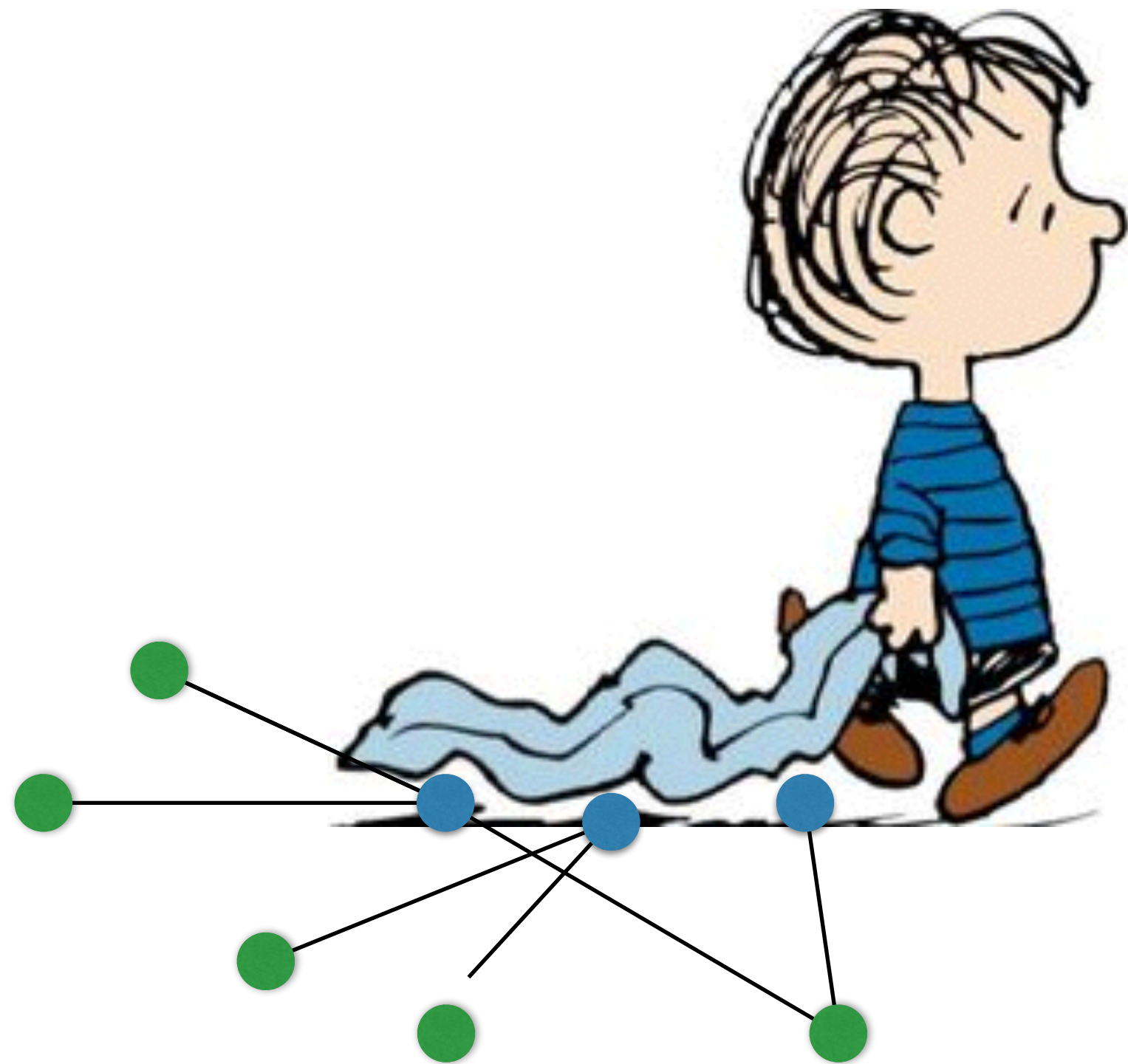




# Primal-dual algorithm for Vertex Cover



$$\min \sum_{\mathbf{u}} w_{\mathbf{u}} x_{\mathbf{u}}^*$$

$$x_{\mathbf{u}}^* + x_{\mathbf{v}}^* \geq 1$$

$$0 \leq x_{\mathbf{u}}^* \leq 1$$



$$\mathbf{Primal} \quad \min \sum_{\mathbf{u}} \mathbf{w}_{\mathbf{u}} \mathbf{x}_{\mathbf{u}}$$

