

# Operating Systems

# Quiz

Q. Critical Section Problem can be resolved by using

A. Binary Semaphore

☒ B. Mutex Object

C. Classic Semaphore

D. Both A & B

E. None of the above

Q. Which of the following signal an OS send to a process for forcefull termination.

A. SIGTERM

B. SIGEND

C. SIGSTOP

☒ D. SIGKILL

# Quiz

Q Processes which shares data with another processes referred as

- A. related processes
- ☒ B. cooperative processes
- C. independent processes
- D. all of the above
- E. none of the above

Q. Which of the following IPC mechanism is used for communication across the systems?

- A. Pipe
- B. message queue
- C. chatting application
- ☒ D. socket
- E. shared memory model

# Quiz

Q. What is 'Aging'?

- a) keeping track of cache contents
- b) keeping track of what pages are currently residing in memory
- c) keeping track of how many times a given page is referenced
- ☒ d) increasing the priority of jobs to ensure termination in a finite time

Q. The segment of code in which the process may change common variables, update tables, write into files is known as \_\_\_\_\_

- a) program
- ☒ b) critical section
- c) non – critical section
- d) synchronizing

# Quiz

Q. If the semaphore value is negative \_\_\_\_\_

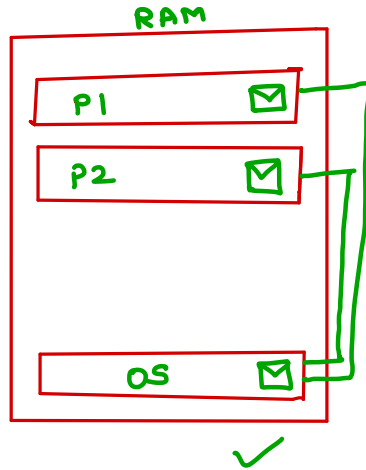
- ☒ a) its magnitude is the number of processes waiting on that semaphore
- b) it is invalid
- c) no operation can be further performed on it until the signal operation is performed on it
- d) none of the mentioned

Q. Which of the following is not a CPU scheduling criteria?

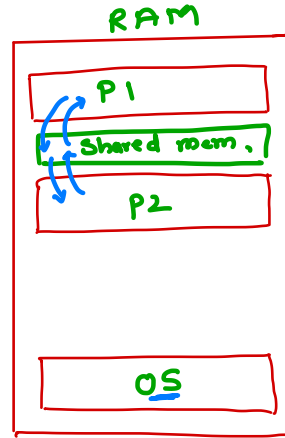
- A. Waiting Time
- B. Response Time
- ☒ C. CPU Burst Time
- D. Turn-Around-Time

## IPC 2 Type :

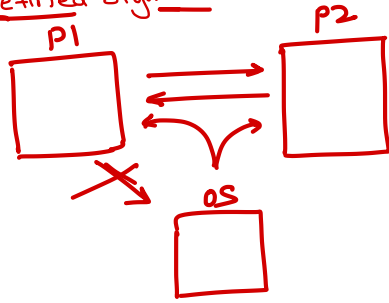
### ① Message Passing



### (2) Shared Memory



- ① Signals  
- predefined signals.



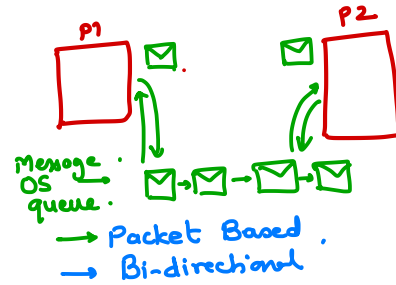
64 signals..

- ② SIGINT : ctrl + C → process terminated.

- ③ SIGKILL : Shutdown.

- ④ SIGSEGV : dangling pointer  
Segment violation.  
ie seg fault → abnormal terminate.

