

# Intelligent Systems

Ինտելեկտուալ տեղեկ. համակարգեր

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NUACA/ՆՇՐԱՐ

2017

LECTURE 3

# Big O notation (repetition)

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- Big O notation characterises functions according to their growth rates.
- When analyzing an algorithm one might find that the time (or the number of steps) it takes to complete a problem of size  $n$  is given by  $T(n)$

Let  $T(n)$  be a function on  $n = 1, 2, 3, \dots$

Question: When is  $T(n) = O(f(n))$  ?

Answer: Eventually (for all sufficiently large  $n$ )  $T(n)$  is bounded above by a constant multiple of  $f(n)$ .

# Big O notation

## Formal Definition

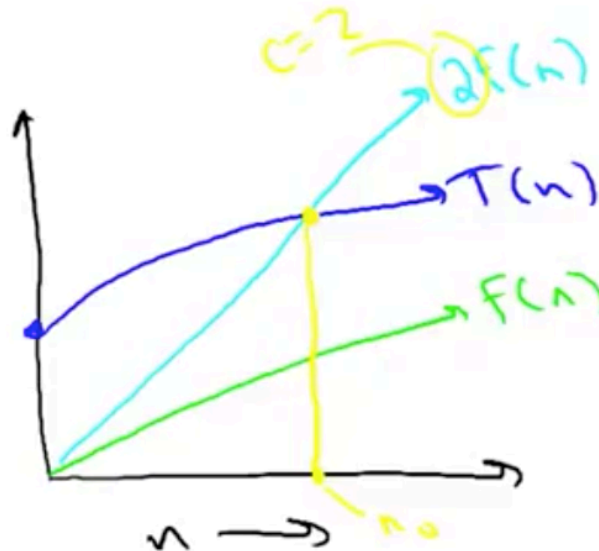
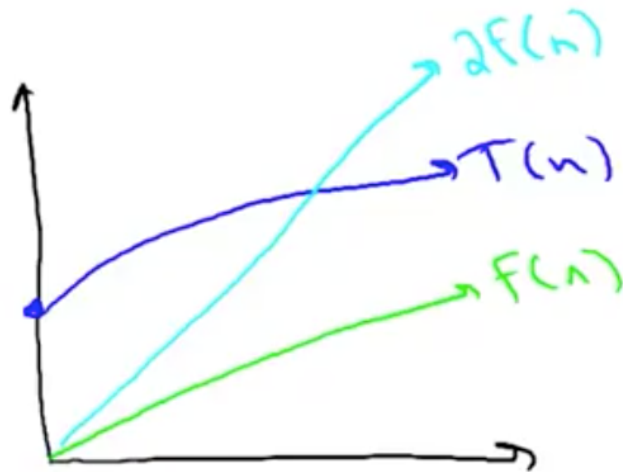
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- Formal Definition:

$T(n) = O(f(n))$  if and only if there exists constants  $c, n_0 > 0$  such that

$T(n) \leq c \times f(n)$  for all  $n \geq n_0$

Warning:  $c, n_0$  are independent of  $n$ .



# Big O notation

## Example: One Loop

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Given A (array of length n) and t (an integer)

Problem: Does the array A contain the integer t?

```
for i = 1 to n
  if A[i] == t return TRUE
return FALSE
```

What is the running time?

- |           |                |
|-----------|----------------|
| 1) $O(1)$ | 2) $O(\log n)$ |
| 3) $O(n)$ | 4) $O(n^2)$    |

# Big O notation

## Example: Two Loops

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Given A, B (arrays of length n) and t (an integer)

Problem: Do the arrays A or B contain the integer t?

```
for i = 1 to n
  if A[i] == t return TRUE
for i = 1 to n
  if B[i] == t return TRUE
return FALSE
```

What is the running time?

- |           |                |
|-----------|----------------|
| 1) $O(1)$ | 2) $O(\log n)$ |
| 3) $O(n)$ | 4) $O(n^2)$    |

# Big O notation

## Example: Two Loops

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Given A, B (arrays of length n) Problem: Do arrays A and B have a number in common?

```
for j = 1 to n
  for j = 1 to n
    if A[i] == B[j]
      return TRUE
return FALSE
```

What is the running time?

- |           |                |
|-----------|----------------|
| 1) $O(1)$ | 2) $O(\log n)$ |
| 3) $O(n)$ | 4) $O(n^2)$    |

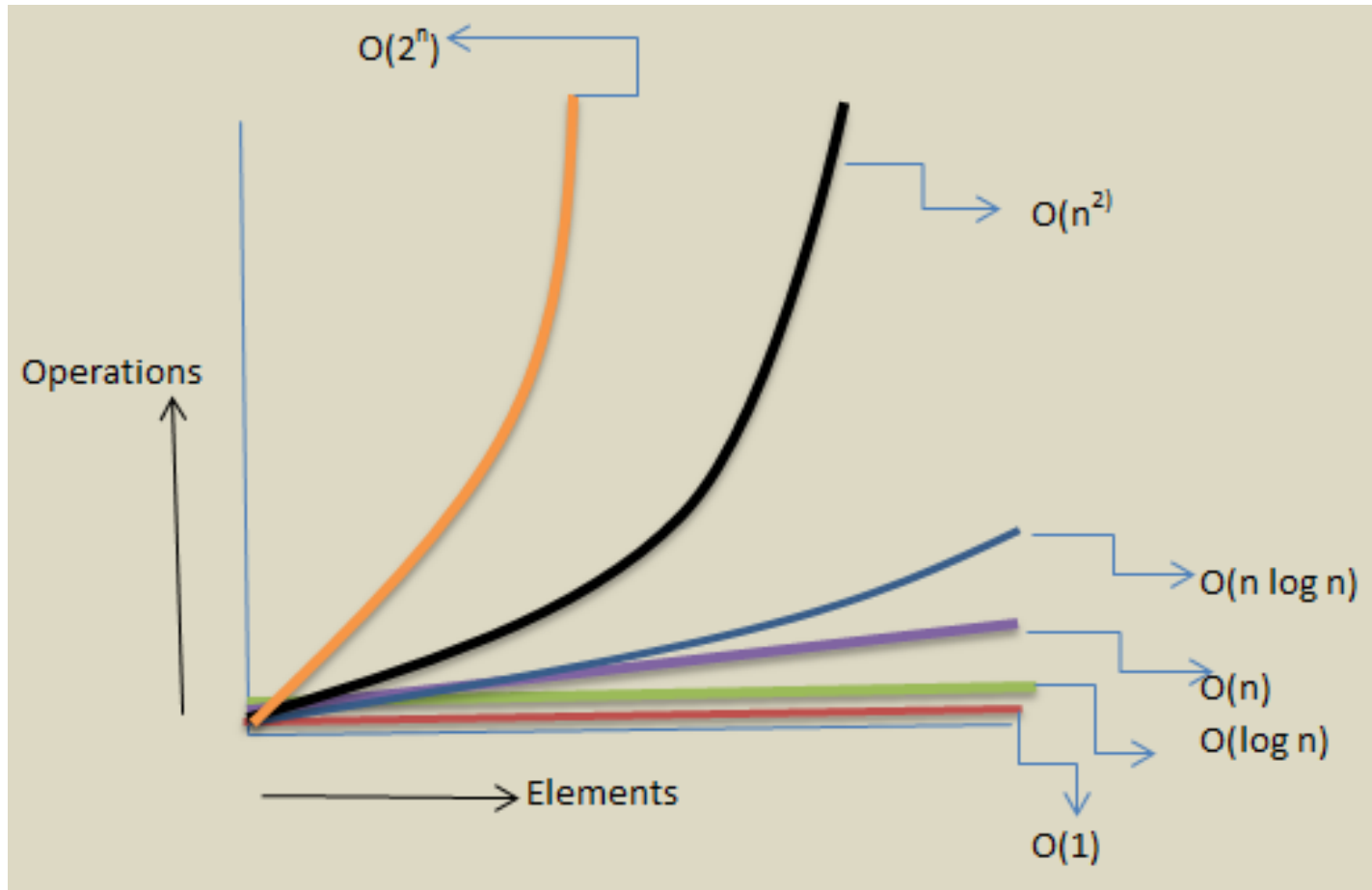
# Common complexities

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Here is a list of classes of functions that are commonly encountered when analyzing algorithms.

<b>notation</b>	<b>name</b>
$O(1)$	constant
$O(\log(n))$	logarithmic
$O((\log(n))^c)$	polylogarithmic
$O(n)$	linear
$O(n^2)$	quadratic
$O(n^c)$	polynomial
$O(c^n)$	exponential

# Common complexities





# Other notations

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<b>Notation</b>	<b>Analogy</b>
$f(n) = O(g(n))$	$\leq$
$f(n) = o(g(n))$	$<$
$f(n) = \Omega(g(n))$	$\geq$
$f(n) = \omega(g(n))$	$>$
$f(n) = \theta(g(n))$	$=$

# Uninformed Search Techniques

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AIMA: CHAPTER 3.4

# Problems

A **problem** can be formulated by specifying:

- 
- The **state space** (“the set of all possible states we might get into”) - *վիճակների բազմություն*
  - The **initial state** (“where we are”) - *սկզբնական վիճակ*
  - A test for the **goal** (“are we where we want to be?”) - *արդյոք հասել ենք նպատակին*
    - Formally, we define a Boolean function **Goal** on the set of states
  - Available **actions** at any state (“what can we do?”) - *հասանելի գործողությունների բազմություն (ամեն վիճակում)*
    - Formally, we define a set-valued function **Actions** on the set of states
  - A **transition model** (“what will that achieve?”) - *աևցում նոր վիճակի*
    - Formally, we define a state-valued function **Result** on the set of (state, action) pairs
  - A **cost measure** for sequences of actions (“is it worth it?”) - *գործողության արժեք*
    - Formally, we define a real-valued function **Cost** on the set of actions, or sequences

# Problems

From a problem specification we can **derive**:

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– A **solution** (*"a sequence of actions that take us to a goal state"*) - *λνλδνλμ*

- Formally, this is a **path** (*δωλωωωωη*) in the state space, a sequence  $s_0, a_1, s_1, a_2, s_2, \dots, a_n, s_n$  where:
- $s_0, s_1, s_2, \dots, s_n$  are **states**,  $s_0$  is the **initial state**,  $s_n$  is a **goal state** ( $\text{Goal}(s_n) = \text{TRUE}$ )
- $a_1, a_2, \dots, a_n$  are **actions**,
- $a_i \in \text{Actions}(s_{i-1})$  and  $s_i = \text{Result}(s_{i-1}; a_i)$  for each  $1 \leq i \leq n$

– An **optimal solution** (*"a solution with minimal cost"*) – *ωωωημωλ λνλδνλμ*

# Search Որոնում

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The basic idea is to explore the **state space** of a problem by generating the states that are reachable from the current state (known as **expanding** the state) and systematically examining them in some order

Հիմնական գաղափարն այն է ուսումնասիրել  
խնդրի **վիճակների բազմությունը** ստեղծելով  
վիճակներ, որոնք հասանելի են ներկա վիճակից  
(վիճակի **ընդհարձակում**) եւ կանոնավոր կերպով  
ուսումնասիրել դրանք որոշակի  
հերթականությամբ:

