

## 1 Propositional Logic

### 1.1 Basics

#### 1.1.1 Basic Equivalences (Lemma 2.1)

- Idempotence:**  $A \wedge A \equiv A$  and  $A \vee A \equiv A$
- Commutativity:**  $A \wedge B \equiv B \wedge A$  and  $A \vee B \equiv B \vee A$
- Associativity:**  $(A \wedge B) \wedge C \equiv A \wedge (B \wedge C)$  and  $(A \vee B) \vee C \equiv A \vee (B \vee C)$
- Absorption:**  $A \wedge (A \vee B) \equiv A$  and  $A \vee (A \wedge B) \equiv A$
- 1st Distr. Law:**  $A \wedge (B \vee C) \equiv (A \wedge B) \vee (A \wedge C)$
- 2nd Distr. Law:**  $A \vee (B \wedge C) \equiv (A \vee B) \wedge (A \vee C)$
- Double Neg.:**  $\neg \neg A \equiv A$
- De Morgan:**  $\neg(A \wedge B) \equiv \neg A \vee \neg B$  and  $\neg(A \vee B) \equiv \neg A \wedge \neg B$

#### 1.1.2 Logical Consequence

$F \models G$  is a **statement**. This statement is true if for all truth assignments  $F \Rightarrow G$ .

#### 1.2 Tautologies and Satisfiability

**Tautology:** A formula  $F$  (denoted  $\top$  or  $\models F$ ) is a “tautology” (or valid) or valid if it’s underlying formula resolves to true for any and all interpretations.

**Satisfiable:** A formula  $F$  is “satisfiable” if it’s underlying formula can be made true for some arbitrary interpretation.

- L2.2**  $F$  is tautology iff  $\neg F$  is unsat.
- L2.3**  $F \rightarrow G$  is tautology iff  $F \models G$ .
  - $(\Rightarrow)$ : Assume  $F \rightarrow G \equiv \top$ . Then when  $F$  is true  $G$  MUST be true, hence  $F \models G$
  - $(\Leftarrow)$ : Assume  $F \models G$ . Then  $F$  is true but  $G$  is false can’t exist, hence  $F \rightarrow G \equiv \top$ .

## 2 Predicate Logic

**Definition:** A “ $k$ -ary” predicate  $P$  on a universe  $U$  is a function:  $U^k \rightarrow \{0, 1\}$ .

### 2.1 Quantifiers

- $\forall P(x)$  means  $P(x)$  is true for all  $x \in U$ .
- $\exists P(x)$  means  $P(x)$  is true for at least one  $x \in U$ .

*Example:*  $\forall x((P(x) \wedge Q(x) \rightarrow (P(x) \vee Q(x))) \equiv \top$

### 2.2 Useful Rules

- |  |  |
|--|--|
| $\dots \forall x \forall y \dots \dots \forall y, \forall x \dots$ | $\exists x(P(x) \wedge Q(x)) \models$            |
| $\dots \exists x \exists y \dots \dots \exists y, \exists x \dots$ | $\exists x P(x) \wedge \exists x Q(x)$           |
| $\forall x P(x) \wedge \forall x Q(x) \equiv$                      | $\neg \exists x P(x) \equiv \forall x \neg P(x)$ |
| $\forall x(P(x) \wedge Q(x))$                                      | $\exists y \forall x P(x, y) \models$            |
| $\neg \forall x P(x) \equiv \exists x \neg P(x)$                   | $\forall x \exists y P(x, y)$                    |

## 3 Proof Patterns

### 3.1 Proof of Implications

#### 3.1.1 Composition of Implications

**L2.5:**  $(A \Rightarrow B) \wedge (B \Rightarrow C) \models A \Rightarrow C$

#### 3.1.2 Direct Proof of an Implication

Assume  $S$  and show  $S \Rightarrow T$ .

#### 3.1.3 Indirect Proof of an Implication

Show the contrapositive implication, i.e.  $\neg B \Rightarrow \neg A \models A \Rightarrow B$ . (L2.6)

### 3.2 Proof of Statements

#### 3.2.1 Modus Ponens

Prove  $S$  by: 1. Find and prove  $R$  2. Prove  $R \Rightarrow S$

**L2.7:**  $A \wedge (A \Rightarrow B) \models B$

#### 3.2.2 Case Distinction

Prove  $S$  by: 1. Finding finite list of “cases”  $A_1, A_2, \dots, A_k$  2. Showing at least one of the  $A_i$  is true:  $A_1 \vee A_2 \vee \dots \vee A_k$  and

3. Showing  $A_i \Rightarrow S$  for  $i = 1, \dots, k$ . Note that for  $k = 1$  we are doing Modus Ponens...

**L2.8:**  $(A_1 \vee \dots \vee A_k) \wedge (A_1 \Rightarrow B) \wedge \dots \wedge (A_k \Rightarrow B) \models B$

#### 3.2.3 Proofs by Contradiction

Prove  $S$  by: 1. Find  $T$  and show  $\neg T$  2. Show that  $\neg S \Rightarrow T$  (if  $S$  were false we get a wrong/contradictory result).

**L2.9:**  $(\neg A \Rightarrow B) \wedge \neg B \models A$

### 3.3 Existence Proofs

Effectively show that there exists an assignment of parameters from a parameter space  $x \in \mathcal{X}$  such that the statement with that assignment becomes true, i.e.  $\exists x \in \mathcal{X}(S_x)$ .

**Constructive** proof provides a concrete example. **Non-Constructive** proof shows existence by proving otherwise.

#### 3.3.1 Pigeonhole Principle

If a set of  $n$  objects is partitioned into  $k < n$  sets, the at least one of those sets contains  $\lceil \frac{n}{k} \rceil$  objects.

#### 3.3.2 Proof by Contrerexample

Obvious but...  $\exists x \in \mathcal{X}(\neg S_x)$ .

#### 3.4 Proof by Induction

Meant to show  $\forall n P(n)$ . Proof by 1. Prove basis step  $P(0)$  then 2. Show  $P(n) \Rightarrow P(n+1)$ .

**Thm2.11:**  $P(0) \wedge \forall n(P(n) \rightarrow P(n+1)) \Rightarrow \forall n P(n)$ .

## 4 Set Theory

A set is a new mathematical object which is defined by a single operation: the membership predicate ( $x \in S$  or  $x \notin S$ ).

**Equality:**  $A = B \Leftrightarrow \forall x(x \in A \leftrightarrow x \in B)$ .

### 4.1 Meta Operations

- $A \subseteq B \Leftrightarrow \forall x(x \in A \rightarrow x \in B)$
- $A = B \Leftrightarrow (A \subseteq B) \wedge (B \subseteq A)$
- $A \subseteq B \wedge B \subseteq C \Rightarrow A \subseteq C$  (transitivity)
- $A \cup B = \{x \mid x \in A \vee x \in B\}$
- $A \cap B = \{x \mid x \in A \wedge x \in B\}$
- $B \setminus A = \{x \in B \mid x \notin A\}$

### 4.2 Laws (Theorem 3.4)

- Idempotence, Commutativity, Associativity, Absorption, Distribution**
- Consistency:**  $A \subseteq B \Leftrightarrow A \cap B = A \Leftrightarrow A \cup B = B$

### 4.3 Empty Set

$A = \emptyset \Leftrightarrow \forall x \neg(x \in A)$

#### • L3.5: Uniqueness of emptyset

- Let  $\emptyset$  and  $\emptyset'$  be arbitrary emptysets. Now show using definition of equality that  $\emptyset \subseteq \emptyset'$  and vice versa (both are vacuously true)...