- ② Message queue :

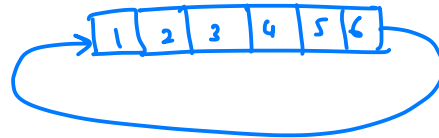


- ③ Pipe

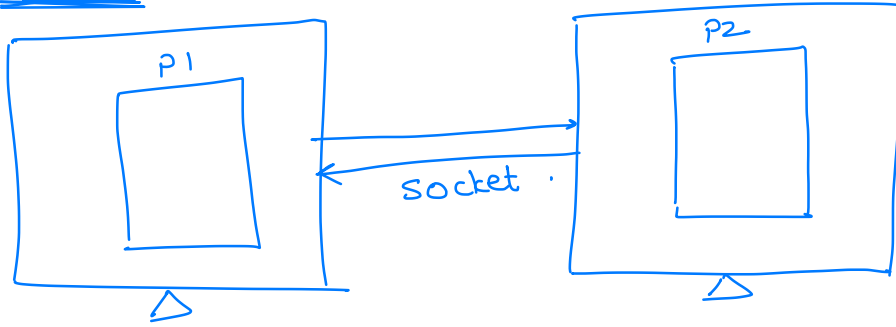


- Stream based.  
→ Uni-directional.

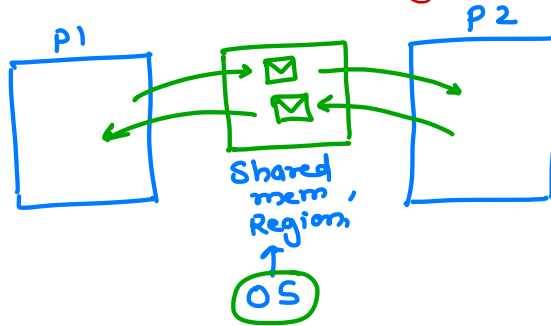
Circular queue :



#### ④ Socket



#### ⑤ Shared Memory



→ Shared mem is fastest IPC mech.



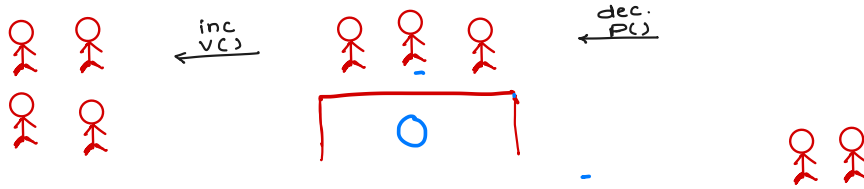
# Semaphore

- Semaphore was suggested by Dijkstra scientist (dutch math)
- Semaphore is a counter
- On semaphore two operations are supported:
  - wait operation: decrement op: P operation:
    - semaphore count is decremented by 1.
    - if  $cnt < 0$ , then calling process is blocked(block the current process).
    - typically wait operation is performed before accessing the resource.
  - signal operation: increment op: V operation:
    - semaphore count is incremented by 1.
    - if one or more processes are blocked on the semaphore, then wake up one of the process.
    - typically signal operation is performed after releasing the resource.
- Q. If sema count = -n, how many processes are waiting on that semaphore?
  - Answer: "n" processes waiting

# Semaphore

Semaphore is a counter

$cnt < 0$   
↳ process-block.



If semaphore count is  $-n$ , number of waiting processes are  $n$

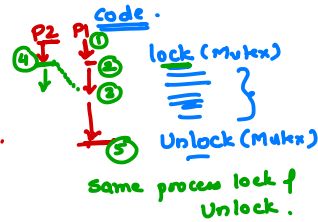
Semaphore

- Counting/Classic — When multiple processes can access a resource at a time.
- Binary (0 or 1).
  - ↳ When a single process can access a resource (at a time).



Mutex (Mutual Exclusion): When only one process access resource. Like Binary Semaphore.

Mutex is not counter. It has two states, i.e. Unlocked & locked state.



# Semaphore

- Counting Semaphore/classic
  - When multiple processes can access a resource.
  - Allow "n" number of processes to access resource at a time.
  - Or allow "n" resources to be allocated to the process.
- Binary Semaphore
  - When a single process can access a resource at a time.
  - Allows only 1 process to access resource at a time or used as a flag/condition

# Mutex

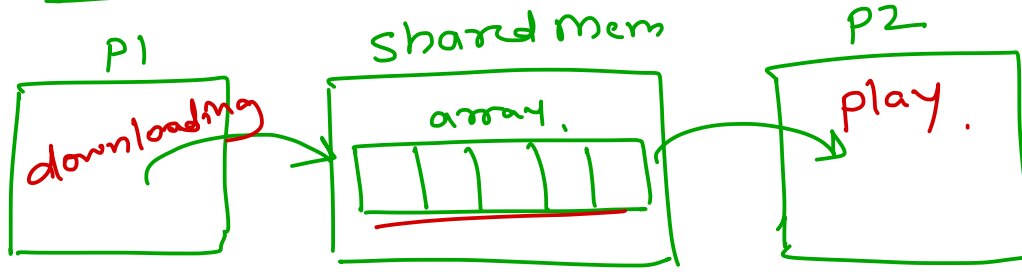
## Mutex( Mutual Exclusion)

- When only one process access resource like binary semaphore
- Mutex has two states ie. Unlocked or locked state.
- Rule of mutex is that which process has lock the mutex can only unlock the mutex.

