

# CMSC 651, Automata Theory, Fall 2010

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Homework Assignment - 9 and 10

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## Homework 9

### Problem 1

Show that, if  $P = NP$ , then  $P = PH$ .

### Solution

1. Since  $P$  is closed under complements, we have

$$\begin{aligned} NP &= P = \bar{P} = \bar{N}P = co - NP \\ &\Rightarrow NP = co - NP \end{aligned}$$

2. Since  $NP_k = \Sigma_p^k$ , we have

$$\begin{aligned} PH &\equiv NP \subseteq NP^{NP} \subseteq NP^{NP^{NP}} \subseteq \dots \\ &\equiv \Sigma_p^1 \subseteq \Sigma_p^2 \subseteq \dots \Sigma_p^k \subseteq \dots \end{aligned}$$

3. Also, let's prove the following:

$$\forall k \in \mathbb{N}, \Sigma_p^k = \Pi_p^k \Rightarrow \Sigma_p^{k+1} = \Sigma_p^k$$

Proof:

$$\begin{aligned} A &\in \Sigma_p^{k+1} \\ \Rightarrow A &= \{x | \exists y | y \leq |x|^c \wedge R(x, y)\}, \text{ with } c \text{ a constant and } R \in \Pi_p^k \\ &= \{x | \exists y | y \leq |x|^c \wedge R(x, y)\}, R \in \Sigma_p^k \text{ since } \Sigma_p^k = \Pi_p^k \\ \Rightarrow A &\in \Sigma_p^k \\ \Rightarrow \Sigma_p^{k+1} &\subseteq \Sigma_p^k \\ \text{Also, } \Sigma_p^k &\subseteq \Sigma_p^{k+1}, \text{ by definition} \\ \Rightarrow \Sigma_p^k &= \Sigma_p^{k+1} \text{ (Proved)} \end{aligned}$$

4. Hence,

$$\begin{aligned}
NP &= co - NP \\
&\Rightarrow \Sigma_p^1 = \Pi_p^1 = \Sigma_p^2 = \Pi_p^2 = \dots \\
&\Rightarrow PH \text{ collapses to } NP, \text{ but given } P = NP \\
&\Rightarrow PH \text{ collapses to } P \text{ (Proved)}
\end{aligned}$$

## Problem 2

A language  $L$  has polynomial-sized circuits  $\Rightarrow \exists$  a sparse set  $S | L \in P^S$ .

## Solution

1.  $L$  has polynomial-sized circuits  $\Rightarrow L \in P/poly$ .

Proof:

If  $L$  has polynomial sized circuits, let's define  $s(n)$  to be the binary encoding for that circuit at length  $n$ . Construct the Turing Machine  $M$  as follows:

$$M(\langle x, s(|x|) \rangle)$$

- (a) Construct the circuit given by  $s(|x|)$ .
- (b) Evaluates the output of the circuit given on  $x$ .
- (c) Accept  $x$  iff the circuit given by  $s(|x|)$  accepts  $x$ .

$M$  runs in polynomial time (since the circuit evaluates in polynomial time), hence  $x \in L \Rightarrow \langle x, s(|x|) \rangle \in L(M)$ , where  $L(M) \in P \Rightarrow L \in P/poly$  (can be decided using a polynomial size advice function).

2.  $L \in P/poly \Rightarrow \exists S \mid L \leq_T^P S$ , for some sparse set  $S$ .

Proof:

Let  $L \in P/poly \Rightarrow$  some polynomial time Turing machine  $N$  accepts strings  $\langle x, s(|x|) \rangle$  iff  $x \in L$ . We want to construct a sparse set  $S$  and a machine  $M$  so that  $M$  can discover  $s(|x|)$  in polynomial time using  $S$  as an oracle. If we can do this, then afterwards  $M$  can simply simulate  $N$  (since it now knows  $s(|x|)$ ), so that  $L(M^S) = L$ .

Let's consider the language  $S = \{1^n \# p \mid p \text{ is a prefix of } s(|x|)\}$ . Now,  $S$  is sparse, since there are at most linearly many strings of a given length in  $S$ .

Using  $S$  as an oracle, let's compute  $s(|x|)$  one bit at a time: first, ask

to the oracle if the strings  $1n\#0, 1n\#1 \in S$ . Let  $1n\#b$  be the string out of these two which is in  $S$ . Then we can extend it to second bit of  $s(|x|)$  by asking which of  $1n\#b0$  or  $1n\#b1$  is in  $S$ .