# Tree-search

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The basic idea is to explore the **state space** of a problem by generating the states that are reachable from the current state (known as **expanding** the state) and systematically examining them in some order

```
function TREE-SEARCH(problem) returns a solution or failure
  set frontier to {{node(initial state, empty path)}}

  repeat
    choose a node from frontier (and remove it)
    if this node contains a goal state then return solution

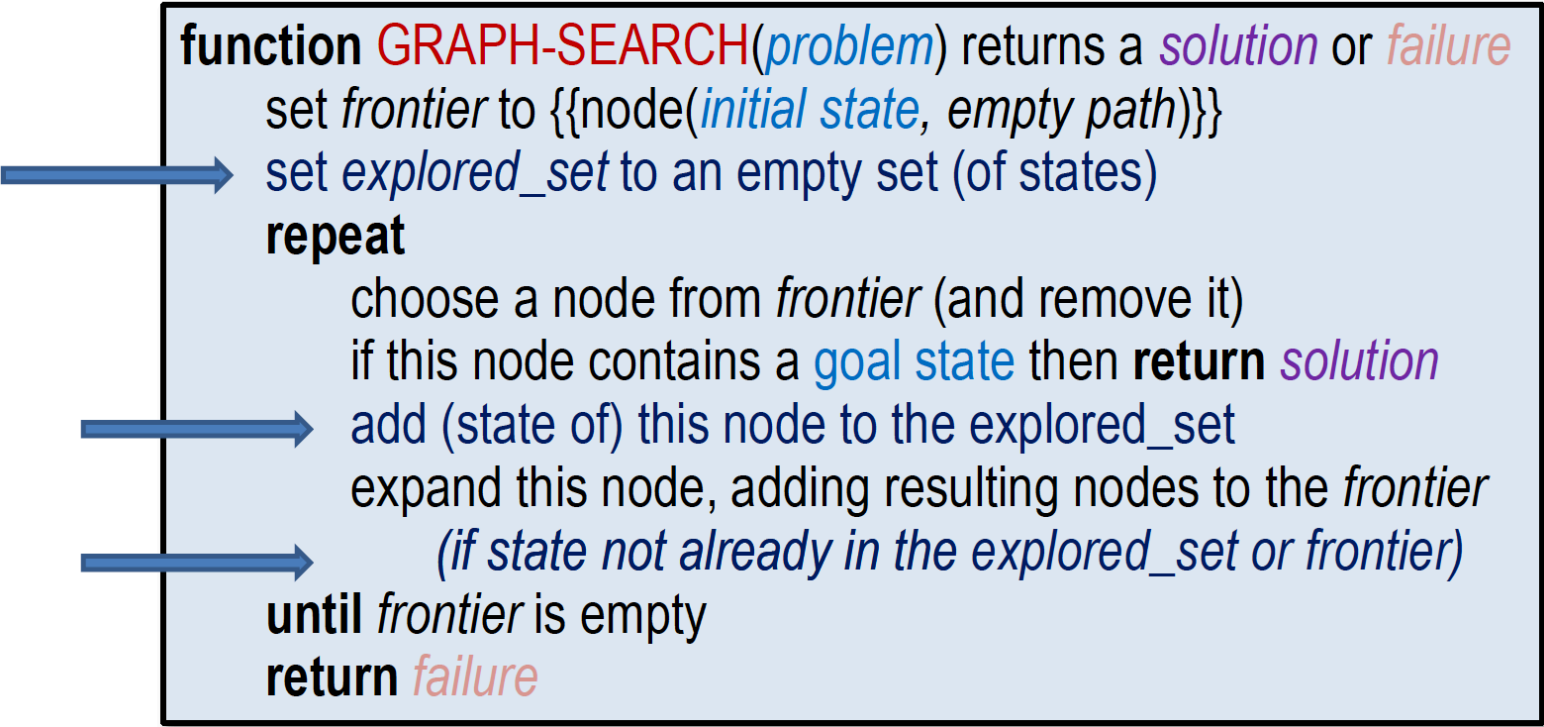
    expand this node, adding resulting nodes to the frontier

  until frontier is empty
  return failure
```

# Graph-search

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The basic idea is to explore the **state space** of a problem by generating **new** states that are reachable from the current state (known as **expanding** the state) and systematically examining them in some order

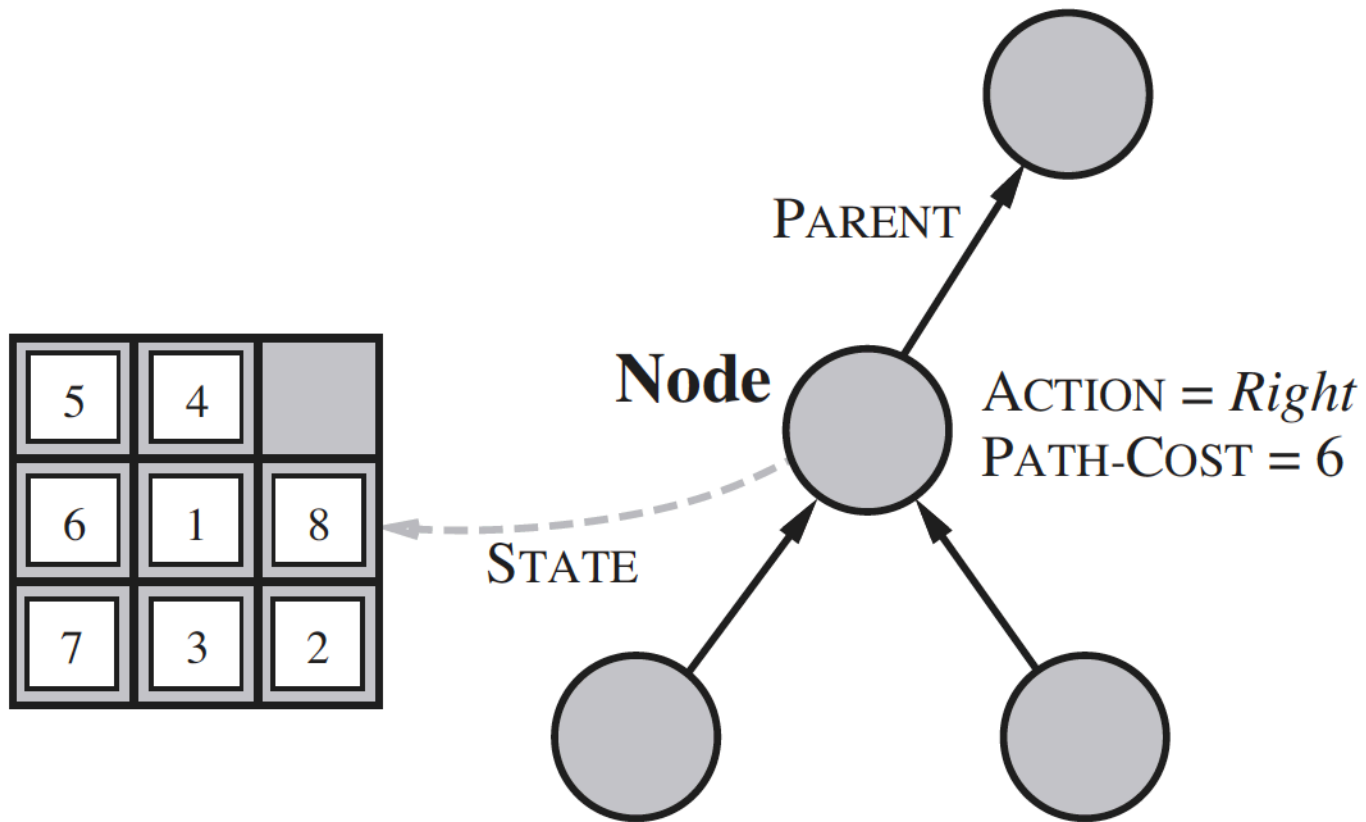


```
function GRAPH-SEARCH(problem) returns a solution or failure  
  set frontier to {{node(initial state, empty path)}}  
  set explored_set to an empty set (of states)  
  repeat  
    choose a node from frontier (and remove it)  
    if this node contains a goal state then return solution  
    add (state of) this node to the explored_set  
    expand this node, adding resulting nodes to the frontier  
      (if state not already in the explored_set or frontier)  
  until frontier is empty  
  return failure
```

The diagram shows the GRAPH-SEARCH algorithm with four blue arrows pointing to specific lines of code: the first arrow points to the initialization of the *explored\_set*, the second arrow points to the *add* step within the *repeat* loop, and the third arrow points to the *expand* step within the *repeat* loop. The fourth arrow points to the *until* condition at the end of the loop.

# Node/հանգույց

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# Issues in Graph Search

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A graph search may explore exponentially fewer nodes, but:

- *space requirements* grow with state space
- it may be costly to determine equality of states
- note we only compare *states*, not other information in the nodes such as cost or path
- we may want “approximate” equality
- can use a hash table to implement *explored\_set*

# Measuring problem-solving performance

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1. **Completeness:** Is the algorithm guaranteed to find a solution when there is one? - **Լրիվություն**
2. **Optimality:** Does the strategy find the optimal solution? - **Օպտիմալություն**
3. **Time complexity:** How long does it take to find a solution? - **Ժամանակի բարդություն**
4. **Space complexity:** How much memory is needed to perform the search? – **Հիշողության բարդություն**

# Uninformed Search Techniques

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- **Uninformed search** (also called blind search) indicates that the strategies have no additional information about states beyond that provided in the problem definition
- All they can do is generate successors and distinguish a goal state from a non-goal state.
- All search strategies are distinguished by the order in which order the nodes are expanded.
- Strategies that know whether one non-goal state is “*more promising*” than another are called **informed search** strategies

# Breadth-first search (BFS)

## Փնտրում դեպի լայնություն

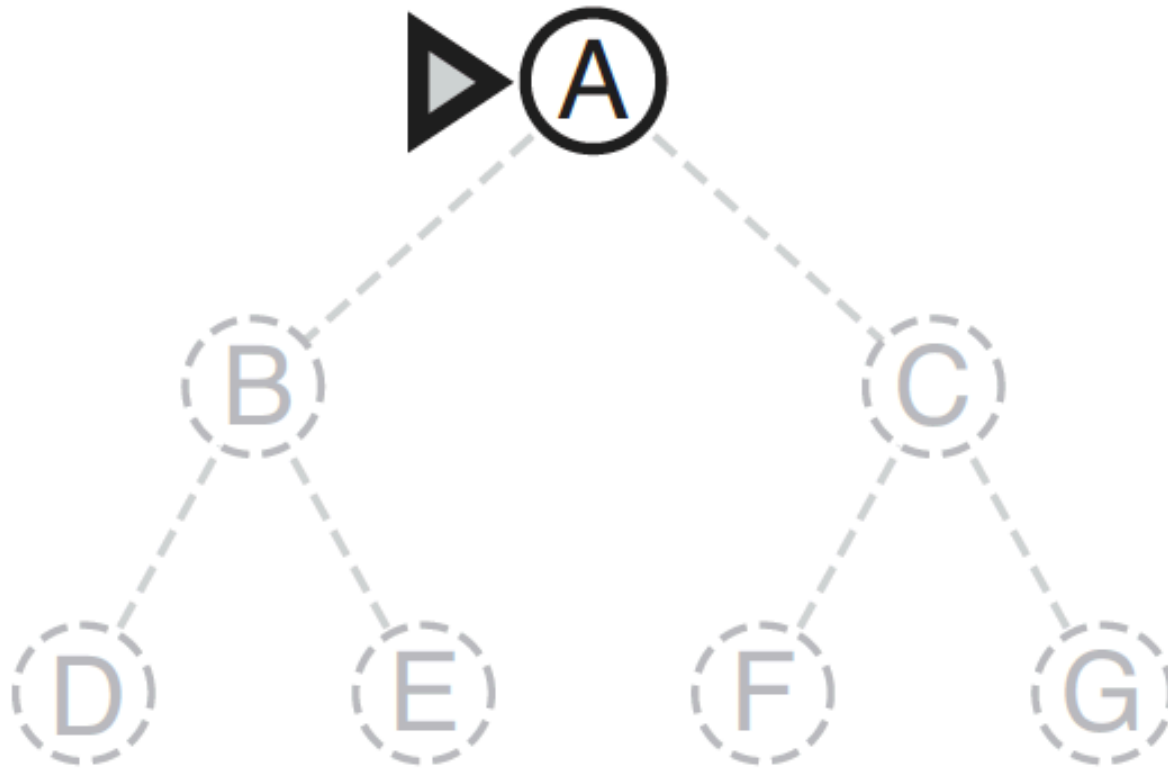
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- the root node is expanded first
- all the successors of the root node are expanded next
- then their successors, and so on.

