QEEE module

Graphs

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Introduction:

How graphs fits into the study of algorithms and data structures

What is the problem?

What is the problem?

- Prototype problems
- Variations

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Algorithmic techniques

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Algorithmic techniques

- Divide and conquer
- Greedy
- Dynamic Programming
- Network Flow

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Data structures

Abstract Data Types

Algorithmic techniques

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Abstract Data Types

Algorithmic techniques

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An abstract data type specifies a set of values, the set of operations permitted on those values, and the behaviour of these operations

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Data structures

- Arrays, Lists
- Queues, Stacks
- Heaps, Binary Trees

Algorithmic techniques

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<u>Analysis</u>

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Analysis

- Complexity
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- Correctness
- Complexity

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- Divide and conquer
- Greedy
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 - **Network Flow**

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- Correctness
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Graphs

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Graphs

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<u>Analysis</u>

- Parameters:
 - No of nodes n
 - No of edges m

Representation of Graphs

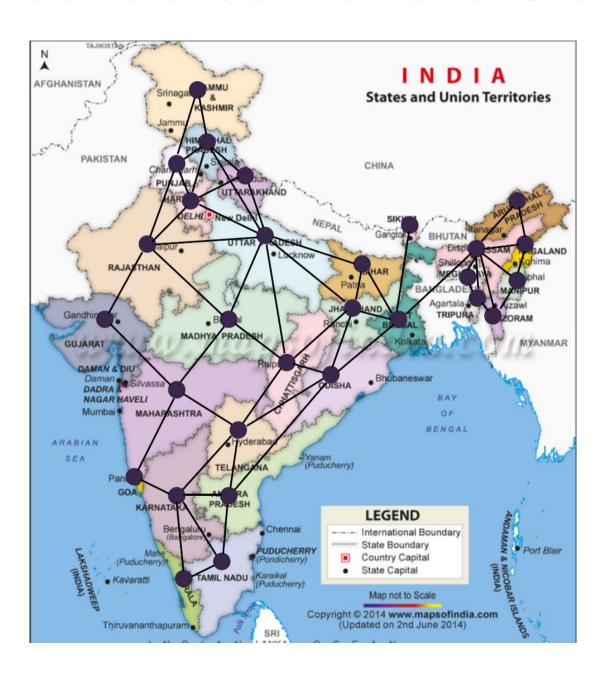
Graph problem 1: Colour a map



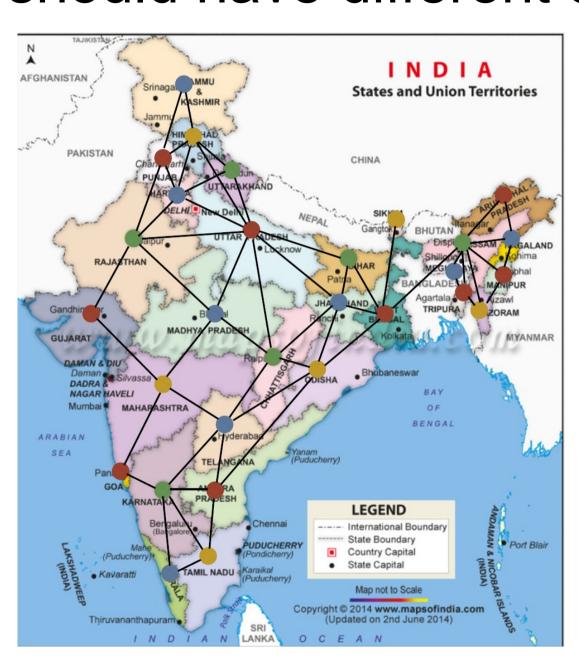
Mark each state



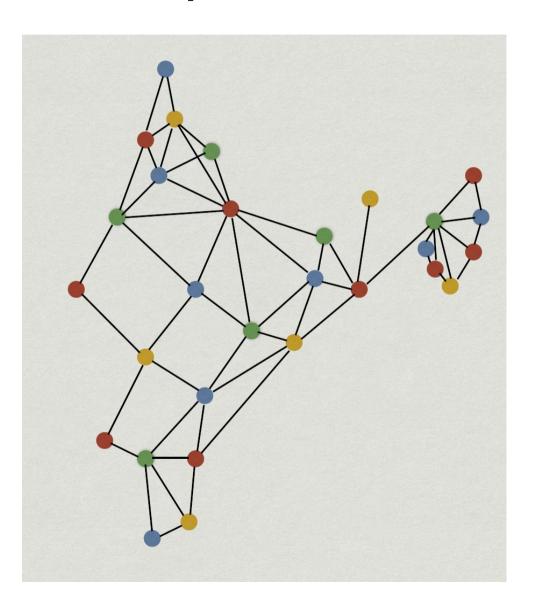
Connect states that share a border



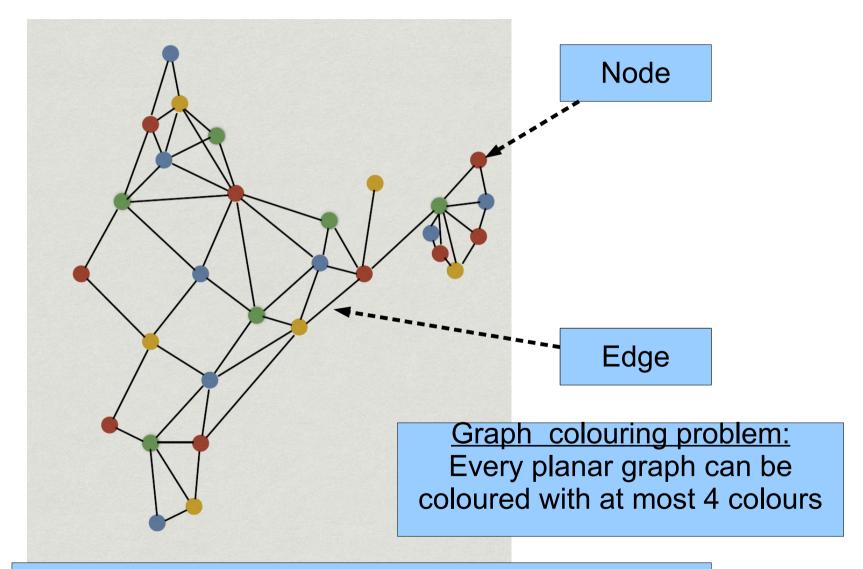
Colour the dots ... neighbouring dots should have different colour



