#### Root system chip-firing

#### University of Minnesota Combinatorics Seminar

Sam Hopkins

MIT

October 20th, 2017

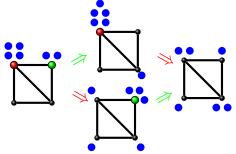
Joint work with Pavel Galashin, Thomas McConville, and Alexander Postnikov (and, earlier, with James Propp) Motivation: labeled chip-firing

#### Section 1

Motivation: labeled chip-firing

## Classical chip-firing

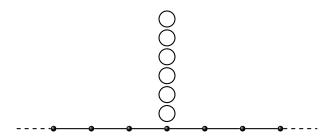
Classical chip-firing (as introduced by Björner-Lovász-Shor, 1991) is a discrete dynamical system that takes place on a graph. The states are configurations of chips on the vertices. We may *fire* a vertex that has at least as many chips as neighbors, sending one chip to each neighbor:



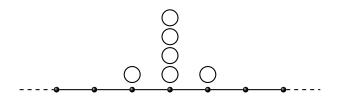
A key property of this system is that it is *confluent*: from a given initial configuration, either all sequences of firings go on forever, or they all terminate at the same *stable* configuration (called the *stabilization*).

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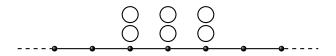
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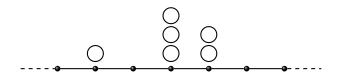
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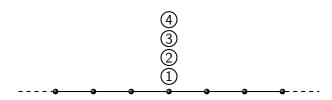


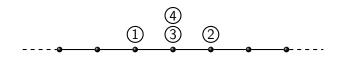
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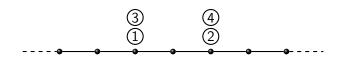


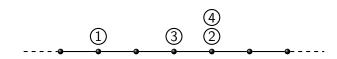
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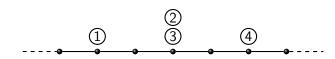








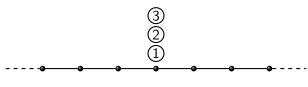






#### Labeled chip-firing is not confluent in general

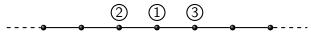
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Not sorted and not confluent!

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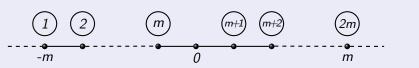


Not sorted and not confluent!

## Sorting an even number of chips

#### Theorem (Hopkins-McConville-Propp, 2017)

Suppose n = 2m is even. Then starting from n labeled chips at the origin, the chip-firing process "sorts" the chips to a unique stable configuration:

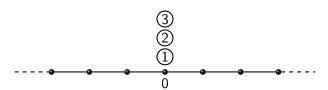


# A "Type B" version of labeled chip-firing

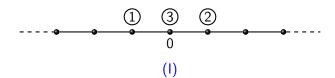
Consider a modified version of labeled chip-firing on  $\mathbb{Z}$  where we allow the following three kinds of moves:

- (I) for i < j, if (i) and (j) occupy the same vertex, move (i) leftwards one vertex and (j) rightwards one vertex (this is the same as before);
- (II) for any i, j, if (i) is at vertex a and (j) is at vertex -a, move both (i) and (j) rightwards one vertex;
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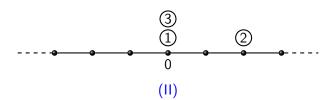
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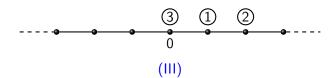
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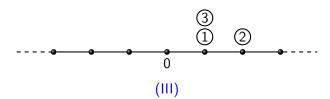
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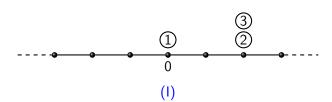
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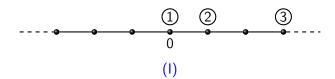
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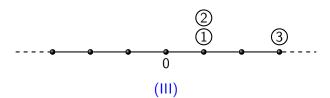
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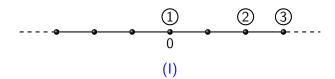
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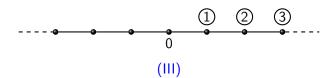
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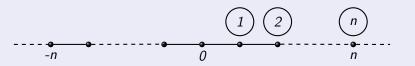
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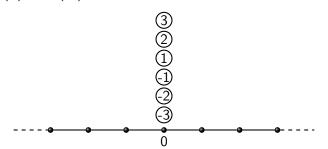