We proceed in this manner until neither extension of our string is in  $S$ . When this happens, we must have  $s(|x|)$ . Now,  $s(|x|)$  has polynomially many bits, so this can be done in polynomial time.

3. 1. and 2.  $\Rightarrow L$  has polynomial-sized circuits  $\Rightarrow \exists S \mid L \leq_T^P S$ , for some sparse set  $S$ .

### Problem 3

Show that if there exists a sparse set  $S$  such that  $coNP \subseteq NP^S$ , then  $PH$  collapses to  $\Sigma_p^3$ .

### Solution

By Karp-Lipton-Sipser, we have the following result:

If there exists a sparse set  $S$  such that  $NP \subseteq P^S$ , then  $PH$  collapses to  $\Sigma_p^2$ . Also, as Yaap has shown in his paper, a language  $L$  has small generators  $\Rightarrow L \in NP(\Sigma_1/Poly)$  and  $\Sigma_1/Poly = \Pi_1/Poly \Rightarrow \Sigma_{i+2} = \Pi_{i+2}$  and hence if every set in  $\Pi_1$ , has a small generator then  $\Sigma_3 = \Pi_3$  which combined with problem 1 establishes that  $PH$  collapses to  $\Sigma_3$ .

## Homework 10

### Problem 1 Solution

Given:

- $0 < \epsilon_1 < \epsilon_2 < 1$ , with  $\epsilon_1, \epsilon_2$  fixed.
- $M$  is a probabilistic polynomial time Turing machine that recognizes the language  $C$  with

$$\begin{aligned} w \in C &\Rightarrow Pr[M \text{ rejects } w] \leq \epsilon_2 \\ w \notin C &\Rightarrow Pr[M \text{ accepts } w] \leq \epsilon_1 \leq \epsilon_2 \end{aligned}$$

When  $\epsilon_2 \in (0, \frac{1}{2})$ , it follows directly from the **amplification lemma** that  $C \in BPP$ . When  $\epsilon_2 \in [\frac{1}{2}, 1)$ , we have to show that the same result holds as well, i.e., the error probabilities on both the sides are bounded.

Let's consider the following exhaustive cases:

**Case - 1)  $0 < \epsilon_2 < \frac{1}{2}$  (The Amplification Lemma)**

Construct a Turing machine  $N$  as follows:

$N(w)$

1. Compute  $k$  and Run  $M$  on  $w$  for  $k$  trials  
/\* Compute  $k$  as in the amplification lemma \*/
2. Accept  $w$  if majority of trials accept  
otherwise reject  $w$ .

It's easy to see that  $N$  runs in polynomial time (since  $M$  does so). Now, let's prove that  $N$  decides  $C$  in  $BPP$ .

**Proof (using Chernoff Bound directly)**

We can think of the outcomes of the  $k$  runs of the Turing machine  $M$  to be represented by  $X_1, \dots, X_k$ ,  $k$  independent Bernoulli random variables, each having probability of success  $1 - \epsilon_2 \geq \frac{1}{2}$  (where  $\{X_k = 1\} \Leftrightarrow M$  accepts  $w$  when  $w \in C$ ). Then the probability of simultaneous occurrence of more than  $\frac{k}{2}$  of the events  $\{X_k = 1\}$  has an exact value  $P$ , where

$$P = \sum_{i=\lceil \frac{k}{2} \rceil + 1}^k \binom{k}{i} (1 - \epsilon_2)^i \epsilon_2^{k-i}$$

and Chernoff bound shows that  $P$  has the following lower bound

$$P \geq 1 - e^{-2k(1 - \epsilon_2 - \frac{1}{2})} = 1 - e^{-2k(\frac{1}{2} - \epsilon_2)}$$

Hence,

$$\begin{aligned} Pr[E] &= Pr[N \text{ rejects } w | w \in C] \\ &= \sum_{i=0}^{\lceil \frac{k}{2} \rceil} \binom{k}{i} (1 - \epsilon_2)^i (\epsilon_2)^{k-i} \\ &= 1 - P \\ &\leq e^{-2k(\frac{1}{2} - \epsilon_2)} \end{aligned}$$

$$\Rightarrow \lim_{k \rightarrow \infty} Pr[N \text{ rejects } w | w \in C] = \lim_{k \rightarrow \infty} e^{-2k(\frac{1}{2} - \epsilon_2)} = 0 \text{ (since } \epsilon_2 < \frac{1}{2} \text{)}$$