- L3.6: Emptyset is subset of all sets**, i.e.  $\forall A(\emptyset \subseteq A)$ .
  - By contradiction:  $\neg(\emptyset \subseteq A) \Leftrightarrow \neg \forall x(x \in \emptyset \rightarrow x \in A) \Leftrightarrow \exists x \neg(x \in \emptyset) \vee x \in A \Leftrightarrow \exists x(x \in \emptyset) \wedge \exists x \neg(x \in A) \Leftrightarrow \exists x(x \in \emptyset)$

### 4.4 Meta Sets

- Powerset:**  $\mathcal{P}(A) = \{S \mid S \subseteq A\}$ 
  - $|\mathcal{P}(A)| = 2^{|A|}$
- Cartesian product:**  $A \times B = \{(a, b) \mid a \in A \wedge b \in B\}$ 
  - $|A \times B| = |A| \cdot |B|$

## 5 Relations

A (binary) relation is a subset of  $A \times B$ :  $\rho \subseteq A \times B$ .  $\rho$  is called a relation “on  $A$ ” is  $A = B$ . We often write  $apb$  instead of  $(a, b) \in \rho$ .

**Identity Relation:**  $\text{id}_A = \{(a, a) \mid a \in A\}$ .

**Possible relations:** There are  $2^{n^2}$  relations on a set, since  $n^2 = |A^2|$  and each of these pairs can be in/excluded.

**Inverse Relation:**  $\hat{\rho} = \{(b, a) \mid (a, b) \in \rho\}$ . Alternatively:  $b\hat{\rho}a \Leftrightarrow a\rho b$

**Composition of relations:**  $\rho \circ \sigma = \{(a, c) \mid \exists b(apb \wedge b\sigma c)\}$

### 5.1 Types of Relations

- Reflexive (D3.13):**  $\forall a \in A(ap a)$ , i.e.  $\text{id} \subseteq \rho$ . *Examples:*  $\leq, \geq, (\mid \text{ on } \mathbb{Z} \setminus \{0\})$ , *Non Examples:*  $<, >$ 
  - In a graph, we have self loops for all nodes.
- Irreflexive (D3.14):**  $\rho \cap \text{id} = \emptyset$
- Symmetric (D3.15):**  $apb \Leftrightarrow bpa$  or  $\rho = \hat{\rho}$ 
  - Antisymmetric (D3.16):**  $apb \wedge bpa \Rightarrow a = b$  or  $\rho \cap \hat{\rho} \subseteq \text{id}$ . *Examples:*  $\leq, \geq, (\mid \text{ on } \mathbb{N} \text{ but not on } \mathbb{Z})$ 
    - In a graph: no cycle of length 2.
- L3.9:**  $\rho$  is transitive iff  $\rho^2 \subseteq \rho$ .
  - “if ( $\Leftarrow$ ):” Assume  $\rho^2 \subseteq \rho$  i.e.  $a\rho^2 b \Rightarrow apb$ . If  $apb \wedge b\rho c \Rightarrow a\rho^2 c$  but by assumption  $\Rightarrow a\rho c$  which exactly is transitivity.
  - “only if ( $\Rightarrow$ ):” Assume  $\rho$  is transitive. Then  $a\rho^2 b \Rightarrow \exists c(apc \wedge c\rho b)$ . By transitivity:  $apb$ . Hence  $\rho^2 \subseteq \rho$ .
- Transitive Closure (D3.18):**  $\rho^* = \bigcup_{n \in \mathbb{N} \setminus \{0\}} \rho^n$ . i.e. reachability with arbitrary finite steps.
  - $\rho^n \subseteq \rho$ . Proof by induction:
    - Base Case:  $\rho^1 \subseteq \rho$
    - Induction Step:  $(a\rho^{k+1} c \Rightarrow a\rho^k b \wedge b\rho c \Rightarrow apb \wedge b\rho c \text{ (By I.H.)} \Rightarrow a\rho c) \Rightarrow \rho^{k+1} \subseteq \rho$

#### 5.1.1 Equivalence Relation

- Equivalence Relationship (D3.19):** Relation that’s 1) reflexive 2) symmetric and 3) transitive.
- Equivalence Class (D3.20):** Let  $\theta$  be an equivalence relation on  $A$ . The equivalence class of  $a$  is defined as:  $[a]_\theta = \{b \in A \mid a\theta b\}$ . *Trivial Examples:*  $[a]_\theta = A$  if  $\theta = A \times A$ ,  $[a]_\theta = \{a\}$  if  $\theta = \text{id}$ .
- L3.10:**  $\theta = \theta_1 \cap \theta_2$  and  $\theta$  is an equivalence relation. Trivial, since each pair in theta inherits reflexivity, symmetry and transitivity from  $\theta_{1 \vee 2}$ .
- Partition (D3.21):** Partition on a set  $A$ :  $\{S_i \mid i \in \mathcal{I}\}((S_i \cap S_j = \emptyset \text{ for } i \neq j) \wedge \bigcup_{i \in \mathcal{I}} S_i = A)$
- Quotient Set (D3.22):** Set of equivalence classes denoted by:  $A/\theta = \{[a]_\theta \mid a \in A\}$ . Also called  $A \bmod \theta$ .
- Thm3.11:**  $A/\theta$  is a partition of  $A$ .

### 5.1.2 Posets

- Partial Order Relation (D3.23):** Relation that’s 1) reflexive 2) antisymmetric and 3) transitive. Denoted by  $\preceq$  i.e.  $(A, \preceq)$  *Examples:*  $\leq, \geq$ . *Non Examples:*  $<, >$  (since not reflexive)
  - $a < b \Leftrightarrow a \leq b \wedge a \neq b$
- D3.24:**  $a, b$  are “comparable” if  $a \leq b \vee b \leq a$ , else “incomparable”.
- Totally ordered (D3.25):** If any two elements are comparable then  $A$  is totally ordered.

#### 5.1.2.1 Hasse Diagrams

- Cover (D3.26):**  $a$  covers  $b$  if  $a < b$  and  $\neg(\exists c(a < c \wedge c < b))$ .
- Hasse Diagram (D3.27):** A digraph of a finite poset where  $a \rightarrow b$  iff  $b$  covers  $a$

#### 5.1.2.2 Lexicographic Order

Let  $(A; \preceq), (B; \sqsubseteq)$ . Now we define  $(a_1, b_1) \leq (a_2, b_2) \Leftrightarrow a_1 \preceq a_2 \wedge b_1 \sqsubseteq b_2$ .

- Thm3.12:**  $(A; \preceq) \times (B; \sqsubseteq)$  is a poset.
- Lexicographic Order (Thm 3.13):**  $(a_1, b_1) \leq_{\text{lex}} (a_2, b_2) \Leftrightarrow a_1 < a_2 \vee (a_1 = a_2 \wedge b_1 \sqsubseteq b_2)$  is also a poset.

### 5.1.2.3 Special Elements

Let  $(A; \preceq)$  be a poset and  $S \subseteq A$ , let  $a \in A$  then: (D3.29)

- $a$  is minimal[maximal] of  $A$  if  $\neg(\exists b \in A(b < a[b > a]))$   
*tldr: no element of  $A$  is strictly smaller/larger than  $a$ . Comparability with all elements is not required. There can be many minimal/maximal elements.*
- $a$  is least [greatest] element of  $A$  if  $\forall b \in A(a \leq b[a \geq b])$   
*tldr: comparable to all elements of  $A$  and smallest/largest. The element is unique if it exists.*
- $a$  is lower [upper] element of  $S$  if  $\forall b \in S(a \leq b[a \geq b])$   
*tldr: comparable to all elements of  $S$  and below/above them. There can be many or no lower/upper elements.*
- $a$  is greatest lower bound [least upper bound] of  $S$  if  $a$  is the greatest [least] element of the set of all lower [upper] bounds of  $S$ . *tldr: the largest/smallest element that bounds  $S$  from below/above.*

**Well Ordered (D3.30):** A poset is well-ordered if it is totally ordered and every non-empty subset of  $A$  has a least element.

