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## Modules over a ring §4.1

We now begin the last chapter of the semester, on modules. When we studied groups, we saw that looking at their actions on sets was very useful. A module is something that a ring acts on; but it is more than just a set: it's an abelian group.

Def'n Let  $R$  be a ring (possibly noncommutative, but with 1). A (left)  $R$ -module is an abelian group  $A$  together with a map  $R \times A \rightarrow A$  (we denote  $(r, a) \mapsto ra$ ) such that

- $r(a+b) = ra + rb \quad \forall r \in R, a, b \in A$
- $(r+s)a = ra + sa \quad \forall r, s \in R, a \in A$
- $r(sa) = (rs)a \quad \forall r, s \in R, a \in A$
- $1a = a \quad \forall a \in A$

Def'n If  $A$  and  $B$  are  $R$ -modules, a homomorphism <sup>( $R$ -module)</sup> is a map  $\varphi: A \rightarrow B$  such that  $\varphi(x+y) = \varphi(x) + \varphi(y) \quad \forall x, y \in A$  and  $\varphi(rx) = r\varphi(x) \quad \forall x \in A, r \in R$ .

E.g. If  $R = \mathbb{Z}$ , then an  $R$ -module is the same thing as an abelian group: indeed  $\mathbb{Z}$  acts on any abelian group  $G$  by  $n \cdot g = \underbrace{g + g + \dots + g}_{n \text{ times}}$  for  $g \in G$  and  $n \in \mathbb{Z}$  (where  $(-1) \cdot g = g^{-1}$ , etc.). And a  $\mathbb{Z}$ -module homo.  $A \rightarrow B$  is the same as a group homo.

So modules generalize abelian groups. They also generalize vector spaces:

E.g. If  $R = K$  is a field, then an  $R$ -module is the same thing as a vector space  $V$  over  $K$ , and a  $R$ -module homo.  $V \rightarrow W$  is the same as a linear transformation.

So the study of modules is like a version of linear algebra for rings (but we have to be careful since linear independence does not hold.)

E.g.: If  $R = M_n(K)$ , matrix algebra over a field  $K$ , then one  $R$ -module is  $K^n$ , where  $Mv$  for  $M \in M_n(K)$  and  $v \in K^n$  is given by usual matrix multiplication, viewing  $v$  as a column vector.

E.g.: Consider  $R = K[G]$ , the group algebra of a group  $G$  over a field  $K$ . Then an  $R$ -module is the same thing as a vector space  $V$  over  $K$  together with a homomorphism  $\varphi: G \rightarrow GL(V)$ , where  $GL(V)$  is the general linear group of  $V$ , the ~~set~~<sup>group</sup> of all invertible linear transformations  $V \rightarrow V$ . This is also called a representation of group  $G$  over field  $K$ , and the study of group representations is a ~~very~~<sup>huge</sup> subject!

We see that modules over noncommutative rings are very interesting, but we will mostly consider commutative rings from now on.

E.g. If  $R$  is a commutative ring and  $I \subseteq R$  is an ideal, then  $I$  is an  $R$ -module (w/ the natural multiplication by elts of  $R$ ) but also  $R/I$  is an  $R$ -module. In commutative algebra, quotients by ideals are a major source of modules.

E.g. Let's do a particular example. Let  $R = \mathbb{C}[x]$  be the poly. ring. And let  $I = \langle x^2 + 2x - 1 \rangle \subseteq R$  and  $M = R/I$ , as an  $R$ -module. Note that  $M = \{a + bx : a, b \in \mathbb{C}\} \simeq \mathbb{C}^2$  as an abelian gp., but we have also the action of  $R$  on  $M$  to understand. Of course  $1 \cdot m = m$  for all  $m \in M$ , but what about  $x \in R$ ? Note that  $x \cdot 1 = x$ , while

$$x \cdot x = x^2 = -2x + 1 \in M \quad (\text{since } x^2 + 2x - 1 = 0)$$

From this we can deduce the action of any  $f \in \mathbb{C}[x]$  on  $M$ .

Just like in linear algebra, where even more important than vector spaces are linear transformations (a.k.a. matrices), we care about module homomorphisms.

Def'n Let  $\varphi: A \rightarrow B$  be an  $R$ -module homomorphism. We define its image  $\text{im}(\varphi) = \{\varphi(a) : a \in A\} \subseteq B$  and kernel  $\text{ker}(\varphi) = \{a \in A : \varphi(a) = 0\} \subseteq A$  as usual, and we say  $\varphi$  is an epimorphism if it's surjective ( $\text{im}(\varphi) = B$ ) and a monomorphism if it's injective ( $\text{ker}(\varphi) = 0$ ), isomorphism if both.

Def'n Let  $A \xrightarrow{\varphi_1} B \xrightarrow{\varphi_2} C$  be a sequence of  $R$ -module homomorphisms. We say this sequence is exact if  $\text{im}(\varphi_1) = \text{ker}(\varphi_2)$ .

