

# Approximation algorithm for the Clique-width

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## Abstract

$O(n^9 \log n)$ -time algorithm to output  
either clique-width  $> k$  or  $\leq f(k)$ , where  
 $f(k)$  is independent of  $n$ .

Cowork with Paul Seymour.

Approximating Clique-width

# Clique-width

**Definition 1.** [Courcelle and Olariu, 2000]

*k-expression*: expression on vertex-labelled graphs with labels  $\{1, 2, \dots, k\}$  using the following 4 operations

$G_1 \oplus G_2$  disjoint union of  $G_1$  and  $G_2$

$\eta_{i,j}(G)$  add edges  $uv$  s.t.  $\text{lab}(u) = i$ ,  $\text{lab}(v) = j$  ( $i \neq j$ )

$\rho_{i \rightarrow j}(G)$  relabel all vertices of label  $i$  into label  $j$

$\cdot_i$  create a graph with one vertex with label  $i$

*Clique-width* of  $G$ , denoted by  $\text{cwd}(G)$ : minimum  $k$  such that  $G$  can be expressed by  $k$ -expression (after forgetting the labels)

# Clique-width and Algorithms

For graphs of clique-width  $\leq k$ , if an input is given by its  $k$ -expression, then many NP-complete problems can be solved in polynomial time, assuming  $k$  is a constant.

- All graph properties, expressible in monadic second order logic with quantifications over vertices and vertex sets [Courcelle et al., 2000] (a logic formula with  $\neg$ ,  $\vee$ ,  $\wedge$ ,  $($ ,  $)$ ,  $x = y$ ,  $x \sim y$ ,  $x \in X$ ,  $\forall x$ ,  $\exists y$ ,  $\forall X$ ,  $\exists Y$ )
- Hamiltonian path/circuit [Espelago et al., 2001], [Wanke, 1994]
- Finding the chromatic number [Kobler and Rotics, 2003]

## If we don't have a $k$ -expression,

Suppose our input graphs have clique-width  $\leq 10$ , but inputs are given by its adjacency list. How to construct a 10-expression of an input graph?

It's open for  $k > 3$  whether there exists a poly-time algorithm to find a  $k$ -expression assuming  $cwd(G) \leq k$ .

$k = 3$ : [Corneil et al., 2000]

$k = 2$ : [Corneil et al., 1985]

Any algorithms that guarantee to find a  $f(k)$ -expression also make algorithms based on  $k$ -expressions run in poly time, because  $f(k)$  is independent of  $n$ .

# Overview

Instead of clique-width, we developed the techniques for **branch-width of a symmetric submodular functions**, and apply it to some function on graphs to get the ‘**rank-width**’.

- Rank-width and clique-width are **compatible**: if one is bounded, another is also bounded.  
 $\text{rank-width} \leq \text{clique-width} \leq 2^{\text{rank-width}+1}$
- For fixed  $k$ ,  $\exists O(n^9 \log n)$ -time algorithm, which confirms  $\text{rank-width} > k$  or **outputs** a rank-decomposition of width  $\leq 3k + 1$ .
- We have a  $O(n)$ -time algorithm to **convert** the rank-decomposition of width  $\leq 3k + 1$  into  $2^{3k+2}$ -expression.

# Branch-width of a symmetric submodular function

Let  $f : V \rightarrow \mathbb{Z}$  be s.t.  $f(X) = f(V - X)$ ,  
 $f(X) + f(Y) \geq f(X \cap Y) + f(X \cup Y)$ ,  $f(\{v\}) - f(\emptyset) \leq 1 \forall v$ . Assume  $f(\emptyset) = 0$ .

**Definition 2.** [Geelen et al., 2002]

*Branch-decompositon of  $f$ : cubic tree  $T$  with a bijection between leaf nodes of  $T$  and  $V$*

*Width of  $T$ :  $\max_{e \in T} f(A_e)$  where  $(A_e, B_e)$  is a partition of  $V$  induced by  $e \in T$*

*Branch-width of  $f$ , denoted by  $bw(f)$ : minimum width over all possible branch-decomposition of  $f$*

# Well-Linkedness and Branch-Width

**Definition 3.**  $A \subseteq V$  is called *well-linked* iff for any partition  $(X, Y)$  of  $A$ ,

$$X \subseteq Z \subseteq V \setminus Y \quad \Rightarrow \quad f(Z) \geq \min(|X|, |Y|).$$

**Theorem 1.** 1. If  $f$  has a well-linked set  $A$  of size  $k$ , then  $bw(f) \geq k/3$ .

2. If  $f$  has no well-linked set of size  $k$ , then  $bw(f) \leq k$ ;  $\exists$  a poly-time algorithm that constructs the branch-decomp. of width  $\leq k$  or finds a well-linked set of size  $k$ .

