ASSESSMENT REPORT FOR

PT. AUDEMARS INDONESIA

Prepared by

Sapta Yuliantara

Report No: AR12-ID-1-0203-RA1-I1

Dated: 29.12.2020

1 Organisation Audited

Organization Name:	PT. Audemars Indonesia
Full Address with the Country:	Jl. Pantai Selatan, Sentra Industri Terpadu Pantai Indah Kapuk Blok I.2 No.6, Kelurahan Kamal Muara, Kecamatan Penjaringan, Jakarta Utara 14470-Indonesia
Contact Person:	081219993066
Telephone:	Mr. Irwandi
E-Mail:	irwandi@audemars.co.id
Seasonal Operations (Harvesting, Holiday Villages, hotels, Fruits and Vegetable packaging etc.) **If 'Yes' ensure temporary/ permanent unskilled workers are considered when reporting the number of personnel as Part-Time or Full-Time**	☐ Yes ☒ No
Number of Employees	25
No of Shifts	☒ 1 ☐ 2 ☐ 3 ☐ 4
Any change in the number of Employees since the last audit or application review	☐ Yes ☒ No If Yes please write the number:
Have the organisational risks been increased since the previous audit as well as due to seasonal unskilled workers	☐ Yes ☒ No ☐ Not applicable
Is there any requirement to adjust the audit time in this audit or future? (increase or reduce) If 'Yes' (Send an email to info@bscertification.com) Note: Ensure the effectiveness of audit can still be maintained by increment or reduction of Man-days	☐ Yes ☒ No If 'Yes' only Why? Increase ☐ Reduce ☐ How many Man-days?

2 Audit Date(s)

Audited From:	28.12.2020	To	29.12.2020

3 Audit Objective

The objectives of this audit were:

- To confirm that the management system is comply with the audit criteria.
- To confirm that the organization has effectively implemented the planned management system
- To confirm the client's continued conformity and effectiveness of the management system as a whole, and its continued relevance and applicability for the scope of certification and also identification of areas for potential improvement.
- To confirm that the certified management system(s) conforms with requirements of to the standard, including, but not limited to:
  a. internal audits and management review,
  b. a review of actions taken on nonconformities identified during the previous audit,
  c. treatment of complaints,
  d. effectiveness of the management system with regard to achieving the certified client's objectives,
  e. progress of planned activities aimed at continual improvement,
  f. continuing operational control,
  g. review of any changes, and
  h. use of marks and/or any other reference to certification.

4 Audit Criteria

☒ ISO 9001:2015 ☒ ISO 14001:2015 ☐ ISO 45001:2018 ☒ AS/NZS 4801:2001/OHSAS 18K:07->45K
☐ Other:_____ (please double click and select the checked on default value)

5 Type of Audit:

☐ Stage-2 ☐ Surveillance No: ☒ Re-certification No: 1 ☐ Transfer Audit
☐ Scope Extension ☐ Transition

6 Scope of Registration:

Scope	Manufacture, Services, and Rental Company for Enhance Pipeline Flow System	
Any Scope changed during the audit * inform Bcert if there is a major change in the agreed scope	☐ Yes ☒ No If Yes: New Scope	
ISIC Code	32, 77	
Any non-applicable Clauses:	☒ Yes ☐ No If Yes, please make a detail justification	
	Clause No:	Clause No:
	8.3	Design and development (No process design)
No of Permanent Sites	1	
No of Temporary Sites	0	
Are those risks the same in all Sites	☒ Yes ☐ No	
How many sites selected for Auditing	1	

7 Locations Audited: Write down all the locations (different sites, factory etc.) audited

Address of the Location	Virtual Site
• Jl. Pantai Selatan, Sentra Industri Terpadu Pantai Indah Kapuk Blok I.2 No.6, Kelurahan Kamal Muara, Kecamatan Penjaringan, Jakarta Utara 14470-Indonesia • Site: PT SPR Langgak (PT Sarana Pembangunan Riau)	☐ Yes

8 Assessment Participants:

Name	Designation	Opening Meeting (√)	Audited (√)	Closing Meeting (√)
Mr. Irwandi	Director Operational	√	√	√
Mr. Archem	Business Development Manager	√	√	√
Mr. Ilham Asadin	Technical	√	√	√
Ms. Shita	Technical	√	√	√
Mr. Ardi	Procurement /Logistic	√	√	√
Mr. Achem	HSE	√	√	√
Mr Agus	Production / QC / Workshop	√	√	√
Mr. Irfan	IT	√	√	√
Mr. Teguh	Electrical	√	√	√

9 Auditors

	NAME
Lead Auditor	Sapta Yuliantara
Auditor	
Witness Auditor	
Observer/ Trainee Auditor	

10 Audit Summary and Recommendation:

Audit Summary

I would like to thank all the audit participants for their assistance and co-operation which enabled the audit to run smoothly and to schedule.
The audit team concludes based on the results of this audit that raised nonconformities as follows:
Special Notes:

- **Full Audit was completed remotely. ☐ Yes ☒ No. or**

The following processes were audited remotely. Write down the key processes audited only.

