

Newman-Radford Rigidity and Noncommutative Möbius Theory

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1 Lune-incidence Algebra

1.1 Nested faces and lunes

A **nested face** is a pair of faces (A, F) with $F \geq A$.

Let A and B be faces having the same support. Recall that we have an isomorphism of posets:

$$\Sigma[\mathcal{A}]_A \xrightarrow{\cong} \Sigma[\mathcal{A}]_B \quad ; \quad F/A \mapsto BF/B$$

We define an equivalence relation \sim on nested faces:

$$(A, F) \sim (B, G) \Leftrightarrow s(A) = s(B), G = BF, F = AG$$

The equivalence classes are called **lunes**.

1.2 Face-incidence algebra

As a set, $I_{\text{face}}[\mathcal{A}]$ consists of \mathbb{k} -valued functions on nested faces:

$$f : \left\{ (A, F) \in \Sigma[\mathcal{A}]^2 \mid F \geq A \right\} \rightarrow \mathbb{k}$$

It forms a vector space under pointwise addition and scalar multiplication. Furthermore, for any $f, g \in I_{\text{face}}[\mathcal{A}]$, we define $f \cdot g \in I_{\text{face}}[\mathcal{A}]$ by:

$$(f \cdot g)(A, F) = \sum_{H: A \leq H \leq F} f(A, H) g(H, F)$$

Under this product, $I_{\text{face}}[\mathcal{A}]$ forms an algebra with the multiplicative identity $\delta \in I_{\text{face}}[\mathcal{A}]$ given by:

$$\delta(A, F) = \begin{cases} 1 & \text{if } A = F \\ 0 & \text{otherwise} \end{cases}$$

1.3 Lune-incidence algebra

Let $I_{\text{lune}}[\mathcal{A}]$ be the vector subspace of $I_{\text{face}}[\mathcal{A}]$ consisting of functions f such that $f(A, F) = f(B, G)$ whenever $(A, F) \sim (B, G)$.

Proposition. $I_{\text{lune}}[\mathcal{A}]$ is a subalgebra of $I_{\text{face}}[\mathcal{A}]$. That is, it is closed under multiplication.

We omit the proof.

We call $I_{\text{lune}}[\mathcal{A}]$ the **lune-incidence algebra** of \mathcal{A} .

Unlike $I_{\text{flat}}[\mathcal{A}]$ and $I_{\text{face}}[\mathcal{A}]$, $I_{\text{lune}}[\mathcal{A}]$ is not an incidence algebra of a poset.

1.4 Noncommutative zeta functions

A **noncommutative zeta function** is an element

$\zeta \in \mathbb{I}_{\text{lune}}[\mathcal{A}]$ such that $\zeta(A, A) = 1$ for all faces A and

$$(1) \quad \sum_{\substack{F: F \geq A, HF=G, \\ s(F)=s(G)}} \zeta(A, F) = \zeta(H, G)$$

for all $A \leq H \leq G$. We refer to (1) as the **star-lune formula**.

Setting $H = G$ and $X = s(G)$ in (1), we get the following:

$$(2) \quad \sum_{F: F \geq A, s(F)=X} \zeta(A, F) = 1$$

for all $s(A) \leq X$. We refer to (2) as the **star-flat formula**.

Lemma. *A noncommutative zeta function ζ is equivalent to a choice of scalars $\zeta(O, F)$, one for each face F , such that for each flat X ,*

$$(3) \quad \sum_{F:s(F)=X} \zeta(O, F) = 1$$

In particular, noncommutative zeta functions exist.

Proof sketch. If ζ is a noncommutative zeta function, then (3) is simply the star-flat formula with $A = O$.

Conversely, given scalars $\zeta(O, F)$ satisfying (3), we can recover $\zeta(A, F)$ for any $O \leq A \leq F$ using the star-lune formula:

$$\zeta(A, F) := \sum_{\substack{H:AH=F, \\ s(H)=s(F)}} \zeta(O, H)$$

□

1.5 Noncommutative Möbius functions

A **noncommutative Möbius function** is an element

$\mu \in \mathcal{I}_{\text{lune}}[\mathcal{A}]$ such that $\mu(A, A) = 1$ for all faces A and

$$(4) \quad \sum_{F: F \geq A, HF=H} \mu(A, F) = 0$$

for all $A < H \leq G$. We refer to (4) as the **noncommutative Weisner formula**.

Claim. *The inverse of a noncommutative zeta function ζ in $\mathcal{I}_{\text{lune}}[\mathcal{A}]$ is a noncommutative Möbius function. In particular, noncommutative Möbius functions exist.*

We will prove this claim towards the end of this talk.

2 Newman-Radford for free bimonoid on a cocommutative comonoid

Let (c, Δ) be a comonoid.

