

# Database Tuning – Assignment 4

## Index Tuning

A2

Baumgartner Dominik, 0920177

Dafir Thomas Samy, 1331483

Schörgenhofer Kevin, 1421082

November 29, 2016

*Notes:*

- Do not forget to run `ANALYZE tablename` after creating or changing a table.
- Use `EXPLAIN ANALYZE` for the query plans that you display in the report.

## 1 Experimental Setup

We used a small java program to send our queries to the database server. The java program was running on the computers of the RÜR.

The time measurement starts before we start sending the queries of one type and one table (different tables for different indexes) and stops afterwards. We repeat this for each query type and for each table.

## 2 Clustered B<sup>+</sup>-Tree Index

### Point Query

```
SELECT * FROM Publ WHERE pubID = ...
```

For this query we created a text file containing about 20000 randomly selected pubids from the publ.tsv file. Queries were then executed for each entry in that file.

Runtime: 65155ms.

Queries: 19728. Throughput: about 302 queries/sec.

Query plan (for one of the queries):

```
Index Scan using pubidcb on publ_cb (cost=0.43..8.45 rows=1 width=113) (actual time=0.061..0.061)
Index Cond: ((pubid)::text = 'books/idea/encyclopediaDB2005/Manjunath05'::text)
Planning time: 0.314 ms
Execution time: 0.079 ms
```

An index scan is used to traverse the B<sup>+</sup>-tree index and find the tuple with the specified value of pubId

**Multipoint Query – Low Selectivity** Repeat the following query multiple times with different conditions for `booktitle`.

```
SELECT * FROM Publ WHERE booktitle = ...
```

Which conditions did you use?

We used a equality condition where the index matches a given string from the `booktitle` row of the `publ.tsv` file, like 'Software Engineering (Workshops)'.

Show the runtime results and compute the throughput.

For the Clustered B<sup>+</sup>-Tree Index we achieved a runtime from *93660ms* to *101960ms* with 7190 searched values. This leads to a throughput of  $70,5 \frac{\text{queries}}{\text{second}}$  to  $76,8 \frac{\text{queries}}{\text{second}}$ .

Query plan (for one of the queries):

```
EXPLAIN SELECT * FROM publ_cb WHERE booktitle = 'Software Engineering (Workshops)';
```

```
Index Scan using booktitlecb on publ_cb (cost=0.43..14.60 rows=181 width=112)
  Index Cond: ((booktitle)::text = 'Software Engineering (Workshops)'::text)
(2 rows)
```

In this execution plan an index scan is used. Due to the sorted attributes the cost are low.

**Multipoint Query – High Selectivity** Repeat the following query multiple times with different conditions for `year`.

```
SELECT * FROM Publ WHERE year = ...
```

Which conditions did you use?

The conditions were imported from a file which contains the years from 1920-2020 repeated up to a total amount of 1500 years.

Show the runtime results and compute the throughput.

Runtime (ms): 86316 Throughput (q/s): 17.37800639510635

Query plan (for one of the queries):

```
Bitmap Heap Scan on publ_cb (cost=45.98..6695.42 rows=2265 width=112) (actual time=1.081..6.685)
  Recheck Cond: ((year)::text = '1986'::text)
  Heap Blocks: exact=160
   -> Bitmap Index Scan on yearcb (cost=0.00..45.41 rows=2265 width=0) (actual time=1.049..1.049)
        Index Cond: ((year)::text = '1986'::text)
Planning time: 0.484 ms
Execution time: 9.974 ms
```

### 3 Non-Clustered B<sup>+</sup>-Tree Index

*Note:* Make sure the data is not physically ordered by the indexed attributes due to the clustering index that you created before.

#### Point Query

```
SELECT * FROM Publ WHERE pubID = ...
```

For this query we created a text file containing about 20000 randomly selected pubids from the publ.tsv file. Queries were then executed for each entry in that file.

Runtime: 64573ms.

Queries: 19728. Throughput: about 305 queries/sec.

Query plan (for one of the queries):

```
Index Scan using pubidb on publ_b (cost=0.43..8.45 rows=1 width=112) (actual time=0.071..0.072 r
Index Cond: ((pubid)::text = 'books/idea/encyclopediaDB2005/Manjunath05'::text)
Planning time: 0.405 ms
Execution time: 0.092 ms
```

Just like in the case of the clustered B<sup>+</sup>-tree index an index scan is used.

**Multipoint Query – Low Selectivity** Repeat the following query multiple times with different conditions for booktitle.

```
SELECT * FROM Publ WHERE booktitle = ...
```

Which conditions did you use?

We used a equality condition where the index matches a given string from the booktitle row of the publ.tsv file, like 'Software Engineering (Workshops)'.

Show the runtime results and compute the throughput.

