

4/13

Longest increasing subsequences

DEF'N Let $\sigma = \sigma_1 \sigma_2 \dots \sigma_n \in S_n$ be a permutation.

A subsequence of σ is $\sigma_{i_1} \sigma_{i_2} \dots \sigma_{i_k}$ for $i_1 < \dots < i_k$ and is increasing if $\sigma_{i_1} < \sigma_{i_2} < \dots < \sigma_{i_k}$.

Let $\text{lis}(\sigma) := \text{length of longest increasing subsequence}$

e.g. For $\sigma = \underline{2} \underline{4} 7 9 \underline{5} 1 \underline{3} \underline{6} 8$ have $\text{lis}(\sigma) = 5$
(with longest ~~sub~~ increasing subsequence underlined).

Note: L.I.S. need not be unique: $\frac{1}{\text{m}} \frac{2}{\text{m}} \frac{4}{\text{m}} \frac{3}{\text{m}}$

Increasing subsequences are a basic kind of permutation pattern (ask Prof. Burstein for more info...)

Studying LIS's is very natural from point of view of statistical analysis of time series data.

There is a close connection between the Robinson-Schensted algorithm and longest increasing subsequences:

Thm Suppose $\sigma \xrightarrow{\text{RS}} (P, Q)$ w/ $\text{sh}(P) = \lambda = (\lambda_1, \lambda_2, \dots)$.

Then $\lambda_1 = \text{lis}(\sigma)$.

e.g. $\sigma = \underline{5} \underline{2} \underline{3} \underline{6} \underline{4} \underline{1} \underline{7} \xrightarrow{\text{RS}} (P = \begin{array}{|c|c|c|c|} \hline 1 & 3 & 4 & 7 \\ \hline 2 & 6 & & \\ \hline 5 & & & \\ \hline \end{array}, Q = \begin{array}{|c|c|c|} \hline 1 & 3 & 4 & 7 \\ \hline 2 & 5 & & \\ \hline 6 & & & \\ \hline \end{array})$

and indeed $\lambda_1 = 4 = \text{lis}(\sigma)$.

But note: 1st row of P ($= 1347$) is not a LIS of σ (just has same length)

Pf of thm: Suppose $\sigma = P_0, P_1, \dots, P_n = P$ is the sequence of insertion tableau we build up when inserting $\tau_1, \tau_2, \dots, \tau_n$.

Claim: When inserting τ_k into P_{k-1} , if it enters in the j^{th} column, then the longest increasing subsequence ending at τ_k has length j .

Pf: By induction. The case $k=1$ is fine. So suppose x is entry in P_{k-1} in position $(1, j-1)$ (i.e., left of τ_k). Then by induction there is a subsequence τ' of $\tau_1, \dots, \tau_{k-1}$ of length $j-1$ ending at x , and since $x < \tau_k$ (or else we would've bumped it), the concatenation $\tau' \tau_k$ is a length j increasing subsequence. Similarly, to show there cannot be a longer subsequence, let $y \in \{\tau_1, \dots, \tau_{k-1}\}$ be s.t. $y < \tau_k$. By induction, when we inserted y we did so at col. with longest subseq. ending at y , call it j' . Cannot have $j' \leq j$, otherwise we would've inserted τ_k into a later column. So $j' < j$, and so longest inc. subseq. ending at τ_k can have length at most $j' + 1 \leq j$. \checkmark

What about the whole shape $\lambda = (\lambda_1, \lambda_2, \dots)$?

Thm (Greene) Suppose $\sigma \xrightarrow{\text{rs}} (P, Q)$ w/ $\text{sh}(P) = \lambda$. Then for all K , $\lambda_1 + \lambda_2 + \dots + \lambda_K = \text{length of}$ longest subsequence of σ that is a union of K increasing subsequences.

E.g. w/ $\tau = \underline{2} \underline{4} \underline{7} \underline{9} \underline{5} \underline{1} \underline{3} \underline{6} \underline{8}$ have $P = \boxed{\begin{matrix} 2 & 4 & 9 \\ 7 & \end{matrix}}$ and
 $2 \ 4 \ 7 \ 9 \sqcup 1 \ 3 \ 6 \ 8$ is a union of 2 increasing subsequences.

4/15 Can define decreasing subsequences of perm. π analogously, and let $l\text{ds}(\pi)$:= length of longest decr. subseq.

Thm If $f \xrightarrow{\text{rs}} (P, Q)$ w/ $\text{sh}(P) = \lambda$, then $\text{lcs}(G) = l(\lambda)$
 In fact this follows immediately from ...

