

# Substructural Abstract Syntax with Variable Binding and Single-Variable Substitution

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## Substitution:

Simultaneous substitution

Capture-avoiding single-variable substitution

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## Single-Variable Substitution:

Cartesian: Fiore-Plotkin-Turi (1999)

Others: [Open](#)

# Setting

Category Theoretic “Presheaf Model”

**Contexts:** (Universal) monoidal category generated by structural rules

**Syntax:** Covariant presheaves over contexts

$$P(\Gamma) = \{\text{terms for syntax } P \text{ in context } \Gamma\}$$

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eg. Linear Setting

**Symmetric object:**  $(A, s : A \otimes A \rightarrow A \otimes A)$

$s \rightsquigarrow$  exchange

**Contexts :**  $\mathbb{B}$  = free monoidal category over symmetric object

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**Context Extension:**  $\delta \rightsquigarrow$  endofunctor on presheaves

$$\delta(P)(\Gamma) = P(\Gamma + 1)$$

# Axiomatisation of Substitution

Data:

Syntax:  $P$

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eg. Linear Axioms:

Two Unitor Laws  $\rightsquigarrow$  Behaviour of Variables

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equivalently: [Extended Substitution Lemma](#)



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$TV \rightsquigarrow$  Free  $\Sigma$ -algebra on  $V$

Fixed point:  $TV = \mu X.V + \Sigma(X)$

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Representation Independent

eg. Linear Lambda Calculus

$\Sigma_\lambda(X) = X \otimes X + \delta(X) \rightsquigarrow$  application and abstraction

Abstract Syntax :  $\Lambda = \mu X.V + X \otimes X + \delta(X)$

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Substitution is constructed on  $\delta(TV)$ , which is not a priori defined inductively.

**Cartesian Solution:** Fiore-Plotkin-Turi (1999)

## Approach for Other Cases:

Show  $\delta(TV)$  admits structural recursion by being an initial algebra.

# Uniformity Property and Leibniz Isomorphism

## Uniformity Property

Given the following situation:

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{C} \\ H \downarrow & \cong & \downarrow H \\ \mathcal{D} & \xrightarrow{G} & \mathcal{D} \end{array}$$

$H$  is a left adjoint and  $\mu F$  exists  $\implies H(\mu F) \cong \mu G$



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## Leibniz Isomorphism

How to “simplify”  $\delta(X \otimes Y)$

Different for each settings

eg. **Linear Setting:**  $\mathcal{L} : \delta(X \otimes Y) \cong \delta(X) \otimes Y + X \otimes \delta(Y)$

# Linear Lambda Calculus

$$\begin{array}{ccc} \mathcal{B} & \xrightarrow{V+\Sigma_\lambda} & \mathcal{B} \\ \langle \text{Id}, \delta \rangle \downarrow & & \downarrow \langle \text{Id}, \delta \rangle \\ \mathcal{B} \times \mathcal{B} & \xrightarrow{G} & \mathcal{B} \times \mathcal{B} \end{array}$$

# Linear Lambda Calculus

$X$

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$$\begin{array}{ccc} X & & \\ \downarrow & & \\ \langle X, \delta(X) \rangle & & \end{array} \quad \begin{array}{ccc} \mathcal{B} & \xrightarrow{V+\Sigma_\lambda} & \mathcal{B} \\ \langle \text{Id}, \delta \rangle \downarrow & & \downarrow \langle \text{Id}, \delta \rangle \\ \mathcal{B} \times \mathcal{B} & \xrightarrow{G} & \mathcal{B} \times \mathcal{B} \end{array}$$

# Linear Lambda Calculus

$$\begin{array}{ccc} X & \xrightarrow{\quad} & V + X \otimes X + \delta(X) \\ \downarrow & & \\ \langle X, \delta(X) \rangle & & \end{array}$$
  