$$\mathbf{x}_{\mathbf{u}} + \mathbf{x}_{\mathbf{v}} \geq \mathbf{1} \quad \forall \mathbf{u}\mathbf{v} \in \mathbf{E}$$

$$\mathbf{x}_{\mathbf{u}} \geq \mathbf{0} \quad \forall \mathbf{u} \in \mathbf{V}$$

$$\begin{array}{l} \begin{array}{l} (\mathbf{e} = \mathbf{u}\mathbf{v}) \\ (\mathbf{e}' = \mathbf{u}\mathbf{x}) \\ (\mathbf{e}'' = \mathbf{u}\mathbf{y}) \end{array} \begin{pmatrix} \begin{array}{c} (\mathbf{y}) \\ (\mathbf{u}) \\ (\mathbf{v}) \\ (\mathbf{x}) \end{array} \\ \begin{array}{cccc} \dots & 0 & 1 & 0 \end{array} & \dots & 0 & 1 & 0 \\ \begin{array}{ccc} \dots & 0 & 0 & 0 \end{array} & \dots & \dots & \dots & \dots \\ \begin{array}{ccc} \dots & 0 & 1 & 0 \end{array} & \dots & \dots & 0 & 1 & 0 \\ \begin{array}{ccc} \dots & 0 & 0 & 0 \end{array} & \dots & \dots & \dots & \dots & \dots \\ \begin{array}{cccc} \dots & 0 & 1 & 0 \end{array} & \dots & 0 & 1 & 0 & \dots \end{array} \end{pmatrix} \end{array}$$

$$\mathbf{Dual} \quad \max \sum_{\mathbf{e}} \mathbf{y}_{\mathbf{e}}$$

$$\sum_{\mathbf{e}:\mathbf{u} \in \mathbf{e}} \mathbf{y}_{\mathbf{e}} \leq \mathbf{w}_{\mathbf{u}} \quad \forall \mathbf{u} \in \mathbf{V}$$

$$\mathbf{y}_{\mathbf{e}} \geq \mathbf{0} \quad \forall \mathbf{e} \in \mathbf{E}$$

**“Construct” integer solutions  $x$  for (P),  $y$  for (D)**

$$\begin{array}{ll} \min \sum_{\mathbf{u}} w_{\mathbf{u}} x_{\mathbf{u}} & \max \sum_{\mathbf{e}} y_{\mathbf{e}} \\ x_{\mathbf{u}} + x_{\mathbf{v}} \geq 1 \quad \forall \mathbf{u}\mathbf{v} \in \mathbf{E} & \sum_{\mathbf{e}:\mathbf{u} \in \mathbf{e}} y_{\mathbf{e}} \leq w_{\mathbf{u}} \quad \forall \mathbf{u} \in \mathbf{V} \\ x_{\mathbf{u}} \geq 0 \quad \forall \mathbf{u} \in \mathbf{V} & y_{\mathbf{e}} \geq 0 \quad \forall \mathbf{e} \in \mathbf{E} \end{array}$$

**Start with:**  $x = (0, \dots, 0), y = (0, \dots, 0)$

$x$  has low value but **is not feasible**

$y$  is feasible but has low value

**“Construct” integer solutions  $\mathbf{x}$  for (P),  $\mathbf{y}$  for (D)**

$$\begin{array}{ll} \min \sum_{\mathbf{u}} w_{\mathbf{u}} x_{\mathbf{u}} & \max \sum_{\mathbf{e}} y_{\mathbf{e}} \\ x_{\mathbf{u}} + x_{\mathbf{v}} \geq 1 \quad \forall \mathbf{u}\mathbf{v} \in \mathbf{E} & \sum_{\mathbf{e}:\mathbf{u} \in \mathbf{e}} y_{\mathbf{e}} \leq w_{\mathbf{u}} \quad \forall \mathbf{u} \in \mathbf{V} \\ x_{\mathbf{u}} \geq 0 \quad \forall \mathbf{u} \in \mathbf{V} & y_{\mathbf{e}} \geq 0 \quad \forall \mathbf{e} \in \mathbf{E} \end{array}$$

