

Discrete Mathematics Notes

ICT 7th Semester

by

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Unit-1

Relations and Diagram

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Discrete mathematics is the branch of mathematics dealing with objects that can consider only distinct, separated values.

1.1 Product Set and Partitions

A set is defined as collection of distinct objects of the same type or class of objects.

Product Sets

Let A and B be two non-empty sets.

The Product set or Cartesian product $A \times B$ is the set of all order pairs (a, b) with $a \in A$ and $b \in B$, that is

$$A \times B = \{(a, b) | a \in A \text{ and } b \in B\}$$

Example

Let $A = \{1, 2, 3\}$ and $B = \{r, s\}$

$$A \times B = \{(1, r), (1, s), (2, r), (2, s), (3, r), (3, s)\}$$

$$|A \times B| = |A| |B|$$

$A \cap B$	r	s
1	(1, r)	(1, s)
2	(2, r)	(2, s)
3	(3, r)	(3, s)

Partitions

A partition or a quotient set of a non-empty set A is a collection of P of non-empty subsets of A such that:

- (1) Each element of A belongs to one of these sets of P .
- (2) If A_1 and A_2 are distinct elements of P , then $A_1 \cap A_2 = \emptyset$.

Then sets in P are called the blocks or cells of the partition.

Example

Let $A = \{a, b, c, d, e, f, g, h\}$. Consider subsets of A :
 $A_1 = \{a, b, c, d\}$, $A_2 = \{a, c, e, f, g, h\}$, $A_3 = \{a, c, e, g\}$,
 $A_4 = \{b, d\}$, $A_5 = \{f, h\}$

Then $\{A_1, A_2\}$ is not the partition because $A_1 \cap A_2 = \emptyset$.

$\{A_3, A_5\}$ is not a partition because $A_3 \cap A_5 = \emptyset$
e.g. $e \notin A_3$ and A_5 .

$\{A_2, A_4\}$ and $\{A_3, A_4, A_5\}$ are partitions of A .

~~10 Binary relations and its types~~

Some Important Sets and Notations

$N \rightarrow$ the set of all natural numbers = $\{1, 2, 3, 4, \dots\}$

$I/Z \rightarrow$ the set of all integers = $\{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$

$I^+/\mathbb{Z}^+ \rightarrow$ the set of all positive integers.

$Q \rightarrow$ the set of all rational numbers.

$R \rightarrow$ the set of all real numbers.

$W \rightarrow$ the set of all whole numbers.

$\emptyset \rightarrow$ Empty set

$U \rightarrow$ Universal set

Cardinality of a Set:

The total number of unique elements in the set is called the cardinality of the set. The cardinality of the countably infinite set is Countably infinite.

Example

Let $P = \{k, l, m, n\}$

The cardinality of the set P is 4.

1.2 Binary Relations and its types

Let A and B be two non-empty sets.

A relation R from A to B is a subset of

$$A \times B = \{(a, b) \mid a \in A, b \in B\}.$$

If $R \subseteq A \times B$ and $(a, b) \in R$, then we say that a is related to b by R , denoted as aRb . Other notation: $a =_R b$.

If a is not related to b , then $a \neq_R b$.

If sets A and B are equal, then we say $R \subseteq A \times A$ is a relation ~~function~~ on P .

Eg:

(i) Let $A = \{a, b, c\}$

$$B = \{r, s, t\}$$

Then $R = \{(a, r), (b, r), (b, t), (c, s)\}$ is a relation from A to B .

(ii) Let $A = \{1, 2, 3\}$ and $B = A$

$R = \{(1, 1), (2, 2), (3, 3)\}$ is a relation (equivalence) on A .

Q1. If a set has ' n ' elements, how many relations are there from A to A .

Soln:

If set A has ' n ' elements, $A \times A$ has n^2 elements.
So, there are 2^{n^2} relations from A to A .

Q2. If A has m elements and B has n elements.
How many ~~real~~ relations are there from A to B
and vice versa?

Soln:

There are $m \times n$ elements; hence there are $2^{m \times n}$ relations from A to B.

Q3. If a set A = {1, 2}. Determine all relations from A to A.

Soln:

There are $2^2 = 4$ elements i.e. $\{(1, 1), (2, 1), (1, 2), (2, 2)\}$ in $A \times A$. So, there are $2^4 = 16$ relations from A to A i.e.

- ① $\{(1, 2), (2, 1), (1, 1), (2, 2)\}$, ② $\{(1, 2), (2, 1)\}$ ③ $\{(1, 2), (1, 1)\}$,
- ④ $\{(1, 2), (2, 2)\}$, ⑤ $\{(2, 1), (1, 1)\}$, ⑥ $\{(2, 1), (2, 2)\}$
- ⑦ $\{(1, 1), (2, 2)\}$, ⑧ $\{(1, 2), (2, 1)\}$, ⑨ $\{(1, 1)\}$
- ⑩ $\{(1, 2), (2, 1), (1, 1)\}$ ⑪ $\{(1, 2), (1, 1), (2, 2)\}$
- ⑫ $\{(2, 1), (1, 1), (2, 2)\}$ ⑬ $\{(1, 2), (2, 1), (2, 2)\}$
- ⑭ $\{(1, 2), (2, 1), (1, 1), (2, 2)\}$ and \emptyset .

Domain and Range of a relation

If there are two sets A and B and Relation from A to B is $R(a, b)$, then

Domain is defined as the set $\{a | (a, b) \in R$ for some $b \in B\}$ and

Range is defined as the set $\{b | (a, b) \in R$ for some $a \in A\}$.

Type of Binary Relation :

1. Empty Relation →

The empty relation between the sets A and B, or, on E, is the empty set \emptyset .

2. Full Relation :

The full relation between the sets A and B is the set $A \times B$.

3. Identity Relation

The identity relation on set X is the set $\{(x, x) | x \in X\}$

4. Inverse Relation

The inverse relation R' of a relation R is defined as $R' = \{(b, a) | (a, b) \in R\}$

Eg:

If $R = \{(1, 2), (2, 3)\}$ then R' will be $\{(2, 1), (3, 2)\}$.

5. Reflexive Relation

A relation R on set A is called reflexive if $(a, a) \in R$ for every $a \in A$.

Example

The relation $R = \{(a, a), (b, b)\}$ on set $X = \{a, b\}$ is reflexive.

6. Irreflexive Relation -

A relation R on set A is said to be irreflexive if $(a,a) \notin R$ for every $a \in A$.

Example

The relation $R = \{(a,b), (b,a)\}$ on set $X = \{a, b\}$ is irreflexive.

7. Symmetric Relation

A relation R is symmetric if $(b,a) \in R$ whenever $(a,b) \in R$.

Example

The relation $R = \{(1,2), (2,1), (3,2), (2,3)\}$ on set $A = \{1, 2, 3\}$ is symmetric.

8. Asymmetric Relation

A relation R is asymmetric if $(b,a) \in R$ whenever $(a,b) \in R$.

Example

9. Antisymmetric Relation

A relation R is antisymmetric if whenever $(a,b) \in R$ and $(b,a) \in R$, then $a = b$.

10. Transitive Relation

A relation R on a set A is transitive if whenever $(a,b) \in R$ and $(b,c) \in R$, then $(a,c) \in R$.

Example → Relation $R = \{(1,2), (2,3), (1,3)\}$ on a set $A = \{1, 2, 3\}$ is transitive.

11. Equivalence Relation

A relation R is an Equivalence Relation if it is reflexive, symmetric and transitive.

Example

Relation $R = \{(1,1), (2,2), (3,3), (1,2), (2,1), (2,3), (3,2), (1,3), (3,1)\}$ on set $A = \{1, 2, 3\}$ is equivalence relation as it is reflexive, symmetric and transitive.

Complement of a Relation:

Consider a relation from set A to B .

The complement of relation R denoted by \bar{R} is a relation from A to B such that:

$$\bar{R} = \{(a,b) : (a,b) \notin R\}$$

Example

Consider the relation R from X to Y .

$$X = \{1, 2, 3\}$$

$$Y = \{8, 9\}$$

$$R = \{(1,8), (2,8), (1,9), (3,9)\}$$

Find the complement of R .

So,

$$X \times Y = \{(1,8), (2,8), (3,8), (1,9), (2,9), (3,9)\}$$

Now we find the complement relation from \bar{R} from $X \times Y$.

$$\bar{R} = \{(3,8), (2,9)\}$$

1.3 Different methods of representing relations

Relations can be represented in many ways. Some of which are as follows:

1. Relation as a Matrix

In this zero-one is used to represent the relationship that exists between two sets. If an element is present then it is represented by 1 else if it is represented by 0.

Let $P = [a_1, a_2, a_3, \dots, a_m]$ and

$Q = [b_1, b_2, b_3, \dots, b_n]$ are finite sets,

containing m and n number of elements respectively. R is a relation from P to Q . The relation R can be represented by $m \times n$ matrix $M = [M_{ij}]$, defined as

$$M_{ij} = \begin{cases} 0 & \text{if } (a_i, b_j) \notin R \\ 1 & \text{if } (a_i, b_j) \in R \end{cases}$$

Example

Let $P = \{1, 2, 3, 4\}$, $Q = \{a, b, c, d\}$ and $R = \{(1, a), (1, b), (1, c), (2, b), (2, c), (2, d)\}$

The matrix of relation R shown as:

$$M_R = \begin{bmatrix} & a & b & c & d \\ 1 & 1 & 1 & 1 & 0 \\ 2 & 0 & 1 & 1 & 1 \\ 3 & 0 & 0 & 0 & 0 \\ 4 & 0 & 0 & 0 & 0 \end{bmatrix}$$

2. Relation as a Directed Graph

There is another way of picturing a relation R when R is a relation from a finite set to itself.

If consists of set ' V ' of vertices and with the edges ' E '. Here E is represented by ordered pair of vertices.

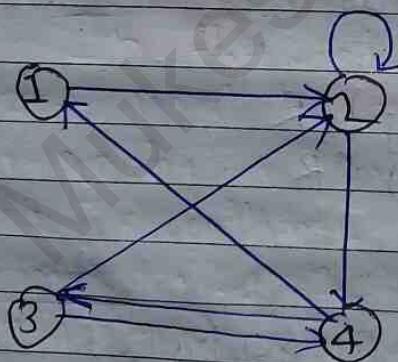
In the edge (a, b) , a is the initial vertex and b is the final vertex.

If edge is (a, a) , then this is regarded as loop.

Example

$$A = \{1, 2, 3, 4\}$$

$$R = \{(1, 2), (2, 2), (2, 4), (3, 2), (3, 4), (4, 1), (4, 3)\}$$



3. Relation as an Arrow Diagram

R is If P and Q are finite sets and R is a relation from P to Q . Relation R can be represented as an arrow diagram

as follows.

Draw two ellipses for the sets P and Q . Write down the elements of P and Q column wise in three ellipses. Then draw an arrow from the first ellipse to the second ellipse if $a \in P$ is related to b and $a \in P$ and $b \in Q$.

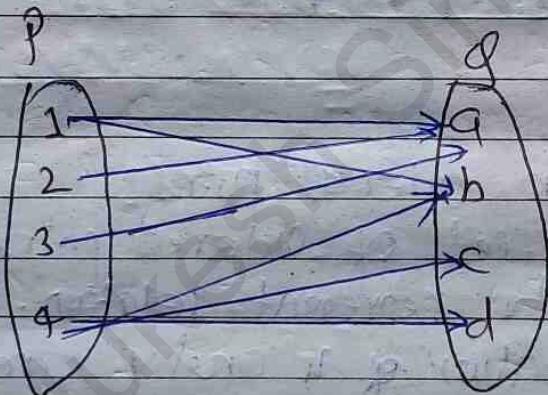
Example

$$P = \{1, 2, 3, 4\}$$

$$Q = \{a, b, c, d\}$$

$$R = \{(1, a), (2, a), (3, a), (1, b), (4, b), (4, c), (4, d)\}$$

The arrow diagram is as follows:



4. Relation as a Table:

If P and Q are finite sets and R is a relation from P to Q . Relation R can be represented in tabular form.

Make the table which contains rows equivalent to an element of P and columns equivalent to the elements of Q . Then place a

cross (x) in the boxes which represent relations of elements on set P to set Q.

Example:

$$\text{Let } P = \{1, 2, 3, 4\}$$

$$Q = \{x, y, z, k\}$$

$$R = \{(1, x), (1, y), (2, z), (3, z), (4, k)\}$$

The tabular form of relation as shown in fig:

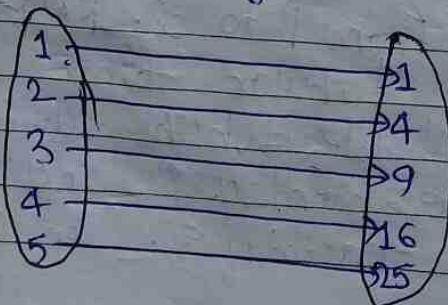
	x	y	z	k
1	x	x		
2			x	
3			x	
4				x

5. Relation as an ordered pairs

In this set of ordered pairs of x and y are used to represent relation. In this corresponding values of x and y are represented using parenthesis.

$$\text{Example } \{(1, 1), (2, 4), (3, 9), (4, 16), (5, 25)\}$$

They represent square of a number which means if $x=1$ then $y=x \times x = 1$ and so on.



1.4 Properties of relations:

- Reflexive
- Symmetric
- Asymmetric
- Transitive
- Equivalence
- Partial order relations

Partial Order relations

A relation R on a set A is called a partial order relation if it satisfies the following three properties:

1. Relation R is reflexive i.e. $aRa, \forall a \in A$.
2. Relation R is Antisymmetric i.e., aRb and $bRa \Rightarrow a=b$
3. Relation R is transitive, i.e. aRb and $bRc \Rightarrow aRc$.

Example

Show whether the relation $(x,y) \in R$, if $x \geq y$ defined on the set of five integers is a partial order relation.

Soln:

Consider set $A = \{1, 2, 3, 4\}$ containing four +ve integers. Find the relation for this set such that $R = \{(2, 1), (3, 1), (3, 2), (4, 1), (4, 2), (4, 3), (1, 1), (2, 2), (3, 3), (4, 4)\}$

~~Reflexive~~

The relation \in is reflexive as $(1,1), (2,2), (3,3), (4,4) \in R$

The relation \in is ~~transitive~~ as antisymmetric
as whenever (a,b) and $(b,a) \in R$, we have $a=b$.

The relation \in is transitive as whenever (a,b) and $(b,c) \in R$, we have $(a,c) \in R$.

Hence, it is partial order relation.

1.5 Boolean Matrix representation of Relations

(1) Boolean Matrix Operations

A Boolean matrix is a matrix whose entries are 0 or 1.

Let $A = [a_{ij}]$, and $B = [b_{ij}]$ be $m \times n$ Boolean matrices.

(i) The join of A and B : $C = A \vee B = [c_{ij}]$ where
 $c_{ij} = \begin{cases} 1 & \text{if } a_{ij} = 1 \text{ or } b_{ij} = 1 \\ 0 & \text{if } a_{ij} = 0 \text{ or } b_{ij} = 0 \end{cases}$

(ii) the meet of A and B : $D = A \wedge B = [d_{ij}]$ where

$$d_{ij} = \begin{cases} 1 & \text{if } a_{ij} = 1 \text{ and } b_{ij} = 1 \\ 0 & \text{if } a_{ij} = 0 \text{ or } b_{ij} = 0 \end{cases}$$

(iii) Let $A = [a_{ij}]$ be $m \times p$, and $B = [b_{ij}]$ be $p \times n$ Boolean matrices.

the Boolean product of A and B : $E = A \odot B = [e_{ij}]$ where

$$e_{ij} = \begin{cases} 1 & \text{if } a_{ik} = 1 \text{ and } b_{kj} = 1 \text{ for some } k, \\ & \quad 1 \leq k \leq p \\ 0 & \text{Otherwise} \end{cases}$$

If $M_g = \begin{bmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{bmatrix}$ and $M_s = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$ find

$$\text{i) } M_g \cup M_s = M_g \vee M_s = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 1 & 1 \end{bmatrix}$$

$$\text{ii) } M_g \cap M_s = M_g \wedge M_s = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\text{iii) } M_g \ominus M_s = M_g \odot M_s = \begin{bmatrix} 1 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 1 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

$$\text{iv) } (M_s)^2 = M_s \odot M_s$$

$$= \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \times \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$= \begin{bmatrix} 1+0+0 & 0+0+0 & 1+0+0 \\ 0+0+0 & 0+1+0 & 0+0+0 \\ 0+0+0 & 0+0+0 & 0+0+0 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Boolean Products

$$A = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, B = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 \end{bmatrix}$$

$$A \odot B = \begin{bmatrix} 1+0+0 & 0+1+0 & 0+1+0 & 0+0+0 \\ 0+0+0 & 0+1+0 & 0+1+0 & 0+0+0 \\ 1+0+0 & 0+1+0 & 0+1+0 & 0+0+0 \\ 0+0+1 & 0+0+0 & 0+0+1 & 0+0+01 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 01 \end{bmatrix}$$

1.6 Composition of Two relations.

Let A, B and C be sets.

Let R is the relation from A to B
i.e. $R \subseteq A \times B$.

S is the relation from B to C
i.e. $S \subseteq B \times C$.

The composition of R and S gives the relation from A to C , indicated by $R \circ S$ and defined by:

$$R \circ S = \{(a, c) \in A \times C : \text{for some } b \in B, (a, b) \in R \text{ and } (b, c) \in S\}$$

Sometimes, $R \circ S$ is simply denoted by RS .

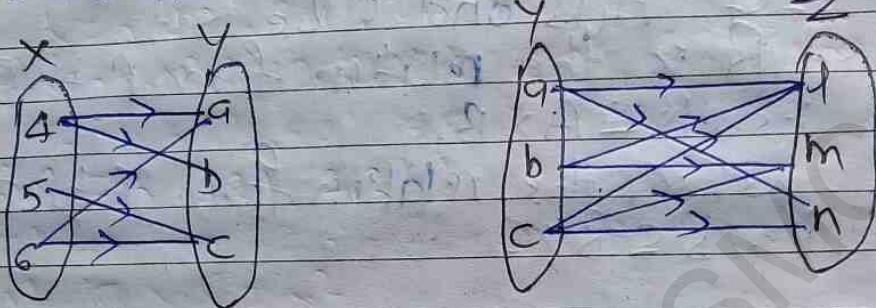
Let R is a relation on set A , that is, R is a relation from a set A to itself. Then $R \circ R$, the composition of R with itself, is always represented. Also, $R \circ R$ is sometimes denoted by R^2 . Similarly, $R^3 = R^2 \circ R = R \circ R \circ R$, and so on. Thus, R^n is defined for all positive n .

