

# Tracking which types are principally known in OCaml

Samuel Vivien

Cambium - INRIA & PSL

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① Principality, definition and use in OCaml

② Annotating types with levels

③ How to use levels for principality

④ What about modular implicits ?

- 1 Principality, definition and use in OCaml
- 2 Annotating types with levels
- 3 How to use levels for principality
- 4 What about modular implicits ?

# What is principality ?

```
> ocaml --help
Usage: ocaml <options> <files>
Options are:
  ...
  -principal    Check principality of type inference
  -no-principal Do not check principality of type inference (default)
  ...
```

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*A principal typing in  $S$  for a term  $M$  is a typing for  $M$  which somehow represents all other possible typings in  $S$  for  $M$*

J. B. Wells

## An example of principal type

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let id = fun x → x
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- $\text{unit} \rightarrow \text{unit}$

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- $'a \rightarrow 'a$



## What could be a non principal type in OCaml ?

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let f x (y : < m : 'a. 'a → 'a >) =
  ignore (
    (x = y),
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$x = y \Rightarrow x : < m : 'a. 'a \rightarrow 'a >$ $x\#m\ 3 \Rightarrow$ <b>principality warning</b>	$x\#m\ 3 \Rightarrow x : < m : \text{int} \rightarrow 'b >$ $x = y \Rightarrow$ <b>Fails</b>

The type of x was not principal when typing  $x\#m\ 3$ .

## Principality warning with constructors

```
type 'a ta = C of 'a | A  
type tb = C of int | B
```

```
let id x =  
    let _ = C x in x
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What is the inferred type of id ?

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type 'a ta = C of 'a | A
type tb = C of int | B

(* val id : int -> int *)
let id x =
  let _ = C x in x
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What is the inferred type of id ?

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type 'a ta = C of 'a | A
type tb = C of int | B

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```

What is the inferred type of id ?

```

type 'a ta = C of 'a | A
type tb = C of int | B

let id x =
  let _ = [A; C x] in x

```

## Principality with labels

```
let foo (f : a:int → b:int → int) : int = ...

(* val bar : (a:int → b:int → int) → int *)
let bar f =
  foo f + f ~b:1 ~a:2
```



## Principality with labels

```
let foo (f : a:int → b:int → int) : int = ...  
  
(* val bar : (a:int → b:int → int) → int *)  
let bar f =  
    foo f + f ~b:1 ~a:2
```

- Left to right ⇒ warning
- Right to left ⇒ error

## Principality with first-class modules

```
(* val foo : ((module S) → 'a) → 'a * 'a *)  
let foo bar =  
  (bar (module M1 : S),  
   bar (module M2))
```

## Principality with first-class modules

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(* val foo : ((module S) → 'a) → 'a * 'a *)  
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# Types have levels

```
type int = 0 | S of int
```

```
type bool = True | False
```

```
let foo x =  
  let bar (y :  $\_ \rightarrow \_$ ) z = (z, [x; y]) in  
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*int*<sup>1</sup>

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type int = 0 | S of int
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let foo x =  
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Introducing int

# Types have levels

*int*<sup>1</sup>      *bool*<sup>2</sup>

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let foo x =  
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Introducing bool

# Types have levels

 $int^1$  $bool^2$ 

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type int = 0 | S of int
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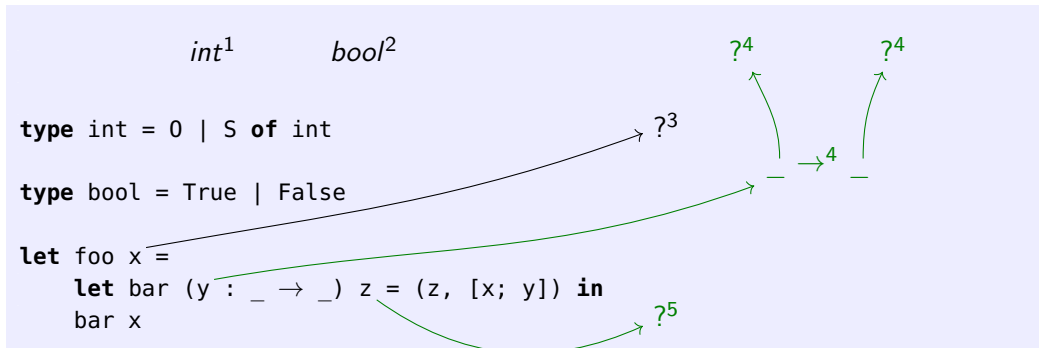
```
let foo x =  
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  bar x
```

