#### Diff Geo HW

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August 22, 2021

Test 0

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Test 1

# Metric Spaces

A *metric space* is a set X equipped with a map  $d: X \times X \to \mathbb{R}$  such that :

- 1. d(x,x) = 0
- 2. d(x, y) > 0 if  $x \neq y$
- 3. d(x, y) = d(y, x)
- 4.  $d(x,z) \le d(x,y) + d(y,z)$

for all  $x, y, z \in X$ 

d: distance function or metric

#### Question 1

Show that: For any points a, b, x, y in a metric space X,  $|d(a,b)-d(x,y)| \leq d(a,x)+d(b,y)$ 

Using the property of the absolute value function  $|a| \le b \iff -b \le a \le b$  we get:

$$-d(a,x) - d(b,y) \le d(a,b) - d(x,y) \le d(a,x) + d(b,y)$$

Now we focus on the a term  $\alpha \triangleq d(a,x) + d(x,y) + d(y,b)$ . Using property (4):

$$d(a,b) \le d(a,x) + d(x,b) \le d(a,x) + d(x,y) + d(y,b)$$

Rearranging terms and using property (3) we obtain:

$$d(a, b) - d(x, y) < d(a, x) + d(b, y)$$

Which is the RHS of the inequality. Similarly, the LHS can be proven\*.

\*Modify (4) into  $d(x,z) - d(y,z) \le d(x,y)$  and  $\alpha = -d(a,x) - d(x,y) + d(y,b)$ 



### Question 2

Check that the diamond and square metrics on  $\mathbb{R}^n$  are indeed metrics. Show that the euclidean metric on  $\mathbb{R}^n$  is indeed a metric. (The triangle inequality in this context is equivalent to Minkowski's inequality.)

For any  $x, y \in \mathbb{R}^n$  where  $v = (v_1, v_2, ..., v_n)$ :

- 1. Diamond metric:  $d_1(x,y) = \sum_{i \in \mathcal{I}} |x_i y_i|$
- 2. Euclidean metric:  $d_2(x,y) = \sqrt{\sum_{i \in \mathcal{I}} |x_i y_i|^2}$
- 3. Square metric:  $d_{\infty}(x, y) = \max_{i \in \mathcal{I}} \{|x_i y_i|\}$

Now we just have to show that each of these metrics satisfy the four conditions that characterise metric spaces. We will look at each metric separately.

#### The Diamond metric

- 1.  $d_1(x,x) = \sum_{i=1}^{n} |x_i x_i| = 0$  for all  $i \in \mathcal{I}$ .
- 2. Suppose  $d_1(x,y) = \sum_{i=1}^n |x_i y_i| = 0$ . We know that that for  $p \in \mathbb{R}, |p| \ge 0$  and  $|p| = 0 \iff p = 0$  (positive definite). Therefore for the assumption to hold,  $|x_i y_i| = 0$  for all  $i \in \mathcal{I}$ . Hence  $x_i = y_i$  for all  $i \in \mathcal{I}$ . This shows that for  $x \ne y$ ,  $d_1(x,y) > 0$ .
- 3. We can rewrite  $d_1(x,y) = \sum_{i=1}^n |x_i y_i| = \sum_{i=1}^n |-(-x_i + y_i)| = \sum_{i=1}^n |(-x_i + y_i)| = \sum_{i=1}^n |y_i x_i|$ . Therefore,  $d_1(x,y) = d_1(y,x)$ .
- 4. Using the triangle inequality  $|(x_i y_i) + (y_i z_i)| \le |x_i y_i| + |y_i z_i| \to |x_i z_i| \le |x_i y_i| + |y_i z_i|$ . Taking the summation,  $\sum_{i=1}^{n} |x_i z_i| \le \sum_{i=1}^{n} |x_i y_i| + \sum_{i=1}^{n} |y_i z_i|$ . Hence, we have shown,  $d_1(x, z) \le d_1(x, y) + d_1(y, z)$ .