The map itself is irrelevant

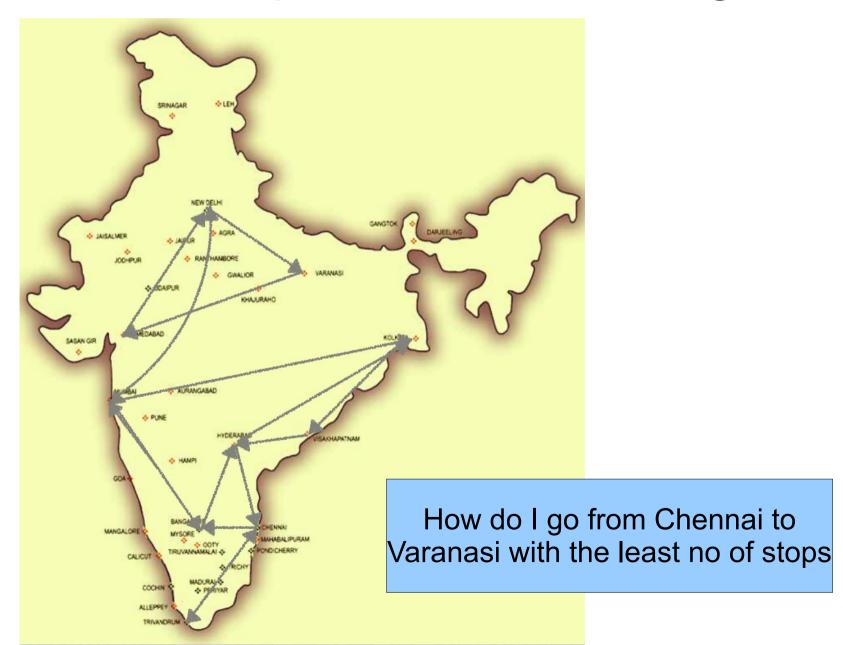


Graphs with nodes and edges

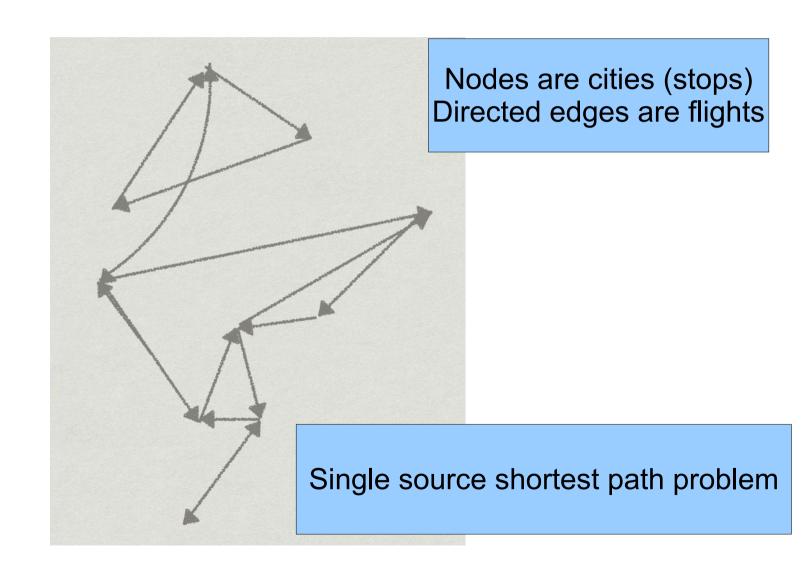


Finds applications in many fields: for example in compiler design for register allocation

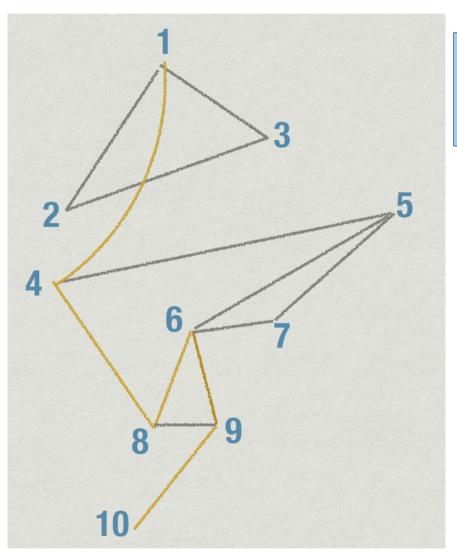
Another example: Airline routing



Directed graph



An undirected graph may be enough



When flights fly both ways between cities

Definition of graphs

- Graph G = (V,E)
- V is a set of Vertices (or nodes)
- E is a set of edges, E ⊆ V X V
- Each edge is a pair of vertices (v,v')
- If graph is undirected, then (v',v) and (v,v') are the same edge and both should be in E
