

Notes from *Lectures on Riemann Surfaces*

by Otto Forster

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## **Publisher's Description**

This book grew out of lectures on Riemann surfaces given by Otto Forster at the universities of Munich, Regensburg, and Münster. It provides a concise modern introduction to this rewarding subject, as well as presenting methods used in the study of complex manifolds in the special case of complex dimension one.

## **A Note From the Transcriber**

These notes were taken as part of self-study. I was interested in covering spaces of open subsets of  $\mathbb{C}$  for exploring two-dimensional potential fluid flow. Though the project has been largely unsuccessful, it was a good learning opportunity. Because I no longer have any project relating specifically to Riemann surfaces, I will likely not continue these notes.

These notes assume very little. One-dimensional complex analysis and some point-set topology (or familiarity with manifolds). These should suit mid-to-late undergrads, and applications outlined should be familiar to them. Graduate students interested in Riemann surfaces should probably seek out a book with a wider scope. For an algebro-geometric perspective of complex geometry, check out Griffiths' and Harris' *Principles of Algebraic Geometry*, which I have taken some notes of.

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## 0.1 Covering Spaces

### 0.1.1 The Definition of Riemann Surfaces

**Definition 0.1.1.** Let  $X$  be 2-d manifold. A complex chart on  $X$  is a homeomorphism  $\phi : U \rightarrow V$  of an open subset  $U$  of  $X$  onto an open subset  $V \subset \mathbb{C}$ . Two chart  $\phi_1, \phi_2$  are said to be holomorphically compatible if the overlap map

$$\phi_2 \circ \phi_1^{-1} : \phi(U_1 \cap U_2) \rightarrow \phi(U_1 \cap U_2)$$

is biholomorphic. A complex atlas is a collection of mutually holomorphically compatible charts whose domains cover  $X$ .

**Remark 0.1.1.** Open subdomains of complex charts naturally induce a holomorphically compatible chart by restriction. Additionally, holomorphic compatibility is an equivalence relation.

**Definition 0.1.2.** A complex structure on a two-dimensional manifold is an equivalence class of holomorphically compatible atlases. A Riemann Surface is a pair of a connected 2-d manifold and a complex structure on the manifold.

**Definition 0.1.3.** Let  $X$  be a Riemann surface and  $Y \subset X$  an open subset. A function  $f : Y \rightarrow \mathbb{C}$  is called holomorphic if for every chart  $\psi$ , the composition  $f \circ \psi^{-1} : U \cap V \rightarrow \mathbb{C}$  is holomorphic. The set of holomorphic functions on  $Y$  will be denoted by  $\mathcal{O}(Y)$ .

**Remark 0.1.2.** 1. The sum and product of holomorphic functions are again holomorphic, and constant functions are holomorphic. Thus  $\mathcal{O}(Y)$  is a  $\mathbb{C}$ -algebra.

2. One only needs check the holomorphicity of a covering set of charts for  $Y$ , not every single chart.

3. The 'coordinate charts'  $\psi$  is trivially holomorphic. One usually uses the letter  $z$  instead of  $\psi$ .

**Theorem 0.1.1** (Riemann's Removable Singularities Theorem). *Let  $U$  be an open subset of a Riemann surface and  $a \in U$ . Suppose  $f \in \mathcal{O}(U \setminus \{a\})$  is bounded in some neighborhood of  $a$ . Then  $f$  can be uniquely extended to a function  $\bar{f} \in \mathcal{O}(U)$*

**Definition 0.1.4.** *Suppose  $X$  and  $Y$  are Riemann surfaces. A continuous mapping  $f : X \rightarrow Y$  is called holomorphic if every coordinate representation of the function is holomorphic as a map from  $\mathbb{C}$  to  $\mathbb{C}$ .*

*A mapping is biholomorphic if it is bijective, holomorphic, and its inverse is holomorphic. Two surfaces are isomorphic if there is a biholomorphic mapping between them.*

**Remark 0.1.3.** 1. *When the target space is the complex plane, holomorphic mappings are clearly the same as holomorphic functions.*

2. *Composition of holomorphic mappings are again holomorphic.*

3. *A holomorphic mapping induces a ring homomorphism:*

$$f^* : \mathcal{O}(V) \rightarrow \mathcal{O}(f^{-1}(V)); f^*(\psi) = \psi \circ f$$

**Theorem 0.1.2** (Identity Theorem). *Suppose  $X$  and  $Y$  are Riemann surfaces and  $f_1, f_2 : X \rightarrow Y$  are two holomorphic mappings which coincide on a set  $A \subset X$  with limit point  $a \in X$ . Then  $f_1, f_2$  are identically equal.*

**Theorem 0.1.3.** *Let  $Y \subset_{\text{op}} X$  be an open subset of a Riemann surface  $X$ . A meromorphic function on  $Y$  is a holomorphic function  $f : Y' \rightarrow \mathbb{C}$ ,  $Y'$  an open subset with the following:*

1.  $Y \setminus Y'$  consists of only isolated points.
2. For every point  $p \in Y \setminus Y'$ ,

$$\lim_{x \rightarrow p} |f(x)| = \infty$$

*The points of  $Y \setminus Y'$  are called the poles of  $f$ . The set of all meromorphic functions on  $Y$  is denoted by  $\mathcal{M}(Y)$ .*