#### The Euclidean metric

- 1.  $d_2(x,x) = \sqrt{\sum_{i=1}^n |x_i x_i|^2} = 0$  for all  $i \in \mathcal{I}$ .
- 2. Suppose  $d_2(x,y) = \sqrt{\sum_{i=1}^n |x_i y_i|^2} = 0$ . We know that that for  $p \in \mathbb{R}, \sqrt{p} \ge 0$  and  $\sqrt{p} = 0 \iff p = 0$  (positive definite). Therefore for the assumption to hold,  $\sum_{i=1}^n |x_i y_i|^2 = 0$  for all  $i \in \mathcal{I}$ . Further,  $|x_i y_i|^2 = 0$  for all  $i \in \mathcal{I}$ . Hence  $x_i = y_i$  for all  $i \in \mathcal{I}$ . This shows that for  $x \ne y$ ,  $d_2(x,y) > 0$ .
- 3. We can rewrite  $d_2(x,y) = \sqrt{\sum_{i=1}^n |x_i y_i|^2} = \sqrt{\sum_{i=1}^n |-(-x_i + y_i)|^2} = \sqrt{\sum_{i=1}^n |(-x_i + y_i)|^2} = \sqrt{\sum_{i=1}^n |y_i x_i|^2}$ . Therefore,  $d_2(x,y) = d_2(y,x)$ .
- 4. Minkowski's inequality\* says that  $(\sum_{k=1}^n |\alpha_k + \beta_k|^p)^{\frac{1}{p}} \leq (\sum_{k=1}^n |\alpha_k|^p)^{\frac{1}{p}} + (\sum_{k=1}^n |\beta_k|^p)^{\frac{1}{p}}.$  If we substitute  $\alpha_k = x_k y_k$  and  $\beta_k = y_k z_k$ , we get the desired triangle inequality.  $\sqrt{\sum_{i=1}^n |x_i z_i|^2} \leq \sqrt{\sum_{i=1}^n |x_i y_i|^2} + \sqrt{\sum_{i=1}^n |y_i z_i|^2}.$  Hence, we have shown,  $d_2(x,z) \leq d_2(x,y) + d_2(y,z).$

#### The Diamond metric

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- 4. Using the triangle inequality  $|(x_i y_i) + (y_i z_i)| \le |x_i y_i| + |y_i z_i| \to |x_i z_i| \le |x_i y_i| + |y_i z_i|$ . Taking the summation,  $\sum_{i=1}^{n} |x_i z_i| \le \sum_{i=1}^{n} |x_i y_i| + \sum_{i=1}^{n} |y_i z_i|$ . Hence, we have shown,  $d_1(x, z) \le d_1(x, y) + d_1(y, z)$ .

#### The Square metric

- 1.  $d_{\infty}(x,x) = \max_{i \in \mathcal{I}} \{|x_i x_i|\} = \max\{0,0,..,0\} = 0 \text{ for all } i \in \mathcal{I}.$
- 2. Suppose  $d_{\infty}(x,y) = \max_{i \in \mathcal{I}} \{|x_i y_i|\} = 0$  at i = i\*. We know that that for  $p \in \mathbb{R}, |p| \geq 0$  and  $|p| = 0 \iff p = 0$  (positive definite). Therefore for the assumption to hold,  $|x_i y_i| \leq 0$  for all  $i \in \mathcal{I}, i \neq i* \Rightarrow |x_i y_i| = 0$ . Hence  $x_i = y_i$  for all  $i \in \mathcal{I}$ . This shows that for  $x \neq y$ ,  $d_{\infty}(x,y) > 0$ .
- 3. We can rewrite  $d_{\infty}(x, y) = \max_{i \in \mathcal{I}} \{|x_i y_i|\} = \max_{i \in \mathcal{I}} \{|-(-x_i + y_i)|\} = \max_{i \in \mathcal{I}} \{|(-x_i + y_i)|\} = \max_{i \in \mathcal{I}} \{|y_i x_i|\}$ . Therefore,  $d_{\infty}(x, y) = d_{\infty}(y, x)$ .
- 4. Need help here\*

# Minkowski's Inequality

$$\left(\sum_{k=1}^{n} |\alpha_{k} + \beta_{k}|^{p}\right)^{\frac{1}{p}} \leq \left(\sum_{k=1}^{n} |\alpha_{k}|^{p}\right)^{\frac{1}{p}} + \left(\sum_{k=1}^{n} |\beta_{k}|^{p}\right)^{\frac{1}{p}}$$

