

Streaming for Aibohphobes: Longest Near-Palindrome under Hamming Distance

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Structure of Talk

- ❖ Background
- ❖ 1-Pass Additive Algorithm
- ❖ 2-Pass *Exact* Algorithm
- ❖ Lower Bounds

FSTTCSIITKANPURINDIA
ALPPATTERNS
FSTTCSPATTERNIITKANPURINDIAO
STREAMINGALGORITHMATTERNU
PERIODPERIODPERIODPER
FSTTCSSTHEORYCSASBRICBCAUON
LONGPALINDROMEEMORDNILAPGN
OLFSTTCSIITKANPURINDIAGENXAS

Finding Structure in
Noisy Data

Palindrome

❖ A string that reads the same forwards and backwards

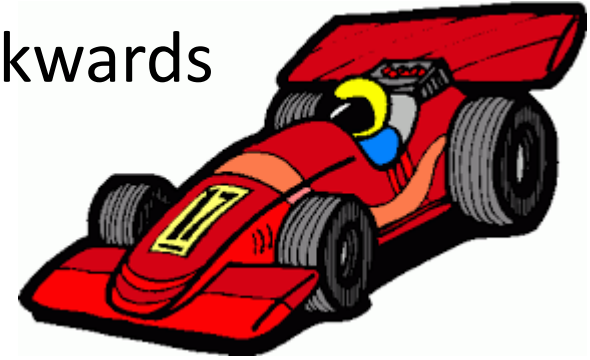
❖ $S = S^R$

❖ RACECAR

❖ RACECAR

❖ AIBOHPHOBIA

❖ AIBOHPHOBIA



d -Near-Palindrome

- ❖ A string that “almost” reads the same forwards and backwards
- ❖ Given a metric $dist$, a d -near-palindrome has $dist(S, S^R) \leq d$.
- ❖ RACECAR
- ❖ FACECAR



Hamming Distance

- ❖ Given strings X, Y , the Hamming distance between X and Y is defined as the positions i at which $X_i \neq Y_i$.
- ❖ $S = \text{FACECAR}$
- ❖ $S^R = \text{RACECAF}$
- ❖ $\text{HAM}(S, S^R) = 2$

Streaming Model

- ❖ String of length n arrives one symbol at a time
- ❖ Use $o(n)$ space, ideally $O(\text{polylog } n)$

abaacabaccbabbbcbabbccababbccb

abaacabaccbabbbcbabbccababbccb

abaacabaccbabbbcbabbccababbccb



Longest d -Near-Palindrome Problem

- ❖ Given a string S of length n , which arrives in a data stream, identify the longest d -near-palindrome in S in space $o(n)$.
- ❖ Given a string S of length n , which arrives in a data stream, find a “long” d -near-palindrome in space $o(n)$.

Applications

TGCTTAAGCGCTTGCAAGCGCTTAAGCA
CAAGCGCTTAAGCA
ACGAATTTCGGAAC



Related Work (Palindromes in Data Streams)

- ❖ $O(\log n)$ space to provide a $(1 + \varepsilon)$ multiplicative approximation to the length of the longest palindrome (Berenbrink, Ergün, Mallmann-Trenn, Sadeqi Azer '14)
- ❖ $O(\sqrt{n})$ space to provide a \sqrt{n} additive approximation to the length of the longest palindrome (BEMS14)
- ❖ $O(\sqrt{n})$ space to find the longest palindrome in two passes (BEMS14)
- ❖ $\Omega\left(\frac{\log n}{\varepsilon \log(1+\varepsilon)}\right)$ space for $(1 + \varepsilon)$ multiplicative approximation (Gawrychowski, Merkurev, Shur, Uznanski'16)
- ❖ $\Omega\left(\frac{n}{E}\right)$ space for E additive approximation (GMSU16)

Our Results

- ❖ $O\left(\frac{d \log^7 n}{\varepsilon \log(1+\varepsilon)}\right)$ space to provide a $(1 + \varepsilon)$ multiplicative approximation to the length of the longest d -near-palindrome
- ❖ $O(d\sqrt{n} \log^6 n)$ space to provide a \sqrt{n} additive approximation to the length of the longest d -near-palindrome
- ❖ $O(d^2\sqrt{n} \log^6 n)$ space to find the longest d -near-palindrome in two passes
- ❖ $\Omega(d \log n)$ space LB for $(1 + \varepsilon)$ multiplicative approximation
- ❖ $\Omega\left(\frac{dn}{E}\right)$ space LB for E additive approximation