Similarly,  $\lim_{k \rightarrow \infty} Pr[N \text{ accepts } w | w \notin C] = 0$

$$\Rightarrow C \in BPP \text{ when } \epsilon_2 < \frac{1}{2}$$

### Proof that Majority works

Let's construct the Turing machine  $N$  as follows instead,

$N(w)$

1. Compute  $k$  and Run  $M$  on  $w$  for  $k$  trials  
/\* Compute  $k$  as in the amplification lemma \*/
2. Compute the fraction  $f$ ,  $0 < f < 1$ , as follows:  
$$0 < \epsilon_2 < \frac{1}{2} \Rightarrow f > \frac{\lg(2\epsilon_2)}{\lg\left(\frac{\epsilon_2}{1-\epsilon_2}\right)}$$
3. Accept  $w$  if more than  $f$  fraction of the outcomes  
( $> f.k$  trials out of  $k$  trials) accept  $w$   
otherwise reject  $w$ .

It's easy to see that  $N$  runs in polynomial time (since  $M$  does so). Now, let's prove that  $N$  decides  $C$  in  $BPP$ .

When  $w \in C$ , the error probability  $Pr[E]$  (probability that the Turing machine  $N$  rejects  $w$ ) is upper bounded by the probability that at most  $f$  fraction of the outcomes (i.e.,  $\leq f.k$  out of  $k$  outcomes) are correct, which is upper-bounded as follows:

$$\begin{aligned} Pr[E] &= Pr[N \text{ rejects } w | w \in C] \\ &= \sum_{i=0}^{fk} \binom{k}{i} (1-\epsilon_2)^i (\epsilon_2)^{k-i} \\ &= \epsilon_2^k \sum_{i=0}^{fk} \binom{k}{i} \frac{1}{\delta^i} \\ &\text{where } \delta = \frac{\epsilon_2}{1-\epsilon_2}, \left( \epsilon_2 < \frac{1}{2} \Leftrightarrow \delta < 1 \right), fk = f.k < k \end{aligned}$$

Also, note that  $w \in C \Rightarrow Pr[N \text{ accepts } w | w \in C] = 1 - Pr[E]$

$$\begin{aligned} \text{When } \epsilon_2 < \frac{1}{2}, Pr[E] &= \epsilon_2^k \sum_{i=0}^{fk} \binom{k}{i} \left(\frac{1}{\delta}\right)^i \\ &\leq \epsilon_2^k \sum_{i=0}^{fk} \binom{k}{i} \left(\frac{1}{\delta}\right)^{fk}, \text{ since } \frac{1}{\delta} > 1 \text{ and } i \leq fk \\ &\leq \epsilon_2^k \left(\frac{1}{\delta}\right)^{fk} \sum_{i=0}^k \binom{k}{i} = \left(\frac{2\epsilon_2}{\delta^f}\right)^k \end{aligned}$$

Hence, we have:

$$0 < \epsilon_2 < \frac{1}{2} \Rightarrow Pr[E] \leq \delta_1^k, \text{ where } \delta_1 = \frac{2\epsilon_2}{\delta^f}$$

Hence, as shown above, in order to show  $C \in BPP$ ,  $f$  should be pre-computed from  $\epsilon_2$  in such a manner that the upper bound (on error probability) on the right hand side can be made arbitrarily small by choosing larger and larger  $k$ , i.e.,

$$\lim_{k \rightarrow \infty} P[E] = 0 \Rightarrow \lim_{k \rightarrow \infty} \delta_1^k = 0 \Rightarrow 0 < \delta_1 < 1$$

Hence,  $C \in BPP$  iff we choose the fraction  $f$  in such a manner that  $P[E]$  can be made arbitrarily small, i.e.,

$$0 < \epsilon_2 < \frac{1}{2} \Rightarrow 0 < \delta_1 < 1 \Rightarrow f > \frac{\lg(2\epsilon_2)}{\lg\left(\frac{\epsilon_2}{1-\epsilon_2}\right)}$$

