ECS 032B: Introduction to Data Structures

Course Material Summary

University of California at Davis

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ECS 032B Summary

Chapter 3: Analysis

1. Big-O (worst-case time complexity, upper bound)

Steps to find Big-O: 1) Find T(n) first. 2) Let $\mathbf{T}(\mathbf{n}) \leq \mathbf{O}(\mathbf{n})$. 3) Simplify the equation. 4) Plug values of n (start from 1) and c into the simplified function. 5) $\mathbf{T}(\mathbf{n}) \leq \mathbf{O}(\mathbf{n})$ satisfied, we conclude that it is true at n and c.

2. Big- Ω (lower bound)

Steps to find Big- Ω : 1) Find T(n) first. 2) Let $\mathbf{T}(\mathbf{n}) \geq \mathbf{O}(\mathbf{n})$. 3) Simplify the equation. 4) Plug values of n (start from 1) and c into the simplified function. 5) T(n) $\leq \mathbf{O}(\mathbf{n})$ satisfied, we conclude that it is true at n and c.

3. Big- θ (equal)

T(n) is $\theta(n)$ if and only if T(n) is O(n) and T(n) is $\Omega(n)$. (Cannot write T(n) = O(n) or T(n) = $\Omega(n)$ because describes a set of functions)

4. Function increase rate (from slowest to fastest)

1 (constant), $\log n$ (logarithmic), n (linear), n $\log n$ (log linear), n^2 (quadratic), n^3 (cubic), 2^n (exponential), n! (factorial). (We cannot say one is always faster than another one since the slow one might grow faster in the beginning.)

Chapter 4: Basic Data Structures

1. Abstract Data Structure (ADT)

An abstract data type or ADT is a combination of a collection of data items a set of operations on those items. (ex: python list operations)

The names of the parameters declared in a method header are called formal parameters, and the values passed to the method are called actual parameters.

2. Class and objects

Class blueprint, objects are built from blueprint. Objects derive from class. **3. Encapsulation**: Easy for other people to understand, some people are lazy.

4. Stack (Last in first out, LIFO)

Stack() creates a new stack that is empty. It needs no parameters and returns an empty stack. push(ittem) adds a new item to the top of the stack. It needs the item and returns nothing. pop() removes the top item from the stack. It needs no parameters and returns the item. The stack is modified.

peek() returns the top item from the stack but does not remove it. It needs no parameters. The stack is not modified.

isEmpty() tests to see whether the stack is empty. It needs no parameters and returns a boolean value.

size() returns the number of items on the stack. It needs no parameters and returns an integer.

Stack Operation	Stack Contents	Return	class Stack:
s.isEmpty()	[]	True	definit(self):
s.push(4)	[4]		self.items = [] def isEmpty(self):
s.push('dog')	[4, 'dog']		return self.items == []
s.peek()	[4, 'dog']	'dog'	def push(self, item):
s.push(True)	[4, 'dog', True]		self.items.append(item)
s.size()	[4, 'dog', True]	3	def pop(self):
s.isEmpty()	[4, 'dog', True]	False	return self.items.pop()
s.push(8.4)	[4, 'dog', True, 8.4]	<u> </u>	def peek(self):
s.pop()	[4, 'dog', True]	8.4	return self.items[len(self.items)-1]
s.pop()	[4, 'dog']	True	def size(self):
s.size()	[4, 'dog']	2	return len(self.items)
5 O	7	TITE(A)	1 ,

5. Queue (First in first out, FIFO)

Queue() creates a new queue that is empty. It needs no parameters and returns an empty queue.
enqueue(item) adds a new item to the rear of the queue. It needs the item and returns nothing.
dequeue() removes the front item from the queue. It needs no parameters and returns the item. The queue is modified.

isEmpty() tests to see whether the queue is empty. It needs no parameters and returns a boolean value.

size() returns the number of items in the queue. It needs no parameters and returns an integer.

