

## Relationship with Individual & Joint Accounts

### Know Your Customer-KYC Form

Customer Name

New / Review/ Update of an Existing Relationship

CIF #

KYC prepared on (date dd/mm/yyyy)

Next KYC Review Date: As per Risk Rating

ALWALEED SALIM MOHAMED AL SHAIBI

New Relationship

R100400635

08/11/2020

03/11/2030



### 1. KYC Risk Profiling

#### Section A

- ☐ Is the customer a politically exposed person or is related to a PEP No
- ☐ Is the customer a non-resident No
- ☐ Does the customer deals in HIGH RISK BUSINESS No
- ☐ Is the customer linked with any high risk country?(e.g. Major businesses setup / funds sourced from high risk jurisdictions) No

#### Section B

- ☐ Expected total annual credits into the accounts <= 100,000? No
- ☐ Expected aggregate balance <= OMR 100,000 (all the deposit accounts, including CASA, FD & others)? No
- ☐ Expected aggregate value of asset products <= OMR 100,000 No

### Risk Classification:

Note: Enhanced Due Diligence (EDD) section to be filled for High Risk customers

Low





### 2. Customer Profile

- ☐ Source of funding the Account on a regular basis Select
- ☐ Approx. Annual Turnover i.e funds expected to be credited in next 12 months Select
- ☐ Approx. Monthly Gross Salary / Income Select
- ☐ Reasons for opening account with us Select
- ☐ Nature of Business Select
- ☐ Nature of Employment Select

### 3. Enhance Due Diligence (EDD)

- ☐ How was the Prospect Introduced? Select
- ☐ Product Usage/Transaction Profile: To best of your knowledge, are you satisfied with client's intended usage of financial products and whether this is consistent with client's profile? Select
- ☐ Are you aware of any aspect related to customer or its related parties, that could makes the customer risk profile unacceptable for the bank in terms of reputational/AML risk? Select
- ☐ If the Customer (or its relatives) is Politically Exposed Person (PEP) please select category Select
- ☐ Any other details that you would like to mention on the KYC-EDD Please enter

## 4. Transaction Profile - Estimated Activity (Equivalent in OMR) - prorata on monthly basis

 <b>Withdrawals</b>		Cash	Cheque
No. of Transactions in a Month		<div>Select ▼</div>	<div>Select ▼</div>
Total Amount of Transactions in OMR in a month		<div>Select ▼</div>	<div>Select ▼</div>
 <b>Deposits</b>			
No. of Transactions in a Month		<div>Select ▼</div>	<div>Select ▼</div>
Total Amount of Transactions in OMR in a month		<div>Select ▼</div>	<div>Select ▼</div>
 <b>Outward remittances</b>			
No. of Transactions in a Month		<div>Select ▼</div>	
Total Amount of Transactions in OMR in a month		<div>Select ▼</div>	
 <b>Inward remittances</b>			
No. of Transactions in a Month		<div>Select ▼</div>	
Total Amount of Transactions in OMR in a month		<div>Select ▼</div>	

Declaration: I confirm that, based on information provided by the customer & after reasonable inquiry nothing has come to my attention which causes me to believe that the aforesaid profile is incomplete/inaccurate/outdated or indicates that client is not reputable or its income is derived from illegal/questionable sources. I further confirm that the requirements of Bank's AML/KYC policy and procedures have been met.

<b>Prepared by:</b>	<b><u>Name &amp; Staff ID</u></b>	<b><u>Signature &amp; Date</u></b>
<b>Reviewed by:</b>		
<b>All new High Risk relationships require Prior Approval from Concerned DGM or his authorized delegate</b>		
Pls provide Sign off here or attach email approval	<b><u>Name &amp; Designation</u></b>	<b><u>Signature &amp; Date</u></b>