# SAMYAK JAIN

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#### **EDUCATION**

Indian Institute of Technology (BHU) Varanasi

August 2018 - May 2023

Integrated Dual Degree (B.Tech + M.Tech) in Computer Science - CGPA: 9.55/10.0

Master's Thesis

#### AREAS OF INTEREST

AI Safety, Science of Deep Learning, Interpretability, Understanding Learning Dynamics

#### **EXPERIENCE**

Microsoft Research India July 2024 - Present Research Fellow Mentor Navin Goyal Five AI and Torr Vision Group, Oxford October 2023 - June-2024 Research Intern Mentor Puneet Dokania Krueger AI Safety Lab, Cambridge University May 2023 - October-2023 Research Intern Mentor David Krueger Vision and AI Lab, Indian Institute of Science, Bangalore May 2020 - May-2023 Research Intern Mentor Venkatesh Babu Theoretical Foundations of AI, Technical University of Munich May 2021 - August-2021 Research Intern Mentor Debarghya Ghoshdastidar

#### **PUBLICATIONS**

- What Makes Safety Fine-tuning Methods Safe? A Mechanistic Study
  Samyak Jain, Ekdeep Singh, Kemal Oksuz, Tom Joy, Phil Torr, Amartya Sanyal, Puneet Dokania
  ICML workshop on Mechanistic Interpretability, 2024 (Spotlight)
  NeurIPS 2024 main code
- Mechanistically analyzing the effects of fine-tuning on procedurally defined tasks

  Samyak Jain\*, Robert Kirk\*, Ekdeep Singh\*, Hidenori Tanaka, Robert Dick, Tim Rocktaschel, Edward

  Grefenstette, David Krueger

  ICLR 2024 main code
- DART: Diversify-Aggregate-Repeat Training Improves Generalization of Neural Networks Samyak Jain\*, Sravanti Addepalli\*, Pawan Sahu, Priyam Dey, RV. Babu CVPR-2023 main code
- Efficient and Effective Augmentation Strategy for Adversarial Training Sravanti Addepalli\*, Samyak Jain\*, RV. Babu NeurIPS 2022 main code
- Scaling Adversarial Training to Large Perturbation Bounds Sravanti Addepalli\*, <u>Samyak Jain</u>\*, Gaurang Sriramanan, RV. Babu ECCV 2022 main code
- Boosting Adversarial Robustness using Feature Level Stochastic Smoothing Sravanti Addepalli\*, <u>Samyak Jain</u>\*, Gaurang Sriramanan\*, RV. Babu SAIAD Workshop CVPR 2021 main code
- Towards Understanding and Improving Adversarial Robustness of Vision Transformers

  Samyak Jain, Tanima Dutta

  CVPR 2024 main

#### FEATURED ACADEMIC PROJECTS AND COLLABORATIONS

#### Understanding the lottery ticket hypothesis Navin Goval

- Neurons part of lottery tickets have high projection with final model at initialization itself.
- High projection leads to exponential rise in norm, thereby enforcing faster convergence of such neurons.

# Mechanistic understanding of safety fine-tuning and jailbreaking attacks Puneet Dokania, Ekdeep Singh, Amartya Sanyal, Phil Torr

- Safety fine-tuning projects unsafe samples into model's (low rank) null space, resulting in safety.
- Safety fine-tuned model is unable to project jailbreaks into it's null space, thus circumventing safety.
- Gemma Scope highlighted the safety value of using sparse autoencoders based on insights in this work.

## Mechanistic understanding of fine-tuning Robert Kirk, Ekdeep Singh, David Krueger

- Demonstrated that fine-tuning is unable to alter the model mechanistically, giving pretense of change.
- Reverse fine-tuning has become the staple method for evaluating unlearning.
- This work has been used to counter use of safety-finetuning as an assurance protocol. Some works [1], [2] have used our work to submit comments to RFI related to NIST's executive order concerning AI.

# Exploring loss basin to find generalized solutions RV. Babu, Sravanti Addepalli

- Showed that using weight averaging of diverse models during training increases the convergence time for learning spurious features and aids the learning of robust features.
- Proposed method DART shows improvements on both in-domain and out of domain settings.

# Using data augmentations effectively in adversarial training RV. Babu, Sravanti Addepalli

- Demonstrated for the first time that it is possible to use augmentations effectively in adversarial training irrespective of the type of augmentation and adversarial training (AT) method used.
- Demonstrated that weight space smoothing can help in preventing catastrophic overfitting.

# Aligning adversarial training with Ideal training objectives RV. Babu, Sravanti Addepalli

- Observed that standard AT cannot generalize to larger perturbation bounds due to conflict in training.
- Developed Oracle-Aligned Adversarial Training (OAAT), which aims to align the model's predictions with the oracle labels of adversarial images.

#### Calibrating robust models to allow rejection of adversarial samples RV. Babu, Sravanti Addepalli

- Inspired by variational inference, proposed a stochastic classifier which aims to learn smoother class boundaries by sampling noise multiple times in it's latent space during inference.
- Proposed method demonstrated improved robustness along with improved calibration.

# Understanding gradient masking in vision transformers Tanima Dutta

- Past works have demonstrated gradient masking in vision transformers, but failed to analyze the cause.
- Demonstrated that softmax in attention causes floating point errors leading to gradient masking in VITs.

# SCHOLASTIC ACHIEVEMENTS

- Recipient of **DAAD-WISE**, a research oriented scholarship program by German Government.
- Fellow of Berkeley Existential Risk Initiative (BERI), which supported my research at Cambridge.
- Recipient of Summer Research Fellowship 2020 (SRFP), a research program by Indian Government.
- All India rank 922 in JEE Advanced 2018 and 346 in JEE Mains 2018 out of 1 million+ candidates.
- Selected for the KVPY 2018 Fellowship (IISc, Bangalore) by the Govt. of India.
- Ranked in amongst **Top 300** students in India for Maths, Physics and Astronomy Olympiads at national level INMO, INPhO, INAO 2018. City topper in National Talent Search Exam (NTSE) 2016.
- Member of Future of Life-Existential AI Safety Community.

#### FEATURED POSITIONS

Outstanding / Highlighted reviewer award: NeurIPS 2024, CVPR 2023, CVPR 2022, ICLR 2022

Teaching Assistant: Introduction to Database Management, Introduction to Machine Learning