 $?^3$   


Introducing x

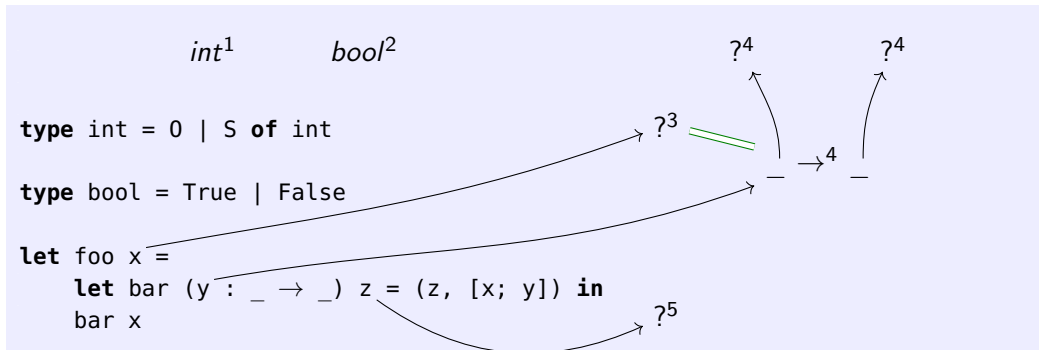


# Types have levels



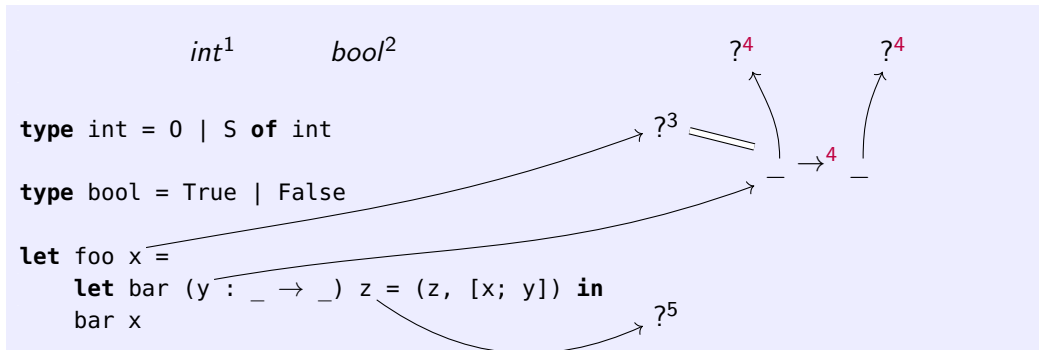
Introducing  $y$  and  $z$

# Types have levels



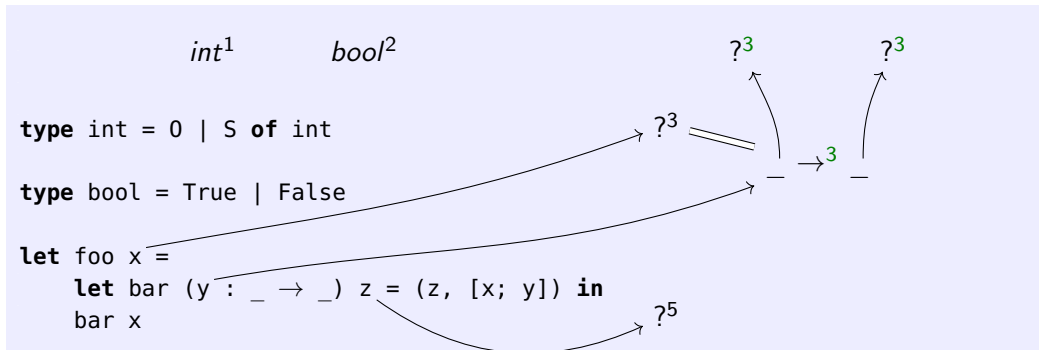
Typing  $[x; y]$

# Types have levels



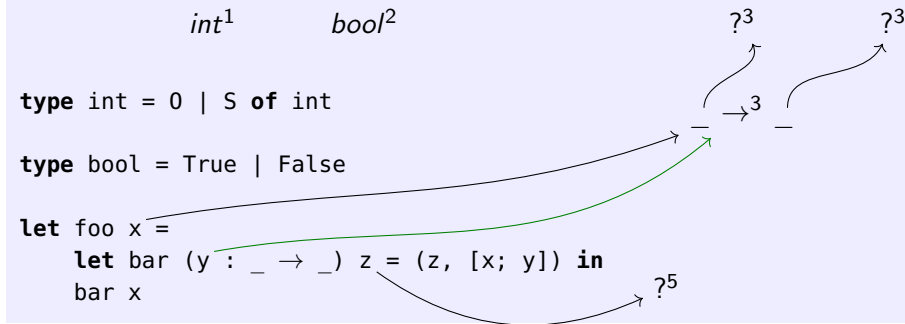
Typing [x; y]

