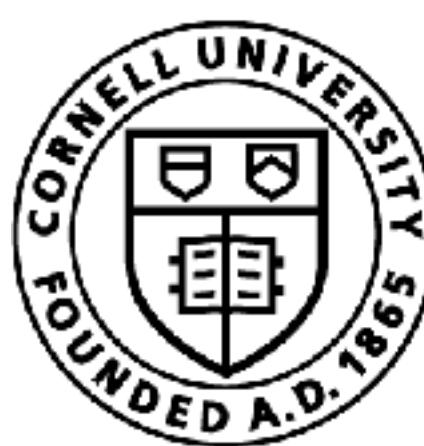


Imitation Learning from Privileged Information in Sim2Real

Sanjiban Choudhury



Cornell Bowers CIS
Computer Science

Today's class

- ❑ Sim2Real: The double-edged sword
Case study: OpenAI Dactyl Hand
- ❑ Teacher->Student distillation
Case study: Visual Dexterity
- ❑ Imitation Learning with Privileged Information

Sim2Real: Double-edged sword

The Good

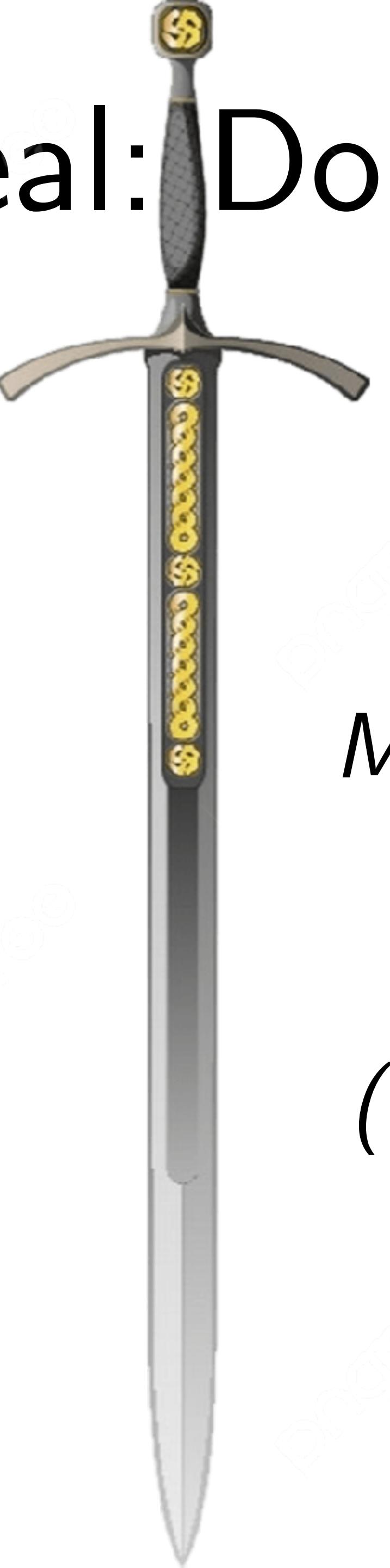
We can run reinforcement learning to compute optimal policies!

- (1) *Exploration is safe*
- (2) *Leverage privileged information*

The Danger

Mismatch between simulation and reality

- (1) *Observation mismatch*
- (2) *Transition mismatch*



Today's Robot: Dextrous Manipulation

How babies learn to manipulate

4 - 6 MONTHS



Palmar Grasp

The ability to intentionally grasp an object in their palm and wrap all fingers around it.

6 - 7 MONTHS



Raking Grasp

The ability to intentionally use all fingers to "rake" an object in the palm of their hand.

9 - 10 MONTHS



Inferior Pincer Grasp

The ability to pick up small objects between the pads of their thumb and forefinger.

11 - 12 MONTHS



Superior Pincer Grasp

The ability to pick up small objects between the tips of the thumb and forefinger.



Most robots today!

Learning Dexterity

(Open AI)

Learning Dexterous In-Hand Manipulation

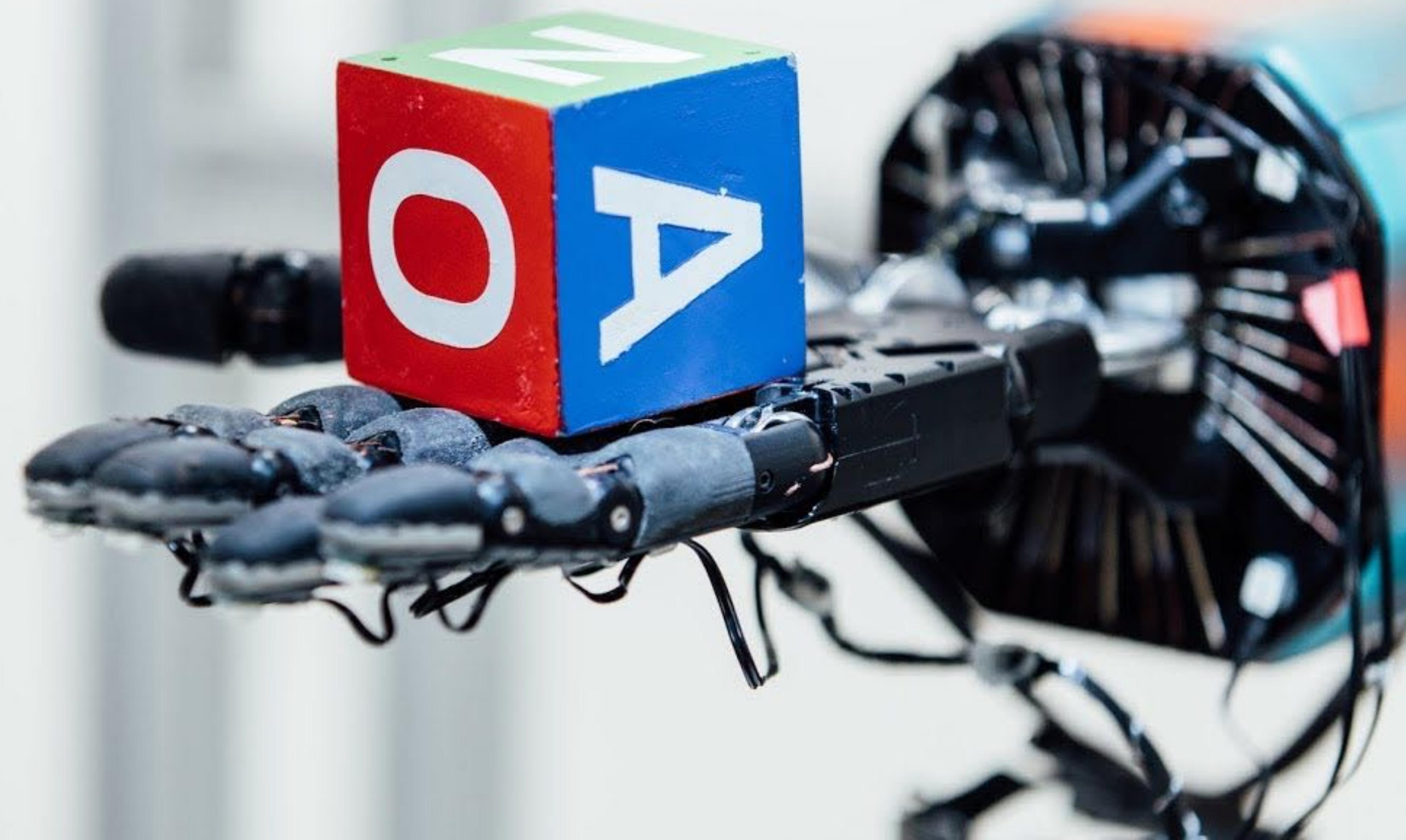
OpenAI* Marcin Andrychowicz, Bowen Baker, Maciek Chociej,
Rafał Józefowicz, Bob McGrew, Jakub Pachocki, Arthur Petron,
Matthias Plappert, Glenn Powell, Alex Ray, Jonas Schneider, Szymon Sidor,
Josh Tobin, Peter Welinder, Lilian Weng, Wojciech Zaremba



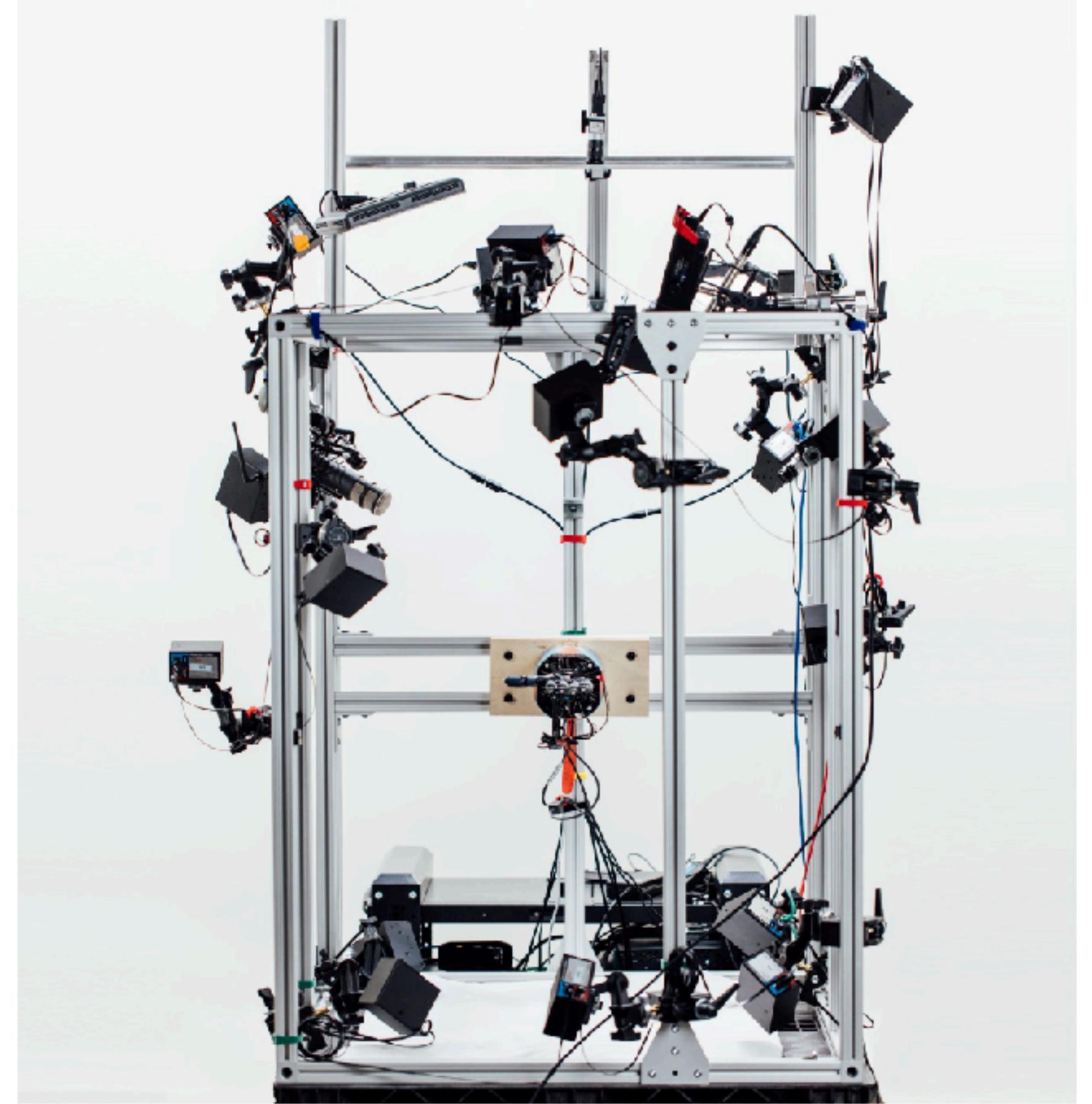
Initial
configuration



Goal
configuration



REAL-WORLD ENVIRONMENT



Sim

Train a policy in simulation
(RL)

Real

Test in real world

Activity!



Think-Pair-Share!

Think (30 sec): How will you train a policy in sim? (Input/output/method). What is a challenge in transferring it to real?

Pair: Find a partner

Share (45 sec): Partners exchange ideas



Lets see what
OpenAI did!

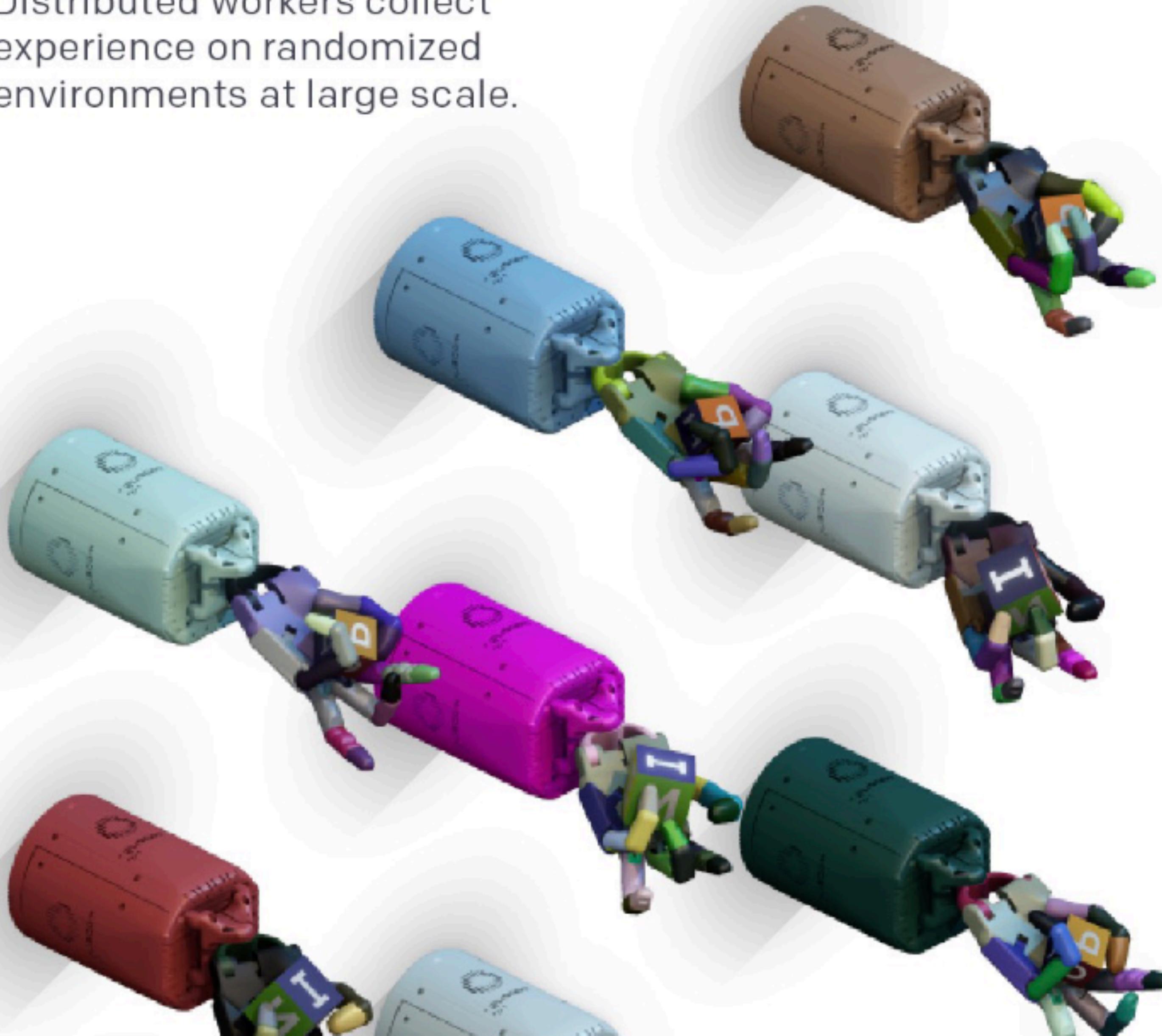


Step 1

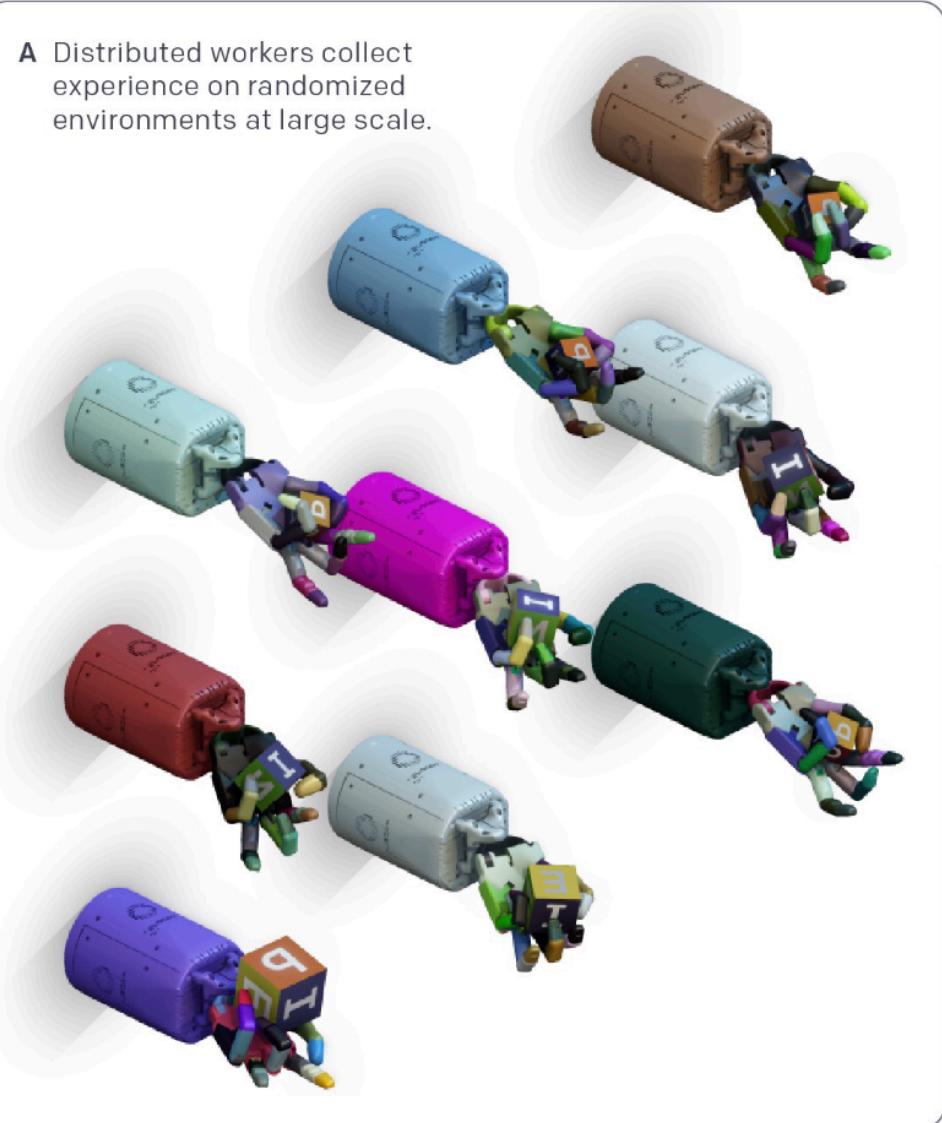
Sim

Setup
parallelized
simulator

A Distributed workers collect experience on randomized environments at large scale.