In general, all the nodes are expanded at a given depth in the search tree before any nodes at the next level are expanded.

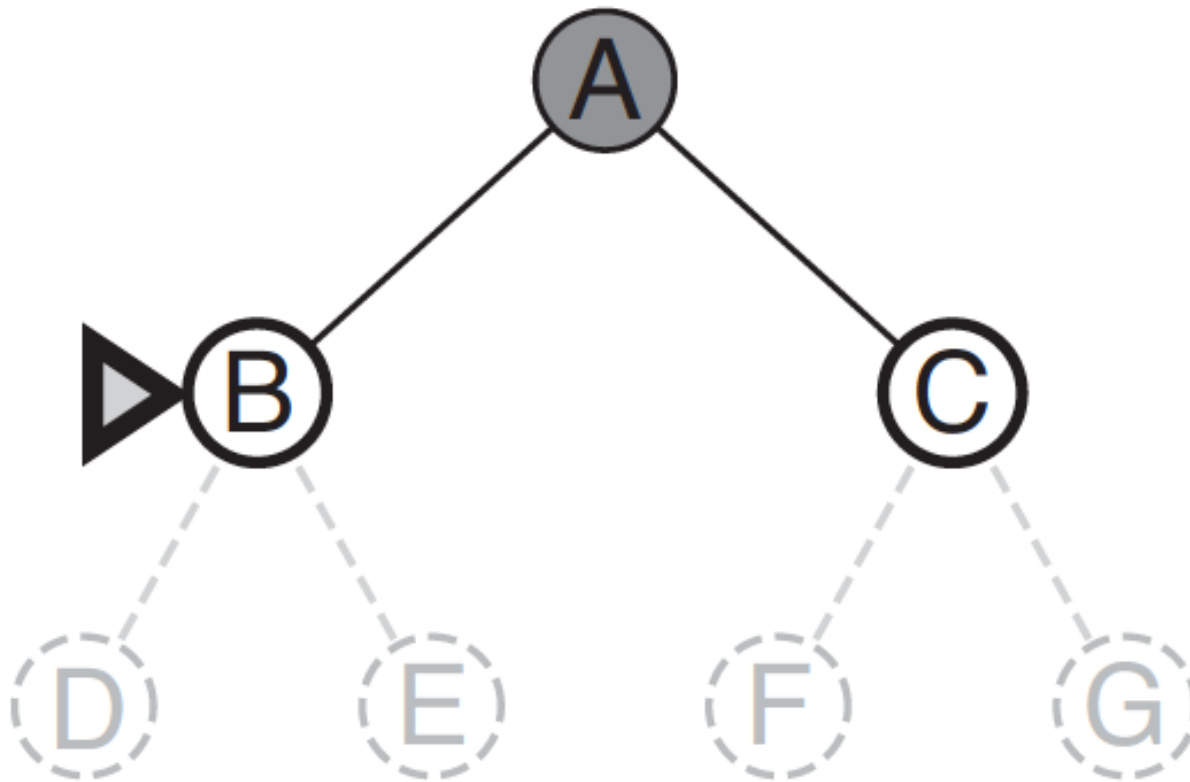
# BFS on a simple binary tree

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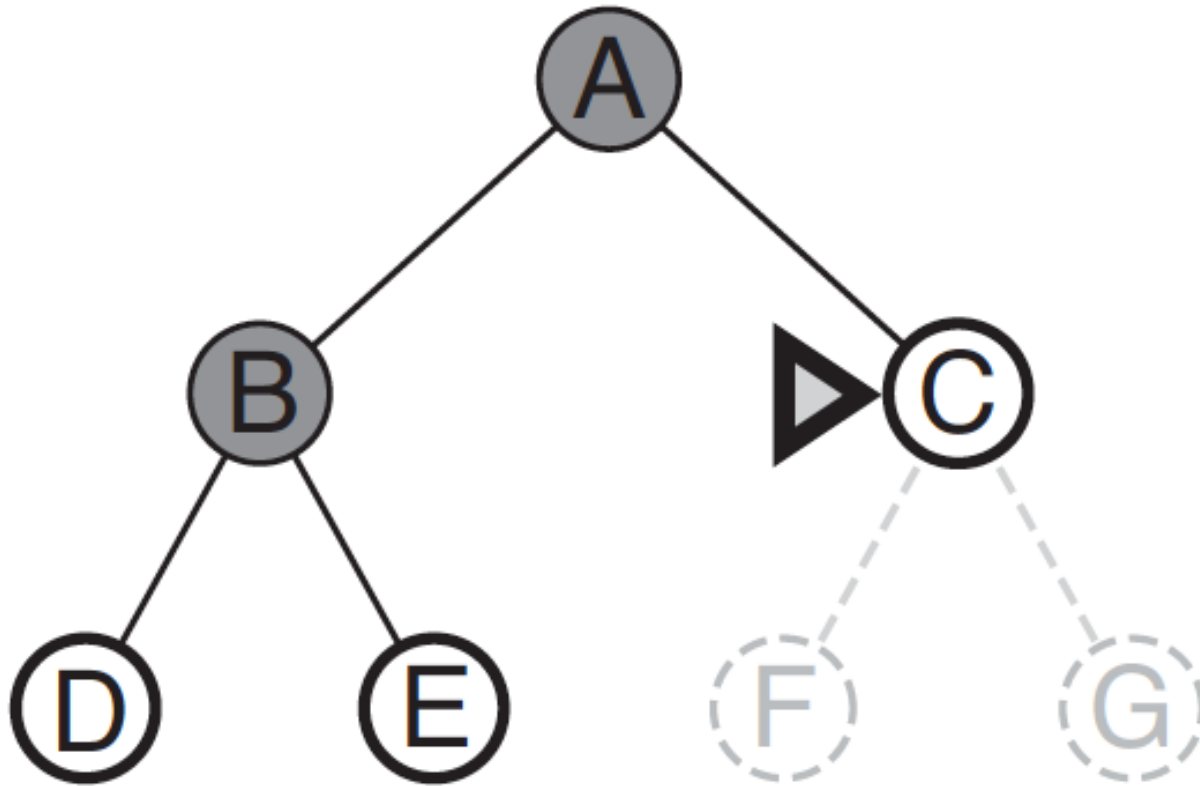
# BFS on a simple binary tree

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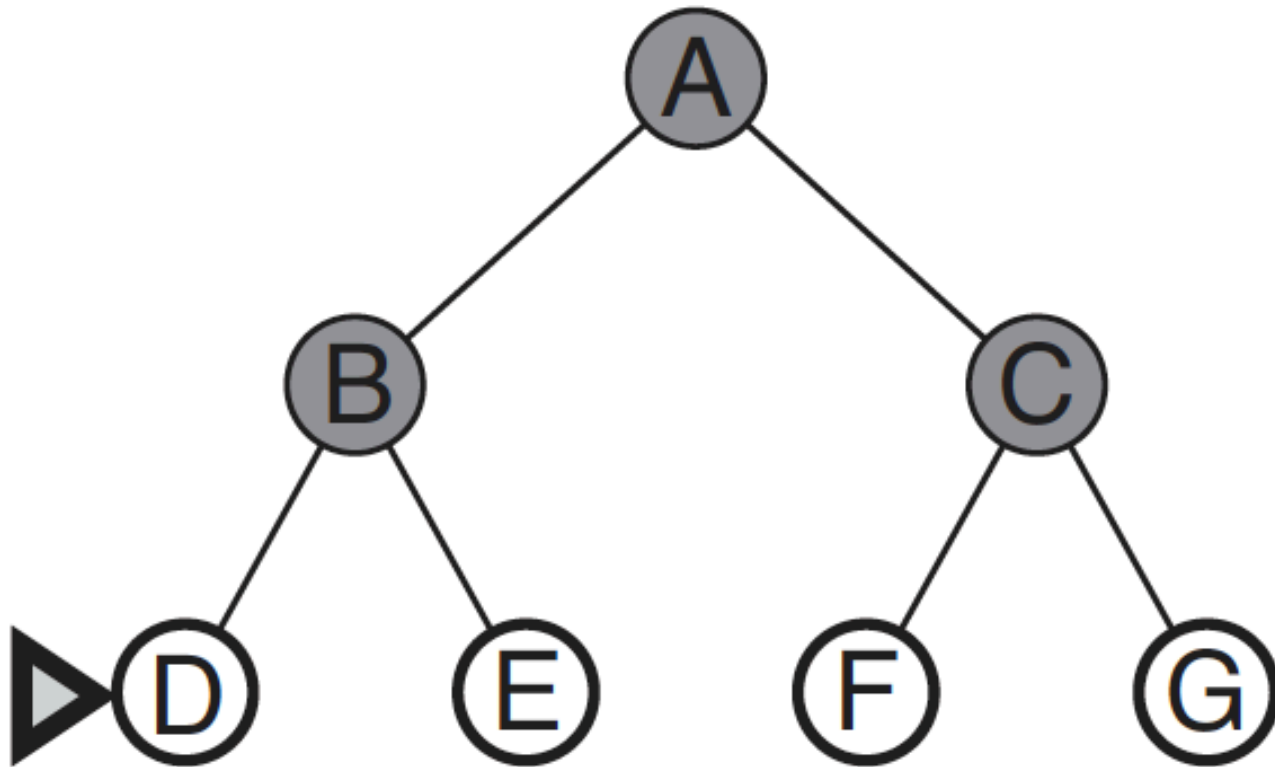


# BFS on a simple binary tree

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# BFS on a simple binary tree





# Breadth-first search

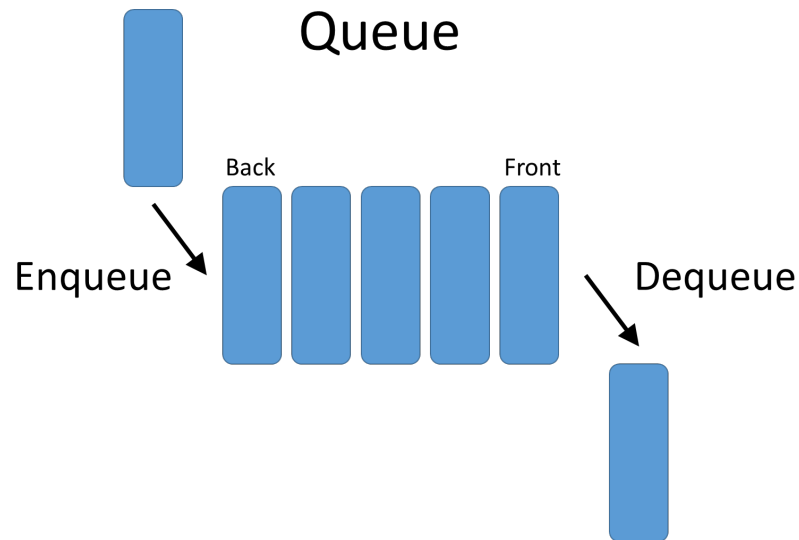
## Փնտրում դեպի լայնություն

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Breadth-first search is an instance of the general graph-search algorithm in which the **shallowest** unexpanded node is chosen for expansion.