**Start with:**  $\mathbf{x} = (0, \dots, 0), \mathbf{y} = (0, \dots, 0)$

**Repeat:**

**pick  $\mathbf{e} = \mathbf{u}\mathbf{v}$  such that  $x_{\mathbf{u}} + x_{\mathbf{v}} < 1$**

**increase  $y_{\mathbf{e}}$  until**

$$\sum_{\mathbf{f}:\mathbf{u} \in \mathbf{f}} y_{\mathbf{f}} = w_{\mathbf{u}} \quad \text{or} \quad \sum_{\mathbf{f}:\mathbf{v} \in \mathbf{f}} y_{\mathbf{f}} = w_{\mathbf{v}}$$

**first case:**  $x_{\mathbf{u}} \leftarrow 1$       **second case:**  $x_{\mathbf{v}} \leftarrow 1$

$$\min \sum_{\mathbf{u}} \mathbf{w}_{\mathbf{u}} \mathbf{x}_{\mathbf{u}}$$

$$\mathbf{x}_{\mathbf{u}} + \mathbf{x}_{\mathbf{v}} \geq 1 \quad \forall \mathbf{u}\mathbf{v} \in \mathbf{E}$$

$$\mathbf{x}_{\mathbf{u}} \geq 0 \quad \forall \mathbf{u} \in \mathbf{V}$$

$$\max \sum_{\mathbf{e}} \mathbf{y}_{\mathbf{e}}$$

$$\sum_{\mathbf{e}:\mathbf{u} \in \mathbf{e}} \mathbf{y}_{\mathbf{e}} \leq \mathbf{w}_{\mathbf{u}} \quad \forall \mathbf{u} \in \mathbf{V}$$

$$\mathbf{y}_{\mathbf{e}} \geq 0 \quad \forall \mathbf{e} \in \mathbf{E}$$

**Repeat:**

**pick**  $\mathbf{e} = \mathbf{uv}$  **such that**  $\mathbf{x}_{\mathbf{u}} + \mathbf{x}_{\mathbf{v}} < 1$

**increase**  $\mathbf{y}_{\mathbf{e}}$  **until**

$$\sum_{\mathbf{f}:\mathbf{u} \in \mathbf{f}} \mathbf{y}_{\mathbf{f}} = \mathbf{w}_{\mathbf{u}} \quad \text{or} \quad \sum_{\mathbf{f}:\mathbf{v} \in \mathbf{f}} \mathbf{y}_{\mathbf{f}} = \mathbf{w}_{\mathbf{v}}$$

**first case:**  $\mathbf{x}_{\mathbf{u}} \leftarrow 1$       **second case:**  $\mathbf{x}_{\mathbf{v}} \leftarrow 1$

**Invariants:**  $\mathbf{y}$  remains feasible throughout

$\mathbf{x}$  has fewer and fewer violated constraints

**In the end:** both are feasible

**Repeat:**

**pick**  $e = uv$  **such that**  $x_u + x_v < 1$

**increase**  $y_e$  **until**

$$\sum_{f:u \in f} y_f = w_u \text{ or } \sum_{f:v \in f} y_f = w_v$$

**first case:**  $x_u \leftarrow 1$       **second case:**  $x_v \leftarrow 1$

**Invariant:** for every  $u \in V$

$$\sum_{f:u \in f} y_f = w_u \text{ or } x_u = 0$$

**and for every**  $e = uv \in E$

$$y_e = 0 \text{ or } x_u + x_v = 1 \text{ or } x_u + x_v = 2$$

$$\min \sum_{\mathbf{u}} \mathbf{w}_{\mathbf{u}} \mathbf{x}_{\mathbf{u}}$$

$$\mathbf{x}_{\mathbf{u}} + \mathbf{x}_{\mathbf{v}} \geq \mathbf{1} \quad \forall \mathbf{u}\mathbf{v} \in \mathbf{E}$$

$$\mathbf{x}_{\mathbf{u}} \geq \mathbf{0} \quad \forall \mathbf{u} \in \mathbf{V}$$

$$\max \sum_{\mathbf{e}} y_{\mathbf{e}}$$

$$\sum_{\mathbf{e}:\mathbf{u} \in \mathbf{e}} y_{\mathbf{e}} \leq \mathbf{w}_{\mathbf{u}} \quad \forall \mathbf{u} \in \mathbf{V}$$