Comparison

	Longest Palindrome	Longest d -Near-Palindrome (Here)
$(1 + \varepsilon)$ multiplicative	$O(\log^2 n)$ (BEMS14)	$O\left(\frac{d \log^7 n}{\varepsilon \log(1 + \varepsilon)}\right)$
\sqrt{n} additive	$O(\sqrt{n} \log n)$ (BEMS14)	$O(d\sqrt{n} \log^6 n)$
two pass exact	$O(\sqrt{n} \log n)$ (BEMS14)	$O(d^2\sqrt{n} \log^6 n)$
$(1 + \varepsilon)$ multiplicative LB	$\Omega\left(\frac{\log n}{\log(1+\varepsilon)}\right)$ (GMSU16)	$\Omega(d \log n)$
E additive LB	$\Omega\left(\frac{n}{E}\right)$ (GMSU16)	$\Omega\left(\frac{dn}{E}\right)$

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Warm-Up

- ❖ Suppose we see string S , followed by string T . How can we determine if $S = T$, with high probability?



Karp-Rabin Fingerprints

- ❖ Given base B and a prime P , define $\phi(S) = \sum_{i=1}^n B^i S[i] \pmod{P}$
- ❖ If $S = T$, then $\phi(S) = \phi(T)$
- ❖ If $S \neq T$, then $\phi(S) \neq \phi(T)$ w.h.p. (Schwartz-Zippel)



Properties of Karp-Rabin Fingerprints

- ❖ $\phi(S[1:y]) = \phi(S[1:x]) + B^x \phi(S[x:y])$ (concatenation)
- ❖ Define $\phi^R(S) = \sum_{i=1}^n B^{-i} S[i] \pmod{P}$ (reversal)
- ❖ $\phi(S^R[1:x]) = B^{x+1} \phi^R(S[1:x])$
- ❖ $\phi^R(S[1:y]) = \phi^R(S[1:x]) + B^{-x} \phi^R(S[x:y])$
- ❖ Can be computed **on the fly**



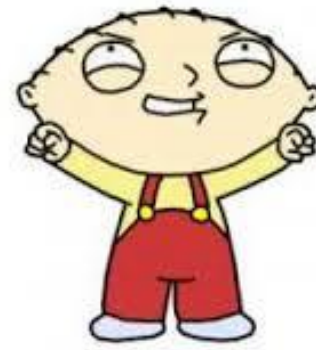
Identifying Palindromes

- ❖ 111101011100001010010101001111101011100001010010101001
- ❖ 111101011100001010010101001111101011100001010010101001



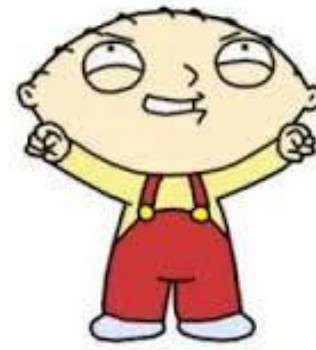
Identifying Near-Palindromes?

- ❖ 111101011100001010010101001111101011100001010010101001
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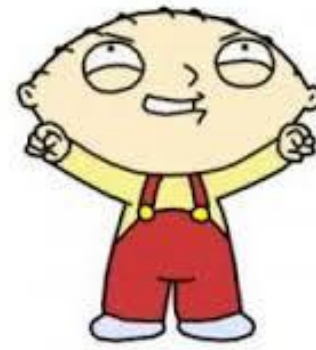
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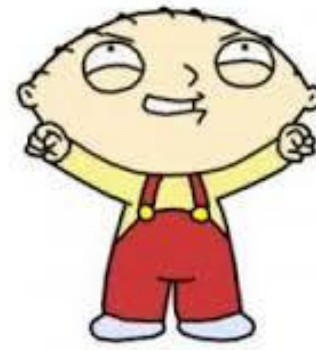
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Identifying Near-Palindromes?

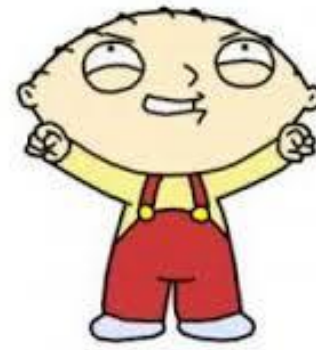
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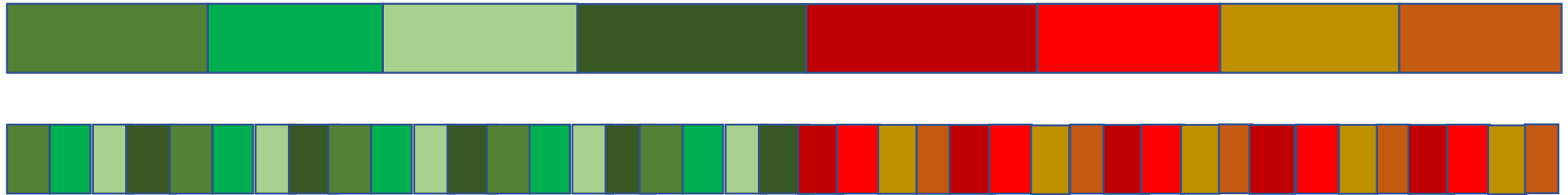
Identifying Near-Palindromes?