### 5.1.2.4 Meet, Join, Lattices

- Meet:** If the set  $\{a, b\}$  has a glb, it’s called the meet. Denoted by  $a \wedge b$ .
- Join:** If the set  $\{a, b\}$  has lub, it’s called the join. Denoted by  $a \vee b$ .
- Lattice:** A poset where each pair of elements has a meet and lattice is called lattice.

## 6 Functions

A function  $f: A \rightarrow B$  from domain to codomain is a relation with properties:

- Totally defined:  $\forall a \in A \exists b \in B(b = f(a))$ , i.e. each element maps to atleast one element.
  - Well defined:  $\forall a \in A \forall b, b' \in B(b = f(a) \wedge b' = f(a) \Rightarrow b = b')$ , i.e. each element maps to maximally one element
- If only the 2nd condition holds, we call the function a partial function.

There are  $|B|^{|A|}$  possible functions  $A \rightarrow B$ .

### 6.1 Image/Preimage

- Image/Range:** Let  $f: A \rightarrow B, S \subseteq A$  then  $f(S) = \{f(a) \mid a \in S\}$ .  $Y = f(A), Y \subseteq B, Y = \text{Im}(f)$ .
- Preimage:**  $T \subseteq B, f^{-1}(T) = \{a \in A \mid f(a) \in T\}$

### 6.2 Function Types

- Injective (1to1):**  $a \neq b \Rightarrow f(a) \neq f(b)$ , i.e. unique mapping.
- Surjective (onto):**  $\text{Im}(f) = B$ , i.e. each element in  $B$  can be reached.
- Bijective:** If both injective and surjective, i.e. an invertible function defined for all elements of  $B$ .

## 7 Un/Countability

- $A \sim B$  if there exists a bijection  $A \rightarrow B$
- $A \preceq B$  if 1)  $A \sim C \wedge C \subseteq B$  or 2) there exists an injection  $A \rightarrow B$
- If  $A \preceq \mathbb{N}$  then  $A$  is countable. Otherwise uncountable.

**L3.15:**

- $\sim$  is an equivalence relation
- $\preceq$  is transitive
- $A \subseteq B \Rightarrow A \preceq B$

**Thm 3.17:**  $A$  is countable iff  $A$  is finite or  $A \sim \mathbb{N}$ .

### 7.1 Countable Sets

- Finite bit sequences:**  $\{0, 1\}^* \mapsto \text{decimal}('1' + \text{seq})$
- Pairs of  $\mathbb{N}$ :** 1)  $f: \mathbb{N} \rightarrow \mathbb{N}^2, f(n) = (k, m), k + m = t - 1 \wedge m = n - \binom{t}{2}$  or 2)  $(a, b) \mapsto 0^{|a|} \parallel 1 \parallel a \parallel b$
- Rational numbers:**  $\mathbb{Q} \subseteq \mathbb{Z} \times \mathbb{N} \wedge \mathbb{Z} \sim \mathbb{N} \Rightarrow \mathbb{Q} \sim \mathbb{N}$ .

**Thm 3.22:**

- $A$  countable  $\Rightarrow A^n$  countable.
- $\bigcup_{i \in \mathbb{N}} A_i$  is countable if  $A_i$  is countable.

3.  $A^*$  is countable if  $A$  is countable.

## 7.2 Uncountable Sets

- Infinite bit sequences or set of functions**  $\mathbb{N} \rightarrow \{0, 1\}$ : By cantor's diagonalization...

## 7.3 How to Approach

**Intuition:** Understand what the set represents. Determine whether it's countable/uncountable. Let  $A$  be the set which is uncountable.

### Proof (Uncountable):

- Find an injection:  $f: \{0, 1\}^\infty \rightarrow A$  (we'll prove injectivity later)
- Show  $f$  is a function, i.e. 1) each element gets mapped to at least one element 2) each element gets mapped to maximally one element. 3) Do you actually map to  $A$  and not somewhere else?
- Proving injectivity: 1)  $a, b \in \{0, 1\}^\infty, a \neq b \Rightarrow \dots \Rightarrow f(a) \neq f(b)$  or 2)  $f(a) = f(b) \Rightarrow \dots \Rightarrow a = b$
- We have  $\{0, 1\}^\infty \preceq A$  but we need to add "for formality" that  $A \not\preceq \mathbb{N}$ . We can argue this via transitivity since  $\{0, 1\}^\infty \not\preceq \mathbb{N}$ .

### Tricks:

- Complement Trick:** To show  $A$  is uncountable find  $B$  also uncountable such that  $A \subseteq B$ . Now show that  $B \setminus A$  is countable. Sound since by contradiction if  $A$  were countable,  $A \cup (B \setminus A) = B$  LHS would be countable but RHS isn't.
- Prime Factorization:** e.g.  $f: \mathbb{N}^2 \rightarrow \mathbb{N}, f: (a, b) \mapsto 2^a 3^b$ .  $f$  is injective since each number can be uniquely factored into primes by the FTA...

## 8 Number Theory

- $a \mid b$  if  $\exists c(ac = b)$ . Every non-zero int divides 0. 1, -1 divide all integers.
- Thm 4.1 (Euclid):**  $\forall a \in \mathbb{Z} \wedge d \neq 0 \exists q \exists r(a = dq + r \wedge 0 \leq r < |d|)$

## 8.1 GCD, LCM

**GCD (D4.2):**  $\gcd(a, b) = d$  if  $d$  divides both  $a \wedge b$  and is the greatest in terms of the divisibility relation.

**Relative Prime (D4.3):** Two numbers are rel. prime if  $\gcd(a, b) = 1$ .

- L4.2:**  $\gcd(a, b - xa) = \gcd(a, b)$ . Proof by expanding into definition of  $\mid$  and showing  $d_{\text{LHS}} = d_{\text{RHS}}$ .
- $\gcd(a, b) = \gcd(m, R_m(n))$
- Ideal (D4.4):**  $(a, b) = \{ua + vb \mid u, a \in \mathbb{Z}\}$

- L4.3:**  $\exists d: (a, b) = (d)$ .
  - Show  $(d) \subseteq (a, b)$ : Trivially holds since  $d$  is smallest in  $(a, b)$  then  $(d) \subseteq (a, b)$
  - Show  $(a, b) \subseteq (d)$ : Let  $c \in (a, b) \Rightarrow c = qd + r \Rightarrow r = c - qd$  but  $0 \leq r < d$  and  $d$  is smallest in  $(a, b) \Rightarrow r = 0 \Rightarrow c = qd \Rightarrow c \in (d)$ .
- L4.4:**  $(a, b) = (d) \Rightarrow d = \gcd(a, b)$ 
  - $d \in (a, b) \Leftrightarrow d = ua + vb$ . Any common divisor  $c$  of  $a$  and  $b$  must  $\mid d$ . Since  $a, b \in (d)$  and transitivity of  $\mid \Rightarrow c \mid d, d$  is the gcd.

**LCM (D4.5):**  $\text{lcm}(a, b) = l$  if both  $a \wedge b$  divide  $l$  and it is the least in terms of the divisibility relation.

## 8.2 Fundamental Theorem of Arithmetic (FTA)

**Prime (D4.6):** A positive integer  $p > 1$  is prime if it's only positive divisors are  $1 \wedge p$ .  $\neg$  prime = composite.

**FTA (Thm 4.6):** TLDR: Every number can be uniquely factored into a product of primes.

Alternate GCD and LCM definition:

- Let  $a = \prod_i p_i^{e_i}$  and  $b = \prod_i p_i^{f_i}$
- $\gcd(a, b) = \prod_i p_i^{\min(e_i, f_i)}$  and  $\text{lcm}(a, b) = \prod_i p_i^{\max(e_i, f_i)}$
- $\Rightarrow \gcd(a, b) \cdot \text{lcm}(a, b) = ab$

## 8.3 Modular Arithmetic

$$a \equiv_m b \Leftrightarrow m \mid (a - b)$$

### L4.14: Compatibility with Arithmetic Operations

If  $a \equiv_m b \wedge c \equiv_m d$ , then:

- $a + c \equiv_m b + d$ 
  - $m \mid (a - b) \wedge m \mid (c - d) \Rightarrow m \mid ((a - b) + (c - d)) \Rightarrow m \mid ((a + c) - (b + d)) \Rightarrow a + c \equiv_m b + d$
- $ac \equiv_m bd$ 
  - $ac = (b + km)(d + lm) = bd + b(lm) + k(dm) + klm^2 = bd + m(bl + kd + klm) \Rightarrow m \mid (ac - bd) \Rightarrow ac \equiv_m bd$
- C4.15:**  $a_i \equiv_m b_i \Rightarrow f(a_1, \dots, a_k) \equiv_m f(b_1, \dots, b_k)$  if  $f$  is a multivariate polynomial with integer coefficients.

