

# **DESIGN AND ANALYSIS OF ALGORITHMS**

**CS 4120/5120**

**DP – LONGEST COMMON SUBSEQUENCE**

# AGENDA

- Longest common subsequence
  - Problem definition
  - Building a model using abstraction
  - Solve the problem using DP

# ELEMENTS OF DP

## BRIEF REVIEW

- The four elements of dynamic programming
  - Two key ingredients
    - Optimal substructure
    - Overlapping subproblems
  - Reconstructing a solution
  - Memoization

# SIMILARITY OF DNA STRANDS

- A strand of DNA consists of a string of molecules called **bases**.
  - Adenine, **G**uanine, **C**ytosine, and **T**hymine.
- Representing each of these bases by its initial letter, we can express a strand of DNA as a string over the finite set  $\{A, C, G, T\}$ .
- For example, below are two DNA strands of two organism.
  - $S_1 = \text{ACCGGTCGAGTGCGCGGAAGCCGGCCGAA}$
  - $S_2 = \text{GTCGTTCGGAATGCCGTTGCTCTGTAAA}$



# SIMILARITY OF DNA STRANDS

## APPLICATION

- In medical/biological studies, people often need to compare two DNA strands to determine how “similar” they are.
- We might say two DNA strands are similar if
  - one is the substring of the other, or
  - the number of changes needed to turn one into the other is small, or
  - there exist a third strand  $S_3$  in which the bases in  $S_3$  appear in each of  $S_1$  and  $S_2$ .
    - The bases must appear in the same order, but not necessarily consecutively.

We formalize this notation of similarity as the ***longest-common-subsequence (LCS) problem***.

# SIMILARITY OF DNA STRANDS

## APPLICATION (CONT'D)

- Consider the LCS previously defined.
  - There exist a third strand  $S_3$  in which the bases in  $S_3$  appear in each of  $S_1$  and  $S_2$ .
    - The bases must appear in the same order, but not necessarily consecutively.
- Identify the  $S_3$  of the two DNA strands (below).
  - $S_1 = \text{ACCG}\text{GTCGAGTGCG}\text{CGGAAGCCGGCCGAA}$
  - $S_2 = \text{GTCGTTCGGAATGCCGTTGCTCTGTAAA}$

# LCS PROBLEM DEFINITION

## THE SUBSEQUENCE

- Given a sequence  $X = \langle x_1, x_2, \dots, x_m \rangle$ , another sequence  $Z = \langle z_1, z_2, \dots, z_k \rangle$  is a **subsequence** of  $X$  if there exists a strictly increasing sequence  $\langle i_1, i_2, \dots, i_k \rangle$  of indices of  $X$  such that for all  $j = 1, 2, \dots, k$ , we have  $x_{i_j} = z_j$  (the subscript of  $x_{i_j}$  is  $i_j$ ).
- Example
  - Consider two sequences  $Z = \langle B, C, D, B \rangle$  and  $X = \langle A, B, C, B, D, A, B \rangle$ .  
1 2 3 4  
2 3 4 5 6 7
  - $Z$  is a subsequence of  $X$  as there exists a strictly increasing sequence of indices of  $X$   $\langle 2, 3, 5, 7 \rangle$  that for all  $j = 1, 2, \dots, k$  we have  $x_{i_j} = z_j$ .
    - In this example,  $k =$  4,  $i_1 =$  2,  $i_2 =$  3,  $i_3 =$  5,  $i_4 =$  7
    - $x_{i_1} = x_{\underline{2}} =$  B,  $x_{i_2} = x_{\underline{3}} =$  C,  $x_{i_3} = x_{\underline{5}} =$  D,  $x_{i_4} = x_{\underline{7}} =$  B.

# LCS PROBLEM DEFINITION

## THE SUBSEQUENCE PRACTICE

- Given a sequence  $X = \langle x_1, x_2, \dots, x_m \rangle$ , another sequence  $Z = \langle z_1, z_2, \dots, z_k \rangle$  is a **subsequence** of  $X$  if there exists a strictly increasing sequence  $\langle i_1, i_2, \dots, i_k \rangle$  of indices of  $X$  such that for all  $j = 1, 2, \dots, k$ , we have  $x_{i_j} = z_j$  (the subscript of  $x_{i_j}$  is  $i_j$ ).
- Consider a sequence  $X = \{A, B, D, D, D, C, D, E, F, D, C, C, B\}$ . Suppose  $Z$  is a subsequence of  $X$  with corresponding index sequence  $\langle 1, 4, 6, 8, 12 \rangle$ .
  - $k = \underline{\quad 5 \quad}$
  - $\langle i_1, i_2, \dots, i_k \rangle = \langle \underline{\quad 1, 4, 6, 8, 12 \quad} \rangle$ .
  - $Z = \langle \underline{\quad A, D, C, E, C \quad} \rangle$ .



# LCS PROBLEM DEFINITION

## THE COMMON SUBSEQUENCE

- Given two sequences  $X$  and  $Y$ , we say that a sequence  $Z$  is a **common subsequence** of  $X$  and  $Y$  if  $Z$  is a subsequence of both  $X$  and  $Y$ .
- Example
  - Consider two sequences:  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$ .
  - Sequence  $\langle B, C, A \rangle$  is a **common subsequence** of  $X$  and  $Y$ .

# THE PROBLEM DEFINITION OF THE LONGEST COMMON SUBSEQUENCE

- In the **longest-common-subsequence (LCS) problem**, we are given two sequences

$$X = \langle x_1, x_2, \dots, x_m \rangle \text{ and } Y = \langle y_1, y_2, \dots, y_n \rangle \text{ and}$$

wish to find a **maximum** length **common subsequence** of  $X$  and  $Y$ .

- In the problem definition,
  - $X.length = \underline{\hspace{2cm}}$ , and the elements of sequence  $X$  are denoted by **lowercase**  $x$ .
  - $Y.length = \underline{\hspace{2cm}}$ , and the elements of sequence  $Y$  are denoted by **lowercase**  $y$ .
  - The lengths of  $X$  and  $Y$  **are not necessarily the same**.

# THE LONGEST-COMMON-SUBSEQUENCE PROBLEM

- Example

- Consider two sequences  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$ .
- List the **common subsequences** of  $X$  and  $Y$ .
  - $\langle A, B \rangle, \langle A, B, A \rangle$  (2)
  - $\langle B, C \rangle, \langle B, C, B \rangle, \langle B, C, B, A \rangle, \langle B, C, A \rangle, \langle B, C, A, B \rangle, \langle B, C, B \rangle$  (5)
  - $\langle C, B \rangle, \langle C, B, A \rangle, \langle C, A \rangle, \langle C, A, B \rangle$  (4)
  - $\langle B, D \rangle, \langle B, D, A \rangle, \langle B, D, A, B \rangle, \langle B, A \rangle, \langle B, A, B \rangle, \langle B, B \rangle$  (6)
  - $\langle A, B \rangle$  (1)
- The **longest common subsequence** of  $X$  and  $Y$  is  $Z = \underline{\langle B, C, B, A \rangle}$ , or  $Z = \underline{\langle B, D, A, B \rangle}$ .

# SOLVING THE LCS PROBLEM

- The problem description has the phrase **maximum length**, which indicates this is an *optimization problem*.
  - We shall now begin the steps of developing a DP.
    - We will find the two key ingredients of DP along the way.

# DYNAMIC PROGRAMMING CHECKLIST

- Here is a checklist of the qualifications of a DP problem.
  - ☒ Optimization problem
  - ☐ Two key ingredients
    - ☐ Optimal substructure
    - ☐ Overlapping subproblems

# OPTIMAL SUBSTRUCTURE NOTATIONS

- Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  be two sequence.
- Let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any **LCS** of  $X$  and  $Y$ .
- Given a sequence  $X = \langle x_1, x_2, \dots, x_m \rangle$ , we define **the  $i$ th prefix of  $X$** , for  $i = 0, 1, \dots, m$ , as  $X_i = \langle x_1, x_2, \dots, x_i \rangle$ 
  - $X_0$  is the empty sequence.

# OPTIMAL SUBSTRUCTURE

## NOTATIONS PRACTICE

- Consider the two sequences  $P = \langle \overset{1}{A}, \overset{2}{B}, \overset{3}{C}, \overset{4}{B}, \overset{5}{D}, \overset{6}{A}, \overset{7}{B} \rangle$  and  $Q = \langle \overset{1}{B}, \overset{2}{D}, \overset{3}{C}, \overset{4}{A}, \overset{5}{B} \rangle$ .
- Sequence  $Z = \langle B, D, A, B \rangle$  is an **LCS** of  $P$  and  $Q$ .
- Fill out the following blanks.
  - The sequence of indexes of  $P$  corresponding to  $Z$  is  $\langle 2, 5, 6, 7 \rangle$  or  $\langle 4, 5, 6, 7 \rangle$ .
  - The sequence of indexes of  $Q$  corresponding to  $Z$  is  $\langle 1, 2, 4, 5 \rangle$ .
  - $p_3 = \underline{C}$ ,  $p_6 = \underline{A}$ ,
  - $P_3 = \underline{\langle A, B, C \rangle}$ ,  $P_6 = \underline{\langle A, B, C, B, D, A \rangle}$ ,
  - $q_4 = \underline{A}$ ,  $Q_4 = \underline{\langle B, D, C, A \rangle}$ ,  $Q_0 = \underline{\emptyset}$ .