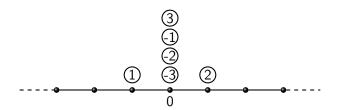
## "Type B" sorting

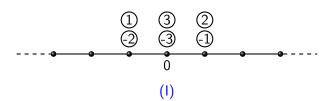
#### Theorem (Hopkins-McConville-Propp, 2017)

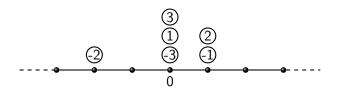
For any n, starting from n labeled chips at the origin, the "Type B" labeled chip-firing process (with moves (I), (II), and (III)) "sorts" the chips to the following unique stable configuration:

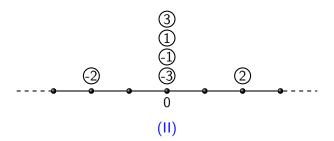


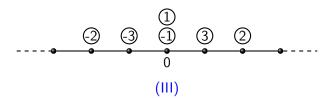












#### Section 2

Central-firing

# Root system reformulation of Propp's labeled chip-firing

For any configuration of n labeled chips, if we set  $c:=(c_1,\ldots,c_n)\in\mathbb{Z}^n$  where

 $c_i :=$ the position of the chip (i),

then, for  $1 \le i < j \le n$ , we are allowed to fire chips (i) and (j) in this configuration as long as c is orthogonal to  $e_j - e_i$ ; and doing so replaces the vector c by  $c + (e_i - e_i)$ .

**Observation**: the vectors  $e_j - e_i$  for  $1 \le i < j \le n$  are exactly the positive roots  $\Phi^+$  of the root system  $\Phi$  of Type  $A_{n-1}$ .

# Root systems: basic definitions

Let V be a Euclidean vector space with standard inner product  $\langle \cdot, \cdot \rangle$ . For any  $v \in V$ , define the *co-vector*  $v^{\vee} := \frac{2}{\langle v,v \rangle} v$ , and the *(orthogonal)* refletion  $s_v \colon V \to V$  by  $s_v(w) := w - \langle w,v^{\vee} \rangle v$ .

#### Definition

A (crystallographic) root system in V is a finite subset  $\Phi \subseteq V$  such that:

- lacktriangledown  $\Phi$  spans V;
- $s_{\alpha}(\Phi) = \Phi \text{ for all } \alpha \in \Phi;$
- **3**  $\mathbb{R}\alpha \cap \Phi = \{\pm \alpha\}$  for all  $\alpha \in \Phi$ ;

The elements of  $\Phi$  are called *roots*. The *rank* of  $\Phi$  is  $r = \dim(V)$ .

The Weyl group, denoted W, of  $\Phi$  is the group generated by the reflections  $s_{\alpha}$  for  $\alpha \in \Phi$ . By definition, it is a finite reflection group.

#### Positive roots and lattices

We choose a generic linear functional to separate  $\Phi$  into positive  $\Phi^+$  and negative  $\Phi^-$  roots. This also defines a basis  $\alpha_1,\alpha_2,\ldots,\alpha_r$  of simple roots with the property that every positive root is a nonnegative integral combination of simple roots. The length  $\ell(w)$  of  $w \in W$  is the minimal length of an expression of w as a product of simple reflections  $s_i := s_{\alpha_i}$ .

Two important lattices attached to  $\Phi$  are the *root lattice*  $Q:=\mathbb{Z}\Phi$ , and the *weight lattice*  $P:=\{\lambda\in V\colon \langle\lambda,\alpha^\vee\rangle\in\mathbb{Z}\text{ for all }\alpha\in\Phi\}$ . By the assumption of crystallography, we have  $Q\subseteq P$ .