$$y_{\mathbf{e}} \geq \mathbf{0} \quad \forall \mathbf{e} \in \mathbf{E}$$

$$\sum_{\mathbf{u}} \mathbf{w}_{\mathbf{u}} \mathbf{x}_{\mathbf{u}} = \sum_{\mathbf{u}:\mathbf{x}_{\mathbf{u}} \neq \mathbf{0}} \mathbf{w}_{\mathbf{u}} \mathbf{x}_{\mathbf{u}}$$

$$= \sum_{\mathbf{u}:\mathbf{x}_{\mathbf{u}} \neq \mathbf{0}} \sum_{\mathbf{v}:\mathbf{u}\mathbf{v} \in \mathbf{E}} y_{\mathbf{u}\mathbf{v}} \mathbf{x}_{\mathbf{u}}$$

$$= \sum_{\mathbf{u}} \sum_{\mathbf{e}=\mathbf{u}\mathbf{v} \in \mathbf{E}} y_{\mathbf{e}} \mathbf{x}_{\mathbf{u}}$$

$$= \sum_{\mathbf{e}} \left( \sum_{\mathbf{u} \in \mathbf{e}} \mathbf{x}_{\mathbf{u}} \right) y_{\mathbf{e}}$$

$$\leq \sum_{\mathbf{e}} 2y_{\mathbf{e}}$$

$$= 2 \sum_{\mathbf{e}} y_{\mathbf{e}} \leq 2 \cdot \mathbf{OPT}$$



# Primal-dual algorithm for vertex cover

**Repeat:**

**pick**  $e = uv$  **such that**  $x_u + x_v < 1$

**increase**  $y_e$  **until**

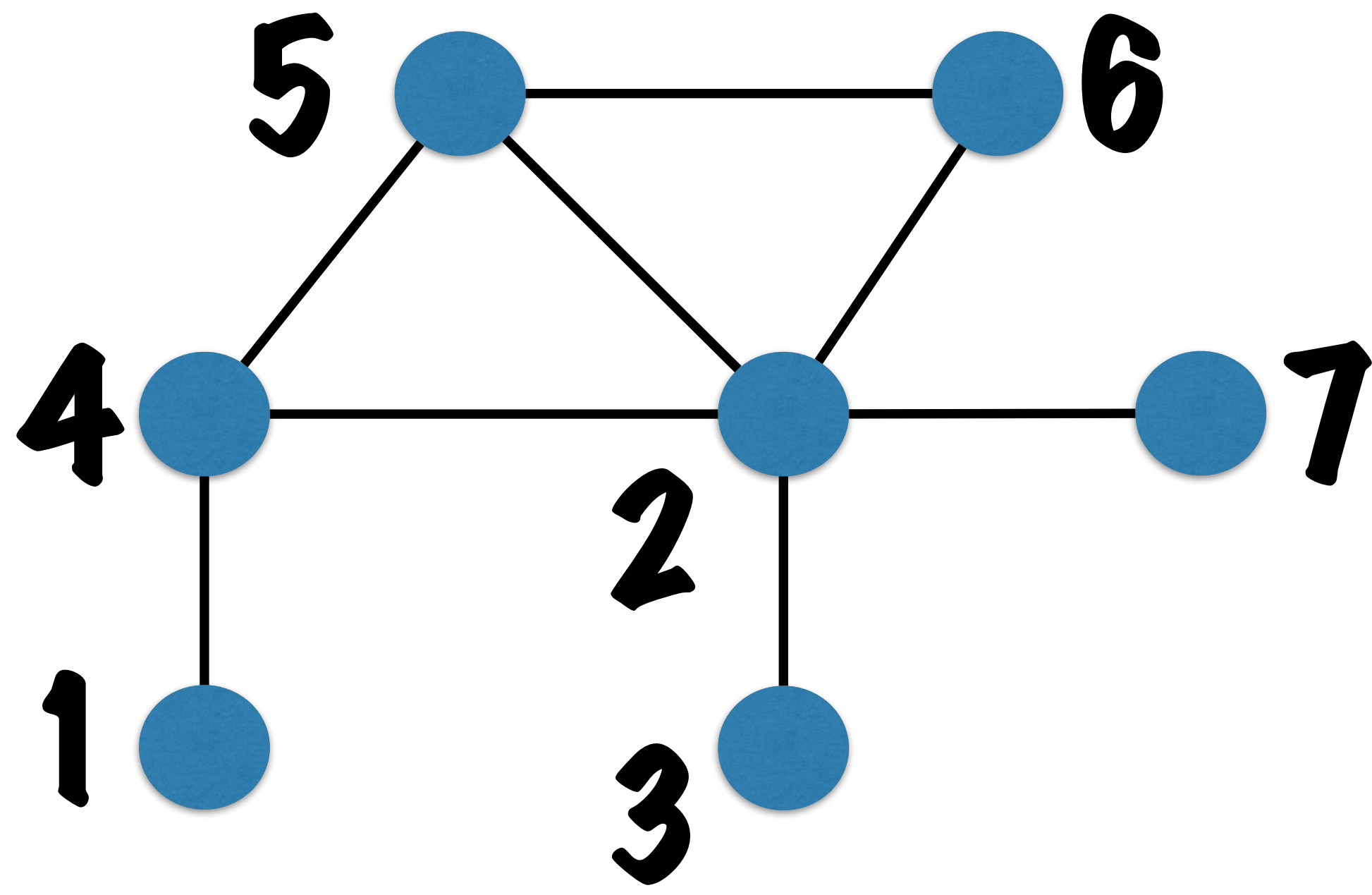
$$\sum_{f: u \in f} y_f = w_u \text{ or } \sum_{f: v \in f} y_f = w_v$$