# DISCOVERING THE OPTIMAL SUBSTRUCTURE

- **General steps**

- **Step 1:** A solution to the problem consists of making a choice.
- **Step 2:** Suppose that for a given problem, you are given the choice that leads to an optimal solution.
- **Step 3:** Given this choice, you determine which subproblems ensue and how to best characterize the resulting space of subproblems.
- **Step 4:** Show the solutions to the subproblems used within an optimal solution to the problem must themselves be optimal by using a “cut-and-paste” technique.



# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 1

- **Step 1:** A solution to the problem consists of making a choice.
- Consider the two pairs of sequences shown below.
  - Pair #1:  $P = \langle A, B, C, B, D, A, B \rangle$  and  $Q = \langle B, D, C, A, B \rangle$ .
  - Pair #2:  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$ .
- Observations
  - Pair #1: Both sequences end in the same letter  $B$ .
  - Pair #2: The two sequences,  $X$  and  $Y$ , end in different letters.

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 1 (CONT'D)

- **Step 1:** A solution to the problem consists of making a choice.
- Consider the two pairs of sequences shown below.
  - Pair #1:  $P = \langle A, B, C, B, D, A, B \rangle$  and  $Q = \langle B, D, C, A, B \rangle$ .  $\Rightarrow$  A possible LCS is  $\langle \dots, \dots, \dots, B \rangle$
  - Pair #2:  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$ .
- **Making a choice**
  - Pair #1: Obviously, the ending letter  $B$  is included in an LCS of  $P$  and  $Q$ .
  - Pair #2: Use  $Z$  to denote an LCS of  $X$  and  $Y$ . Either  $Z$  and  $X$  do not end in the same letter, or  $Z$  and  $Y$  do not end in the same letter.

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 2

- **Step 2:** Suppose that for a given problem, you are given the choice that leads to an optimal solution.
  - At this point, you do not concern yourself with how to determine this choice.
- Unlike the rod-cutting problem or the matrix-chain multiplication problem, there is not a one-size-fits-all characterization the problem.
- We need to characterize the problem case-by-case.

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 2 (CONT'D)

- **Step 2:** Suppose that for a given problem, you are given the choice that leads to an optimal solution.
  - At this point, you do not concern yourself with how to determine this choice.
- **Case 1:**  $P = \langle A, B, C, B, D, A, B \rangle$  and  $Q = \langle B, D, C, A, B \rangle$ ,  $p_7 = q_5 = B$ .
  - Suppose that we are given the choice that including  $p_7 = q_5 = B$  in an LCS of  $P$  and  $Q$ .

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 2 (CONT'D)

- **Step 2:** Suppose that for a given problem, you are given the choice that leads to an optimal solution.
  - At this point, you do not concern yourself with how to determine this choice.
- **Case 2:**  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$ , where  $x_7 \neq y_6$ .
  - Use  $Z = \langle z_1, z_2, \dots, z_k \rangle$  to denote an LCS of  $X$  and  $Y$ , where
  - Suppose that we are given the choice that  $x_7$  is not included in the LCS  $Z$ .

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 2 (CONT'D)

- **Step 2:** Suppose that for a given problem, you are given the choice that leads to an optimal solution.
  - At this point, you do not concern yourself with how to determine this choice.
- **Case 3:**  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$ , where  $x_7 \neq y_6$ .
  - Use  $Z = \langle z_1, z_2, \dots, z_k \rangle$  to denote an LCS of  $X$  and  $Y$ , where
  - Suppose that we are given the choice that  $y_6$  is not included in the LCS  $Z$ .

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 3

- **Step 3:** Given this choice, you determine which subproblems ensue and how to best characterize the resulting space of subproblems.
- **Case 1:**  $P = \langle A, B, C, B, D, A, B \rangle$  and  $Q = \langle B, D, C, A, B \rangle$ ,  $p_7 = q_5 = B$ .
  - Suppose that we are given the choice that including  $p_7 = q_5 = B$  in an LCS of  $P$  and  $Q$ .
  - The subproblem can be formulated as finding an LCS of
    - $\langle A, B, C, B, D, A, \cancel{B} \rangle$  or  $P_{7-1} = P_6$  and
    - $\langle B, D, C, A, \cancel{B} \rangle$  or  $Q_{5-1} = Q_4$ .

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 3 (CONT'D)

- **Step 3:** Given this choice, you determine which subproblems ensue and how to best characterize the resulting space of subproblems.
- **Case 2:**  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$ , where  $x_7 \neq y_6$ .
  - Use  $Z = \langle z_1, z_2, \dots, z_k \rangle$  to denote an LCS of  $X$  and  $Y$ , where
  - Suppose that we are given the choice that  $x_7$  is not included in the LCS  $Z$ .
  - The subproblem can be formulated as finding an LCS of
    - $\langle A, B, C, B, D, A, \cancel{B} \rangle$  or  $X_{7-1} = X_6$  and
    - $\langle B, D, C, A, B, A \rangle$  or  $Y$ .



# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 3 (CONT'D)

- **Step 3:** Given this choice, you determine which subproblems ensue and how to best characterize the resulting space of subproblems.
- **Case 3:**  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$ , where  $x_7 \neq y_6$ .
  - Use  $Z = \langle z_1, z_2, \dots, z_k \rangle$  to denote an LCS of  $X$  and  $Y$ , where
  - Suppose that we are given the choice that  $y_6$  is not included in the LCS  $Z$ .
  - The subproblem can be formulated as finding an LCS of
    - $\langle A, B, C, B, D, A, B \rangle$  or  $X$  and
    - $\langle B, D, C, A, B, A \rangle$  or  $Y_{6-1} = Y_5$ .

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 3 (CONT'D)

- **Step 3:** Given this choice, you determine which subproblems ensue and how to best characterize the resulting space of subproblems.
- **Characterization**
  - Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$ , and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$ .
    - **Case 1:** If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ .
    - **Case 2:** If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is an LCS of  $X_{m-1}$  and  $Y$ .
    - **Case 3:** If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is an LCS of  $X$  and  $Y_{n-1}$ .

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 4

- **Step 4:** Show the solutions to the subproblem used within an optimal solution to the problem must themselves be optimal by using a “cut-and-paste” technique.
  - Prove the correctness of the characterizations of the three cases.

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 4 CASE 1

- **Step 4:** The proof of the optimality of the solution to the subproblem.
- Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$ , and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$ .
  - **Case I:** If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ .
    - The optimality of the characterization is **two-fold**
      - $z_k = x_m = y_n$
      - $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 4 CASE 1-1

- **Step 4:** The proof of the optimality of the solution to the subproblem.
- Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$ , and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$ .
  - **Case I:** If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ .
    - Proof of  $z_k = x_m = y_n$ , i.e., the ending letter of the LCS  $Z$  is also the ending letter of  $X$  and  $Y$ .
      - i. **Assume** that \_\_\_\_\_.
      - ii. We can **append** \_\_\_\_\_ to \_\_\_\_\_ to **create a new** LCS  $Z'$ , and  $Z'.length =$  \_\_\_\_\_.
      - iii. Obviously,  $Z'.length$  \_\_\_\_\_  $k = Z.length$ , **contradicting the supposition** that \_\_\_\_\_ is an LCS of  $X$  and  $Y$ .
      - iv. **Therefore**, \_\_\_\_\_.

Assuming the opposite of the goal

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 4 CASE 1 - 2

- **Step 4:** The proof of the optimality of the solution to the subproblem.
- Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$ , and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$ .
  - **Case I:** If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ .
    - i. **Assume** that \_\_\_\_\_.
    - ii. There exist an LCS of  $X_{m-1}$  and  $Y_{n-1}$ , denoted by  $W$ ,  $W.length > \_\_\_\_\_\_$ .
    - iii. We can **construct a new** LCS  $Z'$  by appending \_\_\_\_\_ to \_\_\_\_\_,  $Z'.length = \_\_\_\_\_\_ + 1$ .
    - iv. Obviously,  $Z'.length \_\_\_\_\_\_ k = Z.length$ , **contradicting the supposition** that \_\_\_\_\_ is an LCS of  $X$  and  $Y$ .
    - v. **Therefore**, \_\_\_\_\_.

Assuming the opposite of the goal

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 4 CASE 2

- **Step 4:** The proof of the optimality of the solution to the subproblem.
- Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$ , and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$ .
  - **Case 2:** If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is an LCS of  $X_{m-1}$  and  $Y$ .
    - i. **Assume** that \_\_\_\_\_.
    - ii. There exist an LCS of  $X_{m-1}$  and  $Y$ , denoted by  $W$ .
    - iii. Then  $W$  itself is also a **common sequence** of \_\_\_\_\_ and \_\_\_\_\_.
    - iv. Obviously,  $W.length > \_\_\_\_\_\_$ , **contradicting the supposition** that \_\_\_\_\_ is an LCS of  $X$  and  $Y$ .
    - v. **Therefore**, \_\_\_\_\_.

Assuming the opposite of the goal

# DISCOVERING THE OPTIMAL SUBSTRUCTURE STEP 4 CASE 3

- **Step 4:** The proof of the optimality of the solution to the subproblem.
- Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$ , and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$ .
  - **Case 3:** If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is an LCS of  $X$  and  $Y_{n-1}$ .
    - i. **Assume** that \_\_\_\_\_.
    - ii. There exist an LCS of \_\_\_\_\_ and \_\_\_\_\_, denoted by  $W$ .
    - iii. Then  $W$  itself is also a **common sequence** of \_\_\_\_\_ and \_\_\_\_\_.
    - iv. Obviously,  $W.length > \_\_\_\_\_\_$ , **contradicting the supposition** that \_\_\_\_\_ is an LCS of  $X$  and  $Y$ .
    - v. **Therefore**, \_\_\_\_\_.

