

# Unit-I Propositional Logic & First Order Logic

November 3, 2015

# Satisfiability, Validity and Consequence

## Definition

Let  $A \in \mathfrak{F}$ .

- $A$  is satisfiable iff  $v_{\mathfrak{I}}(A) = T$  for some interpretation  $\mathfrak{I}$ . A satisfying interpretation is a model for  $A$ .
- $A$  is valid, denoted  $\models A$ , iff  $v_{\mathfrak{I}} = T$  for all interpretations  $\mathfrak{I}$ . A valid propositional formula is also called a tautology.
- $A$  is unsatisfiable iff it is not satisfiable, that is, if  $v_{\mathfrak{I}}(A) = F$  for all interpretations  $\mathfrak{I}$ .
- $A$  is falsifiable, denoted  $\not\models A$ , iff it is not valid, that is, if  $v_{\mathfrak{I}}(A) = F$  for some interpretation  $v$ .

# Satisfiability and Validity of Formulas

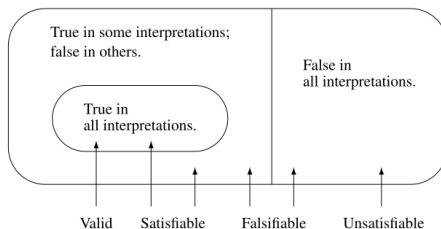


Figure: Satisfiability and validity of formulas

# Satisfiability and Validity of Formulas

## Theorem

*Let  $A \in \mathfrak{F}$ .  $A$  is valid if and only if  $\neg A$  is unsatisfiable.  $A$  is satisfiable if and only if  $\neg A$  is falsifiable*

## Proof.

Let  $\mathcal{I}$  be an arbitrary interpretation.  $v_{\mathcal{I}}(A) = T$  if and only if  $v_{\mathcal{I}}(\neg A) = F$  by the definition of the truth value of a negation. Since  $\mathcal{I}$  was arbitrary,  $A$  is true in all interpretations if and only if  $\neg A$  is false in all interpretations, that is, iff  $\neg A$  is unsatisfiable. If  $A$  is satisfiable then for some interpretation  $\mathcal{I}$ ,  $v_{\mathcal{I}}(A) = T$ . By definition of the truth value of a negation,  $v_{\mathcal{I}}(\neg A) = F$  so that  $\neg A$  is falsifiable. Conversely, if  $v_{\mathcal{I}}(\neg A) = F$  then  $v_{\mathcal{I}}(A) = T$ . □

# Decision Procedures in Propositional Logic

## Definition (Decision Procedure)

Let  $\mathcal{U} \subseteq \mathcal{F}$  be a set of formulas. An algorithm is a decision procedure for  $\mathcal{U}$  if given an arbitrary formula  $A \in \mathcal{F}$ , it terminates and returns the answer yes if  $A \in \mathcal{U}$  and the answer no if  $A \notin \mathcal{U}$ . If  $\mathcal{U}$  is the set of satisfiable formulas, a decision procedure for  $\mathcal{U}$  is called a decision procedure for satisfiability, and similarly for validity.

# Note

- 1 To decide if  $A$  is valid, apply the decision procedure for satisfiability to  $\neg A$
- 2 If it reports that  $\neg A$  is satisfiable, then  $A$  is not valid; if it reports that  $\neg A$  is not satisfiable, then  $A$  is valid. Such a decision procedure is called a refutation procedure, because we prove the validity of a formula by refuting its negation
- 3 Refutation procedures can be efficient algorithms for deciding validity, because instead of checking that the formula is always true, we need only search for a falsifying counterexample
- 4 The existence of a decision procedure for satisfiability in propositional logic is trivial, because we can build a truth table for any formula

# Example for Satisfiability

- Truth table for the formula  $p \rightarrow q$

p	q	$p \rightarrow q$
T	T	T
T	F	F
F	T	T
F	F	T



# Example for Validity and Unsatisfiable

- Validity of  $(p \rightarrow q) \leftrightarrow (\neg q \rightarrow \neg p)$
- Proof Refer BB
- Prove that  $(p \vee q) \wedge \neg p \wedge \neg q$  is unsatisfiable.
- Proof Refer BB

# Satisfiability of Set of Formulas

## Definition

A set of formulas  $U = \{A_1, \dots\}$  (simultaneously) satisfiable iff there exists an interpretation  $\mathcal{I}$  such that  $v_{\mathcal{I}}(A_i) = T$  for all  $i$ . The satisfying interpretation is a model of  $U$ .  $U$  is unsatisfiable iff for every interpretation  $\mathcal{I}$ , there exists an  $i$  such that  $v_{\mathcal{I}}(A_i) = F$ .