No	Name of the process

Identify the Equipment and communication software used in the audit.

Equipment	
Communication software	
Any other	
Any disruption encountered ☐ Yes Then describe->	
Has the audit objectivity Met ☐ Yes If No, then describe->	

☒ **The audit team raised the non-conformities:**

- Major NCR: **3**
- Minor NCR: **1**

The previous nonconformities have been closed out: ☒ **Yes** ☐ **No**.

If NCRs are raised,
Corrective Action Plan shall be submitted to BCert within 15 days from the date of current stage of the audit. NC closure needs to be submitted to BCert with objective evidence within 60 days for major NCRs and within 90 Days for Minor NCRs. If all non-conformances are not closed within given time frame, a full reassessment may be required if it is an initial certification audit. If it is a recertification audit certificate will not be issued unless otherwise all the NCRs are being closed out.

If it is surveillance audit certificate will be suspended as the result of the non-closure of NCRs within specified time frame as above. The organization should consider the root cause of the non-conformance and the potential for related issues in other parts of your system.

Legal Requirements Applicable: ☐ **Yes** ☒ **No**.

Compliance of Legal requirements verified and found to be that the Company audited has a system of compliance with the applicable Legal Requirements. ☐ **Yes** ☒ **No**.

Auditors Name(s) who verified the above requirements: Sapta Yuliantara

☒ **Management System complies with the requirements of the reference standard(s):** Congratulations, on the basis of the above summary, Lead Auditor is pleased to put forward a recommendation for ☒ certification or ☐ continues to maintain certification.

☒ **Management System complies with the requirements of the reference standard with exception of minor NC:** Congratulations, Lead Auditor is pleased to put forward a recommendation for Certification upon verification of closure of all NCR raised.

☒ **Evidence of major non conformities:** Organization is not recommended for Certification. A follow-up assessment will be scheduled to allow for on-site verification and closure of all issues.

☐ **Not Recommended:** Organization is not recommended for certification; a current stage of audit will be required. To progress your application for registration, please respond to each non-conformances, with a plan showing proposed actions, timescales and responsibilities for resolution.

☒ This audit report will be subjected to independently review by Business Systems Certification.

The Audit was Random Sampling

11 Abbreviations

IMS – Integrated management system covering quality, environmental and safety
QMS - Quality Management System
EMS - Environmental Management System
Safety – Work Health & Safety Management System
QHSE - Quality Health & Safety and Environment

12 Audit Findings:

4. Context of the organisation

QMS	4.1,4.2,4.3, 4.4	OHS	4.1,4.2,4.3 (4.1),4.4	EMS	4.1,4.2,4.3,4.4	Conforming ☒ Yes ☐ No

Understanding the organisation and Behaviour
PT. Audemars Indonesia is an Oil and Gas subcontractor and focus on the services to improve oil production (to solve block pipe) and to assist production running well. New technology well improves with invention electric viscosity reducer utilizing electromagnetic waves. The product call "flow assurance system technology" FAST can reduce heavy oil viscosity and solve blocking the pipe. Currently 1 project still on progress trial with PT SPR Langgak (PT Sarana Pembangunan Riau). Name of project "Flow Assurance System Technology (FAST). January 2020 till current (still running). All project still trial condition

In the QHSE manual (AMI-M-MR-01, Rev-03 15 January 2020) mention that the PT. Audemars Indonesia has determined the sequence and interaction of these processes through business process flow (core process and supporting process defined). Business process attached in the QHSE Manual

PT. Audemars Indonesia has determined scope of quality health and safety management system implementation on QHSE manual (AMI-M-MR-01, Rev-03 15 January 2020) The scope is Manufacture. Services and Rental Company for Enhance Pipeline Flow System. PT. Audemars Indonesia evaluate and update periodically the QHSE management system to ensure that the system effectively implementation. Clause 8.3 Design and Development excluded, because design by principle
The business process still relevant with organization main and support process, and interaction each process. The location of PT. Audemars Indonesia at Jakarta-Indonesia

