### DATA STRUCTURES (ITPC-203)

# Trees and Graphs - Conclusion



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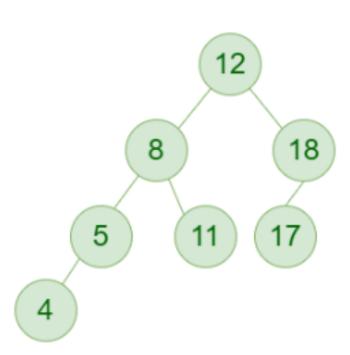


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#### **AVL Trees**



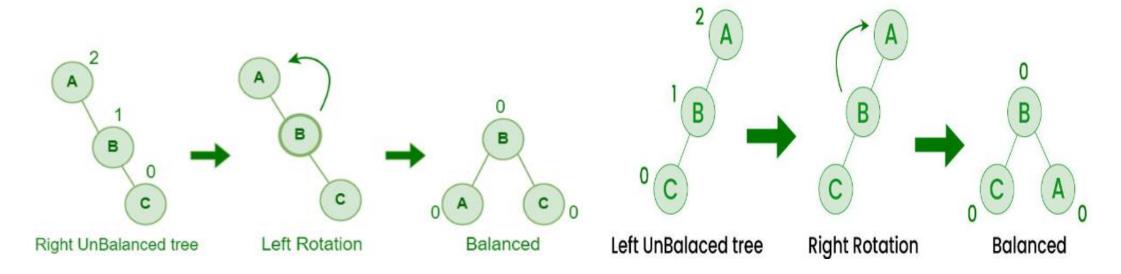
- 1. An AVL tree defined as a self-balancing Binary Search Tree (BST) where
  - the difference between heights of left and right subtrees for any node cannot be more than one.
- 2. The difference between the heights of the left subtree and the right subtree for any node is known as the **balance factor** of the node.
- 3. This BST is AVL because the differences between the heights of left and right subtrees for every node are less than or equal to 1.
- 4. Operations: Insertion, Deletion, Searching



#### **AVL Trees**



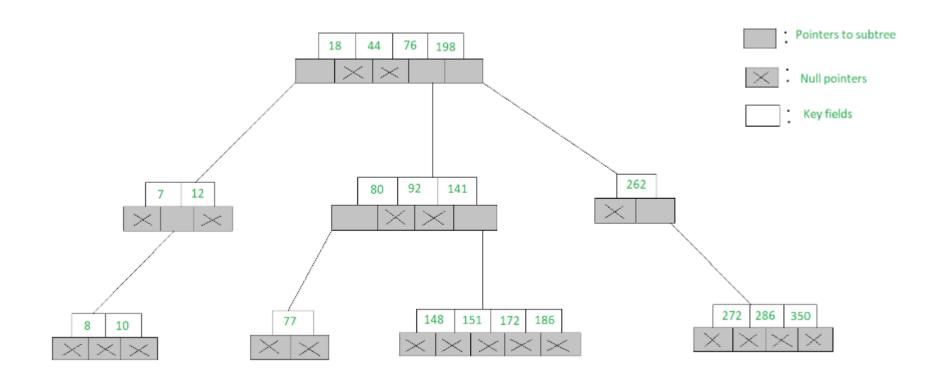
- In any case, the difference in balance between left and right subtree should not be more than one.
- 2. If it is more than one, we call the tree to be out of balance rotate nodes to restore the balance.



#### m-way Search Trees



- 1. The m-way search trees are multi-way trees which are generalized versions of binary trees where each node contains multiple elements.
- 2. In an m-Way tree of order m, each node contains a maximum of m 1 elements and m children.
- 3. What is the advantage/disadvantage?



### B Trees (Balanced Tree)



- 1. Used for storing and searching large amounts of data
- 2. Each node in a B-Tree can contain multiple keys, which allows the tree to have a larger branching factor
  - 1. Thus a shallower height.
- 3. This shallow height leads to less disk I/O, which results in faster search and insertion operations
- 4. A self-balancing tree data structure
- 5. Maintains sorted data and allows searches, sequential access, insertions, and deletions in logarithmic time.
- 6. This balance guarantees that the time complexity for operations such as insertion, deletion, and searching is always O(log n), regardless of the initial shape of the tree.

### B Trees (Balanced Tree) - Properties

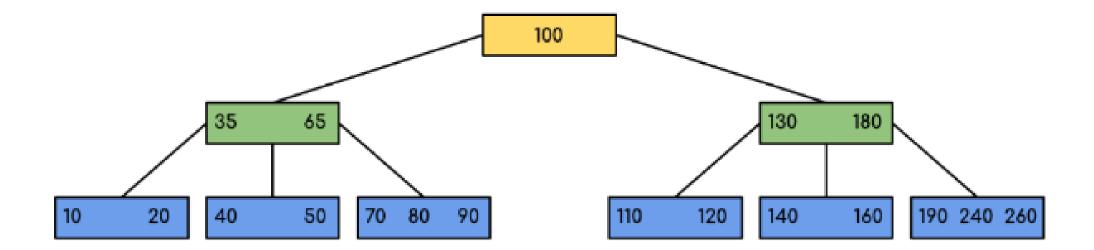


- All leaves are at the same level.
- B-Tree is defined by the term minimum degree 't'. The value of 't' depends upon disk block size.
- 3. Every node except the root must contain at most t-1 keys. The root may contain a minimum of 1 key.
- 4. All nodes (including root) may contain at most (2\*t 1) keys.
- 5. Number of children of a node is equal to the number of keys in it plus 1.
- 6. All keys of a node are sorted in increasing order. The child between two keys k1 and k2 contains all keys in the range from k1 and k2.
- 7. B-Tree grows and shrinks from the root which is unlike Binary Search Tree. Binary Search Trees grow downward and also shrink from downward.
- 8. Like other balanced Binary Search Trees, the time complexity to search, insert and delete is O(log n).
- 9. Insertion of a Node in B-Tree happens only at Leaf Node.