Example

Let $X = \{4, 5, 6\}$, $Y = \{a, b, c\}$ and $Z = \{l, m, n\}$. Consider the relation R_1 from X to Y and R_2 from Y to Z .

$$R_1 = \{(4,a), (4,b), (5,c), (6,a), (6,c)\}$$

$$R_2 = \{(a,l), (a,n), (b,l), (b,m), (c,l), (c,m), (c,n)\}$$



Find the Composition of relation
 (i) $R_1 \circ R_2$ (ii) $R_1 \circ R_1^{-1}$

(i) Soln:

The Composition of $R_1 \circ R_2$ is shown below:

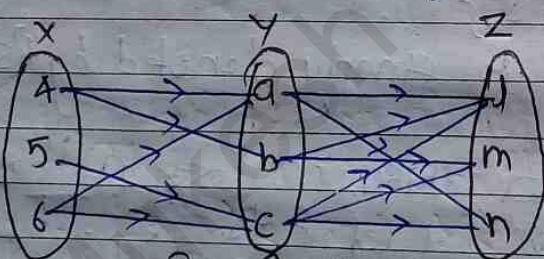


Fig: $R_1 \circ R_2$

$$R_1 \circ R_2 = \{(4,l), (4,m), (4,n), (5,l), (5,m), (5,n), (6,l), (6,m), (6,n)\}$$

(ii) The composition of relation $R_1 \circ R_1^{-1}$ as shown below:

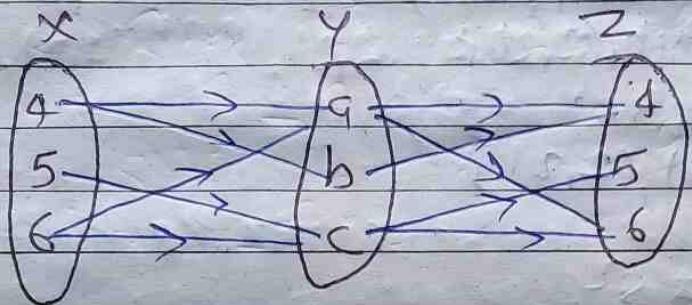


Fig.: $R_1 \circ R_1^{-1}$

$$R_1 \circ R_1^{-1} = \{(4,4), (5,5), (5,6), (6,4), (6,5), (4,6), (6,6)\}$$

Q2.

$$\text{Let } R = \{(1,2), (3,4), (2,2)\}$$

$$S = \{(4,2), (2,5), (3,1), (1,3)\}$$

Find $R \circ S$, $S \circ R$, $R \circ (S \circ R)$, $R \circ R$, $S \circ S$.

Soln:

$$(i) R \circ S = \{(1,5), (3,2), (2,5)\}$$

$$(ii) S \circ R = \{(4,2), (3,2), (1,4)\}$$

$$(iii) R = \{(1,2), (3,4), (2,2)\}$$

$$S \circ R = \{(4,2), (3,2), (1,4)\}$$

$$R \circ (S \circ R) = \{(3,2)\}$$

$$(iv) R \circ R = R^2$$

$$R = \{(1,2), (3,4), (2,2)\}$$

$$R = \{(1,2), (3,4), (2,2)\}$$

$$R \circ R = \{(1,2), (2,2)\}$$

$$(v) S \circ S = S^2$$

$$S = \{(4,2), (2,5), (3,1), (1,3)\}$$

$$S = \{(4,2), (2,5), (3,1), (1,3)\}$$

$$S \circ S = \{(4,5), (3,3), (1,1)\}$$

Composition of Relations and Matrices

There is another way of finding $R \circ S$. Let M_R and M_S denote respectively the matrices.

Example

Let $P = \{1, 2, 3, 4, 5\}$. Consider the relation R and S on P defined by
 $R = \{(2,2), (2,3), (2,4), (2,5), (3,4), (3,5), (4,5), (5,3)\}$

$$S = \{(2,3), (2,5), (3,4), (3,5), (4,2), (4,3), (4,5), (5,2), (5,5)\}$$

Find the matrices of the above relations.
Use matrices to find the following composition of the relation R and S .

(i) R_oS (ii) R_oR (iii) S_oR

Soln:-

The matrices of the relation R and S are shown in fig:-

$$M_R = \begin{matrix} & \begin{matrix} 2 & 3 & 4 & 5 \end{matrix} \\ \begin{matrix} 2 \\ 3 \\ 4 \\ 5 \end{matrix} & \left\{ \begin{matrix} 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \end{matrix} \right\} \end{matrix}$$

$$\text{and } M_S = \begin{matrix} & \begin{matrix} 2 & 3 & 4 & 5 \end{matrix} \\ \begin{matrix} 2 \\ 3 \\ 4 \\ 5 \end{matrix} & \left\{ \begin{matrix} 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 \end{matrix} \right\} \end{matrix}$$

(i) To obtain the composition of relation R and S. First multiply M_R with M_S to obtain the matrix $M_R \times M_S$ as shown in fig:-

The non-zero entries in the matrix $M_R \times M_S$ tells the elements related in $R \circ S$. So,

$$M_R \times M_S = \begin{matrix} & \begin{matrix} 2 & 3 & 4 & 5 \end{matrix} \\ \begin{matrix} 2 \\ 3 \\ 4 \\ 5 \end{matrix} & \left\{ \begin{matrix} 2 & 2 & 1 & 4 \\ 2 & 1 & 0 & 2 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{matrix} \right\} \end{matrix}$$

Hence, the composition of RoS of the relation R and S is:

$$R_0S = \{(2,2), (2,3), (2,4), (3,2), (3,3), (4,2), (4,5), (5,2), (5,3), (5,4), (5,5)\}$$

(ii) First, multiply the matrix MR by itself, as shown in fig:

$$MR \times MR = \begin{matrix} & \begin{matrix} 2 & 3 & 4 & 5 \end{matrix} \\ \begin{matrix} 2 \\ 3 \\ 4 \\ 5 \end{matrix} & \left\{ \begin{matrix} 1 & 2 & 2 & 3 \\ 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{matrix} \right\} \end{matrix}$$

Hence, the composition of RoR of the relation R and S is:

$$R_0R = \{(2,2), (3,2), (3,3), (3,4), (4,2), (4,5), (5,2), (5,3), (5,5)\}$$

(iii) Multiply the matrix Ms with MR to obtain the matrix $Ms \times MR$ as shown in fig:

$$Ms \times MR = \begin{matrix} & \begin{matrix} 2 & 3 & 4 & 5 \end{matrix} \\ \begin{matrix} 2 \\ 3 \\ 4 \\ 5 \end{matrix} & \left\{ \begin{matrix} 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 \\ 1 & 2 & 2 & 2 \\ 1 & 1 & 1 & 1 \end{matrix} \right\} \end{matrix}$$

The non-zero entries in Matrix $M_S \times M_R$ tell the elements related to $S \circ R$.

Hence, the composition of $S \circ R$ of the relation S and R is:

$$S \circ R = \{(2,4), (2,5), (3,3), (3,4), (3,5), (4,2), (4,4), (4,5), (5,2), (5,3), (5,4), (5,5)\}.$$

1.7 Operation on relations

The following operations are performed on the relations:

1) Union }

2) Intersection }

3) Set difference }

4) Complement }

5) Inverse or Converse relation }

Binary operation

Unary

operation

1) Union

If R and S are two relations from set A to B , then the union of R and S relations are denoted by $R \cup S$, defined by

$$R \cup S = \{(a,b) \mid a \in A, b \in B, (a,b) \in R \text{ or } (a,b) \in S\}$$

2) Intersection

If R and S are two relations from set A to set B , then the intersection of two relations R and S is denoted by $R \cap S$, if can be defined as

$$R \cap S = \{(a,b) \mid a \in A, b \in B, (a,b) \in R \text{ and } (a,b) \in S\}$$

3.) Set difference

If R and S are two relations from set A to set B , then set difference of two relations R and S is denoted by $R - S$, defined by

$R - S = \{(a, b) | a \in A, b \in B, (a, b) \in R \text{ and } (a, b) \notin S\}$

$S - R = \{(a, b) | a \in A, b \in B, (a, b) \in S \text{ and } (a, b) \notin R\}$.

$$\begin{array}{c} R \quad R \quad R \\ S \quad S \quad S : \boxed{R - S \neq S - R} \\ R \cup S \quad R \cap S \quad R - S \end{array}$$

$R \cup S = \{(a, b) | a \in A, b \in B, (a, b) \in R \text{ or } (a, b) \in S\}$

$R \cap S = \{(a, b) | a \in A, b \in B, (a, b) \in R \text{ and } (a, b) \in S\}$

$R - S = \{(a, b) | a \in A, b \in B, (a, b) \in R \text{ and } (a, b) \notin S\}$

$S - R = \{(a, b) | a \in A, b \in B, (a, b) \in S \text{ and } (a, b) \notin R\}$.

4) Complement Operation

Let R be a relation, then complement of relation R is denoted by R^c or \bar{R} or R' , defined

by

$R^c = \{(a, b) | (a, b) \in A \times B \text{ and } (a, b) \notin R\}$

$R^c = (A \times B) - R$

$S^c = \{(a, b) | (a, b) \in A \times B \text{ and } (a, b) \notin S\}$

$S^c = (A \times B) - S$

5) Inverse Operation

Let R be relation, the inverse operation on a relation R is denoted by R^{-1} , defined by

$$R^{-1} = \{ (a, b) \mid (b, a) \in R^{-1}, a \in A, b \in B \}$$

$$S^{-1} = \{ (a, b) \mid (b, a) \in S^{-1}, a \in A, b \in B \}$$

Let R

$$R^{-1}$$

$$R^{-1} = \{ (a, b) \mid (a, b) \in R \text{ and } (b, a) \in R^{-1} \}$$

$(a, b) \in R$

Then

$$(b, a) \in R^{-1}$$

$$S^{-1} = \{ (a, b) \mid (a, b) \in S \text{ and } (b, a) \in S^{-1} \}$$

1.8 Transitive closure and Warshall's algorithm

Transitive closure of a relation:

The transitive closure R^+ is the smallest transitive relation that contains R as a subset.

Let a relation R be defined on set A containing 'n' elements, then

$$R^+ = R \cup R^2 \cup \dots \cup R^n$$

Eg

Let $A = \{1, 2, 3\}$ and $R = \{(1, 2), (2, 3), (3, 1)\}$
find the transitive closure.

Soln:

Given,

$$R = \{(1, 2), (2, 3), (3, 1)\}$$

$$\begin{aligned} R^2 &= R \circ R = \{(1, 2), (2, 3), (3, 1)\} \cup \{(1, 2), (2, 3), (3, 1)\} \\ &\quad - \{(1, 3), (2, 1), (3, 2)\} \end{aligned}$$

$$\begin{aligned} R^3 &= R^2 \circ R = \{(1, 3), (2, 1), (3, 2)\} \cup \{(1, 2), (2, 3), (3, 1)\} \\ &\quad - \{(1, 1), (2, 2), (3, 3)\} \end{aligned}$$

$$\text{So, } R^+ = R \cup R^2 \cup R^3$$

$$\begin{aligned} &= \{(1, 2), (2, 3), (3, 1)\} \cup \{(1, 3), (2, 1), (3, 2)\} \cup \\ &\quad \{(1, 1), (2, 2), (3, 3)\} \end{aligned}$$

$$= \{(1,2), (2,3), (3,1), (1,3), (2,1), (3,2), (1,1), (2,2), (3,3)\}$$

Warshall's Algorithm

If relation R for a set is not in transitive, we need to apply closure to make it transitive. This closure is known as transitive closure.

Warshall's Algorithm:

A more efficient method for computing the transitive closure of a relation.

Eg

Using Warshall's algorithm, find transitive closure of the relation.

$$R = \{(1,4), (2,1), (2,3), (3,1), (3,4), (4,3)\} \text{ on set } A = \{1, 2, 3, 4\}$$

Soln:

Given,

$$R = \{(1,4), (2,1), (2,3), (3,1), (3,4), (4,3)\}$$

Representing the relation in matrix:

$$M_R = P_0 = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 1 & 0 & 0 & 1 \\ 2 & 1 & 0 & 1 \\ 3 & 1 & 0 & 0 \\ 4 & 0 & 0 & 1 \end{bmatrix}$$

P_0 = initial matrix.

1st Iteration: 1st col and 1st row

Now,

$$C \quad R$$

$$\{2,3\} \quad \{4\}$$

$$CR = \{(2,4), (3,4)\}$$

$$P_1 = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 \\ 2 & 0 & 1 & 0 & 1 \\ 3 & 0 & 1 & 0 & 1 \\ 4 & 0 & 0 & 1 & 0 \end{bmatrix}$$

2nd Iteration: 2nd Col. and 2nd row

$$C \quad R$$

$$\{ \} \quad \{1,3,4\}$$

$$CR = \{ \}$$

$$P_2 = P_1 = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 2 & 1 & 0 & 1 & 1 \\ 3 & 1 & 0 & 0 & 1 \\ 4 & 0 & 0 & 1 & 0 \end{bmatrix}$$

3rd Iteration: 3rd Col. and 3rd row

$$C \quad R$$

$$\{2,4\} \quad \{3,4\}$$

$$CR = \{(2,3), (2,4), (4,3), (4,4)\}$$

$$P_3 = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 0 & 0 & 0 & 1 \\ 2 & 1 & 0 & 1 & 1 \\ 3 & 1 & 0 & 0 & 1 \\ 4 & 1 & 0 & 1 & 1 \end{bmatrix}$$

4th Iteration: 4th Col. and 4th row

$$C \quad R$$

$$\{1,2,3,4\} \quad \{1,3,4\}$$

$$CR = \{(1,1), (1,3), (1,4), (2,1), (2,3), (2,4), (3,1), (3,3), (3,4), (4,1), (4,3)\}$$

$$P_4 = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 1 & 0 & 1 & 1 \\ 2 & 1 & 0 & 1 \\ 3 & 1 & 0 & 1 \\ 4 & 1 & 0 & 1 \end{bmatrix}$$

$$\therefore R^+ = \{(1,1), (1,3), (1,4), (2,1), (2,3), (2,4), (3,1), (3,3), (3,4), (4,1), (4,3), (4,4)\}$$

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Unit 2

Counting and Combinatorics

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2.1. Introduction

In daily lives, many a times one needs to find out the number of all possible outcomes for a series of events. For instance, in how many ways can a panel judges comprising of 6 men and 4 women be chosen from among 50 men and 38 women? How many different 10 lettered PAN numbers can be generated such that the first five letters are capital letters (alphabets), the next four are digits and the last is again a capital letter.

For solving these problems, mathematical theory of counting are used. Counting mainly encompasses fundamental counting rule, the permutation rule, and the combination rule.

~~10S~~

2.2 Basic principles of Counting

1. Sum rule principle

Assume that some event E occur in m ways and a second event F can occur in n ways, and suppose both events cannot occur simultaneously. Then E or F can occur in $m+n$ ways.

In general, if there are n events and no two events can occur in same time then the event occur in $n_1+n_2+\dots+n$ ways.

If we consider two tasks A and B which are disjoint (i.e. $A \cap B = \emptyset$), then mathematically $|A \cup B| = |A| + |B|$

Example

If 8 male professor and 5 female professor teaching DMs then the student can choose professor in $8+5=13$ ways.

2. Product rule principle

Suppose there is an event E which can occur in m ways and independent of this event, there is a second event F which can occur in n ways. Then combination of E and F can occur in mn

Ways:

In general, if there are 'n' events occurring independently then all events can occur in the order indicated as $n_1 \times n_2 \times n_3 \dots n$

ways.

Mathematically, if a task B arrives after a task A, then $|A \times B| = |A| \times |B|$.

Example

In a class, there are 4 boys and 10 girls. If a boy and a girl have to be chosen for the class monitor, the students can choose monitor in $4 \times 10 = 40$ ways.

Factorial function:

The product of the first n natural numbers is called factorial n . If n is denoted by $n!$, read "n factorial."

The factorial n can also be written as:

$$\begin{aligned} n! &= n(n-1)(n-2)(n-3) \dots 1 \\ &= 1 \text{ and } 0! = 1 \end{aligned}$$

Example: Find the value of: $5!$

Soln:

$$\begin{aligned} 5! &= 5 \times (5-1) \times (5-2) \times (5-3) \times (5-4) \\ &= 5 \times 4 \times 3 \times 2 \times 1 \\ &= 120 \end{aligned}$$

2.5 Permutation of n -different objects

A permutation is an ordered arrangement of elements.

Example

- * From a set $S = \{x, y, z\}$ by taking two at a time all permutations are:
 xz, yx, xz, zx, yz, zy .

- * We have to form a permutation of three digit numbers from a set of numbers $S = \{1, 2, 3\}$. Different three digit numbers will be formed when we arrange the digits. The permutation will be:
 $= 123, 132, 213, 231, 312, 321$

Number of permutations:

The number of permutations of ' n ' different objects taken ' r ' at a time ($n \geq r$) denoted by

$${}^P P(n, r) \text{ or } {}^n P_r = \frac{n!}{(n-r)!}, \quad r \leq n$$

Where,

$$n! = (n)(n-1)(n-2)(n-3)\dots 1$$

Theorem: Prove that the number of permutations of n things taken all at a time is $n!$

Proof - Let there be ' n ' different elements.

There are n number of ways to fill up the first place. After filling the first place $(n-1)$ /number of elements left. Hence, there are $(n-1)$ ways to fill up the second place. After filling the first and second place $(n-2)$ number of elements left. Hence, there are $(n-2)$ ways to fill up the third place. We can now generalize the number of ways to fill up the r th place as $[n-(r-1)]$
 $= n-r+1$

So, the total no. of ways to fill up from first place up to r th place -

$${}^n P_r = n(n-1)(n-2) \dots (n-r+1)$$

$$= [n(n-1)(n-2) \dots (n-r+1)] [(n-r)(n-r-1) \dots 3.2.1]$$

Hence,

$${}^n P_r = \frac{n!}{(n-r)!}$$

Permutation with Restrictions:

The number of permutations of n different objects taken r at a time in which p particular objects do not occur is
 ~~$n!$~~ $n - P_{n-p}^r$

The number of permutations of n different objects taken r at a time in which p particular objects are present is:

$$n - P_{n-p}^r \times r_p^p$$

Questions on Circular Permutations:

(i) If clockwise and anticlockwise orders are different = $(n-1)!$

(ii) If orders are not different = $\frac{(n-1)!}{2}$

(iii) If (n, n) , if orders are different = nPr/r

(iv) If orders are not different = $nPr/2r$

Questions from Permutation

1. How many different signals can be made from by 5 flags from 8 flags of different Colours:

Soln:

$$n=8, r=5$$

$$P_{n,r} = {}^8P_5 = \frac{8!}{(8-5)!} = \frac{8!}{3!} = 6720.$$

2. How many words can be made by using the letters of the word 'SIMPLETON' taken all at a time.

Soln:

$$n=9, r=9$$

$$P_{n,r} = {}^9P_9 = 9! = 362880$$

3. How many permutations of the letters ABCDEFGH contains the string ABC?

Soln:

ABC, DEFGH $\Rightarrow 6! = 720$ permutations.