**first case:**  $x_u \leftarrow 1$       **second case:**  $x_v \leftarrow 1$

**Theorem:** the primal-dual algorithm for vertex cover is a 2-approximation

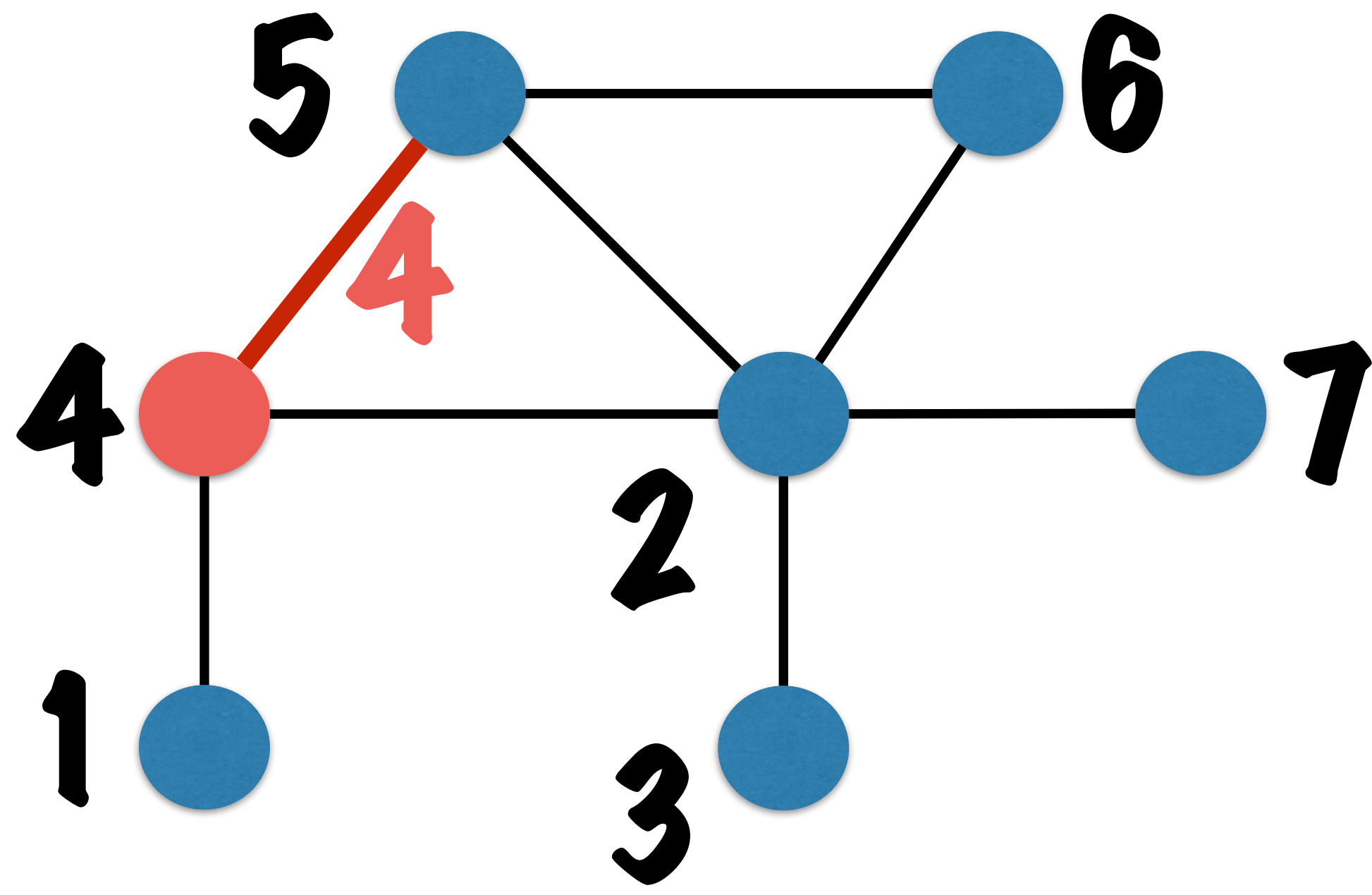
# Example

**vertex  
weights**



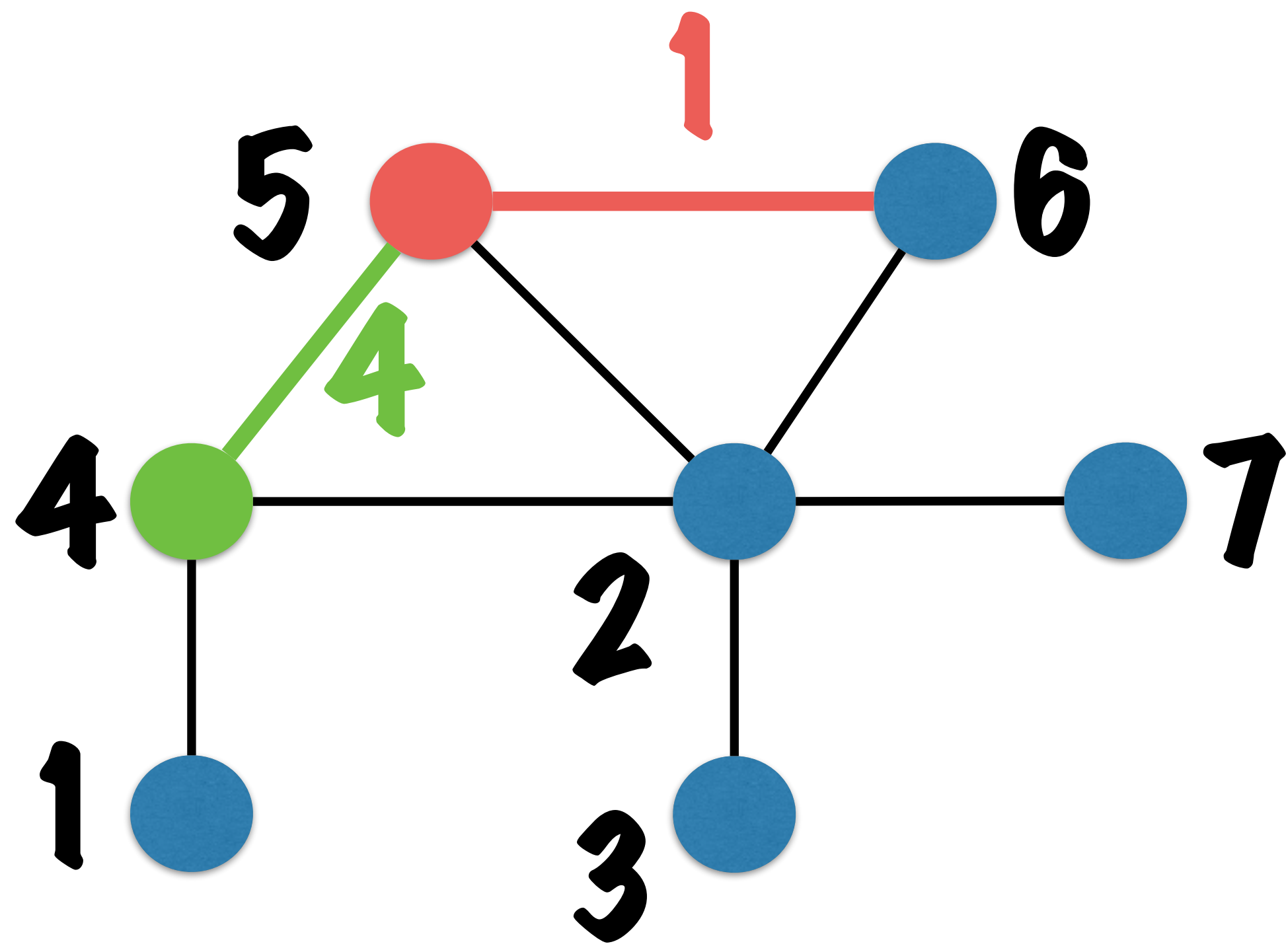