### B Trees (Balanced Tree) - Example



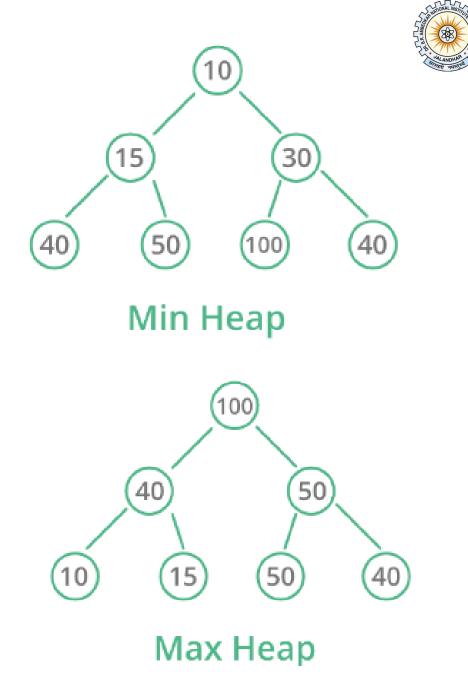
1. Following is an example of a B-Tree of minimum order 5



Heaps and Heap Sort

### Heap

- A Heap is a Tree-based data structure in which is a complete binary tree.
- 2. Generally, Heaps can be of two types:
  - a) Max-Heap: In a Max-Heap the key present at the root node must be greatest among the keys present in all of it's children. The same property must be recursively true for all sub-trees in that Binary Tree.
  - b) Min-Heap: In a Min-Heap the key present at the root node must be minimum among the keys present at all of it's children. The same property must be recursively true for all sub-trees in that Binary Tree.



### Operations of Heap Data Structure



- 1. Heapify: a process of creating a heap from an array.
- 2. Insertion: process to insert an element in existing heap
- 3. Deletion: deleting the top element of the heap or the highest priority element, and then reorganizing the heap and returning the element
- 4. Peek: to check or find the first/top element of the heap.

### **Heap Sort**



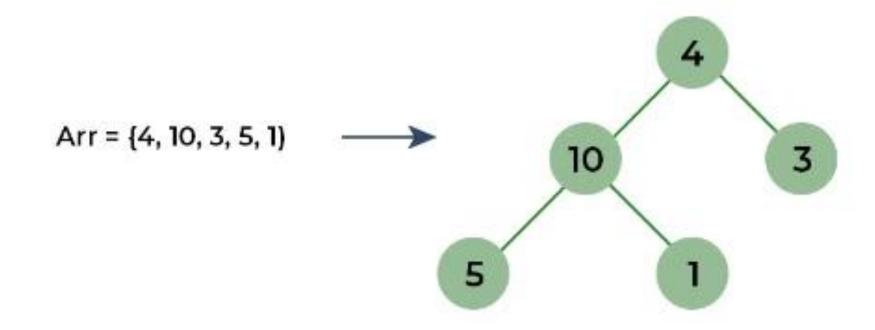
1. Heap sort is a comparison-based sorting technique based on Binary Heap data structure.

#### 2. Steps:

- Build a maxheap from the given input array.
- II. Repeat the following steps until the heap contains only one element:
  - a) Swap the root element of the heap (which is the largest element) with the last element of the heap.
  - b) Remove the last element of the heap (which is now in the correct position).
  - c) Heapify the remaining elements of the heap.
- III. The sorted array is obtained by reversing the order of the elements in the input array.

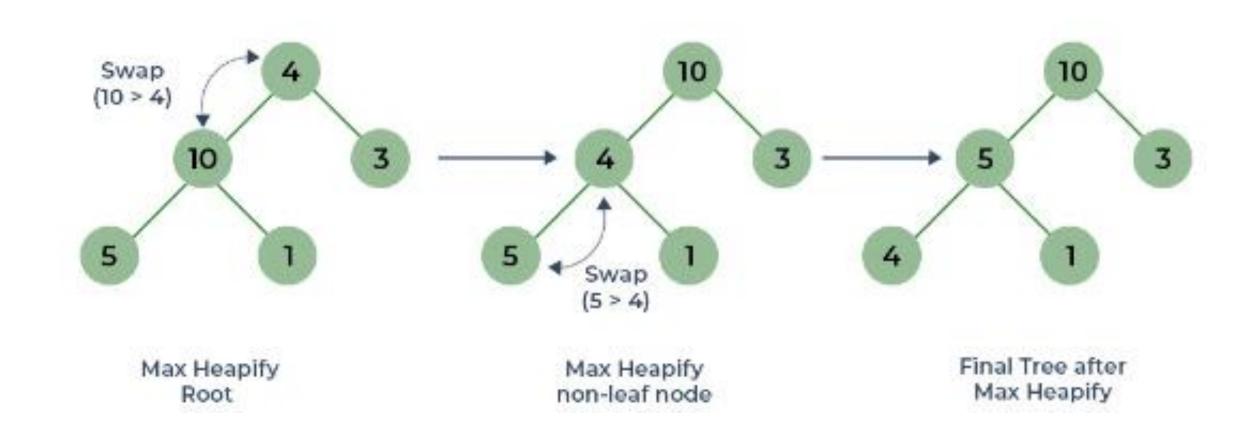


- 1. Consider the array:  $arr[] = \{4, 10, 3, 5, 1\}$ .
- 2. Build heap out of given array elements