Assuming the opposite of the goal



# DISCOVERING THE OPTIMAL SUBSTRUCTURE, DONE

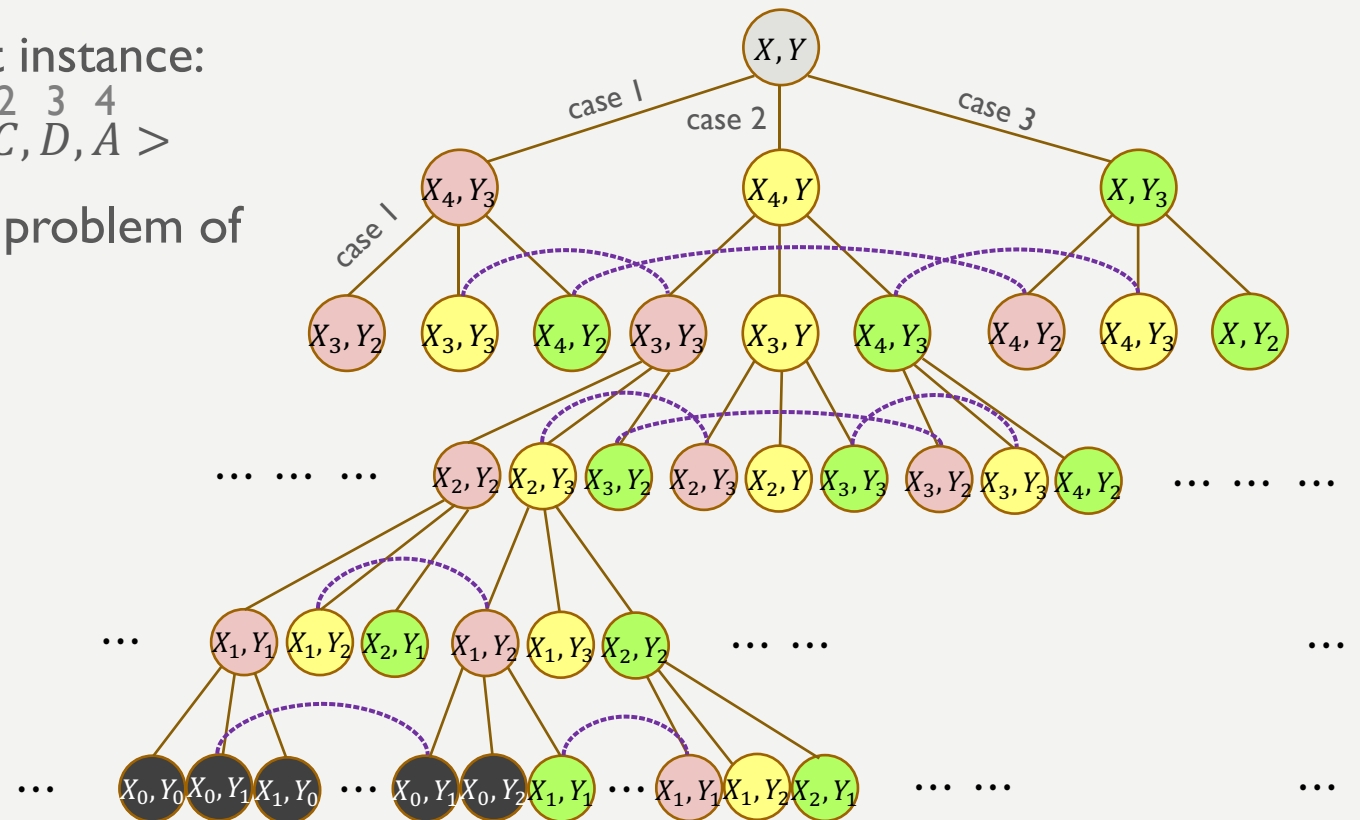
- **Theorem 15.1 (Optimal substructure of an LCS)**
  - Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$ , and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any **LCS** of  $X$  and  $Y$ .
    - **Case 1:** If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an **LCS** of  $X_{m-1}$  and  $Y_{n-1}$ .
    - **Case 2:** If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is an **LCS** of  $X_{m-1}$  and  $Y$ .
    - **Case 3:** If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is an **LCS** of  $X$  and  $Y_{n-1}$ .
- **The longest  $Z$  of all three cases is an LCS of  $X$  and  $Y$ .**

# DYNAMIC PROGRAMMING CHECKLIST

- Here is a checklist of the qualifications of a DP problem.
  - ☒ Optimization problem
  - ☐ Two key ingredients
    - ☒ Optimal substructure
    - ☐ Overlapping subproblems

# DISCOVER OVERLAPPING SUBPROBLEMS

- Draw the subproblem graph for input instance:
  - $X = \langle \overset{1}{C}, \overset{2}{B}, \overset{3}{D}, \overset{4}{A}, \overset{5}{B} \rangle$  and  $Y = \langle \overset{1}{B}, \overset{2}{C}, \overset{3}{D}, \overset{4}{A} \rangle$
- Each vertex  $X, Y$  represents the (sub)problem of finding an **LCS** of  $X$  and  $Y$ 
  - Each vertex has a degree  $\leq 3$ .
    - **Case 1: Left** child represents the subproblem for  $X_{m-1}$  and  $Y_{n-1}$ .
    - **Case 2: Middle** child represents the subproblem for  $X_{m-1}$  and  $Y$ .
    - **Case 3: Right** child represents the subproblem for  $X$  and  $Y_{n-1}$ .



# DYNAMIC PROGRAMMING CHECKLIST

- Here is a checklist of the qualifications of a DP problem.
  - ☒ Optimization problem
  - ☒ Two key ingredients
    - ☒ Optimal substructure
    - ☒ Overlapping subproblems

# APPLYING DP

## STEP 1

- **Step 1:** Characterize the structure of an optimal solution
  - Discover the **optimal substructure** of the problem.
- **Theorem 15.1 (Optimal substructure of an LCS)**
  - Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$ , and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any **LCS** of  $X$  and  $Y$ .
    - **Case 1:** If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an **LCS** of  $X_{m-1}$  and  $Y_{n-1}$ .
    - **Case 2:** If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is an **LCS** of  $X_{m-1}$  and  $Y$ .
    - **Case 3:** If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is an **LCS** of  $X$  and  $Y_{n-1}$ .

# APPLYING DP

## STEP 2

- **Step 2:** Recursively define the **value** of an optimization.
  - Take advantage of the optimal substructure to recursively compute the optimal **value**.
  - The **value** of an optimization in the **LCS** problem means the length of an **LCS** of the two inputs.
- Define  $c[i, j]$  to be the length of an **LCS** of the sequences  $X_i$  and  $Y_j$ .
  - Theorem 15.1 (Optimal substructure of an LCS) mapped onto input  $X_i$  and  $Y_j$ .
    - **Case 1:** If  $x_i = y_j$ , then  $z_k = x_i = y_j$  and  $Z_{k-1}$  is an **LCS** of  $X_{i-1}$  and  $Y_{j-1}$ .  $\Rightarrow c[i, j] = c[i - 1, j - 1] + 1$
    - **Case 2:** If  $x_i \neq y_j$ , then  $z_k \neq x_i$  implies that  $Z$  is an **LCS** of  $X_{i-1}$  and  $Y$ .  $\Rightarrow c[i, j] = c[i - 1, j]$  if  $x_i \neq y_j$
    - **Case 3:** If  $x_i \neq y_j$ , then  $z_k \neq y_j$  implies that  $Z$  is an **LCS** of  $X$  and  $Y_{j-1}$ .  $\Rightarrow c[i, j] = c[i, j - 1]$  if  $x_i \neq y_j$

# APPLYING DP

## STEP 2 (CONT'D)

- **Step 2:** Recursively define the **value** of an optimization.
  - Take advantage of the optimal substructure to recursively compute the optimal **value**.
  - The **value** of an optimization in the **LCS** problem means the length of an **LCS** of the two inputs.
- Define  $c[i, j]$  to be the length of an **LCS** of the sequences  $X_i$  and  $Y_j$ .

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0, \\ c[i - 1, j - 1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j, \\ \max(c[i, j - 1], c[i - 1, j]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j \end{cases}$$

# APPLYING DP

## STEP 3

- **Step 3:** Compute the **value** of an optimal solution.
- The LCS-LENGTH algorithm
  - **Input**
    - $X = \langle x_1, x_2, \dots, x_m \rangle$  and
    - $Y = \langle y_1, y_2, \dots, y_n \rangle$
  - **Bottom-up** strategy
  - **Memoization**
  - **Problem solved** by line 8 ~ 17

LCS-LENGTH ( $X, Y$ )	
1	$m = X.length$
2	$n = Y.length$
3	let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables
4	<b>for</b> $i = 1$ <b>to</b> $m$
5	$c[i, 0] = 0$
6	<b>for</b> $j = 0$ <b>to</b> $n$
7	$c[0, j] = 0$
8	<b>for</b> $i = 1$ <b>to</b> $m$
9	<b>for</b> $j = 1$ <b>to</b> $n$
10	<b>if</b> $x_i == y_j$ <span style="float: right;">// case 1</span>
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	$b[i, j] = "\nwarrow"$
13	<b>elseif</b> $c[i - 1, j] \geq c[i, j - 1]$ <span style="float: right;">// case 2</span>
14	$c[i, j] = c[i - 1, j]$
15	$b[i, j] = "\uparrow"$
16	<b>else</b> $c[i, j] = c[i, j - 1]$ <span style="float: right;">// case 3</span>
17	$b[i, j] = "\leftarrow"$
18	<b>return</b> $c$ and $b$



# APPLYING DP

## STEP 3 (CONT'D)

- **Step 3:** Compute the **value** of an optimal solution.
- The table  $c[0..m, 0..n]$ 
  - $m + 1$  rows and  $n + 1$  columns
  - An entry  $c[i, j]$  stores the length of an **LCS** of sequences  $X_i$  and  $Y_j$ .

