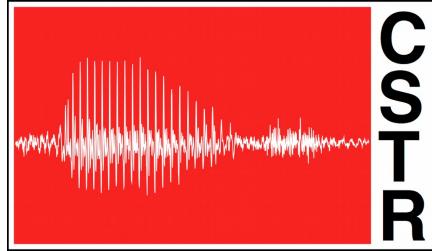




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**informatics**



# Raw Waveform Modelling for ASR

## A Literature Review

### Part II

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Centre for Speech Technology Research (CSTR)  
The University of Edinburgh  
Listen! 12.2.2020

# ASR via Divide-and-Conquer Paradigm

- Divide into several simpler & directly solvable sub-tasks which Solved/Optimised independently
- Feature Extraction → human speech perception & production
- Acoustic Modelling → Sequence & Statistical Modelling
- Raw waveform modelling premise ...
  - DNNs are powerful enough to solve FE and AM simultaneously

# Acoustic Modelling using Raw Waveform – Advantages

- Learned vs handcrafted pipeline
  - Task-oriented
  - Employ all signal information
  - Learning basis functions
  - Mid-term processing rather than short-term processing
  - No need to exact alignment



# Acoustic Modelling using Raw Waveform – Challenges

- High dimensional feature
  - Discriminative models, CNN, matrix factorisation
- Discard prior knowledge about auditory system
  - Initialise first layer using perceptual scales





# Our Plan ...

- Part I → IDIAP + AACHEN
- Part II → Baidu + JHU + Cambridge + Google
- Part III → Google + Parametric CNNs



# Part I – Summary

- Conventional features are still better
- Architecture is important (CNN rather than MLP)
- Data amount and activation function can narrow the gap
- Interpretability
  - First layer → time-frequency analysis
  - Second layer → modulation spectrum processing
  - Filters resemble auditory filters
    - More filters in low freq, wider filters in high frequencies (trend-wise)



# Learning Multiscale Features Directly From Waveforms

Zhenyao Zhu\*<sup>1,2</sup>, Jesse H. Engel\*<sup>1</sup>, Awni Hannun<sup>1</sup>

<sup>1</sup>Baidu Silicon Valley AI Lab (SVAIL)

<sup>2</sup>The Chinese University of Hong Kong

\* Authors contributed equally to this work

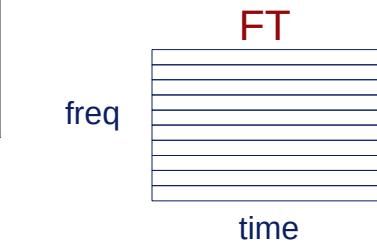
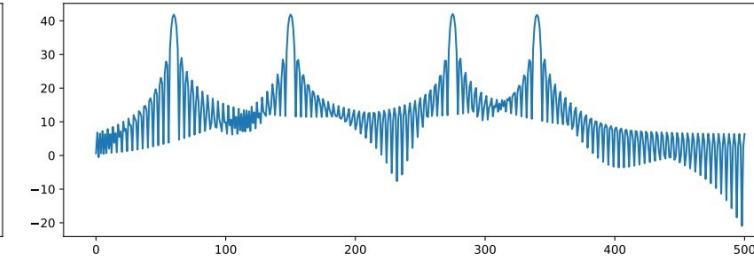
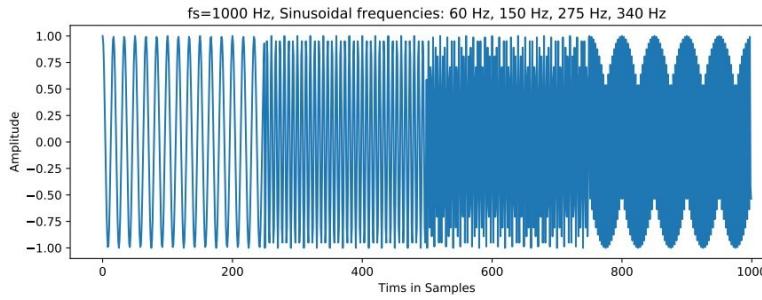
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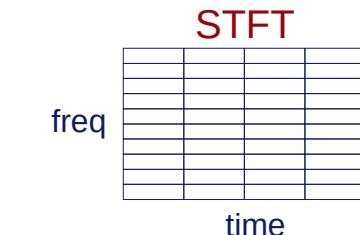
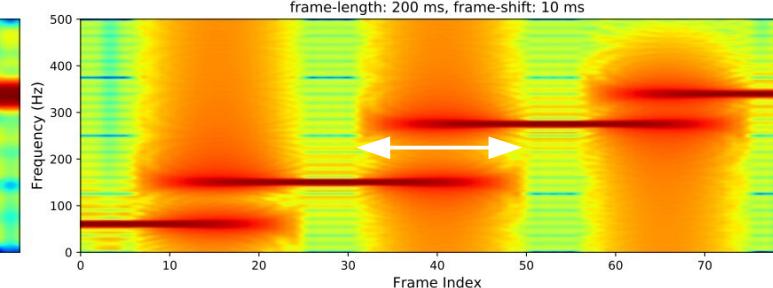
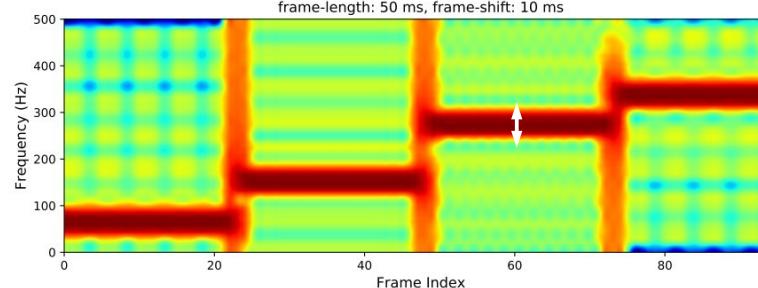
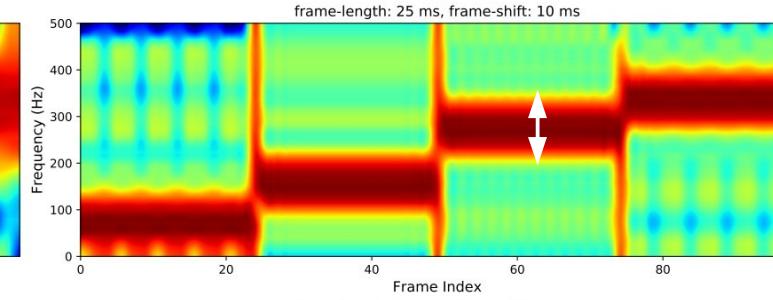
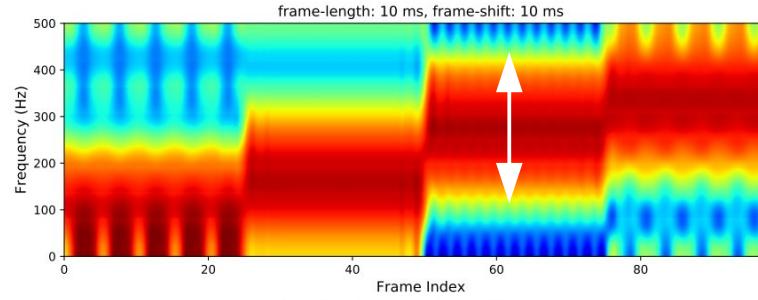
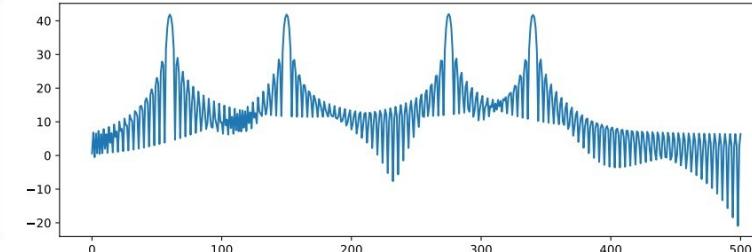
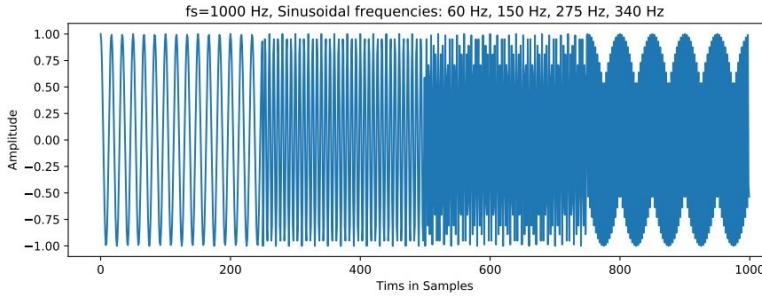
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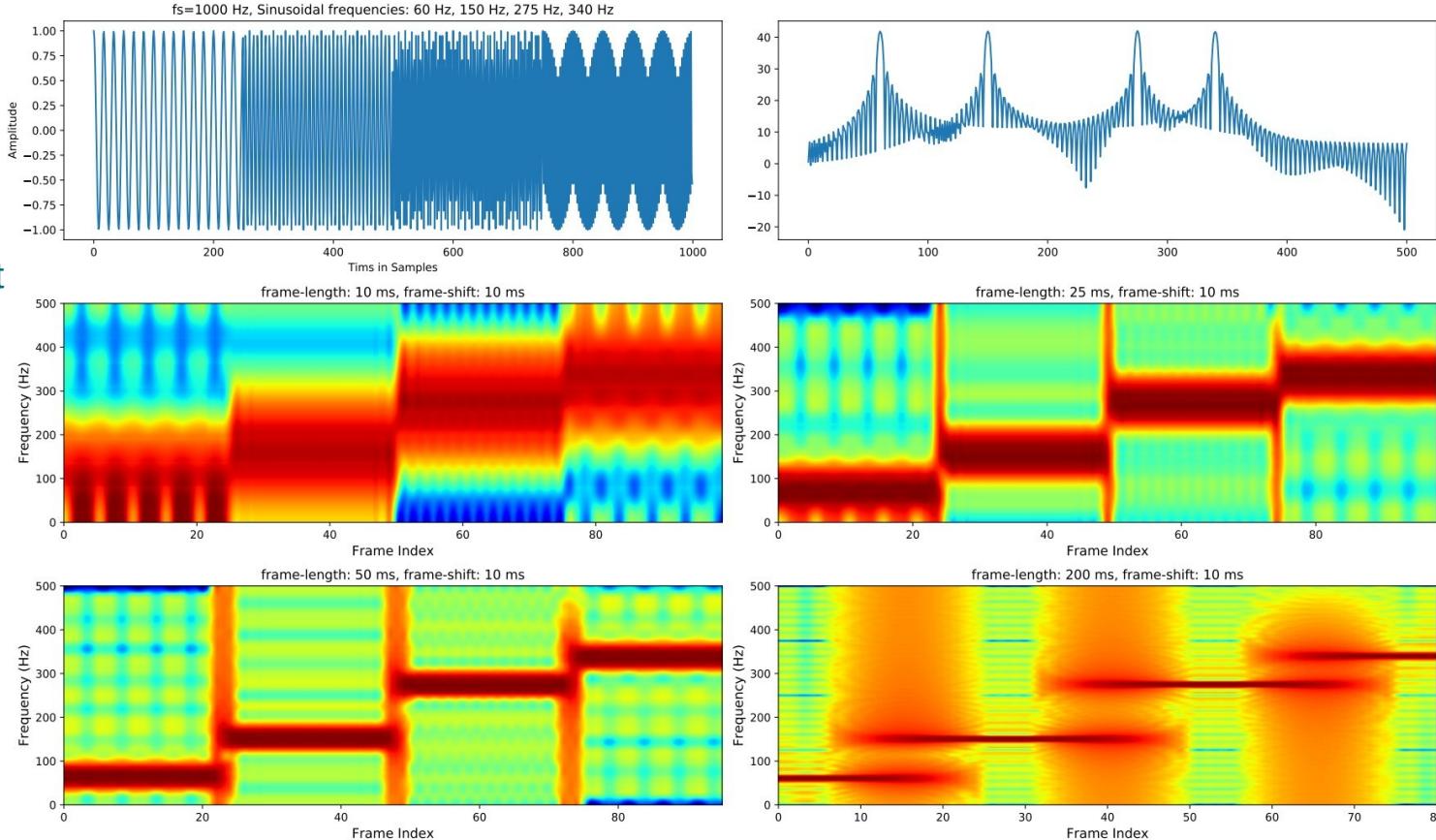
# Time-Frequency Analysis