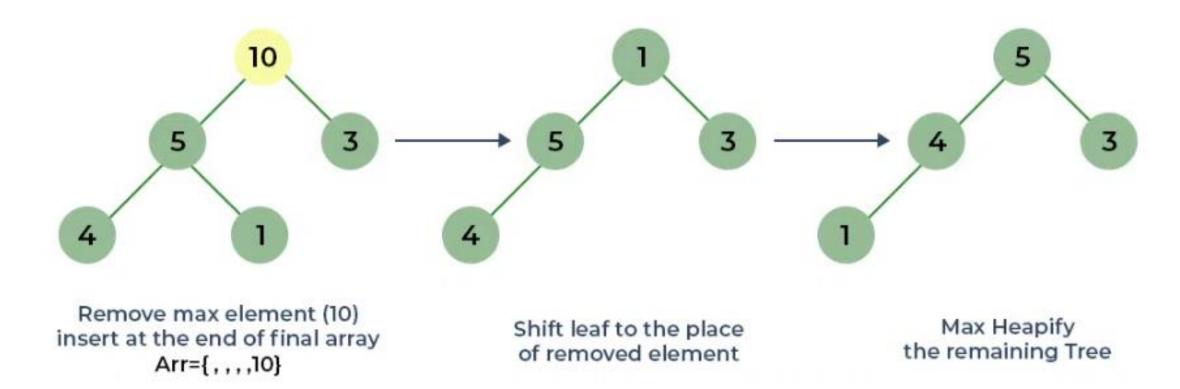


1. Build maxheap from the heap - parent node should always be greater than or equal to the child nodes – swap elements wherever necessary



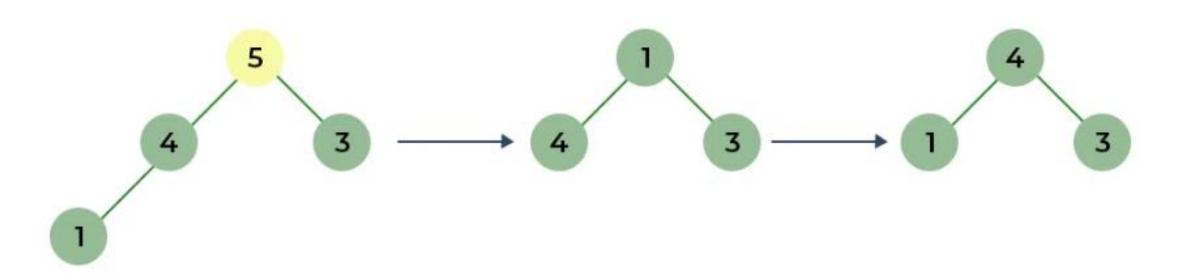


- 1. Remove the maximum element store as last elements of sorted array
- 2. Swap the last element of the maxheap into the root
- 3. Consider the remaining elements and transform it into a max heap.





1. Repeat the above steps until only 1 node left



Remove max element (5) insert at last vacant position of final array Arr = { , , ,5,10}

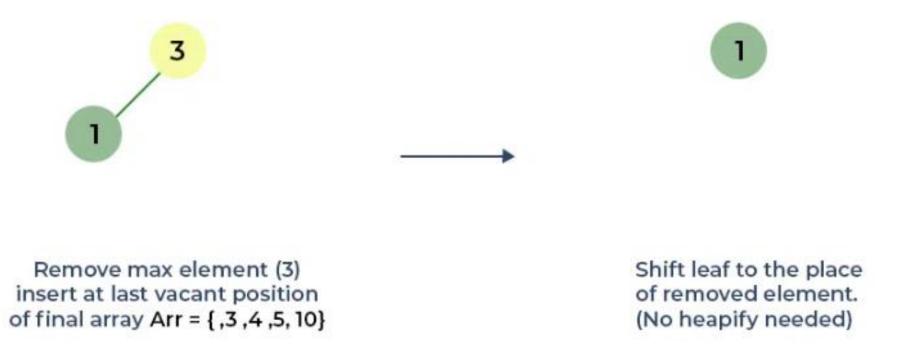
Shift leaf to the place of removed element

Max Heapify the remaining Tree

2. Remove 4 next

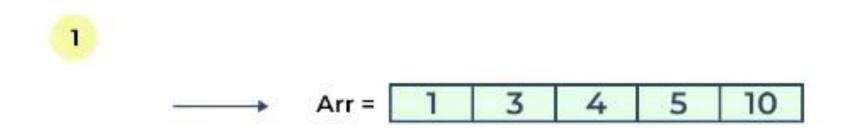


1. Repeat the above steps until only 1 node left – where Heapify is not needed anymore.





1. The final array is sorted.



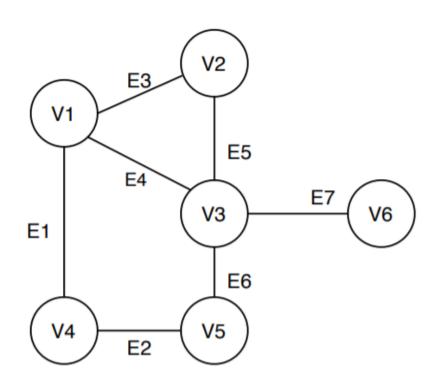
Remove max element (1) Arr = { 1,3,4,5,10} Final sorted array