 $(\sum_{k=1}^{n} |\alpha_k|^p)^{\frac{1}{p}} = ||\alpha||_p$  is called the p-norm. The proof makes use of Holder's inequality. It is first shown that if  $\alpha$  and  $\beta$  have a finite p-norm, so does  $\alpha + \beta$  (convexity arguments).

$$||\alpha + \beta||_p^p = \int |\alpha + \beta|^p d\mu \le \int (|\alpha| + |\beta|)|\alpha + \beta|_p^{p-1} d\mu$$

Now, applying Holder's inequality;

$$||\alpha + \beta||_{p}^{p} \leq (||\alpha||_{p} + ||\beta||_{p}) \frac{||\alpha + \beta||_{p}^{p}}{||\alpha + \beta||_{p}}$$

$$\tag{1}$$

Rearranging this gives:

$$||\alpha + \beta||_{p} \le ||\alpha||_{p} + ||\beta||_{p}$$



# Minkowski's Inequality for the Euclidean Metric

For the case of the Euclidean metric, the inequality boils down to:

$$\sqrt{\sum_{i=1}^{n} |x_i - z_i|^2} \le \sqrt{\sum_{i=1}^{n} |x_i - y_i|^2} + \sqrt{\sum_{i=1}^{n} |y_i - z_i|^2}$$

For n=1, we retrieve the same inequality as that of the diamond metric, i.e.,  $\sqrt{|x_1-z_1|^2} \leq \sqrt{|x_1-y_1|^2} + \sqrt{|y_1-z_1|^2} \Rightarrow |x_1-z_1| \leq |x_1-y_1| + |y_1-z_1|$  Should I write something for n=2?\*

# Ques 0

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## Topological Spaces

The following questions deal with the idea of Topological Spaces, so here's a quick recap on what exactly those are.

**Topological Spaces:** A topological space is a set X on which a topology  $\tau$  is equipped.  $\tau$  is a collection of subsets of X (or,  $\tau$  is a subset of the power set  $2^X$  of X) such that -

- 1.  $\varnothing$  and X should belong to  $\tau$
- 2. the union of the elements in any subset of  $\tau$  should belong to  $\tau$
- 3. the intersection of the elements in any finite subset of au should belong to au

# **Topological Spaces**

The elements of  $\tau$  are called *open sets*. Thus, a topological space is a pair  $(X, \tau)$  consisting of a set and a topology on it.

We can reframe the axioms given on the previous slide in terms of open sets -

- 1. The empty and the full set are open.
- 2. Any arbitrary union of open sets is open.
- 3. Any finite intersection of open sets is open.

Show that: The euclidean, diamond, square metrics on  $\mathbb{R}^2$  have the same underlying topology. (When we say continuous map from  $\mathbb{R}^2$  to  $\mathbb{R}$ , it is w.r.t this topology.) Further, check that it coincides with the product topology on  $\mathbb{R} \times \mathbb{R}$ .

Ques - Show that: The underlying topology of the discrete metric is the discrete topology. If a set X has more than one element, then the indiscrete topology on X is not metrizable.

Both these subparts deal with one or the other extreme cases as far as topologies go. So let's look at them individually before solving the problem.

**Discrete Topology:** The textbook definition of a *discrete topology* is that it is a collection of all subsets of X, i.e,  $\tau = 2^X$ . There are a few interesting inferences to be drawn from this definition. Since every possible subset is an open subset in the discrete topology, in particular, every *singleton subset* is an open set in this topology.

**Indiscrete Topology:** The collection  $\tau = \{\emptyset, X\}$  on X is the *indiscrete, or trivial topology* on X. A consequence of this collection is that all points in the set X cannot be distinguished from each other through topological means.

Now, let's look at the first part of the problem - Show that the underlying topology of the discrete metric is the discrete topology

The discrete metric is as follows -

$$d_{\mathsf{discrete}}(x,y) \coloneqq egin{cases} 1, & \mathsf{if}\ x 
eq y, \\ 0, & \mathsf{otherwise}. \end{cases}$$

Now, a metric d on a set X induces a topology  $\tau$  by taking the idea of the open balls  $B(x,r)=\{y:d(x,y)< r\}$  as basic open sets. We need to show that the  $d_{\text{discrete}}$  we are given produces the discrete topology  $\tau=2^X$ .