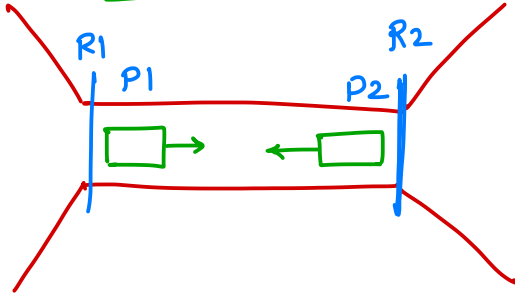
## Semaphore vs Mutex

- S: Semaphore can be decremented by one process and incremented by same or another process. M: The process locking the mutex is owner of it. Only owner can unlock that mutex.
- S: Semaphore can be counting or binary.  
M: Mutex is like binary semaphore. Only two states: locked and unlocked.
- S: Semaphore can be used for counting, mutual exclusion or as a flag.  
M: Mutex can be used only for mutual exclusion.

# Bounded Shared



## Deadlock.



Process  $\rightarrow$  Resource .  
 $\hookrightarrow$  OS

① Resource allocation graph .

The process are blocked indefinitely in deadlock. ② Banker's algoth

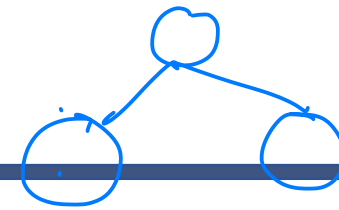
4 characteristics of Deadlock

- ① No pre-emption .  $\rightarrow$
- ② No Mutual Exclusion
- ③ Hold & wait .
- ④ Circular queue .

# Deadlock

- The processes are blocked indefinitely in deadlock
- Deadlock occurs when four conditions/characteristics hold true at the same time.
  - No preemption: A resource should not be released until task is completed.
  - Mutual exclusion: Resources is not sharable.
  - Hold & Wait: Process holds a resource and wait for another resource.
  - Circular wait: Process P1 holds a resource needed for P2, P2 holds a resource needed for P3 and P3 holds a resource needed for P1.
- **Deadlock Prevention**
  - OS system are designed so that at least one deadlock condition is never true.
  - This will prevent deadlock

# Deadlock



## Deadlock Avoidance

- The processes should inform system about the resources it needs later. OS will accept or reject resource request based on deadlock possibility.
- Algorithms used for this are:
  - Resource allocation graph: OS maintains graph of resources and processes. A cycle in graph indicate circular wait will occur. In this case OS can deny a resource to a process.
  - Banker's algorithm: A bank always manage its cash so that they can satisfy all customers.

## ▪ Deadlock Recovery

- Deadlock can be solved by resource preemption or terminating one of the process (involved in deadlock).

Resource pre-emption -> forcibly withdraw resources given to the processes.

Process termination -> forcibly kill one of the process.

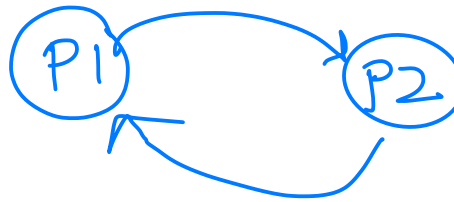
Victim Process



# Deadlock

## Starvation vs Deadlock

- Starvation: The process not getting enough CPU time for its execution.
  - Process is in ready state/queue.  
Reason: Lower priority (CPU is busy in executing high priority process).
- Deadlock: The process not getting the resource for its execution.
  - Process is in waiting state/queue indefinitely.  
Reason: Resource is blocked by another process (and there is circular wait).