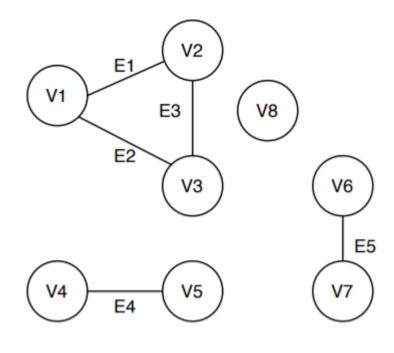
## Connected Components in Graph

#### Connected Components in a Graph



- 1. A set of vertices in a graph that are linked to each other by paths
- Traversal from one node to another is possible in a connected component of a graph



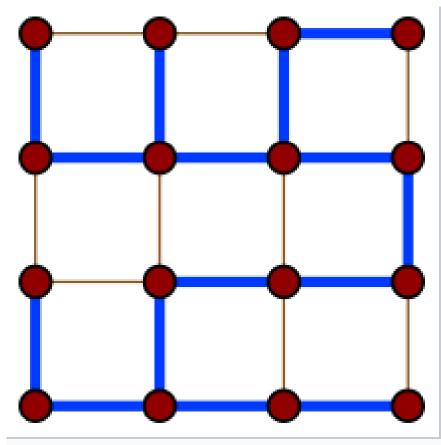


One connected component

Four Connected Components

### **Spanning Trees**

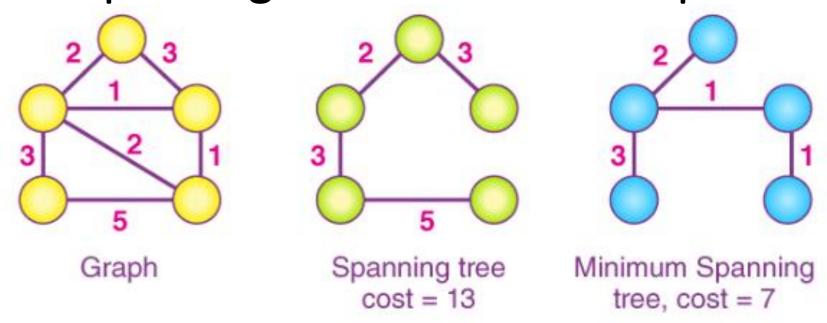
- The spanning tree is a subgraph of an undirected connected graph.
- 2. It includes all the vertices in the graph and the least number of edges that can connect every vertex without forming a loop or cycle.
- 3. Alternate definition: A spanning tree T of an undirected graph G is a subgraph that is a tree which includes all of the vertices of G.
- 4. A graph may have several spanning trees
- 5. A graph that is not connected will not contain a spanning tree
- 6. If all of the edges of G are also edges of a spanning tree T of G, then G is a tree and is identical to T a tree has a unique spanning tree and it is itself



A spanning tree (blue heavy edges) of a grid graph

### Different Spanning Trees for same Graph - Example





- 1. For this given graph, two possible spanning trees are shown
- 2. This type of graph with values associated with the edges are called **edge-weighted graphs -** Weights represent cost of travelling that edge.
- 3. If we want to optimize (minimize) cost, we would choose the second spanning tree The minimum spanning tree

### Minimum Cost Spanning Trees (MST)



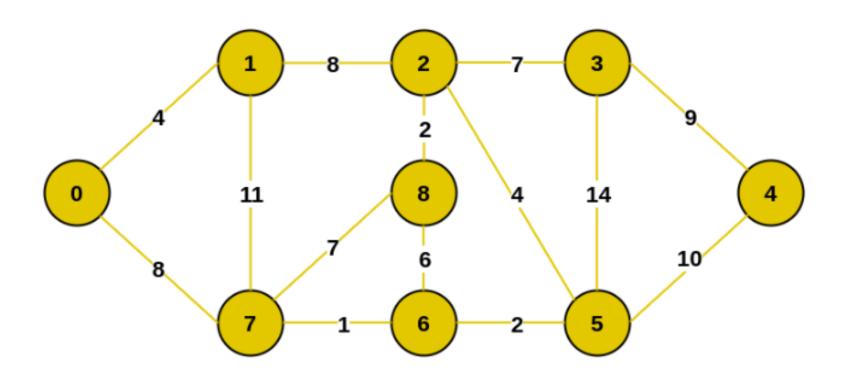
- 1. A minimum spanning tree (MST) is
  - a) a subset of the edges of a connected, edge-weighted graph
  - b) that connects all the vertices together without any cycles
  - c) and with the minimum possible total edge weight.
- 2. It is a way of finding the most economical way to connect a set of vertices.
- 3. Applications of Minimum Spanning Tree
  - It helps in finding the route or paths on the map
  - For water-supply networks and also help in creating the networks like telecommunication etc
- 4. We can evaluate the minimum spanning tree from a graph using two algorithms:
  - Prim's Algorithm
  - Kruskal's Algorithm