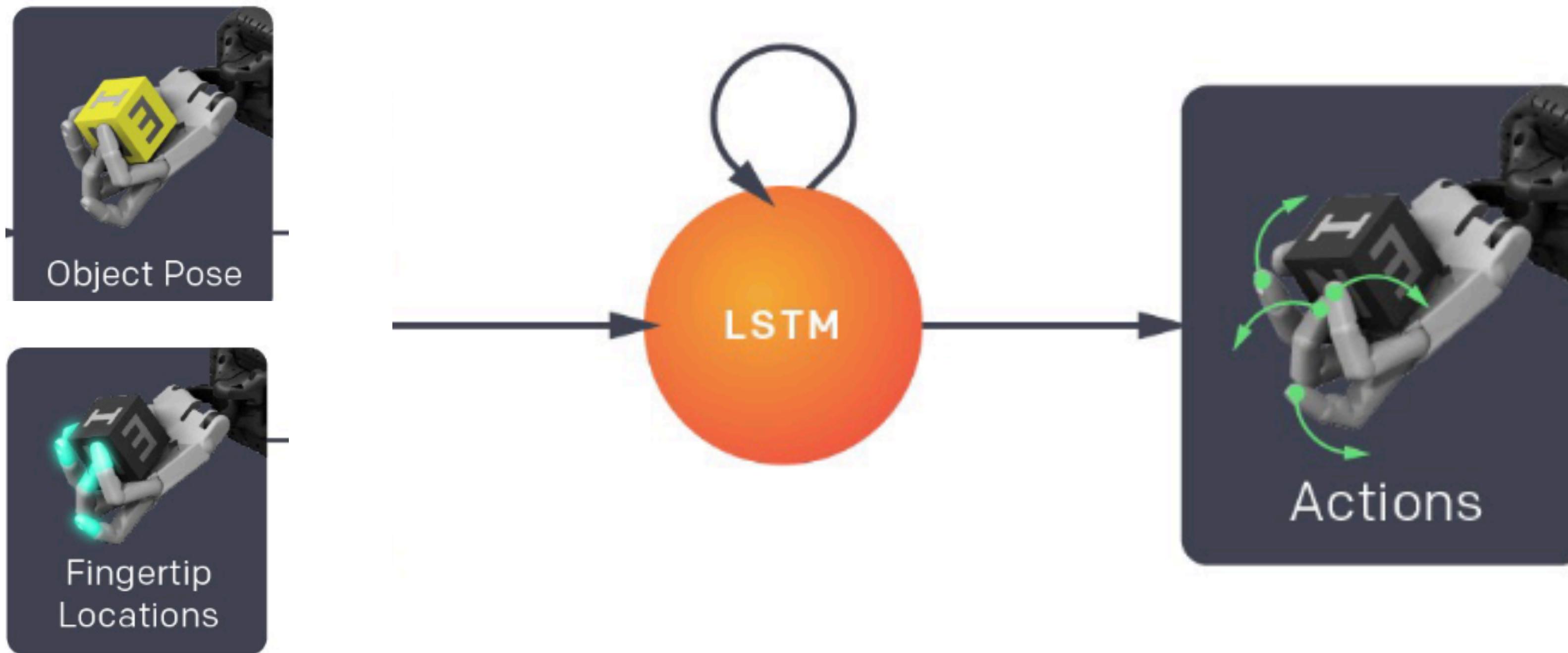


Sim



Step 2 Train RL policy in sim

B We train a control policy using reinforcement learning.
It chooses the next action based on fingertip positions
and the object pose.



Why this input? Why LSTM?

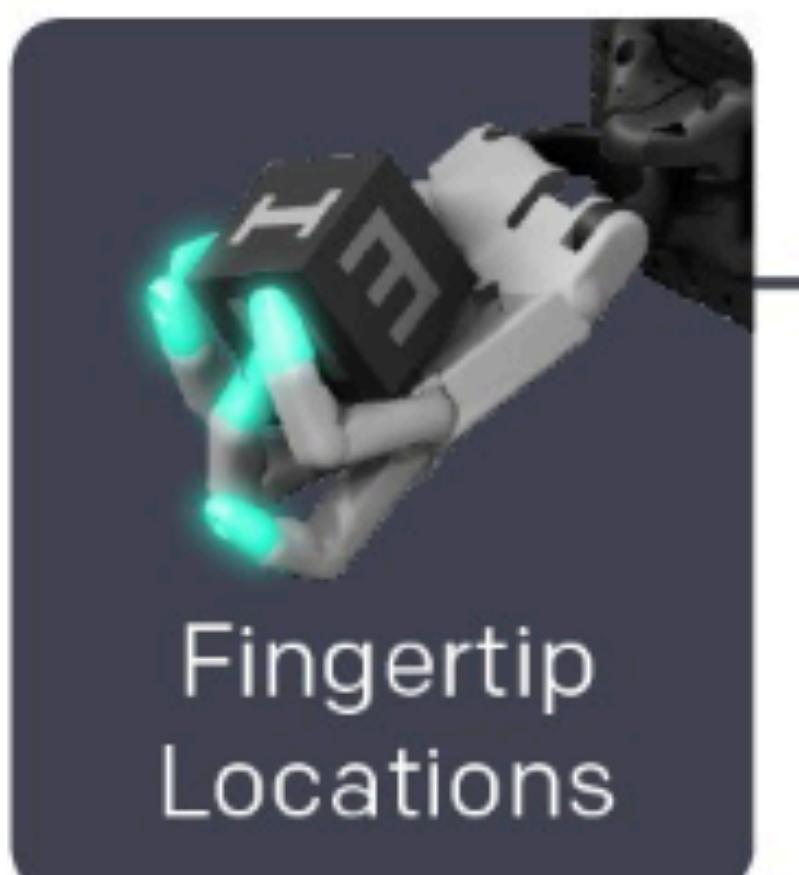
S , A , R , \mathcal{T}

Let's setup the MDP for the problem!

S, A, , R, , T



Question: Is the current object pose and fingertip location sufficient to capture state?



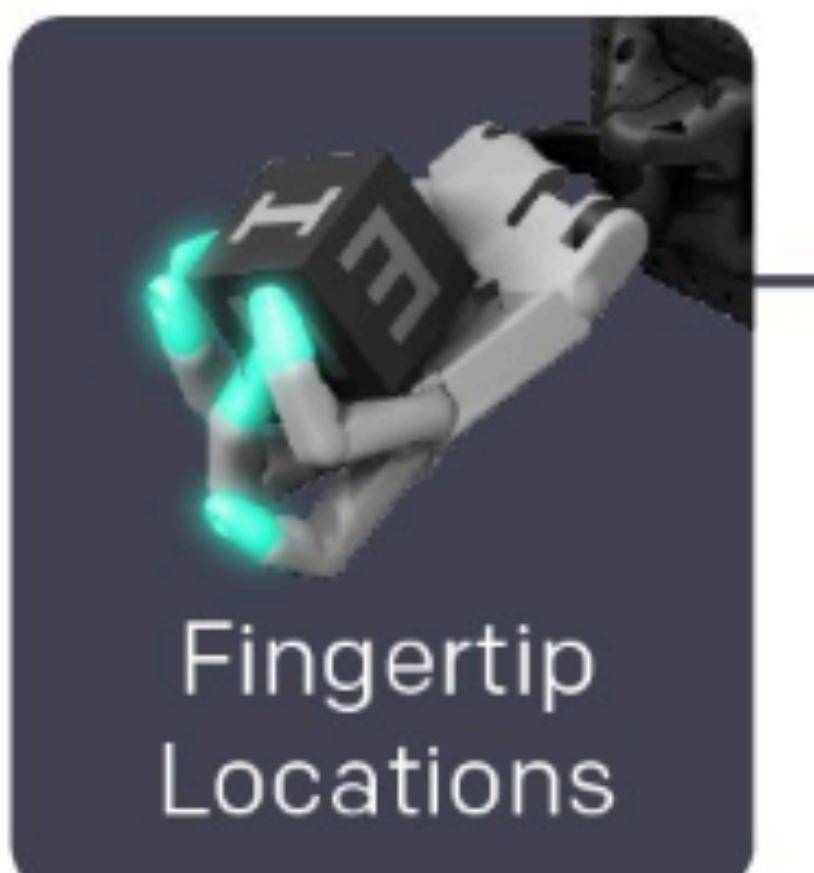
S, A, , R, , T



Object Pose

No!

This is merely the current observation of a POMDP



Fingertip Locations

Need to keep a HISTORY

E.g. History of observations can reveal the weight of the object or how fast the index finger can move.

S , A , R , T



20 dimensional

Policy actions correspond to desired joints angles relative to the current ones⁵ (e.g. rotate this joint by 10 degrees). While PPO can handle both continuous and discrete action spaces, we noticed that discrete action spaces work much better. This may be because a discrete probability distribution is more expressive than a multivariate Gaussian or because discretization of actions makes learning a good advantage function potentially simpler. We discretize each action coordinate into 11 bins.

S , A , $,$, R , $,$, T

The reward given at timestep t is $r_t = d_t - d_{t+1}$, where d_t and d_{t+1} are the rotation angles between the desired and current object orientations before and after the transition, respectively. We give an additional reward of 5 whenever a goal is achieved and a reward of -20 (a penalty) whenever the object is dropped. More information about the simulation environment can be found in Appendix C.1.

A Distributed workers collect experience on randomized environments at large scale.



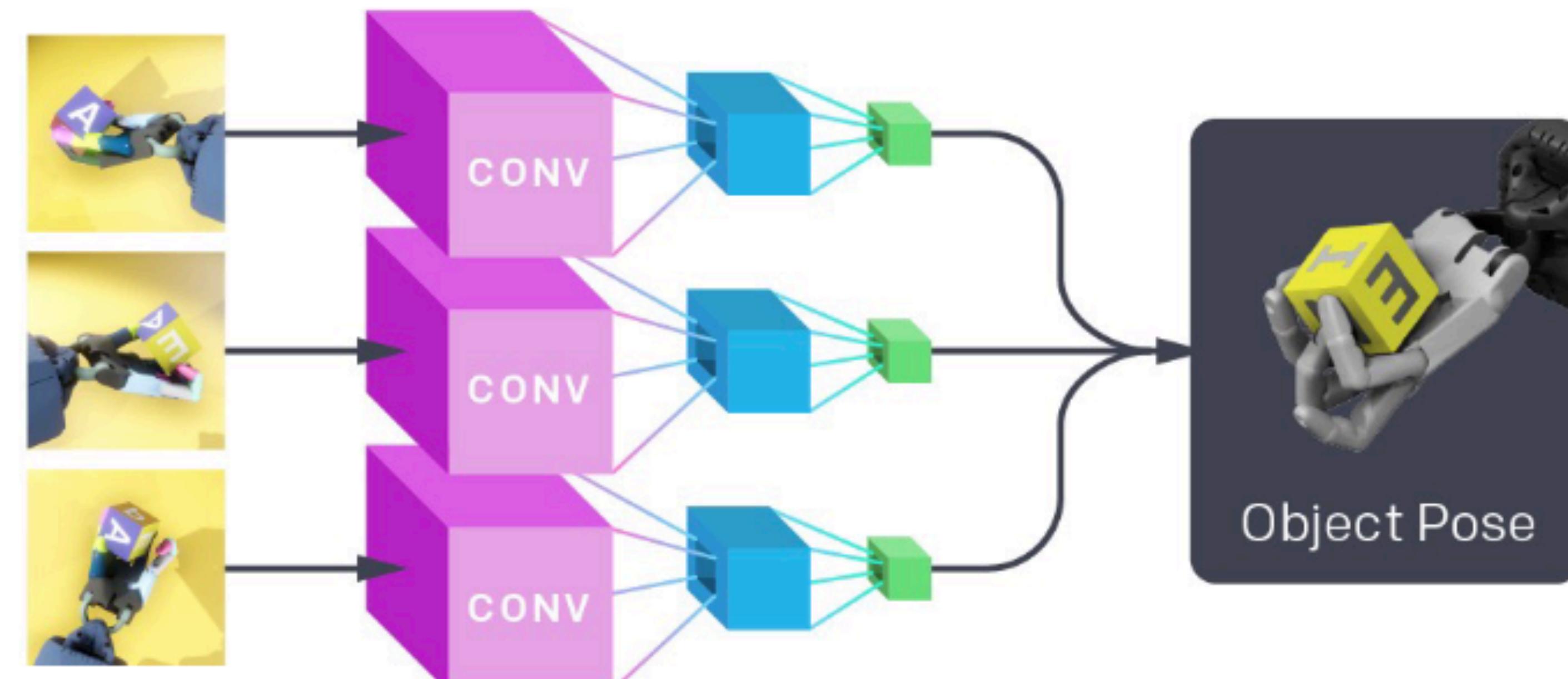
B We train a control policy using reinforcement learning. It chooses the next action based on fingertip positions and the object pose.