LCS-LENGTH ( $X, Y$ )	
1	$m = X.length$
2	$n = Y.length$
3	let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables
4	<b>for</b> $i = 1$ <b>to</b> $m$
5	$c[i, 0] = 0$
6	<b>for</b> $j = 0$ <b>to</b> $n$
7	$c[0, j] = 0$
8	<b>for</b> $i = 1$ <b>to</b> $m$
9	<b>for</b> $j = 1$ <b>to</b> $n$
10	<b>if</b> $x_i == y_j$
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	$b[i, j] = "\nwarrow"$
13	<b>elseif</b> $c[i - 1, j] \geq c[i, j - 1]$
14	$c[i, j] = c[i - 1, j]$
15	$b[i, j] = "\uparrow"$
16	<b>else</b> $c[i, j] = c[i, j - 1]$
17	$b[i, j] = "\leftarrow"$
18	<b>return</b> $c$ and $b$

# APPLYING DP

## STEP 3 (CONT'D)

- **Step 3:** Compute the **value** of an optimal solution.
- The table  **$b[1..m, 1..n]$** 
  - $m$  rows and  $n$  columns
  - The table stores **the choices** made when computing the length of an **LCS**.
  - An entry  **$b[i, j]$**  stores the choice that lead to the values in entry  **$c[i, j]$** .

LCS-LENGTH ( $X, Y$ )	
1	$m = X.length$
2	$n = Y.length$
3	let <b><math>b[1..m, 1..n]</math></b> and $c[0..m, 0..n]$ be new tables
4	<b>for</b> $i = 1$ <b>to</b> $m$
5	$c[i, 0] = 0$
6	<b>for</b> $j = 0$ <b>to</b> $n$
7	$c[0, j] = 0$
8	<b>for</b> $i = 1$ <b>to</b> $m$
9	<b>for</b> $j = 1$ <b>to</b> $n$
10	<b>if</b> $x_i == y_j$
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	<b><math>b[i, j]</math></b> = “↖”
13	<b>elseif</b> $c[i - 1, j] \geq c[i, j - 1]$
14	$c[i, j] = c[i - 1, j]$
15	<b><math>b[i, j]</math></b> = “↑”
16	<b>else</b> $c[i, j] = c[i, j - 1]$
17	<b><math>b[i, j]</math></b> = “←”
18	<b>return</b> $c$ and $b$

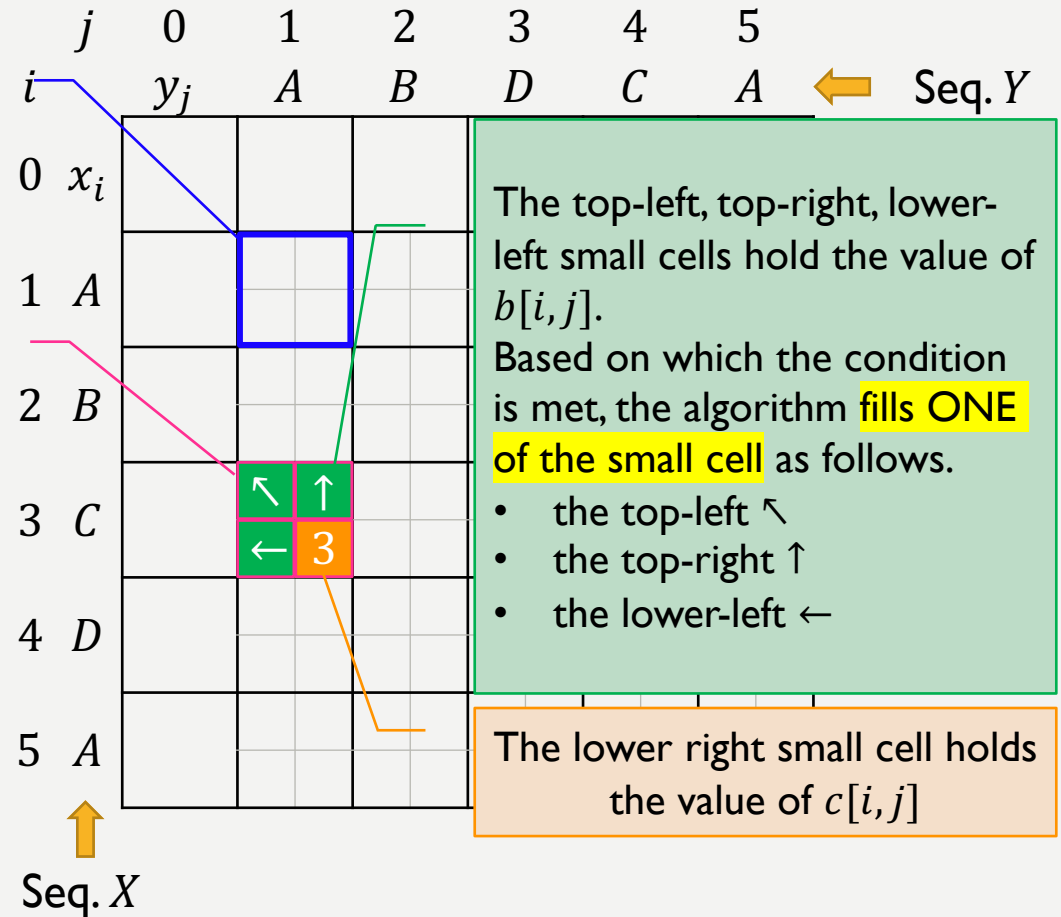
# MAINTAINING THE TWO TABLES

$b[1..m, 1..n]$  AND  $c[0..m, 0..n]$

- The two tables are maintained in one place.
- Input
  - $X = \langle A, B, C, D, A \rangle$ 
    - Stored in rows
  - $Y = \langle A, B, D, C, A \rangle$ 
    - Stored in columns
- The following slides show the execution of LCS-LENGTH on  $X$  and  $Y$ .

Each “big” cell with **thick** border corresponds to one entry of the  $c$  table and the  $b$  table.

Each “big” cell further splits into four small cells.



# LCS-LENGTH INITIALIZATION

- Initialization

		<i>j</i>					
		0	1	2	3	4	5
<i>i</i>	<i>y<sub>j</sub></i>	A	B	D	C	A	
0	<i>x<sub>i</sub></i>	0	0	0	0	0	0
1	A	0					
2	B	0					
3	C	0					
4	D	0					
5	A	0					

LCS-LENGTH ( <i>X</i> , <i>Y</i> )	
1	<i>m</i> = <i>X.length</i>
2	<i>n</i> = <i>Y.length</i>
3	let <i>b</i> [1.. <i>m</i> , 1.. <i>n</i> ] and <i>c</i> [0.. <i>m</i> , 0.. <i>n</i> ] be new tables
4	<b>for</b> <i>i</i> = 1 <b>to</b> <i>m</i>
5	<i>c</i> [ <i>i</i> , 0] = 0
6	<b>for</b> <i>j</i> = 0 <b>to</b> <i>n</i>
7	<i>c</i> [0, <i>j</i> ] = 0
8	<b>for</b> <i>i</i> = 1 <b>to</b> <i>m</i>
9	<b>for</b> <i>j</i> = 1 <b>to</b> <i>n</i>
10	<b>if</b> <i>x<sub>i</sub></i> == <i>y<sub>j</sub></i>
11	<i>c</i> [ <i>i</i> , <i>j</i> ] = <i>c</i> [ <i>i</i> - 1, <i>j</i> - 1] + 1
12	<i>b</i> [ <i>i</i> , <i>j</i> ] = "↖"
13	<b>elseif</b> <i>c</i> [ <i>i</i> - 1, <i>j</i> ] ≥ <i>c</i> [ <i>i</i> , <i>j</i> - 1]
14	<i>c</i> [ <i>i</i> , <i>j</i> ] = <i>c</i> [ <i>i</i> - 1, <i>j</i> ]
15	<i>b</i> [ <i>i</i> , <i>j</i> ] = "↑"
16	<b>else</b> <i>c</i> [ <i>i</i> , <i>j</i> ] = <i>c</i> [ <i>i</i> , <i>j</i> - 1]
17	<i>b</i> [ <i>i</i> , <i>j</i> ] = "←"
18	<b>return</b> <i>c</i> and <i>b</i>

# LCS-LENGTH IN ACTION

## ITERATION $1 \times 1$

- Compute the **optimal** value

$$- i = 1$$

$$- j = 1$$

		$j$					
		0	1	2	3	4	5
$i$	$y_j$		A	B	D	C	A
0	$x_i$	0	0	0	0	0	0
1	A	0	1				
2	B	0					
3	C	0					
4	D	0					
5	A	0					