❖ 111101011100001010010101001111101011100001010010101001

❖ 111101011100001010010101001111101011100001010010101001



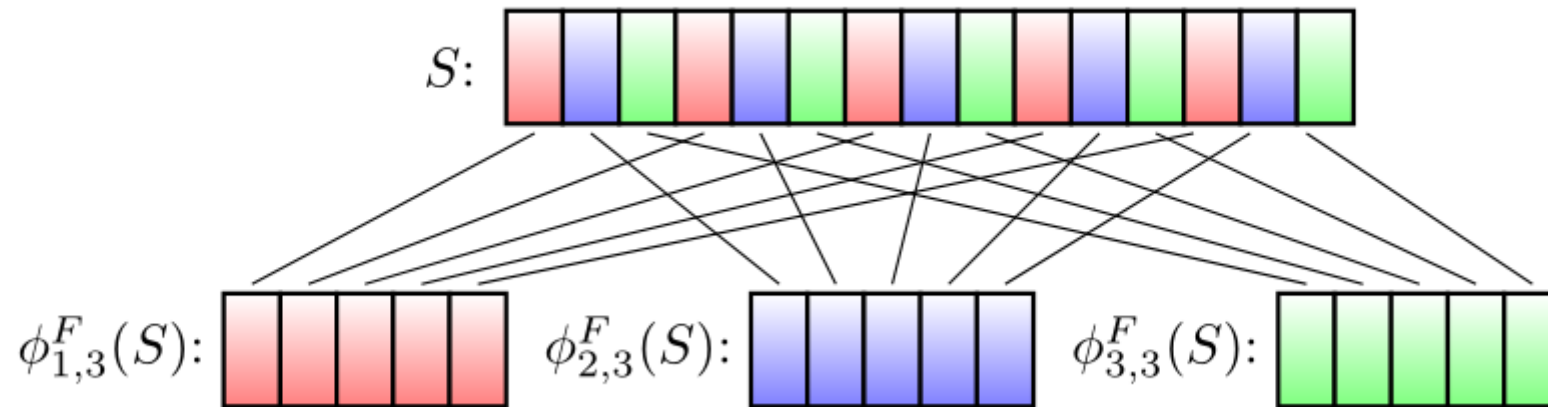
Identifying Near-Palindromes? (CFP+16)



Karp-Rabin Fingerprints for Subpatterns

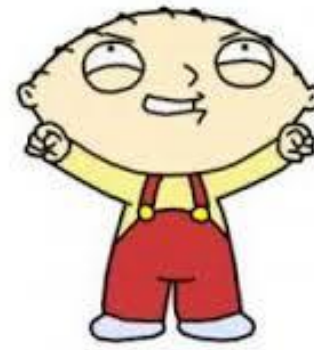
❖ $S_{a,b} = S[a]S[a+b]S[a+2b]S[a+3b] \dots$

❖ $\phi_{a,b}(S) = \phi(S_{a,b}) = B * S[a] + B^2 * S[a+b] + B^3 * S[a+2b] \dots$



Identifying Near-Palindromes?

- ❖ Let $\Delta = \#\{a \mid \phi_{a,b}(S) \neq B^k \phi_{a,b}^R(S)\}$
- ❖ Then $\Delta \leq \text{HAM}(S, S^R)$