# Time-Frequency Analysis

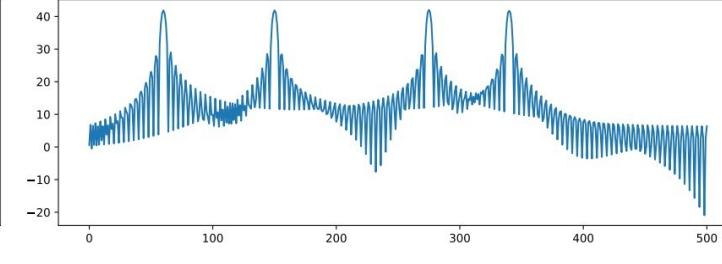
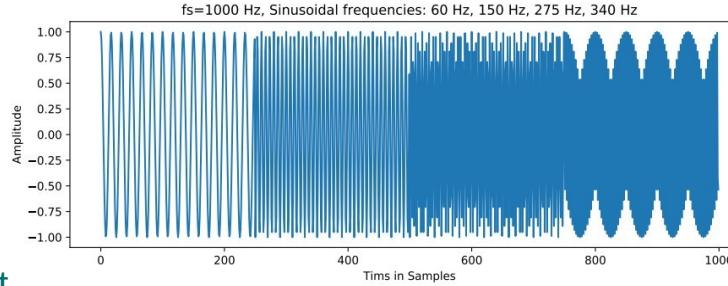


# Time-Frequency Resolution Trade-off

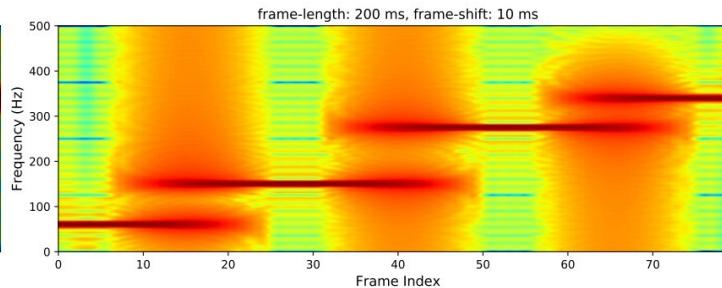
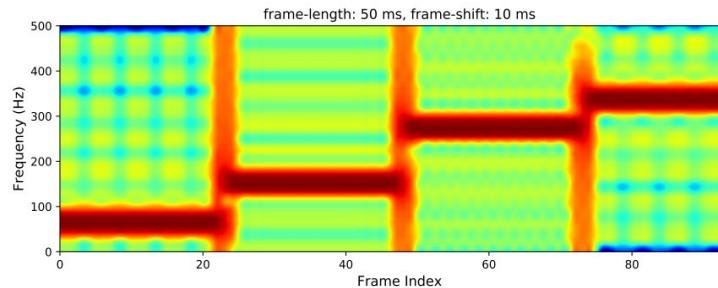
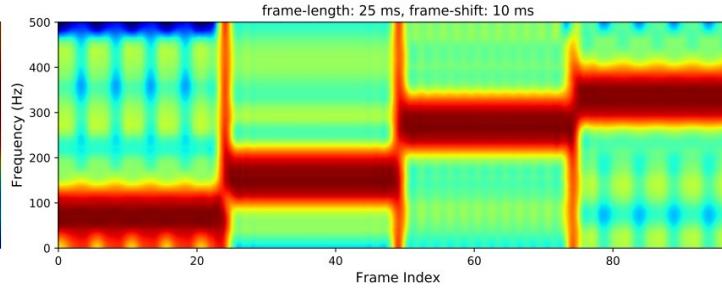
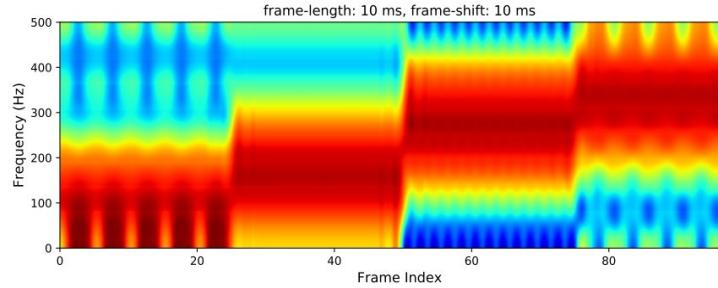
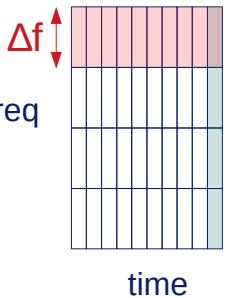


E. Loweimi

# Time-Frequency Resolution Trade-off

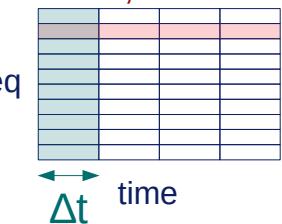


STFT, small  $\Delta t$



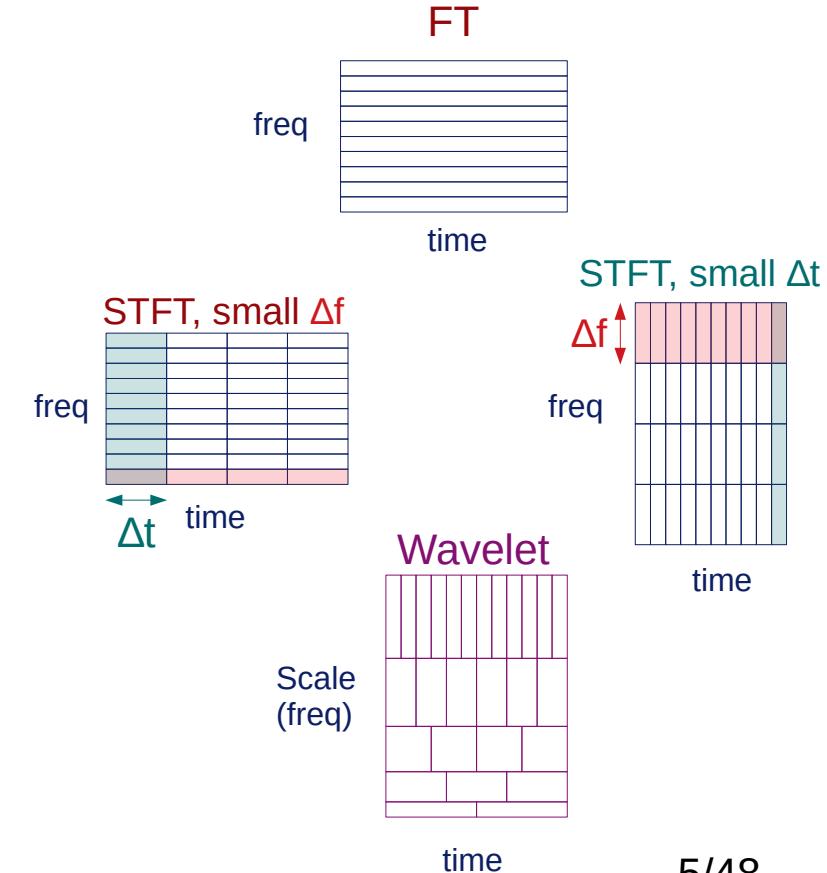
Change inside cell is not detectable.

STFT, small  $\Delta f$



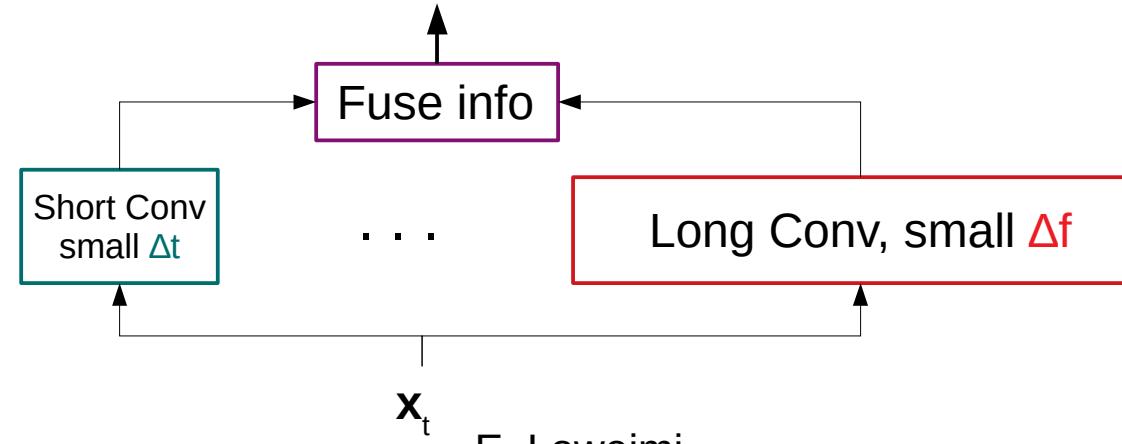
# Time-Frequency Resolution Trade-off

- Gabor uncertainty principle:  $\Delta t \Delta f \geq 1/4\pi$ 
  - $\Delta t/\Delta f$ : uncertainty in temporal/spectral localisation
  - Trade-off  $\rightarrow \downarrow \Delta f$  necessarily means  $\uparrow \Delta t$  & vv
  - Lower uncertainty  $\equiv$  higher resolution
  - X-resolution: localisation accuracy@x-domain
- Longer filter/window in time domain
  - Larger  $\Delta t$  and necessarily smaller  $\Delta f$
- STFT  $\rightarrow$  uniform resolution allocation
- Wavelet  $\rightarrow$  non-uniform res. allocation
  - Smaller  $\Delta t$  for higher frequencies & vv