Single letter

Related to Circular

4. In how many ways ten people can sit around a table?

Soln:

$$(n-1)! = (10-1)! = 9! \text{ Any}$$

5. How many necklace of 12 beads each can be made from 18 beads of different colours?

Soln:

Clockwise and anticlockwise arrangement are same.

$$n=18, r=12$$

$${}^n P_r / {}^r P_r = \frac{18}{24} P_2 = \frac{18!}{6 \times 2!} \text{ Any}$$

Important permutations formula:

1). No. of permutations of 'n' things taken 'r' at a time when a particular r thing is to be always included in each arrangement. $\left[(n-1) \times {}^{r-1} P_{r-1} \right]$

2) $P(n,r)$ when a particular thing is fixed. $\left(n-1, {}^{r-1} P_{r-1} \right)$

3) $P(n,r)$ when 'm' specified things always comes together. $\left[(n-m), {}^m P_m \right]$

4) $p(n,r)$ When 'm' specified things always come together.] $\rightarrow [m! \times (n-m+1)!]$

5) No. of permutations of 'n' things, taken all at a time when 'm' specified things always come together. $\rightarrow n! - [m! \times (n-m+1)!]$

2.4. Combination

A combination is a selection of some or all objects from a set of given objects, where the order of the objects does not matter. The number of combinations of 'n' objects, taken 'r' at a time represented by ~~nCr~~ or $C(n, r)$.

$$nCr = \frac{n!}{(n-r)!r!}$$

Proof: The number of permutations of n different things, at a time is given by :

$$nPr = \frac{n!}{(n-r)!}$$

As there is no matter about the order of arrangements of the objects, therefore, to every combination of r things, there are $r!$ arrangements i.e.

$$nPr = r! nCr \text{ or } nCr = \frac{nPr}{r!}$$

$$= \frac{n!}{(n-r)!r!}, n \geq r$$

Thus, $nCr = \frac{n!}{(n-r)!r!}$

Questions:

- How many poker hands of five cards can be dealt from a standard deck of 52 cards?

Soln:

$$n = 52$$

$$r = 5$$

$$C(52, 5) = \frac{52!}{5!(52-5)!} = \frac{52!}{5!(47)!}$$

- Prove that $C(n, r) = C(n, n-r)$

Soln:

$$C(n, r) = \frac{n!}{(n-r)! r!} \quad \text{--- (1)}$$

$$C(n, n-r) = \frac{n!}{(n-r)! (n-(n-r))!}$$

$$= \frac{n!}{(n-r)! (n-n+r)!}$$

$$= \frac{n!}{(n-r)! r!} \quad \text{--- (2)}$$

$\text{Eqn (1)} = \text{Eqn (2)}$ Hence proved.

3. Find the number of subsets of the set $\{1, 2, 3, 4, 5, 6\}$ having 3 elements.

Soln:

The cardinality of the set is 6 and we have to choose 3 elements from the set. Here, the number of subsets will be ${}^6C_3 = 20$.

4. There are 6 men and 5 women in a room. In how many ways we can choose 3 men and 2 women from the room?

Soln:

The number of ways to choose 3 men from 6 men is 6C_3 and the number of ways to choose 2 women from 5 women is 5C_2 .

Hence, the total number of ways is ${}^6C_3 \times {}^5C_2 = 20 \times 10 = 200$.

5. How many ways can you choose 3 distinct groups of 3 students from total 9 students?

Soln:

Let us number the group as 1, 2, and 3.

For choosing 3 students for 1st group,
the number of ways - 9C_3 .

The number of ways for choosing 3 student
for 2nd group after choosing 1st group - 6C_3

The number of ways for choosing 3 students
for 3rd group after choosing 1st and 2nd
group - 3C_3 .

Hence, the total number of ways =
 $= {}^9C_3 \times {}^6C_3 \times {}^3C_3 = 84 \times 20 \times 1 = 1680.$

- Q. In how many ways can a cricket eleven be chosen out of 15 players? If a particular
 (i) Is a particular player is always chosen?
 (ii) A particular player is never chosen?

Soln:

$$n=15, r=11, p=1$$

$$(i) n-p C_{r-p} = {}^{14}C_{10} = \frac{14!}{10! \times 4!} = 1365$$

$$(ii) n-p C_r = {}^{14}C_11 = \frac{14!}{11! \times 3!} = 364.$$

Important Combinations formula:

(i) No. of Combinations of 'n' different things taken 'r' at a time, when 'p' particular things are always included.

$$\rightarrow n-p \text{ Cr}_{r-p}$$

(ii) No. of Combinations of 'n' different things taken 'r' at a time, when 'p' particular things are always to be excluded.

$$\rightarrow n-p \text{ Cr}_r$$

2.5 The Pigeonhole Principle

In 1834, German mathematician, Peter Gustav Lejeune Dirichlet, stated a principle which he called the drawer principle. Now, it is known as the pigeonhole principle.

Pigeonhole principle states that, "If there are more pigeons than the pigeonholes, then there must be at least one pigeonhole with at least two pigeons in it."

If 'n' pigeons are put into m pigeonholes where $n > m$, there's a hole with more than one pigeon.

OR

If 'n' pigeonholes are occupied by $k+1$ or more pigeons, where k is a positive integer, then at least one pigeonhole is occupied by $k+1$ or more pigeons.

P	P
P	PP

Example

4 pigeonholes
5 pigeons

* 10 men are in a room and they are taking part in handshakes. If each person shakes hand at least once and no man shakes the same man's hand more than once then two men took part in the same number of handshakes.

* There must be at least two people in a class of 30 whose names start with the same alphabet.

Questions

1. Find the minimum number of students in a class to be sure that three of them are both in the same month.

Soln:

Here, $n=12$ months are pigeonholes

$$\text{And } k+1=3$$

$$k=2$$

2. Show that at least two people must have their birthday in the same month if 13 people are assigned in a room.

Soln:

We assigned each person the month of the year in which he was born. Since there are 12 months in a year.

So, according to the pigeonhole principle, there must be at least two people assigned to the same month.

Q3. How many students must be in a class to guarantee that at least 2 students receive same score. [0 to 100]

Soln:

$0 \rightarrow 100$] 101 scores

There must be 102 students so that 2 students score the same marks.

Q4. Find the minimum number of students in a class so that 2 students were born in the same month, date.

Soln:

Same months, 12 months

$$\hookrightarrow 12 + 1 = 13 \text{ students}$$

Same date $\rightarrow 365$ days

$$\hookrightarrow 365 + 1 = 366 \text{ students.}$$

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Unit-3

The fundamental Algorithms, and Matrices

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3.1. Algorithms

An algorithm is a step-by-step method for solving a problem.

Characteristics of Algorithms :

Algorithms generally have the following characteristics:

- i) Input → The algorithm receives input. Zero or more quantities are externally supplied.
- ii) Output → The algorithm produces output. At least one quantity is produced.
- iii) Precision → The steps are precisely stated. Each instruction is clear and unambiguous.
- iv) Feasibility → It must be feasible to execute each instruction.
- v) Flexibility → It should be possible to make changes in the algorithm without putting so much effort on it.
- vi) Generality → The algorithm applies to a set of inputs.

v) Finiteness → Algorithm must complete after a finite number of instruction have been executed.

3.2 Complexity of algorithm

The analysis of an (complexity) of an algorithm refers to the process of determining the time and space needed to execute the algorithm.

The Complexity of an algorithm computes the amount of time and spaces required by an algorithm for an input of size n . The complexity of an algorithm can be divided into two types. The time complexity and the space complexity.

1. Space Complexity

Space Complexity is required defined as the amount of memory space required by the algorithm to solve a particular problem.

Space complexity of any $S(P)$ of any algorithm P is: $S(P) = C + S(I)$.

Where C is fixed a point and $S(I)$ is the variable part of the algorithm, which depends on instance characteristics I .

2. Time Complexity

Space to Time Complexity is defined as the amount of time required by the algorithm to solve a particular problem.

The ~~one~~ time required to execute an algorithm is a function of the input. Instead

of dealing directly with the input, parameters are used to characterize the size of the input e.g. if the input is a set containing n elements, the size of the input n . There are three cases noting about the time complexity of an algorithm since determining the exact time complexity of an algorithm is a difficult task.

- Worst-Case: $f(n)$ represents the maximum number of steps taken on any instance of size n . It is the slowest possible time to solve a problem.
- Best Case: $f(n)$ represents the minimum number of steps taken on any instance of size n . It is the fastest possible time to solve a problem.
- Average Case: $f(n)$ represents the average number of steps taken on any instance of size n . It is the average possible time to solve a problem.

Asymptotic Notations

Asymptotic notations are used to describe the execution time of an algorithm. It represents the efficiency and performance of an algorithm in a systematic and meaningful manner. The notations show the order of growth of functions. Here the time taken by an algorithm is mapped regarding the mathematical functions. There are many asymptotic notations like O , Θ , Ω , ω each having its importance.

1. Big Oh Notation (O)

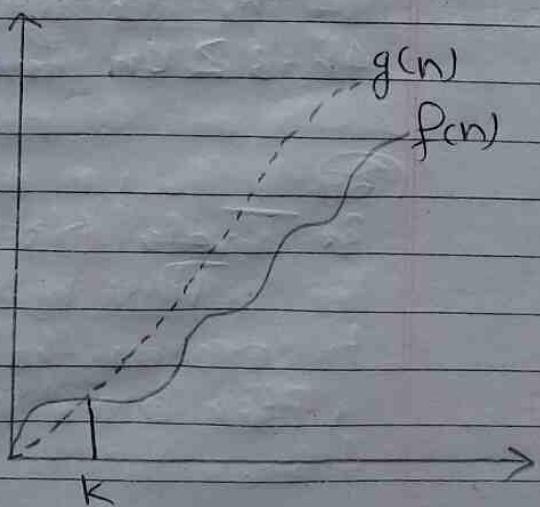
This notation, $O(n)$ is the formal way to express the upper bound of an algorithm's running time. It measures the worst case time complexity or the longest amount of time that an algorithm can possibly take to complete.

Definition

$f(n) = O(g(n))$ if there exists constants n_0 and C such that $f(n) \leq C \cdot g(n)$ for all $n \geq n_0$.

Example:

function $4n+3 = O(n)$ as $4n+3 \leq 5$ for all $n \geq n_0$.



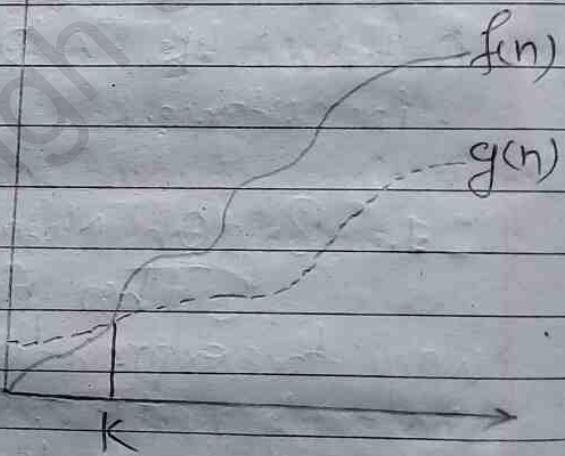
2. Omega Notation (Ω)

This notation, $\Omega(n)$ is the formal way to express the lower bound of an algorithm's running time. It means measures the best case time complexity or the best amount of time an algorithm can possibly take to complete.

Definition

~~This notation, $\Omega(n)$~~ is the formal way to express the lower bound of an

$f(n) = \Omega(g(n))$ if there exists constants n_0 & C such that $f(n) \geq C \cdot g(n)$ for all $n \geq n_0$.



Example:

The function $4n + 3 = \Omega(n)$ as $4n + 3 \geq 4n$ for all $n \geq 1$.

(B)

3. Theta Notation (Θ)

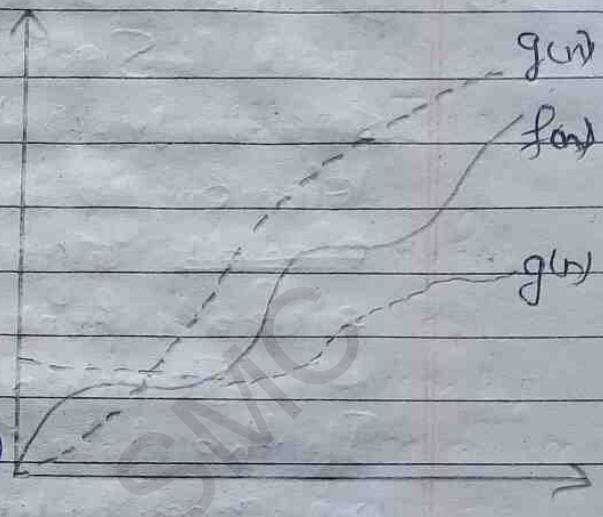
This notation, $\Theta(n)$ is the formal way to express the lower bound and the upper bound of an algorithm's running time.

Definition

If $f(n) = O(g(n))$ and
 $g(n) = O(f(n))$, then
 $f(n) = \Theta(g(n))$.

Example

The function $4n+3 = \Theta(n)$
as $4n+3 \geq 4n$ for
all $n \geq 3$ and $4n+3 \leq 5n$ for all $n \geq 3$.



3.3 Search algorithm

Searching algorithms are used to search or find one or more than one element from a dataset. These types of elements algorithms are used to find elements from a specific data structure.

Searching may be sequential or not. If the data in the dataset are random, then we need to use Sequential searching. Otherwise we can use other different searching techniques to reduce the complexity.

There are various types of search algorithms. A few of them are discussed below:

1. Linear Search

Linear search finds a element in a list by checking every element in the list one by one until it is found.

A search will be unsuccessful if all the elements are checked and desired element is not found. Linear search is also known as Sequential search. It is named linear because its time complexity is of the order of n ($O(n)$).

(Complexity of Linear Search:

- Time Complexity - $O(n)$
- Space Complexity - $O(1)$

Algorithm

Step 1: Read all search element from the user.

Step 2: Compare the search element with the first element in the list.

Step 3: If both are matching, then display "Given element found!" and terminate the function.

Step 4: If both are not matching, then compare search element with the next element in the list.

Step 5: Repeat step 3 and 4 until the search element is compared with the last element in the list.

Step 6: If the last element in the list doesn't match, then display "Element not found!!!" and terminate the function.

Example

Consider the following list of element and search element:

	0	1	2	3	4	5	6	7
List	65	20	10	55	32	32	50	99

Search element 12.

Step 1: Search element (12) is compared with ~~the~~ first element (5) and ~~search~~ element 0 1 2 3 4 5 6 7
list 65 20 10 55 32 12 50 99
12

Both are not matching. So move to next elements.

Step 2: Search element (12) is Compared with next element (20).

0	1	2	3	4	5	6	7	
list	65	20	10	55	32	12	50	99

Both are not matching. So move to next elements.

Step 3: Search element (12) is Compared with next element (10)

0	1	2	3	4	5	6	7	
list	65	20	10	55	32	12	50	99

Both are not matching. So move to next element.

Step 4: Search element (12) is compared with next element (55).

0	1	2	3	4	5	6	7	
list	65	20	10	55	32	12	50	99

Both are not matching so move to next element (32)

Step 5: Search element (12) is compared with next element (32).

0	1	2	3	4	5	6	7
65	20	10	55	32	12	50	99
					12		

Both are not matching, so move to next element (32).

Step 6: Search element (12) is compared with next element (12).

0	1	2	3	4	5	6	7
65	20	10	55	32	12	50	99
					12		

Both are matching, so we stop comparing and display element is found at index 5.

2. Binary Search

Binary Search is also known as half-interval search or logarithmic search. It is a search algorithm that finds the position of a target value within a sorted array.

Binary search is an efficient algorithm for finding an item from a sorted list of items. It works by repeatedly dividing half of the portion of the list that could contain the item, until you have narrowed down the possible locations to just one.

Algorithm:

Step 1: Let $\text{min} = 1$ and $\text{max} = n$.

Step 2: Guess the average max and min, rounded down so it is an integer.

Step 3: If you guessed the number, stop. You found it.

Step 4: If the guess was too low, set min to be larger than the guess.

Step 5: If the guess was too high, set

max to be one smaller than the guess.

Step 6: Go back to step 2.

Example 1

If searching element 23 in the 10 element array.

	2 5 8 12 16 23 38 56 72 91
L	H
23 > 16, false	2 5 8 12 16 23 38 56 72 91
2nd half.	L H
23 < 56, false	2 5 8 12 16 23 38 56 72 91
1st half	L H
Found 23, return 5	2 5 8 12 16 23 38 56 72 91

Example 2

Consider the list of element and search element.

0	1	2	3	4	5	6	7	8	
List	10	12	20	32	50	55	65	80	99
Search element 12									

Step 1: Search element (12) is compared with the middle element (50).

0	1	2	3	4	5	6	7	8	
List	10	12	20	32	50	55	65	80	99

Both are not matching and 12 is smaller than 50. So we search only in the left sublist.

(i.e 10, 12, 20, 32).

	0	1	2	3	4	5	6	7	8
List	10	12	20	32	50	55	65	80	99

Step 2: Search element (12) is compared with middle element (20).

	0	1	2	3	4	5	6	7	8
	10	12	20	32	50	55	65	80	99
									12

Both are matching, so the result is "Element found at index 1".

3.4. Sorting

Sorting is the process of arranging the elements of any array or list in ascending or descending order.

For example, consider an array $A = \{A_1, A_2, A_3, A_4, \dots, A_n\}$, the array is called to be in ascending order if element of A are arranged like $A_1 < A_2 < A_3 < A_4 < A_5 \dots < A_n$.

Consider a list of values : 2, 4, 6, 8, 9, 1, 22, 4, 77, 8, 9

After sorting the values: 1, 2, 4, 4, 6, 8, 8, 9, 9, 22, 77.