The fundamental weights  $\omega_1,\ldots,\omega_r$  are defined by  $\langle \omega_i,\alpha_j^\vee \rangle = \delta_{ij}$  and these generate P. A weight is dominant if it is a nonnegative sum of fundamental weights. An important dominant weight is the so-called Weyl vector  $\rho := \sum_{i=1}^r \omega_i = \frac{1}{2} \sum_{\alpha \in \Phi^+} \alpha$ .

Minuscule weights are certain distinguished fundamental weights. The minuscule weights together with zero give coset representatives for P/Q.

# Classification of root systems

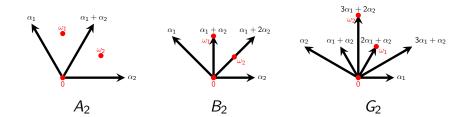
Attached to each root system is a (decorated) graph called the *Dynkin diagram* that records inner products between the simple roots. These lead to a classification of irreducible root systems by the (*Cartan-Killing*) types, which include the *classical types* 

$$A_{n-1} := \{ \pm (e_i - e_j) \colon 1 \le i < j \le n \},$$
 $D_n := A_{n-1} \cup \{ \pm (e_i + e_j) \colon 1 \le i < j \le n \},$ 
 $B_n := D_n \cup \{ \pm e_i \colon 1 \le i \le n \},$ 
 $C_n := D_n \cup \{ \pm 2e_i \colon 1 \le i \le n \},$ 

as well as the exceptional types  $G_2$ ,  $F_4$ ,  $E_6$ ,  $E_7$ ,  $E_8$ .

## Rank 2 root systems

The following are the positive roots and fundamental weights of the irreducible rank 2 root systems:



## Central-firing for root systems

The description of labeled chip-firing in terms of positive roots of  $A_{n-1}$  generalizes naturally to any root system  $\Phi$ : for a weight  $\lambda \in P$ , we allow the firing moves  $\lambda \to \lambda + \alpha$  for a positive root  $\alpha \in \Phi^+$  whenever  $\lambda$  is orthogonal to  $\alpha$ .

We call the resulting system the *central-firing* process for  $\Phi$  (because we allow firing from a weight  $\lambda$  when  $\lambda$  belongs to the Coxeter hyperplane arrangement, which is a central arrangement).

You can check that the previously described "Type B" labeled chip-firing really is central-firing for  $\Phi = B_n$ . Other classical types have similar description of central-firing in terms of chips.

# Confluence of central-firing

#### Question

For any root system  $\Phi$  and weight  $\lambda \in P$ , when is central-firing confluent from  $\lambda$ ?

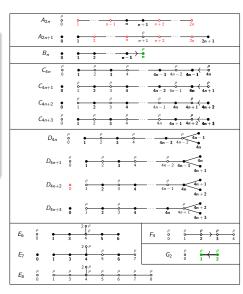
Answer: it's complicated.

# Classification of confluence for origin/fundamental weights

#### Conjecture

Confluence of central-firing from  $\lambda$  for  $\lambda=0$  or  $\lambda$  a fundamental weight is determined by the table on the right. To first order, central-firing is confluent from  $\lambda$  iff  $\lambda \neq \rho$  modulo Q. Exceptions to this are in red or green.

This conjecture is proved in some but not all cases (e.g. for  $\lambda = 0$  and  $\Phi = A_n$  or  $B_n$ , it follows from H.-M.-P. theorems above).



## Confluence of central-firing modulo the Weyl group

#### Theorem

For any root system  $\Phi$ , and from any initial weight  $\lambda$ , central-firing is confluent modulo the action of the Weyl group W.

In Type A the Weyl group is the symmetric group, so modding out by the Weyl group is the same as forgetting the labels of chips. Thus this theorem gives a generalization of *unlabeled* chip-firing on a line to any root system.

**Note:** this is very different from the Cartan matrix chip-firing studied by Benkart-Klivans-Reiner, 2016 (e.g., for  $\Phi = A_{n-1}$ , ours corresponds to chip-firing of n chips on the infinite path, whereas B.-K.-R. corresponds to chip-firing of any number of chips on the n-cycle).