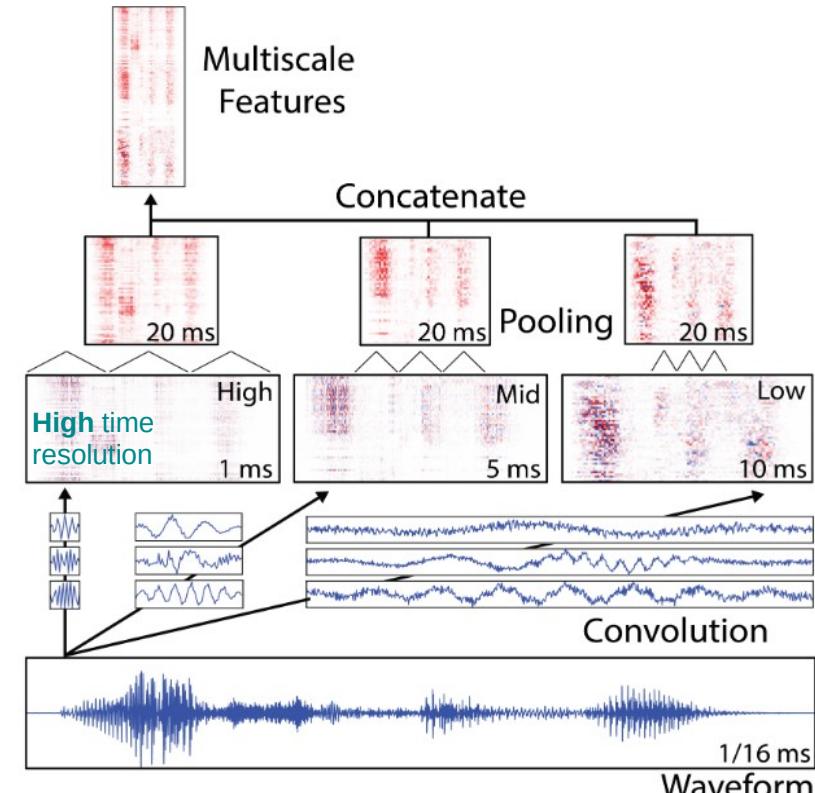
# Can we improve BOTH $\Delta f$ & $\Delta t$ ?

- IMPOSSIBLE in a single Conv Layer ... BUT ...
- ... What about parallel CNNs with different filter lengths?
  - Fuse info from representations with small  $\Delta t$  &  $\Delta f$
  - Cost: more memory and computation



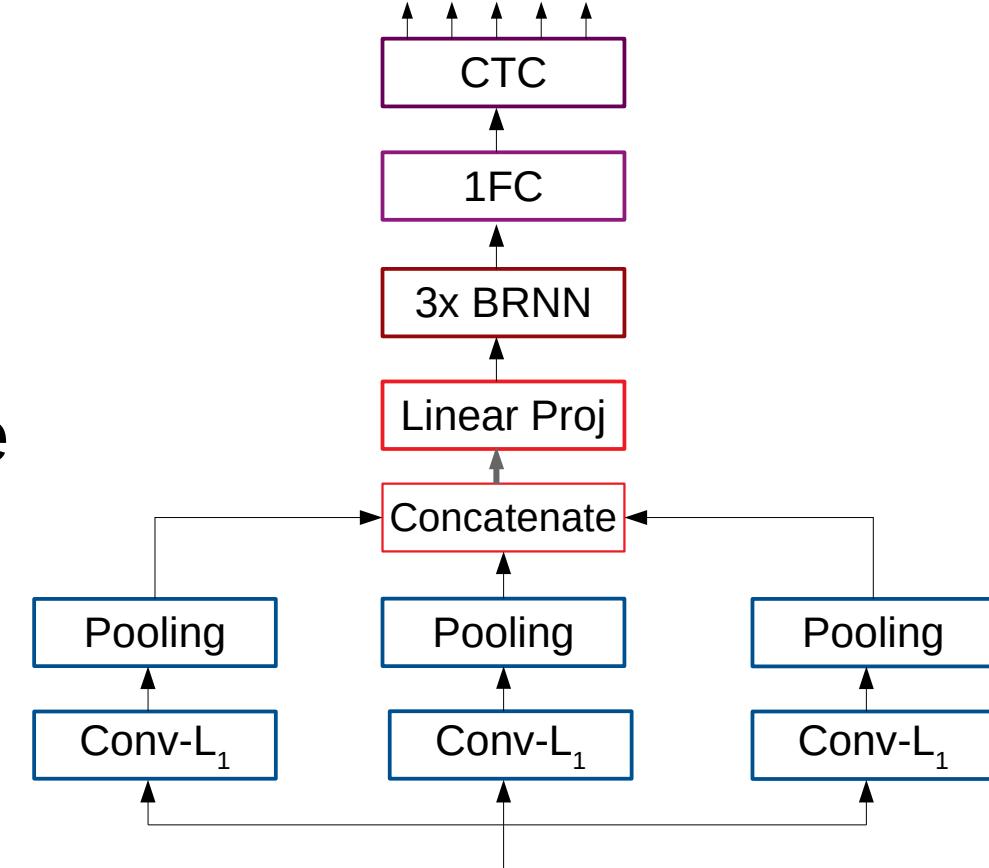
# Multi-scale Analysis

- **Idea:** Ensemble of transformations with different resolutions
  - Resolution  $\equiv$  Scale
- **Implementation:** Three parallel Conv layers with different filter len
  - 1ms  $\rightarrow$  small  $\Delta t$ ; 10ms  $\rightarrow$  small  $\Delta f$
- **Info Fusion:** Concatenate & linear combination of feature maps



# Architecture

- 3 Parallel Conv layers
  - Multi-resolutions
- MaxPooling
  - Consistent sampling rate
- Concat. + Lin projection
  - Info fusion + Dim-Red
- 3x BRNN → 1FC → CTC





# Experimental Setup

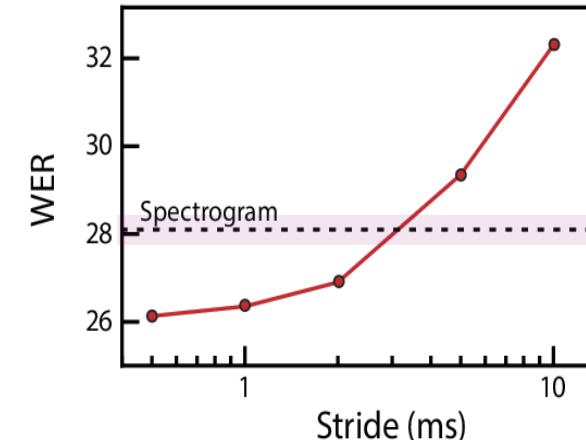
- Data: 2400h, 16 kHz → diverse genre
  - Read, conversational, accented and noisy
- Training
  - SGD, Nesterov momentum, batch-norm per layer
- CTC supplemented with Kneser-Ney 5-gram LM
- Baseline feature: |FFT| (20ms, 10ms)



# Single-scale CNN; Stride matters ...

- Smaller stride → Better WER
  - Denser sampling; more info
  - Stride is NOT related to resolution!
- Raw outperforms **baseline** when stride is less than 2ms (fair?)

Type	Spectrogram / Convolution			Pooling Stride	WER(%)
	# Features	Window	Stride		
FFT	161	20ms	10ms	2	<b>28.10</b>
wav	161	20ms	10ms	2	32.31
wav	161	20ms	5ms	4	29.35
wav	161	20ms	2ms	10	26.90
wav	161	20ms	1ms	20	26.35
wav	161	20ms	0.5ms	40	<b>26.13</b>

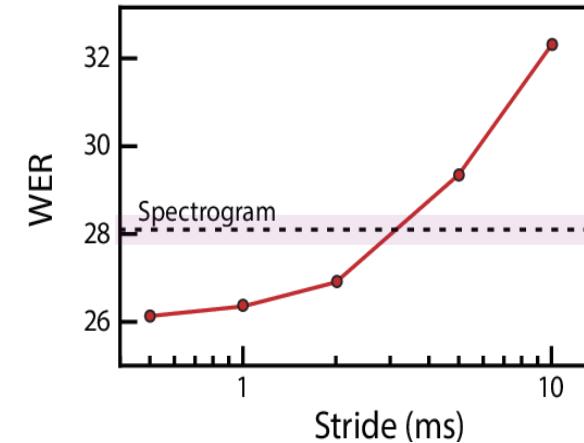


# Single-scale CNN; Stride matters ...

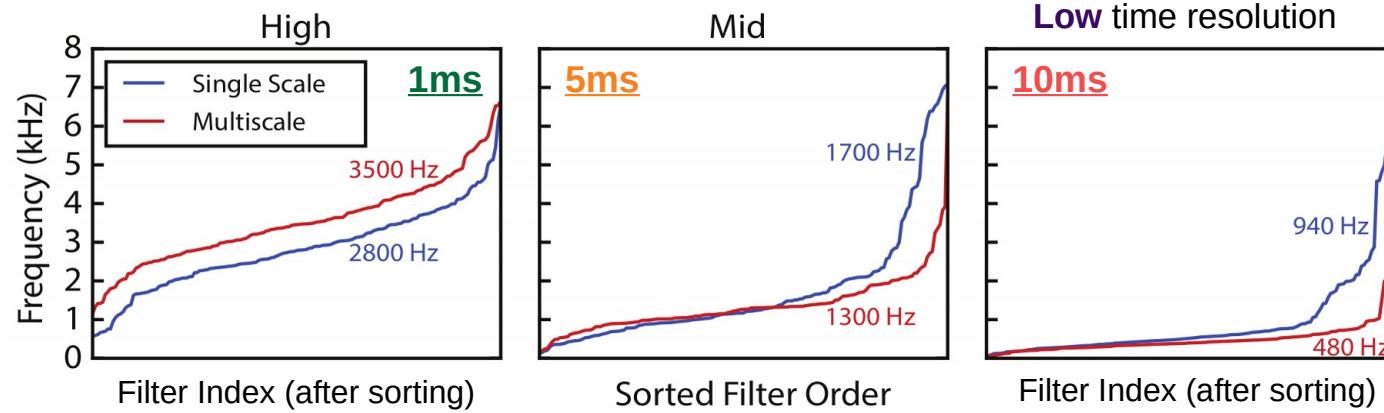
- Smaller stride → Better WER
  - Denser sampling; more info
  - Stride is NOT related to resolution!
- TotalStride (TS) is fixed (in 20ms) to keep sampling rate consistent
  - TS = conv-stride × pooling stride
  - TS ≡ downsampling factor

$$M = \left\lfloor \frac{T - L}{S \cdot P} \right\rfloor + 1$$

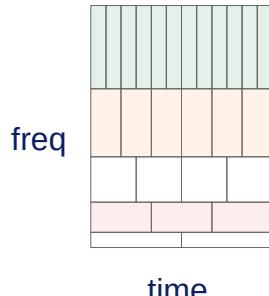
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wav	161	20ms	1ms	20	26.35
wav	161	20ms	0.5ms	40	<b>26.13</b>