# Example

**vertex  
weights**



# Example

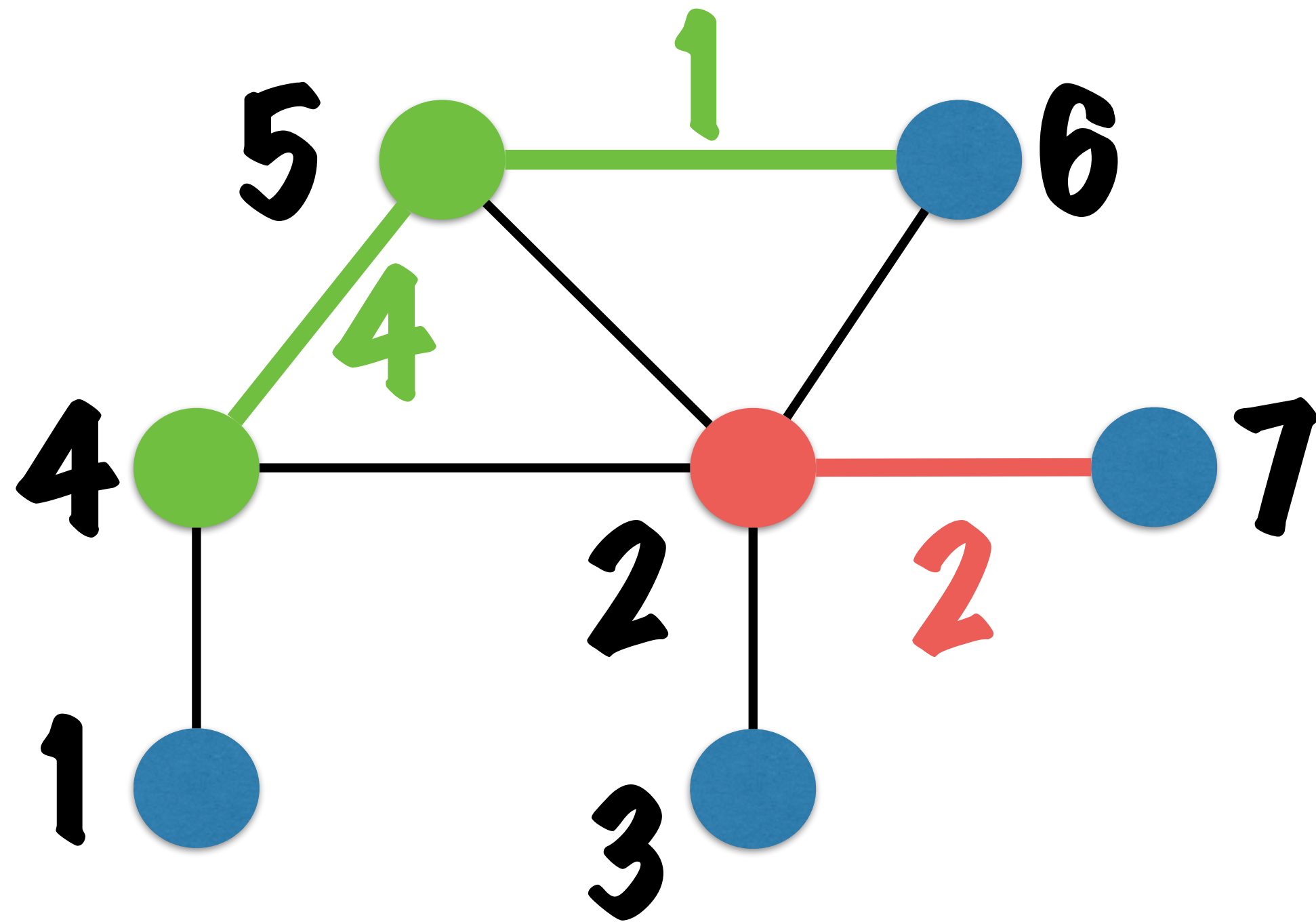
**vertex  
weights**





