

Are bananas a good source of recursion in your diet?

Sankalp Gambhir

March 26, 2025

Reference

Main reference:

Erik Meijer, Maarten Fokkinga, and Ross Paterson. “**Functional programming with bananas, lenses, envelopes and barbed wire**”. In: *Functional Programming Languages and Computer Architecture*. Ed. by John Hughes. Berlin, Heidelberg: Springer Berlin Heidelberg, 1991, pp. 124–144. ISBN: 978-3-540-47599-6

But also:

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<https://en.wikipedia.org/w/index.php?title=F-algebra&oldid=1265837291>

Bartosz Milewski. **Category theory for programmers**. Bartosz Milewski, 2019

Back to basics

```
1 int fac(int target) {  
2     int i = 1;  
3     int n = 1;  
4  
5     start:  
6         if (n > target) goto end;  
7         i *= n++;  
8         goto start;  
9     end:  
10  
11     return i;  
12 }  
13
```

Abstracting out of shame

```
14 int facw(int target) {  
15     int i = 1;  
16     int n = 1;  
17  
18     while (n <= target) i *= n++;  
19  
20     return i;  
21 }  
22
```

Shamelessly recursive

```
1 def facr(target: Int): Int =  
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3     1  
4   else  
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13 def facf(target: Int): Int =  
14   (1 to target).fold(1)(_ * _)
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- goto can express any computable function
- So can **while** loops
- What about recursion v our abstractions? Is there a subset that allows expressing a reasonably large set of functions? All the recursively computable functions?

Catamorphism

“Cata” - down/downwards

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```
1 def cataList[A, B](b: B)(* : (A, B) => B)(l: List[A]): B =  
2   l match {  
3     case Nil => b  
4     case h :: t => h * cataList(b)(f)(t)  
5   }  
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Given a $b : B$, a function $* : (A, B) \Rightarrow B$, $(b, *)$ is a catamorphism over lists of type A .
The final type is $\text{List}[A] \Rightarrow B$.

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- stopping point / base case
- inductive case - a way to split a B into an h and a t . Sufficient to have an h and a $b1$.

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```
1 def anaList[A, B](p: B => Bool)(g: B => (A, B))(b: B): List[A] =  
2   if p(b) then  
3     Nil  
4   else  
5     val (h, b1) = g(b)  
6     h :: anaList(p)(g)(b1)  
7
```

or an ‘*unfold*’. Written as concave lenses $[g, p]$.

Look back at facf

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We produce a list (`ana`) and then immediately consume it (`cata`).

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‘Hylo’ - ‘dust/matter’ (wood?). Originating from philosophical idea that form and matter are one. Written $[(b, *), (g, p)]$.

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Hylomorphisms are more generally any functions whose call trees are “list-like”. (I think these are exactly linear recursive functions?)

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Why? Why these abstractions?

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Given an endofunctor F such that the category of F -algebras has an initial object $(\mu F, in)$, the catamorphism for an F -algebra (A, φ) is the unique homomorphism from the initial F -algebra $(\mu F, in)$ to (A, φ) . The unique morphism between the carriers is also denoted $cata\ \varphi : \mu F \rightarrow A$.

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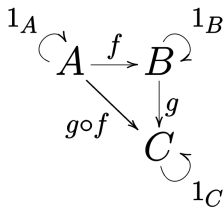
```
1   cata :: Functor f => Algebra f a -> Fix f -> a
2   cata alg = alg . fmap (cata alg) . unfix
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```

This one line describes *all* folds. Not over lists, not over trees, but *all possible* folds.

Just Enough Category Theory to be Dangerous

A *category* is a collection of objects (points) and a collection of morphisms (arrows) between them.

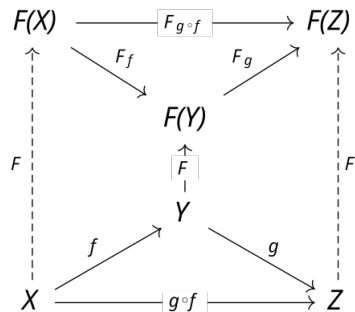
- For every object A , there is an identity morphism $id_A : A \rightarrow A$
- If $f : A \rightarrow B$ and $g : B \rightarrow C$, there must be a morphism $h : A \rightarrow C$ such that $g \circ f = h$.
- Composition is associative.



Just Enough Category Theory to be Dangerous

A *functor* is a mapping between categories that preserves the structure of the category.

- For every object A , there is a corresponding object $F(A)$.
- For every morphism $f : A \rightarrow B$, there is a morphism $F(f) : F(A) \rightarrow F(B)$.
- Identity is preserved: $F(id_A) = id_{F(A)}$.
- Composition is preserved: $F(g \circ f) = F(g) \circ F(f)$.



Just Enough Category Theory to be Dangerous

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For example, `List[_]` is a functor (`Type =>> Type`) that maps any type `A` to `List[A]`, and a function `A => B` to a function `List[A] => List[B]`.

Missing *initial*, *F-algebra*, and related terms. Hopefully understood better through code.

Implementation

For an endofunctor F on a category C , an F -algebra is a pair (A, α) where A is an object in C and $\alpha : F(A) \rightarrow A$ is a morphism in C .

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So (A, α) can be an algebra if and only if there is a way to reduce values of the "next iteration" of F back into A , i.e., A is closed under $F(\cdot)$ (by α).

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We can check that these algebras form a category with algebra homomorphisms as their morphisms. This category has an *initial object*, which is a bit like the bottom element in a lattice, it has an arrow to every other object in the category.

So we are saying, an initial algebra can be 'embedded' (via a homomorphism) into all other algebras. It is a minimal F -algebra.

In particular, we used the fact that our language, the meta-logic, has a way to provide us least fixpoint semantics (via recursive type definitions), so the result is in fact the least fixpoint of the functor.

Why am I looking at recursion schemes?

- Well, it's interesting. What constructs do we finally really need to eliminate arbitrary recursion?
- What kind of analysis can we do on programs when we know they are composed of only these schemes?
- Existing work on decidable verification of programs with catamorphisms as theory extensions. Led to the questions "why catamorphisms?" and "what more is out there?"
- Once we (I) understand catamorphisms, the next thing to understand is why they lead to decidable theories.
- How do structured recursion schemes relate to other decidable fragments, e.g. sufficiently surjective functions, which (I think) subsume catamorphisms?

All good questions to hopefully answer in the next few lifetimes.

Thank you!