LCS-LENGTH ( $X, Y$ )	
1	$m = X.length$
2	$n = Y.length$
3	let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables
4	<b>for</b> $i = 1$ <b>to</b> $m$
5	$c[i, 0] = 0$
6	<b>for</b> $j = 0$ <b>to</b> $n$
7	$c[0, j] = 0$
8	<b>for</b> $i = 1$ <b>to</b> $m$
9	<b>for</b> $j = 1$ <b>to</b> $n$
10	<b>if</b> $x_i == y_j$
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	$b[i, j] = "\nwarrow"$
13	<b>elseif</b> $c[i - 1, j] \geq c[i, j - 1]$
14	$c[i, j] = c[i - 1, j]$
15	$b[i, j] = "\uparrow"$
16	<b>else</b> $c[i, j] = c[i, j - 1]$
17	$b[i, j] = "\leftarrow"$
18	<b>return</b> $c$ and $b$

# LCS-LENGTH IN ACTION

## ITERATION $1 \times 2$

- Compute the **optimal** value

$$- i = 1$$

$$- j = 2$$

		<i>j</i>					
		0	1	2	3	4	5
		$y_j$	A	B	D	C	A
0	$x_i$	0	0	0	0	0	0
1	A	↖	0	1	← 1		
2	B	0					
3	C	0					
4	D	0					
5	A	0					

LCS-LENGTH ( $X, Y$ )	
1	$m = X.length$
2	$n = Y.length$
3	let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables
4	<b>for</b> $i = 1$ <b>to</b> $m$
5	$c[i, 0] = 0$
6	<b>for</b> $j = 0$ <b>to</b> $n$
7	$c[0, j] = 0$
8	<b>for</b> $i = 1$ <b>to</b> $m$
9	<b>for</b> $j = 1$ <b>to</b> $n$
10	<b>if</b> $x_i == y_j$
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	$b[i, j] = \text{"↖"}$
13	<b>elseif</b> $c[i - 1, j] \geq c[i, j - 1]$
14	$c[i, j] = c[i - 1, j]$
15	$b[i, j] = \text{"↑"}$
16	<b>else</b> $c[i, j] = c[i, j - 1]$
17	$b[i, j] = \text{"←"}$
18	<b>return</b> $c$ and $b$

# LCS-LENGTH IN ACTION

## ITERATION $1 \times 3$

- Compute the **optimal** value

$$- i = 1$$

$$- j = 3$$

		<i>j</i>					
		0	1	2	3	4	5
		$y_j$	A	B	D	C	A
0	$x_i$	0	0	0	0	0	0
1	A	0	↖	1	← 1	← 1	
2	B	0					
3	C	0					
4	D	0					
5	A	0					

LCS-LENGTH ( $X, Y$ )	
1	$m = X.length$
2	$n = Y.length$
3	let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables
4	<b>for</b> $i = 1$ <b>to</b> $m$
5	$c[i, 0] = 0$
6	<b>for</b> $j = 0$ <b>to</b> $n$
7	$c[0, j] = 0$
8	<b>for</b> $i = 1$ <b>to</b> $m$
9	<b>for</b> $j = 1$ <b>to</b> $n$
10	<b>if</b> $x_i == y_j$
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	$b[i, j] = \text{"↖"}$
13	<b>elseif</b> $c[i - 1, j] \geq c[i, j - 1]$
14	$c[i, j] = c[i - 1, j]$
15	$b[i, j] = \text{"↑"}$
16	<b>else</b> $c[i, j] = c[i, j - 1]$
17	$b[i, j] = \text{"←"}$
18	<b>return</b> $c$ and $b$

# LCS-LENGTH IN ACTION

## ITERATION $1 \times 4$

- Compute the **optimal** value

$$- i = 1$$

$$- j = 4$$

		<i>j</i>					
		0	1	2	3	4	5
		$y_j$	A	B	D	C	A
0	$x_i$	0	0	0	0	0	0
1	A	0	↖	←	←	←	
2	B	0					
3	C	0					
4	D	0					
5	A	0					

LCS-LENGTH ( $X, Y$ )	
1	$m = X.length$
2	$n = Y.length$
3	let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables
4	<b>for</b> $i = 1$ <b>to</b> $m$
5	$c[i, 0] = 0$
6	<b>for</b> $j = 0$ <b>to</b> $n$
7	$c[0, j] = 0$
8	<b>for</b> $i = 1$ <b>to</b> $m$
9	<b>for</b> $j = 1$ <b>to</b> $n$
10	<b>if</b> $x_i == y_j$
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	$b[i, j] = \text{"↖"}$
13	<b>elseif</b> $c[i - 1, j] \geq c[i, j - 1]$
14	$c[i, j] = c[i - 1, j]$
15	$b[i, j] = \text{"↑"}$
16	<b>else</b> $c[i, j] = c[i, j - 1]$
17	$b[i, j] = \text{"←"}$
18	<b>return</b> $c$ and $b$



# LCS-LENGTH IN ACTION

## ITERATION $1 \times 5$

- Compute the **optimal** value

$$- i = 1$$

$$- j = 5$$

		<i>j</i>						
		0	1	2	3	4	5	
		$y_j$	A	B	D	C	A	
<i>i</i>	0 $x_i$							
		0	0	0	0	0	0	0
	1 A	↖						
		0	1	← 1	← 1	← 1	← 1	← 1
	2 B							
		0						
	3 C							
		0						
	4 D							
		0						
	5 A							
		0						

LCS-LENGTH ( <i>X</i> , <i>Y</i> )	
1	$m = X.length$
2	$n = Y.length$
3	let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables
4	<b>for</b> $i = 1$ <b>to</b> $m$
5	$c[i, 0] = 0$
6	<b>for</b> $j = 0$ <b>to</b> $n$
7	$c[0, j] = 0$
8	<b>for</b> $i = 1$ <b>to</b> $m$
9	<b>for</b> $j = 1$ <b>to</b> $n$
10	<b>if</b> $x_i == y_j$
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	$b[i, j] = \text{"↖"}$
13	<b>elseif</b> $c[i - 1, j] \geq c[i, j - 1]$
14	$c[i, j] = c[i - 1, j]$
15	$b[i, j] = \text{"↑"}$
16	<b>else</b> $c[i, j] = c[i, j - 1]$
17	$b[i, j] = \text{"←"}$
18	<b>return</b> $c$ and $b$

# LCS-LENGTH IN ACTION

## ITERATION $2 \times 1$

- Compute the **optimal** value

$$- i = 2$$

$$- j = 1$$

		$j$						
		0	1	2	3	4	5	
		$y_j$	A	B	D	C	A	
$i$	$x_i$	0	0	0	0	0	0	
	1 A	0	↖					
			1	← 1	← 1	← 1	← 1	
	2 B	0	↑					
			1					
	3 C	0						
	4 D	0						
	5 A	0						

LCS-LENGTH ( $X, Y$ )	
1	$m = X.length$
2	$n = Y.length$
3	let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables
4	for $i = 1$ to $m$
5	$c[i, 0] = 0$
6	for $j = 0$ to $n$
7	$c[0, j] = 0$
8	for $i = 1$ to $m$
9	for $j = 1$ to $n$
10	if $x_i == y_j$
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	$b[i, j] = \text{"↖"}$
13	elseif $c[i - 1, j] \geq c[i, j - 1]$
14	$c[i, j] = c[i - 1, j]$
15	$b[i, j] = \text{"↑"}$
16	else $c[i, j] = c[i, j - 1]$
17	$b[i, j] = \text{"←"}$
18	return $c$ and $b$

# LCS-LENGTH IN ACTION

## ITERATION $2 \times 2$

- Compute the **optimal** value

$$- i = 2$$

$$- j = 2$$

		<i>j</i>						
		0	1	2	3	4	5	
		$y_j$	A	B	D	C	A	
0	$x_i$							
	0	0	0	0	0	0	0	
1	A							
	0		↖					
	0		0	1	← 1	← 1	← 1	
2	B							
	0		↑	↖				
	0		0	1	2			
3	C							
	0							
4	D							
	0							
5	A							
	0							

LCS-LENGTH ( $X, Y$ )	
1	$m = X.length$
2	$n = Y.length$
3	let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables
4	for $i = 1$ to $m$
5	$c[i, 0] = 0$
6	for $j = 0$ to $n$
7	$c[0, j] = 0$
8	for $i = 1$ to $m$
9	for $j = 1$ to $n$
10	if $x_i == y_j$
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	$b[i, j] = "↖"$
13	elseif $c[i - 1, j] \geq c[i, j - 1]$
14	$c[i, j] = c[i - 1, j]$
15	$b[i, j] = "↑"$
16	else $c[i, j] = c[i, j - 1]$
17	$b[i, j] = "←"$
18	return $c$ and $b$

# LCS-LENGTH IN ACTION

## ITERATIONS TO GO

- Compute the **optimal** value

- $i = 2$
- $j = 3, 4, 5$
- ...
- $i = 5$
- $j = 1, 5$

		$j$						
		0	1	2	3	4	5	
$i$	$y_j$	$A$	$B$	$D$	$C$	$A$		
0	$x_i$							
		0	0	0	0	0	0	
1	$A$		↖					
		0	1	← 1	← 1	← 1	← 1	
2	<b><math>B</math></b>		↑	↖				
		0	1	2				
3	$C$							
		0						
4	$D$							
		0						
5	$A$							
		0						