Consider an array;

$\text{int } [10] = \{5, 4, 10, 2, 30, 45, 34, 14, 18, 9\}$

The array after sorting in ascending order will be:

$A[] = \{2, 4, 5, 9, 10, 14, 18, 30, 34, 45\}$

There are lots of sorting algorithms. Here, we only discuss about 2 sorting algorithms.

1. Bubble Sort
2. Insertion Sort

1. Bubble Sort

Bubble sort is the simplest sorting algorithm that works by repeatedly swapping the adjacent values/elements if they are in wrong order.

Algorithm

Step 1: Starting with the first element (index = 0), compare the current element with the next element of the array.

Step 2: If the current element is greater than the next element of the array, swap them.

Step 3: If the current element is less than the next element, move to the next element.

Step 4: Repeat step 1.

Example:

First Pass

$(5, 1, 4, 2, 8) \rightarrow (1, 5, 4, 2, 8)$, here algorithm

compares first two elements, and swaps since $5 > 1$.

$(1, 5, 4, 2, 8) \rightarrow (1, 4, 5, 2, 8)$, swap since $5 > 4$.

$(1, 4, 5, 2, 8) \rightarrow (1, 4, 2, 5, 8)$, swap since $5 > 2$

$(1, 4, 2, 5, 8) \rightarrow (1, 4, 2, 5, 8)$. Now since these elements are already in order. ($8 > 5$), algorithm does not swap them.

Second Pass:

$(1, 4, 2, 5, 8) \rightarrow (1, 4, 2, 5, 8)$

$(1, 4, 2, 5, 8) \rightarrow (1, 2, 4, 5, 8)$, swap since $4 > 2$

Now the array is already sorted, but our algorithm ~~does~~ needs one whole pass without any swap to know it is sorted.

Third Pass:

$(1, 2, 4, 5, 8) \rightarrow (1, 2, 4, 5, 8)$

$(1, 2, 4, 5, 8) \rightarrow (1, 2, 4, 5, 8)$

$(1, 2, 4, 5, 8) \rightarrow (1, 2, 4, 5, 8)$

$(1, 2, 4, 5, 8) \rightarrow (1, 2, 4, 5, 8)$

Example 2

0 1 2 3 4

15	16	6	8	5
----	----	---	---	---



$15 < 16$ no swap

15	16	6	8	5
----	----	---	---	---



$16 > 6$ swap

pass 1

15	6	16	8	5
----	---	----	---	---



$16 > 8$ swap

15	6	8	16	5
----	---	---	----	---



$16 > 5$ swap

15	6	8	5	16
----	---	---	---	----

Go to next pass.

0 1 2 3 4

15	6	8	5	16
----	---	---	---	----



6	15	8	5	16
---	----	---	---	----



$15 > 6$ swap

pass 2

6	8	15	5	16
---	---	----	---	----



$15 > 8$ swap

6	8	5	15	16
---	---	---	----	----



$15 > 5$ swap

6	8	5	15	16
---	---	---	----	----

$15 < 16$ no swap

Go to next pass.

6	8	5	15	16

6 8 5 15 16 6 < 8 no swapping

part 3 6 5 8 15 16 8 > 5 Swap

6 5 8 15 16 no swap

6 5 8 15 16 move to next part

6	5	8	15	16

5 6 8 15 16 6 > 5 swap

5 6 8 15 16 no swap

5 6 8 15 16 no swap

1. 5 6 8 15 16 The elements are in correct order.

Example 3

Pass 1

0	1	2	3	4
16	14	5	6	8
14	16	5	6	8
14	5	16	6	8
14	5	6	16	8
14	5	6	8	16

16 > 14 Swap
16 > 5 Swap
16 > 6 Swap
(Go to next pass)

Pass 2

14	5	6	8	16
5	14	6	8	16
5	6	14	8	16
5	6	8	14	16

14 > 5 Swap
14 > 6 Swap
(Go to next pass)

Since the elements are in sorted order,
no more pass is required.

No. of pass = $n - 1$ ($n = \text{no. of elements}$)

But in some cases

No. of pass = $n - 1 - ?$.

2. Insertion Sort

Insertion sort is a simple sorting algorithm that works the way we sort playing cards in our hands.

The array is searched sequentially and unsorted items are moved and inserted into the sorted sub-list (in the same array). This algorithm is not suitable for large data sets as its average and worst case complexity are of $O(n^2)$, where n is the number of elements.

Algorithm:

Step 1: If it is the first element, it is already sorted.
return 1;

Step 2: Pick the next element.

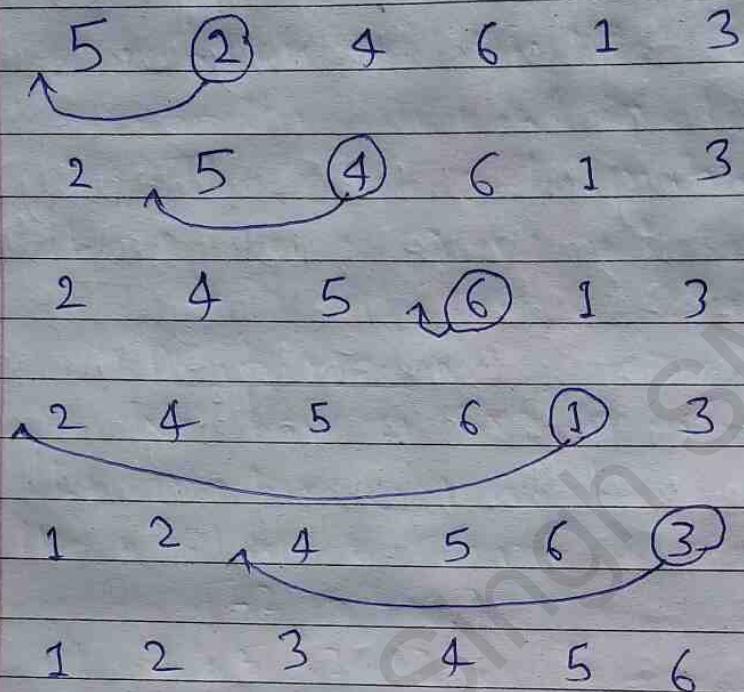
Step 3: Compare with all elements in the sorted sub-list.

Step 4: Shift all the elements in the sorted sub-list that is greater than the value to be sorted.

Step 5: Insert the value.

Step 6: Repeat until list is sorted.

Example 1:



Example 2

1st Pass $\boxed{23 \ 1 \ 10 \ 5 \ 2} \Rightarrow \boxed{23 \ 1 \ 10 \ 5 \ 2}$

2nd Pass $\boxed{23 \ 1 \ 10 \ 5 \ 2} \Rightarrow \boxed{1 \ 23 \ 10 \ 5 \ 2}$

3rd Pass $\boxed{1 \ 23 \ 10 \ 5 \ 2} \Rightarrow \boxed{1 \ 10 \ 23 \ 5 \ 2}$

4th Pass $\boxed{1 \ 10 \ 23 \ 5 \ 2} \Rightarrow \boxed{1 \ 5 \ 10 \ 23 \ 2}$

5th Pass $\boxed{1 \ 5 \ 10 \ 23 \ 2} \Rightarrow \boxed{1 \ 2 \ 5 \ 10 \ 23}$

3.5 Matrices

A matrix is a rectangular array of numbers or symbols which are generally arranged in rows and columns.

The order of the matrix is defined by the number of rows and columns.

Matrix example

We have a 3×2 matrix that is because the number of rows here is equal to 3 and the number of columns is equal to 2.

$$A = \begin{bmatrix} -2 & 5 \\ 1 & 6 \\ 5 & 2 \end{bmatrix}$$

The dimension of matrix can be defined as the number of rows and columns of the matrix in that order.

Since the given matrix A has 2 rows and 3 columns, it is known as 2×3 matrix.

Types of Matrices:

1. Null Matrix \rightarrow A matrix have all the elements zero (0) is called a null matrix or zero matrix. If is denoted by 0.

Thus, $A = [a_{ij}] m \times n$ is a null-matrix if $a_{ij} = 0$ for all i and j .

Examples:

$$\textcircled{1} \quad 0 = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}, \quad 0 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

2. Row Matrix

A matrix having only one row is called a row matrix. Thus $A = [a_{ij}]_{m \times n}$ is a row matrix if $m=1$. So, a row matrix can also be represented as $A = [a_{ij}]_{1 \times n}$.

Example

$$A = \begin{bmatrix} 1 & 2 & 4 & 5 \end{bmatrix}, B = \begin{bmatrix} 4 & 6 & 9 \end{bmatrix}$$

(order 1×4) (order 1×3)

3. Column Matrix

A matrix having only one column is called a column matrix. Thus $A = [a_{ij}]_{m \times n}$ is a column matrix if $n=1$. The order is $m \times 1$.

Example

$$A = \begin{bmatrix} 3 \\ 4 \\ 8 \end{bmatrix}, B = \begin{bmatrix} 4 \\ 9 \\ 8 \\ 2 \end{bmatrix}$$

(order 3×1) (order 4×1)

4. Singleton Matrix

A matrix having only one element then called a singleton matrix.

Then, $A = [a_{ij}]_{m \times n}$ is a singleton matrix if ~~then~~ $m=n=1$.

Example:

$$[2], [3], [9].$$

5. Horizontal Matrix

A matrix of order $m \times n$ is a horizontal matrix if $n > m$ i.e. no. of columns is greater than number of rows.

Example:

$$\begin{bmatrix} 1 & 2 & 3 & 4 \\ 2 & 5 & 1 & 1 \end{bmatrix} \text{ Here, } m=2, n=4 \\ \therefore n > m.$$

6. Vertical matrix

A matrix of order $m \times n$ is a vertical matrix if $m > n$ i.e. no. of rows is greater than no. of columns.

Example:

$$\begin{bmatrix} 2 & 5 \\ 1 & 1 \\ 3 & 6 \\ 2 & 4 \end{bmatrix} \text{ Here, } m=4, n=2 \\ \therefore m > n.$$

7. Square Matrix

If ~~the~~ a matrix having equal number of rows and columns is called a square matrix.

Example

$$\begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix} \text{ Here, } m=n.$$

8. Triangular Matrix

A matrix having all of its elements above the diagonal or below the diagonal zero is called a triangular matrix.

Example:

$$\textcircled{1} \quad \begin{bmatrix} 1 & 0 & 0 \\ 2 & 4 & 0 \\ 3 & 5 & 6 \end{bmatrix}$$

Upper triangular matrix

$$\textcircled{2} \quad \begin{bmatrix} 1 & 2 & 3 \\ 0 & 6 & 5 \\ 0 & 0 & 9 \end{bmatrix}$$

Lower triangular matrix.

9. Diagonal Matrix

If all the elements, except the principle diagonal, in a square matrix are zero, it is called a diagonal matrix. Thus, a square matrix $A = [a_{ij}]$ is a diagonal matrix if $a_{ij}=0$, when $i \neq j$.

Example: $\begin{bmatrix} 2 & 0 & 0 \\ 0 & 3 & 0 \\ 0 & 0 & 4 \end{bmatrix}$

10. Scalar Matrix

If all the elements in the diagonal of a diagonal matrix are equal, it is called a scalar matrix.

Example

$$\begin{bmatrix} 7 & 0 & 0 \\ 0 & 7 & 0 \\ 0 & 0 & 7 \end{bmatrix}$$

11. Unit or Identity Matrix

If all the elements in the principal diagonal in a diagonal matrix are 1, then it is called a unit matrix.

Example:

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

12. Symmetric Matrix

A square matrix $A = [a_{ij}]$ is known as symmetric matrix if $a_{ij} = a_{ji}$, for all i, j values.

For example:

$$A = \begin{bmatrix} 1 & 2 & 3 \\ 2 & 4 & 5 \\ 3 & 5 & 2 \end{bmatrix}$$

13. Skew-Symmetric Matrix

A square matrix $A = [a_{ij}]$ is a skew-symmetric matrix if $a_{ij} = -a_{ji}$, for all values of i, j . Thus, in a square skew-symmetric matrix, all diagonal elements are zero.

Example

$$A = \begin{bmatrix} 0 & 2 & 1 \\ -2 & 0 & -3 \\ -1 & 3 & 0 \end{bmatrix}, B = \begin{bmatrix} 0 & 2 \\ -2 & 0 \end{bmatrix}$$

Matrix Arithmetic

Matrix arithmetic operations may involve three algebraic operations which are addition of matrices, subtraction of matrices, and multiplication of matrices.

1. Addition of Matrices

The sum of two matrices of the same order/size is obtained by adding elements in the corresponding positions. Matrix of different size/order cannot be added.

Consider two matrices A and B of order 2×2 . Then the sum is given by:

$$\begin{bmatrix} a_1 & b_1 \\ c_1 & d_1 \end{bmatrix} + \begin{bmatrix} g_2 & h_2 \\ i_2 & j_2 \end{bmatrix} = \begin{bmatrix} a_1+g_2 & b_1+h_2 \\ c_1+i_2 & d_1+j_2 \end{bmatrix}$$

Example

$$A = \begin{bmatrix} 1 & 0 & -1 \\ 2 & 2 & -3 \\ 3 & 4 & 0 \end{bmatrix}, B = \begin{bmatrix} 3 & 4 & -1 \\ 1 & -3 & 0 \\ -1 & 1 & 2 \end{bmatrix} \text{ then, } A+B = \begin{bmatrix} 4 & 4 & -2 \\ 3 & -1 & -3 \\ 2 & 5 & 2 \end{bmatrix}$$

Properties of matrix addition:

- (a) Commutative Law: $A+B=B+A$
- (b) Associative Law: $(A+B)+C=A+(B+C)$
- (c) Identity of the matrix: $A+O=O+A=A$, where O is zero matrix.
- (d) Additive Inverse: $A+(-A)=O=(-A)+A$, where $(-A)$ is obtained by changing the sign of every element of A which is additive inverse of the matrix.

2. Subtraction of Matrices

The difference of two matrices of same order/size can be obtained by subtracting elements in the corresponding position.

Consider two matrices of $A \& B$ of order 2×2 . Then the difference is given by

$$\begin{bmatrix} a_1 & b_1 \\ c_1 & d_1 \end{bmatrix} - \begin{bmatrix} a_2 & b_2 \\ c_2 & d_2 \end{bmatrix} = \begin{bmatrix} a_1 - b_2 & b_1 - b_2 \\ c_1 - c_2 & d_1 - d_2 \end{bmatrix}$$

Example

$$A = \begin{bmatrix} 9 & 8 \\ 7 & 6 \end{bmatrix}, B = \begin{bmatrix} 5 & 4 \\ 3 & 2 \end{bmatrix}, A - B = \begin{bmatrix} 4 & 4 \\ 4 & 4 \end{bmatrix}$$

3. Multiplication of Matrices

If A and B are any two matrices, then their product ~~will be~~ AB will be defined only when the number of columns in A is equal to the number of rows in B .

Example

$$A = \begin{bmatrix} 2 & 1 & 3 \\ 3 & -2 & 1 \\ -1 & 0 & 1 \end{bmatrix} \text{ and } B = \begin{bmatrix} 1 & -2 \\ 2 & 1 \\ 4 & -3 \end{bmatrix}$$

Find $A \times B$.

Soln:

$$\begin{bmatrix} 2 & 1 & 3 \\ 3 & -2 & 1 \\ -1 & 0 & 1 \end{bmatrix} \times \begin{bmatrix} 1 & -2 \\ 2 & 1 \\ 4 & -3 \end{bmatrix}$$

$$= \begin{bmatrix} 2+2+12 & -4+1-9 \\ 3-4+4 & -6-2-3 \\ -1+0+4 & 2+0-3 \end{bmatrix}$$

$$= \begin{bmatrix} 16 & -12 \\ 3 & -11 \\ 3 & -1 \end{bmatrix}$$

$B \times A$ is not possible since number of columns of $B \neq$ number of rows of A .

Example 2

Q. Find the value of x and y if

$$9 \begin{bmatrix} 1 & 3 \\ 0 & x \end{bmatrix} + \begin{bmatrix} y & 0 \\ 1 & 2 \end{bmatrix} = \begin{bmatrix} 5 & 6 \\ 1 & 8 \end{bmatrix}$$

Soln:

$$\begin{bmatrix} 9 & 6 \\ 0 & 2x \end{bmatrix} + \begin{bmatrix} y & 0 \\ 1 & 2 \end{bmatrix} = \begin{bmatrix} 5 & 6 \\ 1 & 8 \end{bmatrix}$$

$$\text{or } \begin{bmatrix} 2+y & 6 \\ 1 & 2x+2 \end{bmatrix} = \begin{bmatrix} 5 & 6 \\ 1 & 8 \end{bmatrix}$$

Equating the Corresponding elements

$$2+4=5$$

$$\therefore 4=3$$

$$2n+2=8$$

$$\text{or, } 2n=6$$

$$\therefore n=3$$

Hence, $x=3$ and $y=3$.

Q. find the value of a, b, c & d if

$$\begin{bmatrix} a-b & 2a+c \\ 2a-b & 3c+d \end{bmatrix} = \begin{bmatrix} -1 & 5 \\ 0 & 13 \end{bmatrix}$$

Soln:

$$a-b=-1 \quad \text{---(1)}$$

$$2a+c=5 \quad \text{---(2)}$$

$$2a-b=0 \quad \text{---(3)}$$

$$3c+d=13 \quad \text{---(4)}$$

Sub Eqn ① from ③

$$\begin{array}{r} 2a-b=0 \\ - (a-b=-1) \\ \hline a = 1 \end{array}$$

$$\therefore a=1$$

Putting $a=1$ in ②

$$2 \times 1 + c = 5$$

$$\text{or, } c = 5 - 2$$

$$\therefore c = 3$$

Putting $c=3$ in ④

$$3 \times 3 + d = 13$$

$$\text{or, } d = 13 - 9$$

$$\therefore d = 4.$$

$$\therefore a=1, b=2, c=3, d=4$$

~~# Transpose of Matrix~~

Inverse of Matrix:

If A and B are two square matrices of the same order, such that $AB = BA = I$ (I = unit matrix).

Then B is called the inverse of A , i.e. $B = A^{-1}$ and A is the inverse of B .

Where A is non-singular, i.e. $|A| \neq 0$.

Q. If $A = \begin{bmatrix} 1 & 0 & -1 \\ 3 & 4 & 5 \\ 0 & -6 & -7 \end{bmatrix}$. What is the inverse of A ?

Solution:

By using the formula $A^{-1} = \frac{\text{adj } A}{|A|}$, we can

obtain the value of A^{-1} .