Queue Operation	Queue Contents	Return	class Queue:
q.isEmpty()	0	True	definit(self):
q.enqueue(4)	[4]		self.items = []
q.enqueue('dog')	['dog', 4]		def isEmpty(self):
q.enqueue(True)	[True, 'dog', 4]		return self.items == []
q.size()	[True, 'dog', 4]	3	def enqueue(self, item):
q.isEmpty()	[True, 'dog', 4]	False	self.items.insert(0,item)
q.enqueue(8.4)	[8.4, True, 'dog', 4]		def dequeue(self):
q.dequeue()	[8.4, True, 'dog']	4	return self.items.pop()
q.dequeue()	[8.4, True]	'dog'	def size(self):
q.size()	[8.4, True]	2	return len(self.items)

<u>6. Deque</u>

Deque() creates a new deque that is empty. It needs no parameters and returns an empty deque.

addFnont(item) adds a new item to the front of the deque. It needs the item and returns nothing.

addRear(item) adds a new item to the rear of the deque. It needs the item and returns nothing.

removeFront() removes the front item from the deque. It needs no parameters and returns the item.

The deque is modified.

removeRear() removes the rear item from the deque. It needs no parameters and returns the item.
The deque is modified.

isEmpty() tests to see whether the deque is empty. It needs no parameters and returns a boolean value

size() returns the number of items in the deque. It needs no parameters and returns an integer.

Deque Operation	Deque Contents	Return	class Deque:
d.isEmpty() d.addRear(4)	[] [41	True	definit(self): self.items = [] def isEmpty(self):
d.addRear('dog') d.addFront('cat')	['dog', 4] ['dog',4, 'cat']		return self.items == []
d.addFront(True)	['dog',4,'cat',True]		def addFront(self, item): self.items.append(item)
d.size() d.isEmpty()	['dog',4,'cat',True] ['dog',4,'cat',True]	False	def addRear(self, item): self.items.insert(0,item)
			def removeFront(self): return self.items.pop()
			`

d.addRear(8.4)	[8.4,'dog',4,'cat',True]	[
d.removeRear()	['dog',4,'cat',True]	8.4
d.removeFront()	['dog',4,'cat']	True

def removeRear(self):	
return self.items.pop	(0)
def size(self):	
return len(self.items	

7. Unordered Link List

List() creates a new list that is empty. It needs no parameters and returns an empty list.

add(item) adds a new item to the list. It needs the item and returns nothing. Assume the item is not already in the list.

remove(item) removes the item from the list. It needs the item and modifies the list. Assume the item is present in the list.

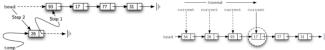
search(item) searches for the item in the list. It needs the item and returns a boolean value. isEmpty() tests to see whether the list is empty. It needs no parameters and returns a boolean value.

size() returns the number of items in the list. It needs no parameters and returns an integer.
append(item) adds a new item to the end of the list making it the last item in the collection. It needs the item and returns nothing. Assume the item is not already in the list.

index(item) returns the position of item in the list. It needs the item and returns the index. Assume the item is in the list.

insert(pos,item) adds a new item to the list at position pos. It needs the item and returns nothing.
Assume the item is not already in the list and there are enough existing items to have position pos.
pop() removes and returns the last item in the list. It needs nothing and returns an item. Assume the
list has at least one item.

pop(pos) removes and returns the item at position pos. It needs the position and returns the item.
Assume the item is in the list.



8. Ordered Link List

add(item) adds a new item to the list making sure that the order is preserved. It needs the item and returns nothing. Assume the item is not already in the list.

remove(item) removes the item from the list. It needs the item and modifies the list. Assume the item is present in the list.

search(item) searches for the Item in the list. It needs the Item and returns a boolean value.
isEmpty() tests to see whether the list is empty. It needs no parameters and returns a boolean value.

size() returns the number of items in the list. It needs no parameters and returns an integer.
index(item) returns the position of item in the list. It needs the item and returns the index. Assume the item is in the list.

pop() removes and returns the last item in the list. It needs nothing and returns an item. Assume the list has at least one item.

pop(pos) removes and returns the item at position pos. It needs the position and returns the item. Assume the item is in the list.

Chapter 5: Recursion

<u>1. Definition</u>: Recursion is a method of solving problems that involves breaking a problem down into smaller and smaller subproblems until you get to a small enough problem that it can be solved trivially. Usually, recursion involves a function calling itself.