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 \mathcal{B} \times \mathcal{B} & \xrightarrow{\quad G \quad} & \mathcal{B} \times \mathcal{B}
 \end{array} & & \downarrow \\
 \langle X, \delta(X) \rangle & & \langle V + X \otimes X + \delta(X), \delta(V + X \otimes X + \delta(X)) \rangle
 \end{array}$$

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 \end{array} & \begin{array}{c}
 \langle V+X\otimes X+\delta(X), \\
 \delta(V+X\otimes X+\delta(X)) \rangle \\
 \mathcal{L} \Big| \cong \\
 \langle V+X\otimes X+\delta(X), \\
 \delta(V)+\delta(X)\otimes X+X\otimes\delta(X)+\delta\delta(X) \rangle
 \end{array} \\
 \downarrow & & \\
 \langle X, \delta(X) \rangle & & 
 \end{array}$$

# Linear Lambda Calculus

$$\begin{array}{ccc}
 X & \mapsto & V + X \otimes X + \delta(X) \\
 \downarrow & & \downarrow \\
 & \begin{array}{ccc}
 \mathcal{B} & \xrightarrow{V+\Sigma_\lambda} & \mathcal{B} \\
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 \delta(V)+\delta(X)\otimes X+X\otimes\delta(X)+\delta\delta(X) \rangle
 \end{array} \\
 \langle X, \delta(X) \rangle & \mapsto & \delta(V)+\delta(X)\otimes X+X\otimes\delta(X)+\delta\delta(X)
 \end{array}$$

$$G(X, Y) = \langle V + X \otimes X + Y, \delta(V) + Y \otimes X + X \otimes Y + \delta(Y) \rangle$$



# Linear Lambda Calculus

$$\begin{array}{ccc}
 X \vdash & \xrightarrow{\quad} & V + X \otimes X + \delta(X) \\
 \downarrow & & \downarrow \\
 & \mathcal{B} \xrightarrow{V + \Sigma_\lambda} \mathcal{B} & \\
 & \langle \text{Id}, \delta \rangle \downarrow \quad \downarrow \langle \text{Id}, \delta \rangle & \\
 & \mathcal{B} \times \mathcal{B} \dashrightarrow^G \mathcal{B} \times \mathcal{B} & \\
 & & \downarrow \\
 \langle X, \delta(X) \rangle \vdash & \xrightarrow{\quad} & \langle V + X \otimes X + \delta(X), \delta(V + X \otimes X + \delta(X)) \rangle \\
 & & \downarrow \mathcal{L} \cong \\
 & & \langle V + X \otimes X + \delta(X), \delta(V) + \delta(X) \otimes X + X \otimes \delta(X) + \delta\delta(X) \rangle
 \end{array}$$

$$G(X, Y) = \langle V + X \otimes X + Y, \delta(V) + \underbrace{Y \otimes X + X \otimes Y + \delta(Y)}_{\text{Derived Functor: } \Sigma_\lambda^\dagger(X, Y)} \rangle$$

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 \langle X, \delta(X) \rangle & \xrightarrow{\quad} &
 \end{array}$$

$$G(X, Y) = \langle V + X \otimes X + Y, \underbrace{\delta(V) + Y \otimes X + X \otimes Y + \delta(Y)}_{\text{Derived Functor: } \Sigma_\lambda^\dagger(X, Y)} \rangle$$

**Uniformity Property:**  $\langle \Lambda, \delta(\Lambda) \rangle$  is the fixed point of

$$\begin{cases}
 X = V + X \otimes X + Y \\
 Y = \delta(V) + X \otimes Y + Y \otimes X + \delta(Y)
 \end{cases}$$

# Generalised Structural Recursion

Bird-Paterson (1999): Generalised Structural Recursion

$\Lambda$  initial  $\rightsquigarrow$  admits iterator

$\implies \delta(\Lambda)$  admits **generalised iterator**  $\rightsquigarrow$  corresponds to initiality conditions