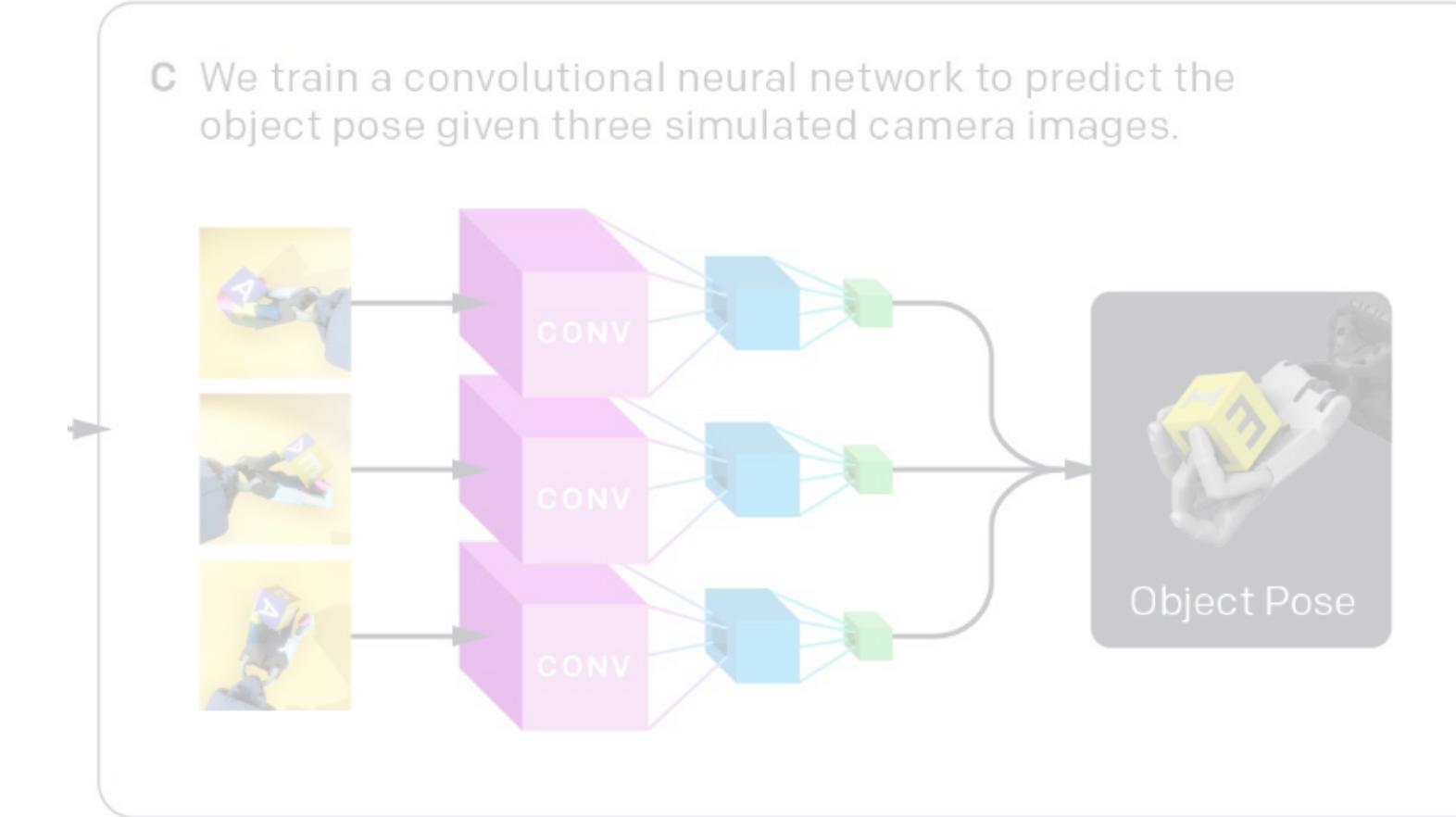
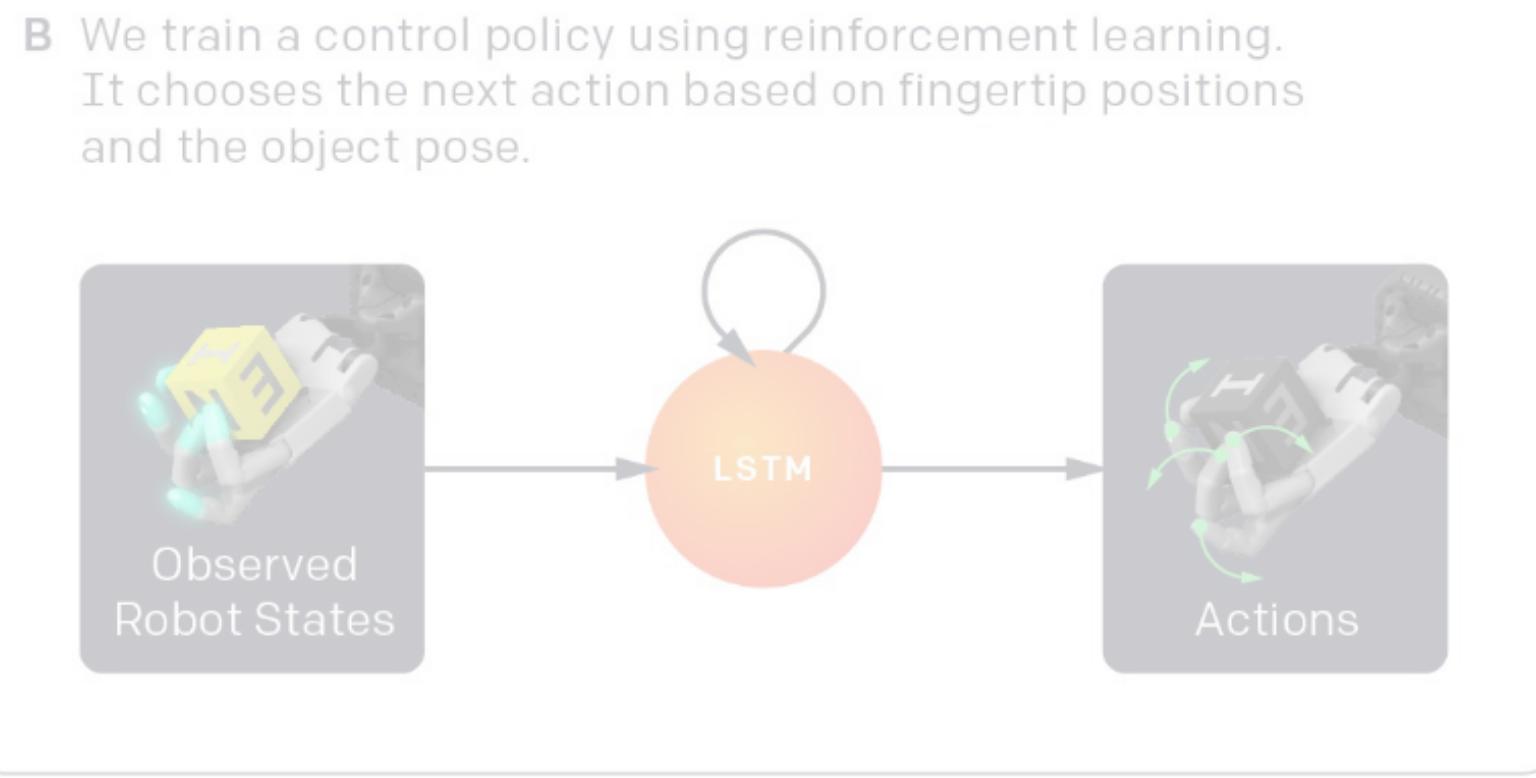
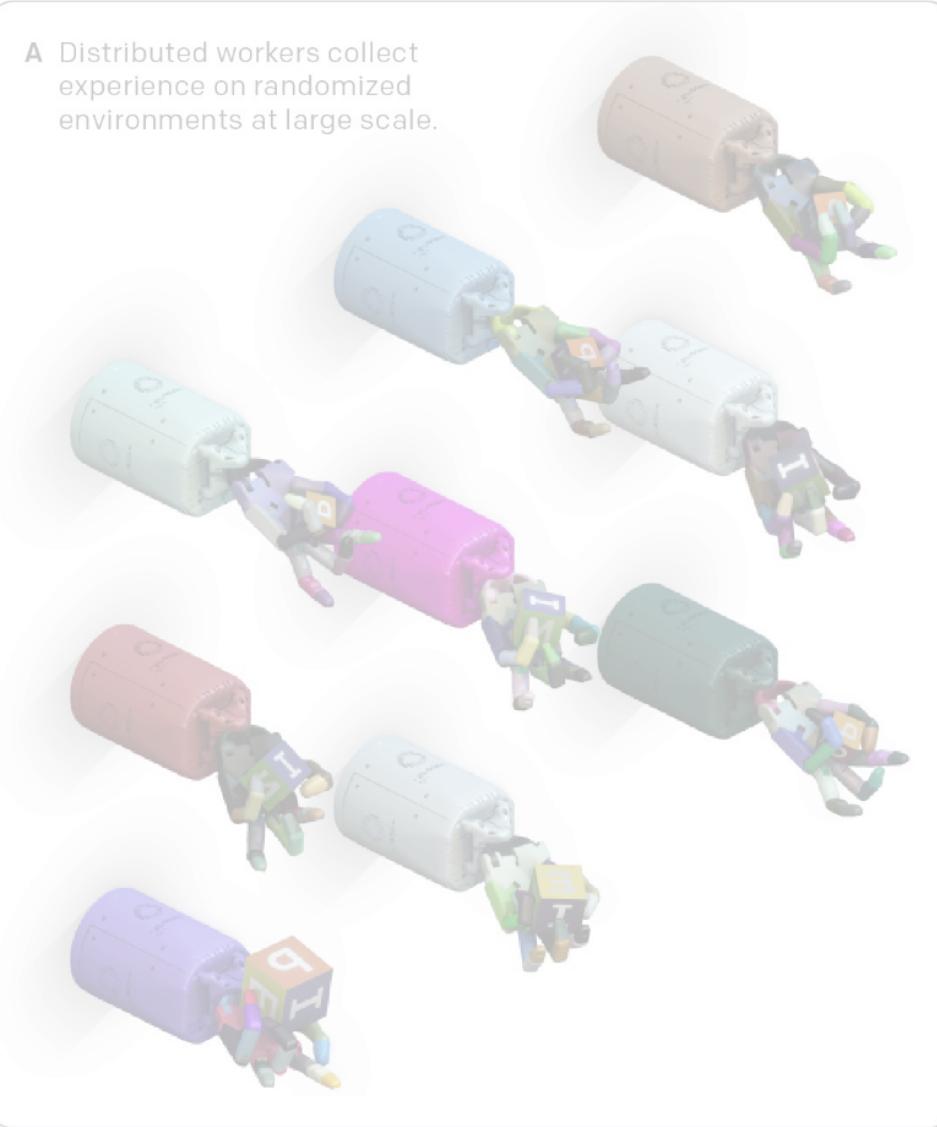


C We train a convolutional neural network to predict the object pose given three simulated camera images.

Sim

Step 3
Train model to map
camera images
to policy input in sim





Step 4: Transfer to Real

D We combine the pose estimation network and the control policy to transfer to the real world.

Real



Sim2Real as Transferring MDPs

$$\hat{S}, A, R, \hat{\mathcal{T}} \xrightarrow{\text{Sim}} S, A, R, \mathcal{T} \xrightarrow{\text{Real}}$$

There will be a **mismatch** in state representations and **transition**

Our policy needs to be **robust** to this mismatch

Key Idea: Add in Randomization in Sim

1. Randomize the observation

Observation noise. To better mimic the kind of noise we expect to experience in reality, we add Gaussian noise to policy observations. In particular, we apply a correlated noise which is sampled once per episode as well as an uncorrelated noise sampled at every timestep.

Key Idea: Add in Randomization in Sim

1. Randomize the observation
2. Randomize the physics

Physics randomizations. Physical parameters like friction are randomized at the beginning of every episode and held fixed. Many parameters are centered on values found during model calibration in an effort to make the simulation distribution match reality more closely. [Table 1](#) lists all physics parameters that are randomized.

Key Idea: Add in Randomization in Sim

1. Randomize the observation
2. Randomize the physics
3. Unmodeled effects

Unmodeled effects. The physical robot experiences many effects that are not modeled by our simulation. To account for imperfect actuation, we use a simple model of motor backlash and introduce action delays and action noise before applying them in simulation. Our motion capture setup sometimes loses track of a marker temporarily, which we model by freezing the position of a simulated marker with low probability for a short period of time in simulation. We also simulate marker occlusion by freezing its simulated position whenever it is close to another marker or the object. To handle additional unmodeled dynamics, we apply small random forces to the object. Details on the concrete implementation are available in Appendix C.2.

Key Idea: Add in Randomization in Sim

Visual appearance randomizations. We randomize the following aspects of the rendered scene: camera positions and intrinsics, lighting conditions, the pose of the hand and object, and the materials and textures for all objects in the scene. [Figure 4](#) depicts some examples of these randomized environments. Details on the randomized properties and their ranges are available in Appendix C.2.



1. Randomize the observation
2. Randomize the physics
3. Unmodeled effects
4. Visual randomization

Today's class

- Sim2Real: The double-edged sword

Case study: OpenAI Dactyl Hand

- Teacher->Student distillation

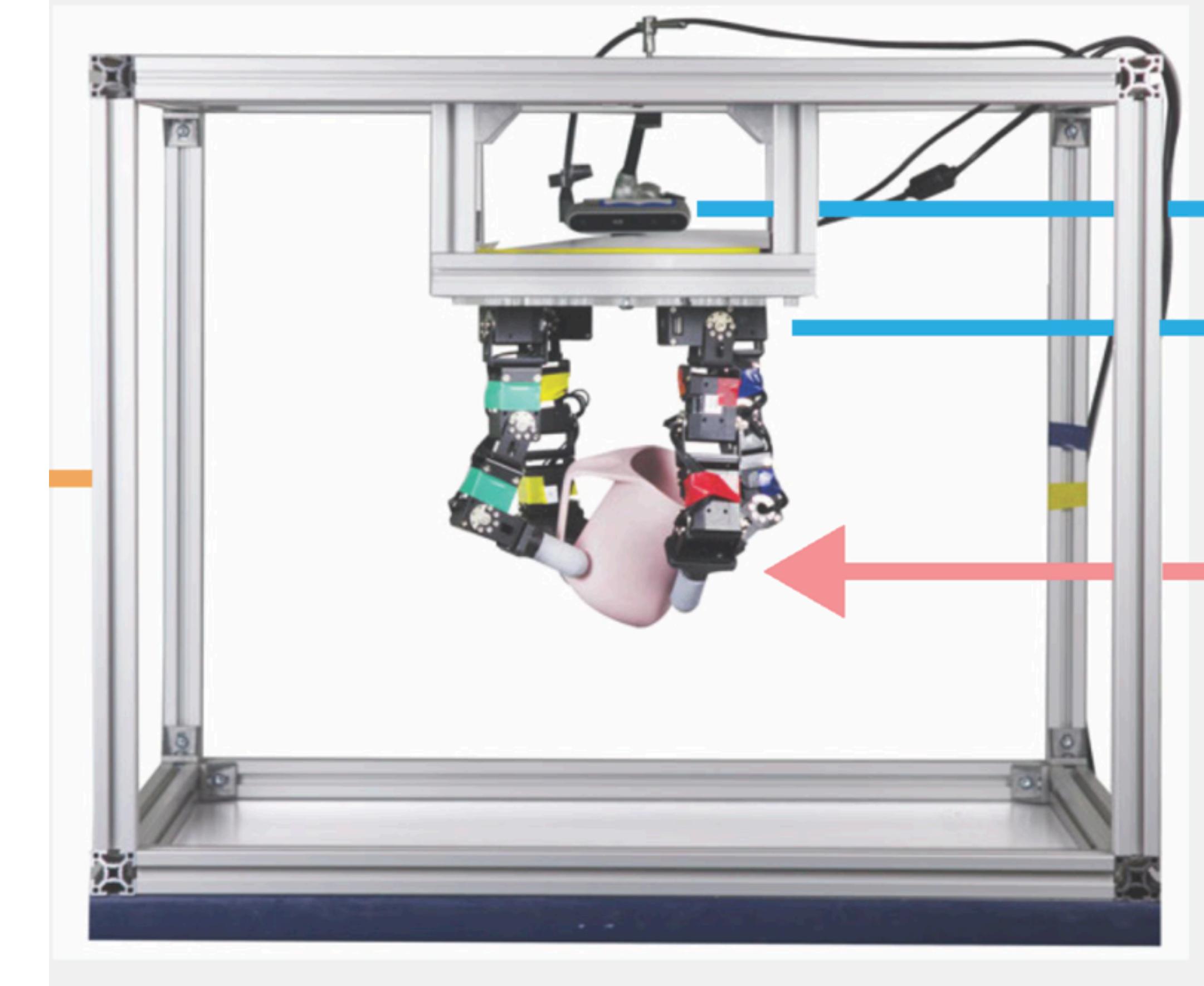
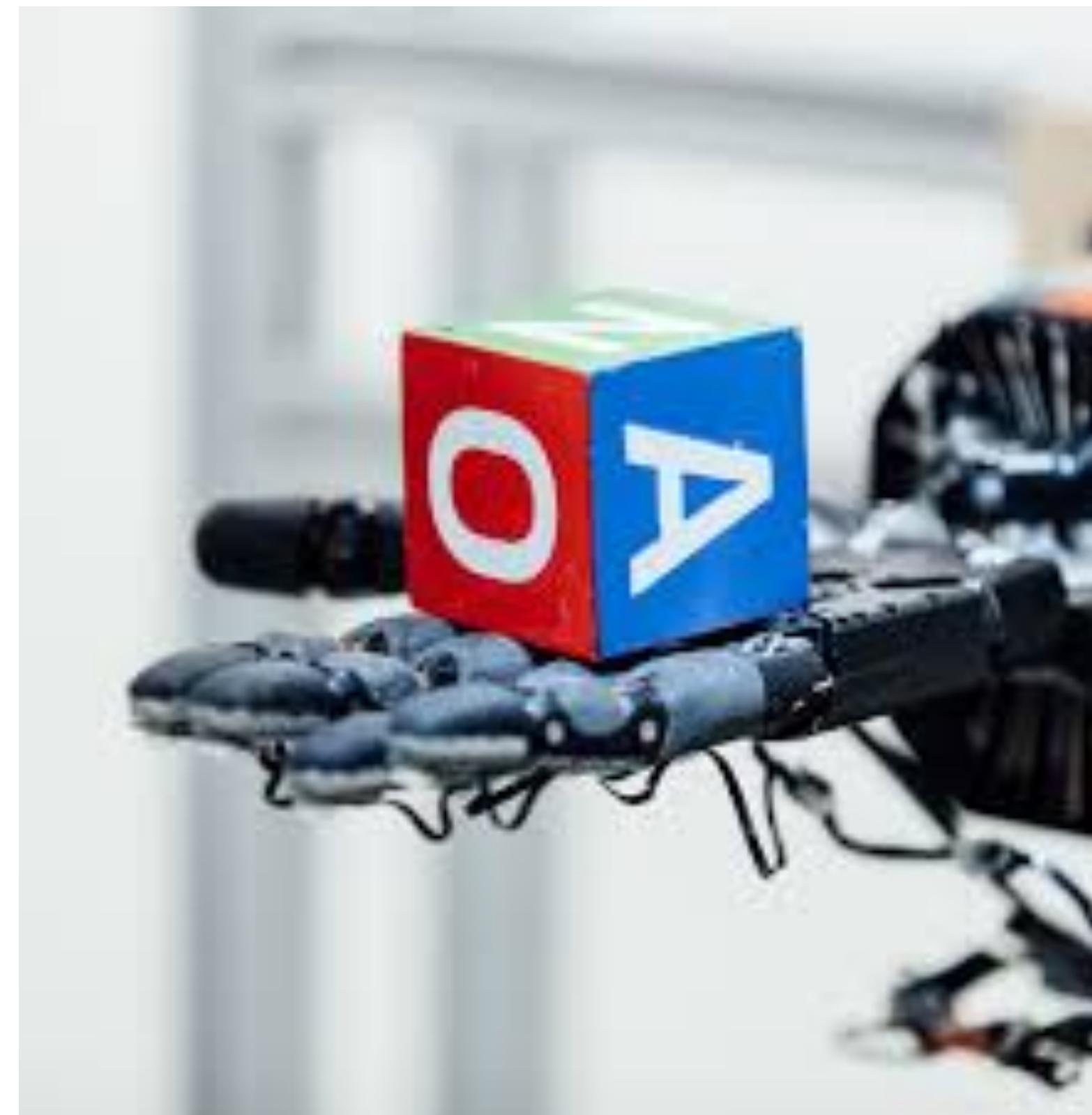
Case study: Visual Dexterity

- Imitation Learning with Privileged Information

What if we made the problem
much much harder?

Visual Dexterity: In-Hand Reorientation of Novel and Complex Object Shapes

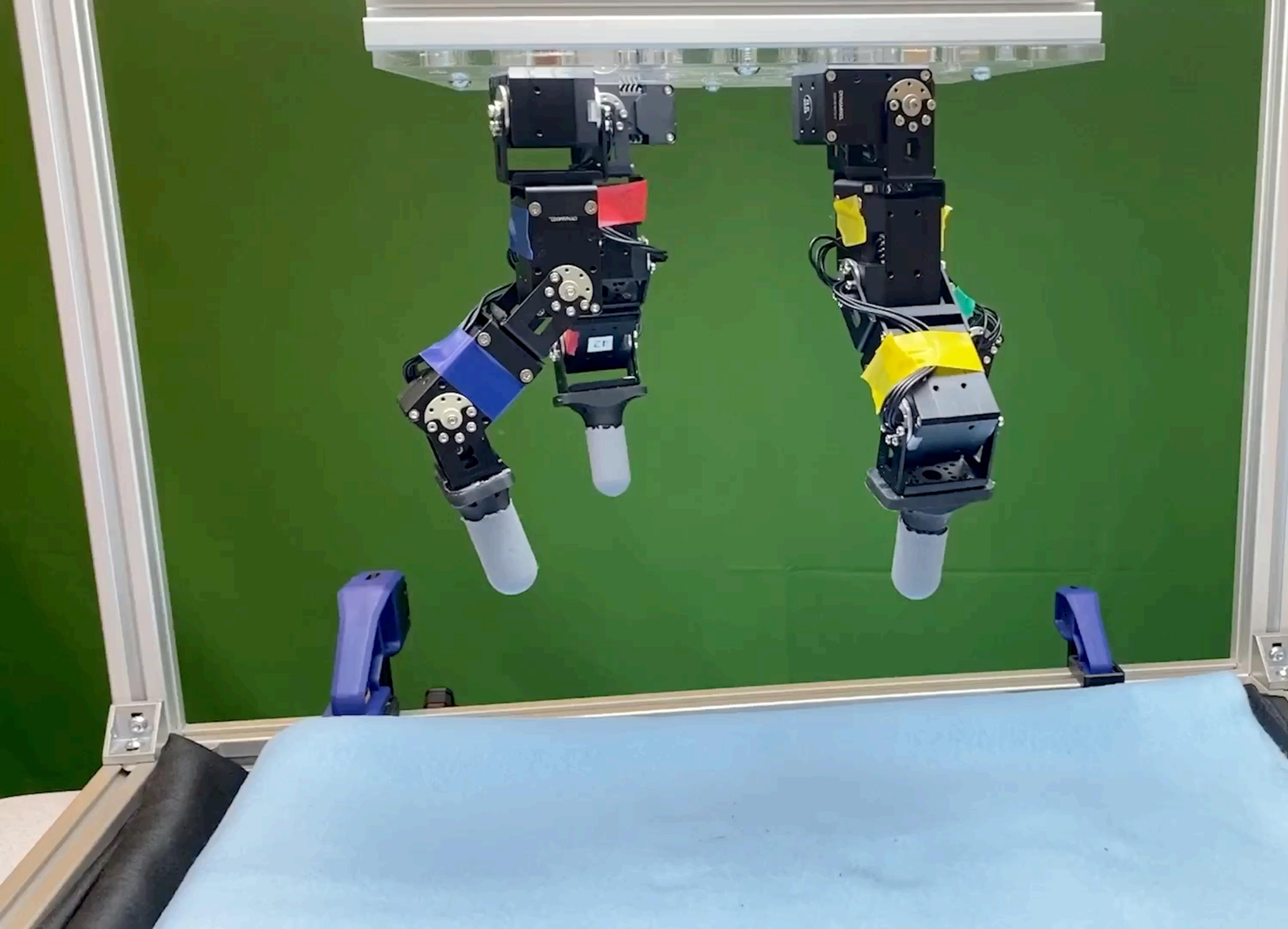
Tao Chen^{1,2}, Megha Tippur², Siyang Wu³, Vikash Kumar⁴,
Edward Adelson², Pulkit Agrawal^{*1,2,5}



Upside down object manipulation

From 12 cameras to 1 camera

Generalize to lots of different objects



Goal orientation

Activity!