### ■ Example:

- The set  $U_1 = \{p, \neg p \vee q, q \wedge r\}$  is simultaneously satisfiable by the interpretation which assigns  $T$  to each atom, while the set  $U_2 = \{p, \neg p \vee q, \neg p\}$  is unsatisfiable. Each formula in  $U_2$  is satisfiable by itself, but the set is not simultaneously satisfiable.

# Logical Consequence

## Definition (Logical Consequence)

Let  $U$  be a set of formulas and  $A$  a formula.  $A$  is a logical consequence of  $U$ , denoted  $U \models A$ , iff every model of  $U$  is a model of  $A$

### ■ Example:

- Let  $A = (p \vee r) \wedge (\neg q \vee \neg r)$ . Then  $A$  is a logical consequence of  $\{p, \neg q\}$ , denoted  $\{p, \neg q\} \models A$ , since  $A$  is true in all interpretations  $\mathcal{I}$  such that  $\mathcal{I}(p) = T$  and  $\mathcal{I}(q) = F$ . However,  $A$  is not valid, since it is not true in the interpretation  $\mathcal{I}'$  where  $\mathcal{I}'(p) = F$ ,  $\mathcal{I}'(q) = T$ ,  $\mathcal{I}'(r) = T$

# Theorem

## Theorem

$U \models A$  if and only if  $\models \bigwedge_i A_i \rightarrow A$ .

■ Example:

- $\{p, \neg q\} \models (p \vee r) \wedge (\neg q \vee \neg r)$ , so by Theorem,  $\models (p \wedge \neg q) \rightarrow (p \vee r) \wedge (\neg q \vee \neg r)$

# Semantic Tableaux

- The method of semantic tableaux is an efficient decision procedure for satisfiability (and by duality validity) in propositional logic
- The principle behind semantic tableaux is very simple: search for a model (satisfying interpretation) by decomposing the formula into sets of atoms and negations of atoms
- It is easy to check if there is an interpretation for each set: a set of atoms and negations of atoms is satisfiable iff the set does not contain an atom  $p$  and its negation  $\neg p$
- The formula is satisfiable iff one of these sets is satisfiable

# Decomposing Formulas into Sets of Literals

## Definition (Literals)

A literal is an atom or the negation of an atom. An atom is a positive literal and the negation of an atom is a negative literal. For any atom  $p$ ,  $\{p, \neg p\}$  is a complementary pair of literals

- For any formula  $A$ ,  $\{A, \neg A\}$  is a complementary pair of formulas.  $A$  is the complement of  $\neg A$  and  $\neg A$  is the complement of  $A$

# Problems

- 1 Analyze satisfiability of the formula:  $A = p \wedge (\neg q \vee \neg p)$ .  
Solution Refer BB
- 2 Prove that  $B = (p \vee q) \wedge (\neg p \wedge \neg q)$  is unsatisfiable

## Theorem

*A set of literals is satisfiable if and only if it does not contain a complementary pair of literals - Proof: Refer BB*

# SAT Solvers

## Definition (SAT Solver)

A computer program that searches for a model for a propositional formula is called a SAT Solver

- Properties of Clausal Form

## Definition

Let  $S, S'$  be sets of clauses.  $S \approx S'$  denotes that  $S$  is satisfiable if and only if  $S'$  is satisfiable

- It is important to understand that  $S \approx S'$  ( $S$  is satisfiable if and only if  $S'$  is satisfiable) does not imply that  $S \equiv S'$  ( $S$  is logically equivalent to  $S'$ )



# Pure Literals and Renaming

## Definition (Pure Literals)

Let  $S$  be a set of clauses. A Pure literal in  $S$  is a literal  $I$  that appears in atleast one clause of  $S$ , but its complement  $I^c$  does not appear in any clause of  $S$

## Definition (Renaming)

Let  $S$  be a set of clauses and  $U$  a set of atomic propositions  $R_U(S)$  the renaming of  $S$  by  $U$  is obtained from  $S$  by replacing each literal  $I$  on an atomic proposition in  $U$  by  $I^c$