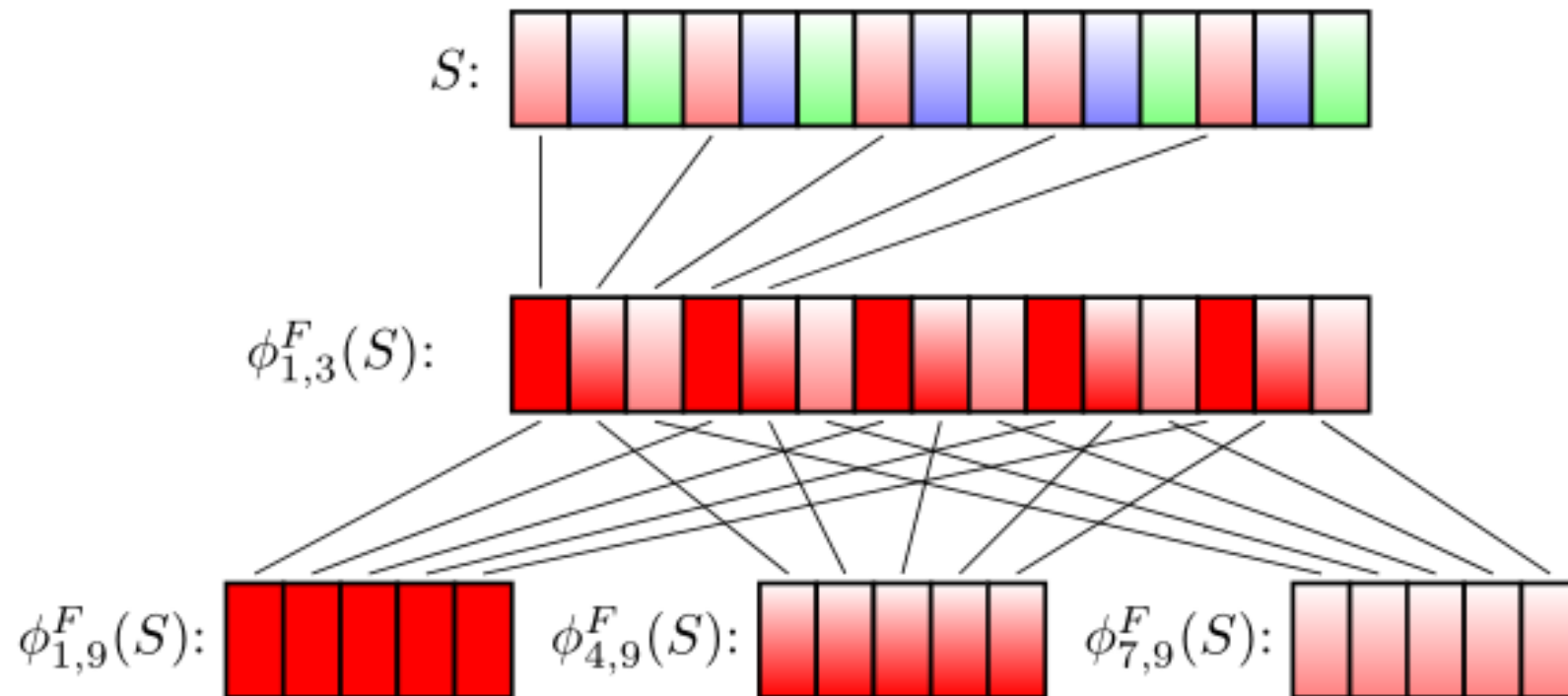
Identifying Near-Palindromes?

- ❖ Sample n primes p_1, p_2, \dots, p_n from $[16 d \log^2 n, 544 d \log^2 n]$.
- ❖ Let $\Delta = \max_{1 \leq i \leq n} \text{dist}(S, S^{p_i R})$.
- ❖ $\Delta \leq \text{HAM}(S, S^R)$.
- ❖ If $\text{HAM}(S, S^R) \leq 2d$, then $\Delta \leq 2d + 16$.

What about
 $\text{HAM}(S, S^R) \leq 2d$?

+16)

Karp-Rabin Fingerprints for Sub-Subpatterns



Second-Level Karp-Rabin Fingerprints

- ❖ Call a mismatch *isolated* under p_i if it is the only mismatch under some subpattern S_{a,p_i} . Let I be the number of isolated mismatches.
- ❖ If $\text{HAM}(S, S^R) \leq 2d$, then $I = \text{HAM}(S, S^R)$ w.h.p. (CFP+16)



