

On Fine-Grained Distinct Element Estimation

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Distinct Elements

- α servers, server i has a set $S_i \subseteq [1, 2, 3, \dots, n]$
- $S = \bigcup_i S_i$
- Number of distinct elements in dataset is $F_0(S) = \|S\|_0 = |S|$

Communication Model

- Each server can talk to any other server, but only on private channel
- Can designate a specific server as the *coordinator*
- Communication over channel is measured in bits
- Goal: Using minimum total communication, output $(1 + \varepsilon)$ -multiplicative approximation to $F_0(S)$, i.e., output some number Z such that $Z \leq F_0(S) \leq (1 + \varepsilon) \cdot Z$

Previous Results

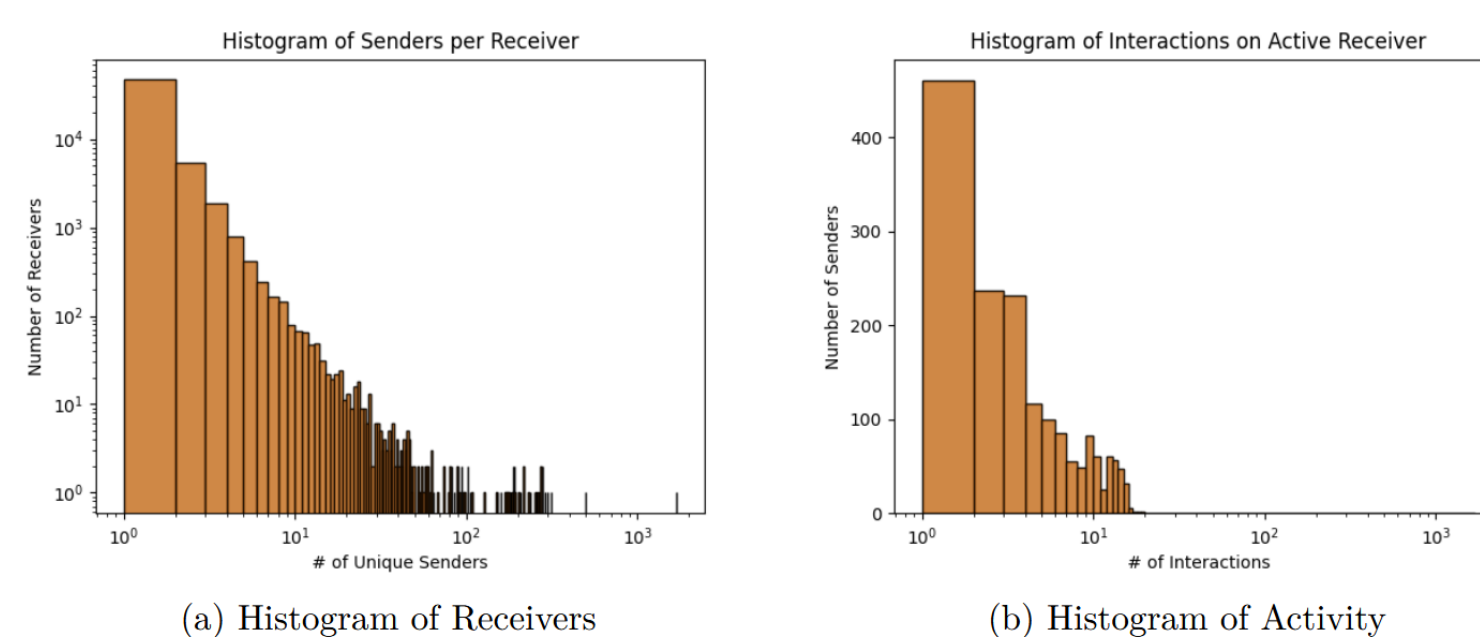
- **Theorem [KNW10, Bla20]:** There exists a distributed protocol that outputs a $(1 + \varepsilon)$ -multiplicative approximation to $F_0(S)$, using $O\left(\frac{\alpha}{\varepsilon^2} + \alpha \log n\right)$ bits of communication
- **Theorem [WZ14]:** Any distributed protocol that outputs a $(1 + \varepsilon)$ -multiplicative approximation to $F_0(S)$ requires $\Omega\left(\frac{\alpha}{\varepsilon^2} + \alpha \log n\right)$ bits of communication

Bridging Theory and Practice

- There is usually a large number of servers and we want good accuracy, so this means we should require *a lot* of communication!
- Algorithms behave well in practice with little communication
- What's going on?

Our Observations

- In the lower bound instance, all servers have roughly the same number of items in the sets S_i
- In practice, the datasets are “skewed” so a small number of servers have a large number of items, e.g., Zipf’s Law, 80-20 Rule, or Pareto’s Principle
- This does change the complexity of the problem? If so, how to characterize the complexity?