# Example

- If  $S = \{pqr, \bar{p}q, \bar{q}\bar{r}, r\}$ , find  $R_{p,q}(S)$

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- If  $S = \{pqr, \bar{p}q, \bar{q}\bar{r}, r\}$ , find  $R_{p,q}(S)$
- Solution:  $R_{p,q}(S) = \{\bar{p}\bar{q}, p\bar{q}, q\bar{r}, r\}$ ,

# Davis Putnam Algorithm

**Input** : A formula A in Clausal Form

**Output**: Report A is Satisfiable or Unsatisfiable

Perform the following rules repeatedly but the third rule is used only if the first two do not apply.

- 1 Unit Literal rule: If there is a unit clause (l), delete all clauses containing l and delete all occurrences of  $l^c$  from other clauses
- 2 Pure Literal rule: If there is a pure literal l, delete all clauses containing l
- 3 Eliminate a variable by resolution: Choose an atom p and perform all possible resolutions on clauses that clash on p and  $\bar{p}$ . Add these resolvents to the set of clauses and then delete all clauses containing p or  $\bar{p}$

**Algorithm 1:** Davis Putnam Algorithm

# Termination condition for the Algorithm

- 1 If empty clause  $\square$  is produced, report the formula is unsatisfiable
- 2 If no more rules are applicable, report that formula is satisfiable

# Example

- Consider the set of clauses  $\{p, \bar{p}q, \bar{q}r, \bar{r}st\}$ . Apply DP algorithm.

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- Step(iii) Like the previous step, remove  $r$  and its complement.  $\therefore$  the set becomes  $\{st\}$

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- Step(iii) Like the previous step, remove  $r$  and its complement.  $\therefore$  the set becomes  $\{st\}$
- No more rules are applicable for the set  $\{st\}$ , the set of clauses is satisfiable

# Hilbert System (H)

## ■ Gentzen System vs Hilbert System

Gentzen	Hilbert
One Axiom	Several Axioms
Many Inference Rules	Only one rule of inference

## ■ Notations used

- $A, B, C$  denote arbitrary formulas in propositional logic
- $\vdash A \rightarrow A$  means  $A \rightarrow A$  can be proved

# Hilbert System(H)

## Definition (Axioms of H & Rules of Inference)

- 1  $\vdash (A \rightarrow (B \rightarrow A))$
- 2  $\vdash (A \rightarrow (B \rightarrow C)) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C))$
- 3  $\vdash (\neg B \rightarrow \neg A) \rightarrow (A \rightarrow B)$
- 4 Rule of Inference: (Modus Ponens)(MP)  $\frac{\vdash A, \vdash A \rightarrow B}{\vdash B}$

## Theorem

$\vdash A \rightarrow A$

## Proof.

Refer BB



# Assignment Due Date: 27.10.15

- 1 Prove  $\vdash (A \rightarrow B) \rightarrow (\neg B \rightarrow \neg A)$
- 2 Prove  $\vdash (\neg A \rightarrow A) \rightarrow A$  in H

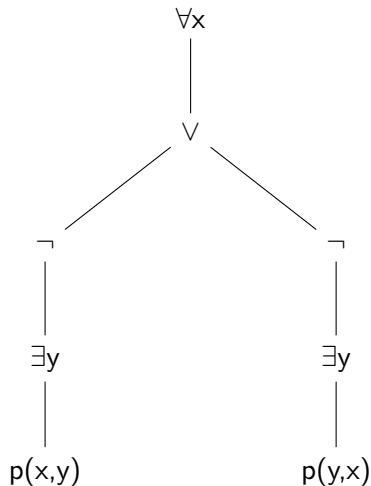
# First Order Logic: Deductive Systems

## Definition (First Order Logic)

An extension of propositional logic that includes predicates interpreted as relations on domains

- Notations used
  - $P, A, V$  denotes the countable sets of predicate symbols, constant symbols and variables
  - $p^n \in P \rightarrow n\text{-ary predicate}$
  - $n=1 \text{ or } 2 \rightarrow \text{unary or binary}$
  - $\forall \rightarrow \text{Universal Quantifier}$
  - $\exists \rightarrow \text{Existential Quantifier}$