PT. Audemars Indonesia has a vision and mission which have been socialized to all employees and signed by Director

Vision: leader market Indonesian company with product FAST
Mission: Commitment to give solution with innovative technology

The organization has established vision and mission as strategic direction and business development. PT. Audemars Indonesia has been set of external and internal issues including political issue, related with customer, supplier, economic, social-culture, environmental (External). Market, there is a competitor from oversea. This is new product, need extra effort so that customer interested. Spare part resources limited while many projects. Emergency preparedness, employee competence, (Internal) that are relevant with goals and strategic directions and affecting its ability to achieve the desired results of the QHSE management System. Identification of Strength, weakness, opportunity, threat (SWOT) as a guidance. Please refer to the context organization to QHSE manual (AMI-M-MR-01, Rev-03 15 January 2020)

Understanding the needs and expectation of interested parties
PT. Audemars Indonesia has been explained the needs and expectation of interested parties like as top management (business improvement, over margin, employee performance). Customer (product quality comply with international standard. Subcontractor (On time payment) etc. Refer to PT.BOB BSP (Bumi Siak Pusako)

The audit trail and source of evidence.

- Integrated Management System Manual QHSE manual (AMI-M-MR-01, Rev-03 15 January 2020)
- List of need and expectation of interested parties

- Contract Free Trial No. 003/SPRL-MOU-XII/2019 with PT SPR Langgak (PT Sarana Pembangunan Riau)

Because the impact or potential impact on the ability of PT. Audemars Indonesia to consistently provide products and services that meet the needs of customer and legal requirements and regulations, PT. Audemars Indonesia.

The work set:
1. Interested parties that are relevant to the QHSE
2. This requirement is relevant with stakeholders with QHSE
3. The needs and expectation into compliance with QHSE Obligations

Determining the scope of the environmental management system
The organization has determined scope of Integrated Management System Implementation on QHSE manual (AMI-M-MR-01, Rev-03 15 January 2020). The scope is "Manufacture, services and rental company for enhance pipeline flow system". Business process well create and include in the quality manual. The organization has applying all requirements on ISO 9001:2015, ISO 14001:2015 and OHSAS 18001:2007 Standars Excluded clause 8.3. Design and Development. Because design by principle
Manual of integrated management system has addressed of company profile, location, and organisation structure, scope of certification and job description of relevant position.

Management system (QMS, OHS, EMS)
PT. Audemars Indonesia define the processes needed for the QHSE Manual and described AMI-M-MR-01, Rev-03 15 January 2020.
- Context Organization include in the Integrated Management System QHSE manual (AMI-M-MR-01, Rev-03 15 January 2020)
- Business Process Mapping updated 2020
- List of management system document
- Organization structure (2020).

The business process mapping has shown scope of work and internal process interaction to product or service realization. They have determined process interaction for company level and department level.
The organization has determined mechanism control for each stage of process refer to matrix process control
Some documented information was established:
- QHSE manual (AMI-M-MR-01, Rev-03 15 January 2020)
- Hazard identification and Risk Assessment Control AMI-P-MR-19
- Identification aspect impact AMI-P-MR-19
- Risk and Opportunities AMI-P-MR-02
- Management of change AMI-P-MR-09
- Legal requirement identification and compliance evaluation AMI-P-MR-08
- Communication, Participation, and consultation AMI-P-MR-10
- Management Review AMI-P-MR-06
- Training AMI-P-MR-29
- Accident investigation AMI-P-MR-18
- Documented information AMI-P-MR-01
- Internal Audit AMI-P-MR-04
- Corrective / preventive action AMI-P-MR-05
- Emergency preparation AMI-P-MR-P26-01

- Transportation AMI-P-MR-23
- Industrial Hygiene AMI-P-MR-24
- Work Permit AMI-P-MR-20
- Lifting & Rigging AMI-P-MR-21
- Grounding AMI-P-MR-25
- Waste management AMI-P-MR-16
- LOTO AMI-P-MR-15
- PPE AMI-P-MR-14
- Inspection AMI-P-MR-13
- Production AMI-P-PRO-01
- Maintenance AMI-P-MTC-01
- Equipment measure AMI-P-PRD-01
- Internal audit AMI-P-MR-04
- Management review AMI-P-MR-06
- Etc.