# Multi-scale CNNs Spectral Centroid



- Printed values → Average  $f_c$ s for ConvL
  - \*  $f_c$  = filter spectral centroid
- Multi-scale learning allows each scale to focus on frequencies it mostly efficiently represents
  - \* Short filters move toward high frequencies [2800 → 3500 Hz]
  - \* Long-filters move toward low frequencies [940 → 480 Hz]



# Experimental Results

- Filter Len (scales): 1, 4, 40ms
  - **40ms** is optimal
  - longer filters r more **flexible!**
- **Multi-scale** outperforms single even with identical #filters (161)
- **More filters** improves the WER
- **Widening BN** layer slightly helps

# Features			WER(%)
High (1ms)	Mid (4ms)	Low (40ms)	
161	0	0	32.84
0	161	0	27.69
0	0	161	26.54
61	50	50	<b>25.67</b>

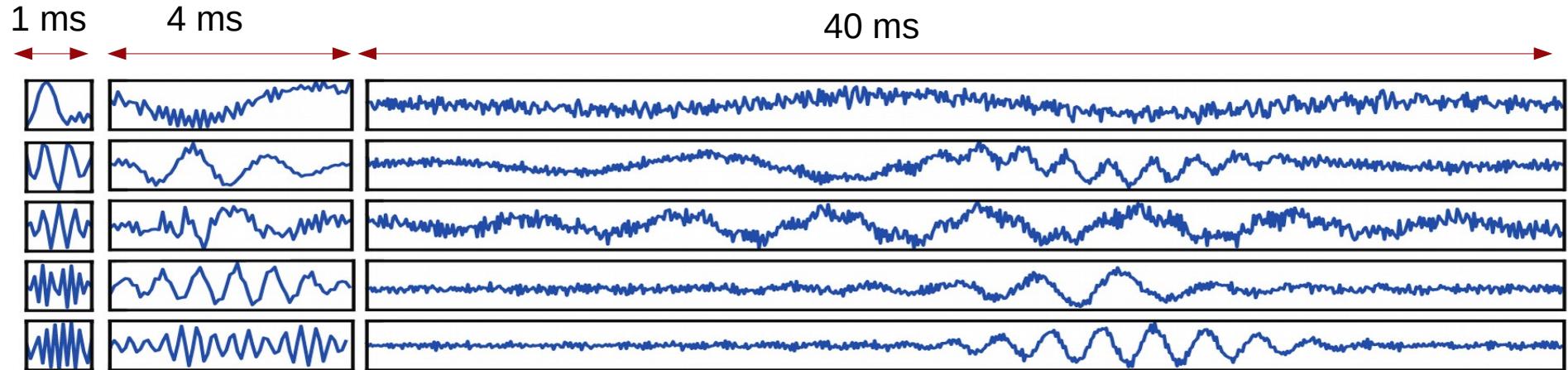
Convolution stride =  $\frac{1}{4}$  filter length scales

Bottleneck size: 161

Bottleneck size for \*: 800

# Features			WER(%)
High (1ms)	Mid (4ms)	Low (40ms)	
61	50	50	25.67
161	161	161	23.78
160	320	640	23.52
160	320	640	<b>23.28*</b>

# Typical Learned Filters – Impulse Responses



- Short filters focus on high freq; long filters on low frequencies
- Some filters localized in frequency (similar to sinusoid)
- Phase shifted filter pairs are also found → phase info importance



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# Multi-Span Acoustic Modelling using Raw Waveform Signals

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<sup>2</sup> Institute of Communication Systems (IKS), RWTH Aachen University, Germany

{pwv20, cz277, pcw}@eng.cam.ac.uk

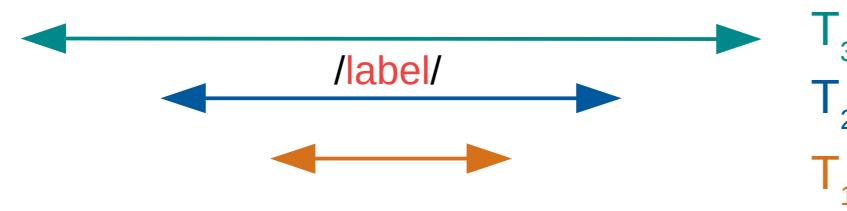


E. Loweimi



# Multi-span Acoustic Modelling; Idea

- Combine multiple input streams with different lengths
  - Multi-span  $\equiv$  multi-stream
- All streams share the centre and label
- $i^{\text{th}}$  span len ( $T_i$ ) is a function of CNN parameters



# 1D-Conv Review

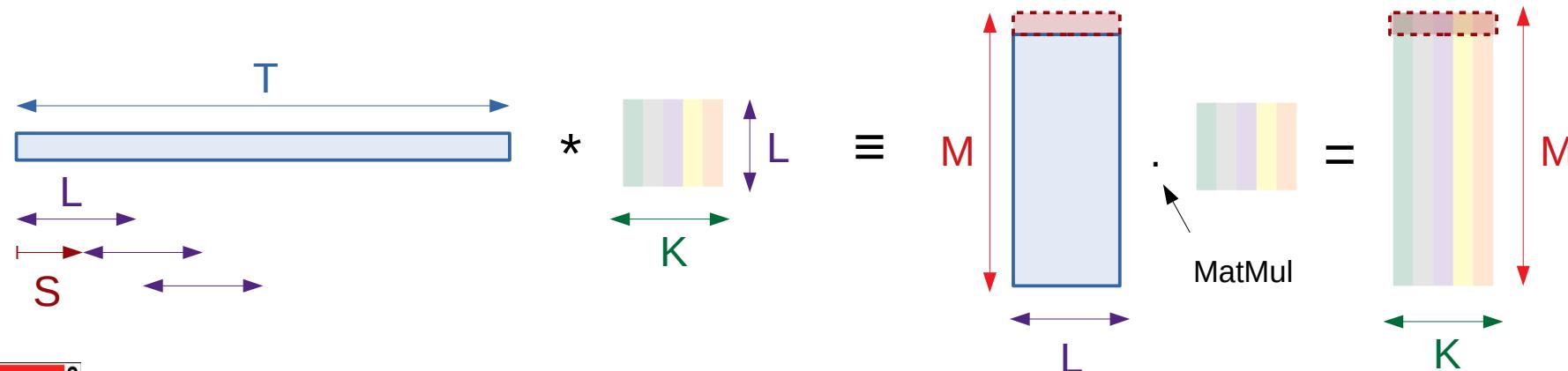
Conv with  
Stride S

$\tilde{\mathbf{y}}_k = [\mathbf{w}_k] *^S [\mathbf{x}_1^T]$  Input x;  
length T

$\mathbf{k}^{\text{th}} \text{ channel}$

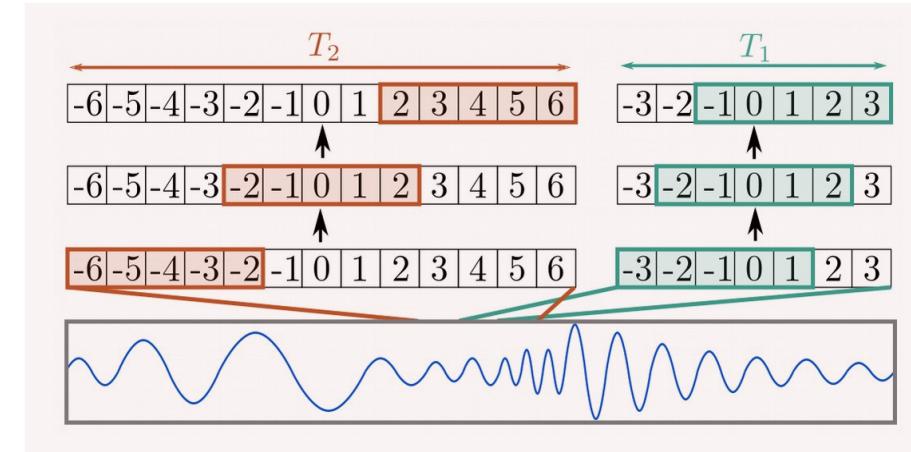
$$M = \left\lfloor \frac{T - L}{S} \right\rfloor + 1$$

- T: input length in samples
- L: filter length in samples
- K: number of filters (5 here)
- S: stride in samples
- M: Conv output length in samples (per channel)



# Multi-span CNN

- $T$ : span/stream length
  - $T = (M-1)S + L$
- For  $i^{\text{th}}$  stream ...
  - Fix  $M_i$  in  $M$
  - Set  $L_i$  &  $S_i$ ; Now find  $T_i$
- Goal: learn more diverse feature representation
  - Contextual info



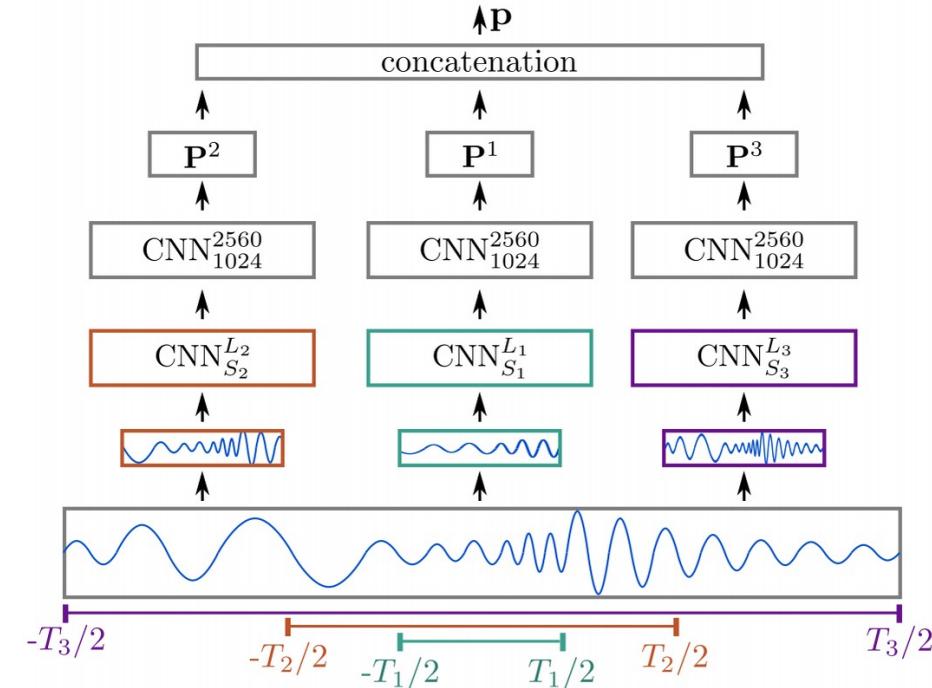
$$L_2:5, S_2:4, T_2:13 \quad M=3 \quad L_1:5, S_1:1, T_1:7$$

$$M = \left\lfloor \frac{T - L}{S} \right\rfloor + 1$$

$$T = (M - 1)S + L$$

# Multi-Span CNN Architecture

- Each stream processed by ...
  - A stack of two CNNs
  - Linear projection ( $P^i$ )
    - Dim reduction  $\mathbb{R}^{M \times K} \rightarrow \mathbb{R}^{150}$
- Concatenated  $[P^1, P^2, P^3]$
- MLP with 4 hidden layers
  - 512 ReLU units per layer