Let  $x \in X$  be an arbitrary element, and let  $r \in (0,1)$ ; then by the definition of the discrete metric  $B_d(x,r) = \{x\}$ , so  $\forall x \in X, \{x\}$  is an open set.

Now, by the axioms we discussed about topological spaces, any arbitrary union of open sets is open. Let  $A \subseteq X$  be any arbitrary subset of X, then  $A = \bigcup_{x \in A} \{x\}$ , but we have shown that  $\forall x \in X, \{x\}$  is an open set.

Since any arbitrary union of open sets is open, we can claim that A is an open set, as induced by the discrete metric. Since this claim holds for any  $A \subseteq X$ , we thus claim that every subset of X is open, i.e,  $\forall A \subseteq X, A \in \tau$ .

Since  $\tau$  contains every possible subset of X, it is the power set  $2^X$  of X. Thus, we have shown that the discrete metric induces a topology  $\tau=2^X$  on X. Since this is the definition of the discrete topology, we have shown that the underlying topology of the discrete metric is the discrete topology.  $\square$ 

We now look at the next part of the problem -

Show that if a set X has more than one element, then the indiscrete topology on X is not metrizable.

We prove this by contradiction. Assume that there exists a metric d on the set X such that (X,d) is a metric space and that the topology induced by this metric on X is the indiscrete topology,  $\tau = \{\emptyset, X\}$ 

X has at least 2 distinct elements x and y, i.e,  $\exists x, y \in X$  s.t  $x \neq y$ .

$$\implies d(x,y) = r > 0$$

Now, consider the open ball B(x, r/2). This open ball should be an open set in the topology that d induces.

But, 
$$x \in B(x, r/2)$$
 and since  $d(x, y) = r > r/2$ ,  $y \notin B(x, r/2)$ .

Thus,  $B(x, r/2) \neq \emptyset$  and  $B(x, r/2) \neq X$  (as there is at least one element  $y \in X$  s.t  $y \notin B(x, r/2)$ ).

Thus, the topology induced by the metric d cannot be the indiscrete topology, since  $\tau_{\text{indiscrete}} = \{\emptyset, X\}$ 

Thus, we have shown that if a set X has more than one element, then the indiscrete topology on X is not metrizable.  $\square$ 

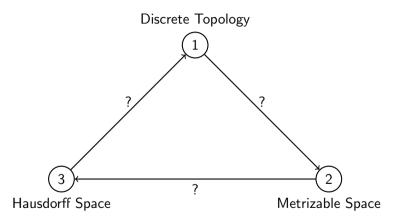
#### Exercise 3.4 - 15

#### Problem Statement

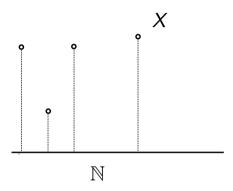
Let X be a *finite* topological space. Show that the following are equivalent:

- 1. X has the discrete topology.
- 2. X is metrizable.
- 3. X is Hausdorff.

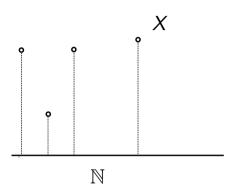
# Establishing Relationships



### $1 \rightarrow 2$ — Discrete $\rightarrow$ Metrizable



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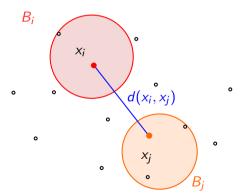
This is equivalent to finding an order on the set and identifying it with  $\mathbb{N}$ . It only requires the Axiom of Choice!

Given that the space, say  $(X, \tau)$ , is metrizable, there exists a metric  $d: X \times X \to \mathbb{R}$  which induces the topology given by  $\tau$ .

Given that the space, say  $(X,\tau)$ , is metrizable, there exists a metric  $d:X\times X\to\mathbb{R}$  which induces the topology given by  $\tau$ . Use this metric to define open balls  $B_i,B_j$  for any pair of points in X,  $x_i,x_j$ .