# Example



# First Order Logic:Deductive System

- Gentzen System:
  - Deductive System
  - One Axiom
  - 4 rules of Inference
- Two Special rules:  $\gamma$  and  $\delta$

$\gamma$	$\gamma(a)$	$\delta$	$\delta(a)$
$\exists x A(x)$	$A(a)$	$\forall x A(x)$	$A(a)$
$\neg \forall x A(x)$	$\neg A(a)$	$\neg \exists x A(x)$	$\neg A(a)$

- $\frac{U \cup \{\gamma, \gamma(a)\}}{U \cup \{\gamma\}}$
- $\frac{U \cup \{\delta(a)\}}{U \cup \{\delta\}}$



# Hilbert System

## Definition (Axioms of H for the First Order Logic)

- 1 Apart from the three axioms of H for Propositional Logic we have:

1  $\vdash \forall x A(x) \rightarrow A(a)$

2  $\vdash \forall x (A \rightarrow B(x)) \rightarrow (A \rightarrow \forall x B(x))$

- Rules of Inference (Modus Ponens and Generalization)

■ 
$$\frac{\vdash A \rightarrow B, \vdash A}{\vdash B}$$

■ 
$$\frac{\vdash A(a)}{\vdash \forall x A(x)}$$

- C-Rule:

■ (i)  $U \vdash \exists x A(x)$  (Existential Quantifier)

■ (i+1)  $U \vdash A(a)$  (C rule)

- Deduction Rule:

■ 
$$\frac{U \cup \{A\} \vdash B}{U \vdash A \rightarrow B}$$

# Theorems

Theorem

$$\vdash A(a) \rightarrow \exists x A(x)$$

Proof.

Refer BB



Theorem

$$\vdash \forall x A(x) \rightarrow \exists x A(x)$$

Proof.

Refer BB



# Skolem's Algorithm

- An algorithm to transform a formula  $A$  into a formula  $A'$  in clausal form  $\forall x(p(x) \rightarrow q(x)) \rightarrow (\forall xp(x) \rightarrow \forall xq(x))$

# Skolem's Algorithm I

**Input** : A closed formula  $A$  of first-order logic

**Output**: A formula  $A'$  in clausal form such that  $A \approx A'$

- 1 Rename bound variables so that no variable appears in two quantifiers

$$\forall x(p(x) \rightarrow q(x)) \rightarrow (\forall y p(y) \rightarrow \forall z q(z))$$

- 2 Eliminate all binary Boolean operators other than  $\vee$  and  $\wedge$   
 $\neg \forall x(\neg p(x) \vee q(x)) \vee \neg \forall y p(y) \vee \forall z q(z)$

- 3 Push negation operators inward, collapsing double negation, until they apply to atomic formulas only. Use the equivalences:  
 $\neg \forall x A(x) \equiv \exists x \neg A(x), \neg \exists x A(x) \equiv \forall x \neg A(x)$

# Skolem's Algorithm Contd..

- 4 The given formula is transformed to:

$$\exists x(p(x) \wedge \neg q(x)) \vee \exists y \neg p(y) \vee \forall z q(z)$$

- 5 Extract quantifiers from the matrix. Choose an outermost quantifier, that is, a quantifier in the matrix that is not within the scope of another quantifier still in the matrix. Extract the quantifier using the following equivalences, where Q is a quantifier and op is either  $\vee$  or  $\wedge$ :

$$A \text{ op } Qx B(x) \equiv Qx(A \text{ op } B(x)), \quad Qx A(x) \text{ op } B \equiv Qx(A(x) \text{ op } B)$$

Repeat until all quantifiers appear in the prefix and the matrix is quantifier-free. The equivalences are applicable because since no variable appears in two quantifiers.