2. Recursion space and time analysis example

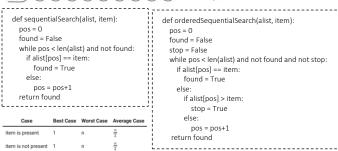
space complexity time complexity
recursive factorial O(n) O(n)
recursive fibonacci O(n) O(2")

3. Tail Recursion

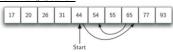
It often involves the introduction of an additional parameter used as a "variable" to hold the partially-computed result instead of storing postponed computations on the stack. Python cannot save space by using tail recursion.

Chapter 6: Searching and Sorting 1. Sequential (linear) Search





2. Binary Search



Time Complexity: O (log2 n)

Comparsions	Approximate Number of Items Left	def binarySearch(alist, item):
1	<u>n</u>	if len(alist) == 0:
	2	return False
2	$\frac{n}{4}$	else:
		midpoint = len(alist)//2
3	<u>n</u> 8	if alist[midpoint]==item:
		return True
	***	else:
	<u>n</u>	if item <alist[midpoint]:< td=""></alist[midpoint]:<>
	2^i	return binarySearch(alist[:midpoint],item)
		else:
		return binarySearch(alist[midpoint+1:],item)

54	26	93	רו	77	31	44	22	20
26	54	93	П	77	31	44	tt	20
26	\$4	93	17	77	16	44	77)10
26	54	17	93.	77	31	44	25	20
26	14	(7	77	93	31	44	55	2-19
26	54	17				44		
26	\$4	17	77	31	44	193	走过	10
26	54	17	77	31	41	£ ± \$	193	>20
26	\$4	17	77	31	44	f 5!	- 70	93
								V

1		****	
1	Comparison	162	
	Pass	Comparison	is
	1	h-1	200
1	2	N-2	
Pass	y ;;	N-3	-3194 <u>/C</u>
	N-1	1	
Pass XX	Time Comp	lexity : O(n	²)
	Worst cas	e: leverse on	dered list
\	Beet cas	e: ordened l	ist

4. Selection Sort

26	54	93	17	77	31	44	22	20
26	54	70	17	77	3!	44	北	198
26	54	2.0	17	55	3;	44	19	93
16	54	ю	17	44	331	155	77	93
26	31	20	רו	44	54	Jt	77	95
26	(31)	20	17	144	54	tL	77	7:3
126	17	30	131	44	14	tt	77	93
120	77	26	31	44	54	15	7)	93
17	/20	26	3]	44	54	55	77	93

93 is largese	Decreasing example
77 is largest	From right (largest) to
55 is largest	Smallest(left).
54 is largest	Time complexity: O(12)
44 13 largest	Worse case: Peressed Swap.
31 is largest	8 7 6 5 4 3 2 1
26 D largest	at at the
20 is largest	9
Sorted!	

5. Insertion sort

45t	36	93	17	77	31	4.	ئځ	2.
26	54	93	רז	77	31	44	50	7
126	54	93	7	77	18	44	55	2
[]7	26	54	193	77	31	44	35.	1
17								
77	26	31	154	17	93	5 44	\$\$	24
	11	4				-		

200 100	_	
17 26 31 44 5477 93.		
117 V26 31 44 54 55 77	931	70
17 20 26 31 44 54 55	77	93
Time Complexity: O(n2)		
Worst case: Riversed sorted list		
Best case: Sorted list.		

6. Shell sort

图 26 93 17 77 31 4 5 20 9517	26 93 44 77 31 54 552
54 [16] 93 17 [17] 31 44 [5] 20 SOPE 54	26 93 17 (5) 31 44 [7] 20
54 26 93 17 77 31 44 5+ [20] sort 5#	明明明明明朝北
Time Complexity (Average): between O(n) and O(n2)17	26 20 44 55 31 54 77 93
Worst case: O(n2)	20 26 44 55 31 5477 93.
Best Case: O(n)	> 26 31 5\$ 49 54 77 93
П	20 26 31 44 55 54 77 93

Sortal! 17 20 26 31 44 54 55 77 93

7. Merge sort



Time complexity: O (11/0911)

2	8 1 6 4 7 2	3
Ų.	423 5 8 6	1
ı	4 2 3 5 8 6	7
1	4 23 5 8 6	Ĺ
1	2 3 4 5 8 6	7
1	2 3 45 8 6	Ź
1	2 3 45 8 6	ή
	2345867	
	23456718	
í	23 456 [7] 8	3
Į	2345678	
ſ	inne Complexity: ()(nlogn)	
	Norse: O(n2) sorted list	

