

# **Unit 5**

## **Authentication**

### **3 Hrs**

*5.4. Authentication System,*

*5.5. Password Based Authentication, Dictionary Attacks,*

*5.6. Challenge Response System,*

*5.7. Biometric System*

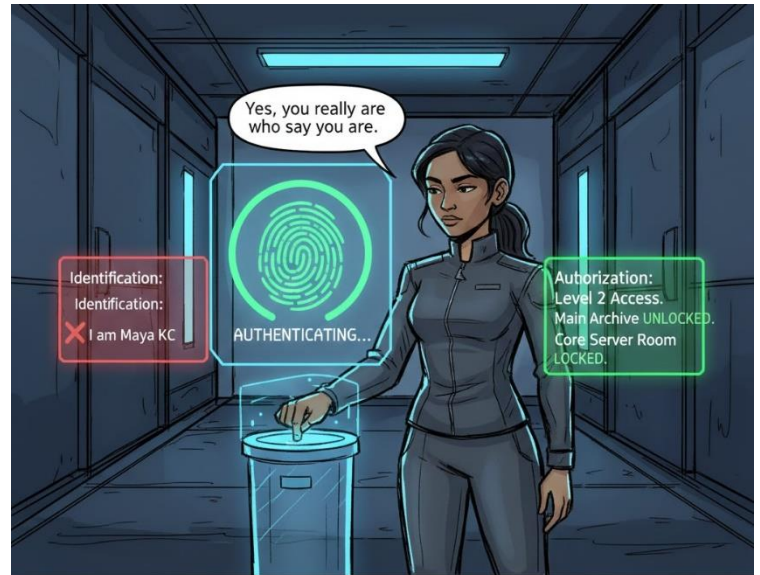
*5.8. Needham-Schroeder Scheme, Kerberos Protocol*

Authentication answers one question:

**“Who are you?”**

It is different from:

- **Identification:** “I claim I am Maya KC.”
- **Authentication:** “Prove it.”
- **Authorization:** “What are you allowed to do?”



## Core concepts

- **Subject:** user/device trying to access
  - **Verifier:** system checking proof (server)
  - **Credential:** what you use to prove identity
- 
- **Factors of authentication**
    1. **Something you know** (password/PIN)
    2. **Something you have** (token, phone, smart card)
    3. **Something you are** (biometrics)
    4. **Somewhere you are** (location)
    5. **Something you do** (behavior/keystrokes)



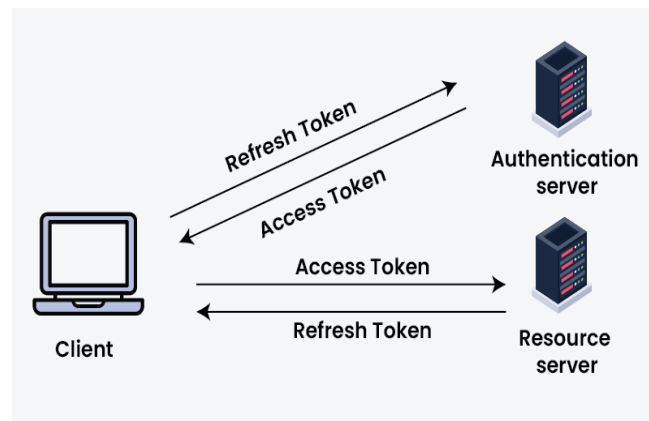
## Authentication System

An **authentication system** is the full mechanism that:

1. collects credentials,
2. verifies them securely, and

## Components

- **User/Client:** enters password/uses biometrics/token
- **Authenticator:** mechanism generating proof (password, OTP app, fingerprint sensor)
- **Verification server:** checks proof
- **Credential database:** stores *verifiers* (not raw secrets)
- **Session manager:** creates a session (cookie/token) after login
- **Audit/logging:** detects abuse, supports forensics



## Basic flow (typical login)

1. User enters credential
2. Server verifies credential
3. If valid → server creates a **session token**
4. User uses token for future requests

## Design goals

- **Correctness:** real users get in, attackers don't
- **Confidentiality:** credentials not leaked
- **Integrity:** no tampering with login process
- **Availability:** login works reliably
- **Accountability:** logs for tracing

- Password guessing, phishing, replay, MITM, credential stuffing, database leaks, session hijacking.