- A path from u to v is a sequences of vertices $u=v_1,v_2,v_3,...v_n=v$ such that $(v_i,v_{i+1}) \in E$.
- A path is simple if the vertices in the path are distinct.

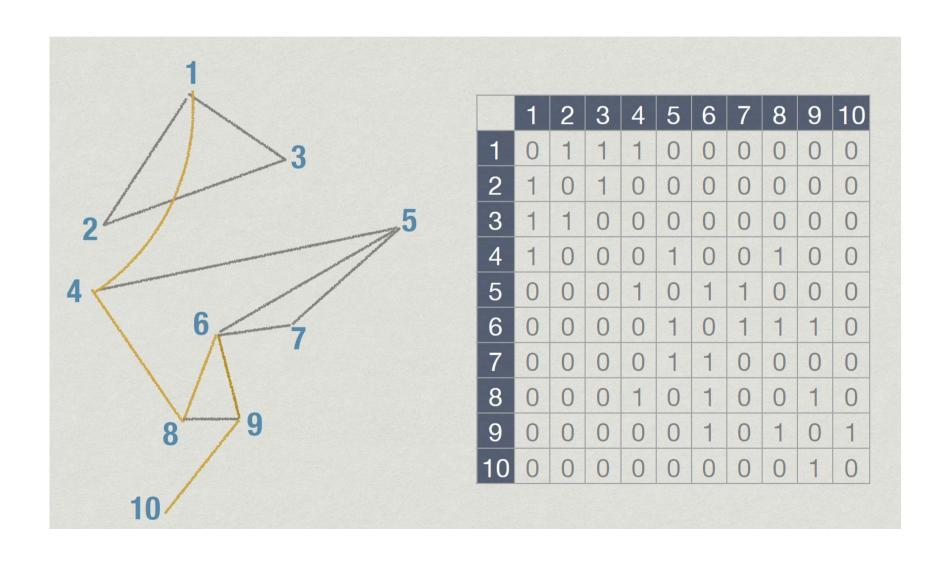
Graph algorithm complexity

- Graph G = (V,E)
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- Each edge is a pair of vertices (v,v')
- If graph is undirected, then (v',v) and (v,v') are the same edge and both should be in E
- Number of nodes = n, number of edges = m
- Complexity of graph data structures and algorithms evaluated with respect to n and m e.g. O(n+m), O(n²), O(nm), ...