LCS-LENGTH ( $X, Y$ )	
1	$m = X.length$
2	$n = Y.length$
3	let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables
4	for $i = 1$ to $m$
5	$c[i, 0] = 0$
6	for $j = 0$ to $n$
7	$c[0, j] = 0$
8	for $i = 1$ to $m$
9	for $j = 1$ to $n$
10	if $x_i == y_j$
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	$b[i, j] = "↖"$
13	elseif $c[i - 1, j] \geq c[i, j - 1]$
14	$c[i, j] = c[i - 1, j]$
15	$b[i, j] = "↑"$
16	else $c[i, j] = c[i, j - 1]$
17	$b[i, j] = "←"$
18	return $c$ and $b$

# BACK TO APPLYING DP

## STEP 3 (CONT'D)

- **Step 3:** Compute the **value** of an optimal solution.
- Running time of LCS-LENGTH  
 $T(n) = \Theta(\text{_____})$

LCS-LENGTH ( $X, Y$ )	
1	$m = X.length$
2	$n = Y.length$
3	let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables
4	<b>for</b> $i = 1$ <b>to</b> $m$
5	$c[i, 0] = 0$
6	<b>for</b> $j = 0$ <b>to</b> $n$
7	$c[0, j] = 0$
8	<b>for</b> $i = 1$ <b>to</b> $m$
9	<b>for</b> $j = 1$ <b>to</b> $n$
10	<b>if</b> $x_i == y_j$
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	$b[i, j] = "\nwarrow"$
13	<b>elseif</b> $c[i - 1, j] \geq c[i, j - 1]$
14	$c[i, j] = c[i - 1, j]$
15	$b[i, j] = "\uparrow"$
16	<b>else</b> $c[i, j] = c[i, j - 1]$
17	$b[i, j] = "\leftarrow"$
18	<b>return</b> $c$ and $b$

# LCS-LENGTH ( $X, Y$ )

## PRACTICE #1

- Which of the following  $c, b$  tables can the resulting table of running the LCS-LENGTH algorithm? Choose all that fit.
  - For chosen table(s), given an example of the input instance?

$j$	0	1	2	3	4	5
$i$	$y_j$					
0 $x_i$	0	0	0	0	0	0
1	<div><div></div><div>↖</div><div>0</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>
2	<div><div></div><div>↖</div><div>0</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>
3	<div><div></div><div>↖</div><div>0</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>
4	<div><div></div><div>↖</div><div>0</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>
5	<div><div></div><div>↖</div><div>0</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>	<div><div></div><div>↖</div><div>1</div></div>

A

$j$	0	1	2	3	4	5
$i$	$y_j$					
0 $x_i$	0	0	0	0	0	0
1		$\nwarrow$				
2	0	1	$\leftarrow$ 1	$\leftarrow$ 1	$\leftarrow$ 1	$\leftarrow$ 1
3		$\uparrow$	$\nwarrow$			
4	0	1	2	$\leftarrow$ 1	$\leftarrow$ 1	$\leftarrow$ 1
5		$\uparrow$	$\uparrow$	$\nwarrow$		
6	0	1	1	3	$\leftarrow$ 1	$\leftarrow$ 1
7		$\uparrow$	$\uparrow$	$\uparrow$	$\nwarrow$	
8	0	1	1	1	4	$\leftarrow$ 1
9		$\uparrow$	$\uparrow$	$\uparrow$	$\uparrow$	$\nwarrow$
10	0	1	1	1	1	5

B

$j$	0	1	2	3	4	5
$i$	$y_j$					
0 $x_i$	0	0	0	0	0	0
1	0	← 1	← 1	← 1	← 1	← 1
2	0	← 1	← 1	← 1	← 1	← 1
3	0	← 1	← 1	← 1	← 1	← 1
4	0	← 1	← 1	← 1	← 1	← 1
5	0	← 1	← 1	← 1	← 1	← 1

C

### LCS-LENGTH ( $X, Y$ )

```

1  $m = X.length$ 
2  $n = Y.length$ 
3 let  $b[1..m, 1..n]$  and  $c[0..m, 0..n]$  be new tables
4 for  $i = 1$  to  $m$ 
5      $c[i, 0] = 0$ 
6 for  $j = 0$  to  $n$ 
7      $c[0, j] = 0$ 
8 for  $i = 1$  to  $m$ 
9     for  $j = 1$  to  $n$ 
10        if  $x_i == y_j$ 
11             $c[i, j] = c[i - 1, j - 1] + 1$ 
12             $b[i, j] = "\nwarrow"$ 
13        elseif  $c[i - 1, j] \geq c[i, j - 1]$ 
14             $c[i, j] = c[i - 1, j]$ 
15             $b[i, j] = "\uparrow"$ 
16        else  $c[i, j] = c[i, j - 1]$ 
17             $b[i, j] = "\leftarrow"$ 
18 return  $c$  and  $b$ 
    
```

# LCS-LENGTH ( $X, Y$ )

## PRACTICE #2

- Which of the following  $c, b$  tables can the resulting table of running the LCS-LENGTH algorithm? Choose all that fit.

— For chosen table(s), given an example of the input instance?

$j$	0	1	2	3	4			
$i$	$y_j$							
0	$x_i$	0	0	0	0	0		
1			↖					
2		0	1	←	1	←	1	
3			↑	↖				
4		0	1	2	←	2	←	2
5			↑	↑	↖			
6		0	1	2	3	←	3	
7			↑	↑	↑	↖		
8		0	1	2	3	4		
9			↑	↑	↑	↑		
10		0	1	2	3	4		

A

$j$	0	1	2	3	4	
$i$	$y_j$					
0	$x_i$	0	0	0	0	0
1		0	← 0	← 0	← 0	← 0
2		0	← 0	← 0	← 0	← 0
3		0	← 0	← 0	← 0	← 0
4		0	← 0	← 0	← 0	← 0
5		0	← 0	← 0	← 0	← 0

B

$j$	0	1	2	3	4
$i$	$y_j$				
0	$x_i$	0	0	0	0
1		0	↑	←	←
2		0	1	1	1
3		0	1	1	1
4		0	1	1	1
5		0	1	1	1

C

LCS-LENGTH ( $X, Y$ )	
1	$m = X.length$
2	$n = Y.length$
3	let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables
4	for $i = 1$ to $m$
5	$c[i, 0] = 0$
6	for $j = 0$ to $n$
7	$c[0, j] = 0$
8	for $i = 1$ to $m$
9	for $j = 1$ to $n$
10	if $x_i == y_j$
11	$c[i, j] = c[i - 1, j - 1] + 1$
12	$b[i, j] = "↖"$
13	elseif $c[i - 1, j] \geq c[i, j - 1]$
14	$c[i, j] = c[i - 1, j]$
15	$b[i, j] = "↑"$
16	else $c[i, j] = c[i, j - 1]$
17	$b[i, j] = "←"$
18	return $c$ and $b$

# APPLYING DP

## STEP 4

- **Step 4:** Construct the optimal solution from the computed information.
- PRINT-LCS algorithm
  - Print the **LCS** found by LCS-LENGTH algorithm.
  - The initial call is PRINT-LCS ( $b, X, m, n$ )
    - $m = X.length$
    - $n = Y.length$

PRINT-LCS ( $b, X, i, j$ )	
1	<b>if</b> $i == 0$ or $j == 0$
2	<b>return</b>
3	<b>if</b> $b[i, j] == \text{"↖"}$
4	PRINT-LCS ( $b, X, i - 1, j - 1$ )
5	print $x_i$
6	<b>elseif</b> $b[i, j] == \text{"↑"}$
7	PRINT-LCS ( $b, X, i - 1, j$ )
8	<b>else</b> PRINT-LCS ( $b, X, i, j - 1$ )



# APPLYING DP STEP 4 ON A REAL TABLE

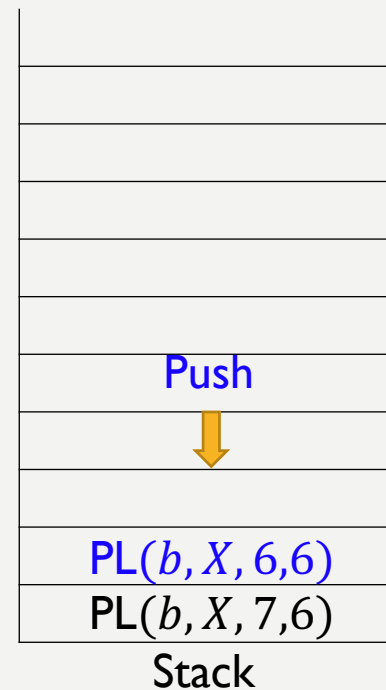
- **Step 4:** Construct the optimal solution from the computed information.
- The  $c, b$  table displayed on the lower-right corner is the resulting table of running LCS-LENGTH ( $X, Y$ )
  - $X = \langle A, B, C, B, D, A, B \rangle$
  - $Y = \langle B, D, C, A, B, A \rangle$
- To construct the **LCS** found by LCS-LENGTH ( $X, Y$ ), we need to call PRINT-LCS ( $b, X, \_\_\_, \_\_\_$ )