## Password-based authentication

User proves identity by demonstrating knowledge of a **secret password**.

### How systems should store passwords (important)

Never store plaintext passwords. Store a **salted password hash**:

- salt = random value stored with the hash
- hash =  $H(\text{salt} \parallel \text{password})$  with a slow hashing function

### Recommended password hashing (conceptually)

- Use slow, adaptive algorithms: **bcrypt** / **scrypt** / **Argon2**
- Why slow? It makes offline guessing expensive.

### Strength factors

- **Length** is usually more important than complexity.
- **Unique per site** prevents reuse damage.
- **Rate limiting + lockouts** reduce online guessing.

A system stores passwords like:

$$\text{Stored} = H(\text{salt} + \text{password})$$

#### Given

- Salt = 12
- Password = 34
- Assume a simple hash function for learning:

$$H(x) = (x \bmod 100)$$

- Combine salt + password as a number: 1234

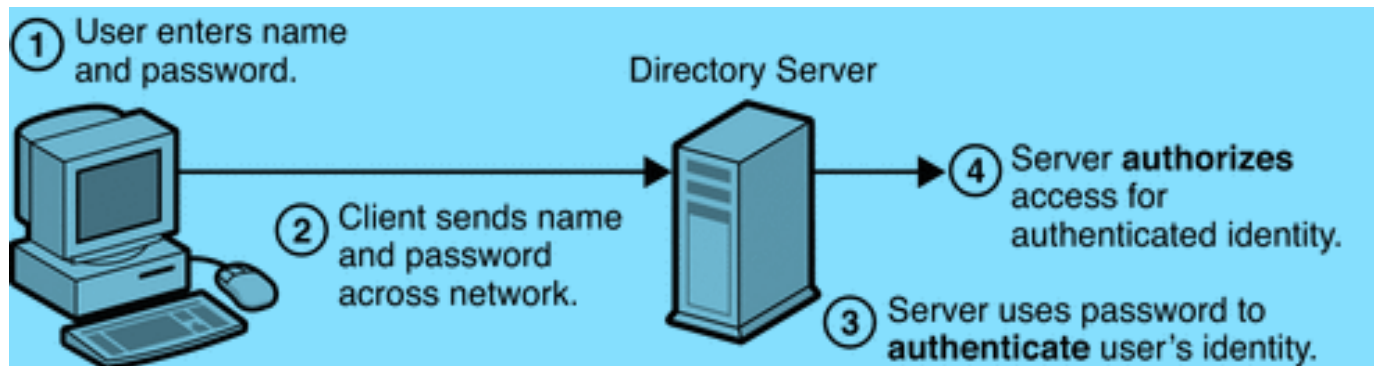
**Question:** What is stored?

#### Solution

$$H(1234) = 1234 \bmod 100 = 34$$

✅ Stored value = **34** (and salt = 12 stored too)

**Meaning:** Even if attacker sees “34”, they still need password. In real systems hash is very strong, not mod.



A **dictionary attack** tries passwords from a list of common passwords/words.

## Two types

### 1. Online dictionary attack

- Attacker tries logins against the real system.
- Limited by rate limiting, CAPTCHA, logout, monitoring.

### 2. Offline dictionary attack

- Attacker steals password hashes (database leak) and guesses locally.
- Much more dangerous: attacker can try billions of guesses.