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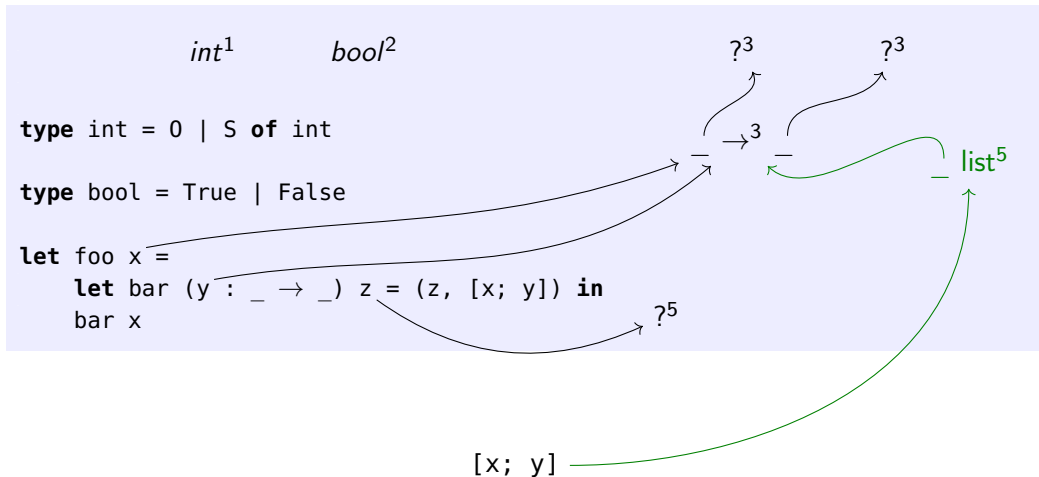
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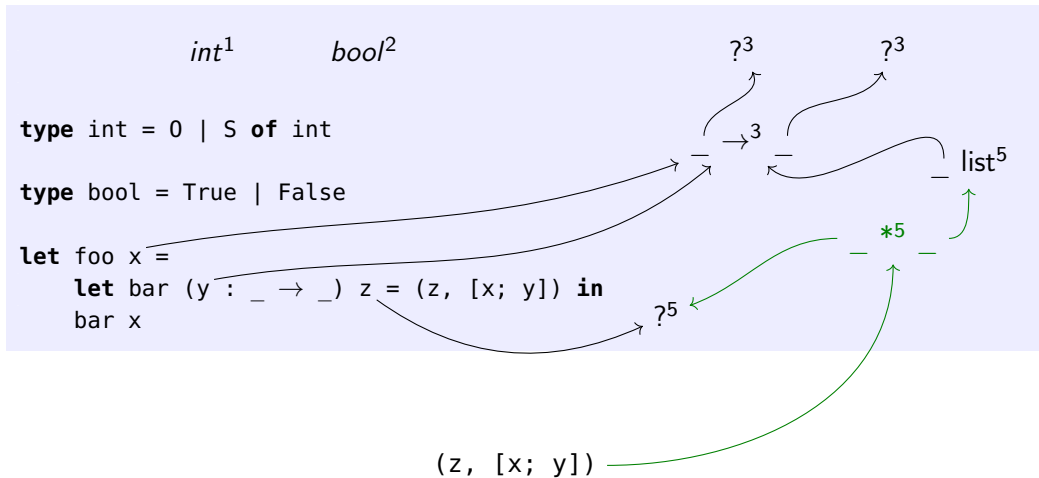


Typing `[x; y]`

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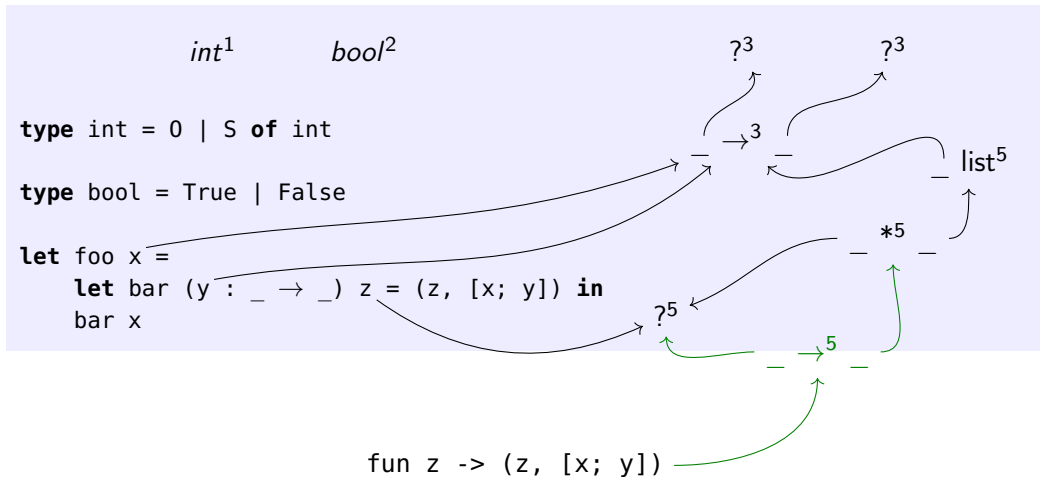




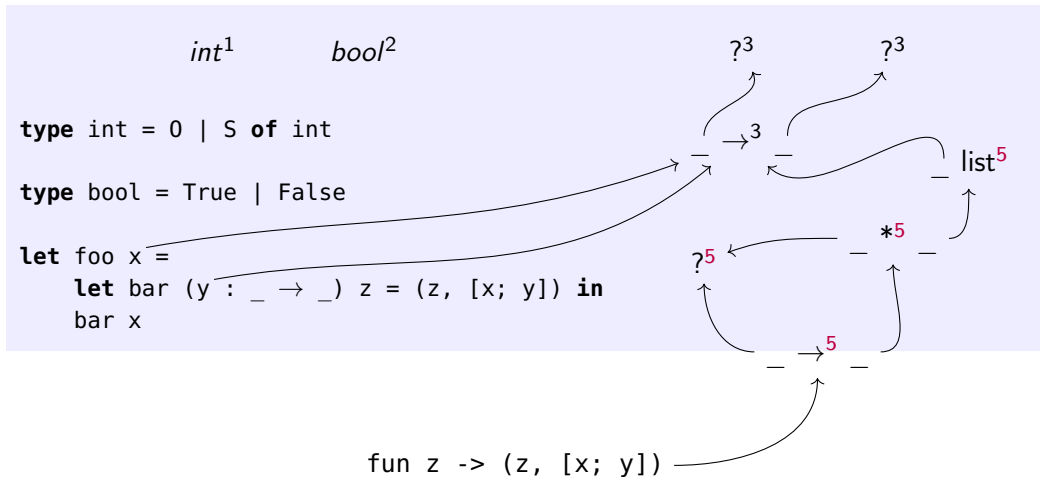
Diagram illustrating the type inference process for the code snippet:

```
type int = 0 | S of int
type bool = True | False
let foo x =
  let bar (y : _ -> _) z = (z, [x; y]) in
  bar x
```