- 1. Step 1: Determine an arbitrary vertex as the starting vertex of the MST.
- 2. Step 2: Follow steps 3 to 5 till there are vertices that are not included in the MST (known as fringe vertex).
- 3. Step 3: Find edges connecting any tree vertex with the fringe vertices.
- 4. Step 4: Find the minimum among these edges.
- 5. Step 5: Add the chosen edge to the MST if it does not form any cycle.
- 6. Step 6: Return the MST and exit

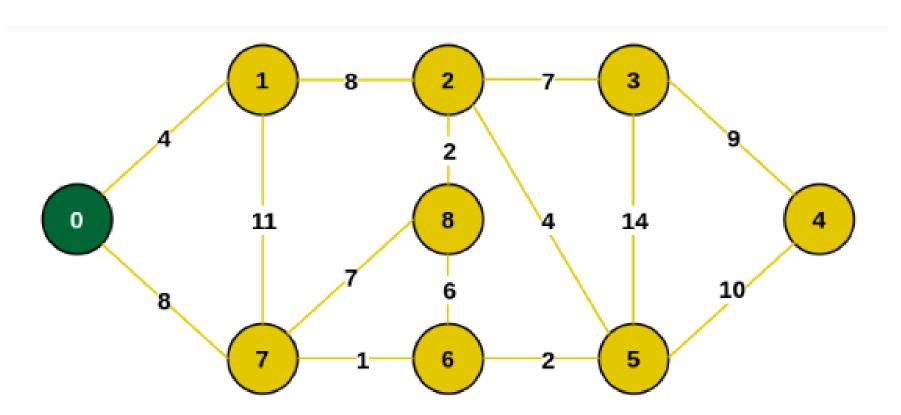


1. Start with the given graph



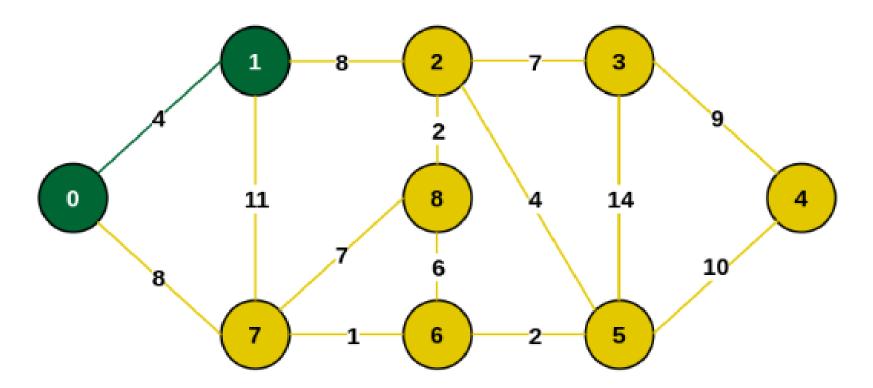


1. Step 1: Firstly, we select an arbitrary vertex that acts as the starting vertex of the Minimum Spanning Tree. Here we have selected vertex 0 as the starting vertex.



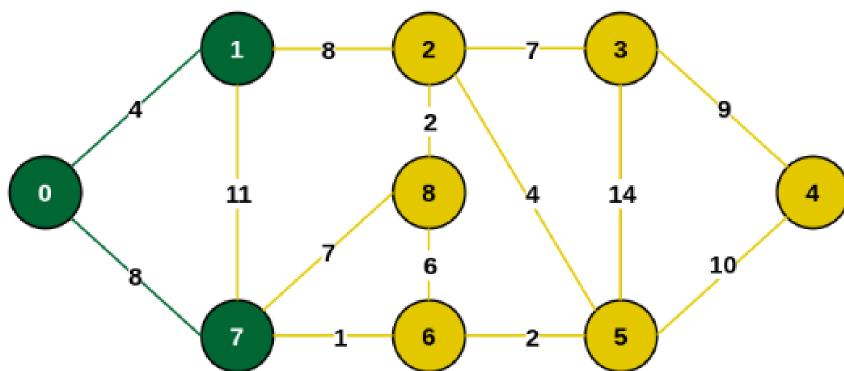


1. Step 2: All the edges connecting the incomplete MST and other vertices are the edges {0, 1} and {0, 7}. Between these two the edge with minimum weight is {0, 1}. So include the edge and vertex 1 in the MST.



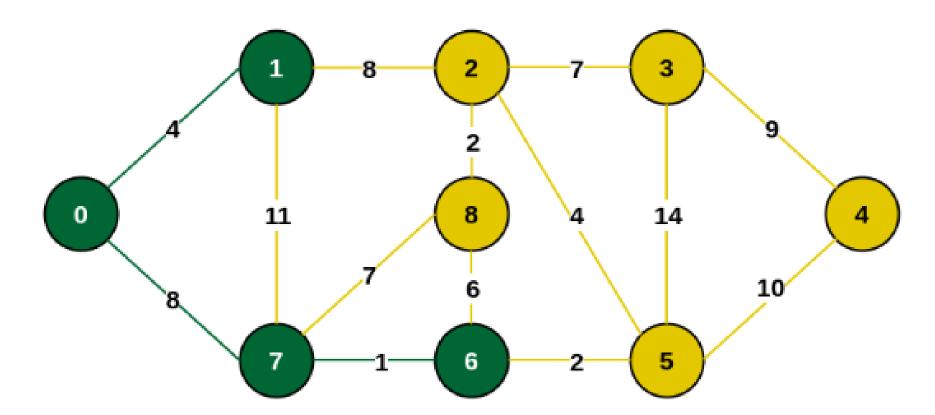


1. Step 3: The edges connecting the incomplete MST to other vertices are {0, 7}, {1, 7} and {1, 2}. Among these edges the minimum weight is 8 which is of the edges {0, 7} and {1, 2}. Let us here include the edge {0, 7} and the vertex 7 in the MST. [We could have also included edge {1, 2} and vertex 2 in the MST].