# Multi-Span CNN Architecture

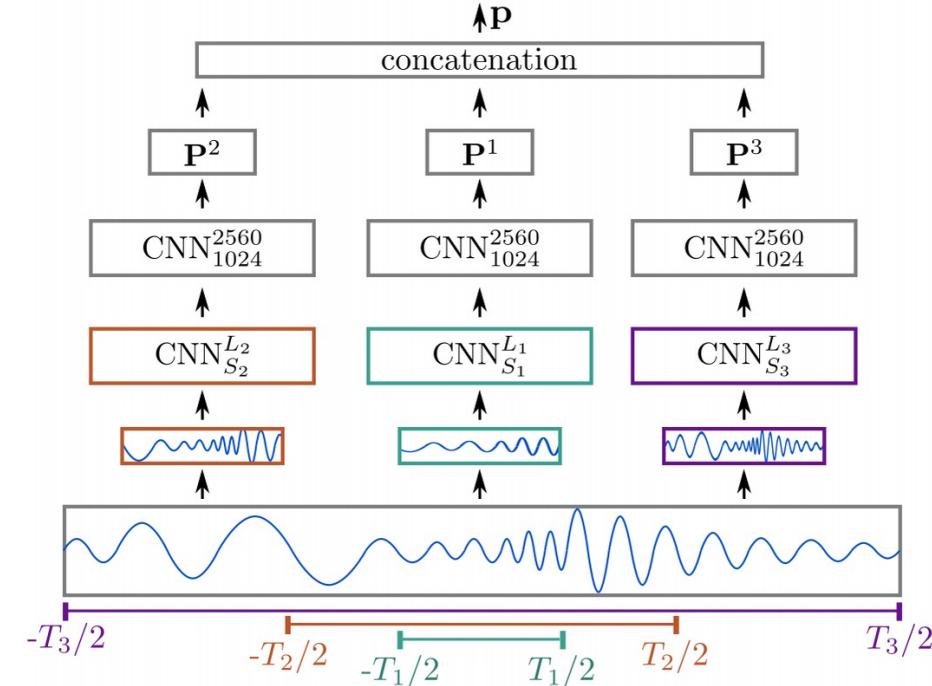
$$\mathbf{y}_k^i = \mathbf{w}_k *^S \mathbf{x}_{-T_i/2}^{T_i/2}$$

$$\mathbf{y}^i = CNN_{S_i}^{L_i}(\mathbf{x}_{-T_i/2}^{T_i/2}, M_i)$$

$$\mathbf{o}^i = CNN_{S_{i_2}}^{L_{i_2}}(\mathbf{y}^i, M_{i_2})$$

$$\mathbf{p}^i = \mathbf{P}^i \mathbf{o}_{flatten}^i$$

$$\mathbf{p} = \text{concatenate}(\mathbf{p}^1, \mathbf{p}^2, \mathbf{p}^3)$$





# Multi-Span Processing Interpretation

- ... M is fixed, L, S and T (span) vary. This **COULD** mean ...



# Multi-Span Processing Interpretation

- ... M is fixed, L, S and T (span) vary. This **COULD** mean ...
  - Multi-resolution processing
    - Filters with different L **and** fixed S
  - Multi-rate sampling
    - Filters with different S **and** fixed L

# Multi-Span Processing Interpretation

- ... M is fixed, L, S and T (span) vary. This **COULD** mean ...
  - **Multi-resolution processing**
    - Filters with different L and fixed S
  - **Multi-rate sampling**
    - Filters with different S and fixed L
- Which one is better? Multi-resolution or multi-rate?

# Experimental Setup

- Databases: CHiME4 and AMI
- Toolkit: HTK 3.5.1 and PyHTK
- Training: CE, SGD, Momentum, Weight decay, NewBob<sup>+</sup> learning rate scheduler, 10% CrossVal
- First ConvLayer
  - $M_i=200$ ,  $K = \# \text{kernels} = 64$ ,  $L$  &  $S$  adjusted
- Second ConvLayer setting, for all streams,
  - $M_{i2}=11$ ,  $S_{i2}=1024$ ,  $L_{i2}=2560$ ,  $K_2=64$  ???
- DNN on top of concatenated features → MLP-4HL-512-ReLU

# CHiME4 – Single Span

- $\text{WER}_{\text{Fbank}} < \text{WER}_{\text{Single-span Raw}}$
- Fixing  $S$  in 10 samples ( $\sim 0.6\text{ms}$ )
  - Optimal  $L$ : 50 samples [ $\sim 3\text{ms}$ ]
- Fixing  $L$  in 50 samples
  - Optimal  $S$ : 15 samples
  - Too short (4) or too long (20 samples) is not optimal

ID	samples		ms	WER
	$S$	$L$	$T$	dev
$F_{160}^{400}$	160	400	125	18.1
$I_{10}^{400}$	10	400	149	20.2
$I_{10}^{100}$	10	100	131	19.4
$I_{10}^{50}$	10	50	128	19.3
$I_{10}^{25}$	10	25	125	20.7
$I_4^{50}$	4	50	53	23.2
$I_9^{50}$	9	50	115	19.7
$I_{15}^{50}$	15	50	190	18.3
$I_{20}^{50}$	20	50	252	20.7

$F_{160}^{400}$  : FBank baseline

400: 25ms, 160: 10ms

# CHiME4 – Multi-Span

- **Multi-span** with optimal setting outperforms **Fbank** & **single**

ID	samples		ms	WER
	S	L		
$M_{15,15,15}^{50,100,400}$	15	50,100,400	190-212	18.4
$M_{4,9,15}^{50,100,400}$	4,9,15	50,100,400	53-212	17.9
$M_{4,9,15}^{50,50,50}$	4,9,15	50	53-190	17.1
$\mathbf{F}_{160}^{400}$	160	400	125	18.1
$I_{15}^{50}$	15	50	190	18.3

Baseline: FBANK  
Best Single-Span

# CHiME4 – Multi-Span

- Multi-span with optimal setting outperforms Fbank & single
- Multi-resolution processing
  - Variable L, fixed S
- Multi-rate sampling
  - Fixed L, variable S

ID	S	L	T	dev
$M_{15,15,15}^{50,100,400}$	15	50,100,400	190-212	18.4
$M_{4,9,15}^{50,100,400}$	4,9,15	50,100,400	53-212	17.9
$M_{4,9,15}^{50,50,50}$	4,9,15	50	53-190	17.1
$F_{160}^{400}$	160	400	125	18.1
$I_{15}^{50}$	15	50	190	18.3

Baseline: FBANK  
Best Single-Span

# CHiME4 – Multi-Span

- Multi-span under optimal setting outperforms Fbank & single-span
- Multi-resolution processing
  - HERE, Fbank and Single-span are better!!!
- Multi-rate sampling
  - Optimal performance

ID	<i>S</i>	<i>L</i>	<i>T</i>	dev
$M_{15,15,15}^{50,100,400}$	15	50,100,400	190-212	18.4
$M_{4,9,15}^{50,100,400}$	4,9,15	50,100,400	53-212	17.9
$M_{4,9,15}^{50,50,50}$	4,9,15	50	53-190	17.1
$F_{160}^{400}$	160	400	125	18.1
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Baseline: FBANK  
Best Single-Span

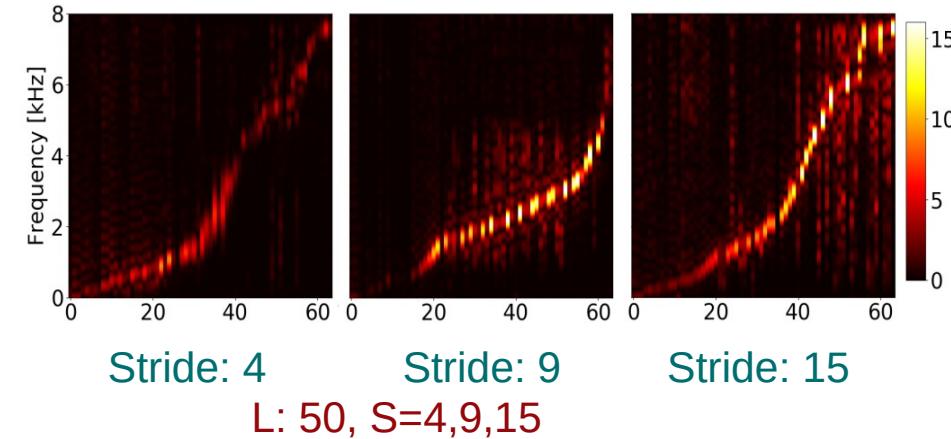
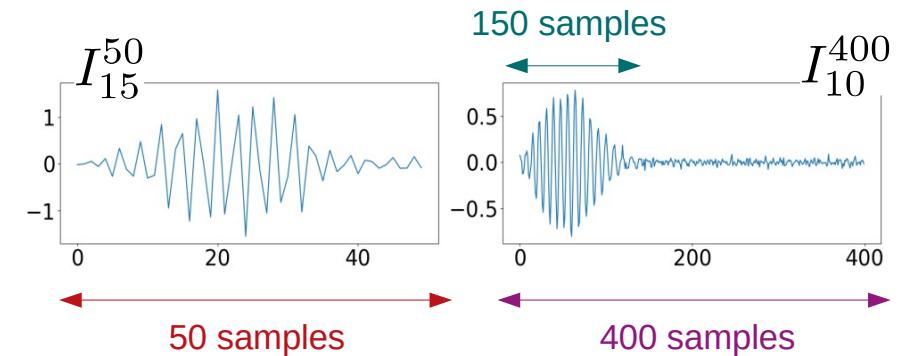
# AMI-IHM – Single and Multi-Span

- Optimal single & multi-span outperform Fbank
  - Single-span: 0.3% abs
  - Multi-span: 1.8%
  - Single was worse for CHiME4
- Optimal setup
  - Single: L=50, S=15
  - Multi: L=50, S=4,9,15

ID	System	dev	eval
$F_{160}^{400}$	FBANK-DNN	28.3	31.1
$I_{10}^{400}$	Single-Span-DNN	29.1	31.9
$I_{15}^{50}$	Single-Span-DNN	28.1	30.8
$M_{4,9,15}^{50,50,50}$	Multi-Span-DNN	27.2	29.3

# Learned Filters

- Model tends to learn short filters (HERE)
- Filters do not seem to follow an audiological distribution
  - For  $L=50$ ,  $S=4, 9, 15 \dots$ 
    - $S=4 \rightarrow$  emphasis on low freq
    - $S=15 \rightarrow$  emphasis on high freq
    - Why?