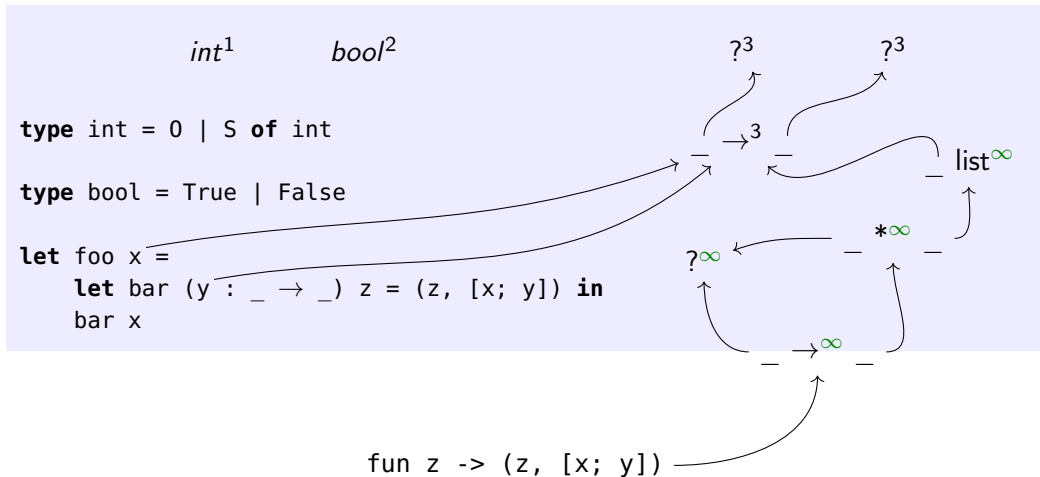
The diagram shows the flow of type information and the construction of the final expression:

- int<sup>1</sup>** and **bool<sup>2</sup>** are the inferred types for the variables `int` and `bool` respectively.
- The **type** declarations are shown: `type int = 0 | S of int` and `type bool = True | False`.
- The **let** expression is shown: `let foo x = let bar (y : _ -> _) z = (z, [x; y]) in bar x`.
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# Types have levels



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Diagram illustrating the typing of the code snippet:

```
type int = 0 | S of int
type bool = True | False
let foo x =
  let bar (y : _ → _) z = (z, [x; y]) in
  bar x
fun y z -> (z, [x; y])
```

The diagram shows the flow of type information and the construction of the environment:

- int<sup>1</sup>** and **bool<sup>2</sup>** are the initial types.
- type int = 0 | S of int** and **type bool = True | False** define the types for `int` and `bool`.
- let foo x =** introduces a function `foo` with parameter `x`.
- let bar (y : \_ → \_) z = (z, [x; y]) in** introduces a function `bar` with parameters `y` and `z`. The type of `y` is `_ → _`, and the type of `z` is `_`. The body of `bar` is `(z, [x; y])`.
- bar x** is the expression being typed.
- The diagram shows the environment state after typing `bar x`. The environment contains:
  - `int` with type `int1`.
  - `bool` with type `bool2`.
  - `foo` with type `int1 → bool2`.
  - `bar` with type `(int1 → bool2) → (int1 × list∞ int1)`.
  - `x` with type `int1`.
  - `y` with type `int1 → bool2`.
  - `z` with type `int1`.
- The diagram also shows the typing of the function `bar` and the function `foo`.

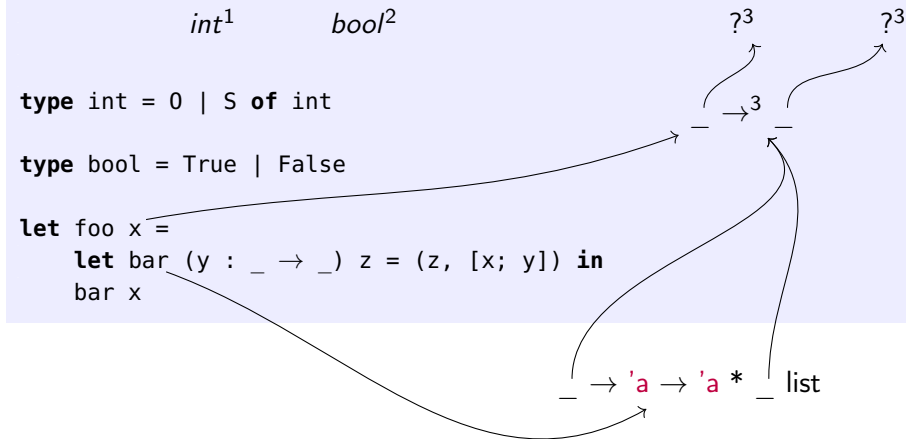
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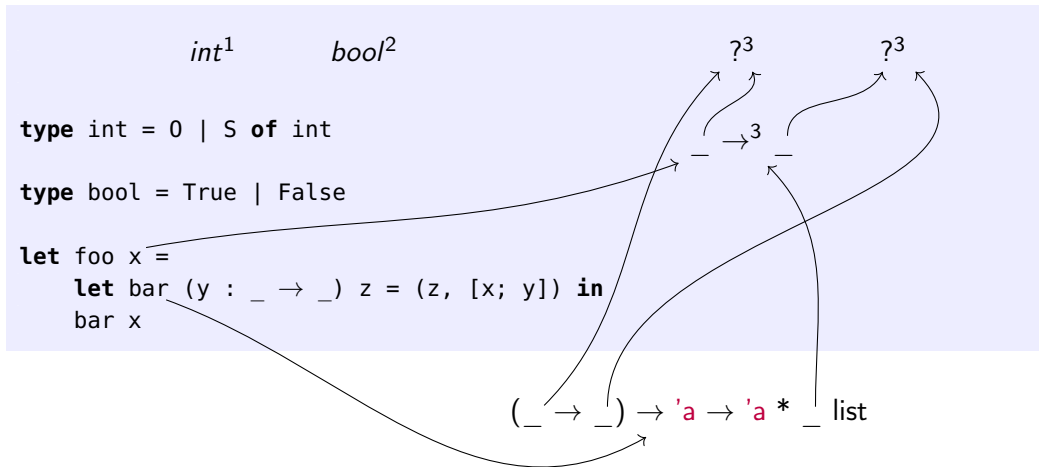
```
type int = 0 | S of int  
type bool = True | False  
let foo x =  
  let bar (y : _ → _) z = (z, [x; y]) in  
  bar x
```