$\Rightarrow$  poly-time algorithm to confirm  $bw(f) > k$  or  $bw(f) \leq 3k + 1$  and output its branch-decomposition of width  $\leq 3k + 1$ .

# Rank-width

**Definition 4.** Let  $G$  be a simple graph.  $f_G^*(A, B) = \text{rank}(M_A^B)$ , where  $M_A^B$  is a 0-1  $A$ -by- $B$  matrix  $(m_{ij})_{i \in A, j \in B}$  such that

$$m_{ij} = \begin{cases} 1 & \text{if } i \text{ is adjacent to } j \text{ in } G \\ 0 & \text{otherwise.} \end{cases}$$

Let  $f_G(X) = f_G^*(X, V - X)$  “rank of a cut”.

**Proposition 1.**  $f_G^*$  is symmetric, uniform and submodular.  $f_G$  is symmetric and submodular.

**Definition 5.** Rank-decomposition of  $G \equiv$  branch-decomposition of  $f_G$ .

Rank-width of  $G \equiv$  branch-width of  $f_G$ .

# Rank-width and Clique-width

## Proposition 2.

$$\text{rank-width} \leq \text{clique-width} \leq 2^{\text{rank-width}+1}$$

**Proof.** (Idea) If  $M$  has at most  $k$  distinct rows, then  $\text{rank}(M) \leq k$ . Conversely,  $\text{rank}(M) \leq k$  implies  $M$  has at most  $2^k$  distinct rows/columns, if  $M$  is a 0-1 matrix.  $\square$

## Time Complexities

- Calculating  $f_G^*$ :  $O(n^3)$  time
- Converting rank-decomposition of width  $\leq k$  into  $2^{k+1}$ -expression:  $O(n)$  time.

# What is $f^*$ in general?

We need a general  $f^*$  to apply our algorithm to general  $f$  other than  $f_G$ . Let  $3^V = \{(A, B) : A \cap B = \emptyset, A, B \subseteq V\}$ .

**Definition 6.**  $f^* : 3^V \rightarrow \mathbb{Z}$  is an *extension* of a submodular function  $f : V \rightarrow \mathbb{Z}$  iff

1.  $f^*(X, V - X) = f(X)$  for all  $X \subseteq V$ ,
2. (*uniform*) if  $A \subseteq C, B \subseteq D$ , then  $f(A, B) \leq f(C, D)$ ,
3. (*submodular*)  $f^*(A, B) + f^*(C, D) \geq f^*(A \cap C, B \cup D) + f^*(A \cup C, B \cap D)$ .

If we fix  $B$ , then  $f^*(X, B)$  is a rank function on a matroid over  $V - B$ .

## What is $f^*$ ? — continued

There is at least one extension of  $f$ .

**Proposition 3.**  $f_{\min}(A, B) = \min_{A \subseteq Z \subseteq (V - B)} f(Z)$   
*is an extension of  $f$ .*

Fact:  $f_G^*$  is an extension of  $f_G$ .

For each problem, we can choose the most convenient  $f^*$  to reduce the running time. For instance, calculating  $(f_G)_{\min}$  is much slower than calculating  $f_G^*$ .

# Time Complexity when $f^*$ is given

Suppose we have a function  $f^*$ , whose running time is  $O(\gamma)$ .

We use the **submodular function minimization algorithm** by [Iwata et al., 2001], whose running time is  $O(n^5\delta \log M)$ .  $M$  is the maximum value of the submodular function and  $\delta$  is the running time of the submodular function.

Job	Time
Find a basis	$O(n\gamma)$
Find $Z$	$O(2^{k-1}(n^5\gamma \log n))$

$$O(n(n\gamma + 2^{k-1}n^5\gamma \log n)) = O(n^6\gamma \log n).$$

For rank-width:  $\gamma = O(n^3) \Rightarrow O(n^9 \log n)$ .

# Time Complexity when $f$ is given

Suppose we have a function  $f$ , whose running time is  $O(\gamma)$ . Let's use  $f_{\min}$  as an extension of  $f$ . We can calculate  $f_{\min}$  by the submodular function minimization algorithm.