Then* for $\sigma = \sigma_1 \sigma_2 \dots \sigma_n$ let $\sigma^{\text{rev}} = \sigma_n \sigma_{n-1} \dots \sigma_1$. Then if $\sigma \mapsto (P, Q)$ have $\sigma^{\text{rev}} \mapsto (P', Q')$ where $P' = P^t \leftarrow \underline{\text{transpose}}$.

To prove this symmetry property of RS, can use column insertion, which works same as (row) insertion, but where we try to put # into 1st column, and bump #'s from i^{th} column to $(i+1)^{\text{st}}$ column, etc.

Key Lemma Row and column insertions commute, i.e.,

$$T \xleftarrow[\text{row } a]{} \xleftarrow[\text{col } b]{} = T \xleftarrow[\text{col } b]{} \xleftarrow[\text{row } a]{}.$$

PS: See Sagan. B

$$\begin{aligned}
 \text{Pf of thm}^t: P' &= \sigma_1 \xrightarrow{\text{row}} \cdots \xrightarrow{\text{row}} \sigma_{n-1} \xrightarrow{\text{row}} \sigma_n \xrightarrow{\text{row}} \emptyset && (1^{\text{st}} \text{ insertion} \\
 &&& \text{is same w/ row or col}) \\
 &\Rightarrow \sigma_1 \xrightarrow{\text{row}} \cdots \xrightarrow{\text{row}} \sigma_{n-1} \xrightarrow{\text{row}} \sigma_n \xrightarrow{\text{row}} \emptyset \\
 &= \sigma_n \xrightarrow{\text{col}} \sigma_1 \xrightarrow{\text{row}} \cdots \xrightarrow{\text{row}} \sigma_{n-1} \xrightarrow{\text{row}} \emptyset && (\text{key lemma}) \\
 &= \sigma_n \xrightarrow{\text{col}} \sigma_{n-1} \xrightarrow{\text{col}} \cdots \xrightarrow{\text{col}} \sigma_1 \xrightarrow{\text{col}} \emptyset && (\text{repeat}) \\
 &= (\sigma_n \xrightarrow{\text{row}} \sigma_{n-1} \xrightarrow{\text{row}} \cdots \xrightarrow{\text{row}} \sigma_1 \xrightarrow{\text{row}} \emptyset)^t && (\text{transpose} \\
 &&& \text{of col} \\
 &&& \text{insert = row insert}) \\
 &= P^t \quad \checkmark
 \end{aligned}$$

Cor (Erdős-Szekeres Theorem)

for any $\tau \in S_{(n-1)(m-1)+1}$, have either
 $\text{lis}(\tau) \geq n$ or $\text{lds}(\tau) \geq m$.

Pf: Best way to minimize width and length of a partition
is $\lambda = \frac{1}{m} \begin{matrix} \boxed{\text{#}} \\ \vdots \\ \boxed{\text{#}} \end{matrix}$ but we need one more box ✓

Q: What is the expected length of longest incr. subseq.
of a random permutation?

Let $X_n := \text{lis}(\tau)$ for $\tau \in S_n$ (uniformly) random.

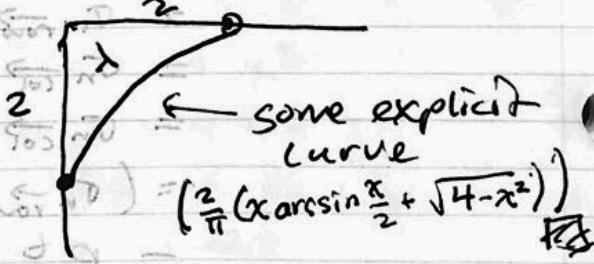
Ulam's Problem: Compute $\lim_{n \rightarrow \infty} \frac{\mathbb{E} X_n}{\sqrt{n}} = c$.
c. 1960's

E-S Thm says for any $\tau \in S_n$, have $\text{lis}(\tau) \geq \sqrt{n}$ or
 $\text{lis}(\tau^{\text{rev}}) \geq \sqrt{n}$
so that $c \geq \frac{1}{2}$. In fact...

Thm (Logan-Shepp, Kerov-Vershik, 1977)
Solution to Ulam's Problem is $c = \frac{2}{\pi}$

Idea of pf: Same as asking for length of λ when we
insert $\tau \in S_n$ into RS. In fact, this random
partition λ has

a precise
limit shape
(rescaling by $\frac{1}{\sqrt{n}}$):



4/18

Representation Theory of finite Groups:

In the last couple days, I want to explain why ring of Sym. fn.'s is important in algebra.