8. Quick sort

9. Summary of sort

Method	Best Case	Average Case	Worst Case	Space Complexity
Bubble Sort	O(n)	$O(n^2)$	$O(n^2)$	O(1)
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$	O(1)
Insertion Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$	O(1)
Shell Sort	O(n)	${\cal O}(n)$ or ${\cal O}(n^2)$	$O(n^2)$	O(1)
Merge Sort	O(nlogn)	O(nlogn)	O(nlogn)	O(n) or $O(nlogn)$
Quick Sort	O(nlogn)	O(nlogn)	$O(n^2)$	O(n)
10. Hashing				

Definition: The hash map is applied to the key first, then the compression map is applied to the result: h(x) = h2(h1(x)).

Formula for hash function: h(k)=(ak+b)%N (a, b are integers, N is array size)

11. Chaining ex: N(x) = floor(x/10) med 5 (linked list) Hash: 12540, 51286, 9-100, 4023, 54441, 4529, 1426 Disadvantages: 1) Overhead pointers 4532 3 3 508 3 403 3 1423 4 3 1254 3 5444

Advantage: 1) Unlimited size 2) Easy to program 3) Easy deletion can be large 2) As the table becomes full, more inefficient (table size N, worst case searching time can be > N).

12. Open Addressing (probe sequence)

1. Linear Probing

h(k), h(k)+R, h(k)+2R, h(k)+3R, --, h(k)+(N-1)R (all mod N)

To guarantee that we probe every table location, R must be relatively prime (10

common factors other than 1) to N the table size). ex how = x mod 10, R=2 (not relatively prime to N)

Hash keys: 4, 14, 114, 1114, 1114

Whether R is relatively prime to 11 or not, there will Still be pollisions, and there will still be clustering but the clusters are not consecutive locations,



2. Probing every Rth location

h(k), h(k)+R, h(k)+2R, h(k)+3R, --, h(k)+(N-1)R (all mod N)

To guarantee that we probe every table location, R must be relatively prime (110

common factors other than 1) to N (the table size). ex how = x mod 10, R=Z. (not relatively prime to N)

Hash kegs: 4, 14, 114, 1114, 1114

Whether R is relatively prime to N or not, there will Still be pollisions, and there will still be clustering, but the clusters are not consecutive locations



h(k), h(k) + 12, h(k) + 22, ..., h(k) + (N-1)2 (all mod N)

This method avoid concecutive dustering.

Drawback: 12= (N-1)" mod N so the probe sequence examines only about half the table.

ex: h(x) = x mod 10

3. Quadratic probing

Hash keys: 4, 44, 444, 6,5

can also use: h(k), h(k) + 12, h(k) - 12, h(k) + 22,

4. Pseudo-random probing and Double hashing

1 Pseudo-random probing

hik), h(k)+1, h(k)++3,11, h(k)++3,11, h(k)++1,-1 (all mod N)

Drawback: We must Store pseudo-random numbers,

@Double hashing

h(k), h(k) + 1h2(k), h(k) + 2h2(k), \, h(k) + (N-1)h2(k) (all mod N) if h2k)=0 A common choice is halk) = q- (kmod q) where q is a prime < N The hope is that if h(x)=h(y) , then help thely)

5. Advantages and disadvantages

Advantages: No memory is wasted on pointers.

Disadvantages: 1) Clusters can run into one another 2) The hash table must be at least as the number of items hashed, and preferably larger 3) Deletion can be a problem. Have to mark empty space with a "tombstone".

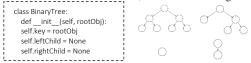
The performance of hashing depends on the quality of hash function, the collision resolution algorithm, and the available space in the hash table.

Chapter 7: Trees and Tree Algorithms 1. Trees

Definition: A tree is a (possibly non-linear) data structure made up of nodes or vertices and edges with only one pathway from the root node to a given node. The tree with no nodes is called the null or empty tree. A tree that is not empty consists of a root node and potentially many levels of additional nodes that form a hierarchy.