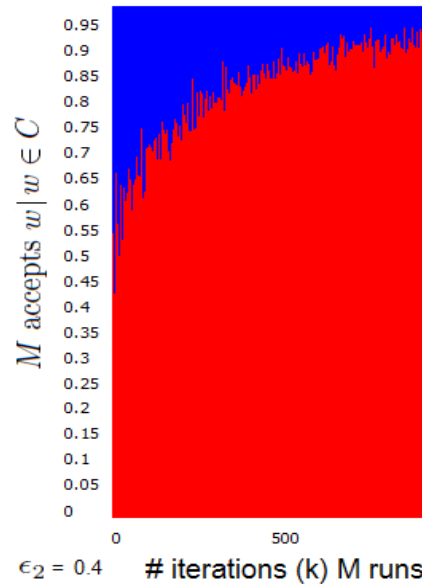
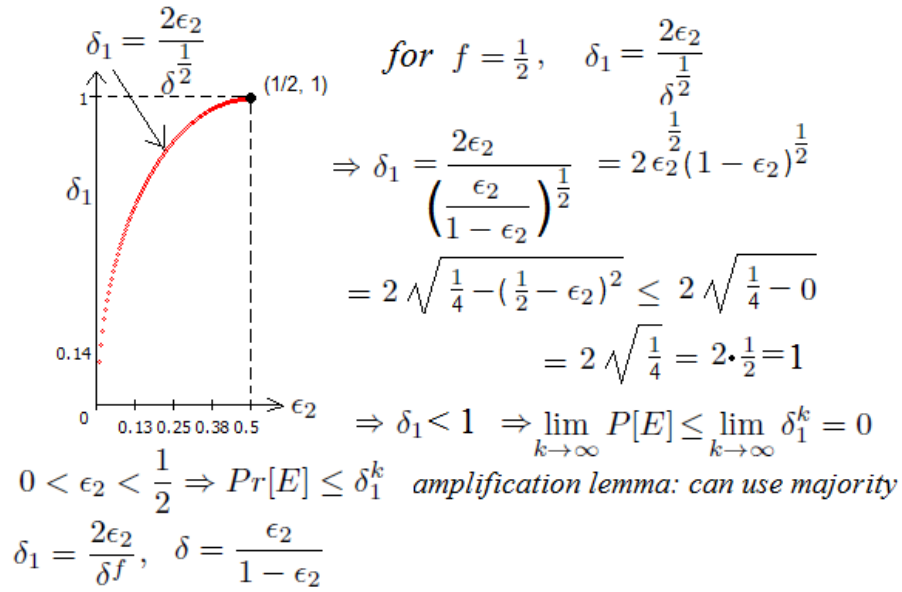
e.g.,

$$\lim_{\epsilon_2 \rightarrow \frac{1}{2}} \frac{\lg(2\epsilon_2)}{\lg\left(\frac{\epsilon_2}{1-\epsilon_2}\right)} = \left(\frac{\infty}{\infty}\right) = \frac{\left(\frac{1}{2\epsilon_2}\right) \cdot 2}{\left(\frac{1-\epsilon_2}{\epsilon_2}\right) \cdot \frac{1}{(1-\epsilon_2)^2}} = \frac{1}{2}$$

The above proves the amplification lemma (see figure 1, 2), since for  $\epsilon_2 < \frac{1}{2}$ , it says that  $N$  can pick majority of the outcomes (if more than  $f = \frac{1}{2}$ , half the trials with  $M$  accept,  $N$  also accepts  $w$ ).

Similar result can be shown for  $w \notin C$ , i.e., we can always pre-compute a proportion  $f$  as above to upper-bound the error probability  $Pr[M \text{ accepts } w | w \notin C]$  and make it arbitrarily small.

Since error probabilities from both sides can be upper-bounded,  $N$  decides  $C$  in  $BPP$  (Proved).



**Case - 2)**  $\frac{1}{2} \leq \epsilon_2 < 1$

**Can't prove using Chernoff bounds**

Here  $\epsilon_2 > \frac{1}{2}$  and

$$\begin{aligned}
P &= \sum_{i=\lceil \frac{k}{2} \rceil + 1}^k \binom{k}{i} \epsilon_2^{k-i} (1 - \epsilon_2)^i \\
&= \sum_{j=0}^{\lceil \frac{k}{2} \rceil} \binom{k}{j} \epsilon_2^j (1 - \epsilon_2)^{k-j} \leq e^{-2k(\epsilon_2 - \frac{1}{2})} \\
\Rightarrow Pr[E] &= Pr[N \text{ rejects } w | w \in C] \\
&= 1 - P \\
&= \sum_{j=\lceil \frac{k}{2} \rceil + 1}^k \binom{k}{j} \epsilon_2^j (1 - \epsilon_2)^{k-j} \\
&\geq 1 - e^{-2k(\epsilon_2 - \frac{1}{2})}, \text{ by Chernoff bound,} \\
&\quad \text{a lower bound instead of an upper bound on the error probability!}
\end{aligned}$$

Hence, Construct a Turing machine  $N'$  as follows:

$N'(w)$

1. Run a Bernoulli trial with probability of success  $p$
2. If the trial outcome is success, then accept  $w$
3. Else Run  $M$  on  $w$  for  $k$  trials and accept  $w$  if majority of trials accept otherwise reject  $w$ .