Job	Time
Find a basis	$O(n \cdot n^5 \gamma \log n)$
Find $Z$	$O(2^{k-1}(n^5 \gamma \log n))$

$$O(n(n^6 \gamma \log n + 2^{k-1} n^5 \gamma \log n)) = O(n^7 \gamma \log n).$$

# Branch-width of a matroid

Let  $M$  be a matroid with the rank function  $r$ .  $\lambda(X) = r(X) + r(E - X) - r(M) + 1$  is a connectivity function.

**Definition 7.** *Branch-width of  $M \equiv \text{branch-width of } \lambda$ .*

Note that  $\lambda(\emptyset) = 1$ . So there's a small adjustment.

**Corollary 1.** *For given  $k$ , there is an algorithm using the rank oracle to output  $bw(M) > k$  or output a branch-decomposition of order  $\leq 3k - 1$ , and its running time and number of oracle calls is at most  $O(n^7 \log n)$ .*

# Other aspects

**Proposition 4.** *For fixed  $k$ , deciding  $bw(f) \leq k$  is in  $NP \cap co\text{-}NP$ .*

**Proof.** To achieve co-NP, use tangles [Robertson and Seymour, 1991], [Geelen et al., 2003] □

Let  $W(G)$  be size of the largest well-linked set w.r.t.  $f_G$ . By the theorem 1,  $W(G)$  is compatible with clique-width and rank-width. Assume rank is calculated over  $\mathbb{Z}_2$ .

**Proposition 5.** *For fixed  $k$ ,  $W(G) \leq k$  can be decided in  $O(n^9 \log n)$ .*

**Proof.**  $W(G) \leq k$  is expressible by monadic second order logic. □

# Summary

- $\exists$  well-linked set of size  $k \Rightarrow bw(f) \geq \frac{k}{3} + b$ ,  
 $\nexists$  well-linked set of size  $k \Rightarrow bw(f) \leq k + b$ ,  
if  $b = f(\emptyset)$ .
- Fixed-parameter-tractable algorithm that confirms  $bw(f) > k$  or outputs a branch-decomposition of width  $\leq 3k + 1 - 2f(\emptyset)$ , if  $f$  is symmetric submodular and  $f(\{v\}) - f(\emptyset) \leq 1$ .  $\implies$  can be applied to **branch-width of a matroid** and **rank-width**
- Rank-width  $bw(f_G)$  is compatible with clique-width. Furthermore, there is a  $O(n)$  algorithm to convert the branch-decomposition of width  $\leq k$  into a  $2^{k+1}$ -expression.

# Proof of Theorem 1

**Proof.** 1. Suppose  $T$  is a branch decomposition of  $f$ . Then, there exists  $e \in E(T)$  such that  $|A_e \cap A| \geq k/3$  and  $|B_e \cap A| \geq k/3$ . Therefore,  $f(A_e) \geq \min(|A_e \cap A|, |B_e \cap A|) \geq k/3$ .  $bw(f) \geq k/3$ .

2. Greedy algorithm works. Let  $B \subseteq V$  be such that we want a ‘partial’ branch-decomp. of  $B$  of width  $\leq k$ , which is a rooted binary tree.

If  $f(B) < k$ , move one vertex of  $B$  into  $V - B$ , and run this algorithm. Join the return with  $v$ .  $f(B) \leq f(B - \{v\}) + f(\{v\}) \leq k$ .

Say  $f(B) = k$ . Let  $A = V - B$ . Find a basis  $X \subseteq A$  s.t.  $|X| = f^*(X, B) = k$ .  $X$  is not

well-linked, so **find**  $Z$  such that

$$f(Z) < \min(|Z \cap X|, |(V - Z) \cap X|).$$

**Want to split  $B$  into  $Z \cap B$  and  $(V - Z) \cap B$ .**

$Z \cap B \neq \emptyset$  unless  $f(Z) \geq f^*(Z \cap X, B) = |Z \cap X|$ . Similarly  $(V - Z) \cap B \neq \emptyset$ .

$$\begin{aligned} & |(V - Z) \cap X| + f(B) \\ & > f(Z) + f(B) \geq f(Z \cup B) + f(Z \cap B) \\ & \geq f^*((V - Z) \cap X, B) + f(Z \cap B) \\ & = |(V - Z) \cap X| + f(Z \cap B) \end{aligned}$$

$f(Z \cap B) < f(B)$  and similarly  $f((V - Z) \cap B) < f(B)$ .

Run for  $B \leftarrow Z \cap B$  and  $B \leftarrow (V - Z) \cap B$ , and join two returns.  $\square$

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