We first recall the definition of $\mathcal{T}(c)$. As a species, it is given by:

$$\mathcal{T}(c)[A] = \bigoplus_{F: F \geq A} c[F]$$

It is equipped with the [concatenation product](#):

$$\begin{array}{ccc} \mathcal{T}(c)[H] & \xrightarrow{\mu_A^H} & \mathcal{T}(c)[A] \\ \uparrow & & \downarrow \\ c[F] & \xrightarrow{\text{id}} & c[F] \end{array}$$

and the [dequasishuffle coproduct](#):

$$\begin{array}{ccccc} \mathcal{T}(c)[A] & & \xrightarrow{\Delta_A^H} & & \mathcal{T}(c)[H] \\ \uparrow & & & & \downarrow \\ c[F] & \xrightarrow{\Delta_F^{FH}} & c[FH] & \xrightarrow{\beta_{HF, FH}} & c[HF] \end{array}$$

We now consider the bimonoid $\mathcal{T}(\mathbf{c}_t)$. As a species, it is given by:

$$\mathcal{T}(\mathbf{c}_t)[A] = \bigoplus_{F: F \geq A} \mathbf{c}[F]$$

It is equipped with the **concatenation product**:

$$\begin{array}{ccc} \mathcal{T}(\mathbf{c}_t)[H] & \xrightarrow{\mu_A^H} & \mathcal{T}(\mathbf{c}_t)[A] \\ \uparrow & & \downarrow \\ \mathbf{c}[F] & \xrightarrow{\text{id}} & \mathbf{c}[F] \end{array}$$

and the **deshuffle coproduct**:

$$\begin{array}{ccc} \mathcal{T}(\mathbf{c}_t)[A] & \xrightarrow{\Delta_A^H} & \mathcal{T}(\mathbf{c}_t)[H] \\ \uparrow & & \downarrow \\ \mathbf{c}[F] & \xrightarrow{\beta_{HF, F}} & \begin{cases} \mathbf{c}[HF] & \text{if } F = FH \\ 0 & \text{otherwise} \end{cases} \end{array}$$

Observe that $\mathcal{T}(c)$ and $\mathcal{T}(c_t)$ are identical as monoids.

On the face of it, only their coproduct differs.

Question. Is the coproduct on $\mathcal{T}(c)$ genuinely different from the coproduct on $\mathcal{T}(c_t)$?

The dequasishuffle coproduct on $\mathcal{T}(c)$ is cocommutative iff c is cocommutative. In contrast, $\mathcal{T}(c_t)$ is always cocommutative.

Thus, if c is not cocommutative to begin with, then $\mathcal{T}(c)$ and $\mathcal{T}(c_t)$ cannot possibly be isomorphic as bimonoids.

What happens when c is cocommutative?

What would an isomorphism $\mathcal{T}(c) \rightarrow \mathcal{T}(c_t)$ look like?

Proposition. *Fix a noncommutative zeta function*

$\zeta \in \mathbf{I}_{\text{lune}}[\mathcal{A}]$. *For a cocommutative comonoid c , the map $f : \mathcal{T}(c) \rightarrow \mathcal{T}(c_t)$ given on the A -component by*

$$(5) \quad \begin{array}{ccc} \mathcal{T}(c)[A] & \xrightarrow{\quad f_A \quad} & \mathcal{T}(c_t)[A] \\ \uparrow & & \downarrow \\ c[F] & \xrightarrow{\zeta(F,G)\Delta_F^G} & \begin{cases} c[G] & \text{if } G \geq F \\ 0 & \text{otherwise} \end{cases} \end{array}$$

is an isomorphism of bimonoids.

Proof. Note that f is a well-defined morphism of species.

Since the matrix form of f is unitriangular, it is an isomorphism of species.

It remains to check that f is a morphism of bimonoids.

By the universal property of

$\mathcal{T} : \mathbf{Comon}(\mathcal{A}\text{-}\mathbf{Sp}) \rightarrow \mathbf{Bimon}(\mathcal{A}\text{-}\mathbf{Sp})$, it suffices to check that $f : c \rightarrow \mathcal{T}(c_t)$ is a morphism of comonoids.