# Acoustic modelling from the signal domain using CNNs

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<sup>2</sup>Human Language Technology Center Of Excellence,  
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# Idea and Contribution

- Using a modified NIN architecture
- Feature/Data pre-processing
  - MVN, speed and shift perturbation
- Speaker adaptation (iVector bias)
- Filter interpretation



# Idea and Contribution

- Using a modified NIN architecture
- Feature/Data pre-processing
  - MVN, speed and shift perturbation
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- Filter interpretation





# Network in Network (NIN)

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## Network In Network

---

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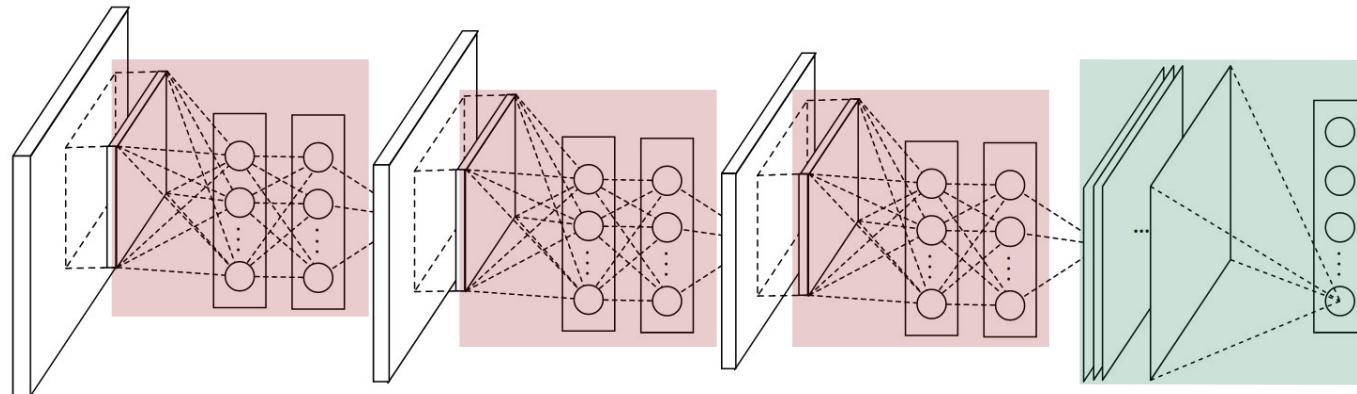


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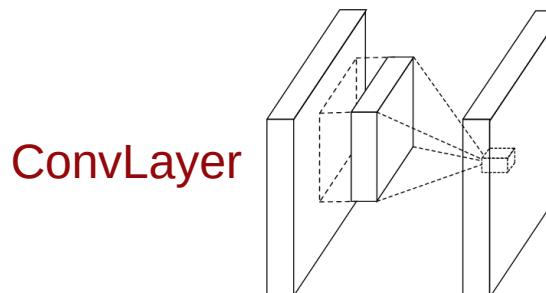
# Network in Network (NIN)

- NIN has two main components:
  - Micro NN, e.g. MLP
    - Each adjacent layer pair has their own Micro NN
  - Global Average Pooling

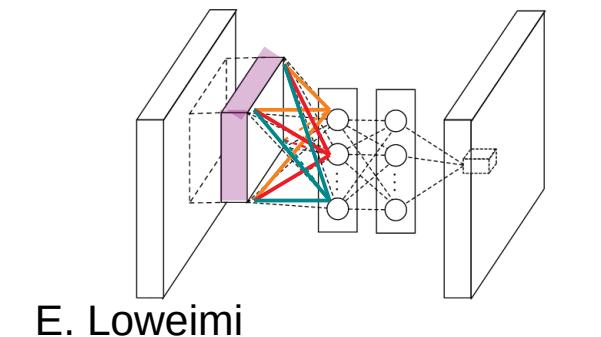


# NIN – MLPconv Layer

- A non-linear filtering, allows complex and learnable interaction between channels
  - Cross channel parametric pooling structure
  - Comparable to linear channel combination via 1x1 Conv
- Channels' response to each input patch is computed, then non-linearly combined through MLP



ConvLayer



E. Loweimi

MLPconv

# NIN – Global Average Pooling

- IDEA: Replace the FC NN with a Conv Layer
  - Channel  $\equiv$  Class, #Channels = #Classes
- HOW:
  - Compute and Average the feature map for each channel
  - Pass the averages to softmax
- ADVANTAGES:
  - Fewer parameters than FC + Some translation invar

# Idea and Contribution

- Using a modified NIN architecture
- Feature/Data pre-processing
  - MVN, speed and shift perturbation
- Speaker adaptation (iVector bias)
- Filter interpretation



# Features Pre-processing: MVN

- Raw waveform is MVNed at utterance level
  - DC removal and loudness equalisation
  - Stabilise the training
    - Put numbers in similar range
    - Slightly faster convergence
    - Identical final performance on WSJ

# Data Perturbation: Speed & Shift

- **Speed** → Articulation speed invariant → MFCC & Raw
  - Speed factor: 0.9, 1.0, 1.1
- **Shift** → translation invariant
  - $|FFT|$ -based features are shift invariant, BUT Raw is NOT
  - Randomly shift raw frames to right ( $\leq 0.2$  frame-len)
  - Improves CE on Train and Dev

Perturbation method	Training CE	Validation CE
No random shift	-0.96	-1.22
With random shift	-0.88	-1.13

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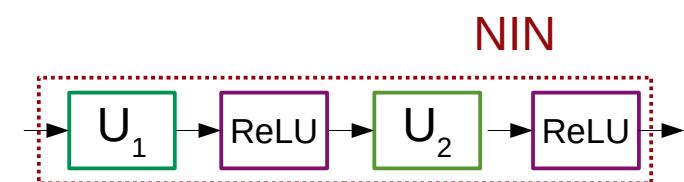
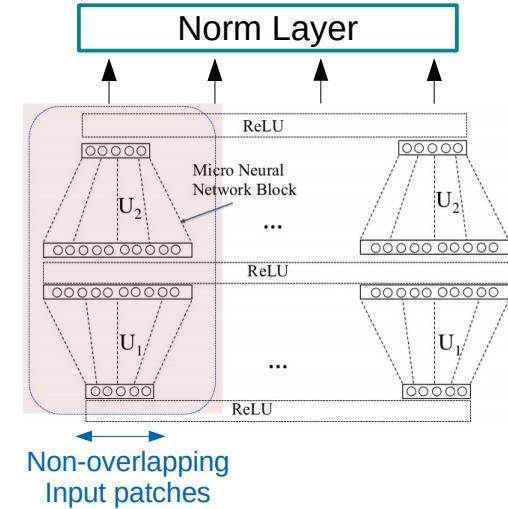
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  - Randomly shift raw frames to right ( $\leq 0.2$  frame-len)
  - Improves CE on Train and Dev
  - Can CE become negative?
  - Should be Log-likelihood ...

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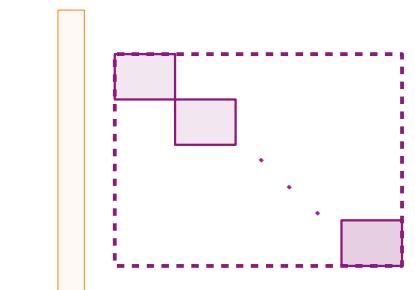
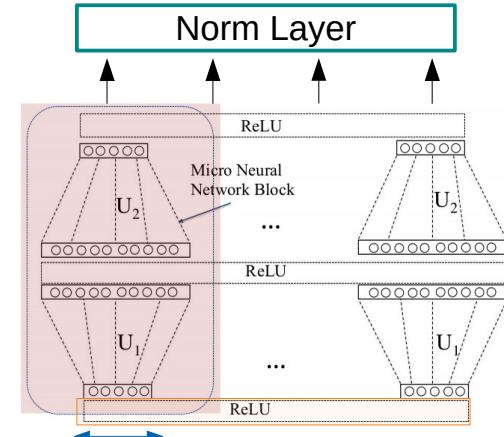
# NIN Architecture

- Layers are interleaved with *Micro NN*
- NIN is interpretable as a *pooling block* or a *many-to-many non-linearity*
- HERE:  $\mu\text{NN}$ :  $U_1 \rightarrow \text{ReLU} \rightarrow U_2 \rightarrow \text{ReLU}$ 
  - $U_1 \rightarrow m \times k$  linear mapping
  - $U_2 \rightarrow k \times n$  linear mapping
  - $m$ : in-dim;  $n$ : out-dim
  - $k$ : NIN hidden dim ( $k \approx 5m$ )



# NIN Architecture

- Interpretable as a **FC layer** with block diagonal weight matrix
- **Sharing  $U_i$ s** across a NIN  $\equiv$  1D-Conv
- $U_i$ s operate on **non-overlapping** patches
  - $m = \text{Filter length} = \text{Stride}$
  - A FC layer with shared block diagonal  $W$



# Normalisation Layers

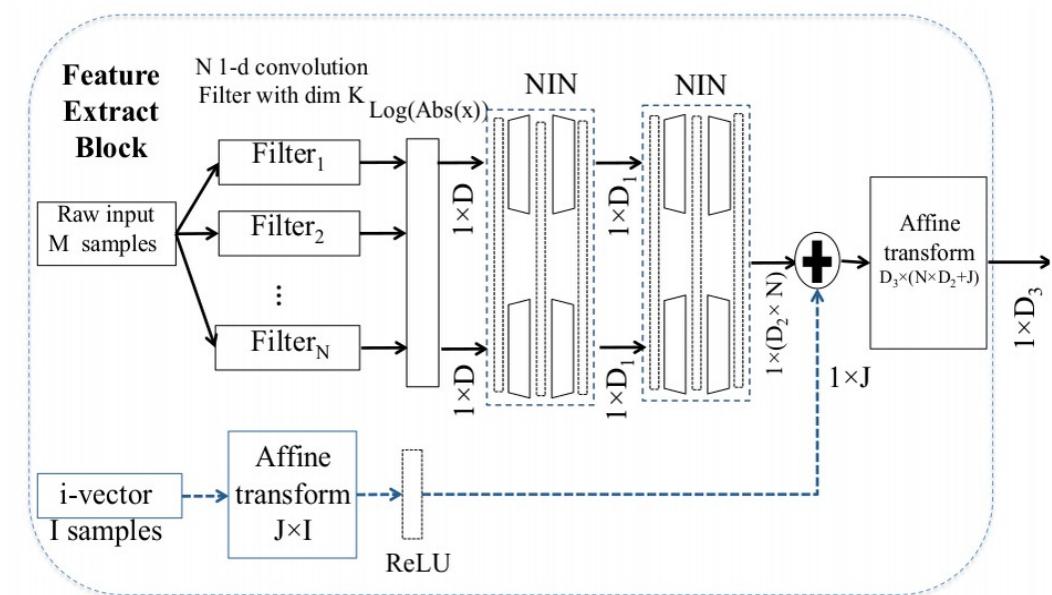
- **Normalisation** layer is put after each NIN
- **Goal:** Scale down the whole set of activations and stabilises training
- **Application:** For unbounded-output non-linearities
- **How:**
  - $y_i = x_i / \sigma$  if  $\sigma > 1$  else  $x_i$  #  $\sigma$  is *uncentered* STD of layer X ( $x_i$ :  $i^{th}$  unit)