Matthes-Uustalu (2003): Useful special case

# Substitution for Abstract Syntax

$$\begin{array}{ccc}
 \Sigma_{\lambda}^{\dagger}(\Lambda, \delta(\Lambda) \otimes \Lambda) & \xrightarrow{\Sigma_{\lambda}^{\dagger}(\text{id}, \sigma)} & \Sigma_{\lambda}^{\dagger}(\Lambda) \\
 \uparrow \text{str} & & \downarrow \varphi^{\dagger} \\
 \Sigma_{\lambda}^{\dagger}(\Lambda, \delta(\Lambda)) \otimes \Lambda & & \\
 \uparrow \text{swap} & & \\
 \delta \Sigma_{\lambda}(\Lambda) \otimes \Lambda & & \\
 \downarrow \varphi \cong & & \\
 \delta(\Lambda) \otimes \Lambda & \xrightarrow{\quad \sigma \quad} & \Lambda \\
 \uparrow \eta & \nearrow \beta & \\
 \delta(V) \otimes \Lambda & & 
 \end{array}$$

# Substitution for Abstract Syntax

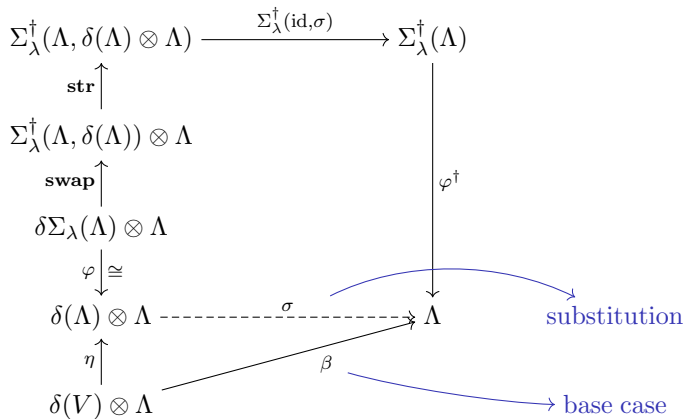
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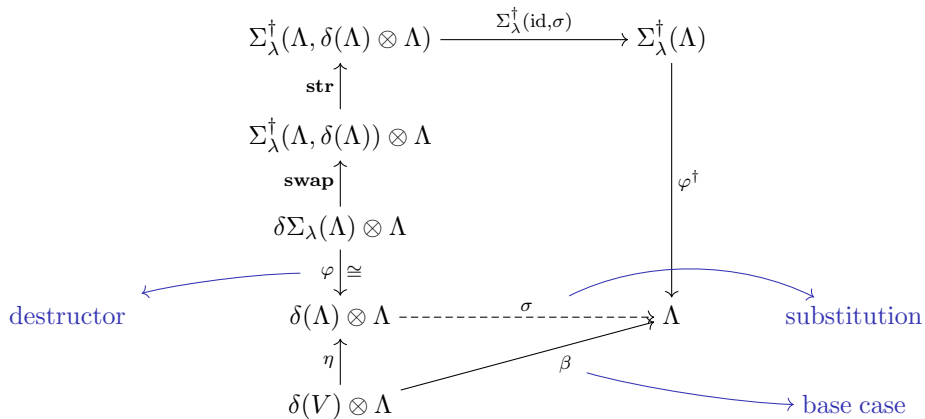
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substitution

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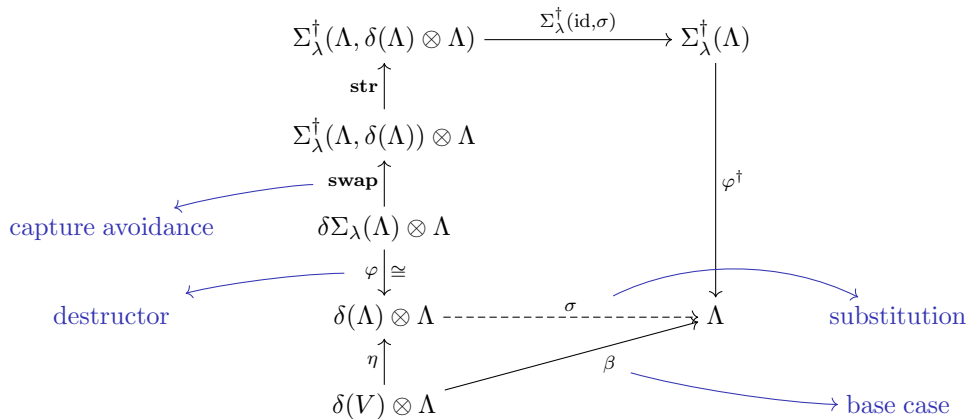