Context organization including vision, mission, internal external issue, scope, needs and expectation of interested parties no change during 2020 but updated. QHSE Manual, Risk and opportunities, SOP, WI has not been changing. New client during 2020: PT SPR Langgak (PT Sarana Pembangunan Riau)

5.0 Leadership

5.1 Leadership and commitment 5.2 Policies (QMS, OHS and EMS as applicable)5.3 Organisation roles and responsibilities 5.4 Consultation and Participation of Workers (OHS)

QMS	5.1. 5.2 ,5.3	OHS	5.1. 5.2 ,5.3, 5.4	EMS	5.1,5.2,5.3	Conforming ☒ Yes ☐ No

Leadership and commitment
Mr. Archem as Business Development Manager to explain about impact of Covid-19 to business and 60% reduce profit business because rapid PCR Swab to employees. During project must be quarantine 14 days and swab test at project, however, need budget and cost. Top management still has committed to implement ISO 9001, 14001, 45001. All employees conducted rapid test during pandemic Covid-19.

The audit trail and source of evidence.

- Context Organization in the QHSE Manual AMI-M-MR-01, Rev-03 15 January 2020
- Organization chart (2020)
- QHSE Policy New QHSE Policy & communicated
- Busines Development Plan 2020
- Top Management interview, with Mr. Archem He has explained about business plan during pandemic Covid-19 and improve business for the next 2021.

Policies (QMS, OHS and EMS as applicable)
The audit trail and source of evidence.

- Quality Health Safety environmental Policy QHSE signed by Mr. Irwandi (Director)
- Drug and alcohol policy FT-KBJ-HSE-02 23 June 2015 Rev.00

Organisation roles and responsibilities
The audit trail and source of evidence.

- Organization Chart 2020 (Mr. Aryan as electrical was resigned and change to Mr. Teguh) and Ms. Maya as Engineering at site
- Persyaratan Jabatan,Deskripsi Pekerjaan dan Otoritas (Job Description) responsibilities and authorities

Standard competence for position HSE Officer Mr. Achem

- Minimum Degree Health and Safety / Environmental
- Experience as HSE at field 1-2 years
- Certified as HSE Officer from government

6.0 Planning
6.1 Action to address risks and opportunities (Business Risks, QMS, EMS and OHS)
6.1.1 General, 6.1.2 Environmental aspects, 6.1.2 Hazard Identification and Assessment of Risk and Opportunities, 6.1.3 Compliance obligations QMS, EMS and OHS, 6.1.4 planning action (QMS, EMS and OHS)
6.2 Quality objectives and planning to achieve them, 6.3 planning of changes, Management of Changes

QMS	**6.1, 6.2, 6.3**	**OHS**	**6.1,6.2,6.3**	**EMS**	**6.1, 6.2, 6.3**	**Conforming** ☐ Yes ☒ No

The audit trail and source of evidence:

- Risk Identification for aspect impact Procedure AMI-P-MR-19
- Hazard Identification & Risk Assessment Control procedure AMI-P-MR02
- Risk and Opportunities AMI-P-MR-02
- Failure Mode and Effects Analysis (updated 13 February 2018)
- Politic, Economic, Sosial, Technology Issue (updated 13 February 2018)
- Strength, Weakness, Opportunity, Threat (SWOT) (Updated 13 February 2018)

The risk assessment was conducted based on process or area of work by person in charge in each department, and then the result of risk assessment will be consulted to HSE Officirer or MR to be reviewed and approved. Exp. Risk Assessment of Office, warehouse, workshop, hazardous waste temporary storage etc, last update on Feb 13th, 2018
Some of risk assessment potential such as working at height, shock electricity, fire etc. Those hazard and risk potential have controlled by method which will be consider of hierarchy of risk. Register of risk has defined and priority risk that subjected to objectives and programs are notified

Key significant risks (hazard identification and identification aspect impact) include:

- At workshop:
  Activity: welding, grinding, cutting → Hazard: Fire & operator injury & eyes injury (gram)→ equipment inspection program and PPE used
- Activities at site: working at height → Hazard personnel fall → PPE full safety body harness → refer to procedure working at height
- Activities at site (near with well): Gas monitoring (CH4, O2, H2S, CO) → Hazard: exposed gas / inhalation at main deck, boar deck, tween deck → used PPE , gas detector used
- Mobile crane (while use for handling) → falling object → routine inspect and have permit for equipment and operator (by government)

- Activities at site and workshop → shock electricity → PPE provided like as gloves