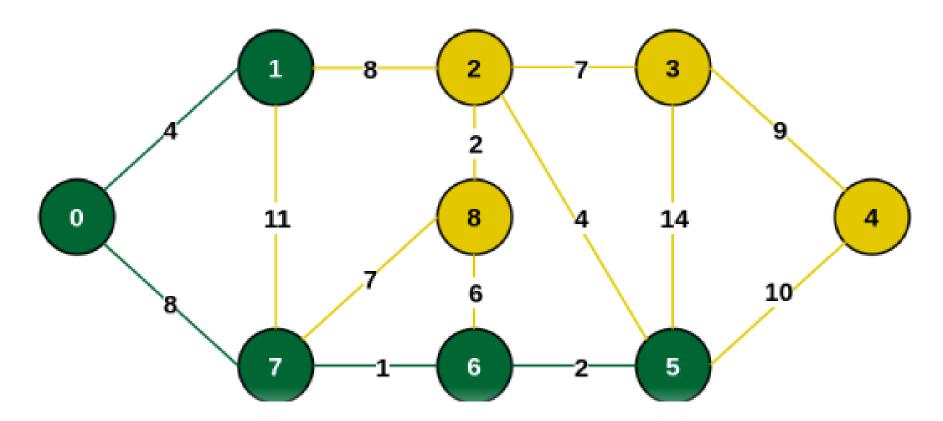


- Step 4: The edges that connect the incomplete MST with the fringe vertices are {1, 2}, {7, 6} and {7, 8}. Add the edge {7, 6} and the vertex 6 in the MST as it has the least weight (i.e., 1).
- 2. Why we haven't considered edge {1, 7}?



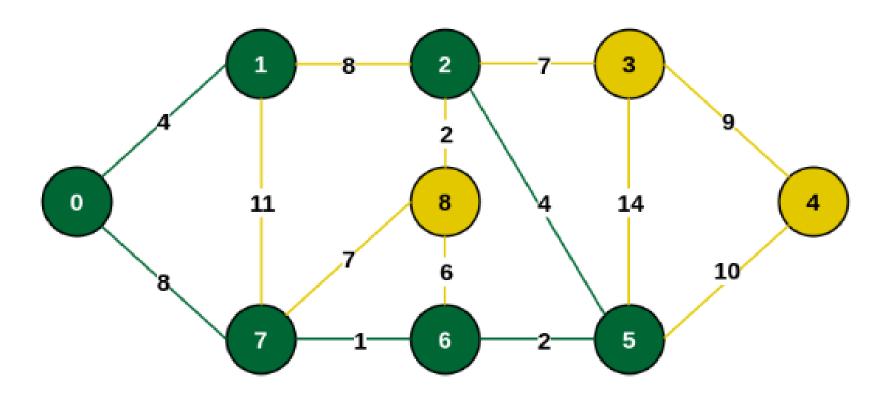


1. Step 5: The connecting edges now are {7, 8}, {1, 2}, {6, 8} and {6, 5}. Include edge {6, 5} and vertex 5 in the MST as the edge has the minimum weight (i.e., 2) among them.



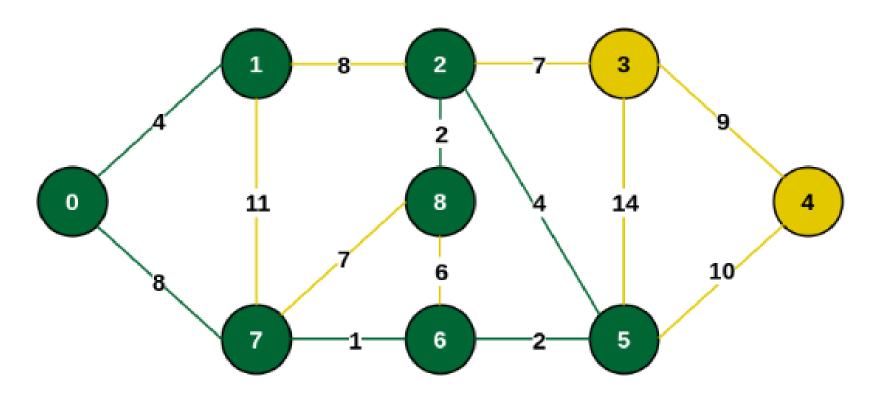


1. Step 6: Among the current connecting edges, the edge {5, 2} has the minimum weight. So include that edge and the vertex 2 in the MST.