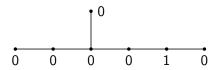
# Unlabeled central-firing for simply laced root systems

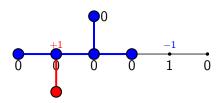
Suppose  $\Phi$  is *simply laced*, i.e., its Dynkin diagram  $\Gamma$  is just an undirected graph with nodes  $1,2,\ldots,r$ . Consider the following process on the set of labelings  $\gamma\colon\Gamma\to\mathbb{N}$  of the nodes of  $\Gamma$  by nonnegative integers:

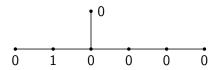
- **①** choose any connected component X of  $\Gamma[\{i: \gamma(i) = 0\}];$
- ② extend X to an affine Dynkin diagram  $\widetilde{X}$  in a unique way;
- **3** for each edge (0, i), where 0 is the "affine node" of  $\widetilde{X}$ , add 1 to the label of i;
- **④** for each  $j ∈ Γ \setminus X$  with j adjacent to i for some i ∈ X, decrease the label of j by 1.

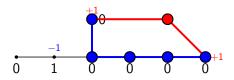
#### **Theorem**

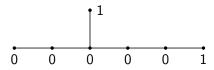
Central-firing modulo the Weyl group is the same process as the one defined by the above moves, where we represent an orbit  $W.\lambda$  for a dominant weight  $\lambda = \sum_{i=1}^{r} c_i \omega_i$  by the function  $\gamma(i) = c_i$ .



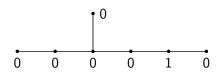




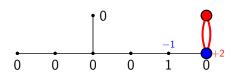




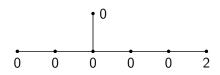
Let's try that same example with some other choices...



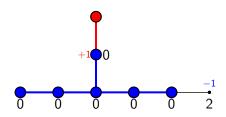
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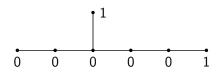
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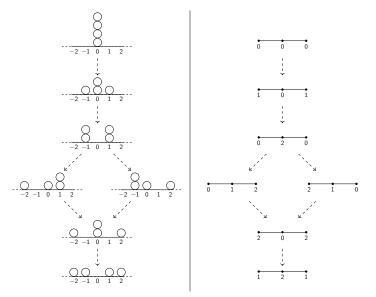
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# Unlabeled central-firing versus chip-firing



#### Section 3

Interval-firing: confluence

#### Interval-firing

Central-firing allows the firing move  $\lambda \to \lambda + \alpha$  whenever  $\langle \lambda, \alpha^{\vee} \rangle = 0$  for  $\lambda \in P$  and  $\alpha \in \Phi^+$ . We found remarkable "deformations" of this process.

For any  $k \in \mathbb{N}$ , define the *symmetric interval-firing process* by

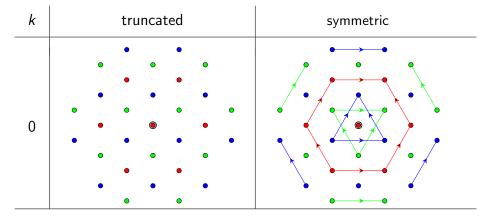
$$\lambda \to \lambda + \alpha$$
 if  $\langle \lambda, \alpha^{\vee} \rangle \in \{-k-1, -k, \dots, k-1\}$ 

and the truncated interval-firing process by

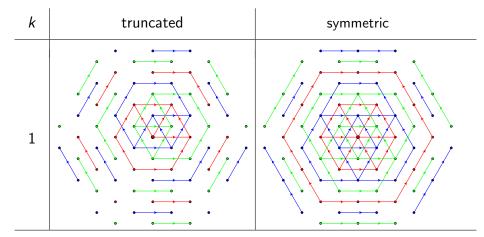
$$\lambda \to \lambda + \alpha$$
 if  $\langle \lambda, \alpha^{\vee} \rangle \in \{-k, -k+1, \dots, k-1\}$ .