Question: which data structure should be used as the frontier?

Answer: FIFO (First In First Out) Queue



# BFS on a graph

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**function** BREADTH-FIRST-SEARCH(*problem*) **returns** a solution, or failure

*node*  $\leftarrow$  a node with STATE = *problem*.INITIAL-STATE, PATH-COST = 0

**if** *problem*.GOAL-TEST(*node*.STATE) **then return** SOLUTION(*node*)

*frontier*  $\leftarrow$  a FIFO queue with *node* as the only element

*explored*  $\leftarrow$  an empty set

**loop do**

**if** EMPTY?(*frontier*) **then return** failure

*node*  $\leftarrow$  POP(*frontier*) /\* chooses the shallowest node in *frontier* \*/

    add *node*.STATE to *explored*

**for each** *action* **in** *problem*.ACTIONS(*node*.STATE) **do**

*child*  $\leftarrow$  CHILD-NODE(*problem*, *node*, *action*)

**if** *child*.STATE is not in *explored* or *frontier* **then**

**if** *problem*.GOAL-TEST(*child*.STATE) **then return** SOLUTION(*child*)

*frontier*  $\leftarrow$  INSERT(*child*, *frontier*)

# Completeness Լրիվություն

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Question: Does BFS always reach a goal state?

Answer: Yes! (provided the branching factor  $b$  is finite).

if the shallowest goal node is at some finite depth  $d$ ,  
breadth-first search will eventually find it after generating  
all shallower nodes

# Optimality

## Օպտիմալություն

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Question: Does BFS always find the optimal solution?

Answer: Yes and No.

- BFS is optimal if the path cost is a nondecreasing function of the depth of the node.
- The most common such scenario is that all actions have the same cost.

# Time complexity Ժամանակային բարդություն

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- 0 level – 1
- 1 level –  $b$
- 2 level –  $b^2$
- 3 level –  $b^3$

...

if the shallowest solution is at depth  $d$ , we would have generated

$$b + b^2 + b^3 + \dots + b^{d-1} = O(b^d)$$

If goal test is performed when the node is selected for expansion, then depth  $d$  would have been generated too, resulting in  $O(b^{d+1})$

# Space complexity

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- For breadth-first graph search in particular, every node generated remains in memory.
- There will be  $O(b^{d-1})$  nodes in the explored set and  $O(b^d)$  nodes in the frontier
- The space complexity thus is  $O(b^d)$
- Switching to a tree search would not save much space, and in a state space with many redundant paths, switching could cost a great deal of time.

# BFS Complexity

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Depth	Nodes	Time	Memory
2	110	.11 milliseconds	107 kilobytes
4	11,110	11 milliseconds	10.6 megabytes
6	$10^6$	1.1 seconds	1 gigabyte
8	$10^8$	2 minutes	103 gigabytes
10	$10^{10}$	3 hours	10 terabytes
12	$10^{12}$	13 days	1 petabyte
14	$10^{14}$	3.5 years	99 petabytes
16	$10^{16}$	350 years	10 exabytes

**Figure 3.13** Time and memory requirements for breadth-first search. The numbers shown assume branching factor  $b = 10$ ; 1 million nodes/second; 1000 bytes/node.

# Uniform-cost search

## Միօրինակ արժեքով որոնում

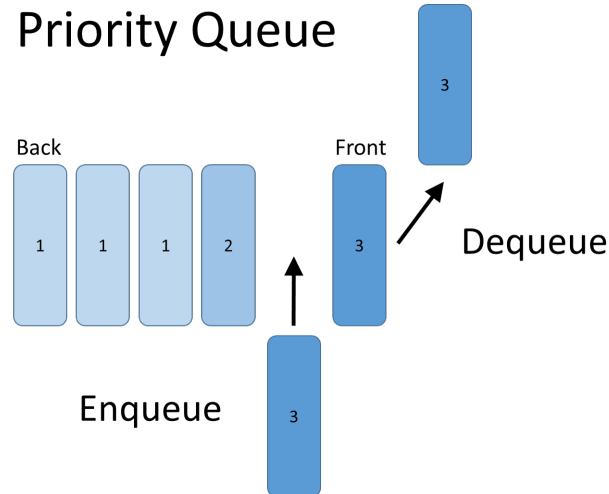
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When all step costs are equal, BFS is optimal because it always expands the shallowest unexpanded node.

Instead of expanding the shallowest node, UCS expands the node  $n$  with the lowest path cost  $g(n)$

Question: which data structure should be used as the frontier?

Answer: Priority Queue





# Uniform-cost search

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**function** UNIFORM-COST-SEARCH(*problem*) **returns** a solution, or failure

*node*  $\leftarrow$  a node with STATE = *problem*.INITIAL-STATE, PATH-COST = 0

*frontier*  $\leftarrow$  a priority queue ordered by PATH-COST, with *node* as the only element

*explored*  $\leftarrow$  an empty set

**loop do**

**if** EMPTY?(*frontier*) **then return** failure

*node*  $\leftarrow$  POP(*frontier*) /\* chooses the lowest-cost node in *frontier* \*/

**if** *problem*.GOAL-TEST(*node*.STATE) **then return** SOLUTION(*node*)

    add *node*.STATE to *explored*

**for each** *action* **in** *problem*.ACTIONS(*node*.STATE) **do**

*child*  $\leftarrow$  CHILD-NODE(*problem*, *node*, *action*)

**if** *child*.STATE is not in *explored* or *frontier* **then**

*frontier*  $\leftarrow$  INSERT(*child*, *frontier*)

**else if** *child*.STATE is in *frontier* with higher PATH-COST **then**

            replace that *frontier* node with *child*

# Notes for graph search

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Goal test is applied to a node when it is *selected for expansion* rather than when it is first generated.

- 1) The reason is that the first goal node that is generated may be on a suboptimal path.
- 2) Test is added in case a better path is found to a node currently on the frontier.

# Completeness

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Question: Does BFS always reach a goal state?

Answer: Yes! if step costs  $\geq \epsilon > 0$

# Optimality

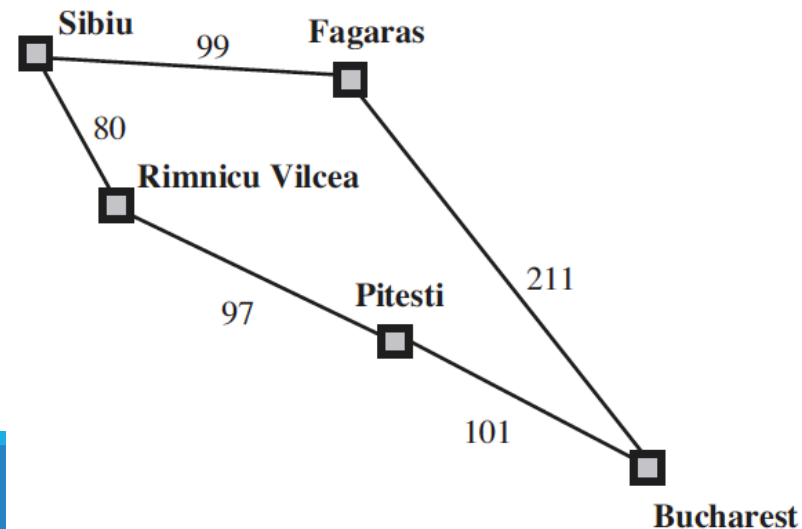
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Question: Does UCS always find the optimal solution?

Answer: Yes!

1) whenever uniform-cost search selects a node  $n$  for expansion, the optimal path to that node has been found.

2) step costs are nonnegative, paths never get shorter as nodes are added.



# Time/space complexity

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- Let  $C^*$  be the cost of the optimal solution and every action costs at least  $\epsilon$ .
- Then the algorithm's worst-case time and space complexity is  $O(b^{1+\lceil \frac{C^*}{\epsilon} \rceil})$  (can be much greater than  $O(b^d)$ )
- When all costs are the same, then  $O(b^{1+\lceil \frac{C^*}{\epsilon} \rceil}) = O(b^d)$

# UCS vs Dijkstra's Algorithm

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**Algorithm 1:** Dijkstra's algorithm

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**Input:** Graph  $G = (V, E)$

```
1  $(\forall x \neq s) \text{ dist}[x] = \infty$ 
2  $\text{dist}[s] = 0$ 
3  $S = \emptyset$ 
4  $Q = V$ 
5 while  $Q \neq \emptyset$ 
6    $u = \text{argmin}_{v \in Q} \text{dist}[v]$ 
7    $S = S \cup \{u\}$ 
8   for each  $v \in \text{adj}(u)$ 
9      $\text{dist}[v] = \min(\text{dist}[v], \text{dist}[u] + c(u, v))$ 
10
```

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“I claim that UCS is superior to DA in almost all aspects. It is easier to understand and implement. Its time and memory needs are also smaller. The reason that DA is taught in universities and classes around the world is probably only historical. I encourage people to stop using and teaching DA, and focus on UCS only.”