It's easy to see that  $N'$  runs in polynomial time (since  $M$  does so). Now, let's prove that  $N'$  decides  $C$  in  $BPP$ .

**Proof**

$$\begin{aligned}
Pr[E] &= Pr[N' \text{ rejects } w | w \in C] \\
&= (1 - p) \sum_{i=0}^{\lceil \frac{k}{2} \rceil} \binom{k}{i} (1 - \epsilon_2)^i (\epsilon_2)^{k-i}
\end{aligned}$$

We have to choose  $p$  arbitrarily small and accordingly choose  $k$  such that the error probability is upper bounded.

$$\text{Similarly, } Pr[N \text{ accepts } w | w \notin C] = p + (1 - p) \sum_{i=\lceil \frac{k}{2} \rceil}^k \binom{k}{i} (1 - \epsilon_2)^i (\epsilon_2)^{k-i}.$$

Making these two side error probabilities arbitrarily small  
 $\Rightarrow C \in BPP$  when  $\epsilon_2 \geq \frac{1}{2}$  as well.



## Incorrect Proofs

Given:

- $0 < \epsilon_1 < \epsilon_2 < 1$ , with  $\epsilon_1, \epsilon_2$  fixed.
- $M$  is a probabilistic polynomial time Turing machine that recognizes the language  $C$  with

$$\begin{aligned} w \in C &\Rightarrow \Pr[M \text{ accepts } w] \geq 1 - \epsilon_2 \\ w \notin C &\Rightarrow \Pr[M \text{ accepts } w] \leq \epsilon_1 < \epsilon_2 \end{aligned}$$

When  $\epsilon_2 \in (0, \frac{1}{2})$ , it follows directly from the **amplification lemma** that  $C \in BPP$ . When  $\epsilon_2 \in [\frac{1}{2}, 1)$ , we have to show that the same result holds as well. We prove some generic result, for all  $\epsilon_2 \in (0, 1)$ .

Let's first construct a Turing machine  $N$  as follows:

$N(w)$

1. Compute  $k$  and Run  $M$  on  $w$  for  $k$  trials  
/\* Compute  $k$  as in the amplification lemma \*/
2. Compute the fraction  $f$ ,  $0 < f < 1$ , as follows:  

$$0 < \epsilon_2 < \frac{1}{2} \Rightarrow f > \frac{\lg(2\epsilon_2)}{\lg\left(\frac{\epsilon_2}{1-\epsilon_2}\right)}$$

$$1 > \epsilon_2 \geq \frac{1}{2} \Rightarrow f > \frac{\lg(2(1-\epsilon_2))}{\lg\left(\frac{1-\epsilon_2}{\epsilon_2}\right)}$$
3. Accept  $w$  if more than  $f$  fraction of the outcomes  
( $> f.k$  trials out of  $k$  trials) accept  $w$   
otherwise reject  $w$ .

It's easy to see that  $N$  runs in polynomial time (since  $M$  does so). Now, let's prove that  $N$  decides  $C$  in  $BPP$ .

## Proof

When  $w \in C$ , the error probability  $\Pr[E]$  (probability that the Turing machine  $N$  rejects  $w$ ) is upper bounded by the probability that at most  $f$  fraction of the outcomes (i.e.,  $\leq fk$  out of  $k$  outcomes) are correct, which is upper-bounded as

follows:

$$\begin{aligned}
Pr[E] &= Pr[N \text{ rejects } w | w \in C] \\
&= \sum_{i=0}^{fk} \binom{k}{i} (1 - \epsilon_2)^i (\epsilon_2)^{k-i} \\
&= \epsilon_2^k \sum_{i=0}^{fk} \binom{k}{i} \frac{1}{\delta^i} = (1 - \epsilon_2)^k \sum_{i=0}^{fk} \binom{k}{i} \delta^{k-i} \\
&\text{where } \delta = \frac{\epsilon_2}{1 - \epsilon_2}, \left( \epsilon_2 < \frac{1}{2} \Leftrightarrow \delta < 1 \right), fk = f.k < k
\end{aligned}$$