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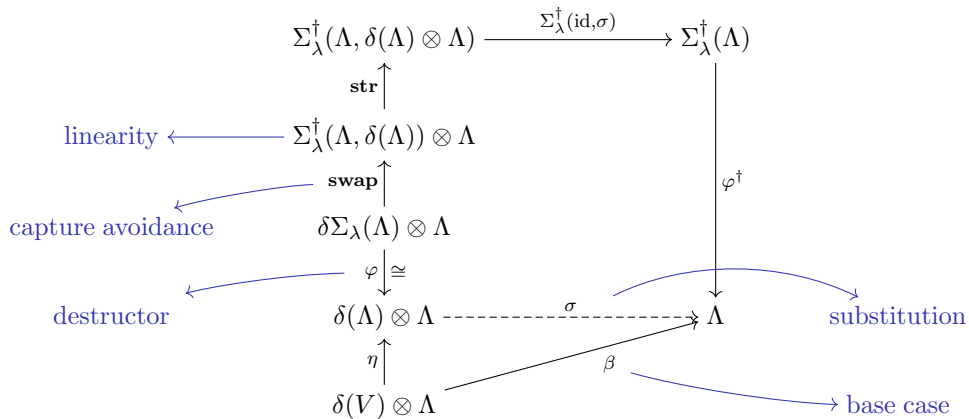