- L4.16**
  - $a \equiv_m R_m(a)$
  - $a \equiv_m b \Leftrightarrow R_m(a) = R_m(b)$
  - C4.17:  $R_m(f(a_1, \dots, a_k)) = R_m(f(R_m(a_1), \dots, R_m(a_k)))$
- L4.18: Multiplicative Inverse**
  - $ax \equiv_m 1$  has a solution iff  $\gcd(a, m) = 1$ . The solution is unique.
- Calculating Inverse using Extended GCD:**
  - Find  $x, y$  such that  $ax + my = \gcd(a, m)$ . If  $\gcd(a, m) = 1$ , then  $ax \equiv_m 1$ , so  $x$  is the inverse.
  - Example: Inverse of 5 modulo 11:
    - $5x + 11y = 1 \Leftrightarrow R_{11}(5x + 11y) = R_{11}(1) \Leftrightarrow R_{11}(5x) = 1$
    - Using Extended GCD:  $x = -2 \equiv_{11} 9, y = 1$ .
    - $-2 + 11 = 9$ . Therefore,  $5^{-1} \equiv_{11} 9$ .

### L4.18: Multiplicative Inverse

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    - $-2 + 11 = 9$ . Therefore,  $5^{-1} \equiv_{11} 9$ .

### Fermats little theorem and Eulers Theorem:

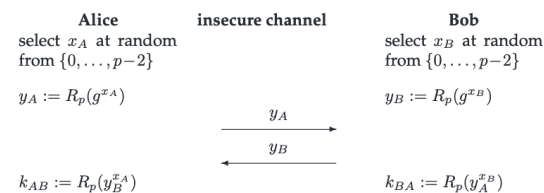
$$\gcd(m, a) = 1 \Rightarrow R_m(a^b) = R_m(a^{R_{\varphi(m)}(b)})$$

### Thm 4.19: CRT

- Given:  $x \equiv_{m_1} a_1, \dots, x \equiv_{m_r} a_r$ .
- For relatively prime  $m_1, \dots, m_r$ , let  $M = m_1 \cdot \dots \cdot m_r$ .
- Let  $M_i = \frac{M}{m_i} \Rightarrow M_i N_i \equiv_{m_i} 1$ . Find  $N_i$ , the multiplicative inverse using extended Euclidian algorithm.
- Solution:  $x = R_M(\sum_{i=1}^r a_i M_i N_i)$

## 8.4 Diffie Hellman

DH is a key-exchange protocol leveraging the discrete logarithm problem for constructing one-way functions.



$$k_{AB} \equiv_p y_B^{x_A} \equiv_p (g^{x_B})^{x_A} \equiv_p g^{x_A x_B} \equiv_p k_{BA}$$

Note that this protocol requires the group  $\mathbb{Z}_p^*$ .

## 9 Algebra

- Operation** on set  $S$  is a function  $S^n \rightarrow S$

**An algebra** is a pair  $\langle S; \Omega \rangle$  where  $S$  is the set and  $\Omega$  is the list of operations of  $S$ .

## 9.1 Overview of Algebraic Structures

### 9.1.1 Properties

- Addition:** A1: Closure, A2: Associative, A3: Identity, A4: Inverse, A5: Commutative
- Multiplication:** M1: Closure, M2: Associative, M3: Distributive, M4: Commutative, M5: Identity, M6: No Zero Divisors, M7: Inverse

### 9.1.2 Structures

- Monoid:** A: 1, 2, 3
- Group:** A: 1, 2, 3, 4
- Abelian Group (Commutative Group):** A: 1, 2, 3, 4, 5
- Ring:** A: 1, 2, 3, 4, 5, M: 1, 2, 3
- Commutative Ring:** A: 1, 2, 3, 4, 5, M: 1, 2, 3, 4
- Integral Domain:** A: 1, 2, 3, 4, 5, M: 1, 2, 3, 4, 5, 6
- Field:** A: 1, 2, 3, 4, 5, M: 1, 2, 3, 4, 5, 6, 7

## 9.2 Monoids and Groups

- A **monoid** has 1) closure 2) associativity and 3) an identity.
- A **group** is a monoid with an 4) inverse.

### 9.2.1 Neutral Elements

**D5.3:** A left [right] neutral/identity element ( $e \in S$ ):  $e * a = a[a * e = a]$ . If  $e * a = a * e = a$  then  $e$  is the neutral element.

- L5.1:** If LN and RN then LN = RN. Since  $e * e' = e' \wedge e * e' = e \Rightarrow e = e'$

### 9.2.2 Associativity

**D5.4:** Associative means  $a * (b * c) = (a * b) * c$ .

### 9.2.3 Inverse Elements

**D5.6:** A left [right] inverse of  $a$  called  $b$  is such that  $b * a = e[a * e = e]$ . If  $a * b = b * a = e$  we simply call it inverse.

- L5.2:** If LI and RI then LI = RI. Proof: Let  $b$  be LI and  $c$  be RI. Then  $b = b * e = b * (a * c) = (b * a) * c = e * c = c$ .

- Uniqueness of Inverse:**  $a * b = a * b' = e \Rightarrow b * a * b = b * a * b' = b * e \Rightarrow b = b' = b$

### 9.2.4 Group Axioms

Group:  $\langle G; *, \wedge, e \rangle$ .

- L5.3:** For any group we have:

- $(\hat{a}) = a$
- $a * b = \hat{b} * \hat{a}$
- Left cancellation:  $a * b = a * c \Rightarrow b = c$ , Right cancellation:  $b * a = c * a \Rightarrow b = c$
- $a * x = b \wedge x * a = b$  have both a unique solution for any  $x, a, b$ .

### Minimal axioms:

- G1:** associative, **G2':** RN, **G3':** RI
- First prove G3 before proving G2!!!
- G3:**  $\hat{a} * a = (\hat{a} * a) * e = (\hat{a} * a) * (\hat{a} * \hat{a}) = \hat{a} * (a * (\hat{a} * \hat{a})) = \hat{a} * ((a * \hat{a}) * \hat{a}) = \hat{a} * (e * \hat{a}) = (\hat{a} * e) * \hat{a} = \hat{a} * \hat{a} = e$
- G2:**  $a * e = a * (\hat{a} * a) = (a * \hat{a}) * a = e * a$

### 9.2.5 Group Structures

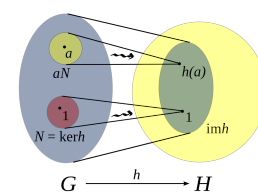
- Direct Product (D5.9):**  $\langle G_1 \times \dots \times G_n; * \rangle$ .  $*$  is component wise.

### 9.2.6 Homomorphisms

**D5.10:** Let  $G, H$  be two groups. Let  $\varphi: G \rightarrow H$ . If we can have  $\varphi(a *_{\hat{G}} b) = \varphi(a) *_{\hat{H}} \varphi(b)$  we have a group homomorphism. If  $\varphi$  is a bijection then we have an isomorphism.

- L5.5:** 1)  $\varphi(e_G) = e_H$  and 2)  $\varphi(\hat{a}) = \widehat{\varphi(a)}$

Note that  $\varphi$  need not be an injection, if the kernel of  $\varphi (= \{a \in G \mid \varphi(a) = 1\})$  is non-zero, since then  $\varphi$  can't be injective.