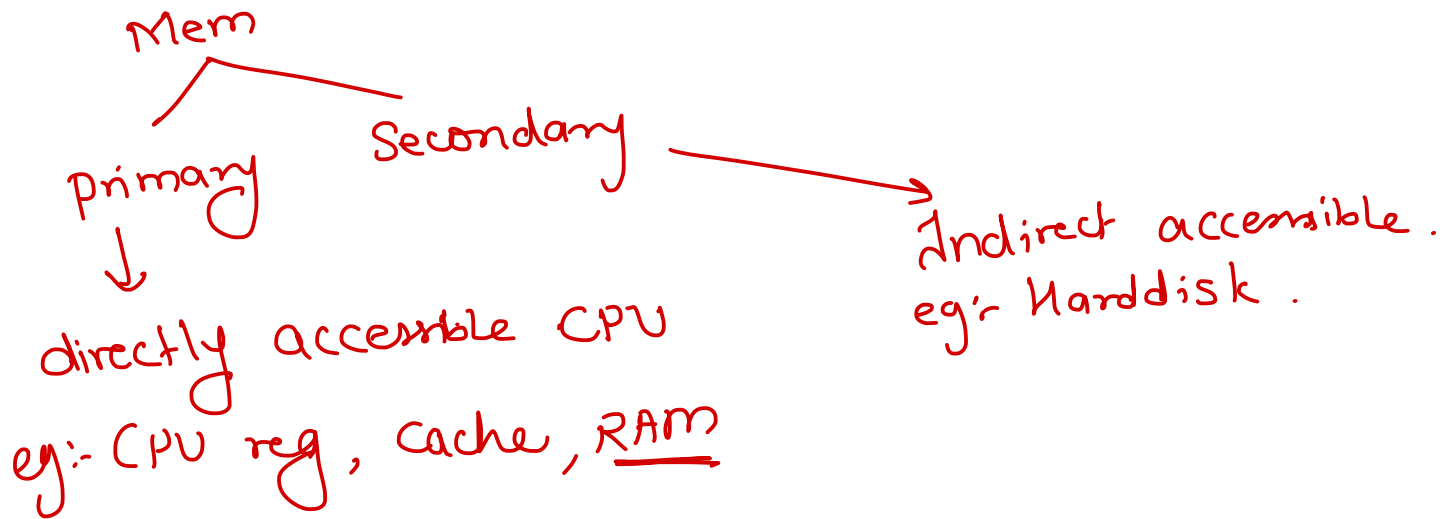
## Memory .

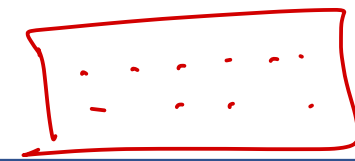
Store data (digit)  $\rightarrow$  Binary format .

Bit  $\rightarrow$  Binary digit .

Byte  $\rightarrow$  KB, MB, TB, GB, HB, PB, ZB etc .

1 Byte  $\rightarrow$  8 bit





$$\begin{array}{r} 1 \text{ Reg} = 8 \text{ bit} \\ \hline 16 \text{ bit} \\ \hline \end{array}$$

$$32 \text{ bit}$$

## Memory

- Memory holds (digital) data or information.
  - Bit = Binary Digit (0 or 1) --> Internally it is an electronic circuit i.e. FlipFlop  
1 Byte = 8 Bits  
B, KB ( $2^{10}$ ), MB ( $2^{20}$ ), GB ( $2^{30}$ ), TB ( $2^{40}$ ), PB ( $2^{50}$ ), XB ( $2^{60}$ ), ZB ( $2^{70}$ )
- Primary memory – Memory
  - Directly accessible by the CPU.  
E.g. CPU registers, Cache, RAM.
- Secondary memory -- Storage
  - Memory accessible via Primary memory. E.g. Disk, CD/DVD, Tape, ROM.
- Volatile vs Non-volatile memory
  - Volatile memory: The contents of memory are lost when power is OFF.
  - Non-volatile memory: The contents of memory are retained even after power is OFF.