(These are analogous to the (extended)  $\Phi^{\vee}$ -Catalan and  $\Phi^{\vee}$ -Shi hyperplane arrangements, respectively. The symmetric closure of the symmetric process is W-invariant, explaining its name.)

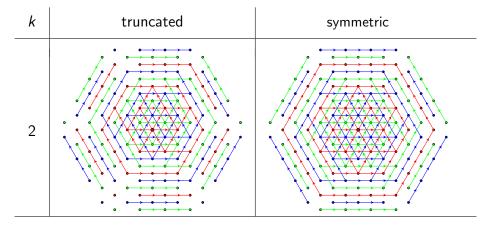
# Pictures of interval-firing for $A_2$



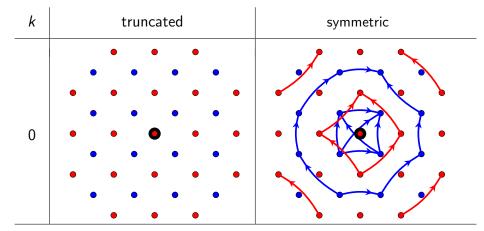
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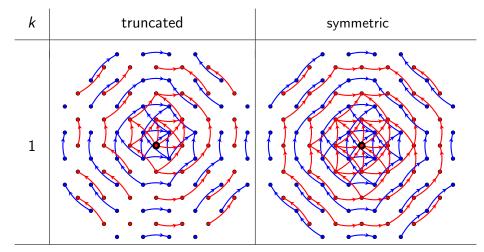
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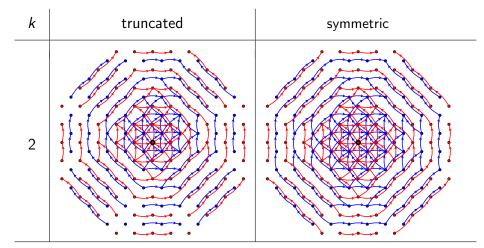
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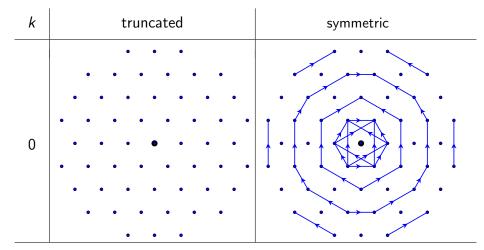
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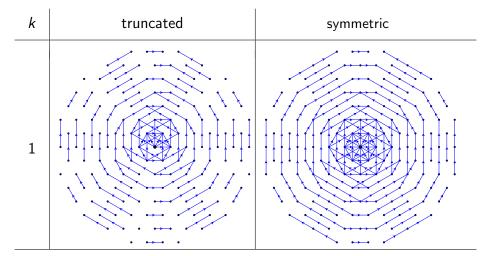
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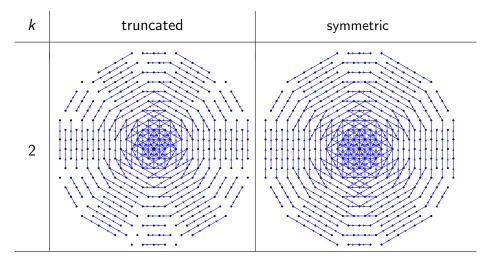
# Pictures of interval-firing for $G_2$



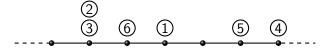
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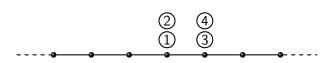


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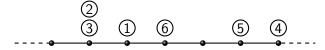


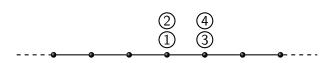
When  $\Phi = A_{n-1}$ , we can interpret interval-firing in terms of chips. The smallest nontrivial case is symmetric k=0 interval-firing, which has  $\lambda \to \lambda + \alpha$  for  $\lambda \in P, \alpha \in \Phi^+$  when  $\langle \lambda, \alpha^\vee \rangle = -1$ . This corresponds to allowing (adjacent) transpositions of (i) and (j) if they're out of order:



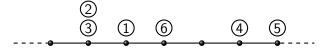


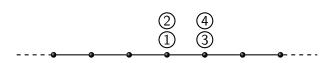
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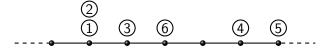


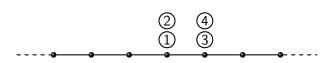
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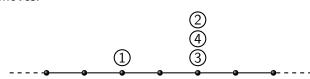
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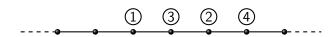


# Interval-firing in Type A via chips

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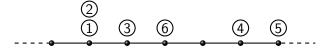


Here confluence is obvious. The next smallest case is truncated k=1 interval-firing, which has  $\lambda \to \lambda + \alpha$  when  $\langle \lambda, \alpha^\vee \rangle \in \{-1, 0\}$ . This corresponds to allowing transpositions as well as the usual labeled chip-firing moves:

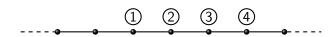


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### Interval-firing is confluent

#### Theorem

For any root system  $\Phi$ , and any  $k \ge 0$ , the symmetric and truncated interval-firing processes are confluent (from all initial weights).

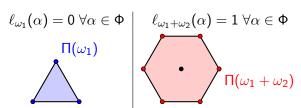
I'll now go over some (geometric) ideas that go into the proof. The main ingredient is a formula for *traverse lengths of permutohedra*.

### Traverse lengths of permutohedra

For  $\lambda \in P$ , we define the (W-)permutohedron  $\Pi(\lambda) := \operatorname{ConvexHull} W(\lambda)$ . We use  $\Pi^Q(\lambda) := \Pi(\lambda) \cap (Q + \lambda)$  to denote the lattice points in  $\Pi(\lambda)$ .

An  $\alpha$ -string of length k is a collection  $\{\mu, \mu - \alpha, \dots, \mu - k\alpha\} \subseteq P$ . An  $\alpha$ -traverse in  $\Pi(\lambda)$  is a **maximal** (by containment)  $\alpha$ -string inside  $\Pi^Q(\lambda)$ . We define  $\ell_\lambda(\alpha)$ , the traverse length of  $\Pi(\lambda)$  in direction  $\alpha$ , to be the **minimum length** of an  $\alpha$ -traverse in  $\Pi(\lambda)$ .

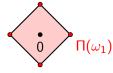
Examples for  $\Phi = A_2$ :



Intuition: the minimal length  $\alpha$ -traverse should be an *edge* of  $\Pi(\lambda)$ .

# "Funny weights" and traverse length formula

Counterexample to (almost correct) intuition: for  $\Phi=B_2$ ,  $\ell_{\omega_1}(\alpha_1)=0$ 



#### **Definition**

If  $\Phi$  is not simply laced, then there are l and s such that the long simple root  $\alpha_l$  and short simple root  $\alpha_s$  are adjacent in the Dynkin diagram. We say the dominant weight  $\lambda = \sum_{i=1}^r c_i \omega_i$  is funny if  $c_s = 0$ ,  $c_l \geq 1$ , and  $c_i \geq c_l$  for all long  $\alpha_i$ . (No weight is funny for simply laced  $\Phi$ .)

#### **Theorem**

For a dominant weight  $\lambda = \sum_{i=1}^r c_i \omega_i$ , set  $m_{\lambda}(\alpha) := \min\{c_i : \alpha_i \in W(\alpha)\}$ .

$$\ell_{\lambda}(\alpha) = egin{cases} m_{\lambda}(\alpha) - 1 & \textit{if $\lambda$ is funny and $\alpha$ is long,} \\ m_{\lambda}(\alpha) & \textit{otherwise.} \end{cases}$$

# Relevance of traverse length to interval-firing

#### Lemma

$$\ell_{\lambda}(\alpha) = \min\{\langle \mu, \alpha^{\vee} \rangle \colon \mu \in \Pi^{Q}(\lambda), \mu + \alpha \notin \Pi^{Q}(\lambda)\}$$