Quality and Risk Opportunities

1. Marketing → Fail tender process. Check list document / requirements provided. Administration and technical
2. Marketing → Target sales not achieved. Marketing plan developed
3. QC Inspection → not fulfilled with spec standard → Refer to material standard for Aluminum, coil, board, capacitor, IC, Controller
4. Production → Mistake process soldering control module → Make sure PCB and Manual book
5. Operation → Material broken → Mistake handling
6. Procurement / Logistic
   - Aim to ultimate cost about general materials → Review price list raw material (Aluminum, coil, board, capacitor, IC, Controller etc.)
   - Handling material → material broken. Established electronic handling material procedure
7. HRD
   - Education program → not fulfilled standard competence → program training implemented

Risk and opportunities, HIRADC, Environmental Aspect Impact no change during 2019 and to evaluate every year through management review on 19 August 2019.

During audit it was not found monitoring QHSE Objectives during 2020

Non-Conformity	☐ **Major** ☒ **Minor**	
Ref	**AR12-ID-1-0203-RA1-I1-01**	
Area	**QHSE Objectives**	**Clause: 6.2.1**
Requirements:	The organization shall establish quality objectives at relevant functions, levels and processes needed for the quality management system. The quality objectives shall: a) be consistent with the quality policy; b) be measurable; c) take into account applicable requirements; d) be relevant to conformity of products and services and to enhancement of customer satisfaction; e) be monitored;	
Details of the Non-Conformity	During audit it was not found monitoring QHSE Objectives during 2020	
Closed Out ☐ **Yes** ☒ **No** Details of Evidence (mandatory)	☐ Yes ☒ No	

7.0 Support

7.1 Resources, 7.2 Competence,7.3 Awareness, 7.4 Communication

QMS	7.1,7.1.2, 7.1.3,7.1.4, 7.1.5, 7.1.6, 7.2, 7.3, 7.4	OHS	7.1.7.2, 7.3,7.4	EMS	7.1, 7.2, 7.3, 7.4	Conforming ☒ Yes ☐ No

Communication / Consultation:
Meeting with client PT SPR Langgak on 16 November 2020 with topic → Achievement / progress operational trial FAST
Responsibilities and authorities for storage tank inspector:

1. Held storage tank inspection based on SOP and code and standard
2. Pre inspection meeting with client
3. Inspection and test plan (ITP) as a guidance
4. Documented information fulfilled record: Logbook, Daily Activities Book, inspection check list, time sheet
5. To implement HSE Procedure related with storage tank inspection

Mr. Teguh (Electrical Engineer) → request from user from Operation (Mr. Irwandi) → Interview by user and HRD on 21 October 2020 → Contract provided

Ms. Sri Rahmayani (Project Engineering) → request from Operation (Mr. Irwandi) → Interview by user and HRD on 13 August 2020 → Contract provided

Program training has been established 2020, however there is not a training implemented (because pandemic Covid-19)

Standard Competence was provided, such as: Electrical Engineering: Degree electrical, chemical. Experience in the electrical industry 1-2 years, HSE Management system ability etc.

General Affair:
Infrastructure maintenance including AC, Floor, building etc. Maintenance schedule 2019 and evidence implementation provided September 2018 – September 2019
Infrastructure maintenance including AC, Floor, building etc still on progress developed including IT (back up data)
Refer to the Control of document Information procedure (AMI-P-MR-01)

7.5 Documented information

QMS	7.5.1, 7.5.2, 7.5.3	OHS	7.5.1, 7.5.2, 7.5.3	EMS	7.5.1, 7.5.2, 7.5.3	Conforming ☐ Yes ☐ No

PT. Audemars Indonesia has controlled all document that distributed to all unit by stamp "Controlled Copy", this process conducted by document controller.
Document master-list (No.Doc. AMI-001-00 Last update (February 13st 2020) was completely established and updated for all document that used in the organization. The organisation also has established receipt of document that distribute to all department, it is documented on list of distribution document form (Doc. No. AMI-002-00), with this form we can trace the department that have received procedure of management system.

Audit trail & source evidence:

- Control of document information procedure (AMI-P-MR-01)
- Master list of documents
- Document distribution list
- Stamp control copy / uncontrol copy

PT. Audemars Indonesia well established a document procedure (AMI-P-MR-01) to define the controls needs for the identification, storage, protection, retrieval, retention & disposition of record
All record control by Management Representatives and Document Controlled, beside that master list of record updated (19 July 2019)
Audit trail and source evidence:

- Control of record procedure (AMI-P-MR-02)
- Master list of record.