### 9.2.6.1 How to prove isomorphism

- Define mapping function which you suspect is an isomorphism  $\varphi$ .
- Check if map is well defined. i.e. maps to max one element
- Check if map is totally defined. i.e. maps to at least one element
- Verify  $\varphi(g) \in H \forall g \in G$ . i.e. image of  $\varphi$  is  $\subseteq H$ .
- Check homomorphism:  $\varphi(g_1 *_{\hat{G}} g_2) = \varphi(g_1) *_{\hat{H}} \varphi(g_2)$
- Check injectivity:  $\varphi(g_1) = \varphi(g_2) \Rightarrow g_1 = g_2$  or it's contrapositive.
- Check surjectivity: Show that  $\forall h \in H \exists g \in G(\varphi(g) = h)$
- Conclude isomorphism

### 9.2.7 Subgroups

If  $H \subseteq G$  and  $H$  itself satisfies all group properties then  $H$  is a subgroup of  $G$ . For any group  $\{e\}$  and  $G$  are trivial subgroups.

### 9.2.7.1 Order

The order of a **group** is the number of elements. The order of an **element**  $\text{ord}(a) = m \wedge m \geq 1 \Leftrightarrow a^m = e$ . If  $\neg(\exists \text{ord}(a)) \Rightarrow \text{ord}(a) = \infty$ . Naturally  $\text{ord}(e) = 1, \text{ord}(a) = 2 \Rightarrow a^2 = e \Rightarrow a = a^{-1}$

**L5.6:** Each element of a finite group must have finite order.

- Since  $G$  is finite we must at some point have  $a^r = a^s = b \wedge r < s$  by pigeon hole  $\Rightarrow a^{s-r} = a^s * a^{-r} = b * b^{-1} = e \Rightarrow \exists x(x = s - r \wedge a^x = e)$ .

It follows that  $a^m = a^{R_{\text{ord}(a)}(m)}$

### 9.2.8 Cyclic Groups

**D5.14:**  $\langle a \rangle = \{a^n \mid n \in \mathbb{Z}\}$ .  $\langle a \rangle$  is the smallest subgroup of  $G$  which contains  $a$ . Notice how  $\langle a \rangle = \{e, a, a^2, \dots, a^{\text{ord}(a)-1}\}$ .

**D5.15:** If a group can be generated by an element, it's called cyclic. If  $g$  is a generator, so is  $g^{-1}$ .

- $\langle \mathbb{Z}_n; + \rangle$  is cycle for every  $n$  where 1 is a generator. The generators of the group are all  $g \in \mathbb{Z}_n$  where  $\gcd(g, n) = 1$ .

**Thm 5.7:** A cyclic group of order  $n$  is always isomorphic to  $\langle \mathbb{Z}_n; + \rangle$  and hence commutative too.

### 9.2.9 Order of Subgroups

**Thm 5.8, Lagrange Thm (!!!):**  $H \subseteq G \Rightarrow |H|$  divides  $|G|$ .

- C5.9:** For every finite group, the order of its element divides the group order. i.e.  $\text{ord}(a)$  divides  $|G| \forall a \in G$ .
- C5.10:**  $a^{|G|} = e \forall a \in G$  (for finite groups). Proof:  $a^{|G|} = a^{k \cdot \text{ord}(a)} = (a^{\text{ord}(a)})^k = e^k = e$ .
- C5.11:** Every group of prime order is cycle and every element except  $e$  is a generator. Proof: Every subgroup divides  $p \Rightarrow \text{ord}(g) = 1 \vee p$ .  $\text{ord}(g) = 1 \Rightarrow g = e$  otherwise any other element works.

### 9.2.10 Euler's Function and $\mathbb{Z}_m^*$

**D5.16:**  $\mathbb{Z}_m^* = \{a \in \mathbb{Z}_m \mid \gcd(a, m) = 1\}$ , i.e. a set of all coprime to  $m$  numbers in  $\mathbb{Z}_m$ .

**D5.17:** The Euler function is defined as  $\varphi(m) = |\mathbb{Z}_m^*|$ . Can be calculated by:  $m = p_1^{e_1} \cdot \dots \cdot p_k^{e_k} \Rightarrow \varphi(m) = (p_1^{e_1} - p_1)(p_2^{e_2} - p_2) \dots (p_k^{e_k} - p_k^{e_k-1})$ . E.g.  $\varphi(60) = (2^2 - 2^1)(3 - 1)(5 - 1) = 16$ .



**Thm 5.13:**  $(\mathbb{Z}_m^*, \odot, ^{-1}, 1)$  is a group.

**C5.14 (Fermat, Euler):** 1)  $\forall m \geq 2 \wedge \gcd(a, m) = 1$  we have  $a^{\varphi(m)} \equiv_m 1$ . 2) For every prime  $p$  we have  $a^{p-1} \equiv_p 1 \Leftrightarrow a^p \equiv_p a$ .

**Thm 5.15:** The group  $\mathbb{Z}_m^*$  is cyclic iff  $m = 2, m = 4, m = p^e, m = 2p^e$ , where  $p \neq 2$  and is prime  $\wedge e \geq 1$ .

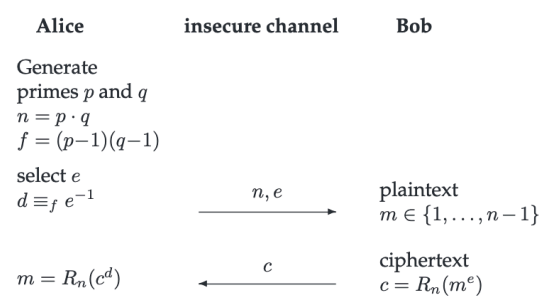
### 9.3 RSA

For RSA we need to know the following theorem following from Lagrange's theorem:

**Thm 5.16:**

- Let  $G$  be a finite group.
- Let  $e \in \mathbb{Z}$  be relatively prime to  $|G|$ .
- The function  $x \mapsto x^e$  is a bijection.
- The unique  $e$ -th root of  $y$  such that  $x^e = y \Leftrightarrow x = y^d$  where  $d$  is the multiplicative inverse of  $e$  modulo  $|G|$ , i.e.  $ed \equiv_{|G|} 1$ .
- Proof: 1)  $ed = k \cdot |G| + 1$  2)  $(x^e)^d = x^{ed} = x^{k \cdot |G| + 1} = (x^{|G|})^k \cdot x = x$ .
- This means that  $y \mapsto y^d$  is the inverse function of  $x \mapsto x^e$ .

**Protocol:**



The idea is as follows:

- Let  $n = p \cdot q$ .
- Let  $f = |\mathbb{Z}_n^*| = (p-1)(q-1)$
- Choose some  $e$  and calculate  $d \equiv_f e^{-1}$  using Ext. Eucl. algorithm.
- Make  $n, e$  public.
- The message  $m$  can be encrypted by  $m \mapsto c = R_n(m^e)$ .
- The decryption can be done by  $c \mapsto m = R_n(c^d)$ .

### 9.4 Rings and Fields

- A **ring** is an additive abelian group with 1) multiplicative closure 2) multiplicative associativity 3) distributivity
  - A **commutative ring** is a ring with 4) commutativity
  - An **integral domain** is a commutative ring with 5) a multiplicative identity and 6) no zero divisors
  - A **field** is an integral domain with 7) multiplicative inverses
- L5.17: For any ring  $\langle R; +, -, \cdot, 0, \cdot, 1 \rangle$**

- $0a = a0 = 0$ . Proof:  $0 = -(a0) + a0 = -(a0) + a(0 + 0) = -(a0) + a0 + a0 = 0 + a0 = a0$ .  $0a$  gets proven in a similar manner.
- $(-a)b = -(ab)$ . Proof:  $(-a)b + ab = (-a + a)b = 0b = 0 \Rightarrow (-a)b = -(ab)$
- $(-a)(-b) = ab$ . Proof:  $(-a)(-b) = -a(-b) = -(-(ab)) = ab$
- If  $|R| > 1 \Rightarrow 1 \neq 0$ . Proof by contradiction: Let  $a, b$  be distinct elements. Then  $a = a * 1 = a * 0 = 0 \wedge b = \dots = 0 \Rightarrow a = b$  which contradicts our precondition.