We have $A_{11} = \begin{bmatrix} 4 & 5 \\ -6 & -7 \end{bmatrix} = -28 + 30 = 2$

$$A_{12} = -\begin{bmatrix} 3 & 5 \\ 0 & -7 \end{bmatrix} = -(-21 - 0) = 21$$

$$A_{13} = \begin{bmatrix} 3 & 4 \\ 0 & -6 \end{bmatrix} = -18 - 0 = -18$$

$$A_{21} = \begin{bmatrix} 0 & -1 \\ -6 & -7 \end{bmatrix} = -(0 - 6) = 6$$

$$A_{22} = \begin{bmatrix} 1 & -1 \\ 0 & -7 \end{bmatrix} = -7 - 0 = -7$$

$$A_{23} = \begin{bmatrix} 1 & 0 \\ 0 & -6 \end{bmatrix} = -(-6 - 0) = 6$$

$$A_{31} = \begin{bmatrix} 0 & -1 \\ 4 & 5 \end{bmatrix} = 0 + 4 = 4$$

$$A_{32} = \begin{bmatrix} 1 & -1 \\ 3 & 5 \end{bmatrix} = -(5 + 3) = -8$$

$$A_{33} = \begin{bmatrix} 1 & 0 \\ 3 & 4 \end{bmatrix} = 4 - 0 = 4$$

$$\therefore \text{Adj of } A = \begin{bmatrix} 2 & 21 & 18 \\ 6 & -7 & 6 \\ 4 & -8 & 4 \end{bmatrix} = \begin{bmatrix} 2 & 6 & 4 \\ 21 & -7 & -8 \\ 18 & 6 & 4 \end{bmatrix}$$

$$\text{Also, } |A| = \begin{vmatrix} 1 & 0 & -1 \\ 3 & 4 & 5 \\ 0 & -6 & -7 \end{vmatrix} = \{ 4 \times (-7) - (-6) \times 5 - 3 \times (-6) \} \\ = -28 + 30 + 18 = 20$$

$$\therefore A^{-1} = \frac{\text{adj } A}{|A|} = \frac{1}{20} \begin{bmatrix} 2 & 6 & 4 \\ 21 & -7 & -8 \\ -18 & 6 & 4 \end{bmatrix}$$

Transpose of Matrix

The number of rows and columns in A is equal to number of columns and rows in B respectively. Thus, the matrix B is known as the transpose of the matrix, A. The transpose of matrix A is represented by A' or A^T .

The following statement generalizes the transpose of a matrix:

$$\text{If } A = (a_{ij})_{m \times n} \text{ then } A' = (a_{ji})_{n \times m}$$

Thus, the transpose of a matrix is defined as the "A matrix which is formed by turning all the rows of a given matrix into columns and vice-versa."

Example:

Find the transpose of the given matrix.

$$M = \begin{bmatrix} 2 & -9 & 3 \\ 13 & 11 & -17 \\ 3 & 6 & 15 \\ 4 & 13 & 1 \end{bmatrix}$$

Soln:

Given a matrix of order 4×3 .

$$M^T = \begin{bmatrix} 2 & 13 & 3 & 4 \\ -9 & 11 & 6 & 13 \\ 3 & -17 & 15 & 1 \end{bmatrix}$$

Properties of transpose of matrix:

(i) Transpose of transpose matrix : $(A')' = A$

(ii) Addition Property of Transpose: $(A+B)' = A'+B'$

(iii) Multiplication by Constant: $(KA)' = KA'$

(iv) Multiplication by property of transpose:
 $(AB)' = B'A'$

Power of Matrices

For a square matrix A and positive integer k , the k th power of A is defined by multiplying the matrix A itself repeatedly; that is,

$$A^k = A \times A \times \dots \times A$$

where there are k copies of the matrix A .

Example

If $A = \begin{pmatrix} 1 & -3 \\ 2 & 5 \end{pmatrix}$ find A^2 and A^3 .

Soln:

$$A^2 = A \times A$$

$$= \begin{pmatrix} 1 & -3 \\ 2 & 5 \end{pmatrix} \begin{pmatrix} 1 & -3 \\ 2 & 5 \end{pmatrix}$$

$$= \begin{pmatrix} 1-6 & -3+15 \\ 2+10 & -6+25 \end{pmatrix}$$

$$= \begin{pmatrix} -5 & 12 \\ 12 & 19 \end{pmatrix}$$

$$A^3 = A \times A^2$$

$$= \begin{pmatrix} 1 & -3 \\ 2 & 5 \end{pmatrix} \times \begin{pmatrix} -5 & 12 \\ 12 & 19 \end{pmatrix} = \begin{pmatrix} 41 & -75 \\ 50 & 59 \end{pmatrix}$$

Mukesh Singh SMC

Unit-4

Recursion on Sequence and Series

Ajanta

Page No. _____
Date _____

4.1. Introduction

Recursion is the process of starting with an element and performing a specific process to obtain the next term.

Sequence is an arrangement of any object or numbers in a particular order followed by some rule. If $a_1, a_2, a_3, a_4, \dots$ denote the terms of the sequence, then $1, 2, 3, 4, \dots$ denotes the position of the term.

A sequence can be defined based on the number of terms i.e. either finite sequence or infinite sequence.

Series can be highly generalized as the sum of all the terms in a sequence.

If $a_1, a_2, a_3, a_4, \dots$ is a sequence, then the corresponding series is given by

$$S_n = a_1 + a_2 + a_3 + \dots + a_n$$

If we go with the definition of a recursive sequence, then both arithmetic sequences and geometric sequences are also recursive. Why?

In ~~gen~~ arithmetic sequence, each term is obtained by adding a specific number to

the previous term.

In a geometric sequence, each term is obtained by multiplying the previous term by a specific number.

If a sequence is recursive, we can write recursive equations for the sequence. Recursive equations usually come in pairs: the first equation tells us what the first term is, and the second equation tells us how to get the n^{th} term in relation to the previous term (or terms).

Example 1: Write the recursive equations for the sequence 5, 7, 9, 11...

Solution: The first term of the sequence is 5, and each term is 2 more than the previous term, so our equations are:

$$a_1 = 5$$

$$a_n = a_{n-1} + 2 \text{ for } n > 1.$$

Example 2: Write the recursive equation for the sequence 2, 4, 8, 16...

Solution: The first term is 2, and each term after that is twice the previous term, so the equations are:

$$a_1 = 2$$

$$a_n = 2a_{n-1}, \text{ for } n > 1.$$

4.2 Types of Sequences and Series

- (A) Arithmetic Sequences / Progression
- (B) Geometric Sequences / Progression
- (C) Harmonic Sequences / Progression

A. Arithmetic Sequence / Progression

A sequence in which every term is created by adding or subtracting a definite number d to the preceding number is an arithmetic sequence.

An arithmetic progression is a sequence of the form,

$$a, a+d, a+2d, \dots, a+nd, \dots$$

where the initial term 'a' and the common difference 'd' are real numbers.

Questions

1. If $4, 7, 10, 13, 16, 19, 22, \dots$ is a sequence, find
i) Common difference
ii) n th term
iii) 21st term

$a_n =$

Given sequence is: $4, 7, 10, 13, 16, 19, 22, \dots$

i) Common difference = $7 - 4 = 3$

ii) n th term, $T_n = a + (n-1)d$
 $= 4 + (n-1)3 = 4 + 3n - 3 = 3n + 1$

iii) 21st term: $T_{21} = 4 + (21-1) \times 3 = 4 + 60 = 64$

2. Find the 35th term in the arithmetic sequence 3, 9, 15, 21, ...

Soln:

$$\text{first term} = a_1 = 3$$

$$\text{Common difference} = d = 6$$

$$\text{Term position} = n = 35$$

We have,

$$a_n = a_1 + (n-1)d$$

$$a_{35} = 3 + (35-1) \times 6$$

$$= 3 + 34 \times 6$$

$$= 3 + 204 = 207$$

∴ 35th term is 207.

3. Find the 125th term in the arithmetic sequence 4, -1, -6, -11, ...

Soln:

$$\text{First term} = a = 4$$

$$\text{difference} = d = -5$$

$$\text{Term position} = n = 125$$

We have,

$$a_n = a_1 + (n-1)d$$

$$= 4 + (125-1) \times -5$$

$$= 4 - 124 \times 5$$

$$= 4 - 620$$

$$= -616$$

∴ 125th term is -616.

4. Given two terms in the arithmetic sequence, $a_5 = -8$ and $a_{25} = 72$; find the 100th term (a_{100}).

Soln:

Given We have,

$$a_5 = -8$$

$$a_{25} = 72$$

$$\text{or, } a_1 + 4d = -8 \quad \text{---(1)}$$

$$\text{or, } a_1 + 24d = 72 \quad \text{---(2)}$$

$$\text{Eqn (1) - Eqn (2)}$$

$$a_1 + 4d = -8$$

$$\underline{\underline{-}} \quad \underline{\underline{-}}$$

$$+20d = +80$$

$$\therefore d = 4$$

Common difference (d) = 4

Now,

$$\text{100th term } (a_{100}) = a_1 + 99d$$

$$\text{or from eqn (1)} \quad \therefore$$

$$\text{or, } a_1 + 4d = -8$$

$$\text{or, } a_1 + 4 \times 4 = -8$$

$$\text{or, } a_1 = -8 + 16$$

$$\therefore a_1 = 8 - 24$$

Now,

$$\begin{aligned} \text{100th term} &= a_{100} = a_1 + 99d \\ &= -24 + 99 \times 4 \\ &= -24 + 396 \\ &= 372 \end{aligned}$$

\therefore 100th term is 372.

~~B: Geometric Progression / Sequence~~

5. Find the sum of first 30 multiples of 4.

Soln:

Given,

$$a = 4$$

$$n = 30$$

$$d = 4$$

We know

$$S = \frac{n}{2} [2a + (n-1)d]$$

$$= \frac{30}{2} [2 \times 4 + (30-1) \times 4]$$

$$= 15 [8 + 29 \times 4]$$

$$= 15 \times 124$$

$$S = 1860$$

The arithmetic mean between a and b is $A.M = [a+b]/2$

Series of A.P. : $S_n = [n/2](a+d)$,

$S_n = (n/2) [2a + (n-1)d]$, where S_n is the sum of n terms of A.P.

B. Geometric Sequence / Progression

A sequence in which every term is obtained by multiplying or dividing a definite number with preceding numbers is known as a geometric sequence.

A geometric progression is a sequence of the form

$$a, ar, ar^2, \dots, ar^n, \dots$$

Where the initial term a and the common ratio ' r ' are real numbers.

Questions

1. Consider the sequence 1, 4, 16, 64, 256, 1024, ...
Find the common ratio and 9th term.

Soln: $a = 1$

Common ratio (r) = $4/1 = 4$
 $n = 9$

Now, we have, $T_n = ar^{(n-1)}$
9th term, $T_9 = 1 \times (4)^{9-1} = 4^8 = 65536$.

2. Find the n^{th} term and 26^{th} term of the geometric sequence with $a_5 = \frac{5}{4}$ and $a_{12} = 160$.

Soln:

Given

$$a_5 = \frac{5}{4}$$

$$a_{12} = 160$$

$$a_5 \times r^7 = 160 \quad \text{①}$$

$$a_5 \times r^7 = \frac{5}{4} \quad \text{②}$$

Def

We know,

$$a_{12} = a_5 \times r^7 \quad (\text{We can get from } 5^{\text{th}} \text{ to } 12^{\text{th}} \text{ term by multiplying the fifth term by the common ratio seven times})$$

$$160 = \frac{5}{4} \times r^7$$

$$r^7 = 128$$

$$\therefore r = 2$$

Also, we know

$$a_5 = ar^4$$

$$a_5 = \frac{5}{4} = ar \times r^3$$

$$ar \times \frac{5}{4} = ar \times 16$$

$$ar = \frac{5}{16 \times 4}$$

$$\therefore a = \frac{5}{64}$$

We have,

$$n^{\text{th}} \text{ term} = a_n = a \cdot r^{n-1}$$

$$= \frac{5}{64} \times 2^{n-1}$$

$$= \left(\frac{5}{2^6} \right) (2^{n-1})$$

$$= 5 \times 2^{-6} \times 2^{n-1}$$

$$= 5 \times 2^{n-7}$$

$$26^{\text{th}} \text{ term} = a_{26} = \cancel{a} \cancel{r^{25}} 5 (2^{26-7})$$

$$= 5 \times 2^{19}$$

$$= 2621440.$$

3. ~~Sum~~ Find the sum of first 4 terms of the geometric sequence $10, 30, 90, 270, 810, 2430 \dots$

Soln:

$$a = 10 \quad (\text{first term})$$

$$r = \frac{30}{10} = 3 \quad (\text{Common ratio})$$

$n = 4$ (we want to sum the first 4 terms)
So, by formula, we have

$$\sum_{k=0}^{n-1} (ar^k) = a \left(\frac{1-r^n}{1-r} \right)$$

becomes

$$\begin{aligned}
 \sum_{k=0}^{4-1} (10 \times 3^k) &= 10 \left(\frac{1-3^4}{1-3} \right) = \\
 &= 10 \left(\frac{1-81}{-2} \right) \\
 &= 10 \times \frac{80}{2} \\
 &= 400.
 \end{aligned}$$

We can check it:

$$10 + 30 + 90 + 270 = 400.$$

The geometric mean between a and b is $G.M. = \pm \sqrt{ab}$

Series of G.P.: $S_n = [a(1-r^n)] / [1-r]$;
Where S_n is the sum to n terms of G.P.

The sum of 's' of infinite geometric series is $s = a / 1-r$.

C. Harmonic Progression / Sequence

A series of numbers is said to be in harmonic sequence if the reciprocals of all the elements of the sequence form an arithmetic sequence.

OR, A sequence obtained by the reciprocals of the elements of the arithmetic sequence.

The n^{th} term of harmonic sequence is :

$$a_n = \frac{1}{[a + (n-1)d]}$$

The harmonic mean between a and b is

$$H.M = \frac{2ab}{[a+b]}$$

Harmonic sequence in mathematics is a sequence of numbers q_1, q_2, q_3, \dots such that their reciprocals $\frac{1}{q_1}, \frac{1}{q_2}, \frac{1}{q_3}, \dots$ form an arithmetic sequence (numbers separated by a common difference).

Infinite harmonic progressions are not summable.

Example of harmonic sequence:

(i) $\frac{1}{1}, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{5}, \frac{1}{6}, \dots$

(ii) $30, -30, -10, -6, \dots$

Questions:

Harmonic mean is calculated as the reciprocal of the arithmetic mean of the reciprocals. The formula to calculate harmonic mean is given by.

$$H.M = n / [(1/a) + (1/b) + (1/c) + (1/d) + \dots]$$

Where, a, b, c, d are the values and n is the number of values present.

Questions:

1. Determine the 4th and 8th term of the harmonic progression 6, 4, 3 ...

Soln:

$$H.P. = 6, 4, 3 \dots$$

Let us take A.P. from the given G.H.P.

$$A.P. = \frac{7}{6}, \frac{1}{4}, \frac{1}{3}$$

$$\text{Here, } T_2 - T_1 = T_3 - T_2 = \frac{1}{12} = d$$

So, in order to find the 4th term of an A.P. we use the formula.

$$\text{The } n^{\text{th}} \text{ term of A.P.} = a + (n-1)d$$

$$\text{Here, } a = \frac{7}{6}, d = \frac{1}{12}$$

Now we have to find the 4th term
So take, $n = 4$

Now, put the values in the formula.

$$4^{\text{th}} \text{ term of A.P.} = \frac{1}{6} + (4-1) \cdot \frac{1}{12}$$

$$= \frac{1}{6} + 3 \times \frac{1}{12}$$

$$= \frac{1}{6} + \frac{1}{4}$$

$$= \frac{5}{12}$$

Similarly, 8th term of A.P. = $a + 7d$

$$= \frac{1}{6} + 7 \times \frac{1}{12}$$

$$= \frac{1}{6} + \frac{7}{12}$$

$$= \frac{9}{12}$$

Since, H.P. is the reciprocal of A.P., we can write the values as:

$$\begin{aligned} 4^{\text{th}} \text{ term of H.P.} &= \text{1/4}^{\text{th}} \text{ term of A.P.} \\ &= 12/5 \end{aligned}$$

$$\begin{aligned} 8^{\text{th}} \text{ term of H.P.} &= \text{1/8}^{\text{th}} \text{ term of A.P.} \\ &= 12/9 = 4/3 \end{aligned}$$

2. Compute the 16th term of H.P. if the 6th and 11th term of H.P. are 10 and 18, respectively.

Soln:

The H.P. & written as ~~A.P.~~ in terms of A.P. are given below:

$$6^{\text{th}} \text{ term of A.P.} = a + 5d = \frac{1}{10} \quad \text{--- (1)}$$

$$11^{\text{th}} \text{ term of A.P.} = a + 10d = \frac{1}{18} \quad \text{--- (2)}$$

$$\text{Eqn (1) - Eqn (2)}$$

$$a + 5d = \frac{1}{10}$$

$$a + 10d = \frac{1}{18}$$

$$-5d = \frac{1}{10} - \frac{1}{18}$$

$$\text{or, } -5d = \frac{9 - 5}{90}$$

$$\text{or, } -5d = \frac{4}{90}$$

$$\text{or, } d = -\frac{2}{225}$$

Putting value of d in eqn (1)

$$a = \frac{13}{90}$$

Now,

$$16^{\text{th}} \text{ term of A.P.} = a + 15d$$

$$= \frac{13}{90} + 15 \times \frac{-2}{225}$$

$$= \frac{13}{90} - \frac{30}{225}$$

$$= \frac{65 - 60}{450}$$

$$= \frac{5}{450}$$

$$= \frac{1}{90}$$

$$\text{Thus, } 16^{\text{th}} \text{ term of H.P.} = \frac{1}{16^{\text{th}} \text{ term of A.P.}} \\ = \frac{1}{90}$$

Relation between A.P., G.P. and H.P.

For any two numbers, if A.M., G.M. and H.M. are the Arithmetic, Geometric and Harmonic mean respectively, then the relationship between these three is given by:

- $G.M.^2 = A.M. \times H.M.$, where A.M., G.M., H.M. are in G.P.
- $A.M. \geq G.M. \geq H.M.$

Recurrence Relations

A recurrence relation is an equation that recursively defines a sequence where the next term is a function of the previous terms. Expressing f_n as some combination of f_i with $i < n$.

A recurrence relation is a functional relation between the independent variable n , dependent variable $f(n)$ and the differences of various order of $f(x)$. A recurrence relation is also called a difference equation, and we will use these two terms interchangeably.

Example 1: The equation $f(x+3h) + 3f(x+2h) + 6f(x+h) + 9f(x) = 0$ is a recurrence relation.