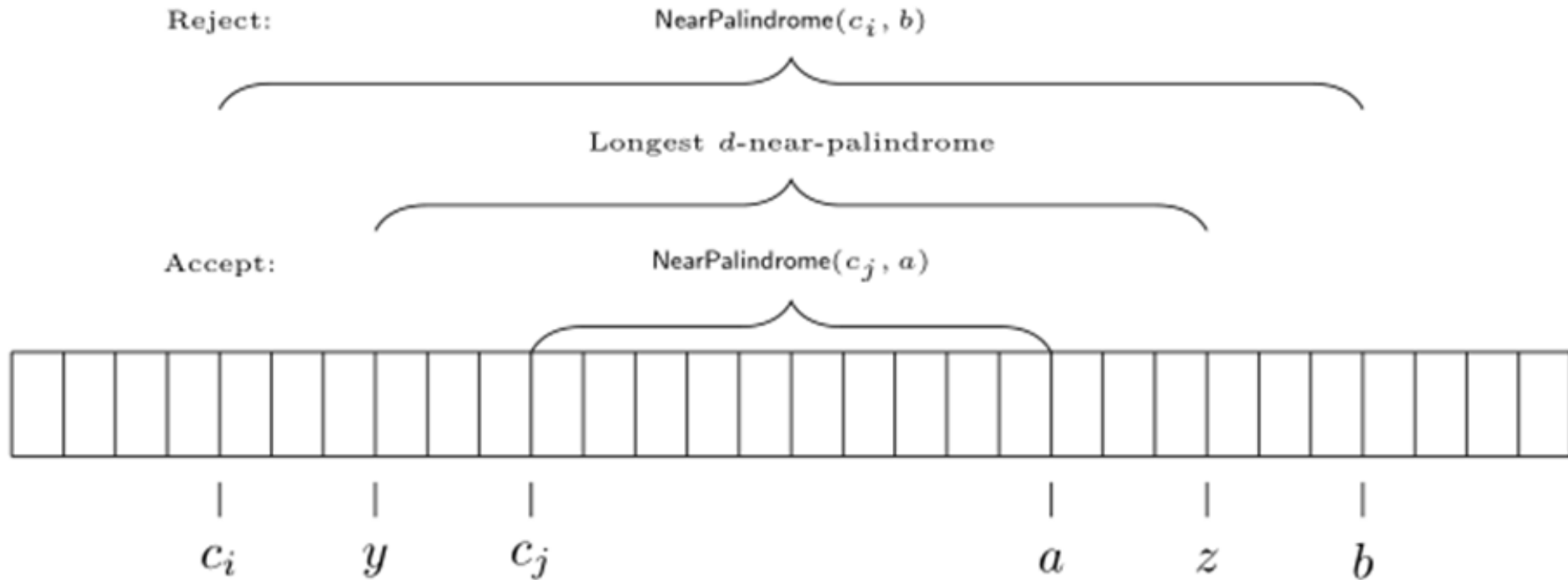
In Review

- ❖ There exists a data structure of size $O(d \log^6 n)$ bits that recognizes whether $\text{HAM}(S, S^R) \leq d$ w.h.p.
- ❖ Recently, this has been improved to $O(d \log n)$. (Clifford, Kociumaka, Porat '17)
- ❖ Through black-box reduction, improves our results by $O(\log^5 n)$.



Additive Error Algorithm

- ❖ Initialize a data structure every $\frac{\sqrt{n}}{2}$ positions!



Additive Error Algorithm

- ❖ $2\sqrt{n}$ sketches, each of size $O(d \log^6 n)$ bits
- ❖ Total space: $O(d\sqrt{n} \log^6 n)$ bits