The diagram illustrates the evaluation of the `let` expression. The `let` expression is highlighted in light blue. Arrows show the flow of evaluation: `foo x` is evaluated to `3`, `bar` is evaluated to `?3`, and `bar x` is evaluated to `?∞`. The `let` expression is evaluated to `list∞`.

# Types have levels



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## Rules about levels

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Important notice :

- Allows easy error detection/reporting :

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let f x (type a) (y : a) = [x; y]
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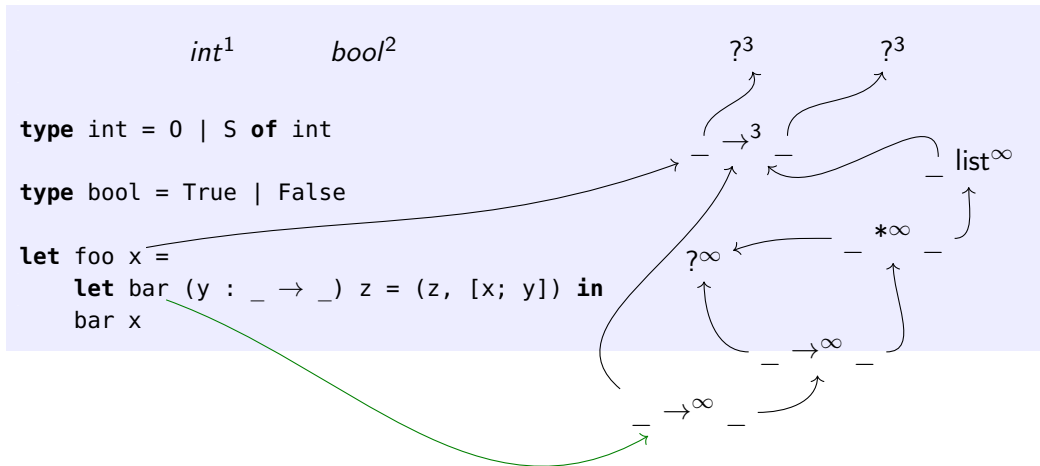
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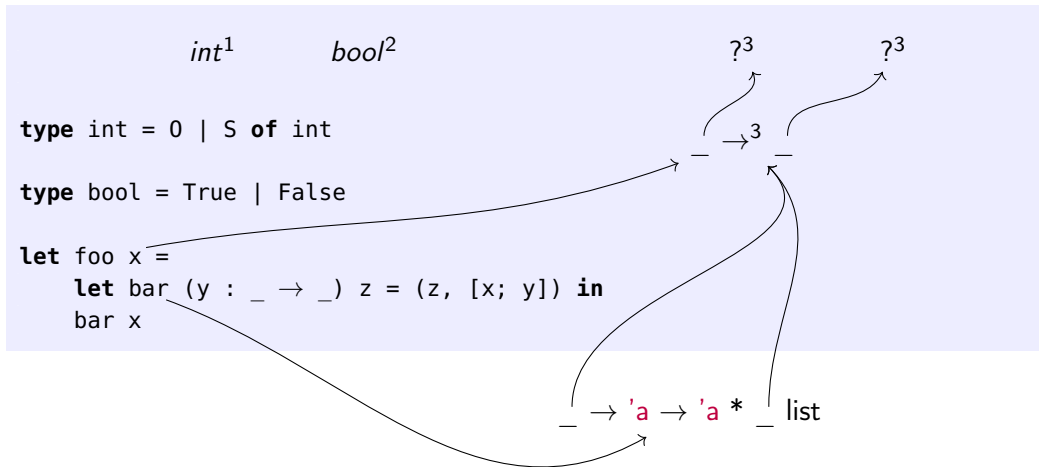
- Also works with GADTs !

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Top first	Bottom first
$x = y \Rightarrow x : < m : 'a. 'a \rightarrow 'a >$ $x\#m\ 3 \Rightarrow$ <b>principality warning</b>	$x\#m\ 3 \Rightarrow x : < m : \text{int} \rightarrow 'b >$ $x = y \Rightarrow$ <b>Fails</b>

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The type of x was not principal when typing  $x\#m\ 3$ , because the level of  $.$  is not  $\infty$ .