**Characteristic of a Ring (D5.19):** Order of 1 in the additive group if finite, 0 otherwise. Hence the characteristic in the ring  $\mathbb{Z}_m$  is 1 and in  $\mathbb{Z}$  0.

#### 9.4.1 Units and Multiplicative Group

**D5.20:** An element of a ring  $u \in R$  is called **unit if it's invertible**, i.e.  $\exists v \in R (uv = vu = 1), v = u^{-1}$ . The set of units is  $R^*$ .

- Examples:  $\mathbb{Z}^* = \{1, -1\}, \mathbb{R}^* = \mathbb{R} \setminus \{0\}$ , Gaussian Integers $^* = \{1, -1, i, -i\}$

**L5.18:** For a ring  $R, R^*$  is a multiplicative group.

- Proof: We need to show that  $\forall u, v \exists y (y = (uv)^{-1}) \Rightarrow y = v^{-1}u^{-1}$ .  $1 \in R^*$  since  $1 = 1^{-1}$ . Associativity is inherited from  $R$ .

#### 9.4.2 Divisors

**D5.21:**  $\exists c \in R (b = ac) \Rightarrow a \mid b$ . Where  $R$  is a commutative ring.

**L5.19:** 1)  $\mid$  is transitive. 2)  $a \mid b \Rightarrow a \mid (bc)$  3)  $a \mid b \wedge a \mid c \Rightarrow a \mid (b + c)$

**D5.22:** The GCD definition is identical as in number theory, just using the divides definition from above (L5.19).

#### 9.4.3 Zero Divisors and Integral Domains

**Zero Divisor (D5.23):**  $a \neq 0 \wedge \exists b (b \neq 0 \wedge ab = 0) \Rightarrow a \mid 0$ .  $a$  is called a zerodivisor of that commutative ring.

**Integral Domain (D5.24):** An integral domain  $D$  is a non-trivial ( $|D| > 1$ ) commutative ring without zerodivisors. *Examples:*  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$ , *Non Examples:*  $\mathbb{Z}_m$  if  $m$  isn't prime, any element not relatively prime to  $m$  is a zerodivisor.

**L5.20:** In an ID  $a \mid b \Rightarrow \exists c (b = ac)$  then that  $c = \frac{b}{a}$  is unique. Proof:  $0 = ac - ac' = a(c + -c') \Rightarrow c + -c' = 0 \Rightarrow c = c'$ .

#### 9.4.4 Polynomial Rings

**Thm 5.21:** For any commutative ring  $R, R[x]$  is a commutative ring too.

**L5.22:** Let  $D$  be an ID, then:

- $D[x]$  is an ID. Proof: If there were zerodivisors then for  $p(x)q(x) = 0$  the polynomial coefficients would need to be zerodivisors, cause otherwise we'd never get  $= 0$ .
- $\deg(ab) = \deg(a) + \deg(b)$ . Proof: Similar to (1), since we don't have zerodivisors the highest degree can't simply disappear and hence must be present.
- Units of  $D[x]$  are constants that are units of  $D$ . i.e.  $D[x]^* = D^*$ . Proof: We need to get a polynomial where only the constant coefficient is  $= 1$ , the others must  $= 0$ . Now since we don't have zerodivisors we can only have units by inheriting them from  $D$ .

#### 9.4.5 Fields

**D5.26:** A **field** is a non-trivial commutative ring  $F$  where every non-zero element is a unit, i.e. is invertible. *Examples:*  $\mathbb{Q}, \mathbb{R}, \mathbb{C}$ . *Non Examples:*  $\mathbb{Z}, R[x]$  (for any arbitrary rings).

**Thm 5.23:**  $\mathbb{Z}_p$  is a field iff  $p$  is prime.

**Thm 5.24:** A field is an integral domain. Proof: It suffices to show that a unit is not a zerodivisor. Assume  $uv = 0 \Rightarrow v = 0$  since  $v = 1v = u^{-1}uv = u^{-1}0 = 0$ . Hence  $u$  is not a zerodivisor.

#### 9.4.6 Polynomials over a Field

**D5.27:** A polynomial is called a **monic** if the leading coefficient is 1.

**D5.28:** A polynomial with degree  $\geq 1$  is **irreducible** if it is only divisible by constants or constant multiples of itself. Similar to primality.

**D5.29:** The monic polynomial of largest degree such that  $g(x) \mid a(x) \wedge g(x) \mid b(x) \Rightarrow g(x) = \gcd(a(x), b(x))$ .

#### 9.4.7 Division in Fields

**Thm 5.25:**  $a(x) = b(x) \cdot q(x) + r(x)$ .

#### 9.4.8 Roots

**D5.33:** Let  $a(x) \in R[x]$ . An element  $\alpha \in R$  s.t.  $a(\alpha) = 0$  is called a root of  $a(x)$ .

**L5.29:** For  $\alpha \in F (a(\alpha) = 0 \Leftrightarrow (x - \alpha) \mid a(x))$

- $\Rightarrow$ : Assume  $a(\alpha) = 0$ . Then  $a(x) = (x - \alpha)q(x) + r(x)$  where  $\deg(r(x)) < \deg(x - \alpha) = 1 \Rightarrow r(x)$  is a constant  $\Rightarrow r = a(x) - (x - \alpha)q(x)$ . Now if  $x = \alpha \Rightarrow r = 0 - 0 \cdot q(\alpha) = 0$ . Since  $r = 0$  we know that  $a(x) = (x - \alpha)q(x) \Rightarrow (x - \alpha) \mid a(x)$
- $\Leftarrow$ : Assume  $(x - \alpha) \mid a(x) \Rightarrow a(\alpha) = (\alpha - \alpha)q(\alpha) = 0 \Rightarrow \alpha$  is a root
- Note that this implies that an irreducible polynomial of degree  $\geq 2$  has no roots.

**C5.30:** A polynomial of degree 2 or 3 over a field is irreducible iff it has no root. Proof: A reducible polynomial has a factor of degree 1 and hence a root.

**Thm 5.31:** A non-zero polynomial  $a(x) \in F[x]$  of degree  $d$  has at most  $d$  roots.

- Proof: To show contradiction assume  $a(x)$  has degree  $d$  but  $e > d$  roots, then  $\prod_{i=1}^e (x - \alpha_i)$  by Lemma 5.29, but then becomes a polynomial of degree  $e$  instead.

#### 9.4.9 Polynomial Interpolation

**L5.32:** A polynomial  $a(x) \in F[x]$  of degree  $d$  can be uniquely determined by any  $d + 1$  values (!!!) of  $a(x_i)$  s.t.  $x_i$  are distinct.

- Proof by construction: Assume  $\beta_i = a(\alpha_i)$  for  $i \in [1, d + 1]$
- $a(x) = \sum_{i=1}^{d+1} \beta_i u_i(x)$  where  $u_i(x) = \frac{x - \alpha_1}{\alpha_i - \alpha_1} \cdot \dots \cdot \frac{x - \alpha_{d+1}}{\alpha_i - \alpha_{d+1}}$
- $u_i(x)$  is well defined since  $\alpha_i - \alpha_j \neq 0$  iff  $i \neq j$  and hence is invertible. We also naturally agree with the given values.  $a(x)$  has degree of at most  $d$ .
- Uniqueness: Assume  $a \neq a' \wedge \in O(x^n)$  are interpolated by the same  $d + 1$  points. To show contradiction let  $b = a' - a \neq 0$ .  $b$  must be  $\in O(x^n)$  by Thm 5.31, however all  $d + 1$  points are valid roots of  $b$  (contradiction), hence  $b = 0 \Rightarrow a = a'$ .