If can also be written as:

$$a_{r+3} + 3a_{r+2} + 6a_{r+1} + 9a_r = 0$$

$$y_{k+3} + 3y_{k+2} + 6y_{k+1} + 9y_k = 0$$

Example 2: Fibonacci Series

$$\text{Fib } f_n = f_{n-1} + f_{n-2}$$

$a_r = a_{r-2} + a_{r-1}$, $r \geq 2$, with the initial conditions $a_0 = 1$ and $a_1 = 1$.

Tower of Hanoi - $F_n = 2F_{n-1} + 1$

Linear Recurrence Relations

A linear recurrence equation of degree k or order k is a recurrence equation which is in the format:

$$x_n = A_1 x_{n-1} + A_2 x_{n-2} + A_3 x_{n-3} + \dots$$

$$A_k x_{n-k}$$

(A_n is a constant and $A_k \neq 0$) on a sequence of numbers as a first-degree polynomial.

There are some examples of linear recurrence relations

Recurrence relations	Initial values	Solutions
$F_n = F_{n-1} + F_{n-2}$	$a_1 = a_2 = 1$	Fibonacci number

$F_n = F_{n-1} + F_{n-2}$	$a_1 = 1, a_2 = 3$	Lucas Number
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$F_n = F_{n-2} + F_{n-3}$	$a_1 = a_2 = a_3 = 1$	Padovan sequence
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$F_n = 2F_{n-1} + F_{n-2}$	$a_1 = 0, a_2 = 1$	Pell number
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Summations:

Use of Series on summation notation

A series can be represented in a compact form, called summation or sigma notation. The Greek capital letter, Σ , is used to represent the sum.

The series $4+8+12+16+20+24$ can be expressed as $\sum_{n=1}^6 4n$. The expression

is read as the sum of $4n$ as n goes from 1 to 6. The variable n is called the index of summation.

$$\sum_{n=1}^6 4n$$

Diagram illustrating the components of the summation notation:

- Last value of n : 6
- Index of summation: n
- First value of n : 1
- Formula for the terms: $4n$

To generate the terms of the series given in sigma notation, successively replace the index of summation with consecutive integers from the first value to last value of the index.

To generate the terms of the series given in sigma notation above, replace n by 1, 2, 3, 4, 5 & 6.

$$\sum_{n=1}^6 a_n = 4(1) + 4(2) + 4(3) + 4(4) + 4(5) + 4(6)$$

$$= 4 + 8 + 12 + 16 + 20 + 24$$

$$= 84$$

The sum of the series is 84

Use sigma notation to express each series.

7.) $8 + 11 + 14 + 17 + 20$

Soln:

This is arithmetic series with five terms where first term is 8 and whose common difference is 3. Therefore, $a_1 = 8$ and $d = 3$

The n^{th} term of the corresponding sequence is

$$\begin{aligned} a_n &= a_1 + (n-1)d \\ &= 8 + (n-1)3 \\ &= 8 + 3n - 3 \\ &= 3n + 5 \end{aligned}$$

Since there are five terms, the given series can be written as:

$$\sum_{n=1}^5 a_n = \sum_{n=1}^5 (3n+5)$$

$$2) \frac{2}{3} - 1 + \frac{3}{2} - \frac{9}{4} + \frac{27}{8} - \frac{81}{16}$$

This is a geometric series with 6 terms,
where $a_1 = \frac{2}{3}$ and $r = -\frac{3}{2}$.

The n^{th} term of the sequence is

$$\begin{aligned} a_n &= a_1 r^{n-1} \\ &= \frac{2}{3} \times \left(-\frac{3}{2}\right)^{n-1} \\ &= \end{aligned}$$

Since, there are six terms in the given series,
the sum can be written as:

$$\sum_{n=1}^6 a_n = \sum_{n=1}^6 \frac{2}{3} \left(-\frac{3}{2}\right)^{n-1}$$

Remaining of 4.2

\Rightarrow Sum of the terms of an Arithmetic sequence
(Arithmetic series)

To find the sum of first n terms of an arithmetic sequence, we use the formula:

$$S_n = \frac{n(a_1 + a_n)}{2} \quad \text{where, } S_n \text{ is the number of terms, } a_1 \text{ is the first term}$$

and a_n is the last term.

Example:-

1) Find the sum of first 20 terms of the sequence arithmetic series of $a_1 = 5$ and $a_{20} = 62$.

Soln:-

$$a_1 = 5$$

$$a_{20} = 62$$

$$S_n = \frac{n(a_1 + a_n)}{2}$$

$$\text{or } S_{20} = \frac{20(5+62)}{2} = 670.$$

2) Find the sum of first 40 terms of the arithmetic sequence. 2, 5, 8, 11, 14...

Soln:- $a_1 = 2, d = 3$

First find the 40th term

$$a_{40} = a_1 + 39d$$

$$= 2 + 39 \times 3 = 119$$

Now the sum is:

$$S_n = \frac{n(a_1 + a_n)}{2}$$

$$a_1 S_{50} = \frac{40(2+119)}{2} = 2420.$$

3) Find the sum:

$$\sum_{k=1}^{50} (3k+2)$$

So in:

first find a_1 and a_{50}

$$a_1 = 3(1) + 2 = 5$$

$$a_{50} = 3(50) + 2 = 152$$

Then find the sum,

$$S_k = \frac{k(a_1 + a_k)}{2}$$

$$a_1 S_{50} = \frac{50(5+152)}{2}$$

$$= 3925$$

Sum of the terms of a Geometric Sequence (Geometric Series)

To find the sum of the first n terms of a geometric sequence, use the formula:

$$S_n = \frac{a_1(1-r^n)}{1-r}, \quad r \neq 1.$$

Where, n is the number of terms, a_1 is the first term and r is the common ratio.

Example

1) Find the sum of first 8 terms of the geometric series if $a_1 = 1$ and $r = 2$

Soln:

$$S_n = \frac{a_1(1-r^n)}{1-r}$$

$$\text{on } S_8 = \frac{1(1-2^8)}{1-2} = 255.$$

2) Find the ~~the~~ S_{10} of the geometric series:

$$24 + 32 + 6 + \dots$$

Soln:

$$a_1 = 24$$

$$r = \frac{r_2}{r_1} = \frac{12}{24} = \frac{1}{2}$$

Now, the sum is

$$S_n = \frac{a_1(1-r^n)}{1-r}$$

$$a_1 S_{10} = \frac{24(1 - (\frac{1}{2})^{10})}{1 - \frac{1}{2}} = \frac{3069}{64}$$

3) Evaluate:

$$\sum_{n=1}^{10} 3(-2)^{n-1}$$

so/ln:

(You are finding S_{10} of the series
 $3 - 6 + 12 - 24 + \dots$ where common ratio is -2.

$$S_n = a_1 \frac{(1 - r^n)}{1 - r}$$

$$S_{10} = \frac{3[1 - (-2)^{10}]}{1 - (-2)} - \frac{3(1 - 1024)}{3} = -1023$$

4.3 Solutions for recursive relations

How to solve linear recurrence relations

Suppose, a two ordered linear recurrence relation is - $F_n = AF_{n-1} + BF_{n-2}$, where A and B are real numbers.

The characteristic equation of for the above recurrence relation is :

$$x^2 - Ax - B = 0$$

Three cases may occur while finding the roots:

(Case 1) → If this equation factors as $(x-x_1)(x-x_2)=0$ and if it produces two distinct real roots x_1 and x_2 , then $F_n = ax_1^n + bx_2^n$ is the solution. [Here a & b are constants].

(Case 2) → If this equation factors as $(x-x_1)^2=0$ and it produces single real root x_1 , then $F_n = ax_1^n + bnx_1^n$ is the solution.

(Case 3) → If the equation produces two distinct complex roots, x_1 and x_2 in polar form $x_1 = r \angle \theta$ and $x_2 = r \angle (-\theta)$, then $F_n = r^n (a \cos(n\theta) + b \sin(n\theta))$ is the solution.

Questions:

1) Solve the recurrence relation $F_n = 5F_{n-1} - 6F_{n-2}$

$$f_n = 5f_{n-1} - 6f_{n-2} \text{ where } F_0 = 1 \text{ and } F_1 = 4.$$

Soln:

The characteristic equation of the recurrence relation is -

$$x^2 - 5x + 6 = 0,$$

$$\text{so, } (x-3)(x-2) = 0$$

Hence, the roots are $x_1 = 3$ and $x_2 = 2$.

The roots are real and distinct, so this is in the form of case 1.

Hence, the solution is

$$F_n = a x_1^n + b x_2^n.$$

Here,

$$F_n = a 3^n + b 2^n \quad (\text{As } x_1 = 3 \text{ and } x_2 = 2)$$

Therefore,

$$1 = F_0 = a 3^0 + b 2^0 = a + b$$

$$4 = F_1 = a 3^1 + b 2^1 = 3a + 2b$$

Solving these two equations, we get

$$a = 2 \text{ and } b = -1$$

Hence, the final solution is:

$$F_n = 2 \cdot 3^n + (-1) \cdot 2^n = 2 \cdot 3^n - 2^n$$

2) Solve the recurrence relation,

$$F_n = 10F_{n-1} - 25F_{n-2} \text{ where } F_0 = 3 \text{ and } F_1 = 17.$$

Soln:

The characteristic equation of the recurrence relation is:

$$x^2 - 10x + 25 = 0$$

$$\text{So, } (x-5)^2 = 0$$

Hence, the single real root $x_1 = 5$.

As there is a single real valued root, the is in the form of Case 2.

Hence, the solution is:

$$F_n = ax_1^n + bnx_1^n$$

$$3 = F_0 = a \cdot 5^0 + b(0 \cdot 5)^0 = a$$

$$17 = F_1 = a \cdot 5^1 + b \cdot 1 \cdot 5^1 = 5a + 5b$$

Solving these two equations, we get $a = 3$ and $b = -\frac{8}{5}$
 $b = -\frac{2}{5}$.

Hence, the final solution is $F_n = 3 \cdot 5^n + (-\frac{2}{5}) \cdot n \cdot 2^n$.

3) Solve the recurrence relation $F_n = 2F_{n-1} - 2F_{n-2}$

Where, $F_0 = 1$ and $F_1 = 3$

Soln:

The characteristic equation of the recurrence relation is:

$$x^2 - 2x - 2 = 0$$

Hence, the roots are:

$$x_1 = 1 + i \text{ and } x_2 = 1 - i$$

In polar form,

$$x_1 = r \angle \theta \quad \text{and} \quad x_2 = r \angle (-\theta),$$

where, $r = \sqrt{2}$ and $\theta = \frac{\pi}{4}$

The roots are imaginary. So this is ~~the~~ in the form of case 3.

Hence, the solution is

$$f_n = (\sqrt{2})^n (a \cos(n \cdot \frac{\pi}{4}) + b \sin(n \cdot \frac{\pi}{4}))$$

$$1 = f_0 = (\sqrt{2})^0 (a \cos(0 \cdot \frac{\pi}{4}) + b \sin(0 \cdot \frac{\pi}{4})) = a$$

$$3 = f_1 = (\sqrt{2})^1 (a \cos(1 \cdot \frac{\pi}{4}) + b \sin(1 \cdot \frac{\pi}{4})) = \sqrt{2}(a/\sqrt{2} + b)\sqrt{2}$$

Solving these two equations, we get

$$a=1 \text{ and } b=2.$$

Hence, the final solution is

$$f_n = (\sqrt{2})^n (\cos(n \cdot \frac{\pi}{4}) + 2 \sin(n \cdot \frac{\pi}{4})).$$

4.4 Recursive algorithm, recursion and iteration, the merge sort.

Recursive Algorithm

A recursive algorithm is an algorithm which calls itself with "smaller (or simpler)" input values, and which obtains the result for the current input by applying simple operations to the returned value for the smaller (or simpler) input.

An algorithm is called recursive if it solves a problem by reducing it to an instance of the same problem with smaller input.

For the algorithm to terminate, the instance of the problem must be eventually be reduced to some initial case for which the solution is known.

A recursive algorithm is one in which objects are defined in terms of other objects of the same type.

Some recursive algorithms are ^{principles} explained given below:

- 1) A recursion algorithm must have a base case.
- 2) It is a condition that allows the algorithm to stop recursion.
- 3) A recursion algorithm must change its state

and move towards the base case.
3) A recursive algorithm must call itself.

Examples of recursive algorithms:

- ① Factorial
- ② Fibonacci Sequence
- ③ GCD
- ④ Tower of Hanoi

1) Recursive factorial Algorithm

Q. Give a recursive algorithm for computing $n!$ where n is a non-negative integer.

Solution:

- 1) Input a non-negative integer n .
- 2) If $n=0$, then ~~return $n!=1$~~
- 2) If $n=0$, then return 1
- 3) Else return $n * \text{factorial}(n-1)$
- 4) Output is $n!$
- 5) Stop.

2) Fibonacci Sequence Algorithm

- 1) Input a non-negative integer n .
- 2) If $n=0$ then $\text{fib}(0)=0$
- 3) Else if $n=1$ then $\text{fib}(1)=1$
- 4) Else $\text{fib}(n) = \text{fib}(n-1) + \text{fib}(n-2)$.
- 5) Output Stop.

3) GCD recursion algorithm

- 1) Input non-negative numbers a, b where $a < b$
- 2) If $a=0$ then return b
- 3) Else return $\text{gcd}(b \bmod a, a)$
- 4) Output is $\text{gcd}(a, b)$
- 5) Stop.

4) Tower of Hanoi using recursion.

Tower of Hanoi is a game played with three poles and a number of different sized disks. Each disk has a hole in the center that makes easy to place into poles.

Initial state:

- a) There are three poles named: origin, intermediate and destination.
- b) 'n' number of different sized disks, having

hole at the center is stacked around the origin pole in decreasing order.

c) The disks are numbered by $1, 2, 3, 4 \dots n$

Rules

- Move only one disk at a time.
- Each disk must always be placed around one of the pole.
- Never place larger disk on the top of smaller disk.

Algorithm

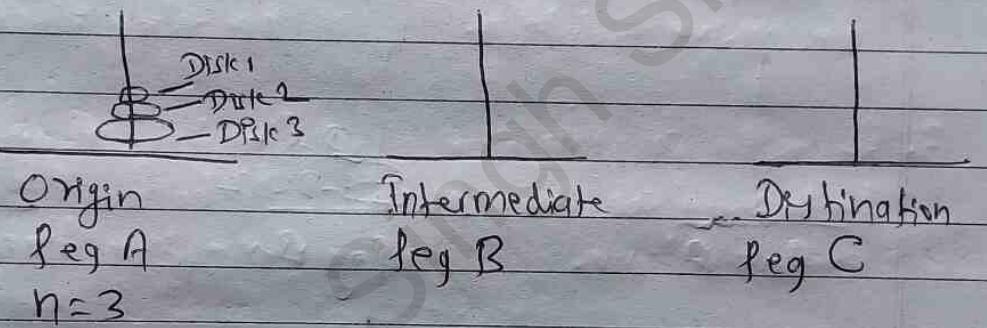
To move a tower of ' n ' disks from origin to destination pole (where ' n ' is positive integers)

- If $n=1$, move a single disk from origin to destination pole.
- If $n > 1$
 - Let intermediate be the remaining pole other than origin and destination.
 - Move tower of $(n-1)$ disks from origin to intermediate.
 - Move single disk from origin to destination.
 - Move a tower of $(n-1)$ disks from intermediate to destination.

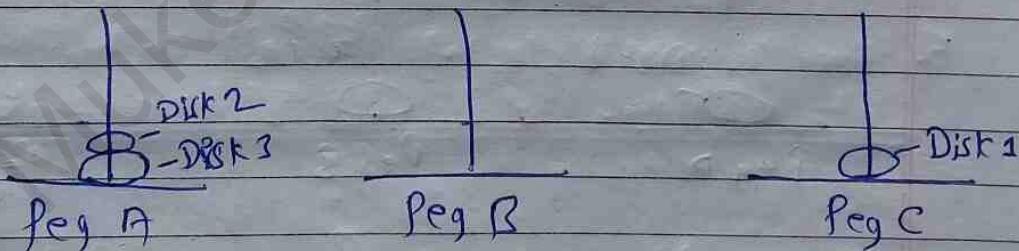
Solution to Tower of Hanoi problem when number of disks 'n' = 3.

Let the origin, intermediate and destination pole or peg be denoted as peg 'A', peg 'B' and peg 'C' respectively. Let disks be denoted as Disk 1, Disk 2, Disk 3.

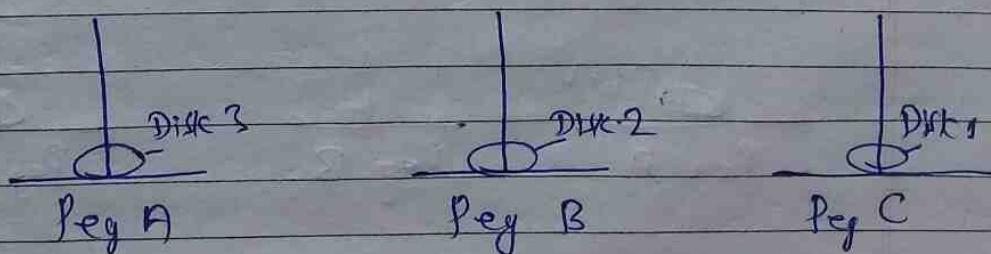
Step 1:



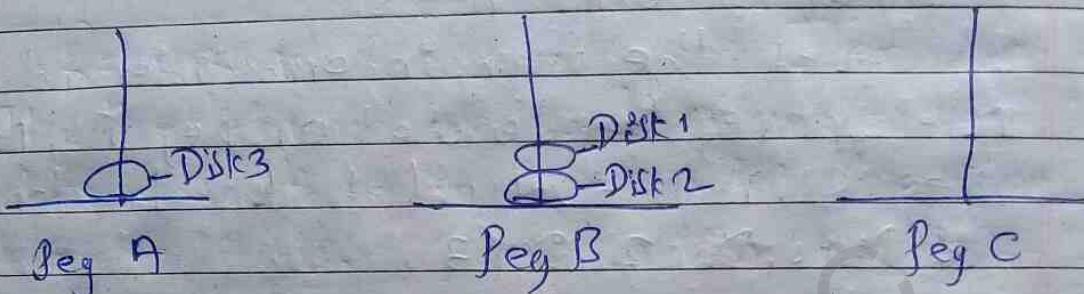
Step 2: Move disk 1 from peg A to peg C.