# What about y ?

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let f (y : <m : 'a. 'a → 'a>) =  
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Does this code raise a warning ?

# What about y ?

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let f (y : <m : 'a. 'a → 'a>) =  
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```

Does this code raise a warning ?

No, because

$$y : \text{<m : 'a.}^\infty \text{'a} \rightarrow^\infty \text{'a}>^\infty$$

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<p>Unification <math>Ex : f\ x</math> Levels are propagated.</p>	<p>Code inferred from type <math>Ex : f\ (C\ x)</math> Raise a principality warning if the type was fragile.</p>
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- First-class modules

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Code inferred from type can be :

- Labelled arguments
- Constructor/record disambiguation
- First-class modules
- Modular implicits (?)

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## What are modular implicits ?

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  type t
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implicit module PInt = struct ... end
implicit module PString = struct ... end
implicit module PList (X : Print) = struct ... end
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implicit module PInt = struct ... end
implicit module PString = struct ... end
implicit module PList (X : Print) = struct ... end

let () =
  print 3;
  print [1; 2; 3];
  print "Hello world\n"
```

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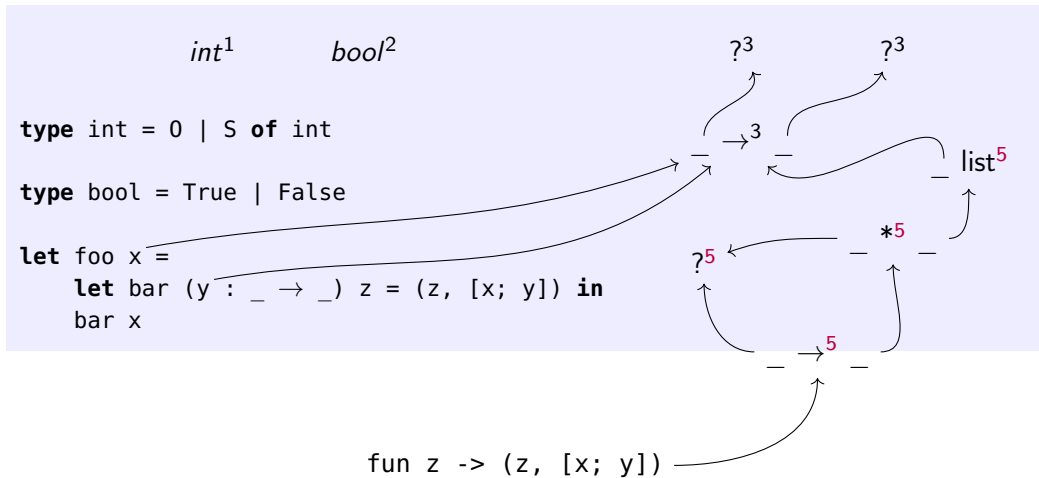


## How does this interact with principality ?

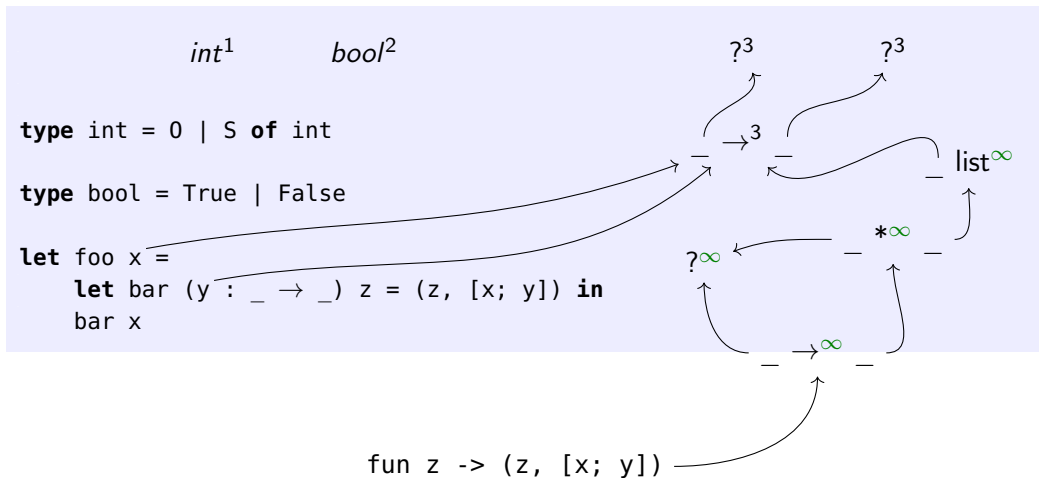
- Code generated based on types
- Type information are never principal/robust

Current principality tracing in OCaml cannot handle such a feature.

# Types have levels



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## What if we didn't want types to become principal

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module type Default = sig type t val d : t end

let default {D : Default} () = D.d
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implicit module M = struct
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  let d = ...
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(* val f : a:int → b:int → int *)
let f = default ()
    
```

# What if we didn't want types to become principal

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module type Default = sig type t val d : t end

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  type t = a:int → b:int → int
  let d = ...
end

(* val f : a:int → b:int → int *)
let f = default ()