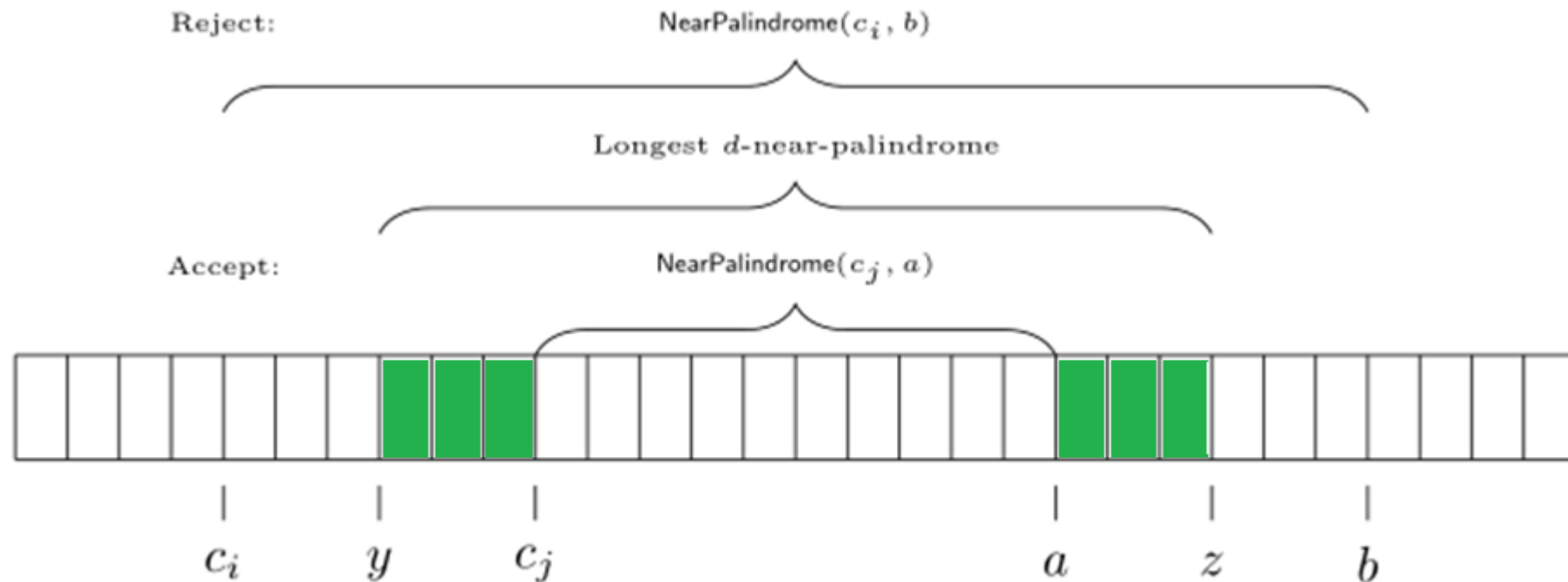


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2-Pass Exact Algorithm

- ❖ Can we modify 1-pass additive algorithm to 2-pass **exact**?
- ❖ Missing characters before checkpoint!



2-Pass Exact Algorithm

- ❖ Idea: keep all characters before each checkpoint in the second pass
- ❖ What if there are $\Omega(n)$ candidates?



- ❖ Structural result of palindromes (BEMS14)

Structural Result of Near-Palindromes

- ❖ Goal #1: Recover fingerprints of all overlapping “long” near-palindromes



- ❖ Goal #2: Use sublinear space in compression

Structural Result of Near-Palindromes

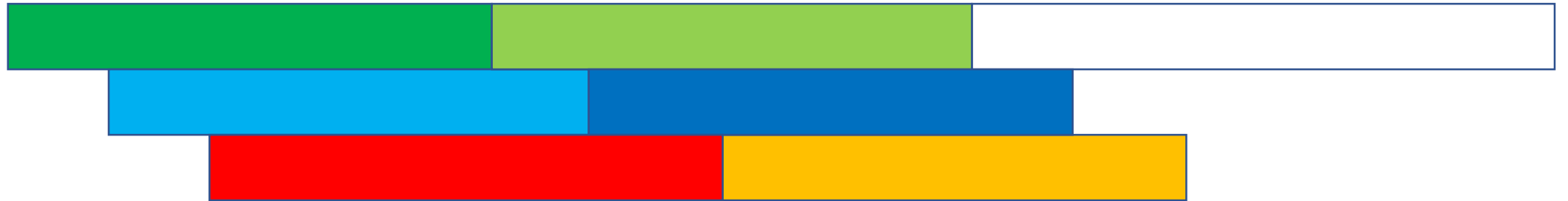
- ❖ Goal #1: Recover fingerprints of all overlapping “long” near-palindromes



- ❖ Goal #2: Use sublinear space in compression

Structural Result of Near-Palindromes

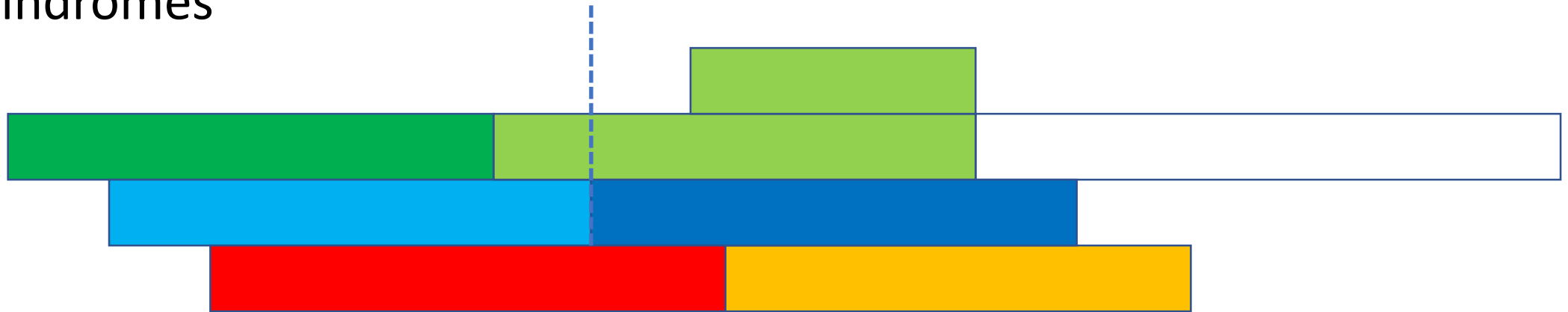
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Structural Result of Near-Palindromes