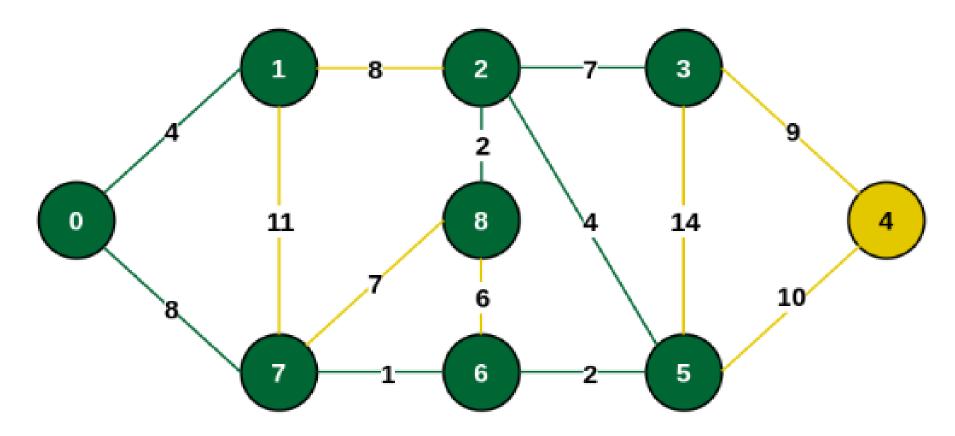


1. Step 7: The connecting edges between the incomplete MST and the other edges are {2, 8}, {2, 3}, {5, 3} and {5, 4}. The edge with minimum weight is edge {2, 8} which has weight 2. So include this edge and the vertex 8 in the MST.



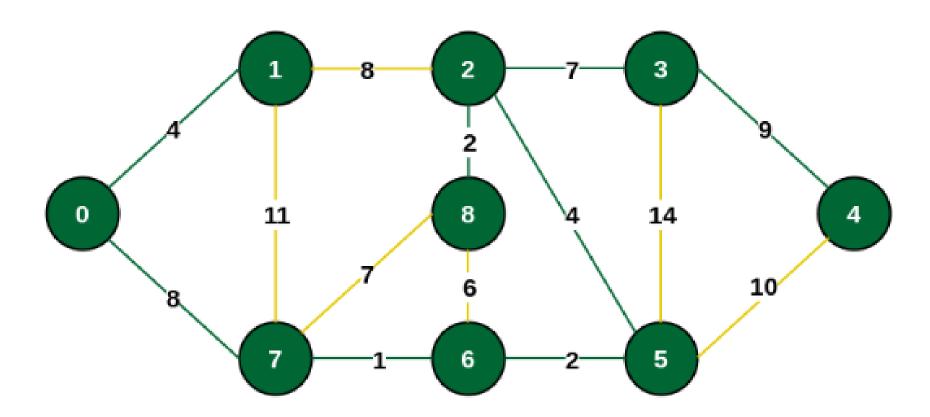


1. Step 8: See here that the edges {7, 8} and {2, 3} both have same weight which are minimum. But 7 is already part of MST. So we will consider the edge {2, 3} and include that edge and vertex 3 in the MST.



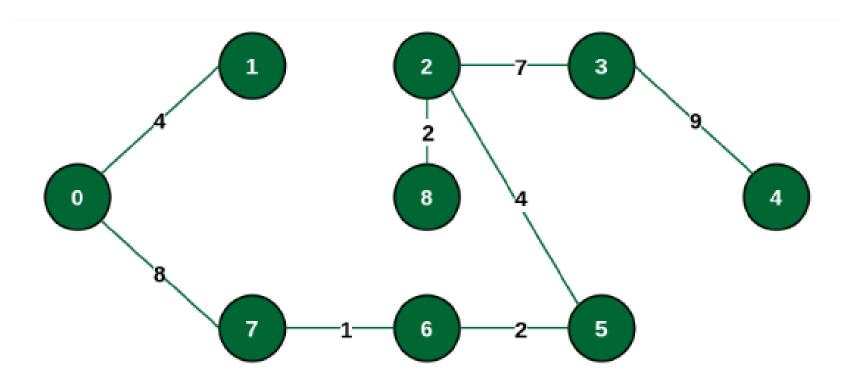


1. Step 9: Only the vertex 4 remains to be included. The minimum weighted edge from the incomplete MST to 4 is {3, 4}.





1. The final structure of the MST is as follows and the weight of the edges of the MST is (4 + 8 + 1 + 2 + 4 + 2 + 7 + 9) = 37.



The final structure of MST

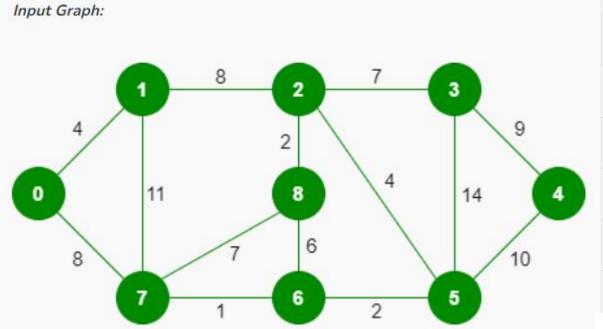
### Kruskal's Algorithm for MST



- Sort all the edges of the input graph in non-decreasing order of their weight.
- 2. Pick the smallest edge. Check if it forms a cycle with the spanning tree formed so far. If the cycle is not formed, include this edge. Else, discard it.
- 3. Repeat step#2 until there are (V-1) edges in the spanning tree.
- 4. Note: Step 2 uses the Union-Find algorithm to detect cycles.

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1. Start with the given graph



Weight	Source	Destination
1	7	6
2	8	2
2	6	5
4	0	1
4	2	5
6	8	6
7	2	3
7	7	8

8	0	7
8	1	2
9	3	4
10	5	4
11	1	7
14	3	5

- 2. The graph contains 9 vertices and 14 edges.
- 3. So, the minimum spanning tree formed will be having (9-1) = 8 edges.