8.0 Operational Control - Operational planning and control (Continuing operational control)
8.1 Operational Planning and Control (QMS, EMS, OHS)
8.2 Requirements for Product and Services
8.2.1 Customer Communication 8.2.2 Determining the requirements for products and services
8.2.3 Review of requirements for product and services
8.2.4 changes to requirements for products and services

QMS	**8.1, 8.2.1, 8.2.2, 8.2.3, 8.2.4**	**OHS**	**8.1**	**EMS**	**8.1**	**Conforming** ☐ Yes ☐ No
N/A						

QMS: 8.5 Productions and Services Provision
8.5.1 Control of production and service provision, 8.5.2 Identification and Traceability
8.5.3 Property belongs to customers or external providers, 8.5.4 Preservation 8.5.5 Post-delivery activities, 8.5.6 Control of changes 8.6 Release of products and services, 8.7 Control of non-conforming outputs

QMS	**8.5, 8.6, 8.7**	**OHS**	**8.1.1, 8.1.2, 8.1.3**	**EMS**	**8.1**	**Conforming** ☐ Yes ☐ No
N/A						

8.2. Emergency preparedness and control (EMS and OHS)

OHS	**8.2**	**EMS**	**8.2**	**Conforming** ☐ Yes ☐ No
N/A				

8.3 Design and development of product and Services

QMS	**8.3.1, 8.3.2, 8.3.3, 8.3.4, 8.3.5,8.3.6**	**Conforming** ☐ Yes ☐ No
N/A		

8.4 Control of externally provided processes, products and services, Procurement

QMS	8.4.1. 8.4.2, 8.4.3	OHS	8.1.4	EMS	4.4.1	Conforming ☐ Yes ☐ No

9. Performance Evaluation and Improvements
9.1. Monitoring, measurement, analysis and evaluation Including Health Surveillance 9.1.2 Evaluation of compliance (EMS and OHS)
9.2 Internal audit 9.3 Management Review
10 Improvements
10.1 and 10.2 General and Non-Conformity and Corrective action, Incidents, complaints handling 10.3 Continual improvement and Plans toward continual improvements

QMS	9.1.1, 9.1.2, 9.1.3, 10.1, 10.2, 10.3	OHS	9.1,9.1.2,9.3, 10.1, 10.2, 10.3(4.5.1, 4.51.2 4.5.2 4.5.3, 4.5.5, 4.6)	EMS	9.1,9.1.2,9.3, 10.1, 10.2, 10.3	Conforming ☐ Yes ☒ No

Monitoring, measurement, analysis and evaluation Including Health Surveillance, Evaluation of compliance (EMS and OHS)
Internal Audit
The audit trail and source of evidence.

- Internal audit
- Jadwal audit Internal
- HSE audit Checklis t
- Quality Audit Cecklist
- Pemberitahuan Audit Internal
- List C&PA Req.Form

The internal audit process has driven by (Internal QHSE Audit & Management Review). This procedure has determined frequency of audit which stated once years, auditor qualification and audit itenary. Internal audit has not been conducted during 2020. Based on SOP Internal audit AMI-P-MR-04 held once a year.

Management Review
The audit trail and source of evidence.

- Management review Procedure
- Minute of meeting Management Review

The agenda of management review has complied with standard requirement. Top management as lead at this meeting, where the last management review was conducted on , one day after internal audit. The minute of meeting has distributed to all meeting participant. Management review has not been conducted for period 2020. Based on SOP Management review AMI-P-MR-04 must be conducted every year

The procedure(s) of legal and other requirements is driven MMM-P-MR-07. The procedure(s) is addressed the mechanism to identified, assessed, and reviewed of legal and other requirements. The procedure(s) has not particular guided the mechanism to communicate any relevant regulation.
Audit trail and evident during audit observed, that record of document of Form legal identification to all covering (external document, enviromental and health safety) suh as

- Legislation No.1-1970 Re. Occupational Health & Safety Legislation.
- Ministry decree No. 05/2006 for Emission control.
- Environmental Regulation No. 27/1999. Environmental impact analysis.

- Environmental Regulation No. 74/ 2001. Management of hazardous material & hazardous waste
- Ministry of environmental decree No. 51/MENLH/10/1995 Re. Liquid industrial waste limit
- safety committee (Panitia Pembina K3), it was referred to Permenaker No.4/1987.
- Permenaker No.9 Tahun 2010 tentang alat angkat dan angkut (Lifting equipment)
- PP No. 50 Tahun 2012 tentang sistem manajemen K3 (occuptional health and safety management system)
- Environmental monitoring program was submitted to environmental ministry (the last report on 2017) however based on PP No.27 / 2012.