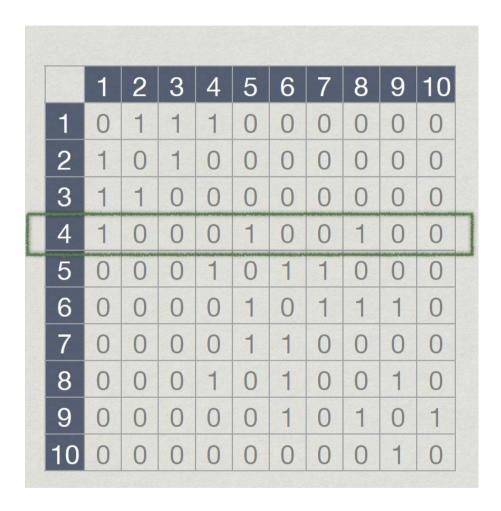
Representing graphs

- Assume vertices are named using numbers 1,2,...n.
- Each edge is a pair (i,j), 1≤ i,j ≤ n
- Easiest way to represent is using a matrix A:
 - A(i,j) = 1 if $(i,j) \in E$, A(i,j) = 0 otherwise
 - Called the adjacency matrix

Adjacency matrix example

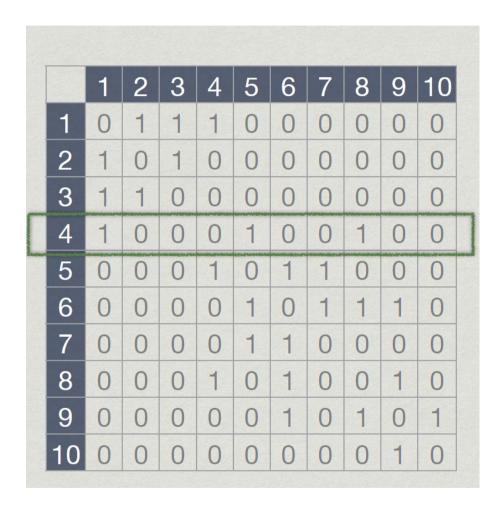


Using an adjacency matrix



Neighbour of a vertex (say 4) are obtained by scanning a row

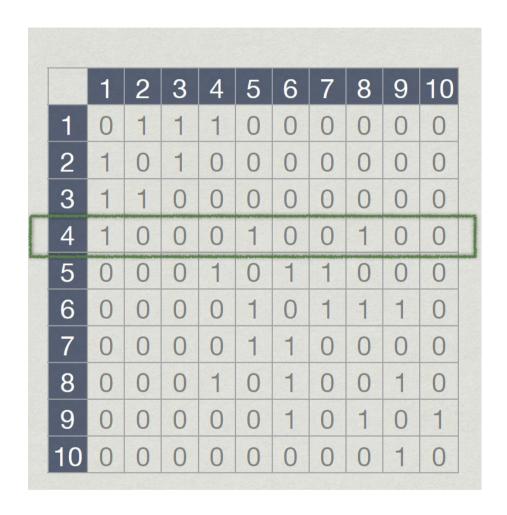
Using an adjacency matrix



Neighbour of a vertex (say 4) are obtained by scanning a row

Takes O(n) time to locate all the neighbours.

Using an adjacency matrix

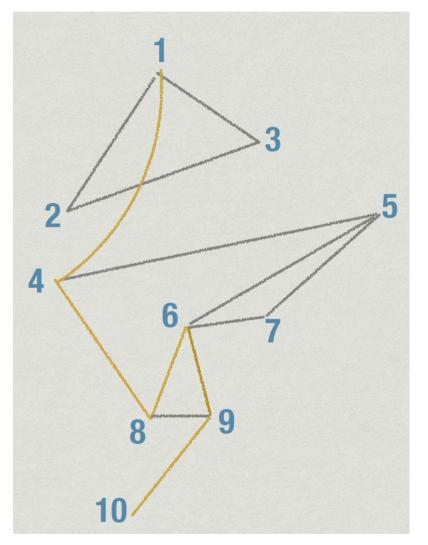


Neighbour of a vertex (say 4) are obtained by scanning a row

Takes O(n) time to locate all the neighbours.

