MOT-HABEAS-CORPUS	Habeas Corpus indicator	/CriminalDockets/District/County/Court/Docket/Motion/MotionType	In UCMS, Writ of Habeas Corpus is just a type of motion. UCMS does not capture detail about Habeas Corpus beyond this. You may reference the MotionType element to determine if a motion is Habeas Corpus.
DSH-MOT-ACTIVITY	Motion activity	/CriminalDockets/District/County/Court/Docket/Appearance/AppearanceOutcome	Outcomes on appearances tied to a motion are motion appearance outcomes.

DSH-MOT-DISP	Motion disposition	/CriminalDockets/District/County/Court/Docket/Motion/DispositionDate	This element contains the date the motion was disposed, if any.
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