General and Non-Conformity and Corrective action, Incidents, complaints handling
The audit trail and source of evidence.

- Nonconformance procedure (Complaint handling)
- Etc

During 2019, no significant complaint and zero accident LTA and zero pollution

Non-Conformity	☒ **Major** ☐ **Minor**	
Ref	**AR12-ID-1-0203-RA1-I1-02**	
Area	**QHSE Internal Audit**	**Clause: 9.2**
Requirements:	9.2.1 The organization shall conduct internal audits at planned intervals to provide information on whether the quality management system: a) conforms to: 1) the organization's own requirements for its quality management system; 2) the requirements of this International Standard;	
Details of the Non-Conformity	Internal audit has not been conducted during 2020	
Closed Out ☐ **Yes** ☒ **No** Details of Evidence (mandatory)	☐ Yes ☒ No	

Non-Conformity	☒ **Major** ☐ **Minor**	
Ref	**AR12-ID-1-0203-RA1-I1-03**	
Area	**Management Review**	**Clause: 9.3.1**
Requirements:	Top management shall review the organization's quality management system, at planned intervals, to ensure its continuing suitability, adequacy, effectiveness, and alignment with the strategic direction of the organization	
Details of the Non-Conformity	Management review has not been conducted during 2020	
Closed Out ☐ **Yes** ☒ **No** Details of Evidence (mandatory)	☐ Yes ☒ No Click or tap here to enter text.	

Non-Conformity	☒ **Major** ☐ **Minor**	
Ref	**AR12-ID-1-0203-RA1-I1-04**	
Area	**Legal requirements evaluation**	**Clause: 4.5.2**
Requirements:	The organization shall establish, implement and maintain the process(es) needed to evaluate fulfilment of its compliance obligations. The organization shall: a) determine the frequency that compliance will be evaluated;	
Details of the Non-Conformity	There is no evaluation compliance environmental / health and safety regulation during 2020	
Closed Out ☐ **Yes** ☒ **No** Details of Evidence (mandatory)	☐ Yes ☒ No	

13 Previous Non-Conformities

Non-Conformity	☐ **Major** ☒ **Minor**	
Ref	**AR12-ID-1-0063-CA2-I1-01**	
Area	**Operation**	**Clause: 8.5.1**
Requirements:	8.5.1 Control of production and service provision the organization shall implement production and service provision under controlled conditions. Controlled conditions shall include, as applicable: a) the availability of documented information that defines: 1) the characteristics of the products to be produced, the services to be provided, or the activities to be performed;2) the results to be achieved; b) the availability and use of suitable monitoring and measuring resources; c) the implementation of monitoring and measurement activities at appropriate stages to verify that criteria for control of processes or outputs, and acceptance criteria for products and services,	
Details of the Non-Conformity	Journey Management has not been provided including distance, equipment move assessment. Etc. Mobilization equipment on February 2019 with DO.001-PTAI/CBT/X/2017. Total Equipment FAST: 4 (FAST Surface 4, Sub surface (ETER) 2, Sensor monitoring 5), however Delivery Order (DO) Equipment has not been found (Project PT.BOB BSP	
Closed Out ☐ **Yes** ☒ **No** Details of Evidence (mandatory)	☐ Yes ☒ No If Yes, please make a detail description: Still open	

14 Use of Logos and Certification Marks

Logo used in the letter head, card name correctly

15 Effectiveness of the management system achieving the certified Client's objectives and intended results

Mandatory to fill out in every audit in the 3 year cycle. 3 Year Cycle Data.

	Year-1[(a)]	Year-2	Year-3
No of NCRs raised	2	1	4
No of NCRs closed out	2	0	0
No Complaints[(b)]	0	0	0
Breaches of any Legal Requirements	☐ Yes ☒ No	☐ Yes ☒ No	☐ Yes ☒ No
Have the OHS, QMS and EMS systems demonstrated that confidence of comply to legal requirements can be maintained by interested parties including regulators and being able to manage constantly and consistently.	☒ Yes ☐ No	☒ Yes ☐ No	☒ Yes ☐ No
Leadership commitment: Is the leadership committed to comply with the legal requirements and policy commitments	☒ Yes ☐ No	☒ Yes ☐ No	☒ Yes ☐ No
QMS, EMS and OHS : Record an overall statement of Effectiveness of the management system achieving the certified Client's objectives and intended results: objectives could be reduce rework, process improvements, no legal fees or penalties, no environmental pollution, reduction of injuries, reduction of safety issues Has the Client achieved the desired results of implementing the management system	☒ Yes ☐ No	☒ Yes ☐ No	☒ Yes ☐ No