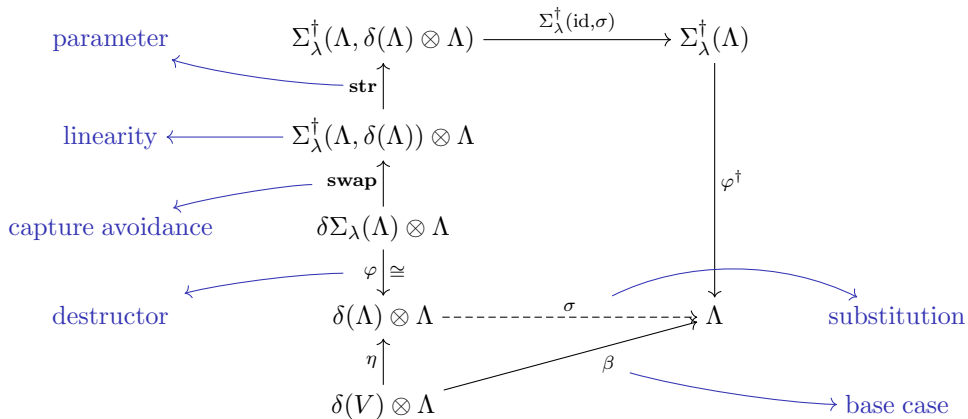
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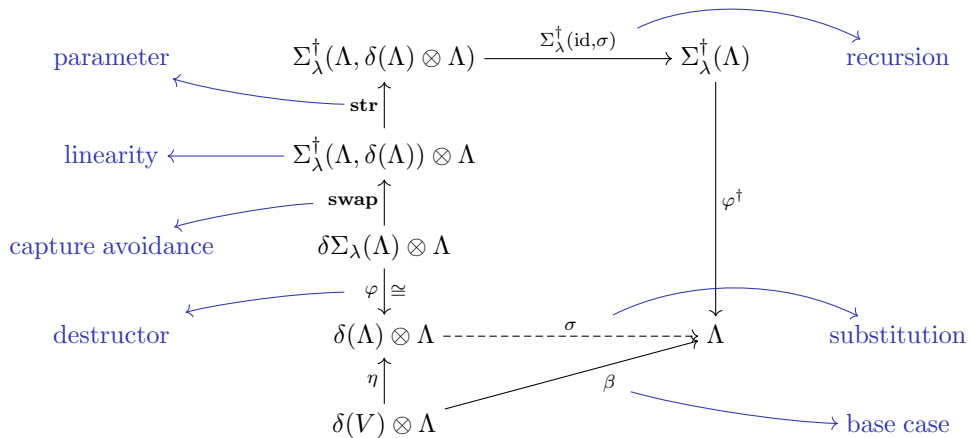
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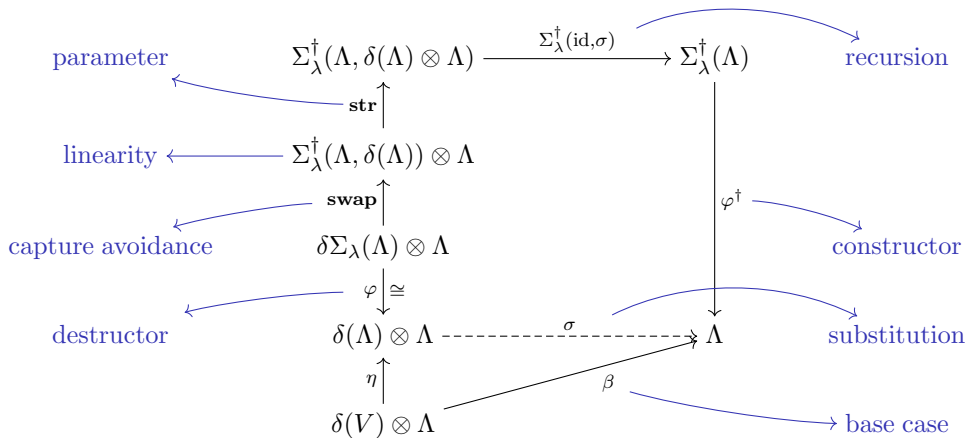
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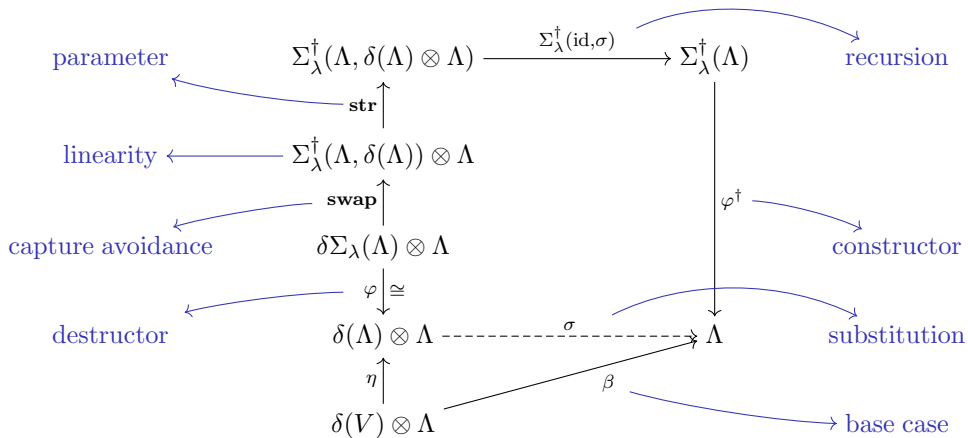
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**Thm:**  $\Lambda$  is the initial  $\Sigma_\lambda$ -algebra with compatible substitution structure.

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7. Extract Program for Substitution

## Future Work

Second-Order Theories for Linear, Affine and Relevant Settings

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Single-Variable Substitution for Combined Settings

eg. Linear-Cartesian Setting