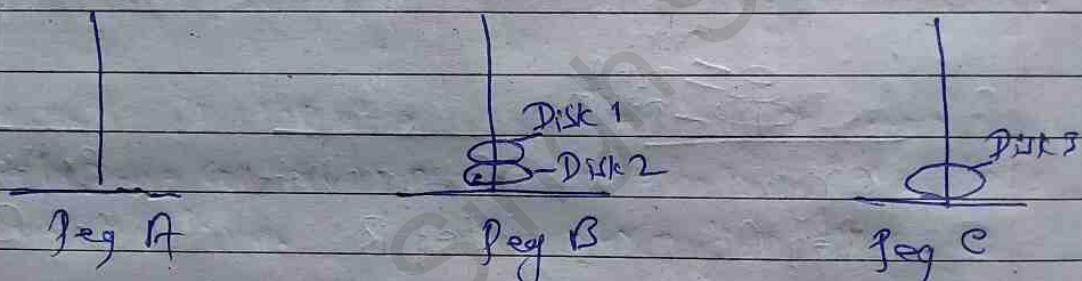
Step 3: Move disk 2 from peg A to peg B.



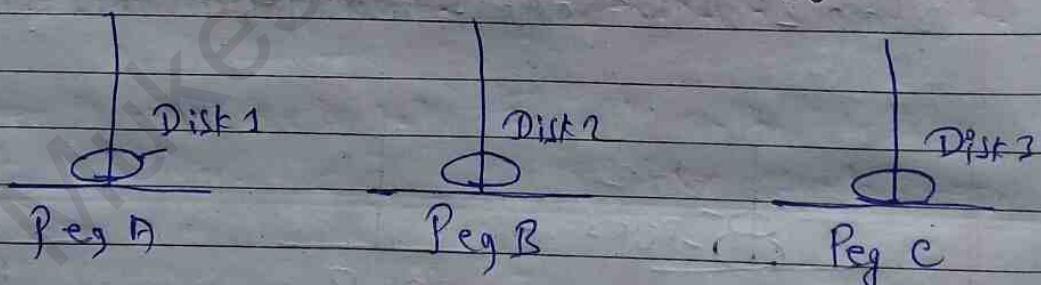
Step 4: Move disk 1 from Peg 'C' to Peg B.



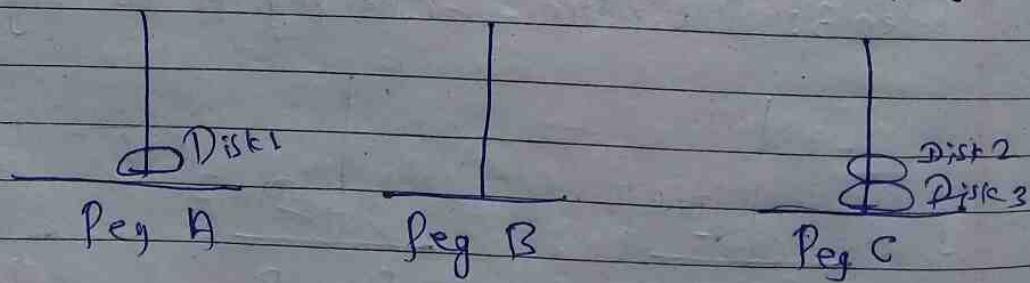
Step 5: Move disk 3 from peg A to peg C.



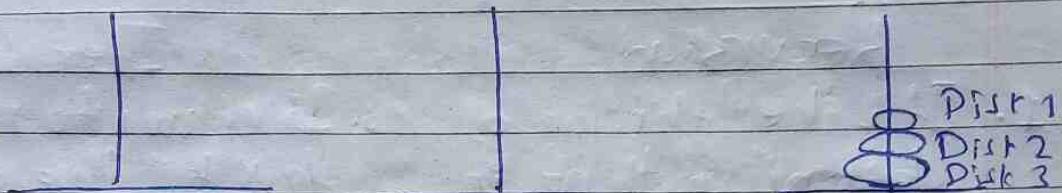
Step 6: Move disk 1 from peg B to peg A.



Step 7: Move disk 2 from peg B to peg C.



Step 8: Move disk 1 from Peg A to peg C



Tricks for Tower of Hanoi
 $2^n - 1$

for 1 disks, $2^1 - 1 = 1$ move

for 2 disks, $2^2 - 1 = 3$ moves

for 3 disks, $2^3 - 1 = 7$ moves

for 4 disks, $2^4 - 1 = 15$ moves

Recursion and Iteration

Recursion

1. It is the technique of defining anything in terms of itself.
2. All problems may not have recursive solution.
3. Recursive programming technique conserves more execution time.
4. Recursive technique is top-down approach.
5. Given program is divided into modules.

Iteration

1. It allows to repeat the task till the given condition is satisfied.
2. Any recursive problems can be solved iteratively.
3. Iterative programming techniques takes less execution time.
4. Iterative technique is bottom-up approach.
5. It constructs the solution step by step.

The merge sort

It is a sorting algorithm based on divide and conquer technique. Algorithm divides the array into two equal halves, recursively sorts them and finally merges them in sorted order.

Example

14	33	27	10	35	19	42	44
----	----	----	----	----	----	----	----

14	33	27	10	35	19	42	44
----	----	----	----	----	----	----	----

14	33	27	10	35	19	42	44
----	----	----	----	----	----	----	----

14	33	27	10	35	19	42	44
----	----	----	----	----	----	----	----

14	33	10	27	19	35	42	44
----	----	----	----	----	----	----	----

10	14	27	33	19	35	42	44
----	----	----	----	----	----	----	----

10	14	19	27	33	35	42	44
----	----	----	----	----	----	----	----

Recursively defined functions

A recursively defined function is a function whose definition refers back to itself. A classic example of such a function is the factorial;

$$n! = 1 \cdot 2 \cdot 3 \cdots n,$$

which can be defined recursively as follows:

$$0! = 1$$

$$n! = n(n-1)! \text{ for all } n \in \mathbb{N}.$$

A recursive definition has two parts:

① Definition of the smallest argument (usually $f(0)$ or $f(1)$)

② Definition of $f(n)$, given $f(n-1), f(n-2)$ etc.

Example

Here is an example of a recursively defined function.

$$\begin{cases} f(0) = 5 \\ f(n) = f(n-1) + 2 \end{cases}$$

We can calculate the values of this function:

$$f(0) = 5$$

$$f(1) = f(0) + 2 = 5 + 2 = 7$$

$$f(2) = f(1) + 2 = 7 + 2 = 9$$

$$f(3) = f(2) + 2 = 9 + 2 = 11$$

This recursively defined function is equivalent to the explicitly defined function $f(n) = 2n + 5$. However, the recursive function is defined only for non-negative integers.

Example

Here is one more example of a recursively defined function $\begin{cases} f(0) = 1 \\ f(n) = n \cdot f(n-1) \end{cases}$.

The values of this function are:

$$f(0) = 1$$

$$f(1) = 1 \cdot f(0) = 1, f_1 = 1$$

$$f(2) = 2 \cdot f(1) = 2, f_2 = 2$$

$$f(3) = 3 \cdot f(2) = 3, f_3 = 6$$

$$f(4) = 4 \cdot f(3) = 4, f_4 = 24$$

$$f(5) = 5 \cdot f(4) = 5, f_5 = 120$$

This is the recursive definition of the factorial function, $f(n) = n!$

Not all recursively defined functions have an explicit definition.

The Fibonacci Numbers

One special recursively defined function which has no simple explicit definition yields the Fibonacci numbers.

$$\begin{cases} f(1) = 1 \\ f(2) = 1 \\ f(n) = f(n-2) + f(n-1) \end{cases}$$

The values of this function are:

$$f(1) = 1$$

$$f(2) = 1$$

$$f(3) = 1 + 1 = 2$$

$$f(4) = 1 + 2 = 3$$

$$f(5) = 2 + 3 = 5$$

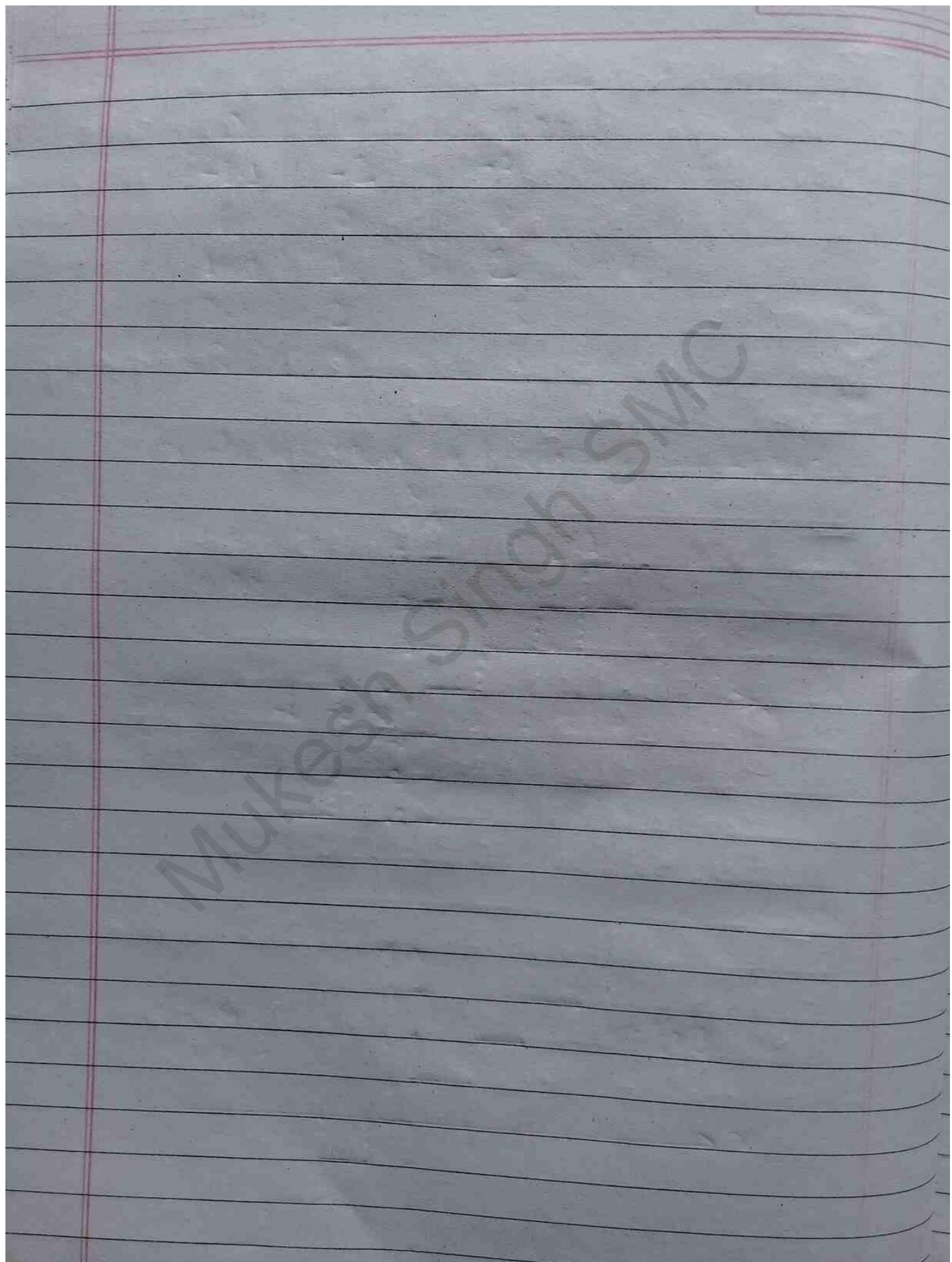
$$f(6) = 3 + 5 = 8$$

$$f(7) = 5 + 8 = 13$$

$$f(8) = 8 + 13 = 21$$

$$f(9) = 13 + 21 = 34$$

Then the sequence of Fibonacci numbers is
1, 1, 2, 3, 5, 8, 13, 21, 34.



Unit-5

Special Types of Functions

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5.1

Floor and Ceiling Function

The floor and ceiling function give us the nearest integer up or down.

Let x be a real number.
The floor function of x , denoted by $[x]$ or $\text{floor}(x)$, is defined to be the greatest integer that is less than or equal to x .

The ceiling function of x , denoted by $\lceil x \rceil$ or $\text{ceil}[x]$, is defined to be the least ~~number~~ integer that is greater than or equal to x .

Floor and Ceiling functions have type $R \rightarrow Z$.
Formally, for any $x \in R$ they can be defined as:

$$\text{floor}[x] = \max \{n \in Z : n \leq x\}$$

$$\text{ceiling}[x] = \min \{n \in Z : n \geq x\}$$

For example,

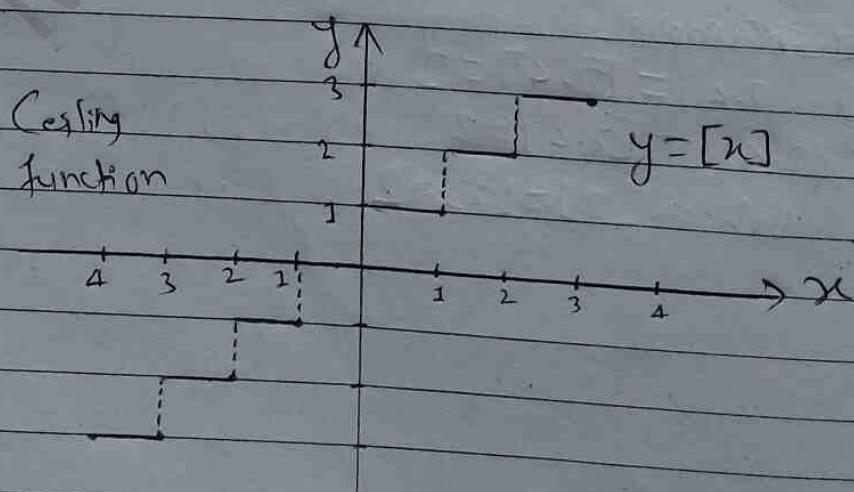
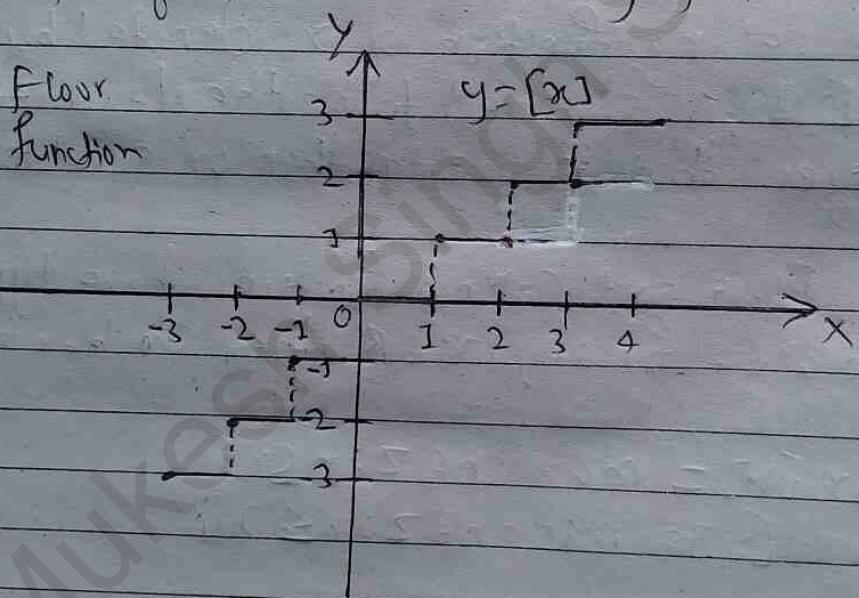
$$\text{floor}(2.4) = [2.4] = 2$$

$$\text{ceil}(2.4) = \lceil 2.4 \rceil = 3$$

$$\text{while } [2] = \lceil 2 \rceil = 2$$

x	floor	ceiling
-1.1	-2	-1
0	0	0
1.01	1	2
2.9	2	3
3	3	3

Graphs of floor and ceiling functions.



Properties of floor and ceiling function

1) $[x] = n$ iff $n < x < n+1$

2) $[x] = n$ iff $n-1 < x \leq n$

3) $[x] = n$ iff $x-1 < n \leq x$

4) $[x] = n$ iff $n \leq x < n+1$

5) $[-x] = -[x]$

6) ~~$[x] =$~~

7) $[x] + [-x] = \begin{cases} 0 & \text{if } x \in \mathbb{Z} \\ -1 & \text{if } x \notin \mathbb{Z} \end{cases}$

8) $[x+n] = [x] + n$

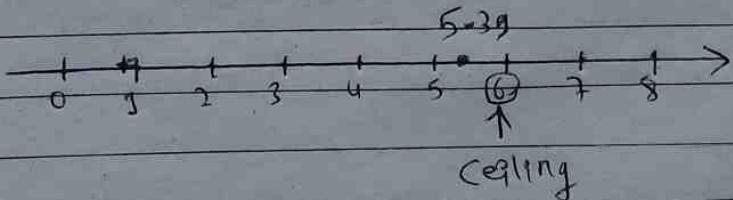
9) ~~$[x+n] =$~~

Q. Evaluate the ceiling function of the graph 5.39 with the help of the graph.

~~Ceil [5.39] =~~

Ceil (5.39) = $[5.39] = 6$

It can be demonstrated on the number line as below:



5.2

Characteristics functions

A characteristic function is a function defined on set X that indicates membership of an element in a subset $A \subset X$, having the value 1 for all elements of X in A and the value 0 for all elements of X not in A .

mathematical defn:

P Let E be a given set and $A \subset E$ then
characteristic function χ_A of set A is a real
~~number~~ valued function defined on E

by

$$\chi_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}$$

or

Let A be a subset of universal set U
($U = \{u_1, u_2, \dots, u_n\}$). Then the characteristic function of A is defined as a function from U to $\{0, 1\}$. It is defined as;

$$\chi_A(u_i) = \begin{cases} 1 & \text{if } u_i \in A \\ 0 & \text{if } u_i \notin A \end{cases}$$

where ~~where~~ u_i is the variable which can take any element from U .

$$[\chi_A = \chi_{\text{of } A}]$$

Example :

If $A = \{4, 7, 9\}$ and $U = \{1, 2, 3, \dots, 10\}$ then
find the value of:

① $\chi_A(2) = 0$ since $2 \notin A$

② $\chi_A(4) = 1$ since $4 \in A$

③ $\chi_A(7) = 1$ since $7 \in A$

④ $\chi_A(12) = \text{undefined}$ since $12 \notin U$.

5.3 Integer Value functions

An integer valued function is a function whose values are integers. In other words, it is a function that assigns an integer to each member of its domain.