Also, note that  $w \in C \Rightarrow Pr[N \text{ accepts } w | w \in C] = 1 - P[E]$

$$\begin{aligned}
\text{When } \epsilon_2 < \frac{1}{2}, Pr[E] &= \epsilon_2^k \sum_{i=0}^{fk} \binom{k}{i} \left( \frac{1}{\delta} \right)^i \\
&\leq \epsilon_2^k \sum_{i=0}^{fk} \binom{k}{i} \left( \frac{1}{\delta} \right)^{fk}, \text{ since } \frac{1}{\delta} > 1 \text{ and } i \leq fk \\
&\leq \epsilon_2^k \left( \frac{1}{\delta} \right)^{fk} \sum_{i=0}^k \binom{k}{i} = \left( \frac{2\epsilon_2}{\delta^f} \right)^k
\end{aligned}$$

$$\begin{aligned}
\text{When } \epsilon_2 \geq \frac{1}{2}, Pr[E] &= (1 - \epsilon_2)^k \sum_{i=0}^{fk} \binom{k}{i} (\delta)^{k-i} \\
&\leq (1 - \epsilon_2)^k \sum_{i=0}^{fk} \binom{k}{i} (\delta)^{fk}, \text{ since } \delta > 1 \text{ and } i \leq fk \text{ **Incorrect assumption!!**} \\
&\leq (1 - \epsilon_2)^k (\delta)^{fk} \sum_{i=0}^k \binom{k}{i} = (2(1 - \epsilon_2) \cdot \delta^f)^k
\end{aligned}$$

Hence, we have the following exhaustive cases:

1.  $0 < \epsilon_2 < \frac{1}{2} \Rightarrow Pr[E] \leq \delta_1^k$ , where  $\delta_1 = \frac{2\epsilon_2}{\delta^f}$
2.  $1 > \epsilon_2 \geq \frac{1}{2} \Rightarrow Pr[E] \leq \delta_2^k$ , where  $\delta_2 = 2(1 - \epsilon_2) \cdot \delta^f$

Hence, as shown above, in order to show  $C \in BPP$ ,  $f$  should be pre-computed from  $\epsilon_2$  in such a manner that the upper bound (on error probability) on the right hand side can be made arbitrarily small by choosing larger and larger  $k$ , i.e.,

$$\lim_{k \rightarrow \infty} P[E] = 0 \Rightarrow \begin{pmatrix} 0 < \epsilon_2 < \frac{1}{2} \Rightarrow \lim_{k \rightarrow \infty} \delta_1^k = 0 \Rightarrow 0 < \delta_1 < 1 \\ 1 > \epsilon_2 \geq \frac{1}{2} \Rightarrow \lim_{k \rightarrow \infty} \delta_2^k = 0 \Rightarrow 0 < \delta_2 < 1 \end{pmatrix}$$

Hence,  $C \in BPP$  iff we choose the fraction  $f$  in such a manner that  $P[E]$  can be made arbitrarily small, i.e.,

$$0 < \epsilon_2 < \frac{1}{2} \Rightarrow 0 < \delta_1 < 1 \Rightarrow f > \frac{\lg(2\epsilon_2)}{\lg\left(\frac{\epsilon_2}{1-\epsilon_2}\right)}$$