Thus, we need to verify that the following diagram commutes for all $H \geq A$:

$$\begin{array}{ccc}
 c[H] & \xrightarrow{f_H} & \mathcal{T}(c_t)[H] \\
 \Delta_A^H \uparrow & & \uparrow \Delta_A^H \\
 c[A] & \xrightarrow{f_A} & \mathcal{T}(c_t)[A]
 \end{array}$$

$$\begin{aligned}
\Delta_A^H \circ f_A &= \left(\bigoplus_{\substack{F:F \geq A, \\ F = \bar{F}H}} \beta_{HF, F} \right) \circ \left(\bigoplus_{K:K \geq A} \zeta(A, K) \Delta_A^K \right) \\
&= \bigoplus_{\substack{F:F \geq A, \\ F = \bar{F}H}} \zeta(A, F) \beta_{HF, F} \Delta_A^H \\
&= \bigoplus_{\substack{F:F \geq A, \\ F = \bar{F}H}} \zeta(A, F) \Delta_A^{HF} \\
&= \bigoplus_{G:G \geq F} \left(\sum_{\substack{F:F \geq A, HF=G, \\ F = \bar{F}H}} \zeta(A, F) \right) \Delta_A^G \\
&= \bigoplus_{G:G \geq H} \zeta(H, G) \Delta_A^G \\
&= \left(\bigoplus_{G:G \geq H} \zeta(H, G) \Delta_H^G \right) \circ \Delta_A^H \\
&= f_H \circ \Delta_A^H
\end{aligned}$$

where we have used (1) in fifth step, with the $HF = G, F = \bar{F}H$ replacing the conditions $HF = G, s(F) = s(G)$. □

Example. Taking c to be the exponential comonoid E , the above proposition gives us an isomorphism $\mathcal{T}(E) \xrightarrow{\cong} \mathcal{T}(E_t)$ that maps the H-basis of Σ to a Q-basis of Σ .

Remark. We have one such isomorphism for every choice of a noncommutative zeta function $\zeta \in I_{\text{lune}}[\mathcal{A}]$.

3 Zeta and Möbius as inverses

We now prove the claim we made earlier, viz. the inverse of a noncommutative zeta function ζ in the lune-incidence algebra is a noncommutative Möbius function.

Note that a noncommutative zeta function $\zeta \in \mathcal{I}_{\text{lune}}[\mathcal{A}]$ is always invertible, since $\zeta(A, A) = 1$ for all faces A .

In fact, if μ denotes its inverse in the lune-incidence algebra, then we also have $\mu(A, A) = 1$ for all faces A .

It remains to show that the inverse of ζ satisfies (4).

Let ζ be a noncommutative zeta function, and let μ denote its inverse in the lune-incidence algebra.

Consider the isomorphism of bimonoids

$$f : \mathcal{T}(\mathbf{c}) \xrightarrow{\cong} \mathcal{T}(\mathbf{c}_t), \text{ as given in (5).}$$

The inverse map $g : \mathcal{T}(\mathbf{c}_t) \xrightarrow{\cong} \mathcal{T}(\mathbf{c})$ takes the form

$$(6) \quad \begin{array}{ccc} \mathcal{T}(\mathbf{c}_t)[A] & \xrightarrow{\quad g_A \quad} & \mathcal{T}(\mathbf{c})[A] \\ \uparrow & & \downarrow \\ \mathbf{c}[F] & \xrightarrow{\mu(F,G)\Delta_F^G} & \begin{cases} \mathbf{c}[G] & \text{if } G \geq F \\ 0 & \text{otherwise} \end{cases} \end{array}$$

By the universal property of $\mathcal{T} : \mathcal{A}\text{-Sp} \rightarrow \text{Bimon}(\mathcal{A}\text{-Sp})$, g must restrict to a morphism of species $g : \mathbf{c}_t \rightarrow \mathcal{P}(\mathcal{T}(\mathbf{c}))$.

That is, $\Delta_A^H \circ g_A = 0$ for all $H > A$.

$$\begin{aligned}
& \Delta_A^H \circ g_A = 0 \\
& \Rightarrow \left(\bigoplus_{F:F \geq A} \beta_{HF, FH} \Delta_F^{FH} \right) \circ \left(\bigoplus_{K:K \geq A} \mu(A, K) \Delta_A^K \right) = 0 \\
& \Rightarrow \bigoplus_{F:F \geq A} \mu(A, F) \beta_{HF, FH} \Delta_F^{FH} \Delta_A^F = 0 \\
& \Rightarrow \bigoplus_{F:F \geq A} \mu(A, F) \Delta_A^{HF} = 0 \\
& \Rightarrow \bigoplus_{G:G \geq A} \left(\sum_{F:F \geq A, HF=G} \mu(A, F) \right) \Delta_A^G = 0
\end{aligned}$$

Since this is true for any cocommutative comonoid c , we get the following:

$$\sum_{F:F \geq A, HF=G} \mu(A, F) = 0$$

which is precisely the noncommutative Weisner formula (4).

Thus, we have proved the first half of the following theorem:

Theorem. *In the lune-incidence algebra, the inverse of a noncommutative zeta function is a noncommutative Möbius function, and vice-versa*

Proof of the second half can be obtained by simply reversing all the implications.