# Statistics Extraction Layers

- **Statistics Extraction** layer
  - Computes 1<sup>st</sup> and 2<sup>nd</sup> (STD) order statistics from hidden layer activation
    - Stats computed over a moving win of  $\leq$  200 frames (2 sec)
    - Stats are appended to the input of the next hidden layer (bias)
  - Advantages:
    - Capture long-term effect (speaker, channel, environment)
    - Hopefully helpful in alleviating sensitivity to them

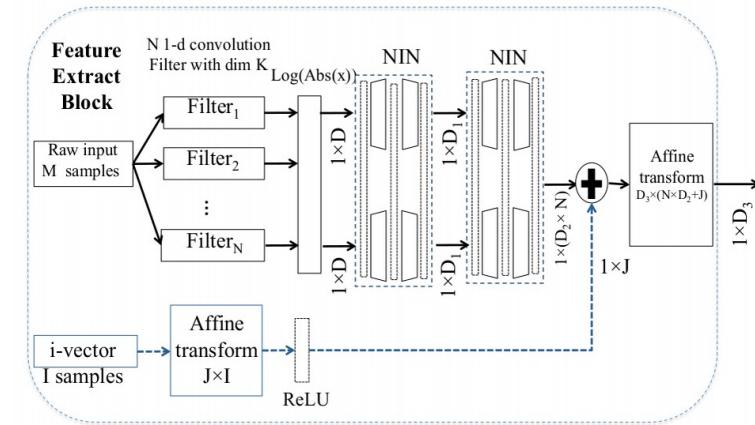
# Feature Extraction Block

- 1D-Conv with #Ch = N
- Log(Abs)
- 2 NINs
- Append with iVector
- Affine Transform
  - Output dim:  $D_3$



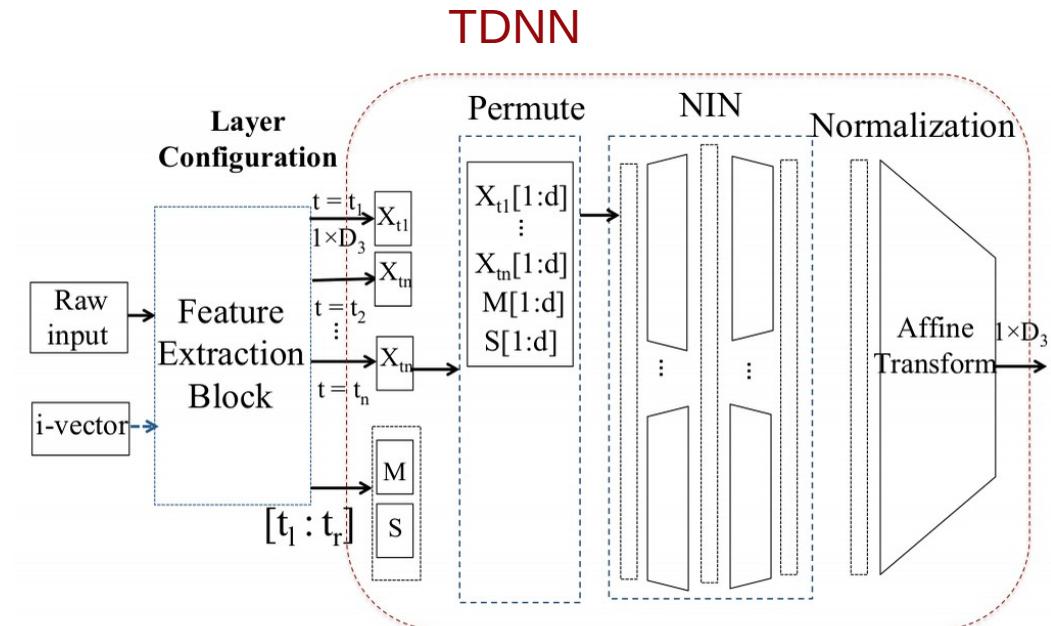
# Feature Extraction Block

- 1D-Conv, N filters, Kernel\_len K, Stride S
  - NIN shared across all N filters/bands
- Log (|ConvOut|)  $\equiv$  log-Fbank
- 2 NINs + norm layers
- Speaker adaptation using iVector
  - iVector  $\rightarrow$  Affine trans.  $\rightarrow$  ReLU  $\rightarrow$  Append
- Affine projection after augmentation by iVector



# Classification Block

- Appended  $X_{t_1}, \dots, X_{t_n}$  with moving stats ( $M$  &  $S$ ) extracted by StatsExt layer
- Splice the features via TDNN
- One NIN layer
- Affine transformation
  - Dim reduction to  $D_3$
- MLP  $\rightarrow$  6 HiddLayers (ReLU)

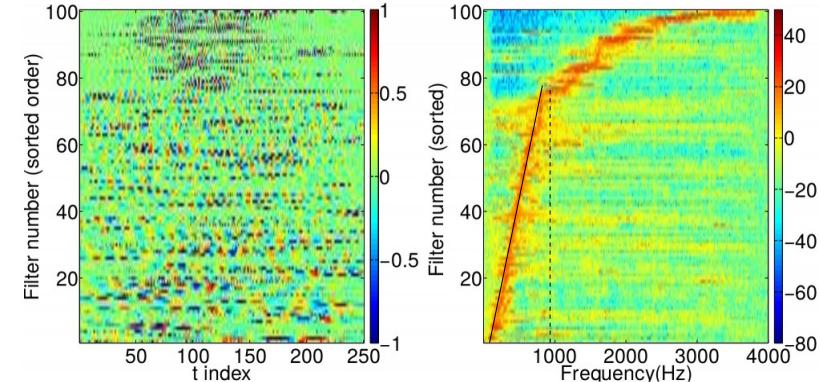
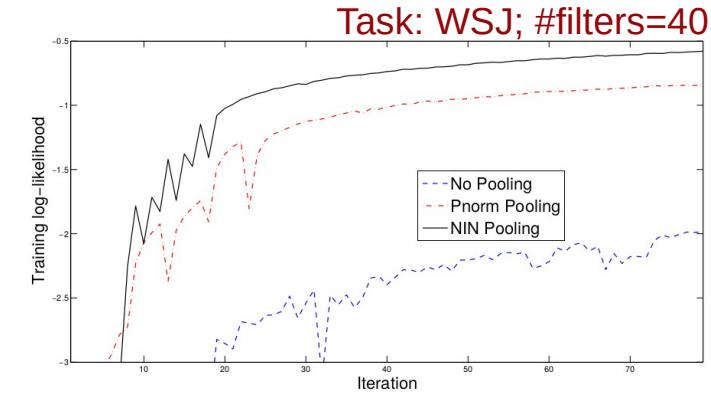


# Experimental Setup

- MFCC: 40-dim, iVector: 100-dim
- Raw waveform length:  $M = 50\text{ms}$ , MVN on utterance level
- WSJ:
  - #filters=40, filter\_len=30ms, stride=0.625ms (10 samples)
  - m=16, k=300, n=32, 6HL-750-ReLU
- SWB:
  - #filters=100, filter\_len=31.25, stride=1.25ms (10 samples)
  - FeatureExtraction block: m=16, k=120, n=18,  $D_3=500$ , 100 micro NIN
  - Classification block: m=5, k=75, n=18, 100 micro NIN, 6HL-600-ReLU
  - lattice-free MMI
  - StatsExtractor MVN → 99 frames on either sides

# First Layer's Learned Filters

- NIN effect vs p-norm pooling
  - Faster convergence
  - Higher log-likelihood
  - Max-pooling ???
- Learned filters @  $L_1$ 
  - Bandpass filters
  - Linear  $< 1 \text{ kHz}$
  - Non-linear  $> 1 \text{ kHz}$



# Experimental Results – WSJ

- $WER_{MFCC} - WER_{Raw} \approx 1\% \text{ abs}$
- **Raw** → used p-norm instead NIN
- **Raw+NIN vs Raw**
  - Worse WER, better log-like
- iVector speaker adaptation
  - improves MFCC (+9.4% RWERR)
  - degrades Raw (-5.9% RWERR\*)

Table 2: *WER (%) Results on WSJ LVCSR task.*

Model	Nov'92 eval	Nov'93 dev
MFCC	5.28	8.29
Raw	3.95	7.34
Raw + NIN	3.92	7.6
MFCC + iVector	4.52	7.51
Raw + iVector	4.06	7.80

\* RWERR: Relative WER Reduction

# Experimental Results – SWB

- Raw slightly (0.1% abs) outperforms MFCC
- Using **StatsExt** layer is useful
  - More useful for Raw
- **iVector** useful for both
  - It should be “**+Stats+iVector**”
  - Slightly useful for Raw
  - More useful for MFCC

Table 4: *WER (%) Results on Switchboard LVCSR task.*

Model	Hub5'00		RT'03	
	Total	SWBD	Total	SWBD
MFCC	17.5	11.6	22.1	26.6
Raw	17.4	11.5	21.7	26.5
MFCC + Stats	16.4	11.0	20.0	24.3
Raw + Stats	16.3	10.6	19.1	23.3
MFCC + iVector	15.7	10.4	19.2	23.5
Raw + iVector	16.1	10.5	18.9	23.1

- MFCC  $\leftrightarrow$  ReLU
- Raw  $\leftrightarrow$  NIN

\* “... but only a little improvement in the raw waveform setup ...”