## Why salts matter

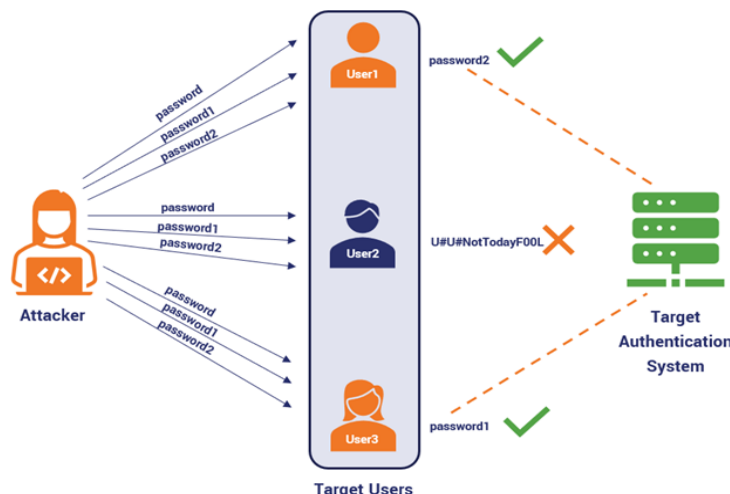
Without salt: attacker can use precomputed tables (rainbow tables).

With salt: each user's hash is unique even for same password.

## Defenses

- **Strong password policy** (length, avoid common passwords)
- **Password blacklist** (block "123456", "password", etc.)
- **Rate limiting / progressive delays**
- **Account lockout** (careful: can be DoS)
- **2FA/MFA** (even if password guessed, attacker blocked)

## How a Dictionary Attack Works



## Given

- Attacker has a dictionary of **10,000** passwords
- System allows **5 login attempts per minute** (rate limit)

**Question:** Worst-case time to try all?

## Solution

- Attempts per minute = 5
- Total attempts needed = 10,000

$$\text{Time} = \frac{10000}{5} = 2000 \text{ minutes}$$

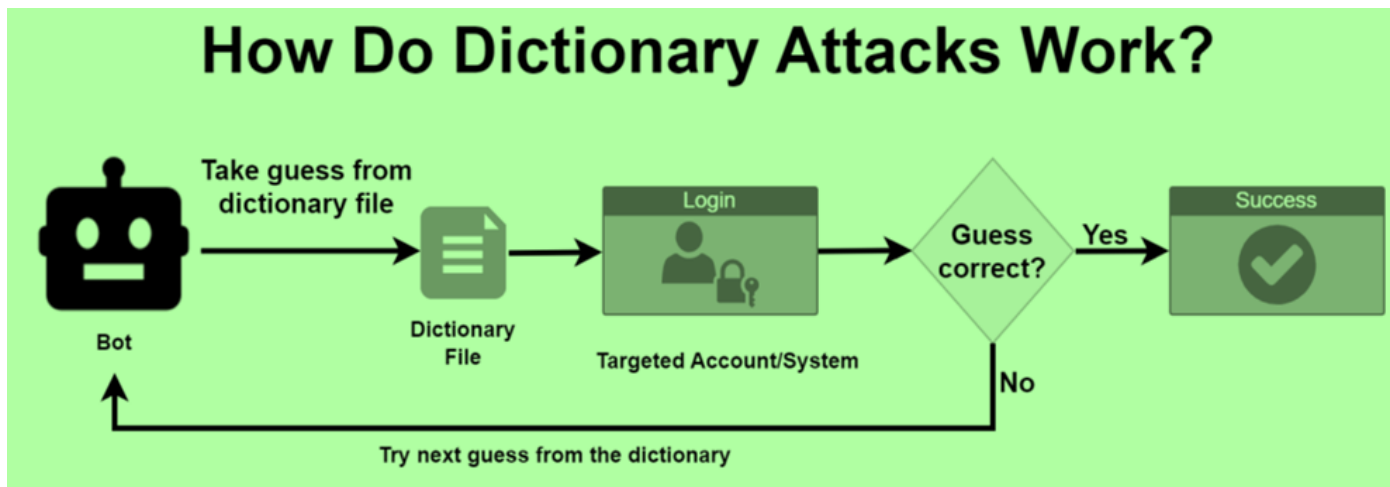
Convert to hours:

$$2000/60 = 33.33 \text{ hours}$$

✅ Worst-case ≈ **33 hours 20 minutes**

**Lesson:** Rate-limiting slows online attacks a lot.

## How Do Dictionary Attacks Work?



## Challenge–Response System

A **challenge–response** system avoids sending the secret directly.