PRINT-LCS ( $b, X, i, j$ )	
1	<b>if</b> $i == 0$ or $j == 0$
2	<b>return</b>
3	<b>if</b> $b[i, j] == \text{"↖"}$
4	PRINT-LCS ( $b, X, i - 1, j - 1$ )
5	print $x_i$
6	<b>elseif</b> $b[i, j] == \text{"↑"}$
7	PRINT-LCS ( $b, X, i - 1, j$ )
8	<b>else</b> PRINT-LCS ( $b, X, i, j - 1$ )

		$j$	0	1	2	3	4	5	6
$i$	$x_i$	$y_j$		B	D	C	A	B	A
0		$x_0$	0	0	0	0	0	0	0
1	A		0	↑	↑	↑	↖	←	↖
2	B		0	↖	←	←	↑	↖	←
3	C		0	↑	↑	↖	←	↑	↑
4	B		0	↖	↑	↑	↑	↖	←
5	D		0	↑	↖	↑	↑	↑	↑
6	A		0	↑	↑	↑	↖	↑	↖
7	B		0	↖	↑	↑	↑	↖	↑

# APPLYING DP STEP 4 ON A REAL TABLE

- The CPU will start at computing PRINT-LCS ( $b, X, 7, 6$ )
- Output

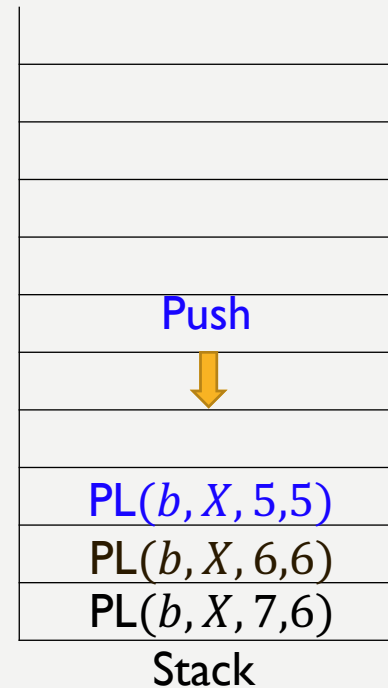


PRINT-LCS ( $b, X, i, j$ )	
1	if $i == 0$ or $j == 0$
2	return
3	if $b[i, j] == \text{"↖"}$
4	PRINT-LCS ( $b, X, i - 1, j - 1$ )
5	print $x_i$
6	elseif $b[i, j] == \text{"↑"}$
7	PRINT-LCS ( $b, X, i - 1, j$ )
8	else PRINT-LCS ( $b, X, i, j - 1$ )

$j$		0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$	
	$x_i$							
0	$x_i$	0	0	0	0	0	0	
1	$A$	0	↑	↑	↑	↖1	←1	
2	$B$	0	↖1	←1	←1	↑1	↖2	
3	$C$	0	↑1	↑1	↖2	←2	↑2	
4	$B$	0	↖1	↑1	↑2	↑2	↖3	
5	$D$	0	↑1	↖2	↑2	↑2	↑3	
6	$A$	0	↑1	↑2	↑2	↖3	↑3	
7	$B$	0	↖1	↑2	↑2	↑3	↖4	

# APPLYING DP STEP 4 ON A REAL TABLE

- The CPU will start at computing PRINT-LCS ( $b, X, 7, 6$ )
- Output



PRINT-LCS ( $b, X, i, j$ )	
1	if $i == 0$ or $j == 0$
2	return
3	if $b[i, j] == \text{"↖"}$
4	PRINT-LCS ( $b, X, i - 1, j - 1$ )
5	print $x_i$
6	elseif $b[i, j] == \text{"↑"}$
7	PRINT-LCS ( $b, X, i - 1, j$ )
8	else PRINT-LCS ( $b, X, i, j - 1$ )

		$j$	0	1	2	3	4	5	6
$i$	$x_i$	$y_j$		B	D	C	A	B	A
0			0	0	0	0	0	0	0
1	A		0	↑	↑	↑	↖	←	↖
2	B		0	↖	←	←	↑	↖	←
3	C		0	↑	↑	↖	←	↑	↑
4	B		0	↖	↑	↑	↑	↖	←
5	D		0	↑	↖	↑	↑	↑	↑
6	A		0	↑	↑	↑	↖	↑	↖
7	B		0	↖	↑	↑	↑	↖	↑

# APPLYING DP STEP 4 ON A REAL TABLE

- The CPU will start at computing PRINT-LCS ( $b, X, 7, 6$ )
- Output

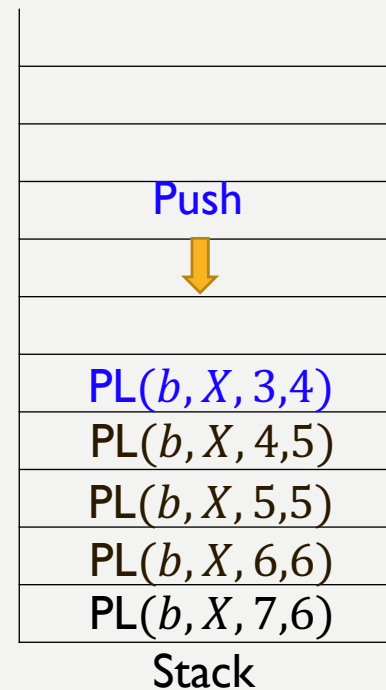
Push
↓
$PL(b, X, 4, 5)$
$PL(b, X, 5, 5)$
$PL(b, X, 6, 6)$
$PL(b, X, 7, 6)$
Stack

PRINT-LCS ( $b, X, i, j$ )	
1	<b>if</b> $i == 0$ or $j == 0$
2	<b>return</b>
3	<b>if</b> $b[i, j] == \text{“}\searrow\text{”}$
4	PRINT-LCS ( $b, X, i - 1, j - 1$ )
5	print $x_i$
6	<b>elseif</b> $b[i, j] == \text{“}\uparrow\text{”}$
7	PRINT-LCS ( $b, X, i - 1, j$ )
8	<b>else</b> PRINT-LCS ( $b, X, i, j - 1$ )

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$
0	$x_i$	0	0	0	0	0	0
1	$A$	0	↑	↑	↑	1	1
2	$B$	0	1	←	←	1	2
3	$C$	0	↑	↑	2	←	2
4	$B$	0	←	1	↑	2	←
5	$D$	0	↑	←	2	↑	3
6	$A$	0	↑	↑	2	←	4
7	$B$	0	←	1	↑	2	↑

# APPLYING DP STEP 4 ON A REAL TABLE

- The CPU will start at computing PRINT-LCS ( $b, X, 7, 6$ )
- Output

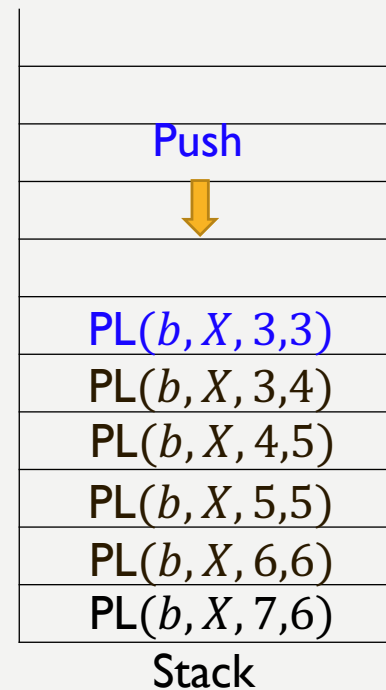


PRINT-LCS ( $b, X, i, j$ )	
1	if $i == 0$ or $j == 0$
2	return
3	if $b[i, j] == \text{"↖"}$
4	PRINT-LCS ( $b, X, i - 1, j - 1$ )
5	print $x_i$
6	elseif $b[i, j] == \text{"↑"}$
7	PRINT-LCS ( $b, X, i - 1, j$ )
8	else PRINT-LCS ( $b, X, i, j - 1$ )

		$j$	0	1	2	3	4	5	6
$i$	$x_i$	$y_j$		B	D	C	A	B	A
0			0	0	0	0	0	0	0
1	A		0	↑	↑	↑	↖	←	↖
2	B		0	↖	←	←	↑	↖	←
3	C		0	↑	↑	↖	←	↑	↑
4	B		0	↖	↑	↑	↑	↖	←
5	D		0	↑	↖	↑	↑	↑	↑
6	A		0	↑	↑	↑	↖	↑	↖
7	B		0	↖	↑	↑	↑	↖	↑

# APPLYING DP STEP 4 ON A REAL TABLE

- The CPU will start at computing PRINT-LCS ( $b, X, 7, 6$ )
- Output

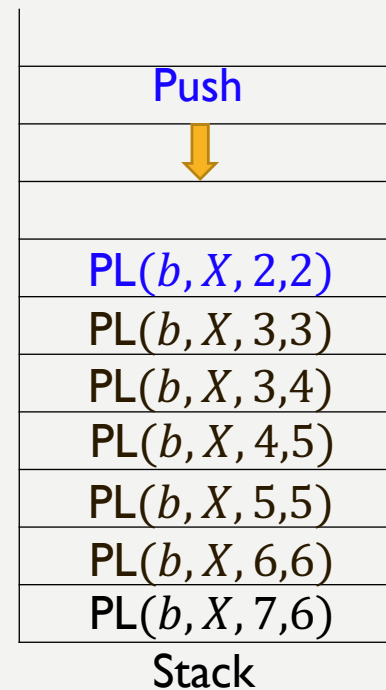


PRINT-LCS ( $b, X, i, j$ )	
1	if $i == 0$ or $j == 0$
2	return
3	if $b[i, j] == \text{"↖"}$
4	PRINT-LCS ( $b, X, i - 1, j - 1$ )
5	print $x_i$
6	elseif $b[i, j] == \text{"↑"}$
7	PRINT-LCS ( $b, X, i - 1, j$ )
8	else PRINT-LCS ( $b, X, i, j - 1$ )