Position paper: Dijkstra's Algorithm Vs. Uniform Cost Search or  
A Case Against Dijkstra's Algorithm  
Ariel Felner

# Depth-first search (DFS)

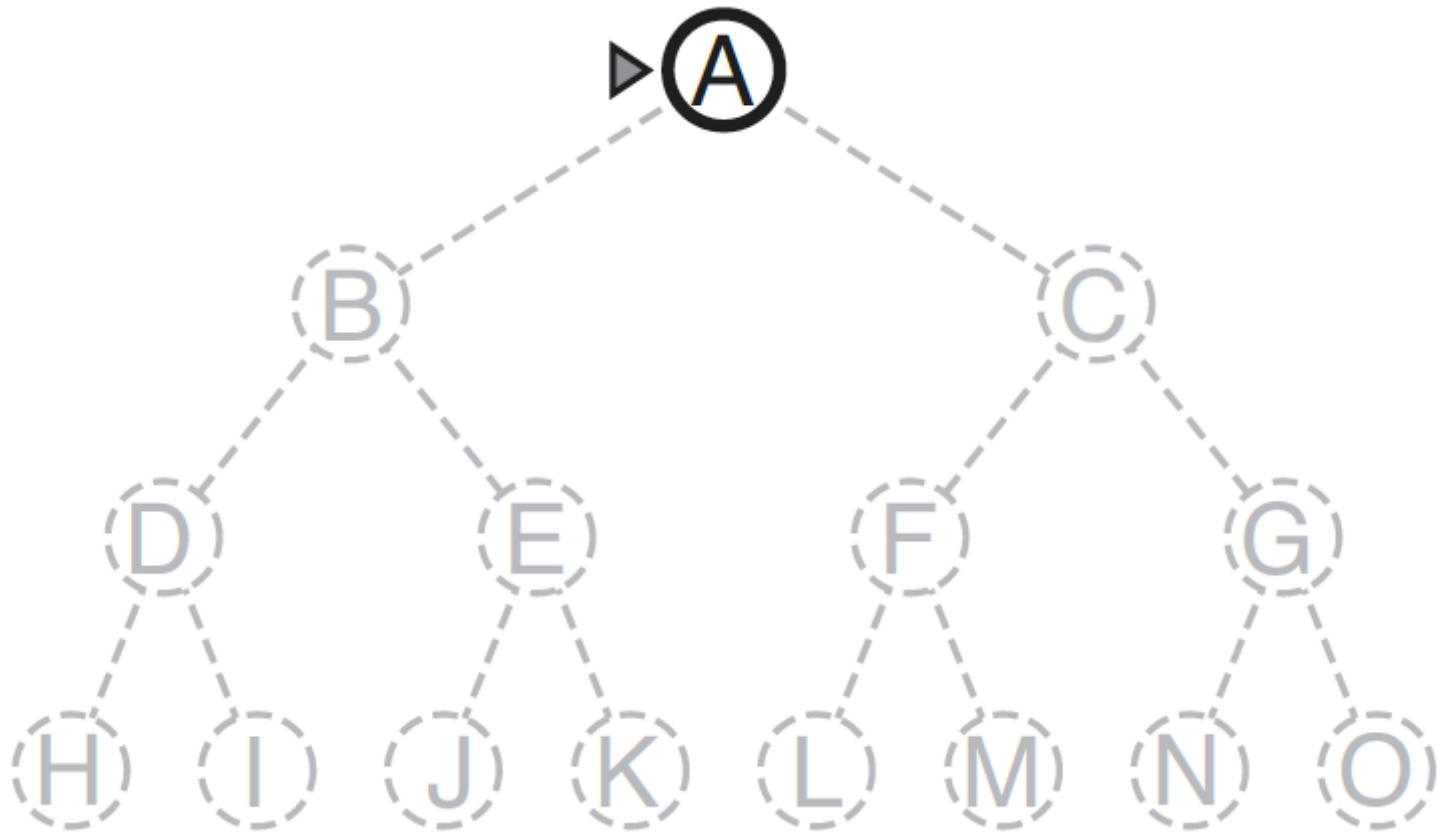
## Փնտրում դեպի խորություն

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- Depth-first search always expands the **deepest** node in the current frontier

# DFS on a binary tree.

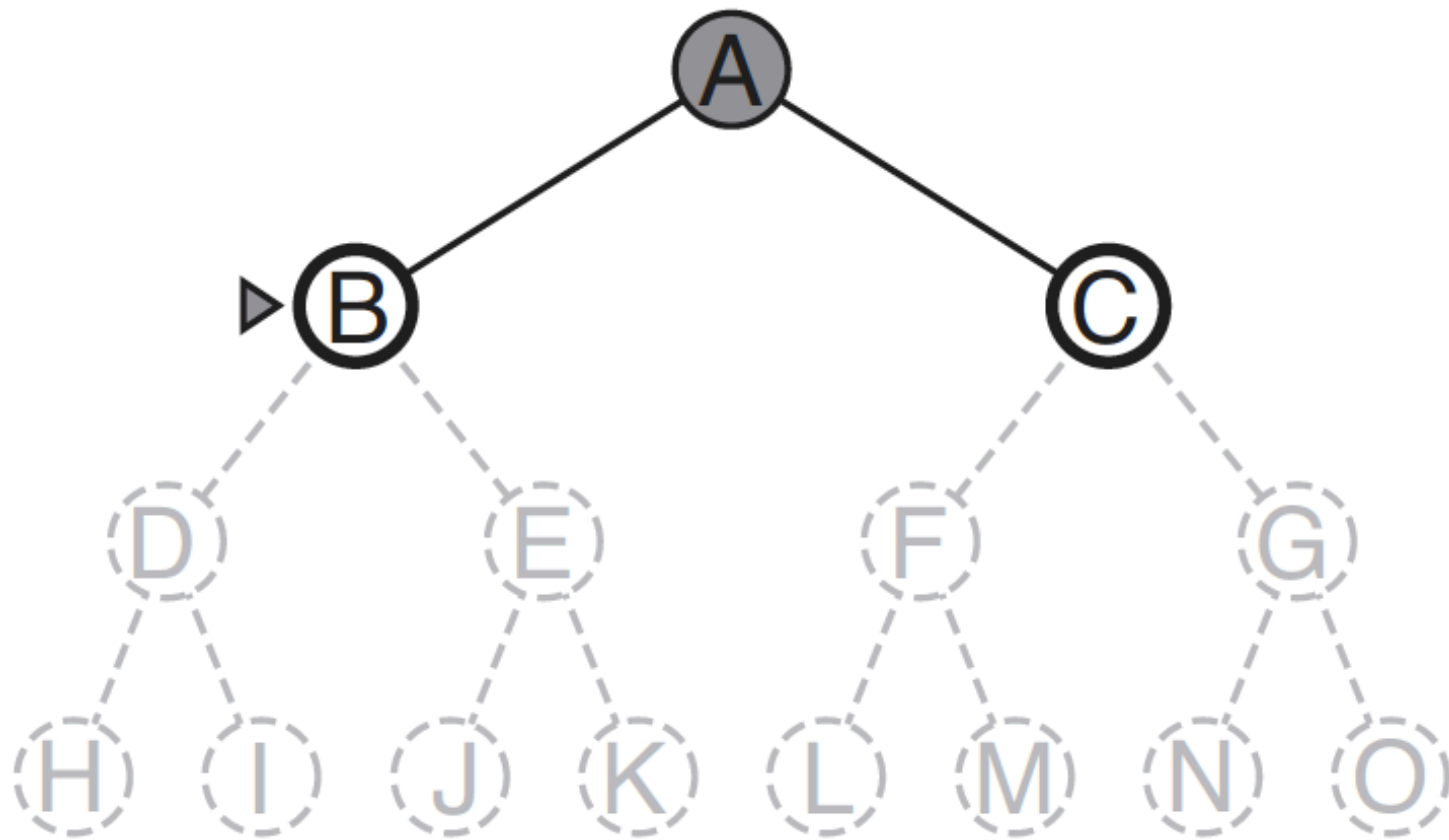
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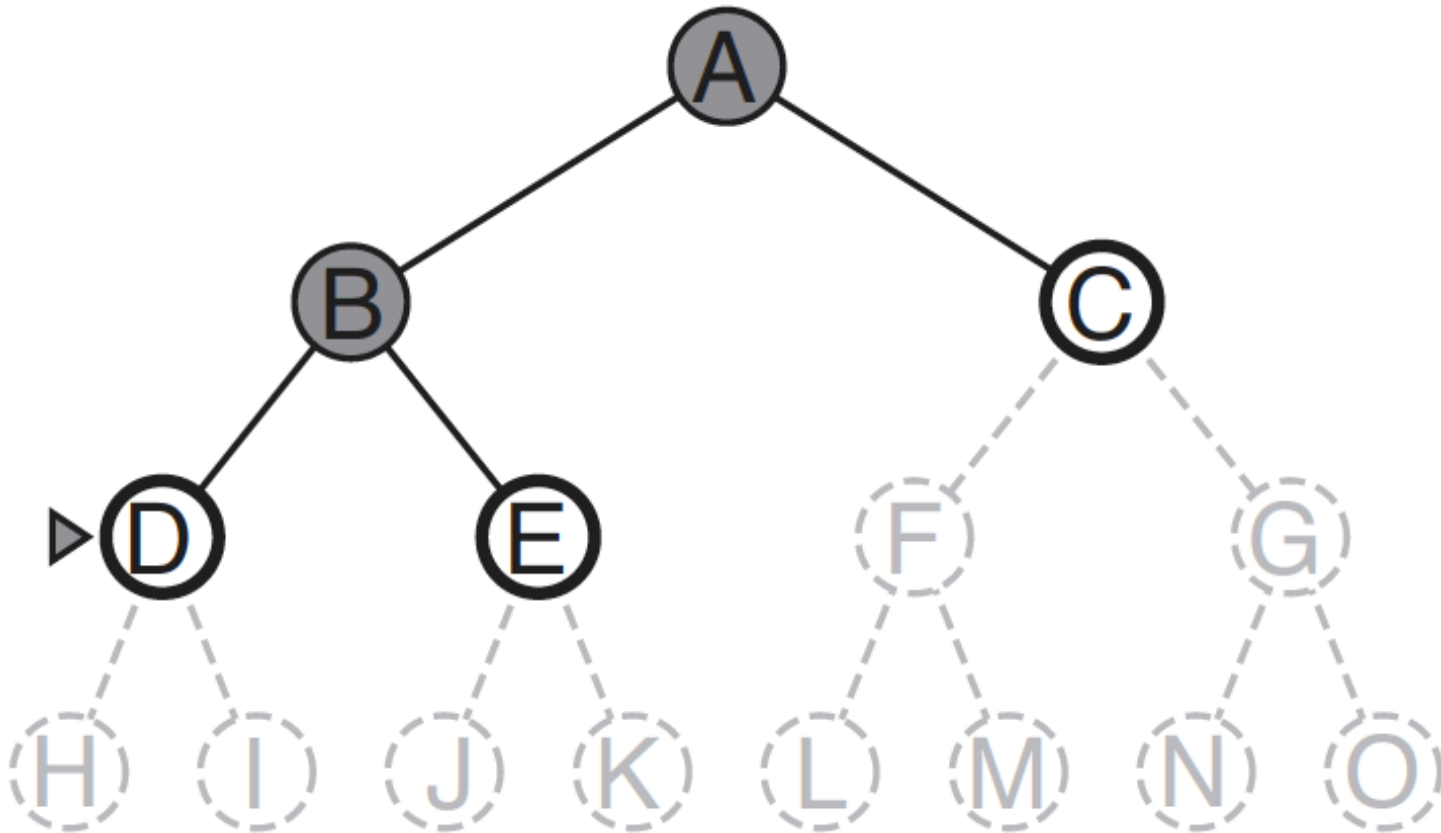
# DFS on a binary tree.