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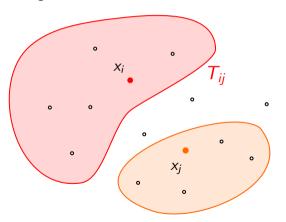
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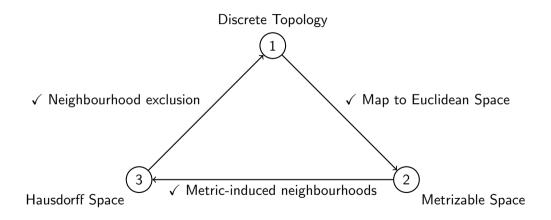


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 $x_i \in \tau.$ 

# Establishing Relationships



### Exercise 3.4 - 16

Problem Statement
Give an example of a topological space which is Hausdorff but not metrizable.

# Examining the problem

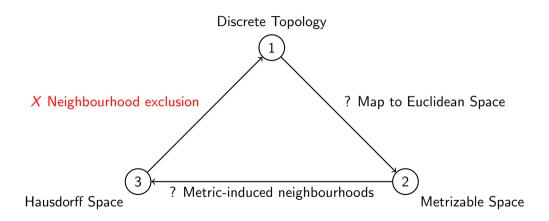
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# Examining the problem

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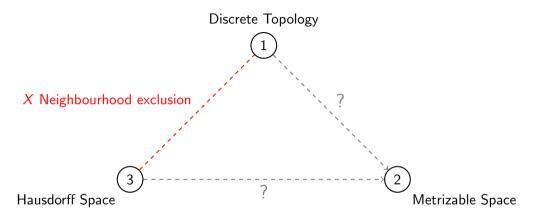
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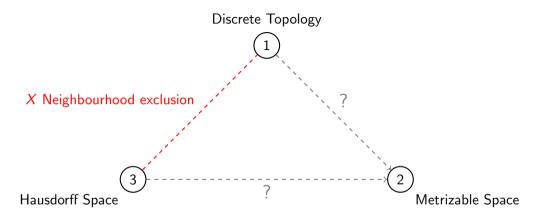
We just proved Metrizable  $\Leftrightarrow$  Hausdorff, so what gives? There is something quite important we used to establish all the ideas in that problem. *Finiteness*.



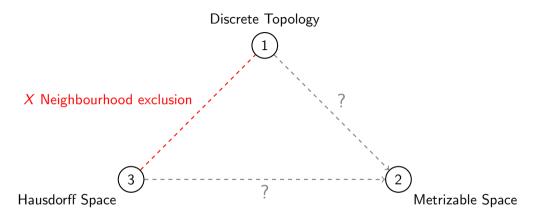
# Chasing Broken Bridges

We are now looking for a Hausdorff space that is not metrizable. It cannot be discrete, since we have the discrete metric for it, regardless of finiteness, i.e., the implication edge  $1 \to 2$  holds without finiteness too.





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We construct a topology from a metric space by constructing open balls around points. So, perhaps we can break metrizability by taking a Hausdorff space, and creating gaps in it that cannot be worked around with a metric.

Combining everything, consider the space  $(\mathbb{R}, \tau)$  with  $\tau$  being the usual topology on the real line. It is clearly Hausdorff, and metrizable.

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Combining everything, consider the space  $(\mathbb{R},\tau)$  with  $\tau$  being the usual topology on the real line. It is clearly Hausdorff, and metrizable. Construct from this a new space  $*\mathbb{R}$  from  $\mathbb{R}$  with an added point  $\omega$ , a number larger than any finite real. Choose the set  $\{\omega\}$  to additionally be open. We see that there are suddenly issues with defining a metric on this space.

### Non-metrizability of $*\mathbb{R}$ .

If possible, suppose there exists a metric such that it induces the topology defined,  $d: *\mathbb{R} \times *\mathbb{R} \to \mathbb{R}$ , defined as usual over the 'finite' numbers.

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Then by triangle inequality we must have

$$d(x,y) \le d(x,\omega) + d(\omega,y)$$
 , so  $a \le f(x) + f(y)$ 

Since a, x, and y were arbitrary, f(x) must be unbounded  $\forall x$ , and thus d is not a proper metric. This is a contradiction.