(* val _ : int *)
let _ = f ~b:2 ~a:1

```

# A fix ?

Proposal : add a boolean saying whether this type is or can become principal.



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Already exists with labels.

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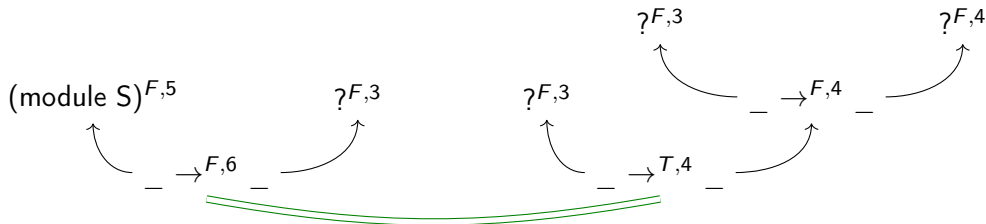
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(* val id : (a:int → b:int → 'a) → (a:int → b:int → 'b) *)
let id f =
  let _ f ~a:1 ~b:2 in f

let fail f = id f ~b:1 ~a:1
```

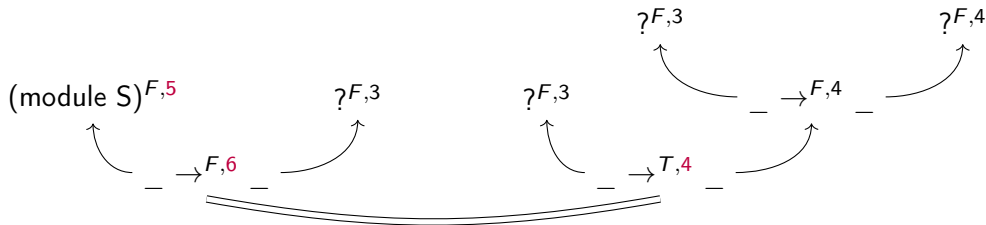
^^

Error: This **function** is applied **to** arguments  
**in** an order different from other calls.  
This is only allowed **when** the real **type** is known.

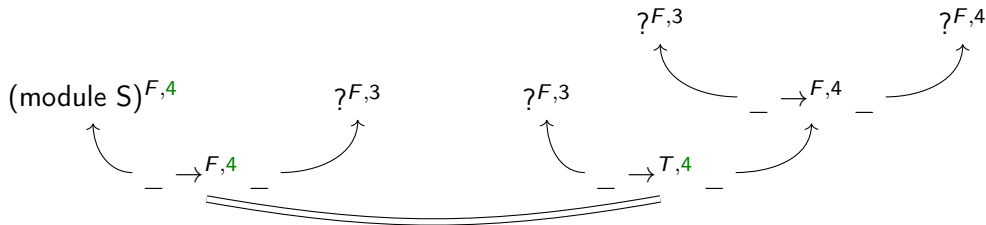
# Unification with a boolean



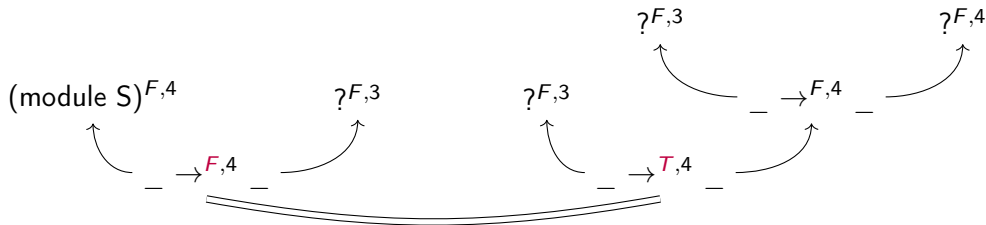
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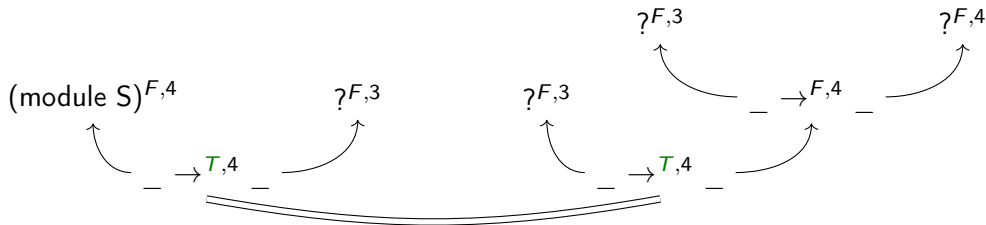


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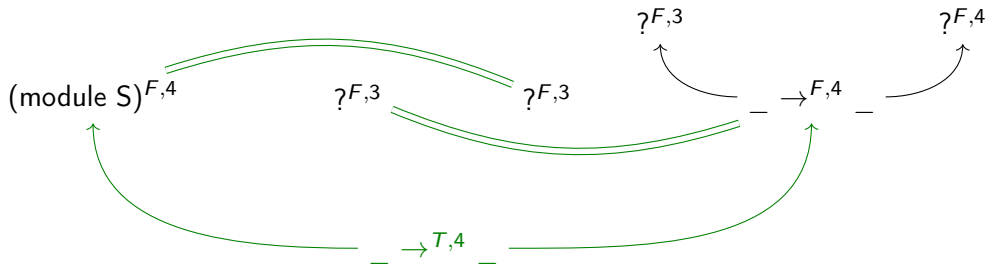