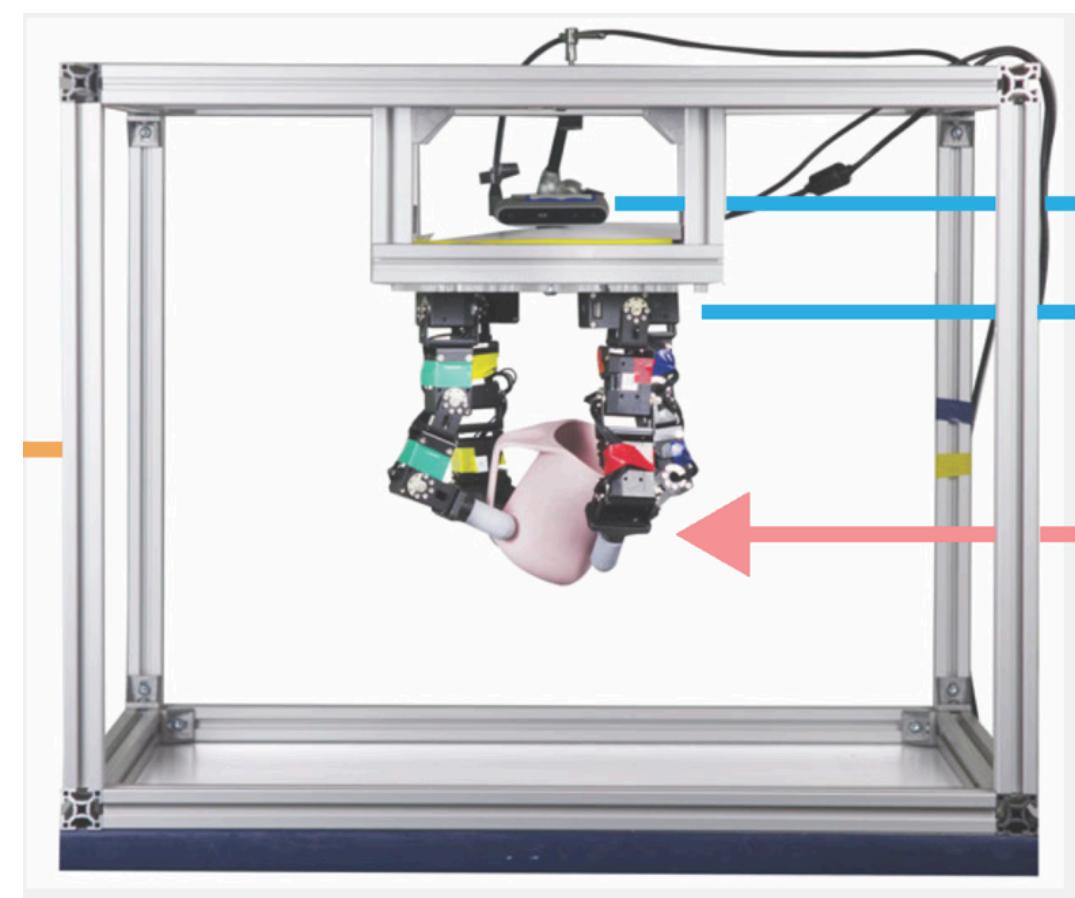


Think-Pair-Share!

Think (30 sec): Why can't we apply OpenAI strategy to this setting? What are the challenges?

Pair: Find a partner

Share (45 sec): Partners exchange ideas



The Challenge

Doing RL purely based on observation data (point clouds) is very challenging

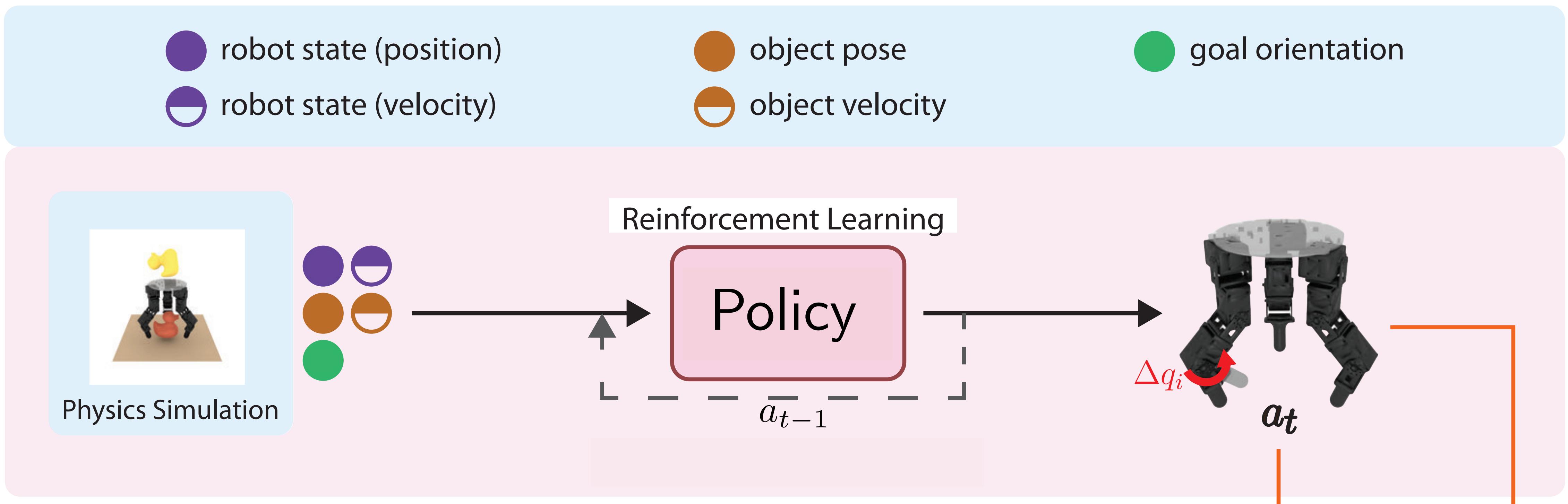
The policy needs to learn 2 things simultaneously:

1. What are good visual features?
2. What are good actions?



Can we train the RL using
privileged information that is
present in sim during training?

RL with privileged information



But if we train a policy using
privileged information in sim,
how will we run it in real
where we don't have privileged
information?





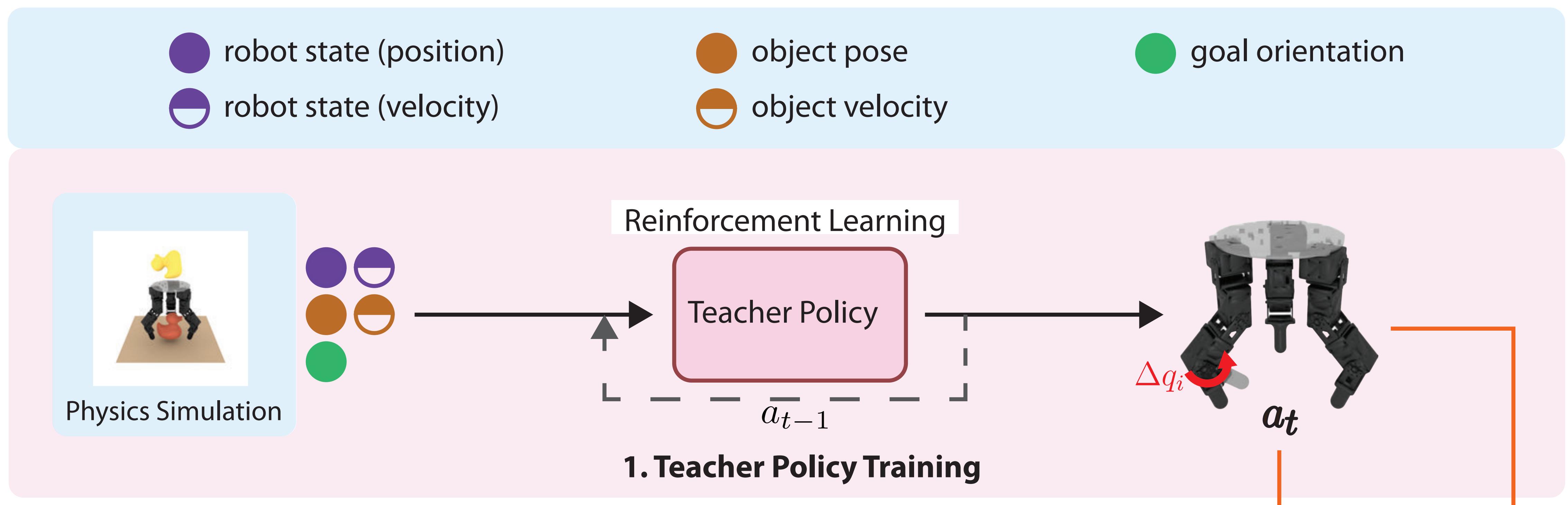
Can we train the RL using
privileged information that is
present in sim during training?

Can we imitate the RL policy with
a policy that only has access to
real sensor information?

● robot state (position)
○ robot state (velocity)

● object pose
○ object velocity

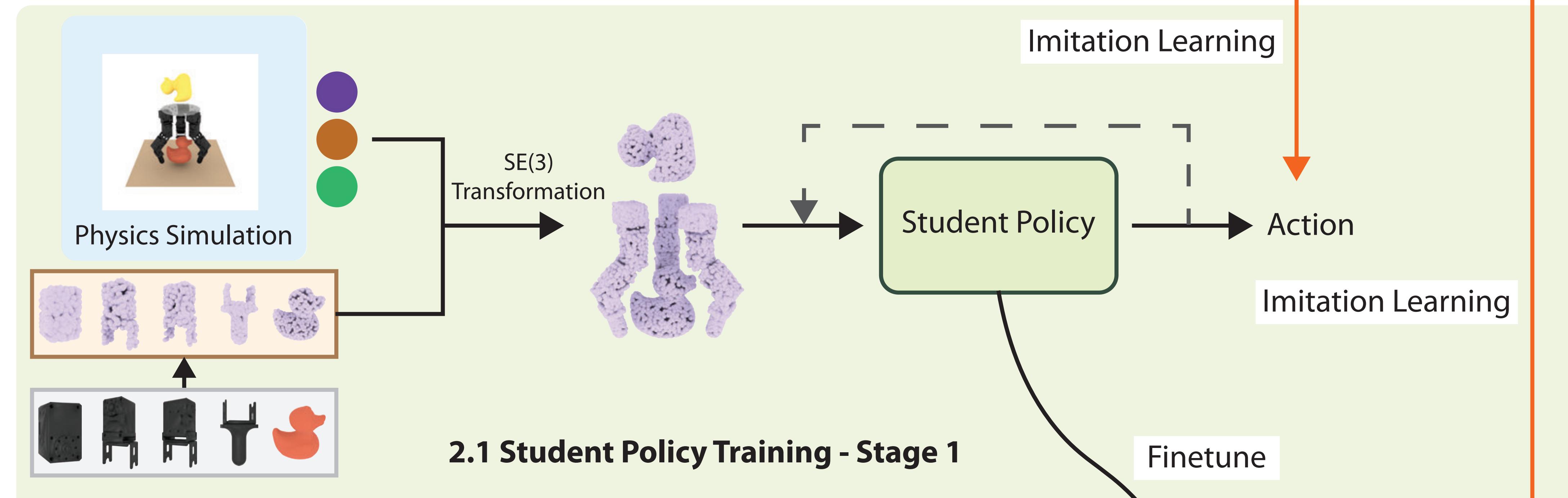
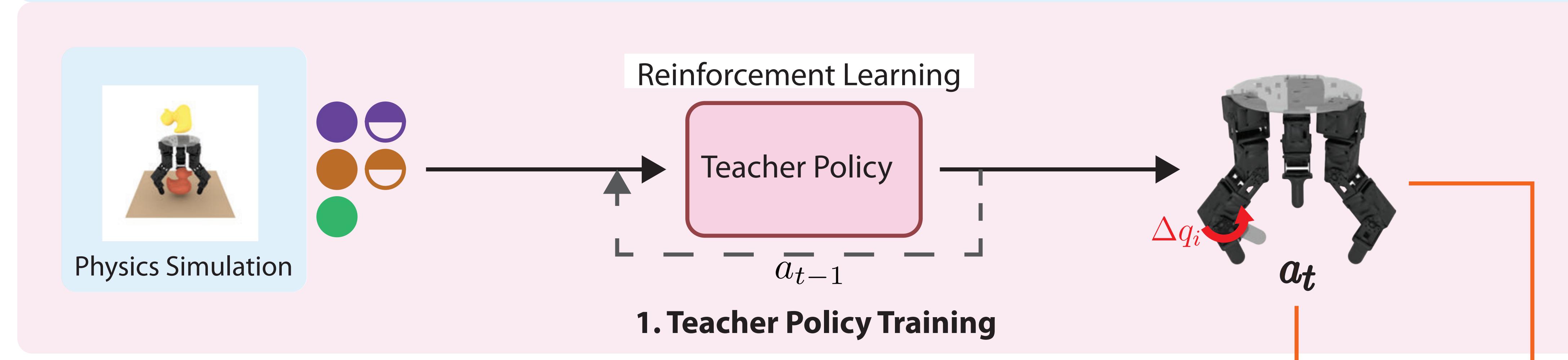
● goal orientation

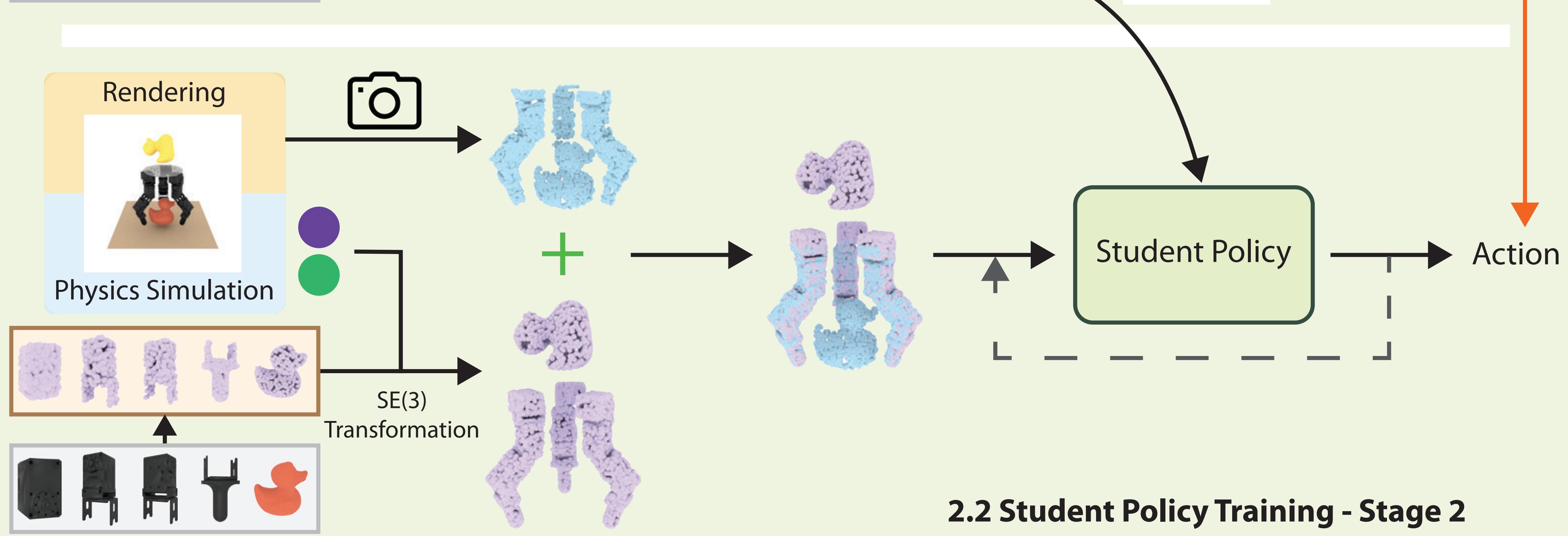
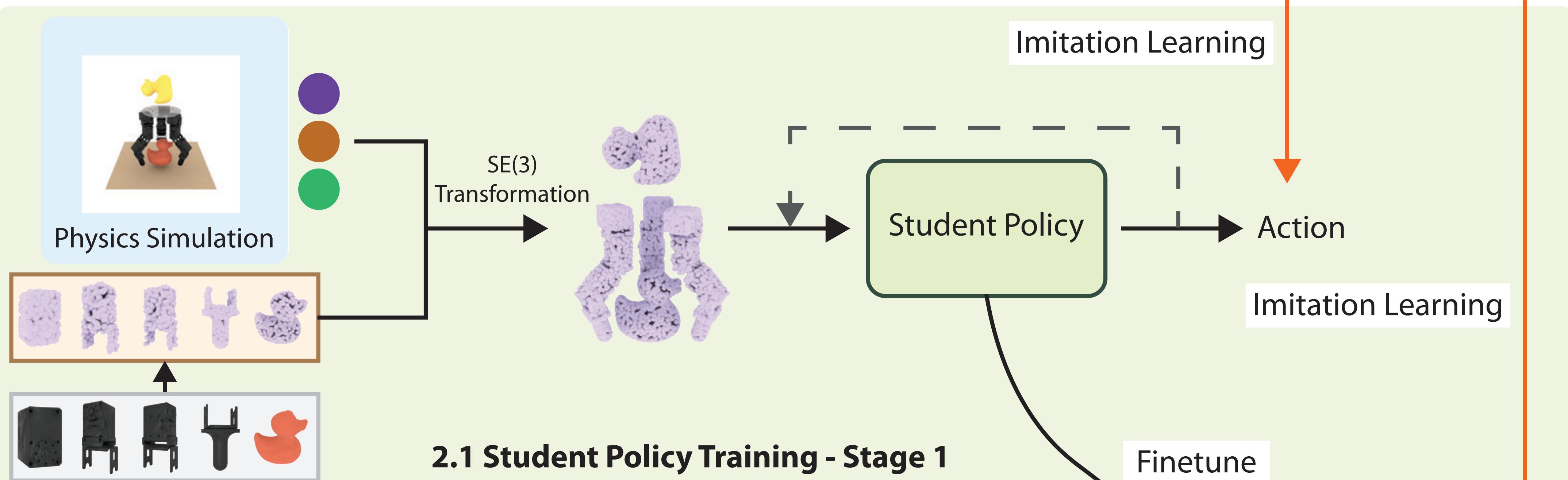