## Skolems Algorithm Contd..

- 6 In the example, no quantifier appears within the scope of another, so we can extract them in any order, for example,  $x, y, z$ :  $\exists x \exists y \forall z ((p(x) \wedge \neg q(x)) \vee \neg p(y) \vee q(z))$
- 7 Use the distributive laws to transform the matrix into CNF. The formula is now in PCNF  
$$\exists x \exists y \forall z ((p(x) \vee \neg p(y) \vee q(z)) \wedge (\neg q(x) \vee \neg p(y) \vee q(z)))$$
- 8 For every existential quantifier  $\exists x$  in  $A$ , let  $y_1, \dots, y_n$  be the universally quantified variables preceding  $\exists x$  and let  $f$  be a new  $n$ -ary function symbol. Delete  $\exists x$  and replace every occurrence of  $x$  by  $f(y_1, \dots, y_n)$ . If there are no universal quantifiers preceding  $\exists x$ , replace  $x$  by a new constant (0-ary function). These new function symbols are Skolem functions and the process of replacing existential quantifiers by functions is Skolemization

# Skolem Algorithm Contd..

- 9 For Example,  $\forall z((p(a) \vee \neg p(b) \vee q(z)) \wedge (\neg q(a) \vee \neg p(b) \vee q(z)))$ , where  $a$  and  $b$  are the Skolem functions (constants) corresponding to the existentially quantified variables  $x$  and  $y$ , respectively
- 10 The formula can be written in clausal form by dropping the (universal) quantifiers and writing the matrix as sets of clauses:  
 $\{ \{p(a), \neg p(b), q(z)\}, \{ \neg q(a), \neg p(b), q(z)\} \}$

## Algorithm 2: Skolems Algorithm

# Skolem's Algorithm Example

Step	Transformation
Original formula	$\exists x \forall y p(x,y) \rightarrow \forall y \exists x p(x,y)$
Rename bound variables	$\exists x \forall y p(x,y) \rightarrow \forall w \exists z p(z,w)$
Eliminate Boolean operators	$\neg \exists x \forall y p(x,y) \vee \forall w \exists z p(z,w)$
Push negation inwards	$\forall x \exists y \neg p(x,y) \vee \forall w \exists z p(z,w)$
Extract quantifiers	$\forall x \exists y \forall w \exists z (\neg p(x,y) \vee p(z,w))$
Distribute matrix	(no change)
Replace existential quantifiers	$\forall x \forall w (\neg p(x, f(x)) \vee p(g(x,w), w))$
Write in clausal form	$\{\{\neg p(x, f(x)), p(g(x,w), w)\}\}$



# Skolem's Theorem

## Theorem

*Let  $A$  be a closed formula. Then there exists a formula  $A'$  in clausal form such that  $A \approx A'$*

# Answer for the Assignment problem

- Prove that  $(\neg A \rightarrow A) \rightarrow A$  in H

- Solution:

- 1  $\neg A \rightarrow A$  (Left Hand Side)
- 2  $\neg A \rightarrow \neg\neg A$  (since  $A \leftrightarrow \neg\neg A$ )
- 3  $(\neg A \rightarrow \neg\neg A) \rightarrow \neg\neg A$  (Known result or Theorem)
- 4  $\neg\neg A$  (Modus ponens step (2) and (3))
- 5  $A$  (Since  $\neg\neg A \leftrightarrow A$ )(Right Hand Side)

Hence we start from L.H.S and arrived at R.H.S. That is  $L.H.S \rightarrow R.H.S$

# Answer for the Verification of Sequential Programs Assignment

```
{a>0 ∧ b>0}  
X=a Y=b;  
while X≠Y do  
  | if (X>Y) X=X-Y;  
  | else  
  | Y=Y-X;  
end  
{x=gcd(a,b)}
```

**Algorithm 3:** Euclid Algorithm

# Solution

- Let  $x > y$  and  $g = \gcd(x, y)$ .  $\therefore x = gm$  and  $y = gn$  for some  $m$  and  $n$ . Now  $x - y = g(m - n)$ . Therefore  $g$  is also a common division of  $x - y$  and  $y$ . Now let us assume that  $g_1 > g$  is the gcd of  $x - y$  and  $y$ .  $\therefore x - y = g_1 m_1$  and  $y = g_1 n_1$ . But  $x - y = g_1 m_1 \implies x = y + g_1 m_1 \implies x = g_1 n_1 + g_1 m_1 \implies x = g_1(m_1 + n_1)$ . Hence  $g_1 > g$  is also a common factor of  $x$  and  $y$  which is a contradiction to the assumption that  $g$  is the gcd of  $x$  and  $y$ . Hence the gcd is  $g_1$  which is the greatest common factor of  $x - y$  and  $y$ . This is also true by the definition of gcd that if  $x > y$   $\gcd(x, y) = \gcd(x - y, y)$ . Similarly we can prove the other results.