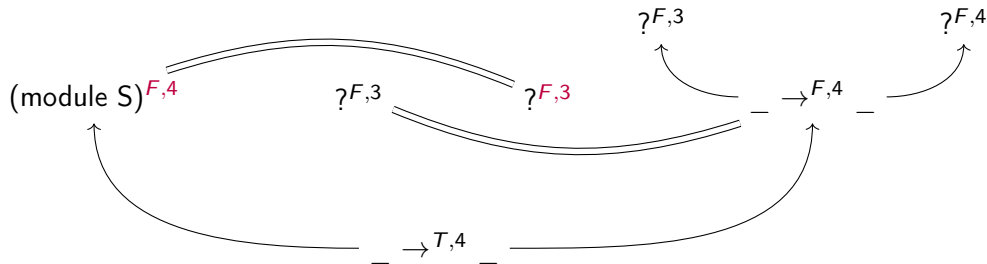
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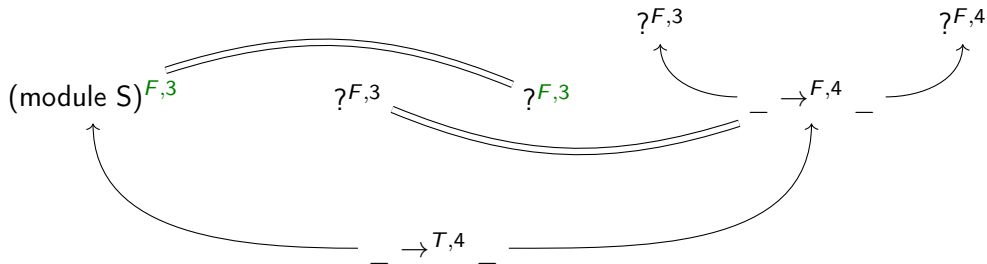
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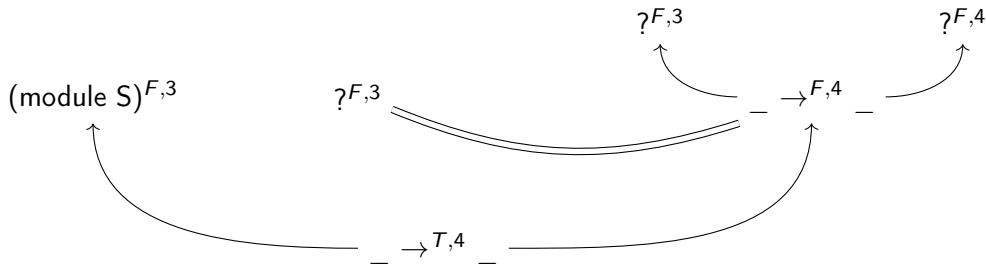
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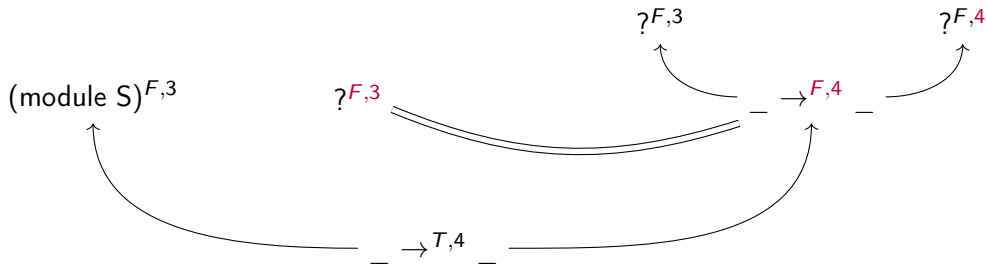
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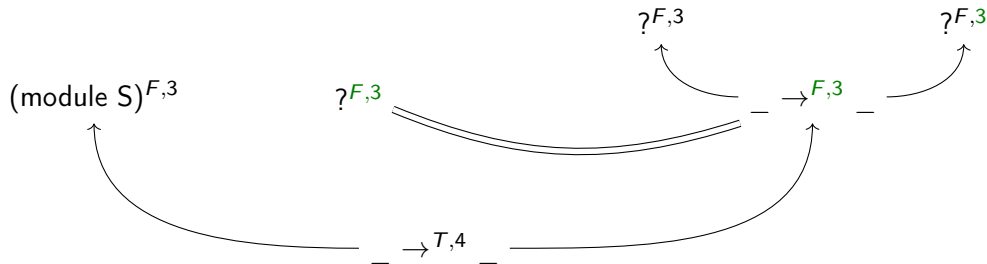
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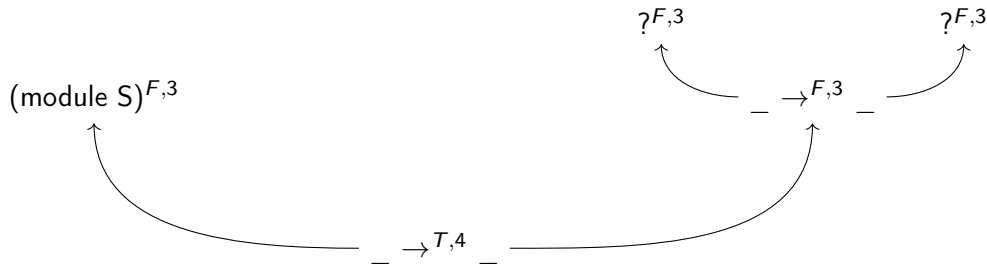
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## Questions ?

Do you have any questions ?