### 9.5 Finite Fields

$\text{GF}(p) \equiv \mathbb{Z}_p$  is a basic finite field. Recall  $F[x]$  (coefficients are field elements) is analogous to  $\mathbb{Z}$ . Now we can define  $F[x]_{m(x)}$ .

**D5.34:**  $F[x]_{m(x)} = \{a(x) \in F[x] \mid \deg(a(x)) < d\}$

- L5.33:** Congruence mod  $m(x)$  is an equivalence relation on  $F[x]$  where each equivalence class has a unique rep of deg  $< \deg(m(x))$ .
- L5.34:**  $|F[x]_{m(x)}| = |F|^{\deg(m)}$
- L5.35:**  $F[x]_{m(x)}$  is a ring with respect to addition and multiplication mod  $m(x)$ .
- L3.36:**  $a(x)b(x) \equiv_{m(x)} 1$  iff  $\gcd(a, b) = 1$

**Thm 5.37:** A ring  $F[x]_{m(x)}$  is a field iff  $m(x)$  is irreducible.

### 9.6 Error Correcting Codes

**Idea:**

- Let  $\mathcal{A}$  represent our alphabet. A msg of length  $k$  is  $M \in \mathcal{A}^k, (a_0, \dots, a_{k-1}) = M$ .
- Now we create a polynomial  $a(x)$  with coefficients parameterized using these values. We now evaluate  $n > k$  points in  $a(x)$ .

- Now to reconstruct  $a(x)$  we can only need  $k + 1$  points, which means  $n - k + 1$  can be "lost" and we should still know how to recover the msg.

**Definitions:**

- D5.35:** Let's define encoding function  $E : \mathcal{A}^k \rightarrow \mathcal{A}^n : (a_0, \dots, a_{k-1}) \mapsto E((a_0, \dots, a_{k-1})) = (c_0, \dots, c_{n-1})$ .  $E$  is an injection because  $n > k$  and the output is called "codeword".
- D5.36:**  $C = \text{Im}(E)$  since we have an injection think of  $C$  as the reachable space  $\in \mathcal{A}^n$ . This is called the set of codewords aka an error correcting code.  $|C| = |\mathcal{A}|^k$
- Hamming Distance (D5.37):** Basically char diff between two equal length strings.
- D5.38:** The minimum distance of an error-correcting code  $C$  denoted  $d_{\min}(C)$  is the minimum Hamming distance between any two codewords.
- Now suppose Alice sends Bob the codeword  $C$ . The error correcting capability can be characterized by the number of errors  $t$  which can be corrected.
- D5.40:** A decoding function  $D$  is  $t$ -error correcting for  $E$  for ANY  $M \in \mathcal{A}^k$ .  $D((r_0, \dots, r_{n-1})) = (a_0, \dots, a_{k-1})$  for any input with at most  $t$  Hamming distance from  $E$ . A code  $C$  is  $t$ -error correcting if there  $\exists E, D : C = \text{Im}(E) \wedge D$  is  $t$ -error correcting

- Thm 5.41:** A code  $C$  with  $d_{\min}(C) = d$  is  $t$ -error correcting iff  $d \geq 2t + 1$ .
- $\Leftarrow$ : Take any two codewords with Hamming dist of  $2t + 1$ . Now corrupt both words  $t$  times each. Now you still have a distance of 1 with which you can identify the nearest source and hence reconstruct the information completely.
- $\Rightarrow$ : If two codewords differ in  $\leq 2t$  positions then there exists a word in the middle, i.e. with equal distance to both codewords, hence it's possible that  $t$  errors cannot be uniquely corrected. Hence they need to differ by  $2t + 1$

We call these:  $(n, k)$ -error-correcting code.

### 10 Proof Systems

- Syntactic objects** are finite strings over some alphabet:  $\Sigma^*$ . Objects such as statements and proofs can be syntactically represented using such a string.
- Statement:**  $S \subseteq \Sigma^*$ , **Proof:**  $P \subseteq \Sigma^*$ .
- We define a truth function  $\tau : S \rightarrow \{0, 1\}$  which gives us the (god given) truth of a statement. For a  $s \in S, \tau(s)$  defines the meaning, called **semantics** of the object in  $S$ .
- An element  $p \in P$  either is a valid proof for a statement  $s \in S$  or not. This can be defined by the **verification function**  $\varphi : S \times P \rightarrow \{0, 1\}$  where  $\varphi(s, p) = 1$  means  $p$  is a valid proof for  $s$ .  $\varphi$  needs to be efficiently computable.
- Proof System:** A proof system is a quadruple  $\Pi = (S, P, \tau, \varphi)$
- Soundness:**  $\forall s \in S \exists p \in P (\varphi(s, p) = 1 \Rightarrow \tau(s) = 1)$ . Meaning if we say a statement is true using a provided proof, it actually is true.
- Completeness:**  $\forall s \in S (\tau(s) = 1 \Rightarrow \exists p \in P (\varphi(s, p) = 1))$ . Meaning for all true statements, we can provide a proof showing such.

### 11 Logic

The goal of logic is to provide a specific proof system  $\Pi = (S, P, \tau, \varphi)$  for which a very large class of mathematical statements can be expressed as an element of  $S$ .

Such a proof system can *never* capture all possible statements, in particular about the proof system itself (paradoxical).

In logic  $s \in S$  consists of a formula and/or a set of formulas. A proof consists of **syntactic derivation** steps. Such steps

consist of applying syntactic rules. The set of allowed rules is called **calculus**.

- The **syntax** of logic defines an alphabet  $\Lambda$  and specifies which strings in  $\Lambda^*$  are formulas (syntactically correct).
- The **semantics** of logic defines:
  - A function **free** which takes a formula and returns a set of indices of free symbols (variables).
  - An **interpretation** consists of  $Z \subseteq \Lambda$ , a set of possible values (domain) for each symbol in  $Z$ , and a function assigning each symbol in  $Z$  a value in its associated domain. Often (not in propositional logic) the domain is defined by a universe  $U$ .
  - An **interpretation is suitable** for a formula  $F$  if each free variable is assigned a value.
  - A function  $\sigma$  assigning each formula  $F$  and each interpretation  $A$  suitable for  $F$  a truth value  $\sigma(F, A) \in \{0, 1\}$ . We often write  $A(F)$  instead and call this the truth value of  $F$  under interpretation  $A$ .
  - A suitable interpretatin  $A$  for which  $\sigma(F, A) = 1$  or  $A(F) = 1$  is called a model for  $F$ , one writes  $A \models F$ . The same can be done for a set of formulas.

11.1 Satisfiability, Tautology, Consequence, Equivalence

- A formula  $F$  or a set of formulas  $M$  is **satisfiable** if there exists a model for  $F$ (or  $M$ ). Unsatisfiable otherwise (denoted  $\perp$ ).
- A formula  $F$  is a **tautology** or **valid** if it is true for every suitable interpretation (denoted  $\top$ ).
- A formula  $G$  is a **logical consequence** of  $F$  if every interpretation suitable for both  $F, G$  which model  $F$  also model  $G$ , denoted  $F \models G$ .
- $F, G$  are **equivalent** ( $F \equiv G$ ) if for every interpretation suitable for both  $F, G$  they yield the same truth value for  $F, G$ .  $F \equiv G \Leftrightarrow F \models G$  and  $G \models F$ .
- A set  $M$  of formulas can be interpreted as the conjunction (AND) of all formulas.

11.1.1 Logical Consequence vs Unsatisfiability

- L6.2:**  $F$  is tautology iff  $\neg F$  is unsat.
- L6.3:** The following are equivalent:
  - $\{F_1, ..., F_k\} \models G$
  - $(F_1 \wedge ... \wedge F_k) \rightarrow G$  is a tautology
  - $\{F_1, ..., F_k, \neg G\}$  is unsat.