# Google

## CONVOLUTIONAL, LONG SHORT-TERM MEMORY, FULLY CONNECTED DEEP NEURAL NETWORKS

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## Learning the Speech Front-end With Raw Waveform CLDNNs

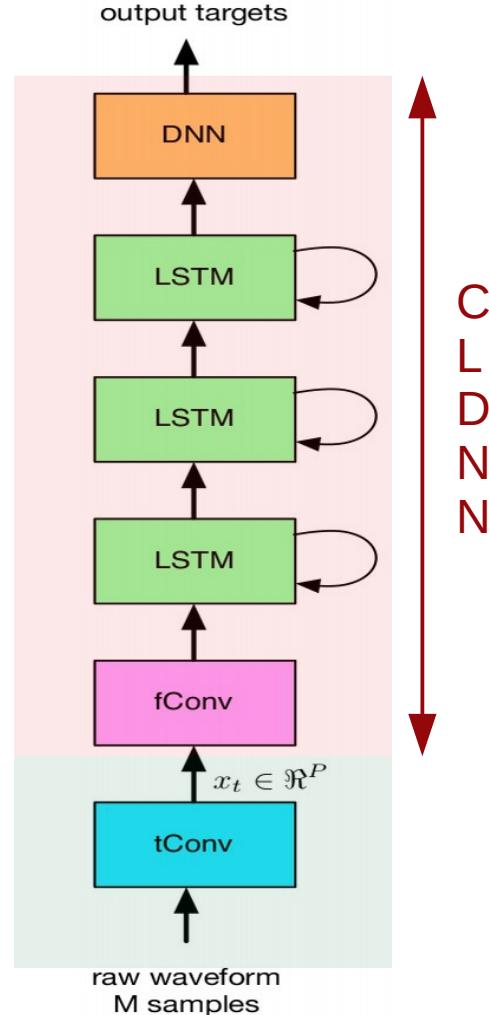
Tara N. Sainath, Ron J. Weiss, Andrew Senior, Kevin W. Wilson, Oriol Vinyals

Google, Inc. New York, NY, U.S.A  
`{tsainath, ronw, andrewsenior, kwwilson, vinyals}@google.com`

ICASSP  
2015

INTERSPEECH  
2015

E. Loweimi

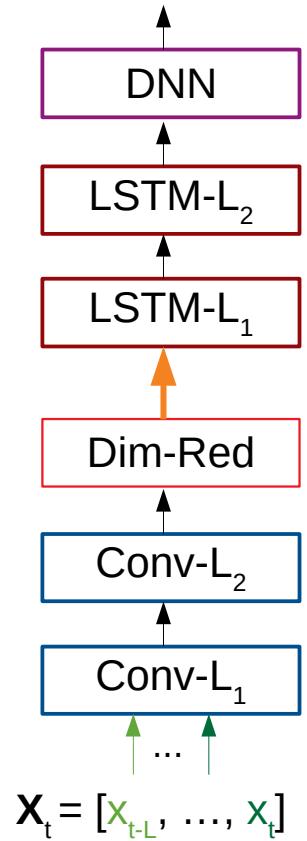


# CNN, LSTM and DNN (MLP) ...

- ... are limited in their modelling capabilities ...
  - **CNN** → Efficient feature extraction; Invariant to ...
  - **LSTM** → Temporal/Sequential processing
  - **DNN (MLP)** → Abstract representation extraction
    - Linearly separable → class discrimination
- What is an optimal combination?
  - GMM/HMM: **MFCC** → **HMM** → **GMM**

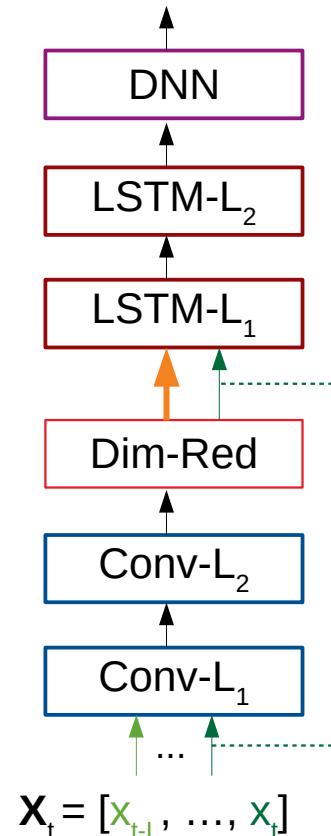
# CLDNN: CNN + LSTM + DNN

- **fConv:** 2 Layers 2D-Conv
  - Max-pooling: non-overlapping, only  $L_1$ , only in frequency
- Linear **dim reduction** (from flatten to 256)
- **LSTM:** 2 layers, 832 cells, projected to 512
- **DNN** → 2 layers, 1024 ReLU units



# CLDNN: CNN + LSTM + DNN

- fConv: 2 Layers 2D-Conv
  - Max-pooling: non-overlapping, only  $L_1$ , only in frequency
- Linear dim reduction (from flatten to 256)
- LSTM: 2 layers, 832 cells, projected to 512
- DNN → 2 layers, 1024 ReLU units
- **Multi-scale addition** → concatenate long-term representation  $f(x_{t-L}, \dots, x_t)$  with  $x_t$



# Experimental Setup

- Data: Voice Search task,
  - 200h and 2000h, clean and noisy
- Optimisation:
  - Asynchronous SGD (ASGD) + exp learning rate decay
- Architecture: variable for different experiments
  - #filters=256, max-pooling@ $L_1=3$
- Initialisation:
  - CNN & DNN: Glorot-Bengio (Gaussian)
  - LSTM → zero-mean, var: 1/#inputs

# Experimental Results – Baselines

- **DNN**: FC-6L-1024-ReLU; Context: [-20,+5]
- **CNN**: 2LConv + FC-4L-1024-ReLU; Context: [-20,+5]
- **LSTM**: 2L, unroll:20, context: [-l=0,0]
- LSTM & CNN works equally well

Feature: FBank	
Method	WER
DNN	18.4
CNN	18.0
LSTM	18.0

# Experimental Results – Baselines

- DNN: FC-6L-1024-ReLU; Context: [-20,+5]
- CNN: 2LConv + FC-4L-1024-ReLU; Context: [-20,+5]
- **LSTM**: 2L, unroll:20, context: [-l,0]
  - Adding left context [-l,0] is not required!
  - Unroll=30 is not optimal
  - Adding third Layer was not useful

Feature: FBank

Method	WER
DNN	18.4
CNN	18.0
LSTM	18.0

Method	WER
LSTM, $l=0$ , unroll=20	18.0
LSTM, $l=10$ , unroll=20	18.0
LSTM, $l=0$ , unroll=30	18.2

# DNN-LSTM vs CNN-LSTM

- **CNN+LSTM**
  - Better than LSTM
- **DNN+LSTM**
  - Worse than LSTM
- CNN is a better feature extraction

Method	WER
DNN	18.4
CNN	18.0
LSTM	18.0

Input Context	# Steps Unroll	WER CNN	WER DNN
l=0,r=0	20	17.8	18.2
l=10,r=0	20	<b>17.6</b>	18.2
l=20,r=0	20	17.9	18.5

**CNN** → **LSTM**  
**DNN** → **LSTM**

# DNN-LSTM vs CNN-LSTM

- **CNN+LSTM vs DNN+LSTM**
  - CNN is a better
- **Optimal context:** [-10,0]
  - CNN & DNN need context!
    - NOT LSTM!

Method	WER
DNN	18.4
CNN	18.0
LSTM	18.0

Input Context	# Steps Unroll	WER CNN	WER DNN
l=0,r=0	20	17.8	18.2
l=10,r=0	20	<b>17.6</b>	18.2
l=20,r=0	20	17.9	18.5

**CNN → LSTM**  
**DNN → LSTM**

# LSTM + DNN

- LSTM+DNN outperform LSTM
  - Contrary to DNN+LSTM ...
  - Gain saturated after 2 FC layers
- Both CNN+LSTM & LSTM+DNN work well; combine them ...
  - CNN+LSTM → LSTM+DNN
  - CNN → LSTM → DNN = CLDNN

# DNN Layers	WER
0	18.0 (LSTM)
1	17.8
2	<b>17.6</b>
3	17.6

200h data, FC-1024-ReLU

Method	WER
LSTM	18.0
CNN+LSTM	17.6
LSTM+DNN	17.6
CLDNN	<b>17.3</b>

# Effect of Other Factors

- Initialisation effect:
  - Uniform vs Gauss
  - Uniform is better (WER: 17.3 → 17.0)
- Multi-scale addition is useful (16.8)
- Passing CNN output to both LSTM & DNN is NOT useful

Method	WER - Gaussian Init	WER - Uniform Init
LSTM	18.0	17.7
CLDNN	17.3	17.0

Method	WER
LSTM (Uni Init)	17.7
CLDNN, long-term feature to LSTM + short-term feature to LSTM	17.0
+ CNN to LSTM and DNN layers	16.8
+ CNN to LSTM and DNN layers	17.0

# Training on 2000 hour + Seq Training

- Advantages of CLDNN carry over to 2000h data
- LSTM → CLDNN, CE RWERR
  - **Clean**: 4.1%; **Multi**: 4.4%
- LSTM → CLDNN, Seq RWERR
  - **Clean**: 4.4%; **Multi**: 7.4%
- CE → Seq, RWERR: 6% → 10%
- Multi-scale useful only for CE

Clean		
Method	WER-CE	WER-Seq
LSTM	14.6	13.7
C LDNN	14.0	13.1
multi-scale CLDNN	<b>13.8</b>	<b>13.1</b>

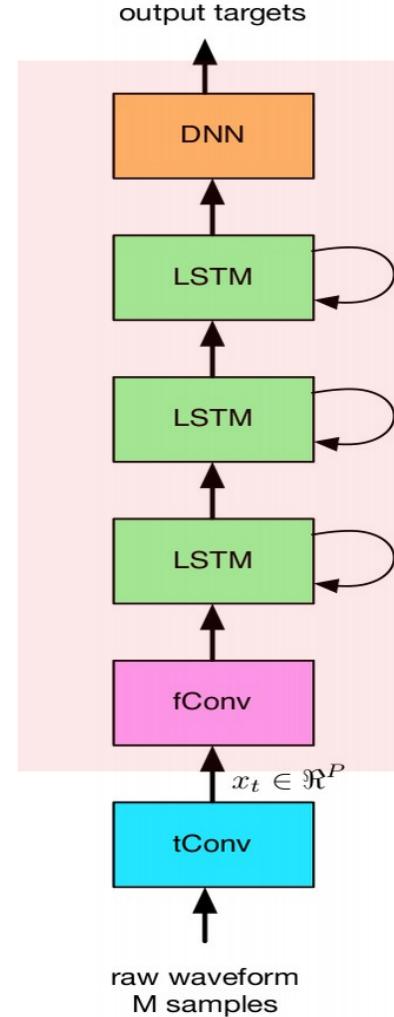
Multi		
Method	WER-CE	WER-Seq
LSTM	20.3	18.8
C LDNN	19.4	<b>17.4</b>
multi-scale CLDNN	<b>19.2</b>	<b>17.4</b>

# Next Session ...

Raw waveform modelling using  
CLDNN + Beamforming

+

Parametric CNNs for Raw Waveform  
Modelling





# That's It!

- Thanks for Your Attention!
- Q/A