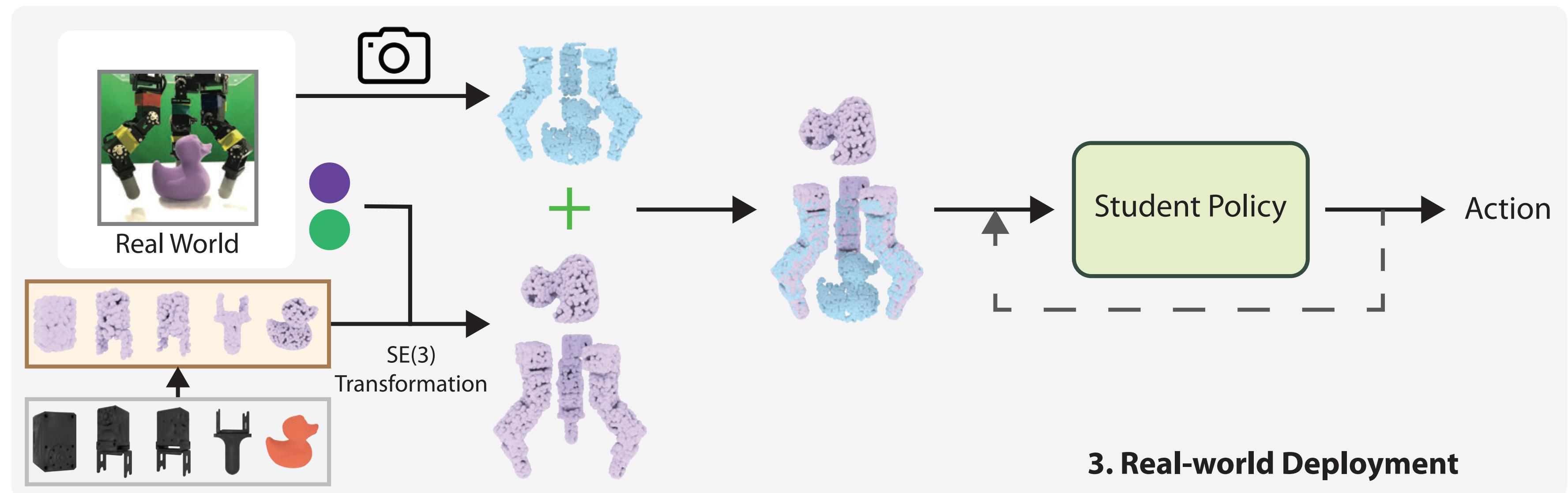
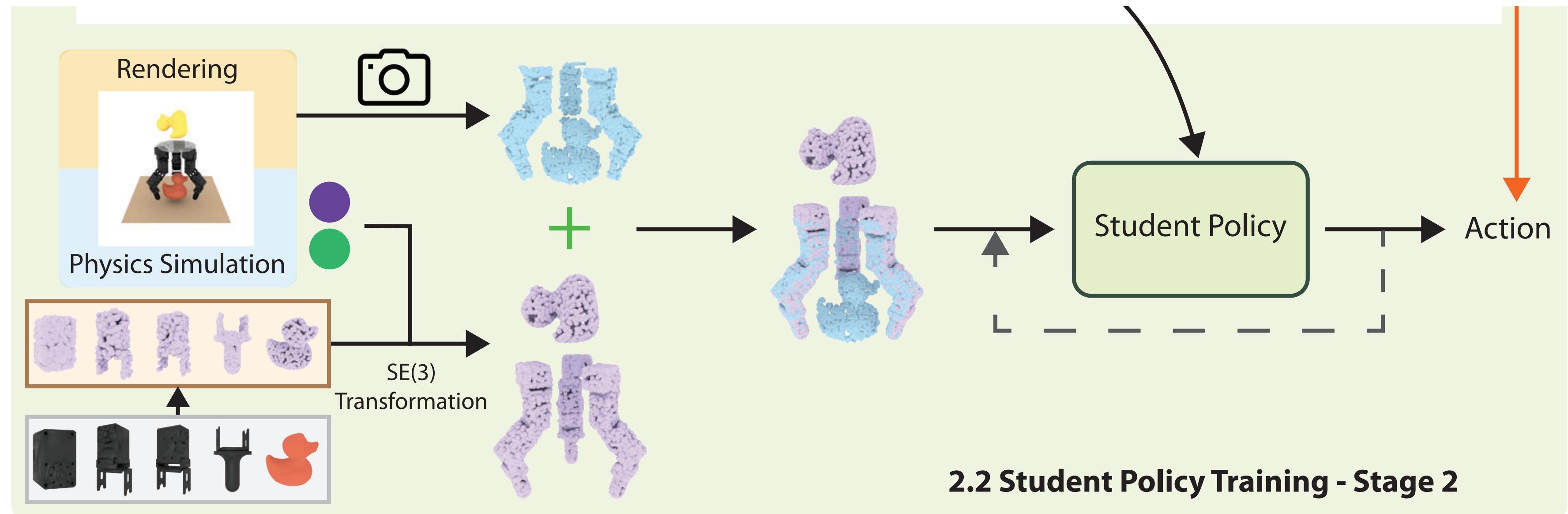
● robot state (position)
● robot state (velocity)

● object pose
● object velocity

● goal orientation







Today's class

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Case study: OpenAI Dactyl Hand

- Teacher->Student distillation

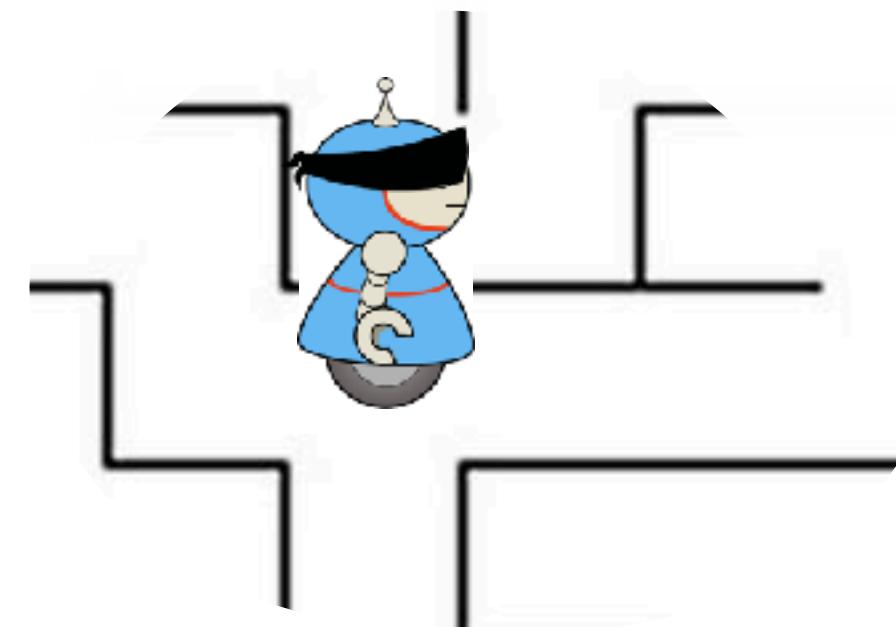
Case study: Visual Dexterity

- Imitation Learning with Privileged Information

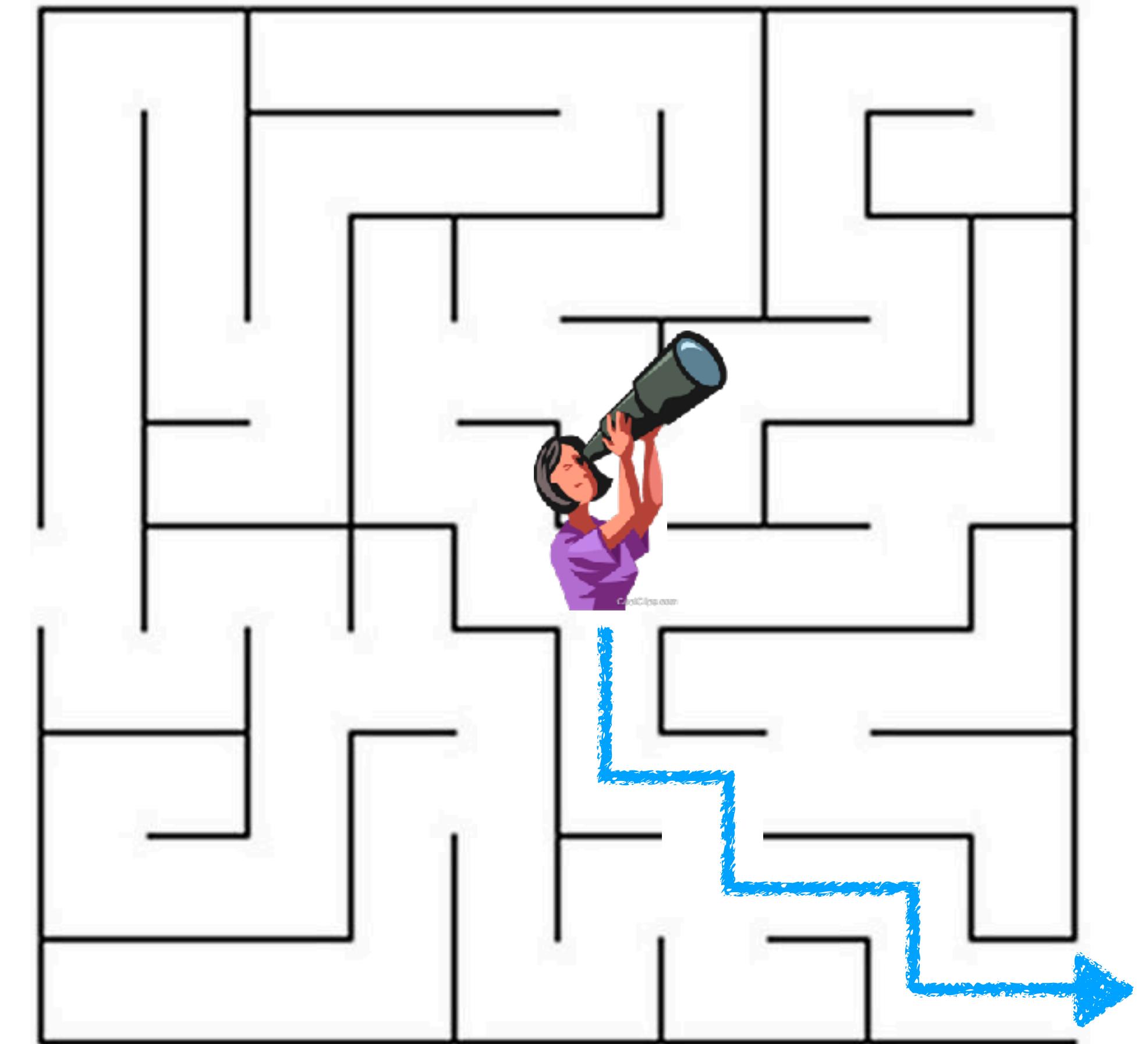
How should we imitate experts
that have privileged
information?



Imitating Experts with Privileged Information



Imitate
→



Learner
w/ limited sensing

Expert
can see further

Just do Behavior Cloning?

1. Collect data from experts (who have privileged information)

$$s_0^*, a_0^*, s_1^*, a_1^*, \dots, s_T^*$$

2. Train a policy that maps history to action

$$h_t^* = \{o_t^*, a_{t-1}^*, o_{t-1}^*, \dots, o_{t-k}^*\} \quad \pi : h_t^* \rightarrow a_t^*$$

Why history?

Quiz!