Let x be any real number. Then, the integer value of x (written $\text{INT}(x)$) converts x into an integer by ~~deleting~~ deleting the fractional part of the number.

Floor and Ceiling functions are examples of integer valued functions.

Example

$x \rightarrow$ real number

$$\text{Then, } \text{Int}(n) = \text{Int}(2) = 1 \cdot 2 \rightarrow 2 \rightarrow 1$$

$\text{INT}(x) = [x]$ if $x > 0$ (positive) \rightarrow floor

$\text{INT}(x) = [x]$ if $x < 0$ (negative) \rightarrow ceiling

$$\text{INT}(4.10) = 4$$

$$\text{INT}(-7.5) = -7$$

5.4 Remainder function: modular arithmetic

Let a be any integer and b be a positive integer then $a \pmod b$ will denote the integer remainder when a is divided by b .

Specifically, $a \pmod b$ is the unique integer r such that $a = bq + r$ where $0 \leq r < b$

When a is positive, simply divide a by b to obtain r .

Example

Rough

$$\textcircled{1} \quad 26 \pmod 7 = 5$$

$$a = bq + r$$

$$\text{or, } 26 = 7 \times 3 + 5$$

$$-26 + 7 = -19 \quad \cancel{-7} + 7 = -12 + 7 = -5$$

$$-5 + 7 = 2$$

$$\textcircled{2} \quad 34 \pmod 8 = 2$$

$$|b| = 2 \times 7 = 14 \rightarrow 14 - 12 = 2$$

$$\textcircled{3} \quad 2345 \pmod 6 = 5$$

$$|b| = 371 \div 8 = 3 \rightarrow 8 - 3 = 5$$

$$\textcircled{4} \quad -26 \pmod 7 = 2$$

$$|b| = 2 \times 7 = 14 \rightarrow 14 - 12 = 2$$

$$\textcircled{5} \quad -371 \pmod 8 = 5$$

$$|b| = 371 \div 8 = 3 \rightarrow 8 - 3 = 5$$

$$\textcircled{6} \quad -39 \pmod 3 = 0$$

$$|b| = 39 \div 3 = 13 \rightarrow 0 - 0 = 0$$

If a is positive in $\mathbb{Z}, 2, 3, \dots$ simply divide a by b to obtain remainder r . Then

$$r = a \pmod b$$

Since if a is negative in 4, 5, 6 then divide $|a|$ by b to obtain remainder r' of $a \pmod{b} = b - r'$ when $r' \neq 0$.

Note → The term "mod" is also used for the mathematical congruence relation, which is denoted and defined as follows:

$$a \equiv b \pmod{M}$$
 and

Arithmetic Modulo (M):

Modulo is the remainder of the number that is left after a number is divided by another value.

modulo arithmetic or remainders:

$$15 \equiv -9 \pmod{12}$$

$$\begin{aligned} 15 \pmod{12} &= 3 \\ -9 \pmod{12} &= 12 - 9 = 3 \end{aligned} \quad \left| \begin{array}{l} -9 + 12 = 3 < 12 \end{array} \right.$$

$$a \equiv b \pmod{M}$$

$$a \pmod{M} = b \pmod{M}$$

5.5 Factorial function

Factorial function is a mathematical formula represented by an exclamation mark, "!". In the factorial formula you must multiply all the integers and positives that exist between the number that appears in the formula and the number 1.

Example

$$7! = 7 \times 6 \times 5 \times 4 \times 3 \times 2 \times 1 = 5040.$$

Mathematical defn

The product of the positive integers from 1 to n , inclusive is denoted by $n!$
(read as n factorial)

$$\text{i.e. } n! = n(n-1)(n-2)(n-3)\dots 3.2.1$$

Note : if $n=0$ then $n!=1$

If $n > 0$ then $n! = n(n-1) !$

This definition is recursive and that the function is well defined.

Example: Let $A = \{a, b, c, d\}$ Then $n(A) = |A| = 4$
 i.e. cardinality of set A.
 for e.g. calculate $4!$ using recursive definition.

Soln:

$$\textcircled{1} \quad 4! = 4 \cdot 3!$$

$$3! = 3 \times 2!$$

$$2! = 2 \times 1!$$

$$1! = 1 \times 0!$$

$$0! = 1$$

$$1! = 1 \times 1 = 1$$

$$2! = 2 \times 1 = 2$$

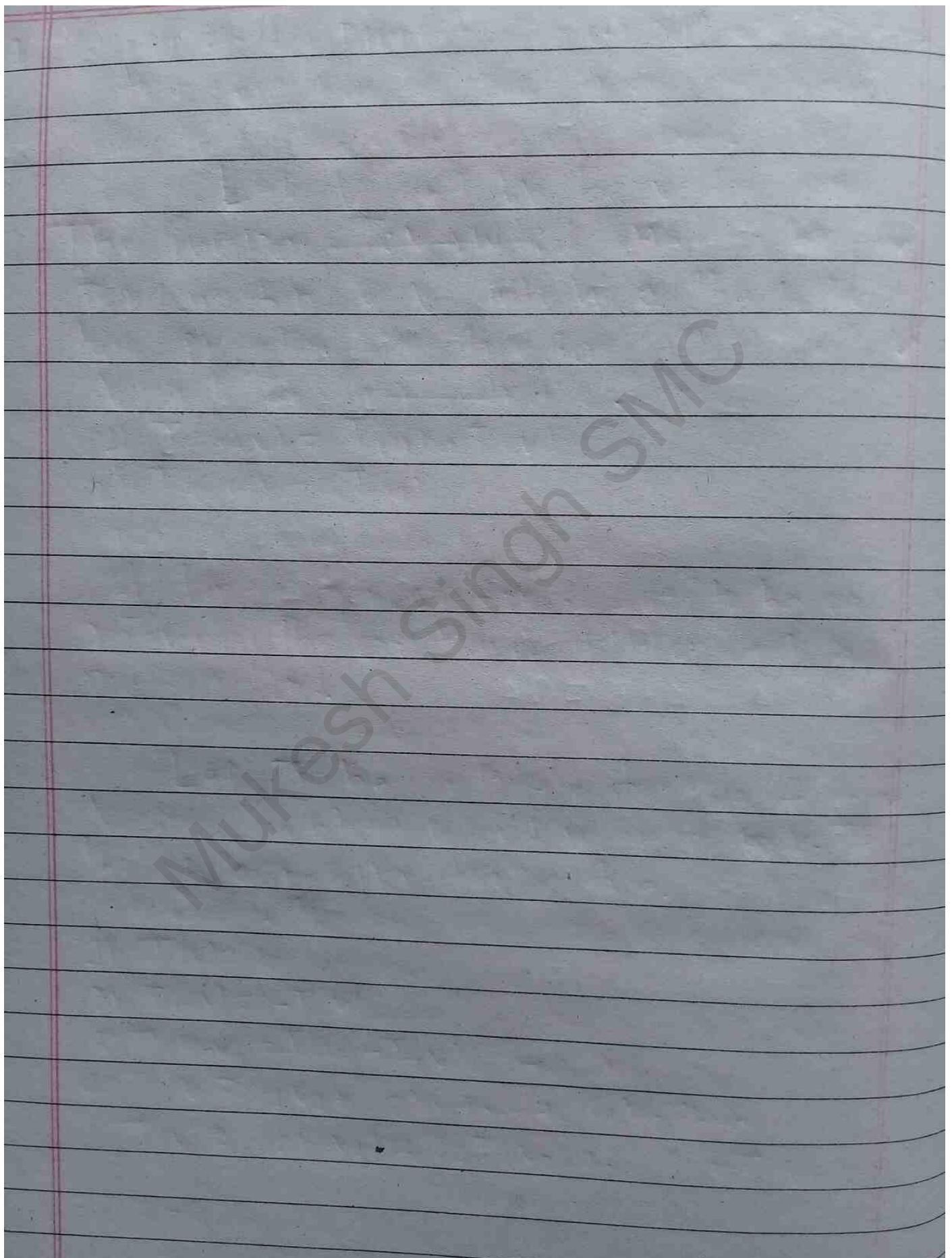
$$3! = 3 \times 2 = 6$$

$$4! = 4 \times 6 = 24$$

Directly:

$$3! = 3 \times 2 \times 1 = 6$$

$$5! = 5 \times 4 \times 3 \times 2 \times 1 = 120$$



Unit 6

Geometric Transformation

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A geometric transformation is any bijection of a set to itself with some inherent geometrical underpinning.

Transformation means to change. Hence, a geometric transformation would mean to make some changes in any given geometric shape.

6.1

Geometric properties of a plane linear transformation

Let V and W be vector spaces. The function $T: V \rightarrow W$ is called a linear transformation of V into W if the following two properties are true for all u and v in V and for any scalar c .

- 1) $T(u+v) = T(u) + T(v)$
- 2) $T(cu) = cT(u)$

A linear transformation is said to be operation preserving. (the operation of addition and scalar multiplication).

Let T be a linear transformation from V into W , where u and v are in V . Then the following properties are true.

- 1) $T(0) = 0$
- 2) $T(-v) = -T(v)$
- 3) $T(u-v) = T(u) - T(v)$
- 4) If $v = c_1 v_1 + c_2 v_2 + \dots + c_n v_n$, then $T(v) = c_1 T(v_1) + c_2 T(v_2) + \dots + c_n T(v_n)$.

6.2 Isometric Transformation

An isometric transformation (or isometry) is a shape-preserving transformation (movement) in the plane or in space.

If a transformation does not change the figure (no change in shape & size) after transformation, it is called an isometric transformation.

The isometric transformations are:

- 1) Reflection
- 2) Rotation
- 3) Translation
- 4) Half turn
- 5) Glide reflection

1) Reflection

It is a transformation which produces a mirror image of an object. The mirror image can be about x -axis or y -axis.

The object is rotated by 180° . We can say the object is flipped.

i) Reflection about the x -axis \rightarrow The value of x will remain same and the value of y will be negative.

$$\text{i.e. } P(x, y) \xrightarrow{\text{reflection}} P'(x, -y)$$

In matrix form:

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

ii) Reflection on Y-axis (i.e. $x=0$)

The value of y will remain same and the value of x will change sign.

i.e.

$$P(x, y) \xrightarrow[\text{reflection}]{\text{Y-axis}} P'(-x, y)$$

Example

$$P(2, 3) \longrightarrow P'(-2, 3)$$

In matrix form:

$$\begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

iii), Reflection about an axis perpendicular to XY plane and passing through the origin (i.e. reflection on $y = -x$)

The value of x and y both will be reversed. This is also called half revolution about the origin.

$$P(x, y) \xrightarrow[\text{reflection}]{y = -x} P(-y, -x)$$

In matrix form:

$$\begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Example

$$P(2, 3) \rightarrow P'(-3, -2)$$

iv) Reflection on $y = x$.

First we rotate the object P rotated at 45° clockwise. After the reflection is done concerning x -axis. The last step is the rotation of $y = x$ back to its original position that is anticlockwise 45° .

$$P(x, y) \xrightarrow[\text{reflection}]{y=x} P'(y, x)$$

Matrix form:

$$\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Example

$$P(2, 3) \rightarrow P'(3, 2)$$

2) Rotation

It is a process of changing the angle of the object. Rotation can be clockwise or Anticlockwise.

i) Anticlockwise (positive quarterturn, $+90^\circ$)

The object $P(x, y)$ is rotated through $+90^\circ$ (or -270°) about the origin and its image is $P'(-y, x)$.

i.e.,

$$P(x, y) \xrightarrow[\text{rotation}]{} P'(-y, x)$$

In matrix form:

Example

$$P(2, 3) \rightarrow P'(-3, 2)$$

ii) Clockwise Rotation (Negative quarterturn, -90°)

The object $P(x, y)$ is rotated through -90° about the origin and its image is $P'(y, -x)$.

i.e.,

$$P(x, y) \xrightarrow[\text{rotation}]{} P'(y, -x)$$

Example

$$P(2, 3) \rightarrow P'(3, -2)$$

3) Scaling Translation

If it is a straight line movement of an object from one position to another, through a certain distance and direction.

The object $P(x, y)$ is translated using a translation vector $T = \begin{pmatrix} a \\ b \end{pmatrix}$ to get the image

$$P'(x+a, y+b)$$

$$\text{i.e. } P(x, y) \xrightarrow[\text{translation}]{T = \begin{pmatrix} a \\ b \end{pmatrix}} P'(x+a, y+b)$$

We can also take (tx, ty) as translation vector.

$$x_1 = x + tx$$

$$y_1 = y + ty$$

In matrix form:

$$\begin{bmatrix} 1 & 0 & tx \\ 0 & 1 & ty \\ 0 & 0 & 1 \end{bmatrix}$$

Example

$$A(2, 3) \xrightarrow{T = \begin{pmatrix} 3 \\ 4 \end{pmatrix}} A'(2+3, 3+4) \\ = A'(5, 7)$$

4) Half turn

A rotation through 180° is a half turn.

The object $P(x, y)$ is rotated through 180° about the origin to get the image $P'(-x, -y)$.

i.e.

$$P(x, y) \xrightarrow[\text{rotation}]{} P'(-x, -y)$$

Example

$$A(2, 3) \longrightarrow A'(-2, -3)$$

5) Glide reflection

A glide reflection is a symmetric operation/transformation that consists of a reflection over a line and then translation along that line, combined into a single operation.

The effect of a reflection ~~with~~ combined with any translation is a glide reflection.

For example, there is an isometry consisting of the reflection on x -axis, followed by translation of one unit parallel to it. Then it can be represented as:

$$P(x, y) \rightarrow P(x+1, -y)$$

6.3

Non-Isometric Transformation

If a transformation ~~does not~~ changes the figure (change in shape & size) after the transformation, then it is called non-isometric transformation.

Non-isometric transformation maps a figure to a similar image. It means object figure and image figure are similar under non-isometric transformation.

The non-isometric transformations are:

1. Dilation
2. Stretch
3. Shear

1. Dilation

A dilation is a transformation that produces an image that is same ~~as~~ shape as the original, but is of different size.

A dilation that creates a larger image is called enlargement.

A dilation that creates a smaller image is called a reduction.

So, dilations are enlargements or reductions.

- If the scale factor is greater than 1, the image is enlargement. It expands.
- If the scale factor is between 0 and 1, the image is reduction. It contracts.

If the scale factor is 1, the figure and the image are exact the same size (congruent).

* Dilation with scale factor 2, multiply by 2
(center at the origin)

$$P(x, y) \rightarrow P'(2x, 2y)$$

* Dilation with scale factor $\frac{1}{2}$, multiply by $\frac{1}{2}$.
(center at the origin.)

$$P(x, y) \rightarrow P'(\frac{1}{2}x, \frac{1}{2}y)$$

* For a dilation not at the origin, measure the distances.

* When dilating an image with scale factor k :

$$P(x, y) \xrightarrow{Dk} P'(kx, ky)$$

Example

$$A(-4, 1) \xrightarrow{D2} A'(2x-4, 2x1) \\ = A'(-8, 2)$$

2) Stretch

A stretch is a transformation in which a figure is enlarged (or reduced) in only one direction. ~~The change is referred to as~~ In a stretch, the figure is distorted, and is not necessarily similar to the original figure.

We know that a dilation with a center at the origin and a scale factor of k can be expressed as:

$$P(x, y) \rightarrow P'(kx, ky)$$

But, a stretch will expand the size of only one of the coordinates as mention below:

* A stretch with stretch factor k and invariant x -axis:

$$P(x, y) \xrightarrow{x\text{-axis}} P'(x, ky)$$

* A stretch with stretch factor k and invariant y -axis:

$$P(x, y) \xrightarrow{y\text{-axis}} P'(kx, y)$$

Combination of Stretch:

* A stretch with stretch factor a in x -direction and stretch factor b in y -direction:

$$P(x, y) \rightarrow P'(ax, by)$$

Exam Example

$$A(2, 3) \xrightarrow[\text{X-axis}]{} A'(2, 2 \times 3) \\ = A'(2, 6)$$

$$A(2, 3) \xrightarrow[\text{Y-axis}]{} A'(2 \times 2, 3) \\ = A'(4, 3)$$

3) Shear

A transformation in which all points along a given line L remained fixed while other points are shifted parallel to L by a distance (proportional to their perpendicular distance from L) is called shear. Shearing a plane figure does not change its area.

A shear can also be generalized to three dimensions, in which planes are translated instead of lines.

A transformation that slants the shape of an object is called the shear transformation.

There are two shear transformations: X-shear and Y-shear.

One shifts X-coordinates values and other shifts Y-coordinate values. However, in both cases only one coordinate changes its coordinates and other preserves its values. Shearing is also termed as skewing.

X-shear (shearing in X-direction)

The X-shear preserves the Y-coordinate and changes the are made to X coordinate.
i.e. $y' = y + s_{xy} \cdot x$ $x' = x + s_{xx} \cdot y$
 ~~$x' = x$~~ $y' = y$

or,

$$P(x, y) \longrightarrow P'(x + Sh_x \cdot y, y)$$

$$\text{or, } P(x, y) \longrightarrow P'(x + Cy, y)$$

In matrix form

$$\begin{bmatrix} 1 & 0 & 0 \\ Sh_x & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \text{ or, } Sh_x = \begin{bmatrix} 1 & 0 & 0 \\ C & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

C = Shearing parameter.
 $C = Sh_x$

y -Shear

It is ~~also~~ a vertical shearing.
The y -shear preserves the value of x -coordinate,
and changes are made to y -coordinate.

i.e.

$$x' = x$$

$$y' = y + Sh_y \cdot x$$

$$\text{i.e. } P(x, y) \longrightarrow P'(x, y + Sh_y \cdot x)$$

$$\text{or, } P(x, y) \longrightarrow P'(x, y + Cy)$$

In matrix form,

$$\begin{bmatrix} 1 & Sh_y & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \text{ or, } Sh_y = \begin{bmatrix} 1 & C & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$C = Sh_y.$$

Shearing in X-Y directions

Here, layers will be slide in both x as well as y direction.

Sliding in both horizontal and vertical directions.

i.e:

$$x' = x + S_{hx} \cdot y$$

$$y' = y + S_{hy} \cdot x$$

$$\therefore P(x, y) \rightarrow P'(x + S_{hx} \cdot y, y + S_{hy} \cdot x)$$

In matrix form:

$$\begin{bmatrix} 1 & S_{hy} & 0 \\ S_{hx} & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Example

Find the image of the point $(3, 4)$ after a shear of factor 4 parallel to x -axis.

Soln:

$$P(3, 4) \xrightarrow{\text{Shear}} P'(\cancel{3+4 \times 4}, 4)$$
$$= P'(19, 4)$$