### Idea

1. Server sends a random **challenge** (nonce).
2. Client computes a **response** using secret + challenge.
3. Server verifies response.

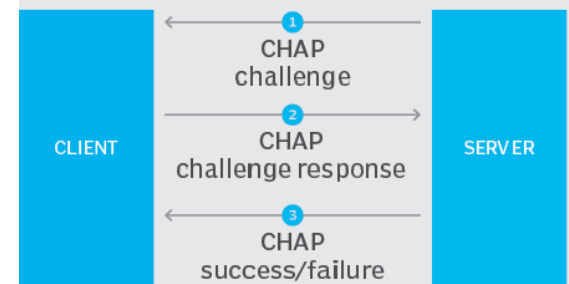
### Why it's useful

- Prevents **replay attacks** (because nonce changes each time)
- Secret is not transmitted as plaintext

### Simple example (high-level)

- Server → Client: nonce
- Client → Server:  $H(\text{secret} \parallel \text{nonce})$
- Server checks if response matches expected

## Challenge-Handshake Authentication Protocol (CHAP)



## Requirements for security

- Challenge must be **random and fresh** (nonce)
- Response must be computed with a **secure function**
- Prefer using **HMAC** (keyed hash), not plain hash
- Use **TLS** as well, because MITM can still interfere in some designs

## Where used

- One-time password systems
- Smart cards and token-based auth
- Some network authentication schemes

Let's use a simple "response formula" for understanding:

$$\text{Response} = (\text{Secret} + \text{Nonce}) \bmod 100$$

### Given

- Shared Secret = **47**
- Server sends Nonce = **18**

**Question:** Client response?

### Solution

$$(47 + 18) \bmod 100 = 65$$

✓ Response = **65**

Now server uses a different nonce:

- New Nonce = **90**

$$(47 + 90) \bmod 100 = 37$$

✓ New Response = **37**

**Lesson:** Even if attacker records old response **65**, it won't work later because nonce changes.

## Biometric System

Biometrics authenticate using **physical or behavioral traits**.

### Types

#### Physiological

- Fingerprint, face, iris, retina

#### Behavioral

- Voice, signature dynamics, keystroke patterns, gait



## Biometric authentication pipeline

1. **Enrollment:** capture sample → create a **template**
2. **Storage:** store template securely (ideally encrypted / hardware-backed)
3. **Matching:** compare live sample to stored template → similarity score
4. **Decision:** accept/reject based on threshold

### Key terms

- **FAR (False Acceptance Rate):** attacker incorrectly accepted
- **FRR (False Rejection Rate):** real user incorrectly rejected
- **CER/EER:** point where FAR = FRR (overall accuracy indicator)

### Pros

- Convenient, fast, hard to “guess”
- Good as a second factor

### Cons / Risks

- **Not secret** (your face/fingerprint is exposed everywhere)
- **Cannot be changed** easily if compromised
- Spoofing (fake fingerprints, face masks, deepfake voice)
- Privacy concerns (tracking, misuse)
- Sensor and environment issues (wet fingers, poor lighting)

## Biometrics (FAR / FRR) – Numerical

### Given

In a day:

- Genuine user attempts = **200**
  - Impostor attempts = **100**
- Results:
- Genuine rejected wrongly = **10**
  - Impostor accepted wrongly = **3**

### Find FRR and FAR

#### FRR (False Rejection Rate)

$$FRR = \frac{\text{False rejections}}{\text{Genuine attempts}} = \frac{10}{200} = 0.05 = 5\%$$

✓ FRR = 5%

#### FAR (False Acceptance Rate)

$$FAR = \frac{\text{False acceptances}}{\text{Impostor attempts}} = \frac{3}{100} = 0.03 = 3\%$$

✓ FAR = 3%

**Lesson:** Lower FAR = more secure; lower FRR = more usable



- Use biometrics as **unlocking a local secret** (e.g., device key), not as the only server-side secret.
- Add **liveness detection** (blink, depth, pulse, challenge gestures).
- Combine with **PIN/password** for higher security (multi-factor).