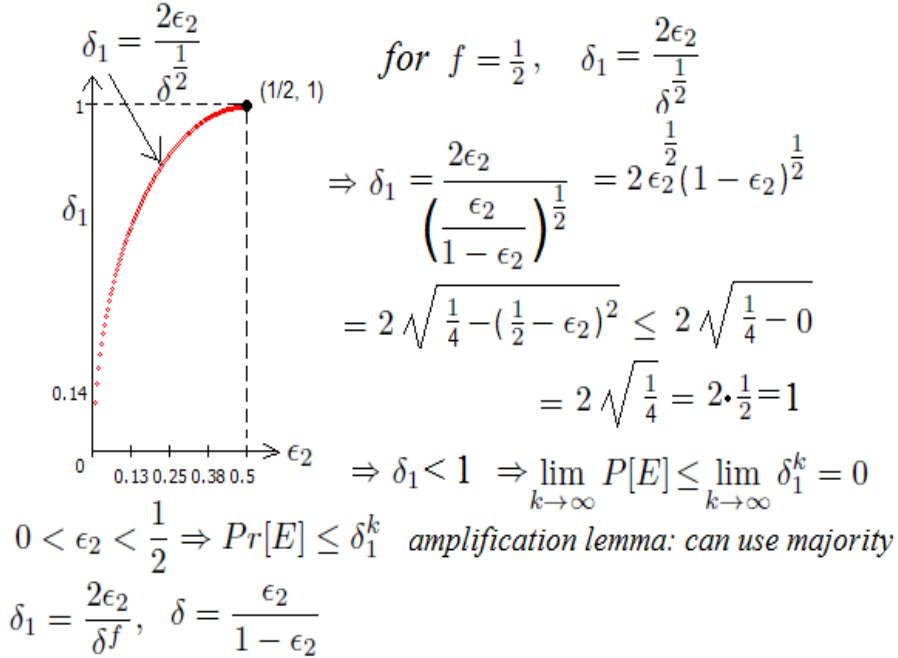
$$1 > \epsilon_2 \geq \frac{1}{2} \Rightarrow 0 < \delta_2 < 1 \Rightarrow f > \frac{\lg(2(1-\epsilon_2))}{\lg\left(\frac{1-\epsilon_2}{\epsilon_2}\right)}$$

e.g.,

$$\lim_{\epsilon_2 \rightarrow \frac{1}{2}} \frac{\lg(2\epsilon_2)}{\lg\left(\frac{\epsilon_2}{1-\epsilon_2}\right)} = \left(\frac{\infty}{\infty}\right) = \frac{\left(\frac{1}{2\epsilon_2}\right) \cdot 2}{\left(\frac{1-\epsilon_2}{\epsilon_2}\right) \cdot \frac{1}{(1-\epsilon_2)^2}} = \frac{1}{2}$$

The above proves the amplification lemma (see figure 1), since for  $\epsilon_2 < \frac{1}{2}$ , it says that  $N$  can pick majority of the outcomes (if more than  $f = \frac{1}{2}$ , half the trials with  $M$  accept,  $N$  also accepts  $w$ ).

Similar result can be shown for  $w \notin C$ , i.e., we can always pre-compute a pro-



portion  $f$  as above to upper-bound the error probability  $Pr[M \text{ accepts } w | w \notin C]$  and make it arbitrarily small.

Since error probabilities from both sides can be upper-bounded,  $N$  decides  $N$  in  $BPP$  (Proved).

## Yet another incorrect Proof

We know the following:

- By definition, for  $0 \leq \epsilon < \frac{1}{2}$ , a probabilistic polynomial time Turing machine  $M$  recognizes the language  $A$  with error probability  $\epsilon$  if

$$\begin{aligned} w \notin A &\Rightarrow \Pr[M \text{ rejects } w] \geq 1 - \epsilon \\ w \in A &\Rightarrow \Pr[M \text{ accepts } w] \geq 1 - \epsilon \end{aligned}$$

- If  $\epsilon = \frac{1}{3}$ ,  $A \in BPP$
- By amplification lemma, if  $\epsilon$  be a fixed constant strictly between 0 and  $\frac{1}{2}$ ,  $A \in BPP$ .

We are given the following:

- $0 < \epsilon_1 < \epsilon_2 < 1$ , with  $\epsilon_1, \epsilon_2$  fixed.
- $M$  is a probabilistic polynomial time Turing machine that recognizes the language  $C$  with

$$\begin{aligned} w \notin C &\Rightarrow \Pr[M \text{ rejects } w] \geq 1 - \epsilon_1 \geq 1 - \epsilon_2 \\ w \in C &\Rightarrow \Pr[M \text{ accepts } w] \geq 1 - \epsilon_2 \end{aligned}$$

Now, let's consider the following exhaustive set of cases:

1.  $\epsilon_2 \in [0, \frac{1}{2})$ , then it follows directly from the amplification lemma that  $C \in BPP$ .
2.  $\epsilon_2 \in [\frac{1}{2}, 1)$ , then construct another machine  $M'$  as follows:

$M'(w)$

- Runs  $M$  on input  $w$  repeatedly for  $k$  (constant, can be pre-computed from  $\epsilon_2$ ) times.
- $M'$  accepts if the proportion of  $M$ 's acceptances is  $\geq \epsilon_2$ .
- $M'$  rejects if the proportion of  $M$ 's acceptances is  $< \epsilon_2$ .