**Theorem 0.1.4.** *Suppose  $X$  is a Riemann surface and  $f \in \mathcal{M}(X)$ . For each pole  $p$  of  $f$ , define  $f(p) = \infty$ . Then  $f : X \rightarrow \mathbb{P}^1$  is a holomorphic mapping. Conversely, if  $f : X \rightarrow \mathbb{P}^1$  is a holomorphic mapping, then  $f$  is either identically equal to  $\infty$ , or  $f^{-1}(\infty)$  is a set of isolated points and thus  $f : X \setminus f^{-1}(\infty) \rightarrow \mathbb{C}$  is a meromorphic function on  $X$ .*

### 0.1.2 Elementary Properties of Holomorphic Mappings

**Theorem 0.1.5** (Local Behavior of Holomorphic Mappings). *Suppose  $X$  and  $Y$  are Riemann surfaces and  $f : X \rightarrow Y$  a holomorphic mapping. Suppose  $a \in X$  and  $b = f(a)$ . Then there exists an integer  $k \geq 1$  and charts  $\phi : U \rightarrow V$  on  $X$  and  $\psi : U' \rightarrow V'$  on  $Y$  with the following properties:*

1.  $a \in U; \phi(a) = 0; b \in U'; \psi(b) = 0$
2.  $f(U) \subset U'$
3. The map  $F = \psi \circ f \circ \phi^{-1} : V \rightarrow V'$  is given by  $F(z) = z^k$

**Remark 0.1.4.** *The number  $k$  in theorem 5 can be characterized in the following way. For every neighborhood  $U_0$  of  $a$  there exist neighborhoods  $U \subset U_0$  of  $a$  and  $W$  of  $b = f(a)$  such that the set  $f^{-1}(y) \cap U$  contains  $k$  elements for every points  $y \in W, y \neq b$ . One calls  $k$  the multiplicity of  $f$  as  $a$ .*

**Corollary 0.1.1.** *Let  $X$  and  $Y$  be Riemann surfaces and let  $f : X \rightarrow Y$  be a non-constant holomorphic mapping. Then  $f$  is open; taking open sets to open sets.*

**Corollary 0.1.2.** *Let  $X$  and  $Y$  be Riemann surfaces, and let  $f : X \rightarrow Y$  be an injective holomorphic mapping. Then  $f$  is a biholomorphic mapping of  $X$  onto  $f(X)$ .*

**Corollary 0.1.3** (Maximum Principle). *Suppose  $X$  is a Riemann surface and  $f : X \rightarrow \mathbb{C}$  is a non-constant holomorphic function. Then the absolute value of  $f$  does not attain its maximum.*

**Theorem 0.1.6.** *Suppose  $X$  and  $Y$  are Riemann surfaces. Suppose  $X$  is compact and  $f : X \rightarrow Y$  is a non-constant holomorphic mapping. Then  $Y$  is compact and  $f$  is surjective.*

**Corollary 0.1.4.** *Every holomorphic function on a compact Riemann surface is constant.*

**Corollary 0.1.5.** *Every meromorphic function  $f$  on  $\mathbb{P}^1$  is a rational function.*

**Theorem 0.1.7** (Liouville's Theorem). *Every bounded holomorphic function  $f : \mathbb{C} \rightarrow \mathbb{C}$  is constant.*

### 0.1.3 Branched and Unbranched Coverings

**Definition 0.1.5.** *Suppose  $X$  and  $Y$  are topological spaces and  $p : Y \rightarrow X$  is a continuous map. For  $x \in X$ , the set  $p^{-1}(x)$  is called the fiber of  $p$  over  $x$ . If  $y \in p^{-1}(x)$ , we say  $y$  lies over  $x$ . If  $p : Y \rightarrow X$  and  $q : Z \rightarrow X$  are continuous maps, then a map  $f : Y \rightarrow Z$  is called fiber-preserving if  $p = q \circ f$ . This means that any points  $Y \in Y$  lying over the point  $x \in X$  is mapped to a point which also lies over  $x$ .*

A subset  $A$  of a topological space is called discrete if the subspace topology on  $A$  is discrete. A mapping  $p : Y \rightarrow X$  between topological spaces  $X$  and  $Y$  is said to be discrete if every fiber is a discrete subset of  $Y$ .

**Theorem 0.1.8.** Suppose  $X$  and  $Y$  are Riemann surfaces and  $p : Y \rightarrow X$  is a non-constant holomorphic map. Then  $p$  is open and discrete.

If  $p : Y \rightarrow X$  is a non-constant holomorphic map, then we will say  $Y$  is a domain over  $X$ .

A holomorphic (meromorphic) function  $f$  may also be considered as a multivalued holomorphic function on  $X$  (??? this doesn't make sense).

**Definition 0.1.6.** Suppose  $X$  and  $Y$  are Riemann surfaces and  $p : Y \rightarrow X$  is a non-constant holomorphic map. A point  $y \in Y$  is called a branch point or ramification point of  $p$ , if there is no neighborhood  $V$  of  $y$  such that  $p|_V$  is injective. The map  $p$  is called an unbranched holomorphic map if it has no branch points.

**Theorem 0.1.9.** Suppose  $X$  and  $Y$  are Riemann surfaces. A non-constant holomorphic map  $p : Y \rightarrow X$  has no branch points iff  $p$  is a local homeomorphism, i.e. every point  $y \in Y$  has an open neighborhood  $V$  which is mapped homeomorphically by  $p$  onto an open set  $U$  in  $X$ .