But checking if (i,j) are neighbours is constant time

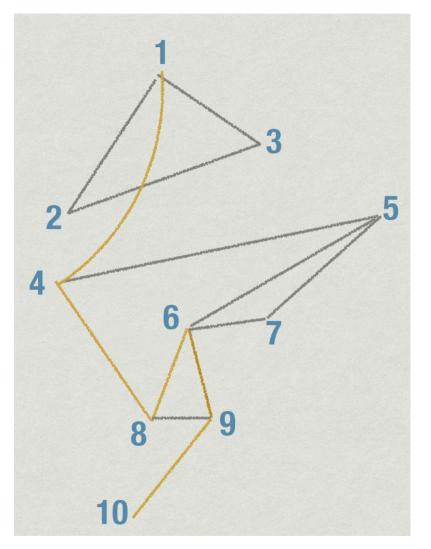
Adjacency list



1	2,3,4
2	1,3
3	1,2
4	1,5,8
5	4,6,7
6	5,7,8,9
7	5,6
8	4,6,9
9	6,8,10
10	9

For each vertex, we maintain list of neighbours

Adjacency list

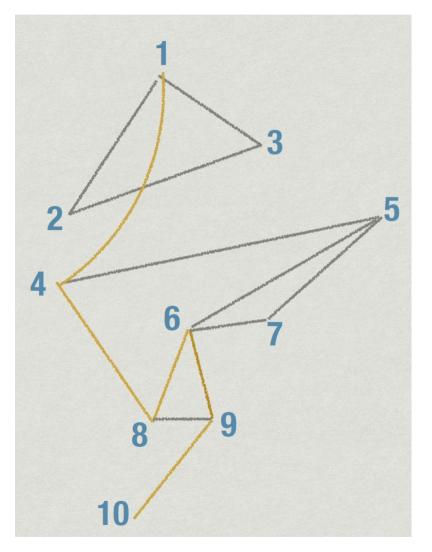


,		
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For each vertex, we maintain list of neighbours

Scanning through neighbours is easy

Adjacency list



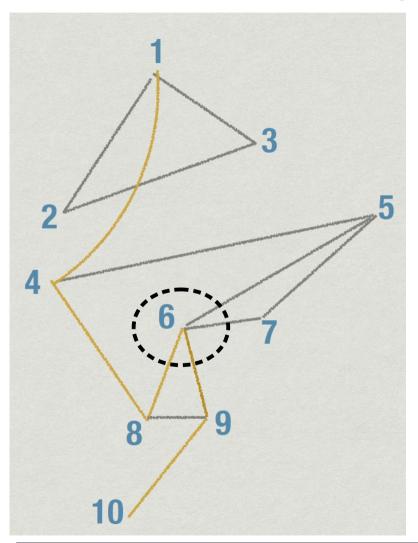
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For each vertex, we maintain list of neighbours

Scanning through neighbours is easy

Finding if (i.j) are neighbours is not constant time now!

Which data structure to use?

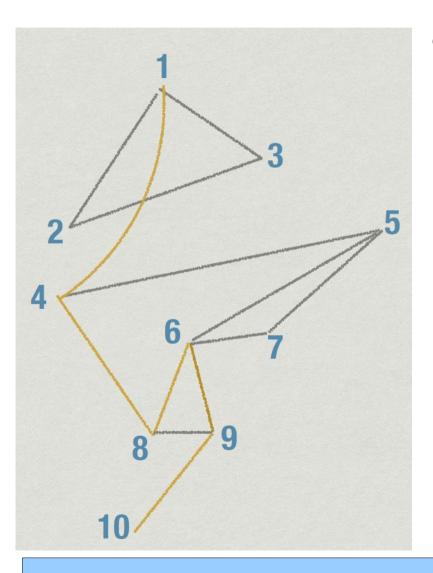


Max degree is 4 (from node 6).