For the Non-Clustered B<sup>+</sup>-Tree Index we achieved a runtime from 99160ms to 113470ms with 7190 searched values. This leads to a throughput of 63,4  $\frac{\text{queries}}{\text{second}}$  to 72,5  $\frac{\text{queries}}{\text{second}}$ .

Query plan (for one of the queries):

```
EXPLAIN SELECT * FROM publ_b WHERE booktitle = 'Software Engineering (Workshops)';

Index Scan using booktitleb on publ_b (cost=0.43..577.16 rows=181 width=113)
Index Cond: ((booktitle)::text = 'Software Engineering (Workshops)'::text)
(2 rows)
```

This execution plan is almost the same as the one for the Clustered B<sup>+</sup>-Tree. It also uses an index scan, but the costs are higher.

**Multipoint Query – High Selectivity** Repeat the following query multiple times with different conditions for year.

```
SELECT * FROM Publ WHERE year = ...
```

Which conditions did you use?

The conditions were imported from a file which contains the years from 1920-2020 repeated up to a total amount of 1500 years.

Show the runtime results and compute the throughput.

Runtime (ms): 83157 Throughput (q/s): 18.038168765106967

Query plan (for one of the queries):

```

Bitmap Heap Scan on publ_b (cost=55.01..7010.65 rows=2398 width=112) (actual time=2.148..34.510)
  Recheck Cond: ((year)::text = '1986'::text)
  Heap Blocks: exact=3258
-> Bitmap Index Scan on yearb (cost=0.00..54.41 rows=2398 width=0) (actual time=1.620..1.620)
    Index Cond: ((year)::text = '1986'::text)
Planning time: 0.301 ms
Execution time: 38.380 ms

```

## 4 Non-Clustered Hash Index

*Note:* Make sure the data is not physically ordered by the indexed attributes due to the clustering index that you created before.

### Point Query

```
SELECT * FROM Publ WHERE pubID = ...
```

For this query we created a text file containing about 20000 randomly selected pubids from the publ.tsv file. Queries were then executed for each entry in that file.

Runtime: 64503ms.

Queries: 19728. Throughput: about 305 queries/sec.

Query plan (for one of the queries):

```

Index Scan using pubidh on publ_h (cost=0.00..8.02 rows=1 width=113) (actual time=0.037..0.038)
Index Cond: ((pubid)::text = 'books/idea/encyclopediaDB2005/Manjunath05'::text)
Planning time: 0.368 ms
Execution time: 0.060 ms

```

In this case an index scan is used again which actually means that the hash value of the specified attribute is computed and the corresponding bucket is searched for a matching tuple.

**Multipoint Query – Low Selectivity** Repeat the following query multiple times with different conditions for booktitle.

```
SELECT * FROM Publ WHERE booktitle = ...
```

Which conditions did you use?

We used a equality condition where the index matches a given string from the booktitle row of the publ.tsv file, like 'Software Engineering (Workshops)'.

Show the runtime results and compute the throughput.

For the Non-Clustered Hash Index we achieved a runtime from 124470ms to 113470ms with 7190 searched values. This leads to a throughput of  $57,8 \frac{\text{queries}}{\text{second}}$  to  $76,9 \frac{\text{queries}}{\text{second}}$ .

Query plan (for one of the queries):

```
EXPLAIN SELECT * FROM publ_h WHERE booktitle = 'Software Engineering (Workshops)';
```

```

Bitmap Heap Scan on publ_h (cost=5.37..668.34 rows=177 width=112)
  Recheck Cond: ((booktitle)::text = 'Software Engineering (Workshops)'::text)
-> Bitmap Index Scan on booktitleh (cost=0.00..5.33 rows=177 width=0)
    Index Cond: ((booktitle)::text = 'Software Engineering (Workshops)'::text)
(4 rows)

```

As seen in the plan, first the right bucket is retrieved. Then the booktitle is searched in the bucket.

**Multipoint Query – High Selectivity** Repeat the following query multiple times with different conditions for year.

```
SELECT * FROM Publ WHERE year = ...
```

Which conditions did you use?

The conditions were imported from a file which contains the years from 1920-2020 repeated up to a total amount of 1500 years.

Show the runtime results and compute the throughput.

Runtime (ms): 86386 Throughput (q/s): 17.363924710022456

Query plan (for one of the queries):

```
Bitmap Heap Scan on publ_h (cost=68.65..6436.08 rows=2149 width=113) (actual time=2.409..32.008)
  Recheck Cond: ((year)::text = '1986'::text)
  Heap Blocks: exact=3258
-> Bitmap Index Scan on yearh (cost=0.00..68.12 rows=2149 width=0) (actual time=1.824..1.824)
    Index Cond: ((year)::text = '1986'::text)
Planning time: 0.346 ms
Execution time: 35.861 ms
```

## 5 Table Scan

*Note:* Make sure the data is not physically ordered by the indexed attributes due to the clustering index that you created. before.