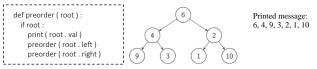
2. Binary trees

Definition: A binary tree is a tree that is either empty (or null) or each node has a maximum of two children, left subtree and a right subtree.

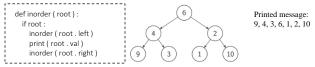


3. Binary tree traversal

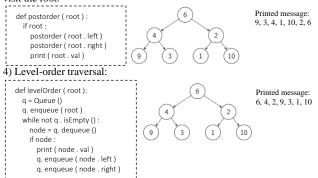
1) Preorder traversal: Visit the root; traverse the left subtree; traverse the right subtree



2) Inorder traversal: Traverse the left subtree; visit the root; traverse the right subtree.



3) Postorder traversal: Traverse the left subtree; traverse the right subtree; visit the root.

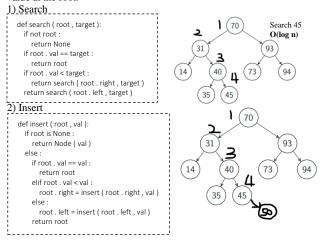


5) Binary expression trees: Root is operator, left child if the first operand, right child is the second operand.



4. Binary search tree

Definition: A binary search tree is a tree in which every node is empty or the root of a binary tree in which all the values in the left subtree are less than the value at the root, and all the values in the right subtree are greater than the value at the root.



3) Delete

Case 1: Node to be deleted is leaf. Simply remove from the tree.



Case 2: Node to be deleted has one child. 1) Change the value of the node to the child's value. 2) Delete the child.



Case 3: Node to be deleted has two children. 1) Find the smallest one in the node's right subtree (inorder successor). 2) Change the value of the node to the value of the inorder successor. 3) Delete the inorder successor recursively.



5. Full binary tree

Definition: A binary tree in which every node other than the leaves has to children. In other words, every node has exactly 0 or

2 children. **6. Perfect binary tree**

Definition: A full binary tree in which all leaves are at the same level, and every

parent has two children. 7. Complete binary tree

Definition: A binary tree in which every level, except possibly the last, is completed filled,

and all nodes are as far left as possible. 14 (40) 8. Time complexity of search, insert, and delete in binary search tree

O(h), where h is the height of the tree.

- When the BST is almost complete, h = log n.
- When the BST is not complete, h = n.

9. Height

- 1. Height of a node N is the length of the longest path from N to a leaf node (a node with no child). The height of a leaf node is 0.
- 2. Height of a tree is the height of its root node. The height of the empty tree is

-1. The height of a tree with only a single node is 0. **10. Balanced binary search tree**

Balance factor of a node n: the difference between the height of the left subtree and the height of the right subtree.

Left heavy: A tree is left heavy when there is some node n in the tree such that balance(n)>0.

Right heavy: A tree is right heavy when there is some node n in the tree such that balance(n)<0

Perfectly in balance: The balance factor of every node in the tree is 0.

11. Basic ideas of AVL trees

- The easiest way to keep a tree balanced is never to let it become unbalanced.
- 2. When a node is inserted (or deleted), the AVL algorithm checks the balance factor of each parent node up the insertion (or deletion) path.
- 3. If we encounter a node that is out of balance, we need to change the relative height of its left and right subtrees while preserving the BST property.
- 4. If the subtree is left heavy, then we rotate it to the right. If the subtree is right heavy, then we rotate it to the left.

12. AVL tree rotation rebalance

1. Right rotate the (sub)tree with root y: make y's left child the new root.

2. Left rotate the (sub)tree with root x: make x's right child the new root.



Case1: Left Left rebalance (y is right child of z and x is right child of y)



Case 2: Left Left rebalance (y is left child of z and x is left child of y)



Case 3: Left Right rebalance (y is left child of z and x is right child of y)



Case 4: Right left rebalance (y is right child of z and x is left child of y)



13. Time complexity in AVL tree

- 1. Given a tree with n nodes, the maximum height of the tree is approximately 1.44logn.
- 2. Time complexity for searching is O(logn).
- 3. Time complexity for insertion and deletion is also O(logn).