New Parameterization: Pairwise Collisions

- We define C to be the number of pairwise collisions, i.e., number of triplets (a, i, j) so that $a \in S_i$ and $a \in S_j$ but $i < j$
- Note that if a single server has most of the items, they will not be repeated across the other servers, so C is low and can be constant
- When all servers have similar number of items and there are many intersections, C can be as large as $\alpha^2 \cdot F_0(S)$

	$C = \beta \cdot F_0(S), \beta \geq 1$	
	$F_0(S) < \frac{1}{\varepsilon^2}$	$F_0(S) \geq \frac{1}{\varepsilon^2}$
Theorem 1.1	$\mathcal{O}(\alpha \log n + \sqrt{\beta} \cdot F_0(S) \cdot \log n)$	$\mathcal{O}\left(\alpha \log n + \frac{\sqrt{\beta}}{\varepsilon^2} \log n\right)$
Theorem 1.3	$\Omega(\alpha + \sqrt{\beta} \cdot F_0(S))$	$\Omega\left(\alpha + \frac{\sqrt{\beta}}{\varepsilon^2}\right)$
	$C = \beta \cdot F_0(S), \beta < 1, C > \varepsilon \cdot F_0(S)$	
	$F_0(S) < \frac{1}{\varepsilon^2}$	$F_0(S) \geq \frac{1}{\varepsilon^2}$
Theorem 1.2	$\mathcal{O}\left(\alpha \log n + \frac{\beta}{\varepsilon^2} \log n\right)$	$\mathcal{O}(\alpha \log n + \beta \cdot F_0(S) \cdot \log n)$
Theorem 1.4	$\Omega\left(\alpha + \frac{\beta}{\varepsilon^2}\right)$	$\Omega(\alpha + \beta \cdot F_0(S))$

Table 1: A summary of our results for the distributed distinct elements estimation problem on a universe of size n across α servers, parameterized by the number C of collisions across the α servers, and the accuracy parameter $\varepsilon \in (0, 1)$.

Results

- **Theorem:** Suppose $C = \beta \cdot O\left(\min\left(F_0(S), \frac{1}{\varepsilon^2}\right)\right)$. There exists a distributed protocol that outputs a $(1 + \varepsilon)$ -multiplicative approximation to $F_0(S)$, using $O\left(\min\left(F_0(S), \frac{1}{\varepsilon^2}\right) \cdot \sqrt{\beta} \log n + \alpha \log n\right)$ bits of communication
- Point of comparison: Previously, first term was $\frac{\alpha}{\varepsilon^2}$, which happens if $C = \alpha^2 \cdot F_0(S)$, i.e., many items appear across many servers
- **Theorem:** Suppose $C = \beta \cdot O\left(\min\left(F_0(S), \frac{1}{\varepsilon^2}\right)\right)$. Any distributed protocol that outputs a $(1 + \varepsilon)$ -multiplicative approximation to $F_0(S)$ requires $\Omega\left(\min\left(F_0(S), \frac{1}{\varepsilon^2}\right) \cdot \sqrt{\beta} + \alpha \log n\right)$ bits of communication
- Point of comparison: Tight up to a $\log n$ factor in the first term
- Context: Shows that C is a parameter that characterizes the complexity of the problem

Algorithm 2 $(1 + \varepsilon)$ -approximation to F_0 , given an upper bound on the number of collisions

Input: Items given to α players from a universe of size $[n]$, accuracy parameter $\varepsilon \in (0, 1)$, upper bound C on the number of pair-wise collisions

Output: $(1 + \varepsilon)$ -approximation to the number of distinct items

- 1: Let X be a 4-approximation to F_0
 - 2: Let i_0 be the largest integer such that $\frac{X}{2^{i_0}} > \frac{1000}{\varepsilon^2}$
 - 3: $i \leftarrow \min(0, i_0)$
 - 4: Let S_i be a subset of $[n]$ where each item is subsampled with probability $\frac{1}{2^i}$
 - 5: Assume without loss of generality each player i has a binary vector $v^{(i)} \in \{0, 1\}^n$
 - 6: Each player sends their total number of items in S_i
 - 7: Let Z be the sum of these numbers
 - 8: $\eta \leftarrow \frac{\varepsilon}{10}, p \leftarrow \min\left(1, \frac{100C}{\eta^2 X^2}\right)$
 - 9: Let T be a subset of S_i where each item is subsampled with probability p
 - 10: Each player sends their items in T
 - 11: Let $W = \sum_{j \in T} \max(0, v_j - 1)$, where $v = \sum_{i \in [\alpha]} v^{(i)}$ be the excess mass in T
 - 12: **Return** $Z \cdot 2^i - W \cdot \frac{1}{p}$
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▷ Lemma 2.4