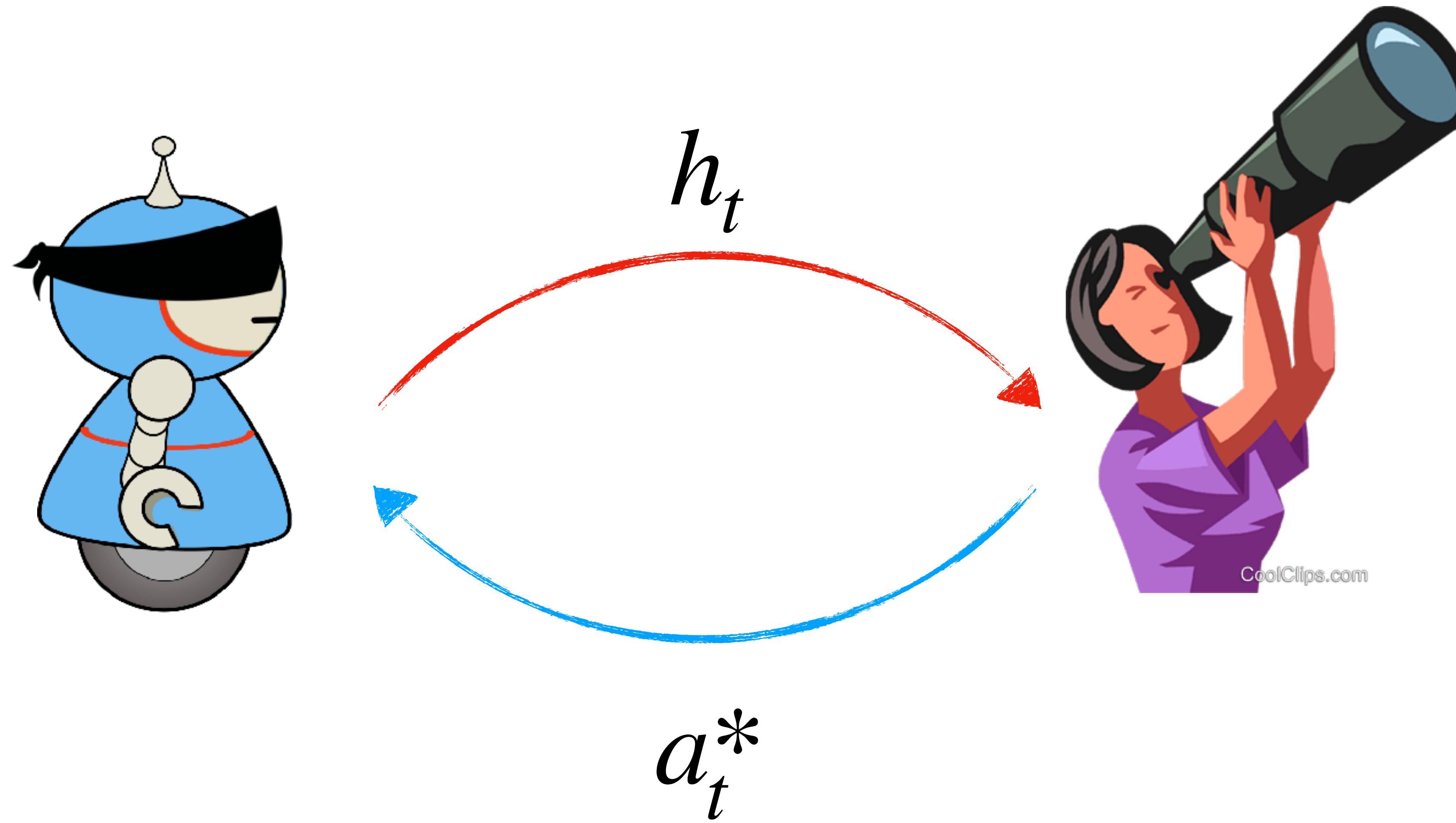


When poll is active respond at PollEv.com/sc2582

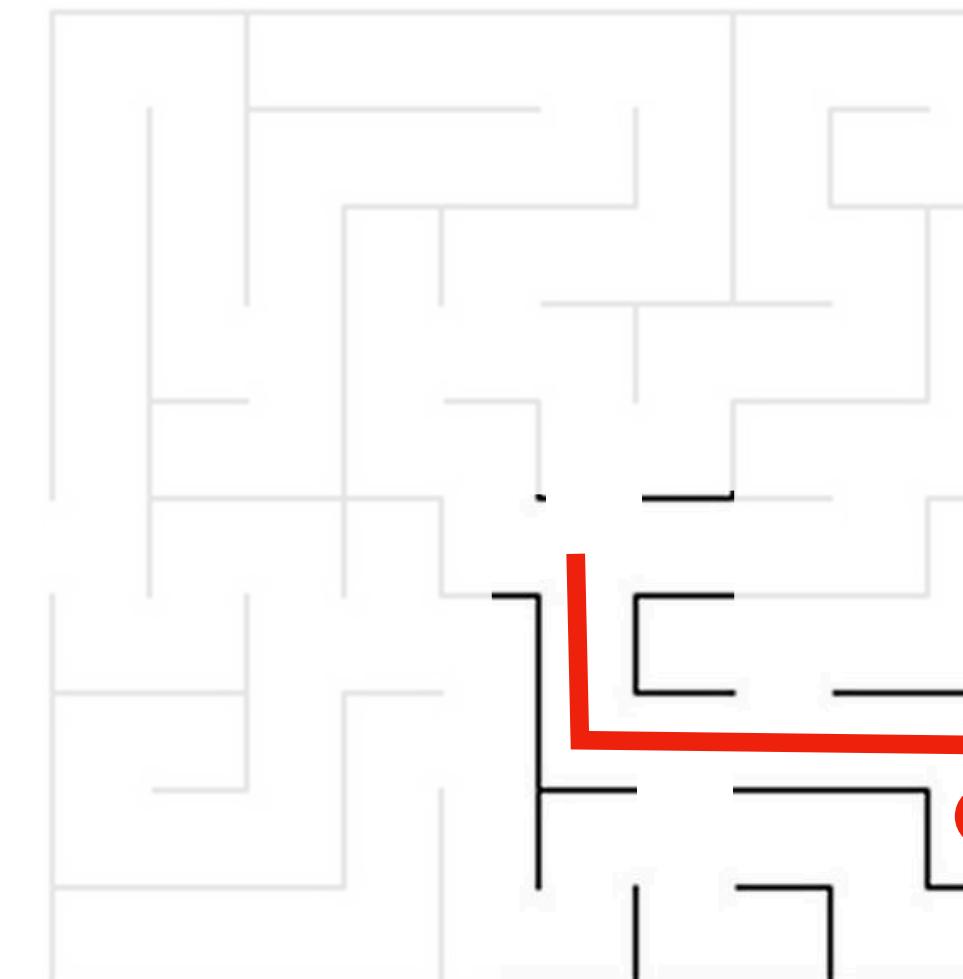
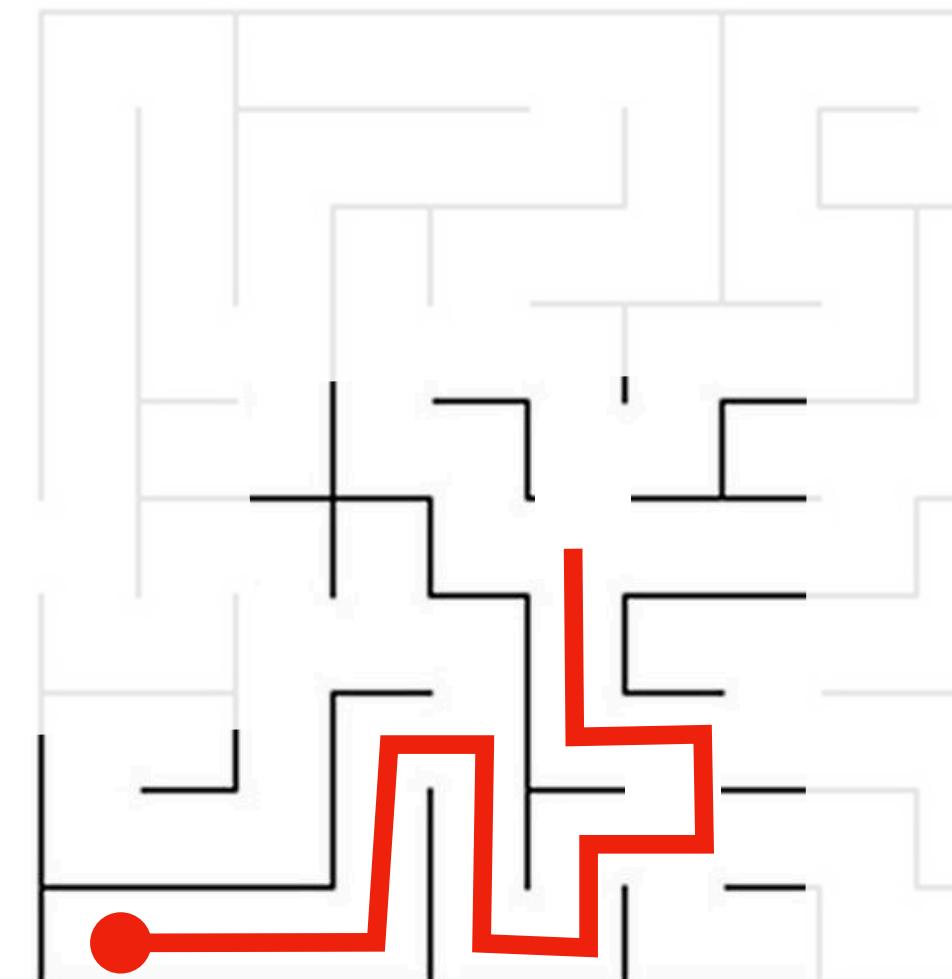
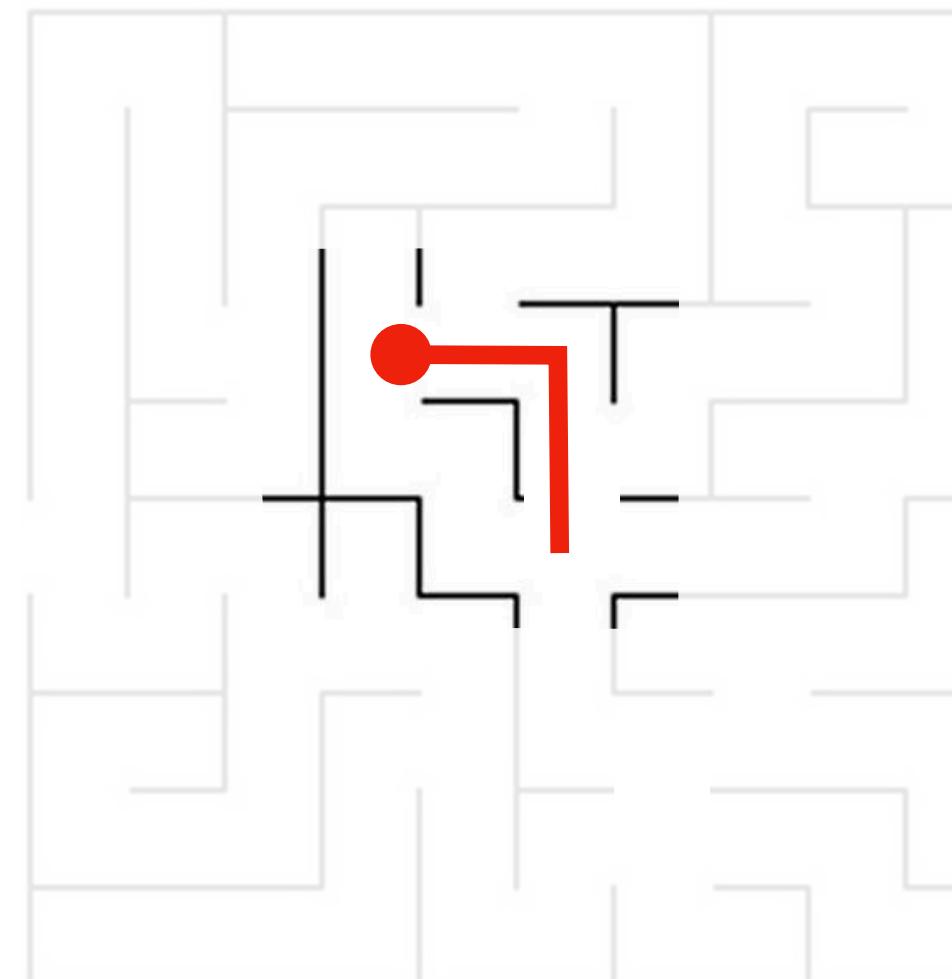
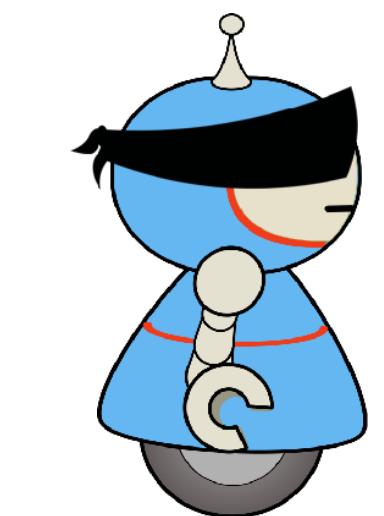
Send **sc2582** to **22333**



Solution: Interactively query expert

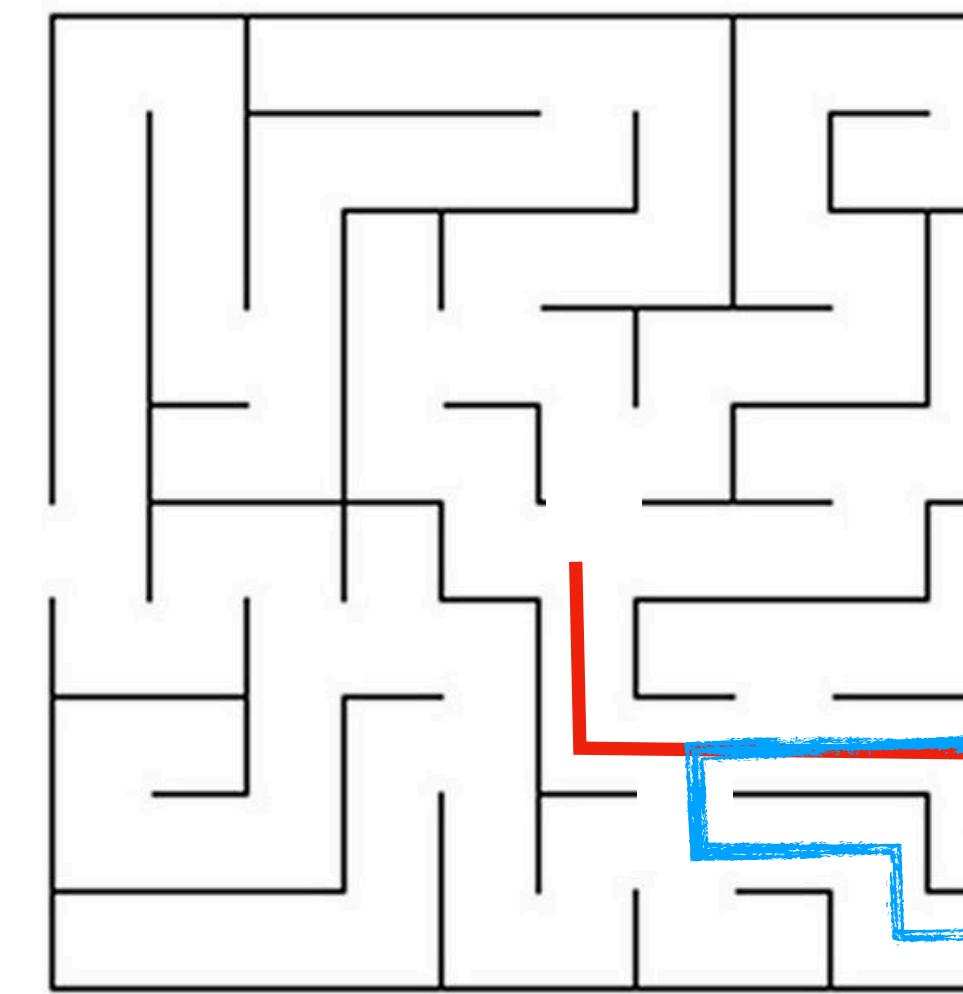
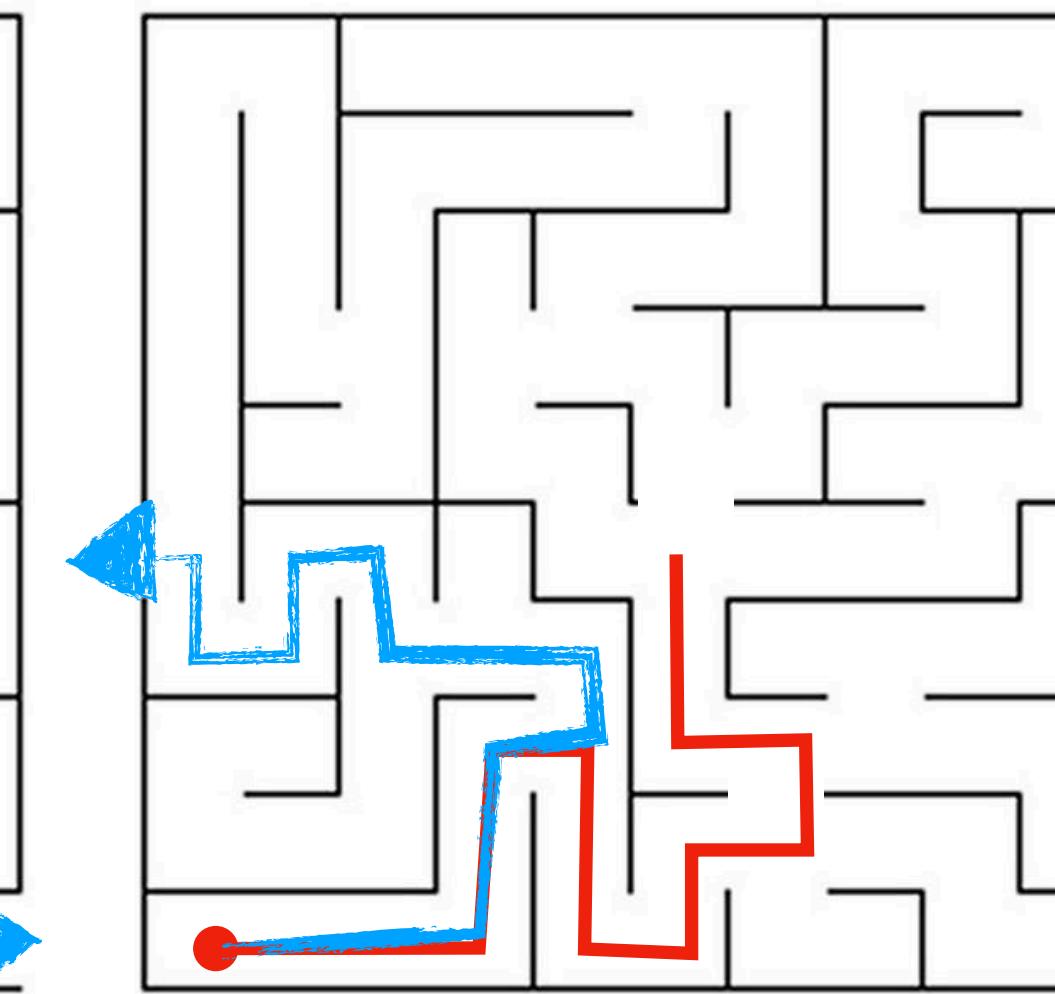
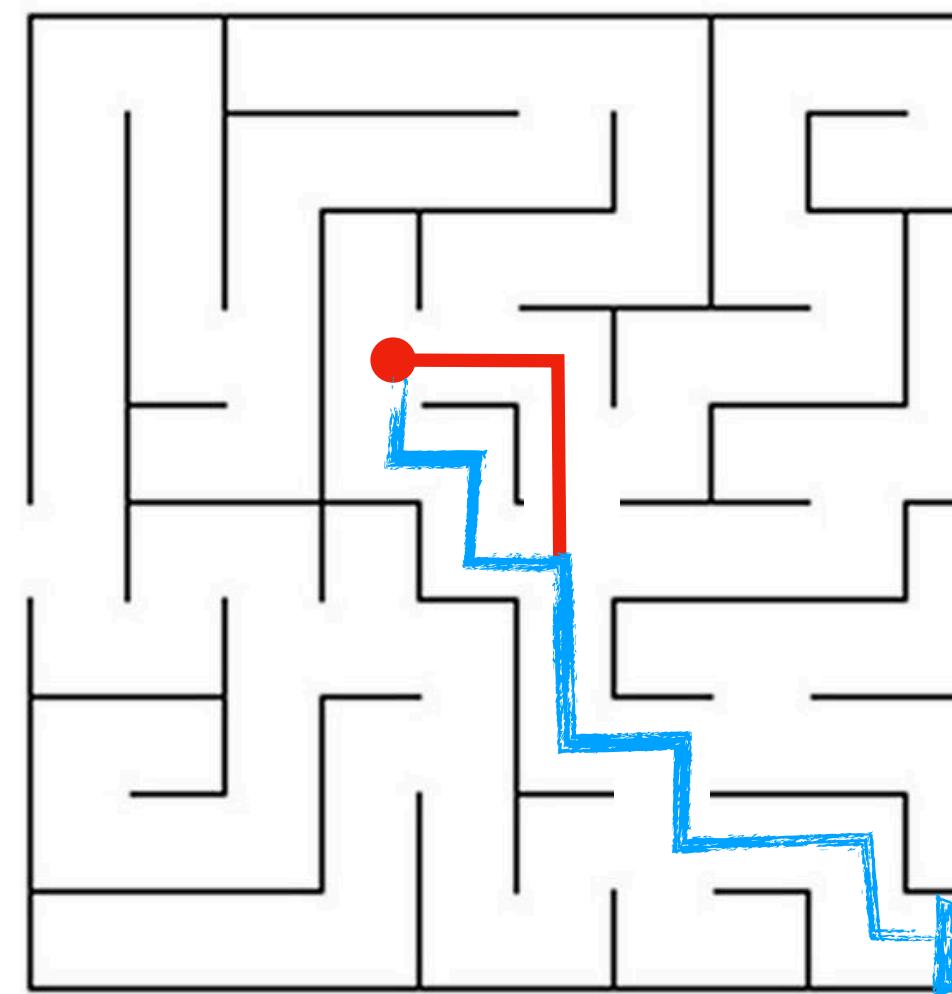


Solution: Interactively query expert



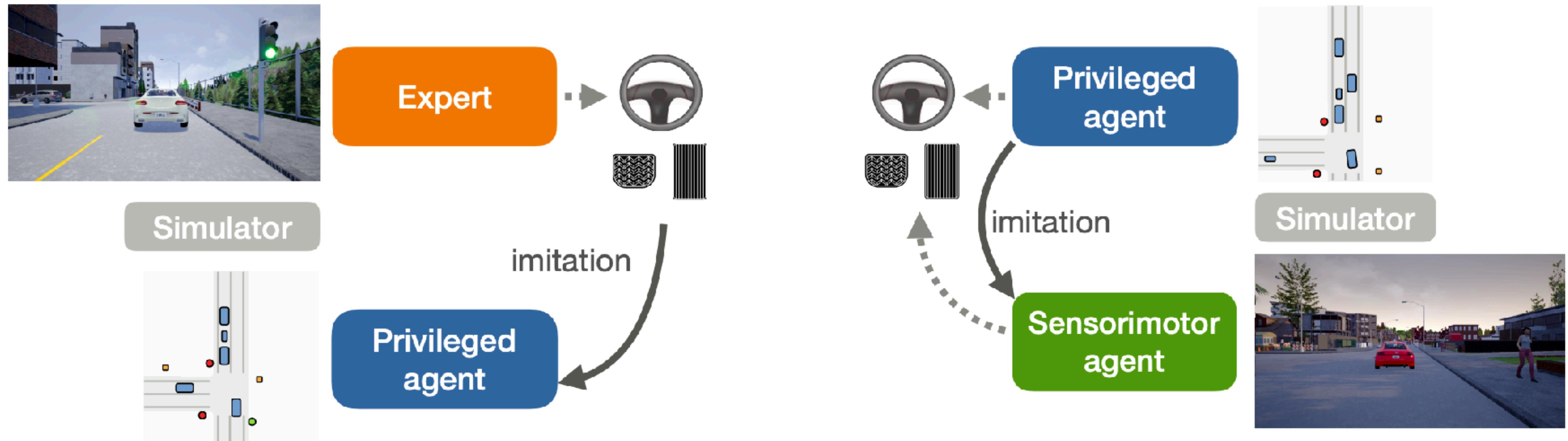
e.g DAGGER

1. Roll out learner
2. Query Expert
3. Aggregate Data
and repeat!



Incredibly successful idea that
has worked across a lot of
application!