#### Proof.

Let  $\{\mu, \mu - \alpha, \dots, \mu - k\alpha\}$  be an  $\alpha$ -traverse in  $\Pi^Q(\lambda)$ . By the W-invariance of  $\Pi(\lambda)$  we have  $s_{\alpha}(\mu - i\alpha) = \mu - (k - i)\alpha$  for  $i = 0, \dots, k$ . Thus in particular

$$\mu - \langle \mu, \alpha^{\vee} \rangle \alpha = s_{\alpha}(\mu) = \mu - k\alpha,$$

so  $\langle \mu, \alpha^{\vee} \rangle = k$ . By definition  $\ell_{\lambda}(\alpha)$  is the minimal such k.

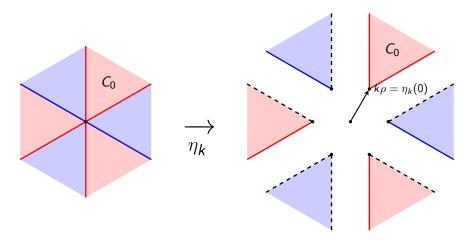
So the formula for traverse lengths says that interval-firing processes get "trapped" inside certain permutohedra, leading to a proof of confluence.

#### Section 4

Interval-firing: stabilizations

# The map $\eta_k$

Define  $\eta_k \colon P \to P$  by  $\eta_k(\lambda) = \lambda + w_\lambda(k\rho)$ , where  $w_\lambda \in W$  is of minimal length such that  $w_\lambda^{-1}(\lambda)$  is dominant.



# The stable points of interval-firing

#### Lemma

The stable points of symmetric interval-firing are

$$\{\eta_k(\lambda) \colon \lambda \in P, \langle \lambda, \alpha^{\vee} \rangle \neq -1 \text{ for all } \alpha \in \Phi^+ \},$$

and the stable points of truncated interval-firing are

$$\{\eta_k(\lambda)\colon \lambda\in P\}.$$

# Stabilization maps and Ehrhart-like polynomials

For  $k \geq 0$ , define the stabilization maps  $s_k^{\mathrm{sym}}, s_k^{\mathrm{tr}} \colon P \to P$  by

$$s_k^{\text{sym}}(\mu) = \lambda \Leftrightarrow \text{the symmetric interval-firing stabilization of } \mu \text{ is } \eta_k(\lambda);$$
 $s_k^{\text{tr}}(\mu) = \lambda \Leftrightarrow \text{the truncated interval-firing stabilization of } \mu \text{ is } \eta_k(\lambda).$ 

We want to show that there exists (*Ehrhart-like*) polynomials  $L_{\lambda}^{\mathrm{sym}}(k)$ ,  $L_{\lambda}^{\mathrm{tr}}(k)$  such that for all  $k\geq 0$ ,

$$\#(s_k^{\text{sym}})^{-1}(\lambda) = L_{\lambda}^{\text{sym}}(k);$$
$$\#(s_k^{\text{tr}})^{-1}(\lambda) = L_{\lambda}^{\text{tr}}(k).$$

#### **Theorem**

For all  $\Phi$  and all  $\lambda \in P$ , the symmetric polynomial  $L_{\lambda}^{\text{sym}}(k)$  exists.

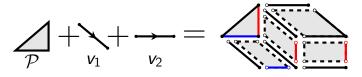
#### **Theorem**

For simply laced  $\Phi$  and all  $\lambda \in P$ , the truncated polynomial  $L^{\mathrm{tr}}_{\lambda}(k)$  exists.

# Lattice points in dilated zonotope plus fixed polytope

#### **Theorem**

For any lattice polytope  $\mathcal P$  and lattice zonotope  $\mathcal Z$ , the number of lattice points in  $\mathcal P+k\mathcal Z$  is given by a polynomial (with  $\mathbb Z_{\geq 0}$  coefficients) in k.



#### Corollary

For any dominant  $\lambda \in P$ ,  $\#\Pi^Q(\lambda + k\rho)$  is given by a polynomial (with  $\mathbb{Z}_{\geq 0}$  coefficients) in k.