*(a)Year one could be i**nitial certification** or **recertification**. If it is a transfer ensure all data is collected from the previous reports.*

(b) Any complaints received from Clients of certified Clients, Review the complaints Register from interested parties:

16 Three Year Plan including next visit assessment Plan

Highlighted areas shall be audited at least once a year without any exception.

		ST1	ST2	CA-3	CA-4	RA-2
Activity/Location	**Date (mm/yy):**	TBA	TBA	07/21	07/22	07/23
	Duration (days):	1	4	1.5	1.5	3.0
Site(s) to be audited (Temporary) or Multi-Sites			√	√	√	√
4. Context of the organisation (4.1,4.2,4.3,4.4)		√	√	√	√	√
5. Leadership (Customer focus, Policies, roles and responsibilities 5.1,5.2,5.3		√	√	√	√	√
6. Planning (Risks, Objectives and Targets, Changes) 6.1,6.2,6.3		√	√	√	√	√
7. Support (Resources, Competency, Awareness, internal/(S&E) External Communication , Documented information (7.1,7.2,7.3,7.4,7.5)		√	√		√	√
8. Operation		√	√	√		√
8.1 Operational Panning and Control (QS&E)		√		√	√	√
8.2 (Q) Requirements for Product and Services			√	√		√
8.2 (SE) Emergency Preparedness and response			√		√	√
8.3 (Q) Design and development of products and services		N/A	N/A	N/A	N/A	N/A
8.4 (Q) Control of externally provided processes, products and services, 8.5 (Q) Production and service provision 8.6 (Q) Release of Products and Services 8.7 (Q) Control of non-conforming outputs			√	√	√	√
9. Performance evaluation (9.1 Monitoring and Measurement-customer satisfaction including complaints, analysis and evaluation), 9.2 Internal Audits, 9.3 Management Review , 9.1.2 (S&E)- Evaluation of Compliance, S)Health Surveillance, (S) Safety Reporting		√	√	√	√	√
10. Improvement (Q&E: 10.2 Non-conformity, Corrective Action, 10.3 plans towards Continual Improvement) - 10.1, 10.2, 10.3 10.2 Incidents (For Safety			√	√	√	√
11 Use of Logos and reference to certification				√	√	√
Continuing effectives of Operational control			√	√	√	√
Effectiveness of the management system achieving objectives of certified Client's			√	√	√	√

17 Next Visit Plan

Detailed Next Visit Assessment Plan will be sent one week prior to the agreed audit date.

Notes.
Please note that BCERT reserves the right to apply a charge equivalent to the full daily rate for cancellation of the visit by the organisation within 30 days of an agreed visit date.

The assessment was based on random sampling and therefore nonconformities may exist which have not been identified. If you have any discrepancies of this report, please send an email to info@bscertification.com within 10 days of the receipt of this report.

If you wish to distribute copies of this report external to your organisation, then all pages must be included.

BCERT, its staff and agents shall keep confidential all information relating to your organisation and shall not disclose any such information to any third party, except that in the public domain or required by law or relevant accreditation bodies. BCert staff, agents and accreditation bodies have signed individual confidentiality undertakings and will only receive confidential information on a 'need to know' basis.

This report and related documents are prepared for and only for BCERT's client and for no other purpose. As such, BCERT does not accept or assume any responsibility (legal or otherwise) or accept any liability for or in connection with any other purpose for which the Report may be used, or to any other person to whom the Report is shown or into whose hands it may come, and no other persons shall be entitled to rely on the Report.
Should you wish to speak with BCERT in relation to your registration, please contact your customer service officer +6221-54220416
Please e-mail your corrective action plan to bsc.indonesia@bscertification.com

Please e-mail your corrective action plan to respective email-address

Regulatory Compliance
BCERT requires to be informed of all relevant regulatory non-compliance or incidents that require notification to any regulatory authority. Acceptance of this report by the client signifies that all such issues have been disclosed as part of the assessment process and agreement that any such non-compliance or incidents occurring after this visit will be notified to BCERT as soon as practical after the event.