Privileged Information: Self-driving

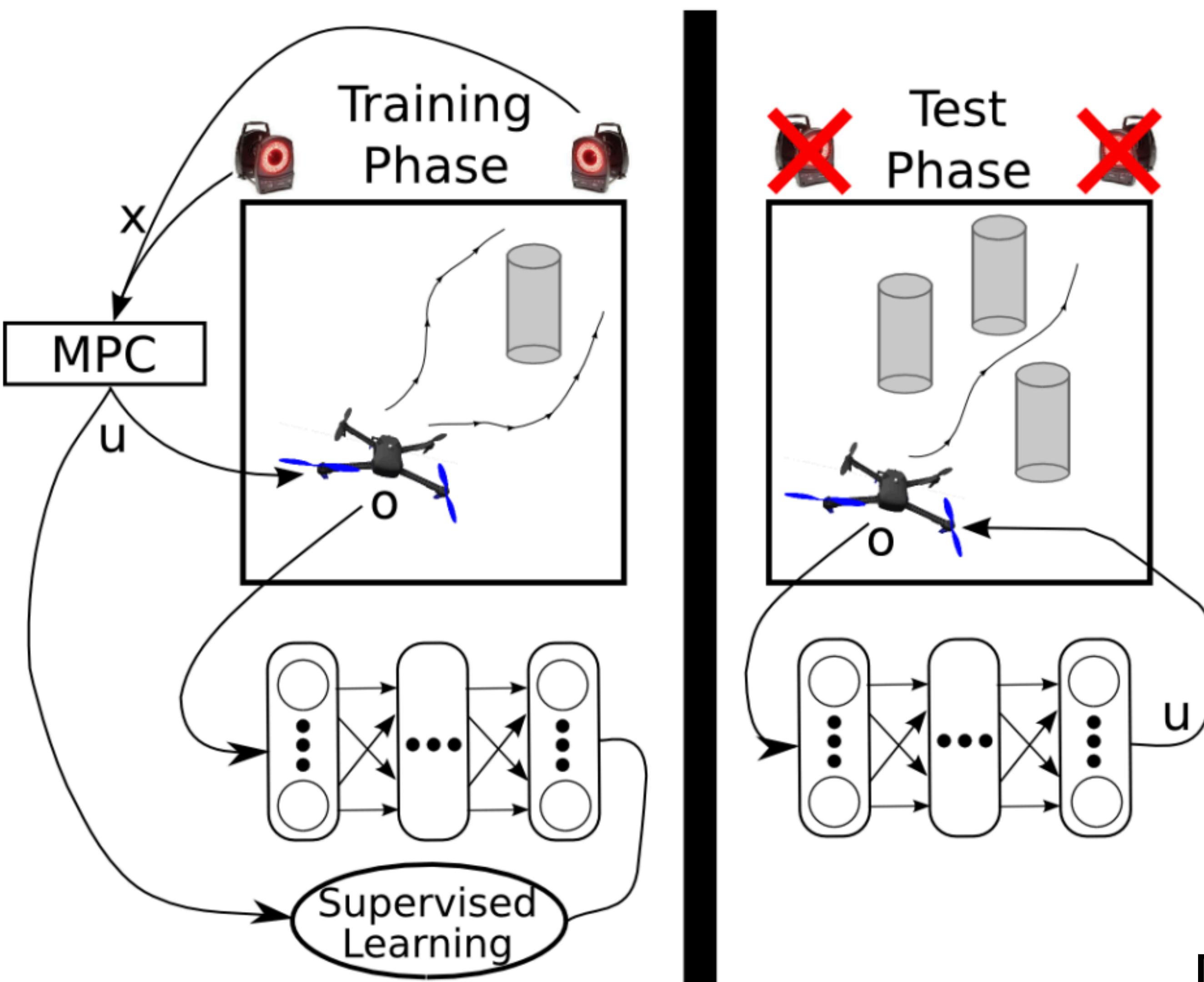


(a) Privileged agent imitates the expert

(b) Sensorimotor agent imitates the privileged agent

[Chen et al. 2020]

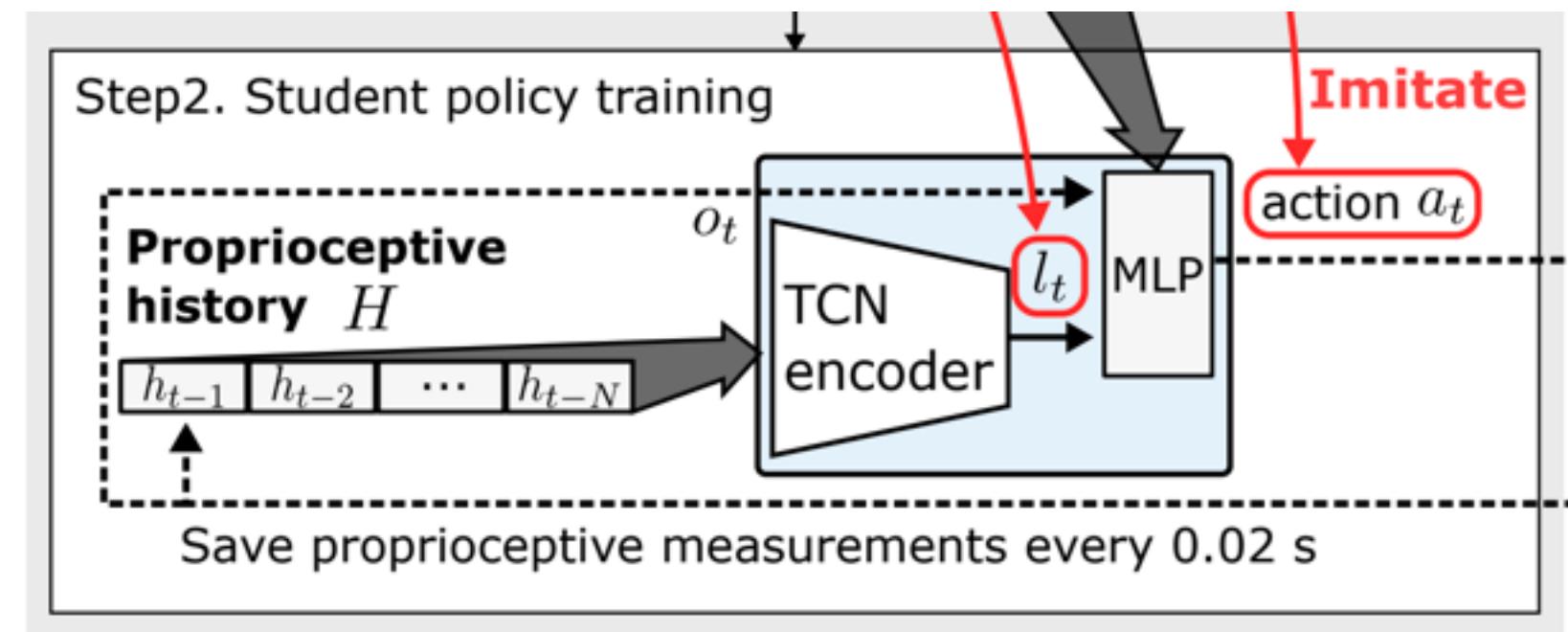
Privileged Information: UAV Navigation



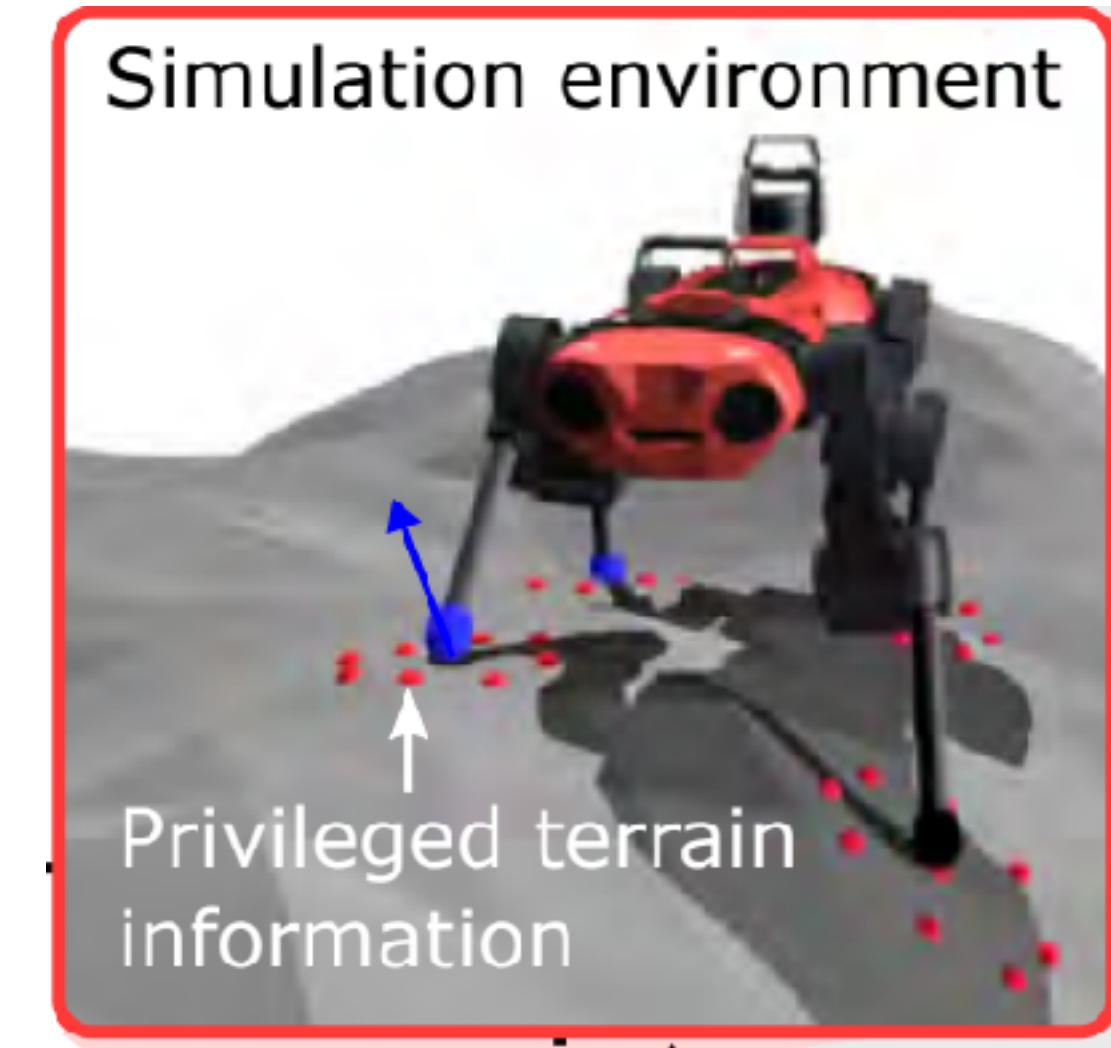
[Zhang et al. 2016]

Privileged Information: Legged Locomotion

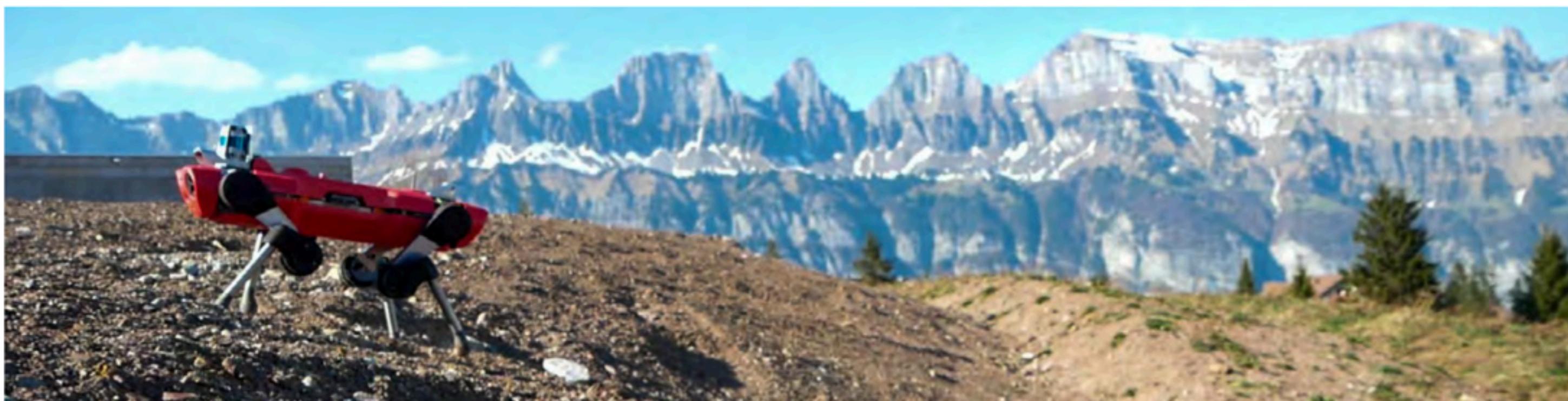
Student
Policy



Imitate

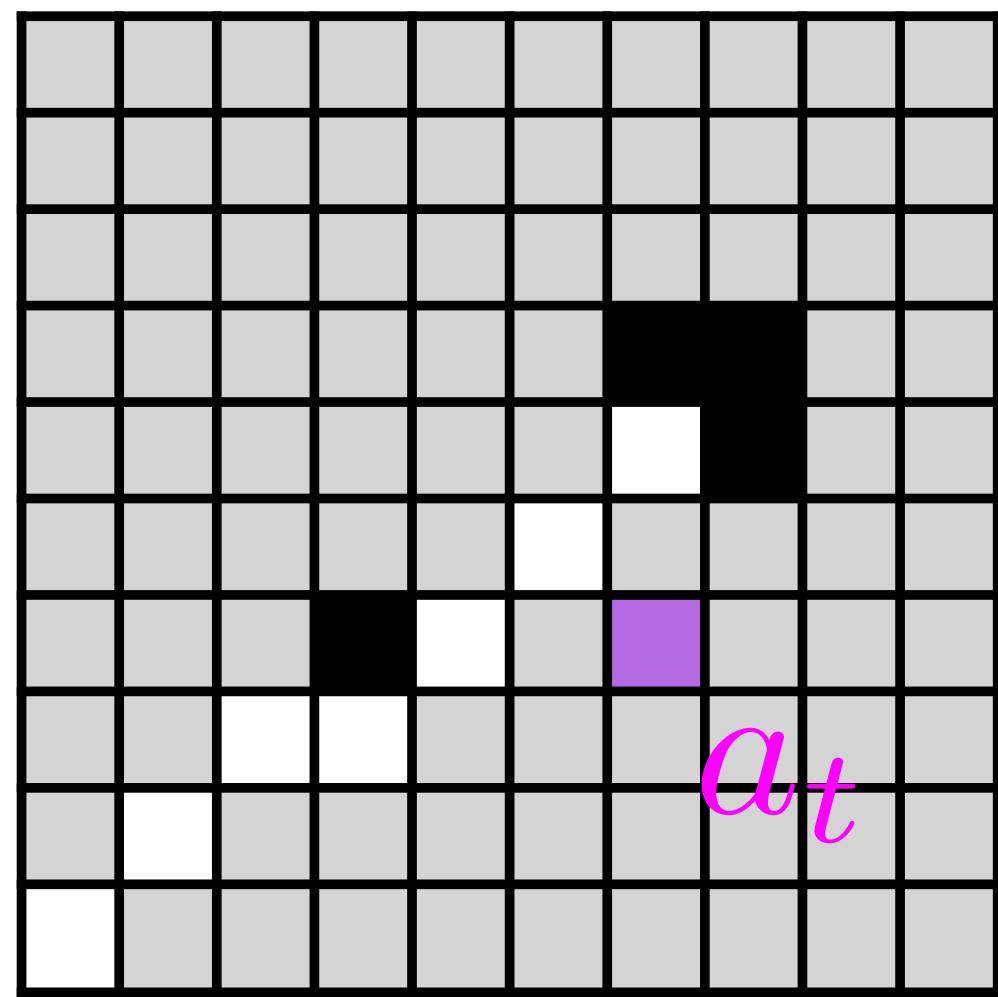


Teacher
Policy



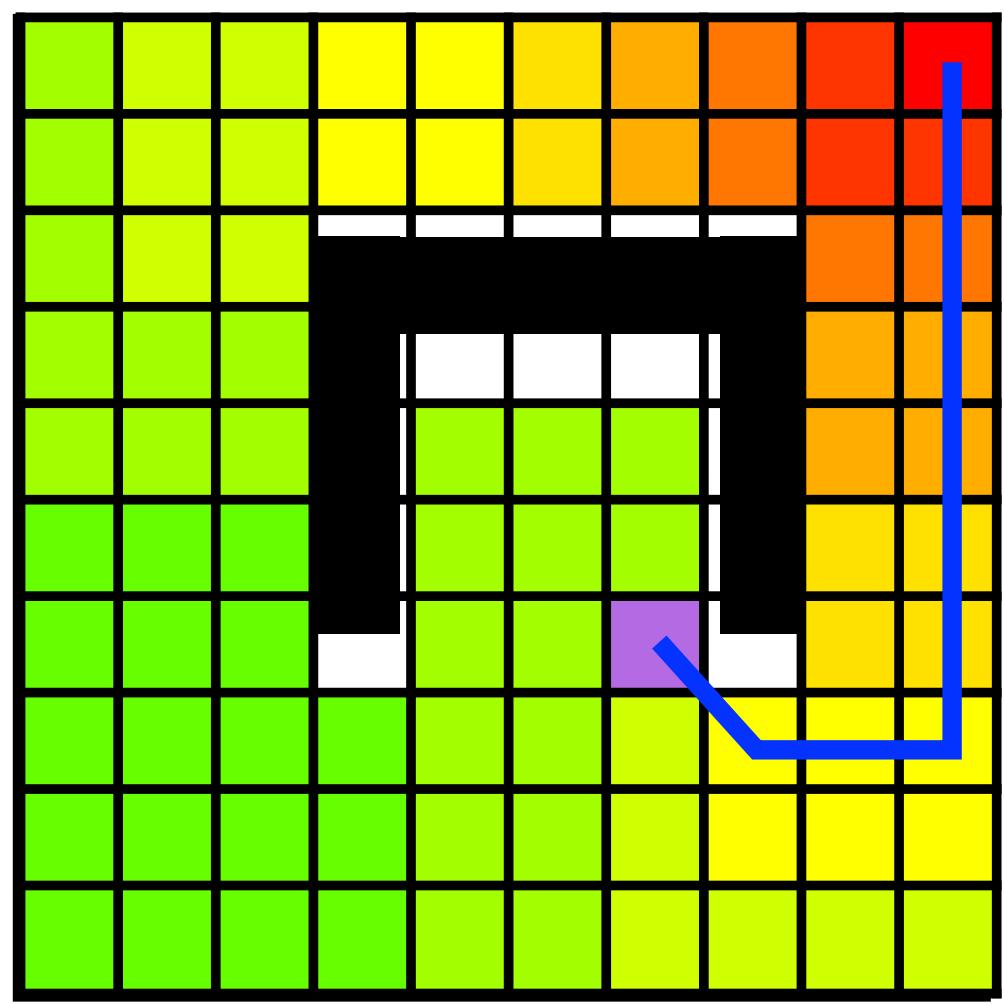
[Lee et al. 2020]