DEF'N Let V be an n -dim'l vector space over \mathbb{C} .

The general linear group $GL(V) = \{\text{invertible linear maps } V \rightarrow V\}$,
I.e., $GL(V) \cong \{n \times n \mathbb{C}\text{-matrices } M \text{ w/ } \det(M) \neq 0\}$.

Note: $GL(V)$ is an infinite group.

Let G be a finite group. We want to "represent" G by matrices.

DEF'N A representation of G is a group homomorphism
 $\varphi: G \rightarrow GL(V)$ for some v.s. V . In other words,
for each $g \in G$ we have a matrix $\varphi(g)$, and:
• $\varphi(gh) = \varphi(g) \cdot \varphi(h) \quad \forall g, h \in G$,
• $\varphi(e) = I_n$ identity matrix.

A representation of G is very similar to an action, except it is linear: we act by matrices, not permutations.

e.g. For any V and any G , can set $\varphi(g)(v) = v \quad \forall v \in V$, i.e.,
 $\varphi(g) = I_n$ identity matrix. This is called the trivial representation and is boring.

e.g. Suppose $G \leq X$ a finite set. Let $\mathbb{C}[X] := \left\{ \sum_{x \in X} c_x x : c_x \in \mathbb{C} \right\}$
be v.s. of formal linear combinations of elements of X .
Then $\mathbb{C}[X]$ is a G representation where $\varphi(g)(x) = g \cdot x$
for all basis vectors $x \in \mathbb{C}[X]$. In other words, each
 $\varphi(g)$ is the permutation matrix of its corresponding permutation.
This is called a permutation representation.

e.g. Let $G = \mathbb{Z}/n\mathbb{Z} = \{0, 1, \dots, n-1\}$. Let $V = \mathbb{C}$.
 We can define a representation $\varphi: G \rightarrow GL(V)$ by
 $\varphi(k) = (e^{2\pi i \cdot k/n})$ as matrix $\forall k = 0, 1, \dots, n-1$.

e.g. Let $G = S_n$ symmetric gp. and let $V = \mathbb{C}$
 The sign representation $\varphi: S_n \rightarrow GL(\mathbb{C})$ is $\varphi(\sigma) = (\begin{smallmatrix} \text{sgn}(\sigma) & \\ & \text{id}_{(V)} \end{smallmatrix})$

e.g. If U, V are G -representations, then, direct sum $U \oplus V$
 is another representation as matrices $(\begin{smallmatrix} U & 0 \\ 0 & V \end{smallmatrix})$ "block sum".

DEF'N A repr'n $\varphi: G \rightarrow GL(V)$ is irreducible if we
 cannot find a nontivial subspace U (i.e., $0 \neq U \neq V$)
 s.t. $g \in U \forall g \in G$ (i.e., invariant under all G).

FACT Every representation V of G is a direct sum
 $V = V_1 \oplus V_2 \oplus \dots \oplus V_k$ of irreducible reprns V_i .

e.g. Let $V = \mathbb{C}^n$ w/ standard basis $\{e_1, e_2, \dots, e_n\}$ and $G = S_n$,
 Let $\varphi: S_n \rightarrow GL(V)$ be the standard permutation repr'n,
 i.e. $\varphi(\sigma) e_i = e_{\sigma(i)}$. $\forall \sigma \in S_n, i=1, \dots, n$: V is reducible,

since $U_i = \{c e_1, c_2, \dots, c_n \in V : c \in \mathbb{C}\}$ is a nontrivial invariant subspace.

With $U_0 = \{(x_1, \dots, x_n) \in V : x_1 + \dots + x_n = 0\}$, we have

$V = U_1 \oplus U_0$ and U_1, U_0 are irreducible reprns,

trivial reprn

The FACT alone says that to understand all G -reprns,
 it's enough to understand the irreducible ones...

4/20

Characters of representations

Representations $\varphi: G \rightarrow GL(V)$ are matrix-valued functions, hence complicated to understand. It turns out we can "reduce" to studying "ordinary" \mathbb{C} -valued fn's $\chi: G \rightarrow \mathbb{C}$.

DEF'N Let φ be a representation of finite group G .