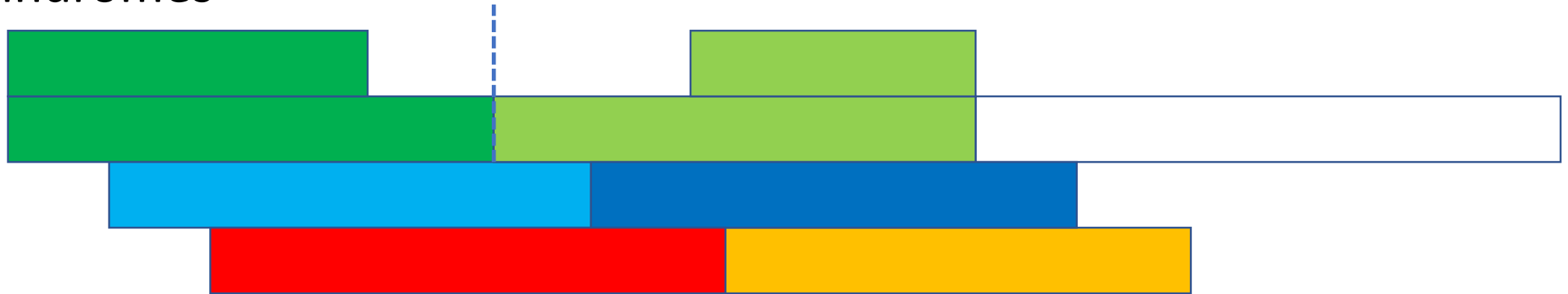
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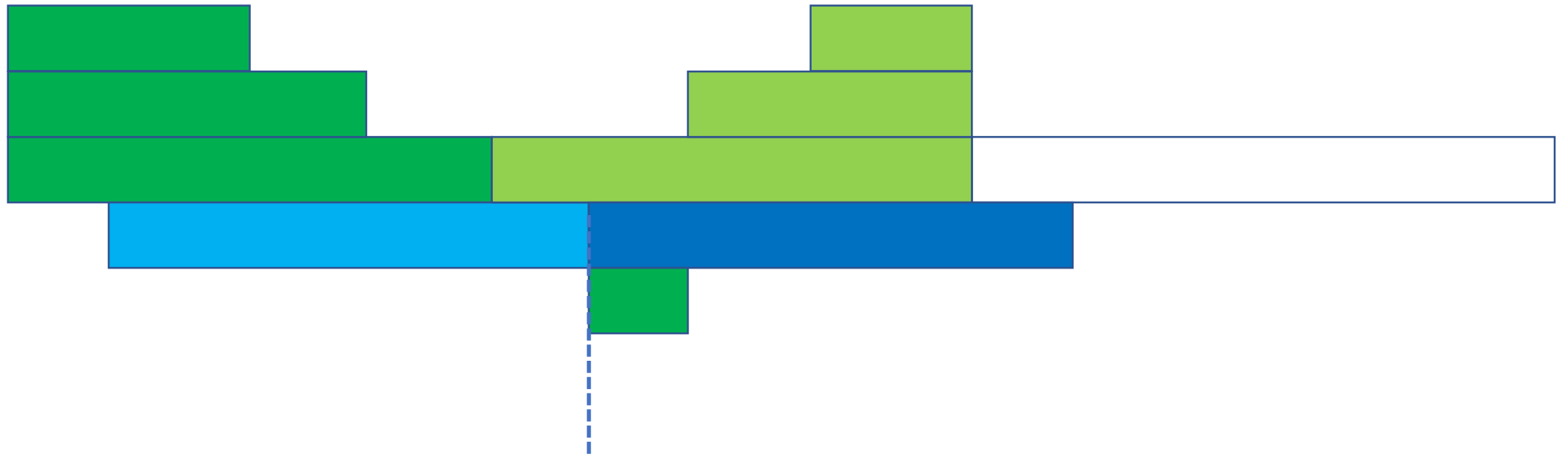
Structural Result of Near-Palindromes

- ❖ Goal #1: Recover fingerprints of all overlapping “long” near-palindromes



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Structural Result of Near-Palindromes