Privileged Information: Motion Planning

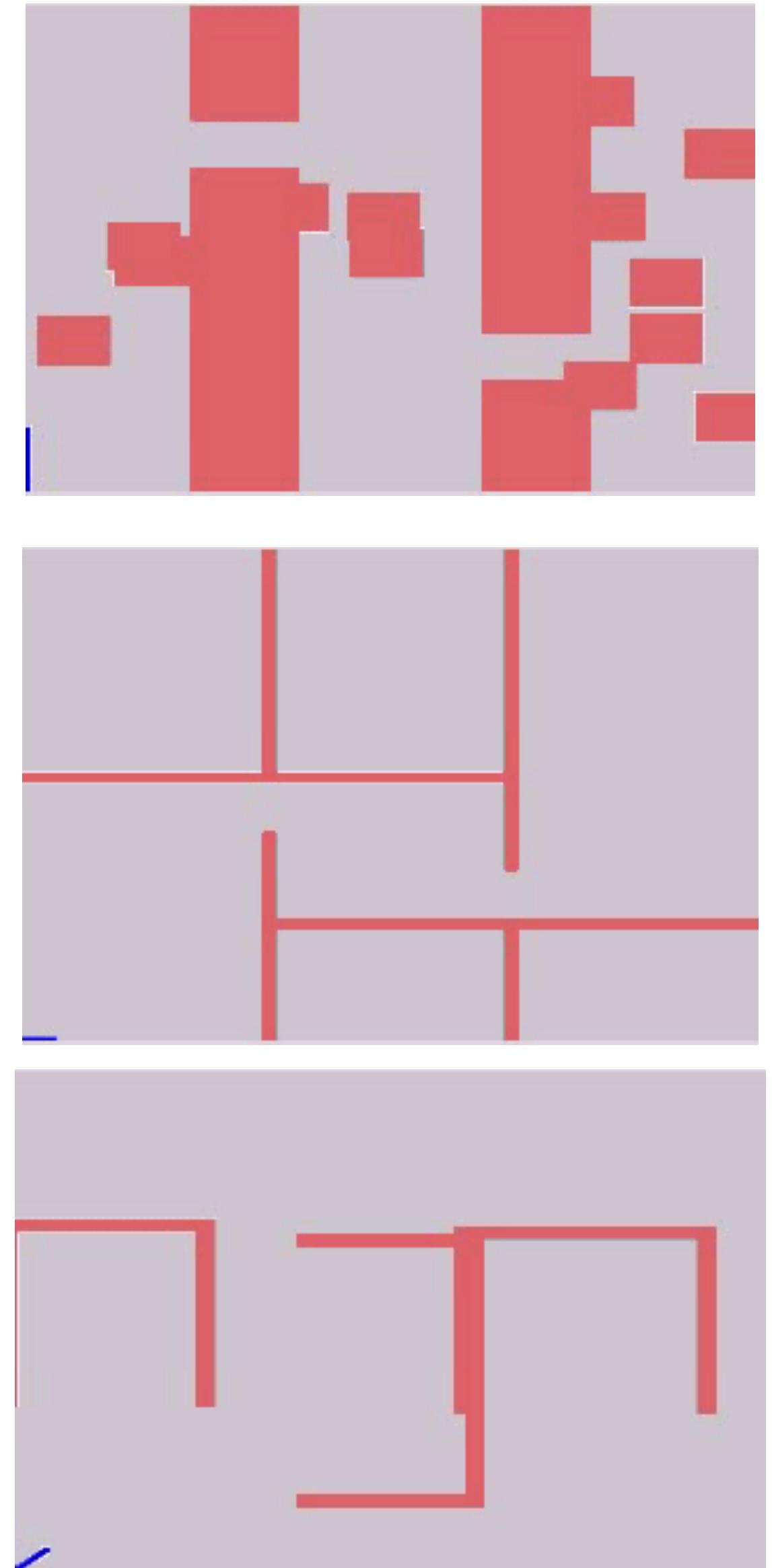


Learned
Search Heuristic

Imitate
→



Optimal
Value Function



Privileged Information: LLM Agents

BETTER THAN YOUR TEACHER: LLM AGENTS
THAT LEARN FROM PRIVILEGED AI FEEDBACK

Sanjiban Choudhury^{1,*}, Paloma Sodhi^{2,*}

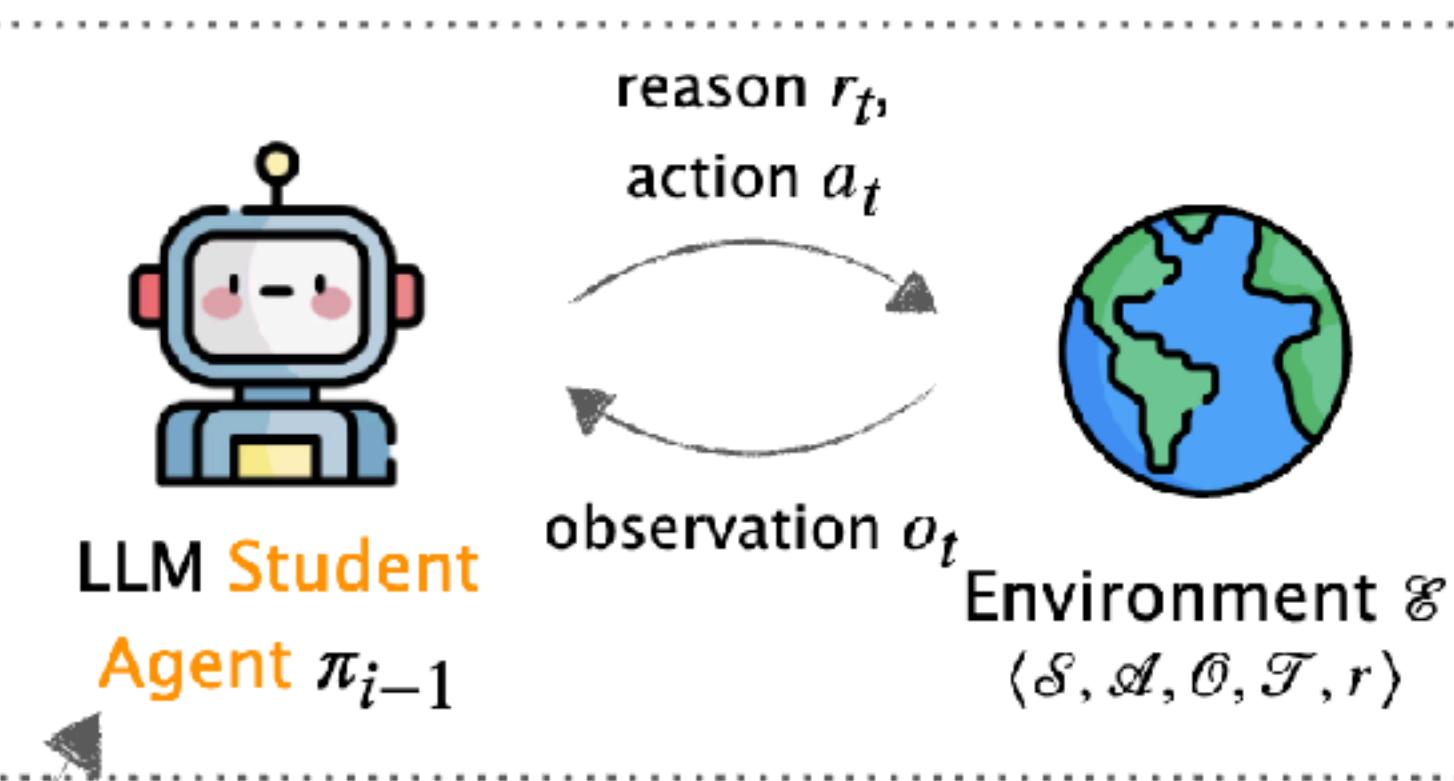
¹Cornell University, NY, USA, ²ASAPP Research, NY, USA

sanjibanc@cornell.edu, paloma.sodhi@gmail.com

Train weak student models (LLAMA-8B) to beat strong teachers
(GPT-4!)

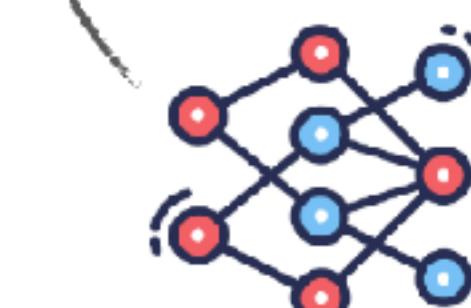
Privileged Information: LLM Agents

Task: Heat mug and put it in cabinet

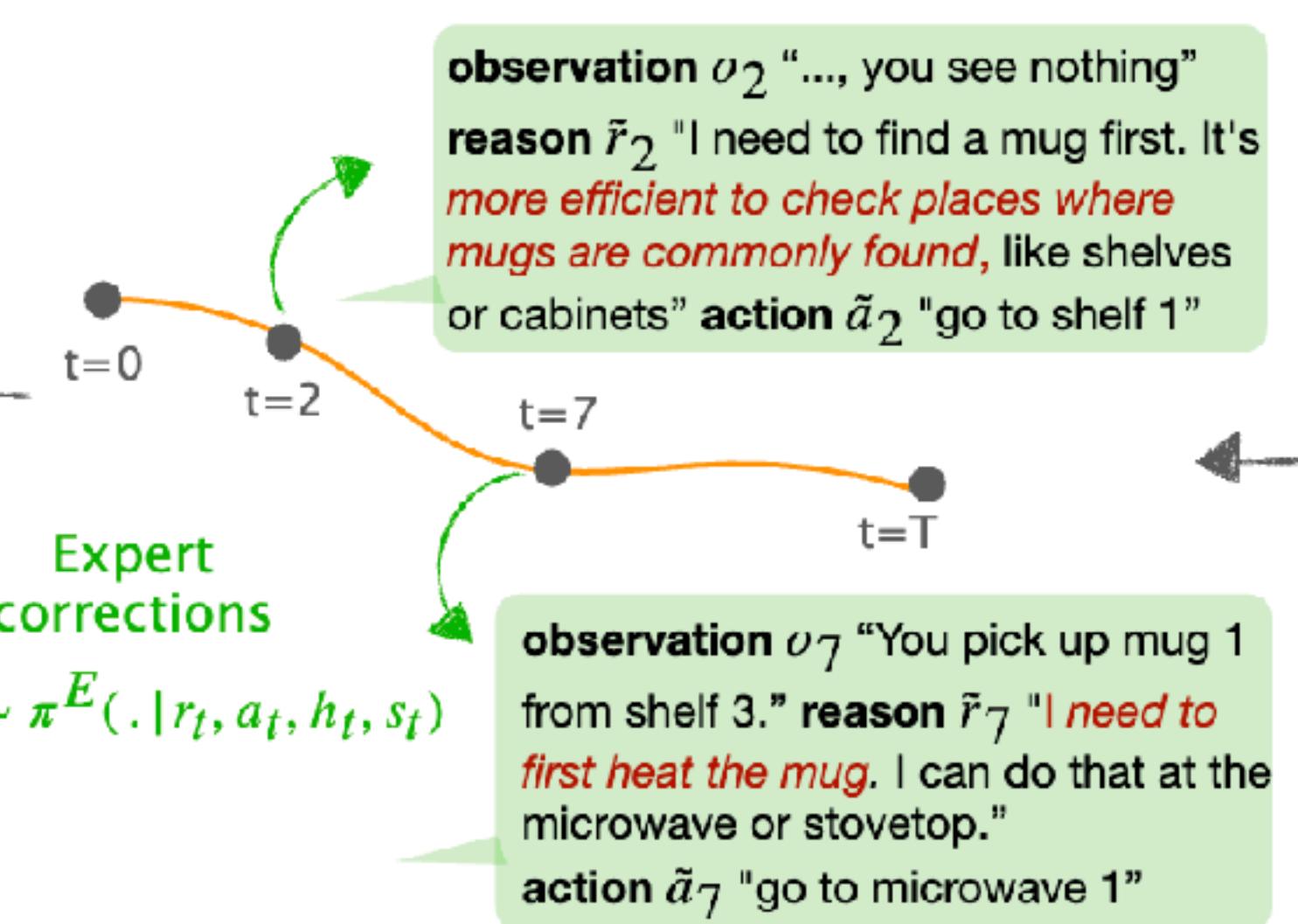
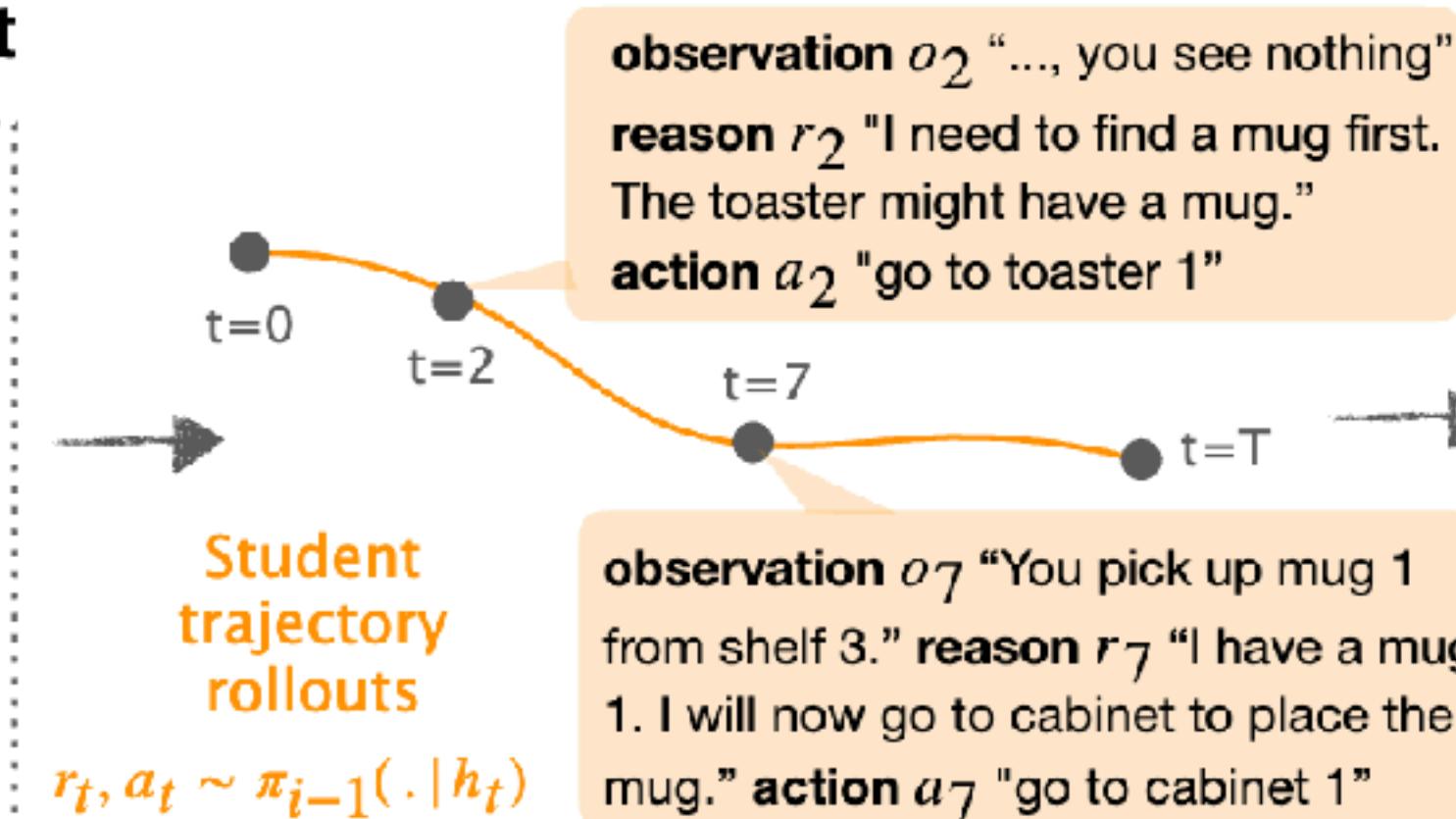


$$\pi_i \leftarrow \text{SFT} \left(\bigcup \mathcal{D}_i \right)$$

$$\pi_i \leftarrow \text{DPO}(\mathcal{D}_i, \pi_{i-1})$$



Model Training
(SFT/DPO)



$$\tilde{r}_t, \tilde{a}_t \sim \pi^E(. | r_t, a_t, h_t, s_t)$$

Dataset \mathcal{D}_i

LLM Expert Teacher π^E



Objective

You are given a trajectory containing observations, reason, actions generated by a student ... You are a teacher with access to a "privileged state" containing secret information to solve the game that is hidden from the student ... Your goal is to improve how the student solves the game by improving their reason and action ...

Privileged State s_t

Essential Objects:

- mug 1
- microwave 1 (to heat the mug)

Critical Locations:

- shelf 3 (to retrieve the mug)
- microwave 1 (to heat the mug)

...

Output {

```
"timestep": ...,
"original_reason": ...,
"original_action": ...,
"corrected_reason": ...,
"corrected_action": ... }
```

Today's class

- What are the challenges with sim2real?
Case study: OpenAI Dactyl Hand
- Teacher->Student distillation
Case study: Visual Dexterity
- Imitation Learning with Privileged Information

Counter Example to DAGGER w/ privileged information

You have a student agent in a dark room
with a door and a security lock

The passcode for the security lock is
written in a blackboard on the wall. There is also a light switch.

Teacher knows the passcode (privileged information)

What is the optimal student policy? What will DAGGER learn?