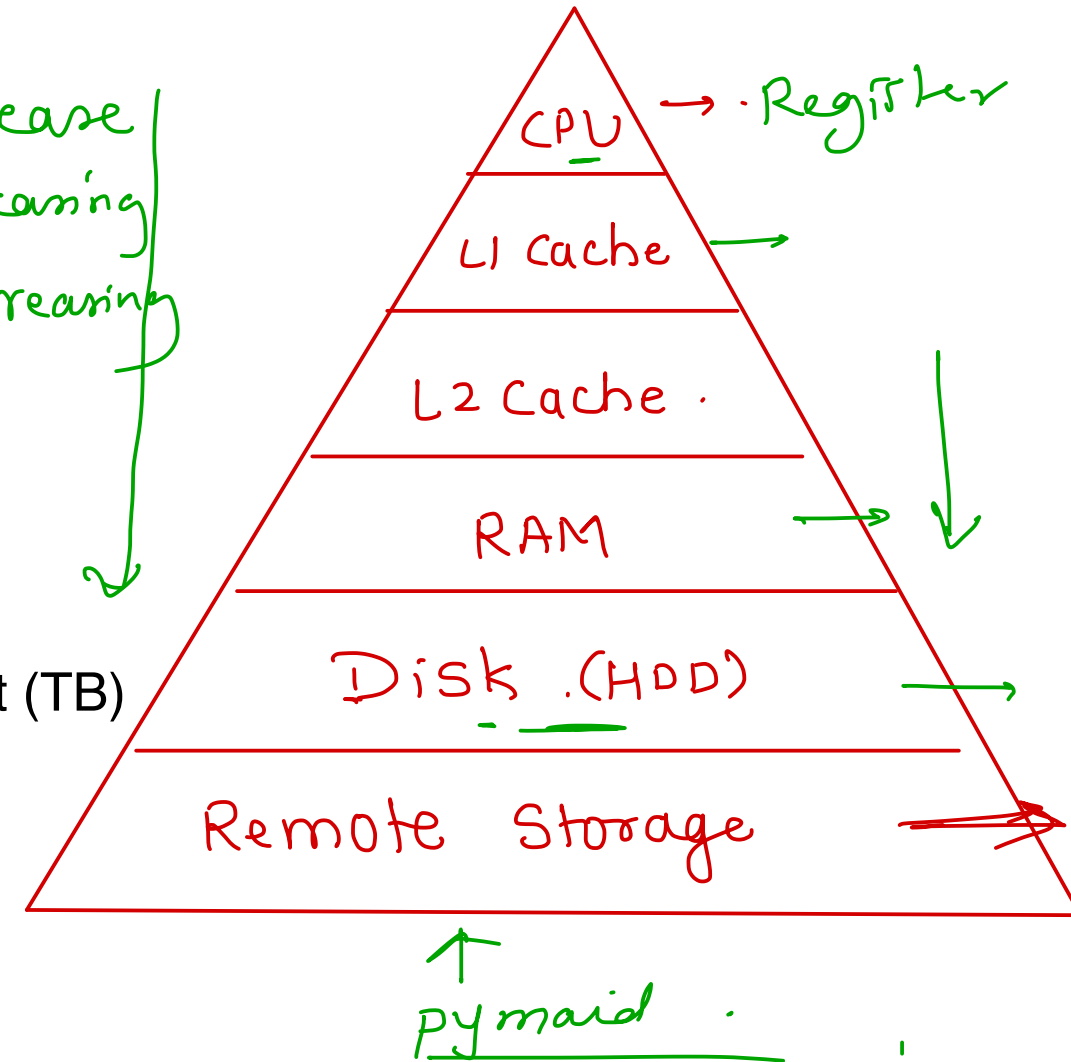
$$1024 = 2^{10}$$

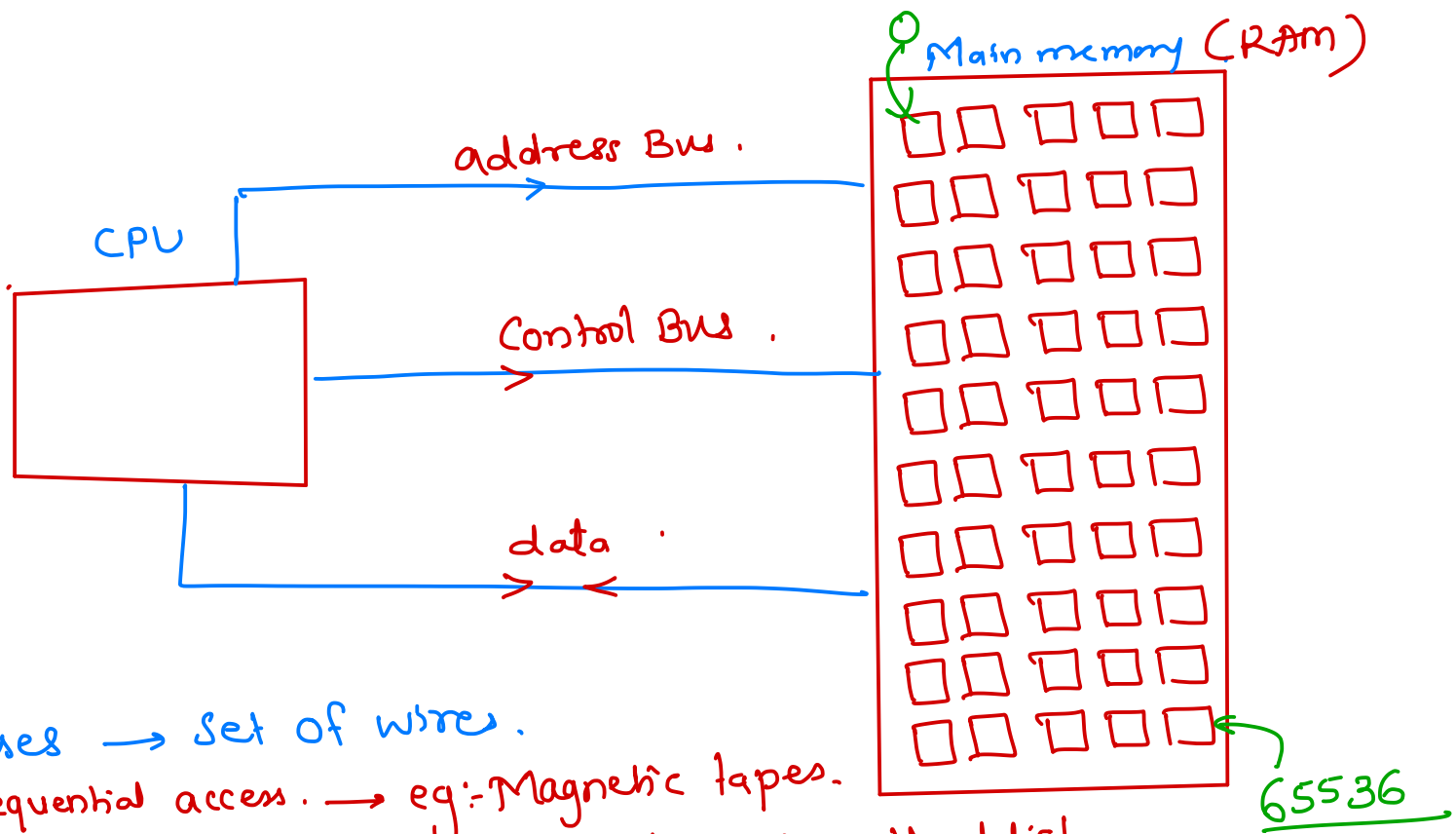
# Computer Fundamental

## Memory Hierarchy

- Level 0: CPU Registers Bit
- Level 1: L1 Cache KB, MB
- Level 2: L2 Cache
- Level 3: RAM → GB
- Level 4: Disk (Local) → TB
- Level 5: Remote storage (NFS)
- Comparison
  - Capacity: Level 0 is smallest (B) to Level 5 is largest (TB)
  - Speed: Level 0 is fastest and Level 5 is slowest
  - Cost: Level 0 is costlier and Level 5 is cheaper

Size increase  
Cost decreasing  
Speed decreasing





Buses → Set of wires.

- ① Sequential access → eg: Magnetic tapes.
- ② Direct access → Block address → eg Harddisk
- ③ Random access → Byte address → eg RAM
- ④ Associative access → Key-value pair storage → eg Cache

65536

64KB

# Computer Fundamental

## Memory Access

- CPU <----> Memory
- Address bus
  - Unidirectional from CPU to the memory
  - Address represent location of the memory to read/write
  - Number of lines = number of locations
- Data bus
  - Bi-directional from/to CPU to/from the memory
  - Carries the data
  - Number of lines = width of data unit
- Control bus
  - Read/Write operation
- CPU <---> Cache <---> RAM <---> Disk
- Sequential access: Read/write sequentially from start to end. e.g. Magnetic tapes
- Direct access: Read/write to the block address e.g. Hard disk
- Random access: Read/write to the memory (byte) address to e.g. RAM
- Associative access: Read/write to the scan/tag lines(Key –value storage )e.g. Cache

# Computer Fundamental

## **RAM (Random Access Memory)**

- RAM is packaged as a chip, Basic storage unit is a cell (one bit per cell)
- Internal memory of the CPU for storing data, program, and program result
- Used for Read/ Write
- Volatile (Temporary Storage)

## **Static RAM (SRAM)**

- Retains its contents as long as power is being supplied.
- Made up of transistors.
- SRAM is more often used for system cache.
- SRAM is faster than DRAM.