Structural Result of Near-Palindromes

- ❖ Goal #1: Recover fingerprints of all overlapping “long” near-palindromes



- ❖ Goal #2: Use sublinear space in compression

Structural Result of Near-Palindromes

- ❖ Not quite periodic (at most $2d - 1$ different words)
- ❖ Need to save at most $2d - 1$ fingerprints of words



2-Pass Exact Algorithm

- ❖ First pass: $O(d^2 \sqrt{n} \log^6 n)$ bits
- ❖ At most $2d - 1$ fingerprints, each of size $O(d \log^6 n)$ words
- ❖ Need to save at \sqrt{n} characters before $2d - 1$ checkpoints: $O(d \sqrt{n})$
- ❖ Total space: $O(d^2 \sqrt{n} \log^6 n)$ bits



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Multiplicative Lower Bounds

- ❖ Yao's Principle: find "hard" distribution for deterministic algorithms
- ❖ Let v be the prefix of $10110011100011110000 \dots = 1^1 0^1 1^2 0^2 \dots$ of length $\frac{n}{4}$ (GMSU16).
- ❖ Take $x \in X = \left\{ \text{strings of length } \frac{n}{4} \text{ with weight } d \right\}$
- ❖ Take $y \in Y = \{y \mid \text{HAM}(x, y) = d \text{ or } \text{HAM}(x, y) = d + 1\}$
- ❖ Define $s(x, y) = v^R x y^R v$.

Multiplicative Lower Bounds

YES:

If $\text{HAM}(x, y) \leq d$,
then the longest
 d -near-palindrome of
 $s(x, y)$ has length n .

NO:

If $\text{HAM}(x, y) > d$,
then the longest
 d -near-palindrome of
 $s(x, y)$ has length at
most $200d^2 + \frac{n}{2}$.

Multiplicative Lower Bounds

- ❖ A $(1 + \varepsilon)$ multiplicative algorithm differentiates whether $\text{HAM}(x, y) \leq d$ or $\text{HAM}(x, y) > d$.
- ❖ Just need to show cannot differentiate whether $\text{HAM}(x, y) \leq d$ or $\text{HAM}(x, y) > d$ in $o(d \log n)$ space!

Multiplicative Lower Bounds

- ❖ Save x in $\frac{d \log n}{3}$ bits.
- ❖ Since $x \in X = \left\{ \text{strings of length } \frac{n}{4} \text{ with weight } d \right\}$, there are $\frac{|X|}{4}$ pairs (x, x') which are mapped to the same configuration.



Multiplicative Lower Bounds

- ❖ Let I be the set of indices for which $x_i = 1$ or $x'_i = 1$
- ❖ Suppose $\text{HAM}(x, y) = d$ but y does not differ from x in I
- ❖ x : 10110000001000100000001001000000
- ❖ x' : 10000001001010100000001001000000
- ❖ y : 111011000100010111001001000010
- ❖ Then $\text{HAM}(x', y) > d$!
- ❖ Errs on either $s(x, y)$ or $s(x', y)$.



Multiplicative Lower Bounds

- ❖ There are $\frac{|X|}{4}$ values of x mapped to the wrong configuration, each with $\binom{\frac{n}{4} - 2d}{d}$ values of y , where algorithm is incorrect.
- ❖ Probability of failure:

$$\frac{\frac{|X|}{4} \binom{\frac{n}{4} - 2d}{d}}{|X||Y|} \geq \frac{1}{n}$$

In Review

- ❖ Provided a distribution over which any deterministic algorithm with $o(d \log n)$ bits fails to distinguish $\text{HAM}(x, y) \leq d$ or $\text{HAM}(x, y) > d$ at least $\frac{1}{n}$ of the time
- ❖ A $(1 + \varepsilon)$ multiplicative algorithm differentiates whether $\text{HAM}(x, y) \leq d$ or $\text{HAM}(x, y) > d$
- ❖ Showed every deterministic algorithm fails over random inputs



Additive Lower Bounds

- ❖ Define $s(x, y) = 1^E x_1 1^{\frac{E}{d}} x_2 1^{\frac{E}{d}} x_3 \dots x_{\frac{n'}{2}} y_{\frac{n'}{2}} \dots y_3 1^{\frac{E}{d}} y_2 1^{\frac{E}{d}} y_1 1^E$
- ❖ Take $x \in X = \left\{ \text{all strings of length } \frac{n'}{2} \right\}$
- ❖ Take $y \in Y = \{ \text{HAM}(x, y) = d \text{ or } \text{HAM}(x, y) = d + 1 \}$



Open Problems

- ❖ Can we find the longest d -near-palindrome in the *edit* distance?
- ❖ Longest palindromic subsequence

[illegible]

Questions?