The previous corollary leads to the existence of the  $L_{\lambda}^{\text{sym}}(k)$ .

# Decomposing connected components of interval firing

For fixed k, the firing moves in truncated interval-firing are a subset of the moves in symmetric interval-firing, so the symmetric "connected components" break into truncated components. Similarly, the k-1 symmetric moves are a subset of the k truncated moves, so the truncated components break into k-1 symmetric components. Ideally the way that these break up would be consistent with  $\eta_k$ . This is indeed the case.

#### Lemma

For all  $\Phi$ ,  $\mu \in P$ , and  $k \ge 0$ ,  $s_k^{\text{sym}}(\mu) = s_0^{\text{sym}}(s_k^{\text{tr}}(\mu))$ .

#### Lemma

For simply laced  $\Phi$ ,  $\mu \in P$ , and  $k \ge 1$ ,  $s_k^{\mathrm{tr}}(\mu) = s_1^{\mathrm{tr}}(s_{k-1}^{\mathrm{sym}}(\mu))$ .

The previous lemma leads to the existence of the  $L_{\lambda}^{\mathrm{tr}}(k)$ . The simply laced assumption is technical and we expect it can be dropped.

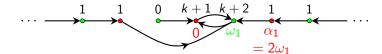
#### Sizes of fibers of iterates of a function

By iterating the previous two lemmas we obtain (for simply laced  $\Phi$ ) that

$$s_k^{\text{sym}}(\mu) = (s_1^{\text{sym}})^k(\mu).$$

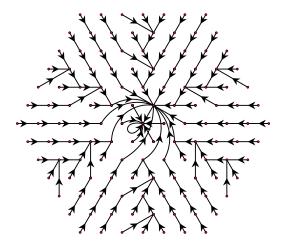
But we know that  $\#(s_k^{\mathrm{sym}})^{-1}(\mu) = L_{\lambda}^{\mathrm{sym}}(k)$  is given by a polynomial. So we conclude that  $s_1^{\mathrm{sym}} \colon P \to P$  is a function for which the sizes of fibers of iterates are all given by polynomials.

Example for  $\Phi = A_1$ :



### Another iteration example

For  $\Phi=A_2$ , it turns out that  $\rho\in Q$  and hence  $s_1^{\mathrm{sym}}$  descends to a map  $s_1^{\mathrm{sym}}\colon Q\to Q$ . Here is that map:



# Positivity conjecture

#### Conjecture

For all  $\Phi$  and all  $\lambda \in P$ , the polynomials  $L_{\lambda}^{\mathrm{sym}}(k)$  and  $L_{\lambda}^{\mathrm{tr}}(k)$  exist and have coefficients in  $\mathbb{Z}_{\geq 0}$ .

Our proofs show the coefficients are in  $\mathbb{Z}$ . We can prove positivity of coefficients only when  $\lambda$  is zero or a minuscule weight. A reasonable amount of computational evidence backs up this conjecture.

#### Future directions

- Prove the Ehrhart-like polynomial positivity conjecture. (Hard?)
- Is there a connection between interval-firing and the quasi-invariants of W? (For simplicity I didn't define this but for non-simply laced Φ there is a "two parameter" version of interval-firing.)
- Is there a connection between interval-firing and the extended  $\Phi^{\vee}$ -Catalan and  $\Phi^{\vee}$ -Shi arrangements (known to be free, affirming conjecture of Edelman-Reiner, by work of Yoshinaga & Terao)?
- Is there a more conceptual proof that central-firing modulo the Weyl group is confluent (our proof uses Newman's lemma in an unilluminating way)?
- Further understand the pattern of central-firing confluence.

# Thank you!

#### References:

- Hopkins, McConville, Propp. "Sorting via chip-firing." Electronic Journal of Combinatorics, 24(3), 2017.
- Galashin, Hopkins, McConville, Postnikov. "Root system chip-firing I: Interval-firing." arXiv:1708.04850.
- Galashin, Hopkins, McConville, Postnikov. "Root system chip-firing II: Central-firing." arXiv:1708.04849.