Its character $\chi_\varphi: G \rightarrow \mathbb{C}$ is the function

$$\chi_\varphi(g) = \text{Tr}(\varphi(g)) \leftarrow \begin{array}{l} \text{trace of} \\ \text{matrix} \end{array} \text{ for all } g \in G.$$

e.g. If V is 1-dim'l, then φ and χ_φ are the same thing...

R.-g. If φ is the permutation repr'n of an action $G \curvearrowright X$

$$\text{then } \chi_\varphi(g) = \#\text{Fix}(g: X \rightarrow X) \leftarrow \begin{array}{l} \text{why? think} \\ \text{abt. perm. matrix..} \end{array}$$

FACT For two G -repr's $\varphi_1: G \rightarrow GL(V_1)$, $\varphi_2: G \rightarrow GL(V_2)$

$$\text{have } \chi_{\varphi_1} = \chi_{\varphi_2} \Leftrightarrow \varphi_1 \text{ isomorphic to } \varphi_2$$

($\varphi_1 \simeq \varphi_2$ means \exists v.s. iso. $V_1 \cong V_2$ that commutes w/ G -action)

Upshot: enough to study characters, in fact, since we

$$\text{have } \chi_{\varphi_1 \oplus \varphi_2} = \chi_{\varphi_1} + \chi_{\varphi_2}, \text{ enough to}$$

study characters of irreducible reprns (+ their lin. comb's).

In fact, Characters χ are not just any kind of function $G \rightarrow \mathbb{C}$...

DEF'N A conjugacy class of G is set of the form

$$C = \{ghg^{-1}: g \in G\} \text{ for some } h \in G. \text{ A function}$$

$f: G \rightarrow \mathbb{C}$ is called a class function if it is constant on conjugacy classes, i.e. $f(h) = f(ghg^{-1}) \forall g, h \in G$.

Let $Cl(G) := \text{v.s. of class functions } f: G \rightarrow \mathbb{C}$.

Prop. Any character χ_φ is a class function.

PS: $\chi_\varphi(ghg^{-1}) = \text{Tr}(ghg^{-1}) = \text{Tr}(g^{-1} \cdot gh) = \text{Tr}(h)$

recall $\text{Tr}(AB) = \text{Tr}(BA)$ for matrices A, B \square

FACT 1. $\{\chi_{\varphi_1}, \dots, \chi_{\varphi_k}\}$ is a basis of $\text{Cl}(G)$, where $\varphi_1, \dots, \varphi_k$ are the irrep's of G (up to iso.).

2. With the inner product $\langle , \rangle : \text{Cl}(G) \times \text{Cl}(G) \rightarrow \mathbb{C}$

given by $\langle f, f' \rangle := \frac{1}{\#G} \sum_{g \in G} f(g) \overline{f'(g)}$,

the basis $\{\chi_{\varphi_1}, \dots, \chi_{\varphi_k}\}$ is orthonormal.

3. If $\varphi = \bigoplus_m c_m \varphi_m$ is decomposition of φ into irrep's,

then $c_m = \langle \chi_\varphi, \chi_{\varphi_m} \rangle$.

Note in particular that

$$\begin{aligned} \#\text{irreps (irreducible repr's)} &= \dim \text{Cl}(G) \\ &= \#\text{conjugacy classes of } G. \end{aligned}$$

e.g. G acts on itself by multiplication on the left, and corresponding perm. rep. is called the regular reprn. $\mathbb{C}[G]$

How does $\mathbb{C}[G]$ decompose into irrep's?

$$\begin{aligned} \langle \chi_{\mathbb{C}[G]}, \chi_{\varphi_m} \rangle &= \frac{1}{\#G} \sum_{g \in G} \chi_{\mathbb{C}[G]}(g) \overline{\chi_{\varphi_m}(g)} \\ &\stackrel{\# \text{Fix}(g: G \rightarrow G)}{=} \begin{cases} \#G & \text{if } g = e \\ 0 & \text{otherwise} \end{cases} \\ &= \frac{1}{\#G} \cdot \#G \cdot \overline{\chi_{\varphi_m}(e)} = \dim(\varphi_m). \end{aligned}$$

Hence

$$\#G = \dim \mathbb{C}[G] = \dim \left(\bigoplus_m \dim(\varphi_m) \cdot \varphi_m \right) = \sum_m (\dim(\varphi_m))^2$$

looks familiar...

4/22

Characters of the Symmetric Group.

Finally, by focusing on case $G = S_n$, we see symmetric functions.

Prop. Two permutations $\sigma, \sigma' \in S_n$ belong to same conjugacy class
 \Leftrightarrow they have the same cycle structure.