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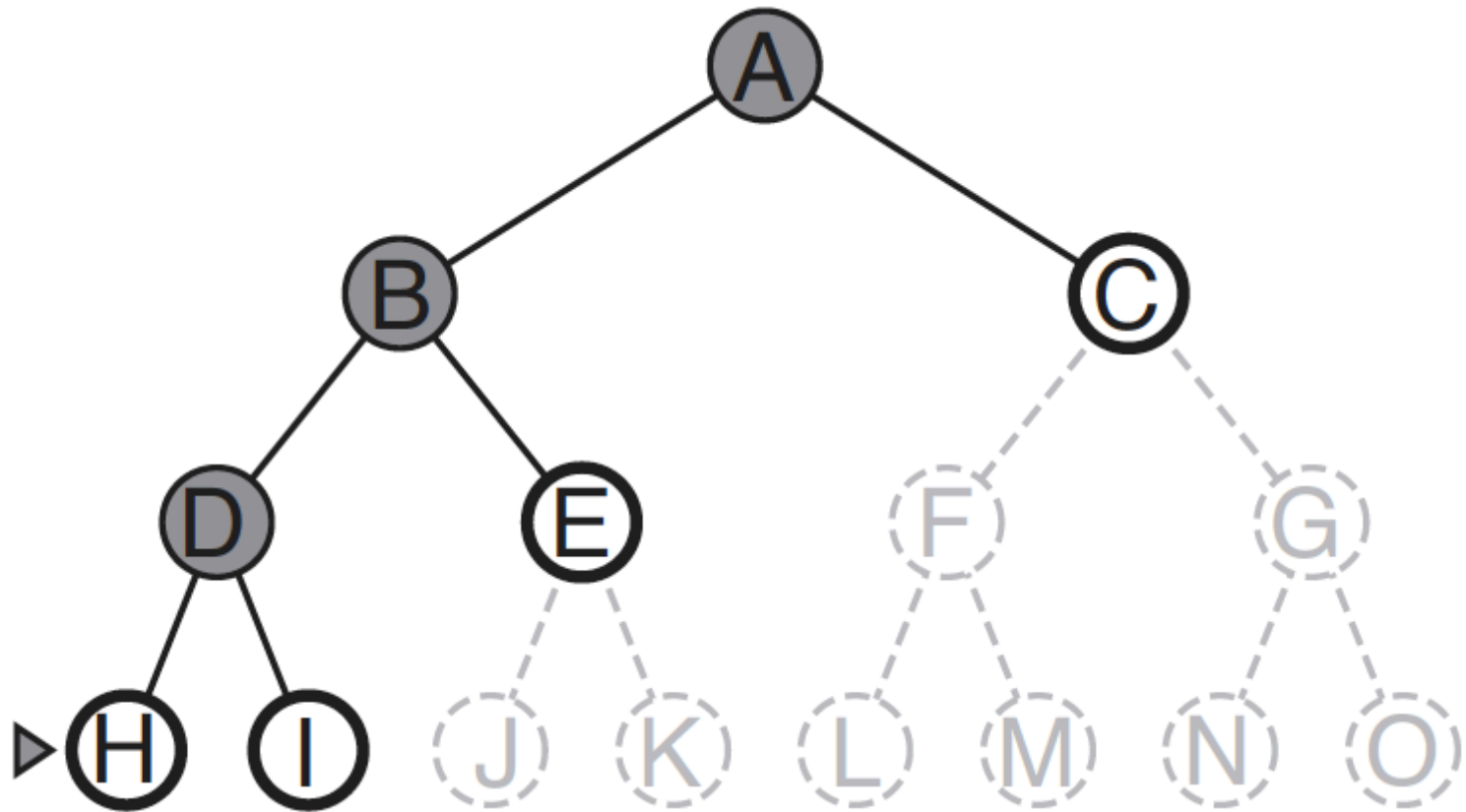
# DFS on a binary tree.

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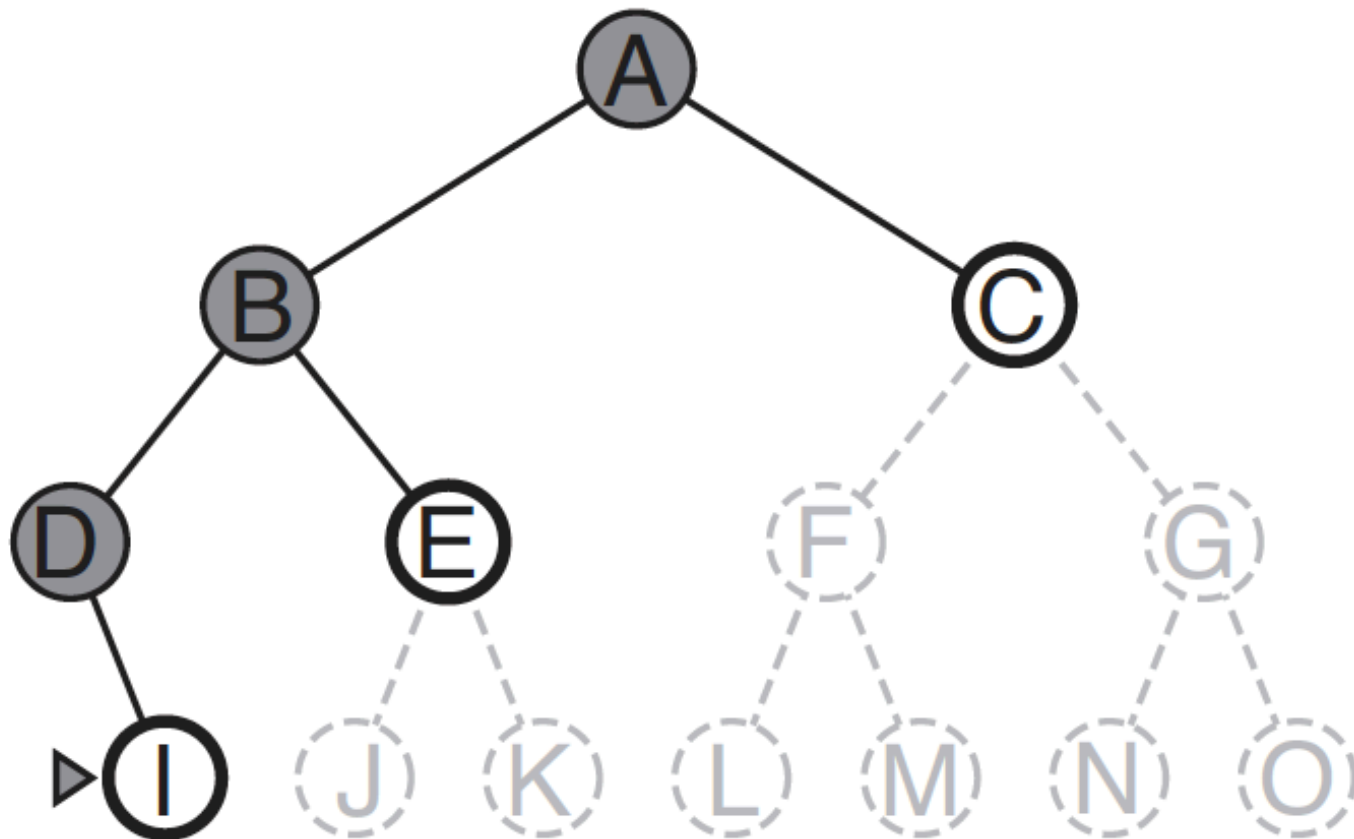
# DFS on a binary tree.

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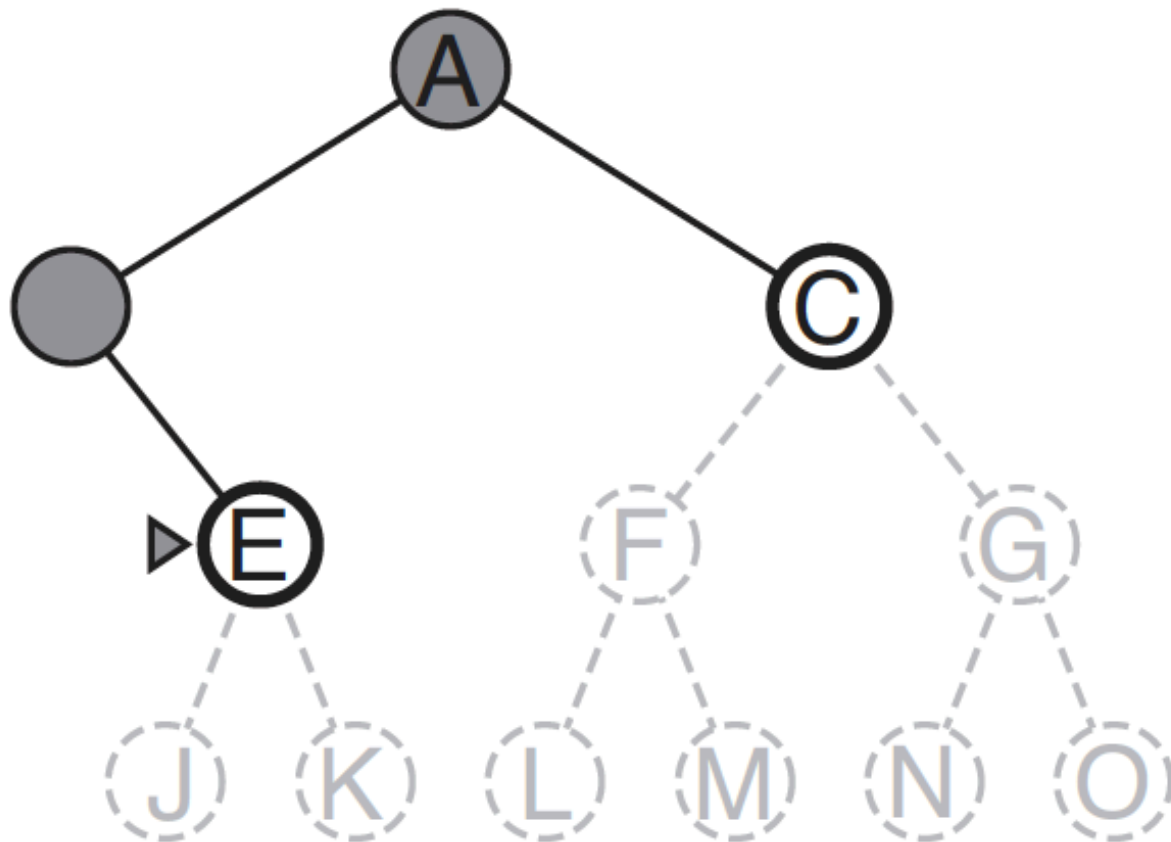
# DFS on a binary tree.