Similarly if  $A_1 \xrightarrow{\varphi_1} A_2 \xrightarrow{\varphi_2} A_3 \xrightarrow{\varphi_3} A_4 \dots$  is a sequence of  $R$ -mod. hom's we say it is exact if  $\text{im}(\varphi_i) = \text{ker}(\varphi_{i+1})$  for all  $i$ .

Exact sequences are extremely important in the study of modules, but it can be a bit hard to understand their significance at first...

Def'n A short exact sequence is a sequence  $0 \rightarrow A \xrightarrow{\alpha} B \xrightarrow{\beta} C \rightarrow 0$  that is exact, where  $0$  is the trivial  $R$ -module (trivial group). What does this mean? Well since  $\text{ker}(\alpha) = \text{im}(0 \rightarrow A) = 0$ , we must have that  $\alpha$  is a monomorphism, and since  $\text{im}(\beta) = \text{ker}(C \rightarrow 0) = C$ , must have that  $\beta$  is an epimorphism. Together with  $\text{im}(\alpha) = \text{ker}(\beta)$ , this is all we need.

Def'n Let  $A$  and  $B$  be two  $R$ -modules. The direct sum  $A \oplus B$  is the direct sum as an abelian group, with  $r \cdot (a, b) = (ra, rb)$  for all  $r \in R$ ,  $(a, b) \in A \oplus B$ .

E.g. Given two  $R$ -modules  $A$  and  $B$ , there is a SES

$$0 \rightarrow A \xrightarrow{i} A \oplus B \xrightarrow{\pi} B \rightarrow 0$$

where  $A \xrightarrow{i} A \oplus B$  is the canonical inclusion, and

$A \oplus B \xrightarrow{\pi} B$  is the canonical projection. Are all SES like that?

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Def'n We say that two SES;  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ ,  $0 \rightarrow A' \rightarrow B' \rightarrow C' \rightarrow 0$  are isomorphic if there are iso's  $f: A \rightarrow A'$ ,  $g: B \rightarrow B'$ ,  $h: C \rightarrow C'$  s.t.

$$\begin{array}{ccccccc} 0 & \rightarrow & A & \rightarrow & B & \rightarrow & C \rightarrow 0 \\ & & \downarrow f & & \downarrow g & & \downarrow h \\ 0 & \rightarrow & A' & \rightarrow & B' & \rightarrow & C' \rightarrow 0 \end{array}$$

making the diagram commute (going two ways around square gives the same map).

Rmk: "Homological algebra" studies commutative diagrams ("diagram chasing").

Def'n A SES  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  is split if it is isomorphic to one of the form  $0 \rightarrow X \xrightarrow{i} X \oplus Y \xrightarrow{\pi} Y \rightarrow 0$

Thm If  $R = K$  is a field, then any SES of vector spaces  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  is split.

We will discuss the proof of this thm later, but it amounts to the fact that any set of linearly independent vectors extends to a basis.

So is every SES split? No!

E.g. Let  $R = \mathbb{Z}$ , so that  $R$ -modules are just abelian groups.

Let  $n \geq 1$ . Consider the sequence  $0 \rightarrow \mathbb{Z} \xrightarrow{\cdot n} \mathbb{Z} \xrightarrow{\pi} \mathbb{Z}/n\mathbb{Z} \rightarrow 0$ .

Here  $\mathbb{Z} \xrightarrow{\cdot n} \mathbb{Z}$  is the "multiplication by  $n$ " map

$a \mapsto n \cdot a$ . This is injective, so  $0 \rightarrow \mathbb{Z} \xrightarrow{\cdot n} \mathbb{Z}$  is exact.

And  $\mathbb{Z} \xrightarrow{\pi} \mathbb{Z}/n\mathbb{Z}$  is the quotient map  $a \mapsto a \bmod n$ , which is surjective, so  $\mathbb{Z} \xrightarrow{\pi} \mathbb{Z}/n\mathbb{Z} \rightarrow 0$  is exact.

Finally, notice that  $\text{im}(\mathbb{Z} \xrightarrow{\cdot n} \mathbb{Z}) = n\mathbb{Z} = \ker(\mathbb{Z} \xrightarrow{\pi} \mathbb{Z}/n\mathbb{Z})$ ,

so we indeed have a short exact sequence of abelian groups.

But it is not split!  $\mathbb{Z}$  is not isomorphic to  $\mathbb{Z} \oplus \mathbb{Z}/n\mathbb{Z}$  because it has no torsion elements!

## Free Modules and Vector Spaces § 4.2

Def'n For  $M$  an  $R$ -module, a submodule  $N \subseteq M$  is a subset that is a sub-abelian group and is closed under the action of  $R$ : i.e.,  $r \cdot n \in N$  for all  $n \in N$ ,  $r \in R$ .

Given a subset  $X \subseteq M$ , the submodule generated by  $X$ ,  $\langle X \rangle$ , is the smallest submodule containing  $X$ ; concretely

$$\langle X \rangle = \{ r_1 a_1 + r_2 a_2 + \dots + r_n a_n : a_1, \dots, a_n \in X, r_1, \dots, r_n \in R \}$$

We say  $M$  is finitely generated if  $M = \langle X \rangle$  for a finite  $X \subseteq M$ , and say  $M$  is cyclic if it is generated by a single element, i.e.  $M = \langle x \rangle$  for some  $x \in M$ .