Pf: Exercise for you. Pf

So # conj. classes in $S_n = \#$ cycle structures = # partitions $\lambda + n$

So #irrep's of $S_n = \# \lambda + n$, and in fact there is a standard way to index irrep's by partitions.

e.g. Let $\text{triv}: S_n \rightarrow GL(\mathbb{C})$ be the trivial rep'n. Then

$$\text{triv} = \bigoplus_{\lambda \vdash n} \mathbb{C}_{(\lambda)} = \mathbb{C}_{(n)}$$

e.g. for $\text{sgn}: S_n \rightarrow GL(\mathbb{C})$ sign rep'n, $\text{sgn} = \bigoplus_{\lambda \vdash n} \mathbb{C}_{(\lambda^n)}$.

e.g. Recall standard perm rep'n $\mathbb{C}^n = U_1 \oplus U_0$

then $U_0 = \bigoplus_{\lambda \vdash n-1} \mathbb{C}_{(\lambda^n, 1)}$.

Write $\chi_\lambda = \chi_{\rho_\lambda} =$ character of irrep indexed by $\lambda + n$.

DEFN The Frobenius characteristic $\text{Fr}: Cl(S_n) \rightarrow \text{Sym}(n)$

is given by $\text{Fr}(\delta_\lambda) = P_\lambda \leftarrow \text{power sum}$

where δ_λ is class function $\delta_\lambda(\sigma) = \begin{cases} Z_\lambda & \text{if cycle type}(\sigma) = \lambda \\ 0 & \text{otherwise} \end{cases}$

and $Z_\lambda = \frac{n!}{1^{m_1} m_1! 2^{m_2} m_2! \dots} = \# \text{ perm's in } S_n \text{ w/ cycle type } \lambda = \lambda = (1^{m_1} 2^{m_2} \dots)$.

Since the δ_λ are a basis of $Cl(S_n)$ and P_λ are a basis of $\text{Sym}(n)$, this is clearly a v.i.s. isomorphism.

Thm $\text{Fr}(X_\lambda) = S_\lambda \leftarrow \text{schur function}$.

This is (one reason) why Schur fn's are so important!

Cor $\dim \varphi_\lambda = f^\lambda = \# \text{SYT of sh. } \lambda$

Pf: Via Fr, same as coeff. of $[x_1 x_2 \cdots x_n]$ in $S_\lambda = f^\lambda \checkmark$

More generally

Cor If $X_\lambda(\mu) = \text{ch. evaluated at a perm. of cycle type } \mu$,

$$\text{then } S_\lambda = \sum_{\mu} X_\lambda(\mu) \cdot z_\mu^{-1} P_\mu.$$

exists combinatorial rule for these coeff's, called
the Murnaghan-Nakayama rule.

Also note that... by the regular representation, have

$$n! = \# S_n = \sum_{\lambda \vdash n} \dim(\varphi_\lambda)^2 = \sum_{\lambda \vdash n} (f^\lambda)^2,$$

which we saw earlier using R.S. algorithm.

Finally, using something called the induction product
of representations of $S_k \times S_{n-k} \rightarrow S_n$,

we can get ring structure on $\text{Sym} = \bigoplus \text{Sym}(n)$,

Structure constants $S_\lambda, S_\mu = \sum_v c_{\lambda\mu}^v S_v$ are
called Littlewood-Richardson coefficients,
also very important!

e.g. Character table for S_3 :

	$(1)(2)(3)$	$(12)(3)$, $(13)(2)$, $(1)(23)$	(123) , (132)
$\chi_{\text{triv}} = \chi_{\square}$	1	1	1
$\chi_{\text{sgn}} = \chi_{\boxplus}$	1	-1	1
$\chi_{\text{std}} = \chi_{\boxtimes}$	2	0	-1

to compute these,
use $S_3 \curvearrowright C^3 = F_{\text{triv}} \oplus$
 F_{sgn} "40
so $\chi_{\text{std}}(\sigma) = \# F_i \cdot x(\sigma)$
- 2 ✓

$$\text{So e.g. } S_{(2,1)} = \frac{1}{3!} (2 \cdot 1 \cdot P_{(1,1,1)} + 0 \cdot 3 \cdot P_{(2,1)} + -1 \cdot 2 \cdot P_{(3)}) //$$

Thanks for taking my course!

There are many more things to
be said about symmetric functions,

(+ combinatorics in general)

so please don't hesitate to ask me about
anything you might be interested in
learning more about.

Have a nice summer!