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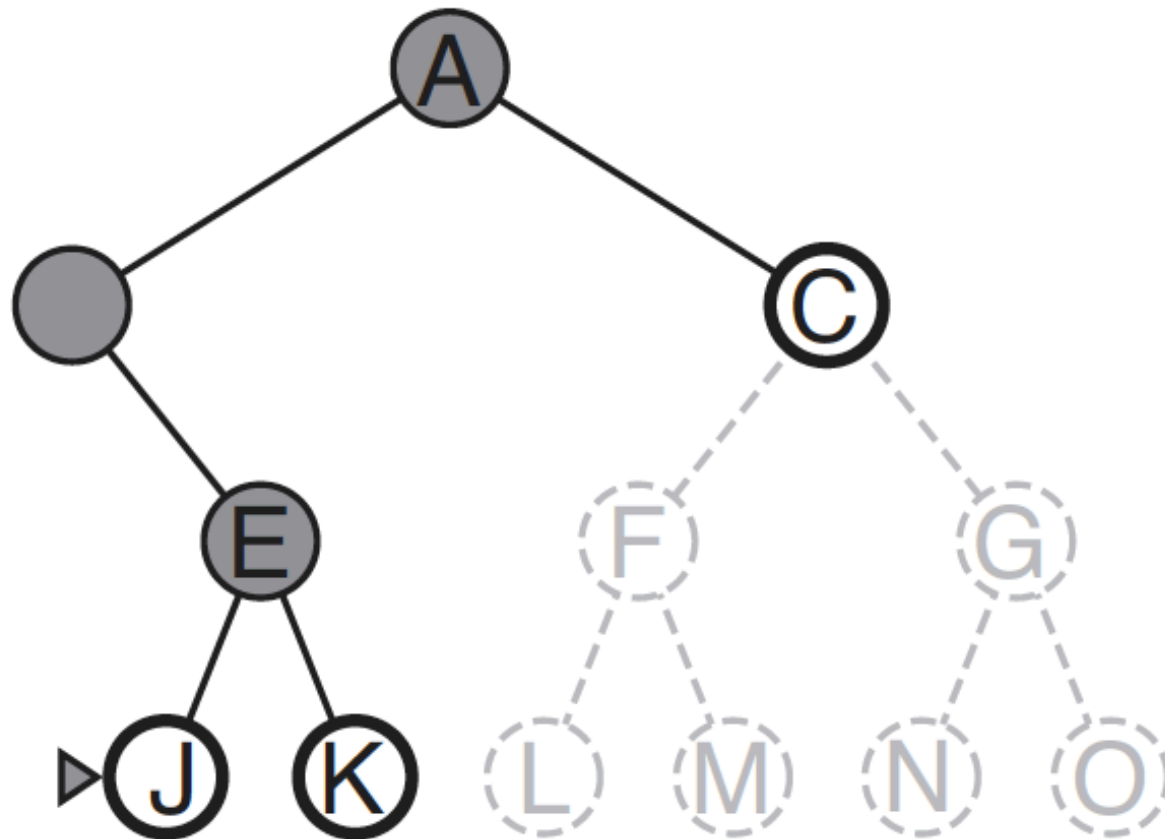
# DFS on a binary tree.

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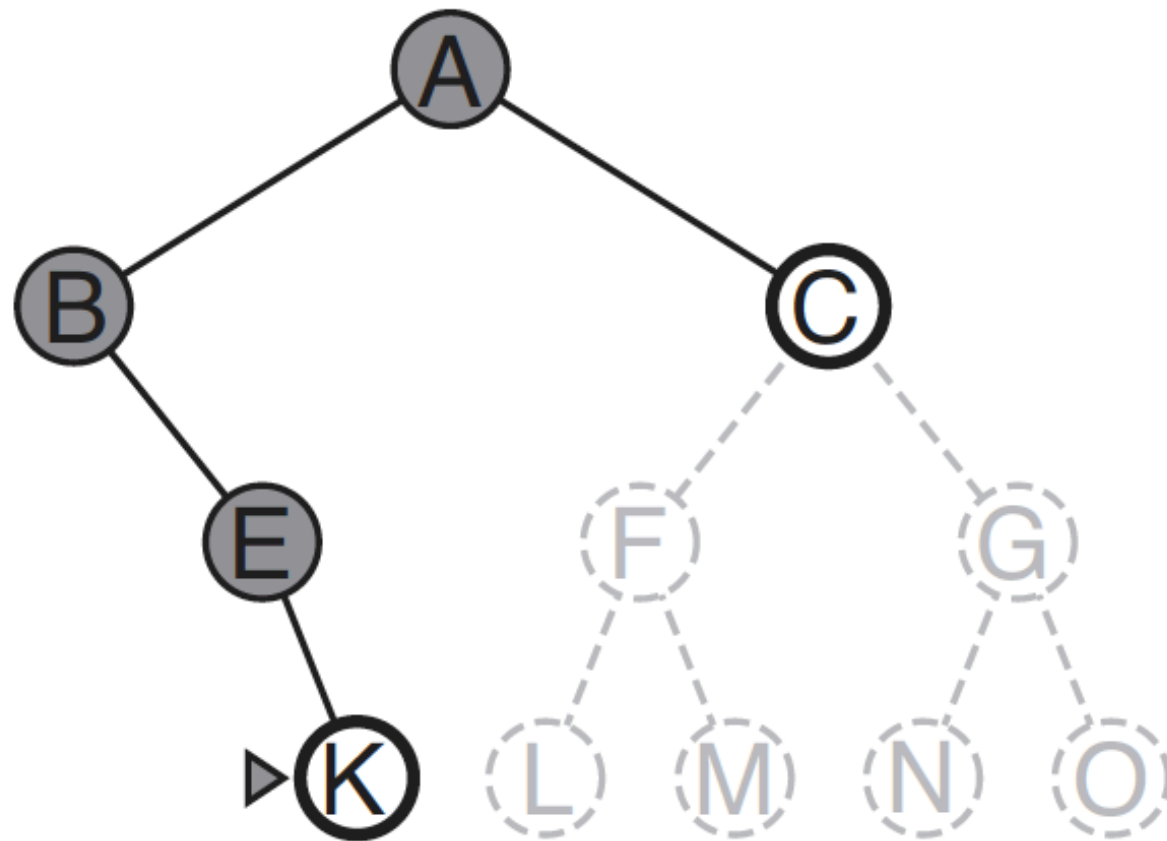
# DFS on a binary tree.

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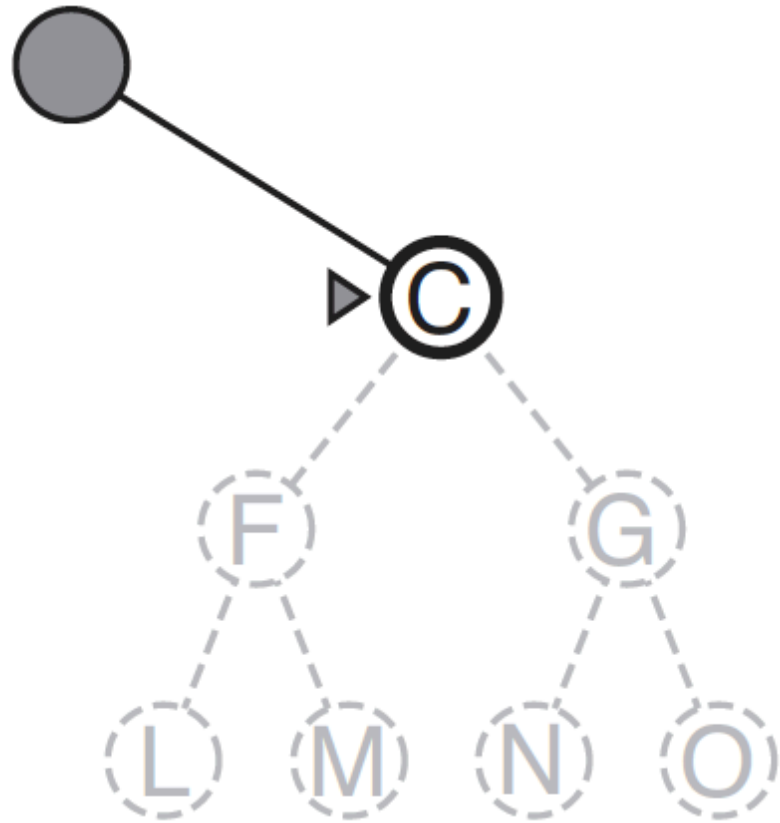
# DFS on a binary tree.

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# DFS on a binary tree.

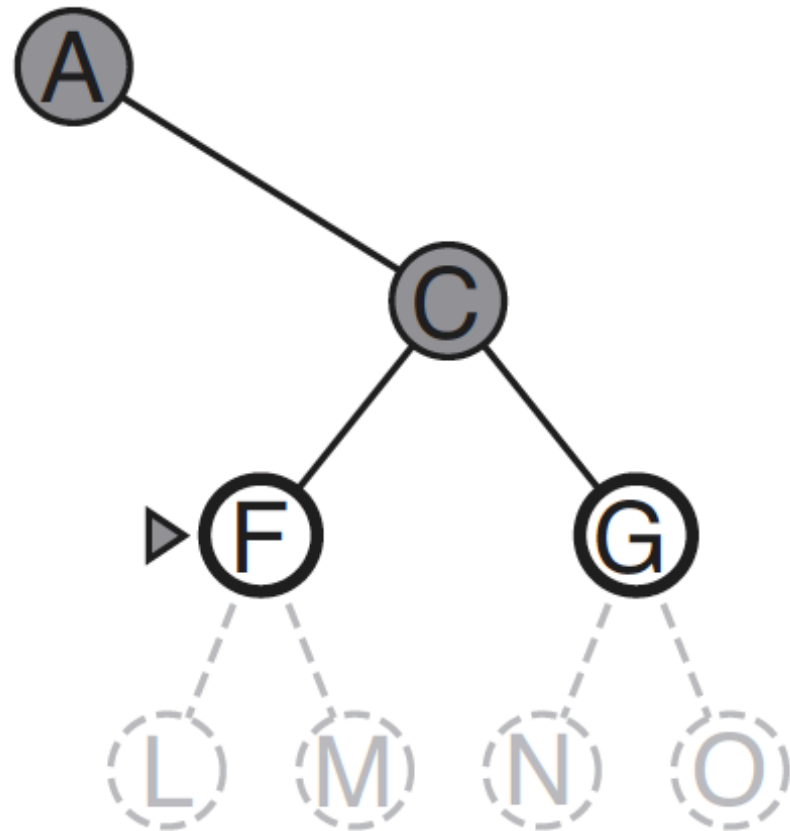
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# DFS on a binary tree.

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# Depth-first search (DFS)

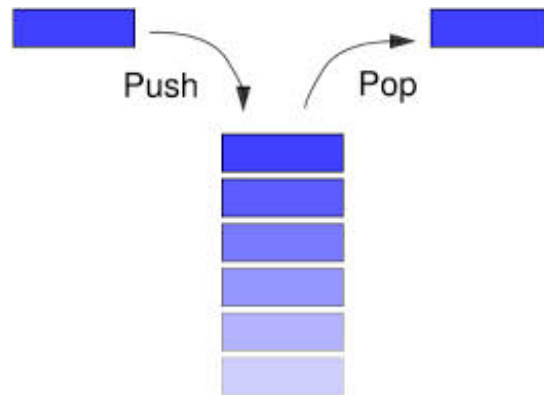
## Փնտրում դեպի խորությամբ

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Depth-first search always expands the **deepest** node in the current frontier

Question: which data structure should be used as the frontier?

Answer: LIFO (Last In First Out) Queue (also called Stack)



It is common to implement depth-first search with a recursive function that calls itself on each of its children in turn.

# Completeness

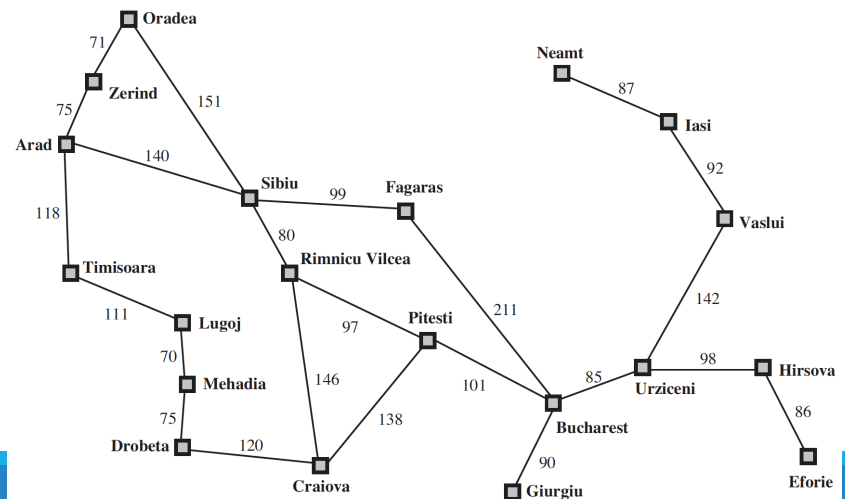
## GRAPH SEARCH

The graph-search version, which avoids repeated states and redundant paths, **is complete** in finite state spaces because it will eventually expand every node.