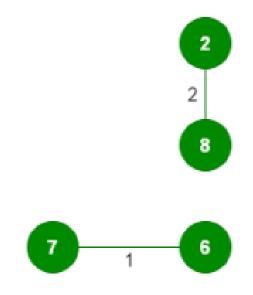


1. Pick edge 7-6. No cycle is formed, include it.



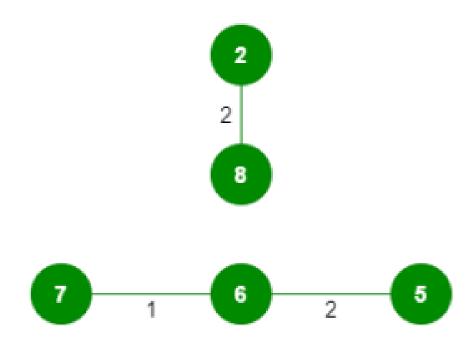


1. Pick edge 8-2. No cycle is formed, include it.



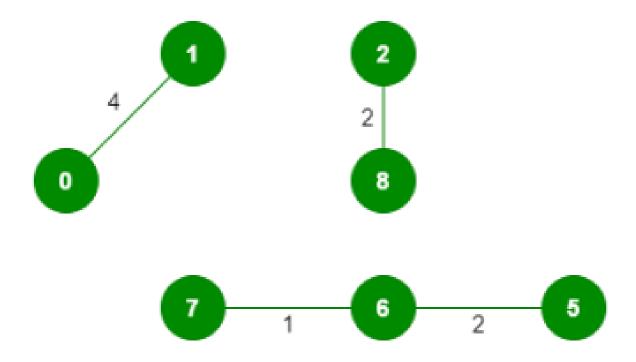


1. Pick edge 6-5. No cycle is formed, include it.



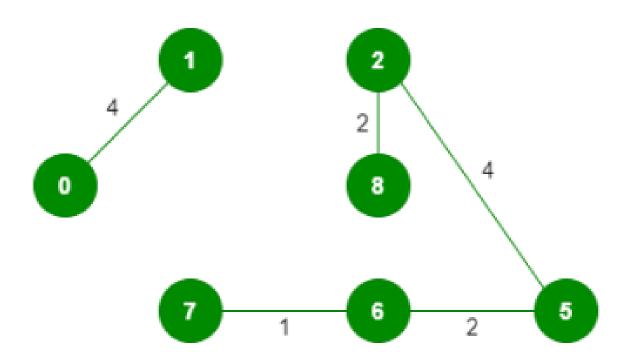


1. Pick edge 0-1. No cycle is formed, include it.



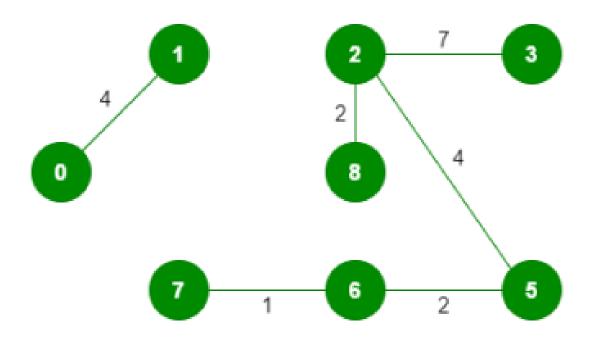


1. Pick edge 2-5. No cycle is formed, include it.



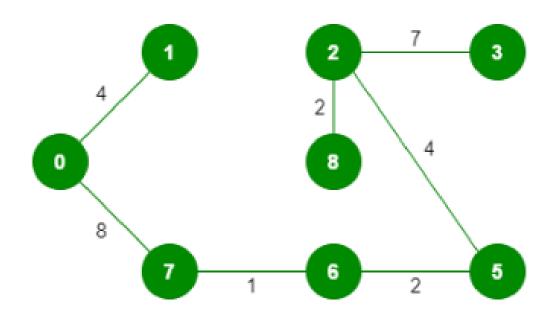


1. Pick edge 8-6. Since including this edge results in the cycle, discard it. Pick edge 2-3: No cycle is formed, include it.



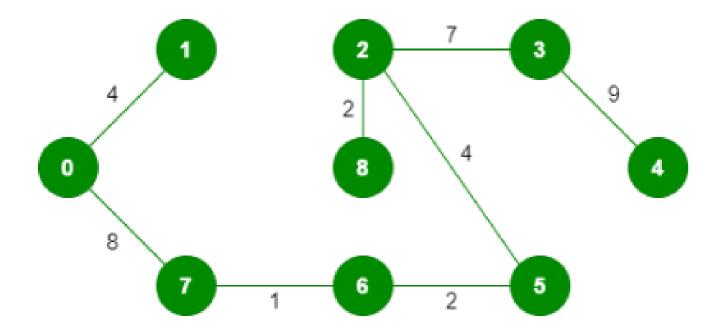


1. Pick edge 7-8. Since including this edge results in the cycle, discard it. Pick edge 0-7. No cycle is formed, include it.





1. Pick edge 1-2. Since including this edge results in the cycle, discard it. Pick edge 3-4. No cycle is formed, include it.



2. Note: Since the number of edges included in the MST equals to (V - 1), so the algorithm stops here