## Dynamic RAM (DRAM)

- Must be constantly refreshed or it will lose its contents.
- This is done by placing the memory on a refresh circuit that rewrites the data several hundred times per second.
- Made up of memory cells composed of capacitors and one transistor.
- DRAM is typically used as the main memory in computers.





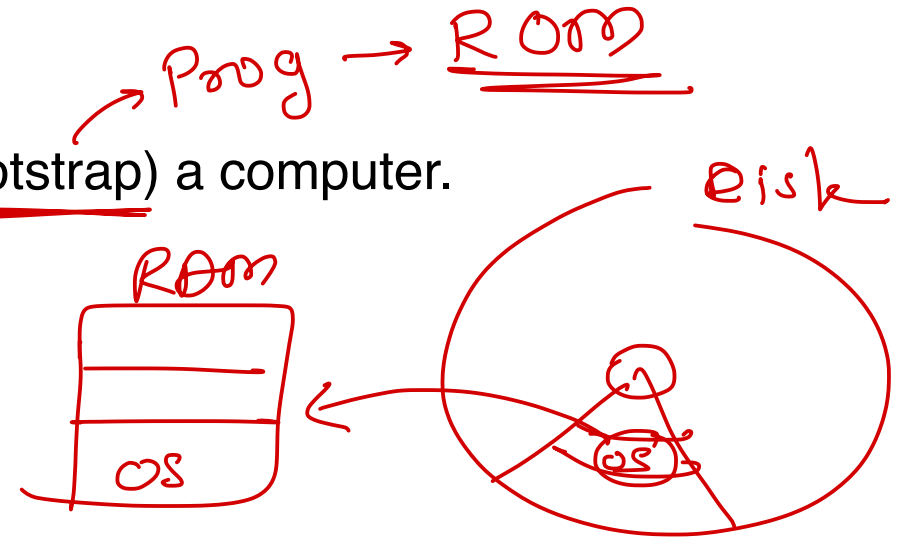
# Computer Fundamental

## ROM (Read Only Memory)

- Read-only memory (Not writable).
- This type of memory is non-volatile.
- The information is stored permanently.
- A ROM stores such instructions that are required to start (bootstrap) a computer.

### BIOS – Basic Input Output System

- Set of programs stored in PC Base ROM (on Motherboard).
- ① • POST(Power On Self Test) – To test the peripherals.
- ② • Bootstrap Loader – To find OS in disk/usb/cd.com.
- ③ • Basic/minimal device drivers for basic device functionality.
- ④ • BIOS setup utility (F1 or ESC).
- Programs (executable instructions) stored in ROM are called -- Firmware. e.g. BIOS, Bootstrap loader, POST, ...



## Types of ROM

- Masked ROM (MROM) -- contents are fixed while manufacturing
- Programmable ROM (PROM) -- one time writable
- Erasable Programmable ROM (EPROM) -- written multiple times with special circuit
  - • Ultra-Violet EPROM (UV-EPROM) -- all contents erased using UV rays
  - • Electrical EPROM (E-EPROM) -- erase selected bytes using high electric current
- Flash (like E-EPROM) -- erase selected blocks - high speed

# Computer Fundamental

## Cache memory

- Associative memory. Key = memory address, Value = memory contents.
- Made up of cache lines tagged by tag index.
- In CPU chip or outside CPU chip.
- If requested data is found in cache (cache hit), contents are sent from cache itself to CPU (faster access).
- If requested data is not found in cache (cache miss), contents are accessed from main memory, copied in cache and then sent to CPU (slower access).
- Cache memory size is limited (KB or MB).
- When cache is full, oldest data is overwritten (Least Recently Used).
- Cache always stores recently accessed data.