### Point Query

```
SELECT * FROM Publ WHERE pubID = ...
```

For this query we created a text file containing about 300 randomly selected pubids from the publ.tsv file. Queries were then executed for each entry in that file. The reason we used a different dataset is the enormous amount of time 20000 sequential scan queries would have taken.

Runtime: 75518ms.

Queries: 297.

Throughput: about 3.9 queries/sec.

Query plan (for one of the queries):

```
Seq Scan on publ_s (cost=0.00..37841.18 rows=1 width=113) (actual time=124.918..272.303 rows=1)
Filter: ((pubid)::text = 'books/idea/encyclopediaDB2005/Manjunath05'::text)
Rows Removed by Filter: 1233213
Planning time: 0.029 ms
Execution time: 272.320 ms
```

Since there is no index to be used, the optimizer creates a query plan using a sequential scan.

### Multipoint Query – Low Selectivity

```
SELECT * FROM Publ WHERE booktitle = ...
```

Which conditions did you use?

We used an equality condition where the index matches a given string from the booktitle row of the publ.tsv file, like 'Software Engineering (Workshops)'.

Show the runtime results and compute the throughput.

For the Table Scan we achieved a runtime from 197998ms to 201809ms with 719 searched values. This leads to a throughput of  $3,6 \frac{\text{queries}}{\text{second}}$ .

Query plan (for one of the queries):

```
EXPLAIN SELECT * FROM publ_s WHERE booktitle = 'Software Engineering (Workshops)';
```

```
Seq Scan on publ_s (cost=0.00..37843.18 rows=179 width=112)
  Filter: ((booktitle)::text = 'Software Engineering (Workshops)')::text)
(2 rows)
```

As shown in this execution plan, it uses a seqal scan with booktitle on the table which ends in a high runtime.

### Multipoint Query – High Selectivity

```
SELECT * FROM Publ WHERE year = ...
```

Which conditions did you use?

The conditions were imported from a file which contains the years from 1920-2020 repeated up to a total amount of 1500 years.

Show the runtime results and compute the throughput.

Runtime (ms): 485258 Throughput (q/s): 3.0911391465983042

Query plan (for one of the queries):

```
Seq Scan on publ_s (cost=0.00..37777.18 rows=2224 width=112) (actual time=0.041..354.600 rows=9)
  Filter: ((year)::text = '1986')::text)
  Rows Removed by Filter: 1224032
Planning time: 0.746 ms
Execution time: 358.390 ms
```

## 6 Discussion

Give the throughput of the query types and index types in queries/second.

	clustered	non-clust. B <sup>+</sup> -tree	non-clust. hash	table scan
point (pubID)	302	305	305	3,9
multipoint (booktitle)	73,14	69,37	69,73	3,6
multipoint (year)	17,38	18,04	17,36	3,01

### 6.1 Table Scan

The results for the table scan are as expected for all query types. The database system can obviously not use an index and has to do a sequential scan over the whole table, which is slow, hence the throughput is low.

## 6.2 Index Scans

The results of the different index scans were nearly identical for each query type, which we kind of expected because of the low complexity of the queries.

The differences of the throughputs of different query types were also expected:

In the statistics of the DBS the variety of values for each attribute is stored. The single point query (pubID) returns only one tuple, whereas the multipoint queries have to find and return way more tuples (e.g. years about 12000 tuples), which is more expensive. This explains why the single point query has the highest throughput and the multipoint query containing year the lowest.

Our expectations were that the clustered  $B^+$ -tree would be faster than the non-clustered, which only occurred on the first multipoint query (booktitle). This might be caused by the fact, that the non-clustered and the clustered  $B^+$ -tree both use a Bitmap Heap Scan which in our opinion makes no sense for the clustered one.

An explanation for this behaviour would be the amount of tuples returned by each query. In the booktitle-query only a small amount of tuples is returned thus an index scan is much less expensive than a bitmap scan. In the years-query a lot of tuples are returned which actually mean that there is a high probability that blocks are accessed more than once which makes it far less expensive to conduct a bitmap scan using the index and scanning only marked blocks afterwards.

The differences between the throughput of the different index types of the single point query are in our opinion a little bit too small, because one tree traversal should be more expensive than one hash calculation. With about 20000 queries this should probably sum up to a higher difference. This is true for the other two query types as well. Especially for the (year) multipoint query it is weird that the DBS uses for each index a Bitmap Scan, hence does not take any advantage of the clustering.

Time in hours per person: **XXX**

---

**Important:** Reference your information sources!

---