If  $\langle X \rangle = M$  for some  $X \subseteq M$ , then we say the subset  $X$  spans  $M$  (like in linear algebra).

Def'n A subset  $X \subseteq M$  is linearly independent if whenever  $r_1 a_1 + r_2 a_2 + \dots + r_n a_n = 0$  for  $a_1, \dots, a_n \in X$ ,  $r_1, \dots, r_n \in R$  then we must have  $r_i = 0$  for all  $i$ . (Just like linear algebra!)

We say  $X$  is a basis of  $M$  if it spans  $M$  and is linearly independent. We say the  $R$ -module  $M$  is free if it has a basis.

E.g. For any ring  $R$ ,  $R$  is naturally a (left)  $R$ -module, and in fact it is a free  $R$ -module since  $1 \in R$  is a basis.

More generally  $R^n = R \oplus R \oplus \dots \oplus R$  is a free  $R$ -module with basis  $\{(1, 0, 0, \dots, 0), (0, 1, 0, \dots, 0), \dots, (0, 0, 0, \dots, 1)\}$ .

E.g. Let  $R = \mathbb{Z}/6\mathbb{Z}$ . Then  $\mathbb{Z}/3\mathbb{Z}$  is naturally an  $R$ -module

(viewing  $\mathbb{Z}/3\mathbb{Z} = (\mathbb{Z}/6\mathbb{Z})/(\mathbb{Z}/2\mathbb{Z})$ ), but it is not

a free  $R$ -module because  $\pm 1 \in \mathbb{Z}/3\mathbb{Z}$  would need to

be in a basis, but  $3 \cdot (\pm 1) = 0 \in \mathbb{Z}/3\mathbb{Z}$  so it is not linearly independent.

Thm For any ring  $R$  (with 1), the following are equivalent for  $M$  an  $R$ -mod.:

1)  $M$  is a free  $R$ -module

2)  $M$  is isomorphic to  $\bigoplus_i R$ , direct sum of copies of  $R$  indexed by some (possibly infinite) set  $I$ .

Moreover, if  $M$  is a finitely generated free  $R$ -module, then  $M \cong R^n$  for some  $n \geq 1$ . Pfi: Skipped, see book.

Free  $R$ -modules behave like vector spaces over a field.

Now we will recall some facts from linear algebra about v.s.'s.

Thm If  $K$  is a field, then every  $K$ -module is free, since it is a vector space and every vector space has a basis.

Thm Let  $V$  be a vector space over a field  $K$ .

Then: any linearly independent subset of  $V$  can be extended to a maximal linearly independent subset, which spans  $V$ , i.e., is a basis.

Moreover, all bases of  $V$  have same cardinality.

Remark: All of this remains true for a skew field  $K$  like the quaternions  $\mathbb{H}$ : see the book.

Def'n The dimension  $\dim_K(V)$  of a vector space  $V$  over a field  $K$  is the cardinality of any  $K$ -basis of  $V$ .

If  $\dim_K(V) < \infty$  we say  $V$  is finite dimensional,

and in this case we will have  $V \cong K^{\dim_K(V)}$ .

Ex. For  $K = \mathbb{Z}/p\mathbb{Z}$  ( $p$  prime) a finite field with  $p$  elements,

and  $V$  a finite dimensional vector space over  $K$

with  $\dim_K(V) = n$ , we have  $(\mathbb{Z}/p\mathbb{Z})^n \cong V$ , so

in particular  $|V| = |(\mathbb{Z}/p\mathbb{Z})|^n = p^n$ .

We would like to define an analog of dimension, which we will call the rank, for any <sup>free</sup>  $R$ -module  $M$  for any ring  $R$ .

E.g., For  $R = \mathbb{Z}$ , we know every finitely generated free abelian group (i.e. free  $\mathbb{Z}$ -module) is isomorphic to  $\mathbb{Z}^n$ , where  $n$  is the rank we are talking about.

However, it is a bizarre fact that there are some noncommutative rings  $R$  which have  $R \cong R \oplus R$  as  $R$ -modules, meaning there cannot be a coherent notion of rank for free modules over such  $R$  (See Exercise 13 in § 4.2 of book - example is complicated.)

Nevertheless, this cannot happen for commutative  $R$ :

Thm Let  $R$  be a commutative ring, and let  $M$  be a free  $R$ -module. Then every basis of  $M$  has the same cardinality, which we call the rank of  $M$ .

Pf sketch: The idea is to view  $M$  as a vector space over some field and then use its dimension over that field as the rank over  $R$ . More precisely, choose any maximal ideal  $I$  of  $R$ . Then we know  $K = R/I$  is a field. And also,

$M \otimes_R K$  is a  $K$ -module, i.e., a vector space over  $K$ , where  $\otimes_R$  denotes tensor product of  $R$ -modules, a concept we will learn about soon. Any  $R$ -basis of  $M$  becomes a  $K$ -basis of  $M \otimes_R K$ , so indeed the rank of  $M$  is well defined as  $\dim_K (M \otimes_R K)$ .  $\square$