In infinite state spaces, both versions fail if an infinite non-goal path is encountered.

## TREE SEARCH

The tree-search version, on the other hand, is not complete—for example, in the algorithm will follow the Arad–Sibiu–Arad–Sibiu loop forever.



# Optimality

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Question: Is DFS optimal? (Tree search and graph search)

Answer: No, both versions are nonoptimal.

# Time Complexity

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## GRAPH SEARCH

bounded by the size of the state space

(which may be infinite, of course).

## TREE SEARCH

May generate  $O(b^m)$  nodes in the search tree, where  $m$  is the maximum depth of any node

(can be much greater than the size of the state space)

$m$  can be much larger than  $d$  (the depth of the shallowest solution) and is infinite if the tree is unbounded.

# Space Complexity

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## GRAPH SEARCH

No advantage over BFS

## TREE SEARCH

Stores only a single path from the root to a leaf node

For branching factor  $b$  and maximum depth  $m$ , DFS requires storage of only  $O(b \times m)$  nodes.

# Iterative deepening search Իտերապսիվ խորացող որոնում

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Iterative deepening search finds the best depth limit.

It does this by gradually increasing the limit—first 0, then 1, then 2, and so on—until a goal is found.

Combines the benefits of DFS and BFS

- Like DFS, its memory requirements are :  $O(b \times m)$
- Like BDS, complete when  $b$  is finite and cost is nondecreasing of the depth of the node (or identical for all steps)

# Iterative deepening search

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**function** ITERATIVE-DEEPENING-SEARCH(*problem*) **returns** a solution, or failure  
  **for** *depth* = 0 **to**  $\infty$  **do**  
    *result*  $\leftarrow$  DEPTH-LIMITED-SEARCH(*problem*, *depth*)  
    **if** *result*  $\neq$  cutoff **then return** *result*

Limit = 0



Limit = 1

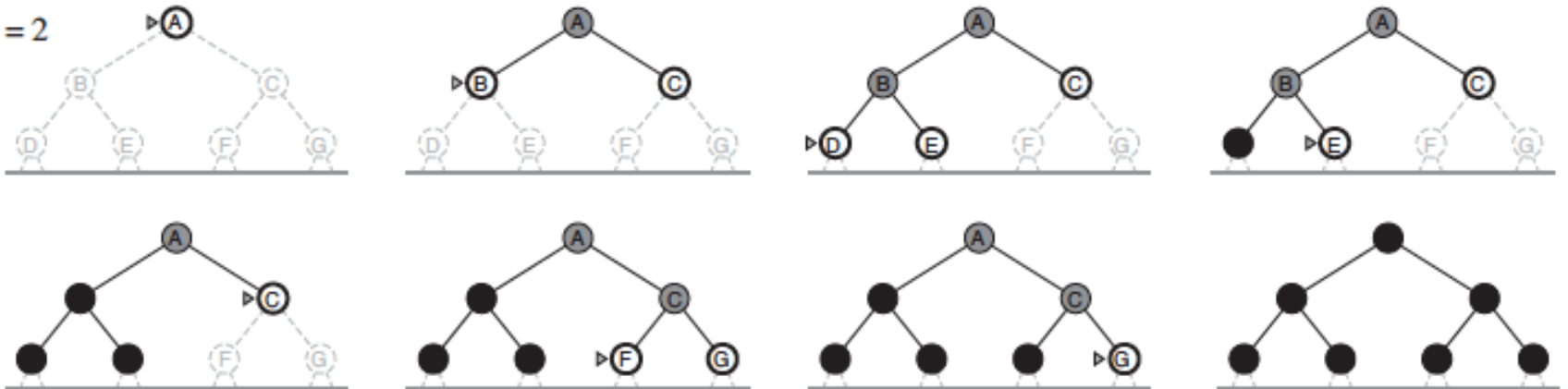




# Iterative deepening search

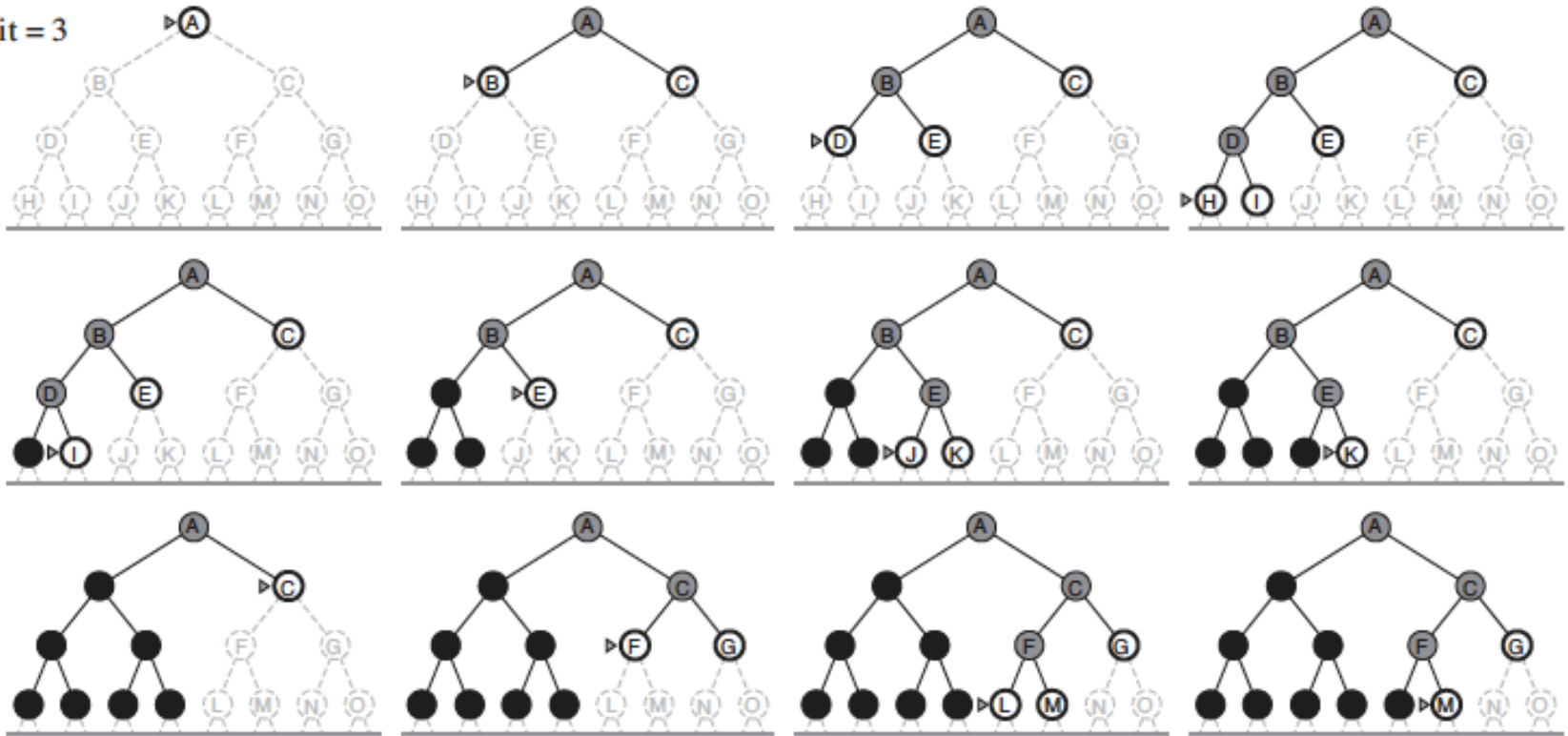
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Limit = 2



# Iterative deepening search

Limit = 3



# Time complexity

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Overall number of nodes generated in the worst case is

d level –  $1 \times b^d$

d-1 level –  $2 \times b^{d-1}$

...

1 level – d times b

$$d(b) + (d - 1) b^2 + \dots + (1)b^d = O(b^d)$$

Same as BFS

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In general, iterative deepening is the *preferred uninformed search method when the search space is large and the depth of the solution is not known.*

# Comparing uninformed search strategies

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Evaluation of tree-search strategies.

Criterion	Breadth-First	Uniform-Cost	Depth-First	Iterative Deepening
Complete?	Yes <sup>a</sup>	Yes <sup>a,b</sup>	No	Yes <sup>a</sup>
Time	$O(b^d)$	$O(b^{1+\lceil C^*/\epsilon \rceil})$	$O(b^m)$	$O(b^d)$
Space	$O(b^d)$	$O(b^{1+\lceil C^*/\epsilon \rceil})$	$O(bm)$	$O(bd)$
Optimal?	Yes <sup>c</sup>	Yes	No	Yes <sup>c</sup>

b – branching factor

d – depth of the shallowest solution

m – maximum depth

superscripts

<sup>a</sup> – complete if  $b < \infty$

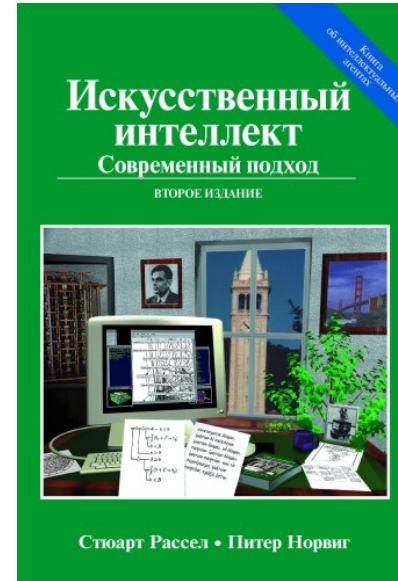
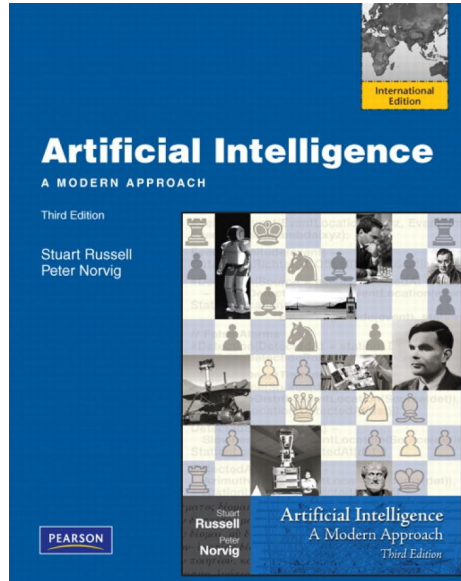
<sup>b</sup> – complete if step cost  $> \epsilon$

<sup>c</sup> – optimal if step costs are identical

# Reading List

## Կարդալ

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## Chapter 3.4 (both versions)

<https://github.com/samvelyan/Intelligent-Systems>

# Next Lecture

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1. Informed search strategies
2. The code and instructions for Practical Assignment 1 can be downloaded from <https://github.com/samvelyan/Intelligent-Systems>

# Questions? Rwngt'n

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