We can choose the constant  $k$  depending upon  $\epsilon_2$  such that  $M'$  decides  $C$  in  $BPP$ .

**Proof:**

Let's define the random variable  $X = \frac{1}{k} \sum_{i=1}^k X_i$ , where

$$X_i = \begin{cases} 1, & \text{if } i^{th} \text{ run of } M \text{ accepts } w \\ 0, & \text{if } i^{th} \text{ run of } M \text{ rejects } w \end{cases}$$

Hence,

$$Pr[X_i = 1|w \in C] = Pr[M \text{ accepts } w|w \in C] \geq 1 - \epsilon_2$$

$$Pr[X_i = 0|w \notin C] = Pr[M \text{ rejects } w|w \notin C] \geq 1 - \epsilon_2$$

$$\begin{aligned} Pr[M' \text{ rejects } w|w \notin C] &= Pr[X \leq \epsilon_2|w \notin C] \\ &= Pr\left[\sum_{i=1}^k X_i \leq k\epsilon_2|w \notin C\right] = 1 - Pr\left[\sum_{i=1}^k X_i > k\epsilon_2|w \notin C\right] \\ &\geq 1 - \frac{1}{k\epsilon_2} E\left[\sum_{i=1}^k X_i|w \notin C\right] \quad (\text{by Markov inequality}) \\ &= 1 - \frac{1}{\epsilon_2} \cdot \frac{1}{k} \sum_{i=1}^k E[X_i|w \notin C] \quad (\text{by linearity of expectation}) \\ &= 1 - \frac{1}{\epsilon_2} \cdot E[\bar{X}_i|w \notin C] \approx 1 - \frac{\mu'}{\epsilon_2} \quad (\text{with } \mu', \text{ a constant}) \\ &\quad \text{where } E[\bar{X}_i|w \notin C] \rightarrow \mu' \text{ in probability, by WLLN} \\ &\Rightarrow Pr[M' \text{ rejects } w|w \notin C] \geq 1 - \epsilon', \text{ where } \epsilon' = \frac{\mu'}{\epsilon_2} \\ \text{Similarly, } Pr[M' \text{ accepts } w|w \in C] &\geq 1 - \epsilon'', \text{ where } \epsilon'' = \frac{\mu''}{\epsilon_2} \\ \text{and } E[\bar{X}_i|w \in C] &\rightarrow \mu'' \text{ in probability, by WLLN} \end{aligned}$$

Define  $\epsilon = \min(\epsilon', \epsilon'')$ , so that we have,

$$Pr[M' \text{ rejects } w|w \notin C] \geq 1 - \epsilon$$

$$Pr[M' \text{ accepts } w|w \in C] \geq 1 - \epsilon$$

Since  $\mu'$  and  $\mu''$  represent (population) means of 0 – 1 random variables, both of them must be  $< 1 \Rightarrow \epsilon < 1$ . Also,  $\epsilon_2 \geq \frac{1}{2} \Rightarrow \epsilon' = \frac{\mu'}{\epsilon_2} < \frac{1}{2}$

## Problem 3 Solution

$f$  and  $g$  be #P functions. By the definition of #P, this means there are nondeterministic machines  $N_1$  and  $N_2$  such that, on each input  $x$ ,  $f(x)$  equals the number of accepting paths of  $N_1(x)$  and  $g(x)$  equals the number of accepting paths of  $N_2(x)$ .

*Proof:* #P is closed under addition.

consider the nondeterministic machine  $N$  that, on input  $x$ , makes one initial nondeterministic choice, namely, whether it will simulate  $N_1$  or  $N_2$ . Then the machine simulates the machine it chose. Note that, in effect, the computation tree of  $N(x)$  is a tree that has a root with two children, one child being the computation tree of  $N_1(x)$  and the other child being the computation tree of  $N_2(x)$ . So it is clear that the number of accepting paths of  $N(x)$  is exactly  $f(x) + g(x)$

*Proof:* #P is closed under multiplication.

Consider a nondeterministic machine  $N$  that on input  $x$  nondeterministically guesses one computation path of  $N_1(x)$  and one computation path of  $N_2(x)$  and then accepts if both guessed paths are accepting paths. Clearly the number of accepting paths of  $N(x)$  is exactly  $f(x)g(x)$ , thus showing that #P is closed under multiplication.