		$j$	0	1	2	3	4	5	6
$i$	$x_i$	$y_j$		B	D	C	A	B	A
0			0	0	0	0	0	0	0
1	A		0	↑	↑	↑	↖	←	↖
2	B		0	↖	←	←	↑	↖	←
3	C		0	↑	↑	↖	←	↑	↑
4	B		0	↖	↑	↑	↑	↖	←
5	D		0	↑	↖	↑	↑	↑	↑
6	A		0	↑	↑	↑	↖	↑	↖
7	B		0	↖	↑	↑	↑	↖	↑

# APPLYING DP STEP 4 ON A REAL TABLE

- The CPU will start at computing PRINT-LCS ( $b, X, 7, 6$ )
- Output



PRINT-LCS ( $b, X, i, j$ )	
1	if $i == 0$ or $j == 0$
2	return
3	if $b[i, j] == \text{"↖"}$
4	PRINT-LCS ( $b, X, i - 1, j - 1$ )
5	print $x_i$
6	elseif $b[i, j] == \text{"↑"}$
7	PRINT-LCS ( $b, X, i - 1, j$ )
8	else PRINT-LCS ( $b, X, i, j - 1$ )

$j$		0	1	2	3	4	5	6
$i$	$y_j$		$B$	$D$	$C$	$A$	$B$	$A$
	$x_i$							
0	$x_i$	0	0	0	0	0	0	0
1	$A$	0	↑	↑	↑	↖1	←1	↖1
2	$B$	0	↖1	←1	←1	↑1	↖2	←2
3	$C$	0	↑1	↑1	↖2	←2	↑2	↑2
4	$B$	0	↖1	↑1	↑2	↑2	↖3	←3
5	$D$	0	↑1	↖2	↑2	↑2	↑3	↑3
6	$A$	0	↑1	↑2	↑2	↖3	↑3	↖4
7	$B$	0	↖1	↑2	↑2	↑3	↖4	↑4

# APPLYING DP STEP 4 ON A REAL TABLE

- The CPU will start at computing PRINT-LCS ( $b, X, 7, 6$ )
- Output

	Push
	↓
	$PL(b, X, 2, 1)$
	$PL(b, X, 2, 2)$
	$PL(b, X, 3, 3)$
	$PL(b, X, 3, 4)$
	$PL(b, X, 4, 5)$
	$PL(b, X, 5, 5)$
	$PL(b, X, 6, 6)$
	$PL(b, X, 7, 6)$
	Stack

PRINT-LCS ( $b, X, i, j$ )	
1	if $i == 0$ or $j == 0$
2	return
3	if $b[i, j] == \text{"↖"}$
4	PRINT-LCS ( $b, X, i - 1, j - 1$ )
5	print $x_i$
6	elseif $b[i, j] == \text{"↑"}$
7	PRINT-LCS ( $b, X, i - 1, j$ )
8	else PRINT-LCS ( $b, X, i, j - 1$ )

		$j$	0	1	2	3	4	5	6
$i$		$y_j$		$B$	$D$	$C$	$A$	$B$	$A$
0	$x_i$		0	0	0	0	0	0	0
1	$A$			↑	↑	↑	↖	←	↖
2	$B$				←	←	↑	↖	←
3	$C$			↑	↑		←	↑	↑
4	$B$			↖	↑	↑	↑		←
5	$D$			↑	↖	↑	↑	↑	↑
6	$A$			↑	↑	↑	↖	↑	↖
7	$B$			↖	↑	↑	↑	↖	↑



# APPLYING DP STEP 4 ON A REAL TABLE

- The CPU will start at computing PRINT-LCS ( $b, X, 7, 6$ )
- Output

↓

PL( $b, X, 1, 0$ )
PL( $b, X, 2, 1$ )
PL( $b, X, 2, 2$ )
PL( $b, X, 3, 3$ )
PL( $b, X, 3, 4$ )
PL( $b, X, 4, 5$ )
PL( $b, X, 5, 5$ )
PL( $b, X, 6, 6$ )
PL( $b, X, 7, 6$ )

Stack

PRINT-LCS ( $b, X, i, j$ )	
1	if $i == 0$ or $j == 0$
2	return
3	if $b[i, j] == \text{"↖"}$
4	PRINT-LCS ( $b, X, i - 1, j - 1$ )
5	print $x_i$
6	elseif $b[i, j] == \text{"↑"}$
7	PRINT-LCS ( $b, X, i - 1, j$ )
8	else PRINT-LCS ( $b, X, i, j - 1$ )

		$j$	0	1	2	3	4	5	6
$i$		$y_j$		$B$	$D$	$C$	$A$	$B$	$A$
0	$x_i$		0	0	0	0	0	0	0
1	$A$		0	↑	↑	↑	↖	←	↖
2	$B$		0	1	←	←	↑	↖	←
3	$C$		0	↑	↑	2	←	↑	↑
4	$B$		0	↖	↑	↑	↑	3	←
5	$D$		0	↑	↖	↑	↑	↑	↑
6	$A$		0	↑	↑	↑	↖	↑	↖
7	$B$		0	↖	↑	↑	↑	↖	↑


# APPLYING DP STEP 4 ON A REAL TABLE

- The CPU will start at computing PRINT-LCS ( $b, X, 7, 6$ )
- Output

B C B A

- A letter in the found LCS is printed before the stack pops out a PRINT-LCS ( $b, X, i, j$ ) where  $b[i, j]$  holds a “↖”

Pop



PL( $b, X, 1, 0$ )
PL( $b, X, 2, 1$ )
PL( $b, X, 2, 2$ )
PL( $b, X, 3, 3$ )
PL( $b, X, 3, 4$ )
PL( $b, X, 4, 5$ )
PL( $b, X, 5, 5$ )
PL( $b, X, 6, 6$ )
PL( $b, X, 7, 6$ )

Stack

PRINT-LCS ( $b, X, i, j$ )	
1	if $i == 0$ or $j == 0$
2	return
3	if $b[i, j] == \text{“}\nwarrow\text{”}$
4	PRINT-LCS ( $b, X, i - 1, j - 1$ )
5	print $x_i$
6	elseif $b[i, j] == \text{“}\uparrow\text{”}$
7	PRINT-LCS ( $b, X, i - 1, j$ )
8	else PRINT-LCS ( $b, X, i, j - 1$ )

		j	0	1	2	3	4	5	6
				B	D	C	A	B	A
i	$x_i$	$y_j$							
0			0	0	0	0	0	0	0
1	A		0	↑	↑	↑	↖	←	↖
2	B		0	↖	←	←	↑	↖	←
3	C		0	↑	↑	↖	←	↑	↑
4	B		0	↖	↑	↑	↑	↖	←
5	D		0	↑	↖	↑	↑	↑	↑
6	A		0	↑	↑	↑	↖	↑	↖
7	B		0	↖	↑	↑	↑	↖	↑

# APPLYING DP STEP 4

## THE RUNNING TIME

- **Step 4:** Construct the optimal solution from the computed information.
- Consider instance  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$ 
  - $m = \underline{\hspace{2cm}}$ , and  $n = \underline{\hspace{2cm}}$
  - The algorithm starts at the lower-right entry and ends at the top-left entry.
  - It visits            rows and            columns.

		$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$		
	$x_i$								
0		0	0	0	0	0	0	0	
1	$A$	0	↑	↑	↑	↖	1	←	1
2	$B$	0	↖	1	←	1	↑	1	↖
3	$C$	0	↑	1	↑	↖	2	←	2
4	$B$	0	↖	1	↑	1	↑	2	↖
5	$D$	0	↑	1	2	↑	↑	2	↑
6	$A$	0	↑	1	2	2	3	↑	3
7	$B$	0	↖	1	2	2	3	4	↑

# APPLYING DP STEP 4

## THE RUNNING TIME

- **Step 4:** Construct the optimal solution from the computed information.
- Consider  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$
- The running time of the PRINT-LCS algorithm is  $T(n) = ( \text{_____} )$ .

		j				
		0	1	2	3	4
i	y <sub>j</sub>					
0	x <sub>i</sub>	0	0	0	0	0
1		0	1	1	1	1
2		0	1	2	2	2
3		0	1	2	3	3
4		0	1	2	3	4
5		0	1	2	3	4

		j				
		0	1	2	3	4
i	y <sub>j</sub>					
0	x <sub>i</sub>	0	0	0	0	0
1		0	1	1	1	1
2		0	1	1	1	1
3		0	1	1	1	1
4		0	1	1	1	1
5		0	1	1	1	1

# PROJECT 01

- Quicksort on IDENTICAL, SORTED, REVERSE-SORTED datasets
  - Stack size limit or recursion limit problem with **python** and **Java** implementations
- Report the issue in your project report
  - Do some research on the cause of problem
    - Reference the sites, textbook pages your analysis is based off.
  - Show the limit of your program, i.e., maximum data sizes that can be quick-sorted.
- No homework due tonight.

# **NEXT UP GREEDY STRATEGY**

# REFERENCE

- Screenshots are taken from the textbook.