## Needham–Schroeder Scheme (Symmetric-Key)

A classic protocol for authentication using a **trusted key server** (KDC-like).

### Goal

Allow two parties (A and B) to establish a **session key** securely, using help from a trusted server S.

### High-level steps (symmetric version)

1.  $A \rightarrow S$ : “A wants to talk to B”
2.  $S \rightarrow A$ : session key **KAB** + a **ticket for B** encrypted for B
3.  $A \rightarrow B$ : sends ticket (B can open it and get KAB)
4. A and B use KAB to prove freshness using nonces/challenges

### Strength

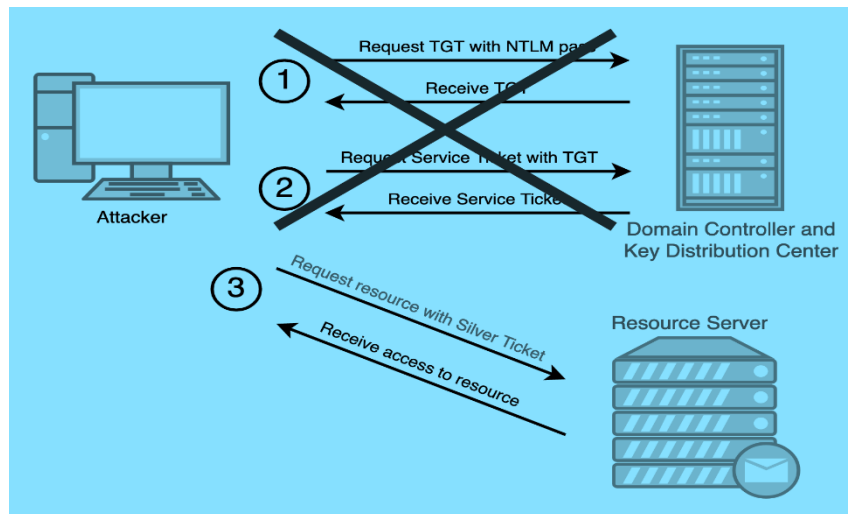
- Provides a session key without A and B sharing a long-term key directly.

### Known issue (Denning–Sacco replay problem)

If an attacker later steals an **old session key KAB**, they may replay old tickets to impersonate.

Fix: include **timestamps** (this is exactly why Kerberos uses them).

Kerberos is a real-world authentication system based on trusted third party + tickets.



## Kerberos key idea

Instead of sending passwords over the network, Kerberos uses:

- Tickets
- Session keys
- Time-based freshness (timestamps)

## Kerberos main components

- Client (C)
- Service server (V) (e.g., file server)
- KDC (Key Distribution Center), which includes:
  - AS (Authentication Server)
  - TGS (Ticket Granting Server)

## Kerberos flow (easy-to-remember)

### Step 1: Login → get TGT

- Client proves identity to AS (often using password-derived key).

## Kerberos (Ticket lifetime) – Numerical

Kerberos uses **timestamps** to stop replay.

### Given

- Ticket issued at **10:00**
- Ticket validity = **2 hours**

**Question:** Until what time is ticket valid?

### Solution

$$10:00 + 2 \text{ hours} = 12:00$$

✓ Ticket valid until **12:00**

### Replay example

- Attacker steals ticket at **13:00** → system rejects because ticket expired at **12:00**.

- AS returns **TGT (Ticket Granting Ticket)** + a client-TGS session key.

### **Step 2: Request service ticket**

- Client presents TGT to **TGS** and asks for access to service V.
- TGS returns **Service Ticket** + a client-service session key.

### **Step 3: Access the service**

- Client presents Service Ticket to **V**.
- V verifies ticket and optionally performs mutual authentication.

### **Why Kerberos is strong**

- Password isn't sent across the network.
- Tickets are time-limited.
- Supports **Single Sign-On (SSO)**: login once, access multiple services.

### **Kerberos requirements / limitations**

- **Clock synchronization** is important (timestamps).
- If **KDC is down**, authentication may fail (availability concern).
- Ticket theft is a risk if attackers compromise a machine (protect cache).