14. Heap

- 1. Min heap is a complete binary tree in which if n1 is the parent node of n2, then the value of n1 is less than the value of n2.
- 2. Max heap is a complete binary tree in which is n1 is the parent node of n2, then the value of n1 is greater than the value of n2.

15. Insertion in a min heap

- 1. Insert the new item in the next position at the bottom of the heap.
- 2. While new item is not at the root and new item is smaller than its parent
- 3. Swap the new item with its parent, moving the new item up the heap

16. Deletion from a min heap

- 1. Replace the item at the root node with the last item in the heap (LIH)
- 2. While item LIH has children and item LIH is larger than at least one child
- 3. Swap item LIH with the smaller of its children, moving LIH down the heap

17. Time complexity of heap

- 1. Reheap up and Reheap down: O(h)
- 2. Number of nodes in a complete binary tree of height h: 2^h <= n <= $2^{(h+1)-1}$
- 3. Reheap up and Reheap down: O(logn)

18. Implementing a heap

- [-, 6, 14, 12, 28, 18, 17, 33, 41, 52, 47, 19, 22, -, -, -]
- 1. We can find the left child of k at index: 2k
- 2. We can find the right child of k at index 2k+1
- 3. We can find the parent of k at index: k/2 or k//2

19. Priority queues

- 1. Higher priority numbers on the top, lower priority numbers on the bottom. Removing the minimum value (highest priority item) from the priority queue requires Reheap down, which takes O(logn) time. Adding a new item to the priority queue requires Reheap up, which also takes O(logn) time.
- 2. Optimization on hepify: 1) No need to hepify the leaf nodes 2) From the last non-leaf node to the root, perform the reheap down from each of the nodes. Time complexity O(n).

20. Heapsort

1. Heapify: build a heap using the elements to be sorted.

For each item in the sequence to be sorted, add the item to the next available position in the complete binary tree, restore the heap property (using Reheap

2. Sort: use the heap to sort the data.

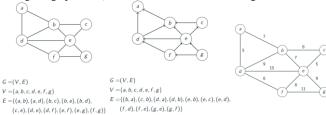
While the heap is not empty, remove the first item from the heap by swapping it with the last item in the heap, reduce the size of the heap by one, restore the heap property.

3. Time complexity: O(nlogn)

Chapter 8: Graphs

1. Introduction, definition, and terminology

- A graph is a data structure consisting of a finite set of vertices/nodes and a finite set of edges that describer the relationships between the vertices.
- A tree is a specialized graph.
- A G = (V, E) consists of 1) a non-empty set of vertices V, and 2) a set of edges $E \subseteq V * V$ such that $e = (u, v) \in E$ means an edge from vertices u to v.
- Undirected graph: If $(u, v) \in E$, then $(v, u) \in E$.
- Directed graph: Edges have directions. (u, v) $\in E$ does not mean (v, u) $\in E.$
- Weighted graph: G = (V, E, w) where w: $E \Rightarrow R$ is a weight function.



- A vertex v is said to be adjacent to another vertex u if the graph contains an edge (u, v). In other words, if $(u, v) \in E$, then v is adjacent to u.
- A path from vertex v1 to vertex vn is a sequence of vertices v1, v2, ..., vn such that $(vi, vi+1) \in E$ for all $1 \le i \le n-1$.
- If there is a path from vertex u to vertex v, we say v is reachable
- A cycle is a path in which the first and last vertices are the same.
- A loop is an edge that connects a vertex to itself.

2. Density: |E|/|V|^2

- Sparse graph: 1) Most of the vertices are not adjacent to each other 2) |E| is far less than $|V|^2$, approximately |V| (ex: Facebook connections)
- Dense graph: 1) Most of the vertices are adjacent to each other 2) |E| is far greater than |V|, approximately |V|^2 (ex: Flights of major cities)

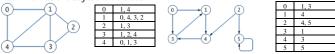
3. Adjacency Matrix and Adjacency List

Adjacency Matrix: A $|V| \times |V|$ matrix with 1 (or the weight) (or true) for an edge and 0 (or infinity) (or false) for not-an-edge



Adjacency List: A vector (array) of lists, one list for each vertex.

Each list has the adjacent vertices.



In general, if the graph is dense, the adjacency matrix is better, and if the graph is sparse, the adjacency list is better. Usually, our graphs are sparse, but not always.