11.2 Logical Operators

- D6.16:**
  - $A((F \wedge G)) = 1$  iff  $A(F) = 1$  and  $A(G) = 1$
  - $A((F \vee G)) = 1$  iff  $A(F) = 1$  or  $A(G) = 1$
  - $A(\neg F) = 1$  iff  $A(F) = 0$

11.3 Hilbert-Style Calculi

- D6.17:** A **derivation rule** or **inference rule**  $\{F_1, ..., F_k\} \vdash_R G$  is a syntactic step.
- D6.19:** A **logical calculus**  $K$  is a finite set of dervation rules  $K = \{R_1, ..., R_m\}$ .
- 6.20:** A **derivation** of a formula  $G$  from a set  $M$  in calculus  $K$  is finite sequence of derivation rules applied on  $M$  leading to  $G$ . We write  $M \vdash_K G$  if there is such a derivation.

11.4 Soundness and Completeness of a Calculus

- D6.22:** A calculus  $K$  is **sound** if for every set  $M$  and every  $F: M \vdash_K F \Rightarrow M \models F$ . Meaning if  $F$  is derived from  $M$  then  $F$  is a logical consequence of  $M$ . Similarly  $K$  is **complete** if  $M \models F \Rightarrow M \vdash_K F$ .

11.5 Normal Forms

11.5.1 Prenex Normal Form

All quantifiers are at the beginning. Every formula in predicate logic can be converted into PNF form. Build a tree and let the quantifiers “bubble up”.

11.5.2 Skolem Normal Form

The SNF **doesn't** preserve logical equivalence but preservers satisfiability. We want to eliminate existance quantifiers.

**Process:**

- First convert to PNF.
- Eliminate existance quantifiers. If we have  $\forall a, b, c \exists y$  then we replace  $y$  by  $f(a, b, c)$ .

11.5.3 Conjunctive Normal Form

The CNF of a formula is the conjunction (AND) of disjunctions (OR) of literals ( $= x$  or  $\neg x$ ).  $F = (a \vee b \vee c) \wedge (a \vee \neg b \vee \neg c) \wedge ...$ . Construct by making truth table. For each row evaluating to 0, take the disjunct **negation** of that row ( $A = 0, B = 1 \equiv 0 \Rightarrow (\neg A \vee B)$ ).

11.5.4 Disjunctive Normal Form

The DNF of a formula is the disjunction (OR) of conjunctions (AND) of literals. Construct by looking at rows evaluating to 1 and take those ( $A = 0, B = 1 \equiv 1 \Rightarrow (\neg A \wedge B)$ ).

11.6 Resolution Calculus

- D6.28:** A **clause** is a set of literals.
- D6.29:** The set of clauses for a formula in CNF  $F = ((a \vee ... \vee f) \wedge ... \wedge (x \vee ...z))$  is  $K(F) = \{\{a, ..., f\}, ..., \{x, ..., z\}\}$ . For sets  $M$  we unionize the clauses of the individual formulas.
- A clause  $K$  is **resolvent** of  $K_1, K_2$  if there is a literal  $L$  s.t.  $L \in K_1 \wedge \neg L \in K_2 \Rightarrow K = (K_1 \setminus \{L\}) \cup (K_2 \setminus \{\neg L\})$
- Unsat:** If we can derive the empty clause denoted  $\{\}$  from a clause set using the resolution rule, then the original clause set is unsatisfiable.
- Empty clause set:** An empty set of clauses is always satisfiable and hence a tautology and also always false and unsatisfiable (both vacuously)

11.7 Predicate Logic (First-order Logic)

11.7.1 Syntax

- Variable symbol  $x_i$
- Function symbol  $f_i^{(k)}$
- Predicate symbols  $P_i^{(k)}$
- Term, defined recursively: 1) Variable is a term 2) If  $t_1, ..., t_k$  are terms then  $f(t_1, ..., t_k)$  is a term.
- Formula, defined recursively: 1)  $P(t_1, ..., t_k)$  is a formula. 2)  $F$  and  $G$  are formulas then  $\neg F, (F \wedge G), (F \vee G)$  are each formulas. 3) If  $F$  is a formula, then  $\forall x_i F, \exists x_i F$  are formulas.

11.7.2 Semantics

The interpretation is a tuple  $A = (U, \varphi, \psi, \xi)$ .

- $U$  is a universe.  $\varphi$  assigns each function symbol a semantic function.  $\psi$  assigns each predicate symbol a predicate function.  $\xi$  assigns each variable symbol a value.
- We write  $U^A$  or  $f^A$  or  $P^A$  or  $x^A$  instead.
- D6.36:**
  - The value  $A(t)$  of term  $t$  is defined as follows:
    - If  $t$  is a variable  $= x_i$ , then  $A(t) = x_i^A$ - If  $t$  is of the form  $f(t_1, ...t_k)$ , then  $A(t) = f^A(A(t_1), ..., A(t_k))$ .
  - The truth value of a formula  $F$  is defined recursively by D6.16 and:
    - If  $F$  is of the form  $P(t_1, ..., t_k)$  then  $A(f) = P^A(A(t_1), ..., A(t_k))$

- If  $F$  is of the form  $\forall x G$  or  $\exists x G$  then let  $A_{[x \rightarrow u]}$  for  $u \in U$  be the same structure as  $A$  except  $x^A$  is overwritten by  $u$ :
  - $A(\forall x G) = \begin{cases} 1 & \text{if } A_{[x \rightarrow u]}(G)=1 \text{ for all } u \in U \\ 0 & \text{else} \end{cases}$
  - $A(\exists x G) = \begin{cases} 1 & \text{if } A_{[x \rightarrow u]}(G)=1 \text{ for some } u \in U \\ 0 & \text{else} \end{cases}$

**L6.7:** For any formuas  $F, G$  and  $H$  where  $x$  doesn't occur free in  $H$  we have:

- $\neg(\forall x F) \equiv \exists x \neg F$
- $\neg(\exists x F) \equiv \forall x \neg F$
- $(\forall x F) \wedge (\forall x G) \equiv \forall x (F \wedge G)$
- $(\exists x F) \vee (\exists x G) \equiv \exists x (F \vee G)$
- $\forall x \forall y F \equiv \forall y \forall x F$
- $\exists x \exists y F \equiv \exists y \exists x F$
- $(\forall x F) \wedge H \equiv \forall x (F \wedge H)$
- $(\forall x F) \vee H \equiv \forall x (F \vee H)$
- $(\exists x F) \wedge H \equiv \exists x (F \wedge H)$
- $(\exists x F) \vee H \equiv \exists x (F \vee H)$

**L6.8:** If one replaces a subformula  $G$  of a formula  $F$  by an equivalent to  $G$  formula H, then the resulting formula is equivalent to  $F$ .

11.7.2.1 Substitution of Bound Variables

**L6.9:** For a formula  $G$  in which  $y$  doesn't occur:

- $\forall x G \equiv \forall y G[x/y]$
- $\exists x G \equiv \exists y G[x/y]$

12 Helpful Stuff

$\varphi(n)$ for $1 \leq n \leq 100$										
+	1	2	3	4	5	6	7	8	9	10
0	1	1	2	2	4	2	6	4	6	4
10	10	4	12	6	8	8	16	6	18	8
20	12	10	22	8	20	12	18	12	28	8
30	30	16	20	16	24	12	36	18	24	16
40	40	12	42	20	24	22	46	16	42	20
50	32	24	52	18	40	24	36	28	58	16
60	60	30	36	32	48	20	66	32	44	24
70	70	24	72	36	40	36	60	24	78	32
80	54	40	82	24	64	42	56	40	88	24
90	72	44	60	46	72	32	96	42	60	40

For  $\mathbb{Z}_a \times \mathbb{Z}_b$  is cyclic iff  $\gcd(a, b) = 1$ .