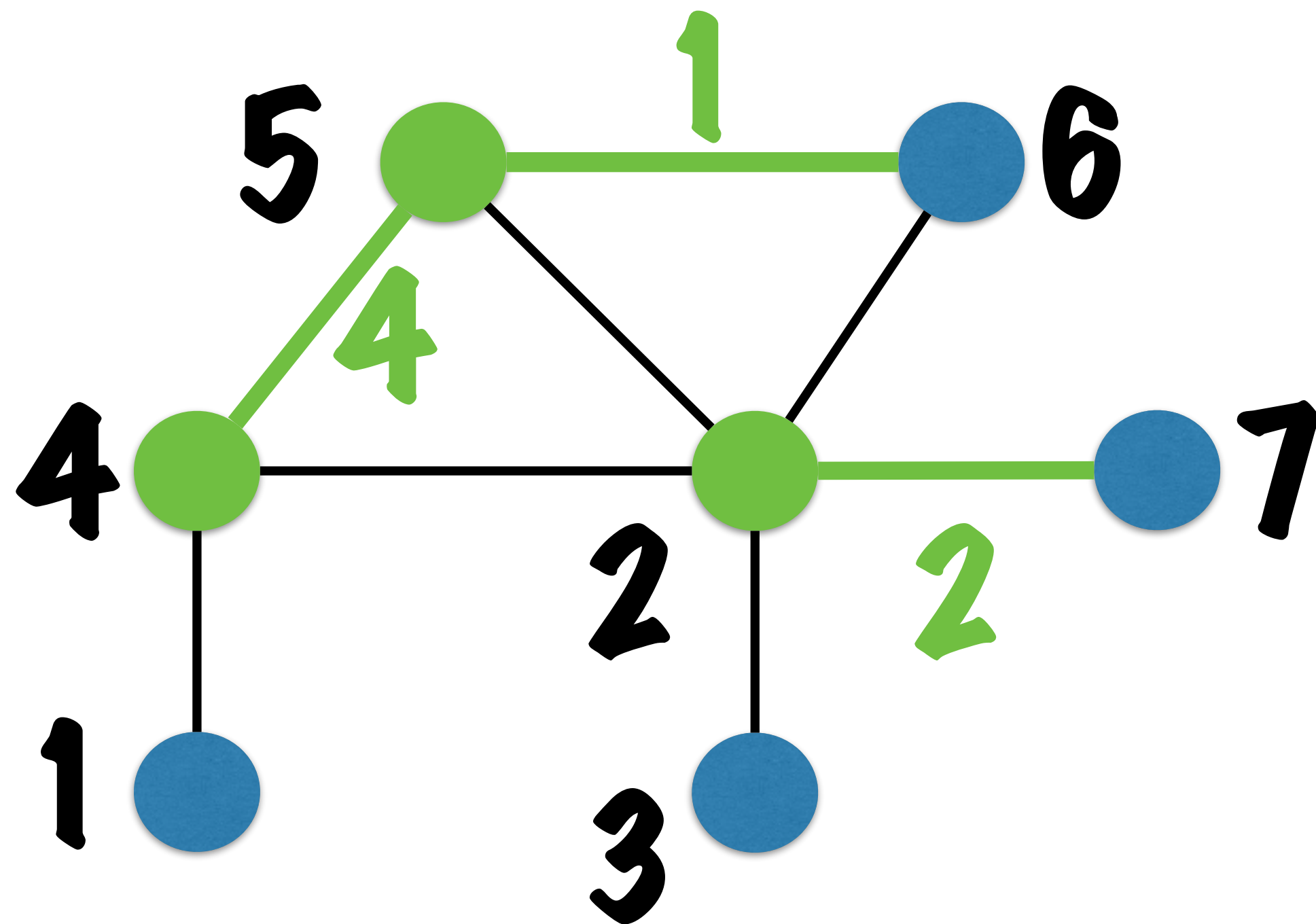
# Example

# vertex weights



# Example

**vertex  
weights**



**Output {2,4,5}**  
**OPT={1,2,5}**