Example 1:

- The degree of a vertex v in an undirected graph
 G = (V,E) is the number of edges incident on v.
- The maximum degree of a graph G is the maximum value of the degree of all the vertices in the graph.
- Which representation –
 adjacency matrix or list is
 better for finding the maximum
 degree of a graph?

Which data structure to use?



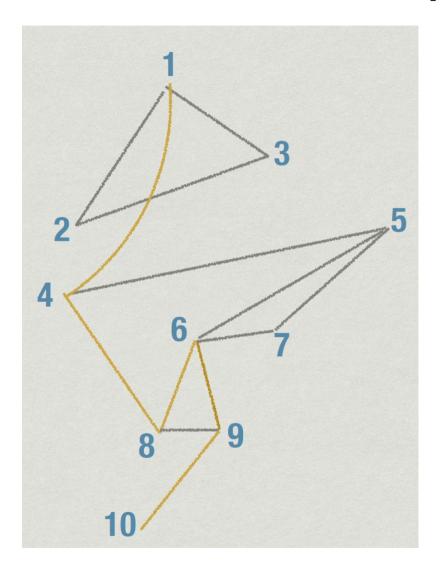
Example 2:

- A clique is a subset C of the vertices V of G such that for each pair of vertices u,v in C, (u,v) is an edge of the graph
- For instance a clique of size 3 will form a triangle in the graph.
- Which representation –
 adjacency matrix or list is better
 for finding out if a given set of
 vertices X is a clique or not?

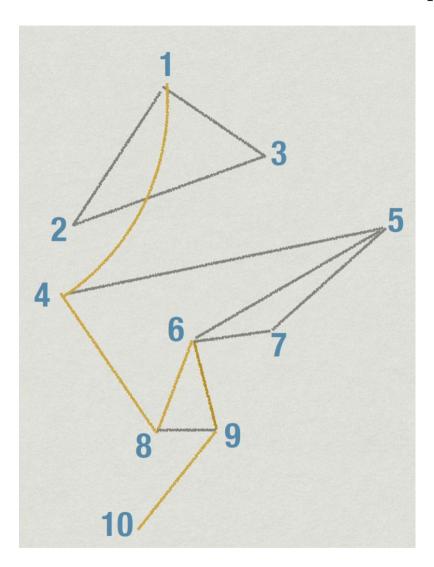
{5,6,7} form a clique, but {1,4,5} does not

Graph traversal:

Breadth First Search

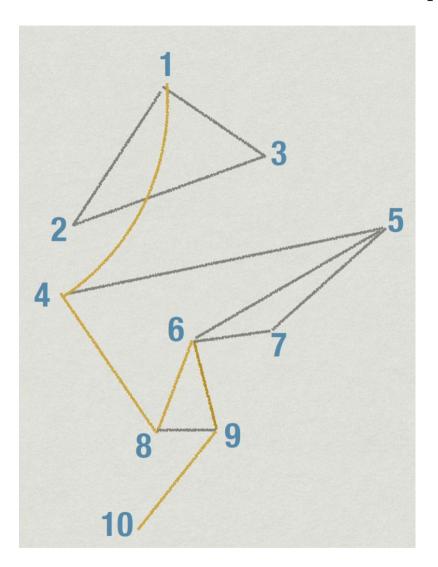


Lets say – we want to find if there is a way to go by air from Chennai (9) to Varanasi (3)



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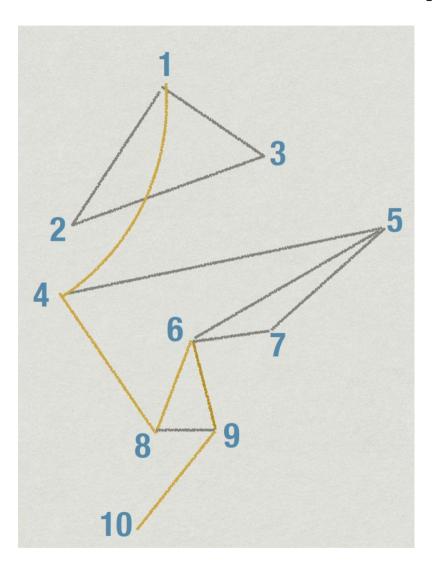
For us it is easy!
We can visualise the path



Lets say – we want to find if there is a way to go by air from Chennai (9) to Varanasi (3)

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We can visualise the path

The algorithm only has information only through adjacency matrix or list data structure, nothing more



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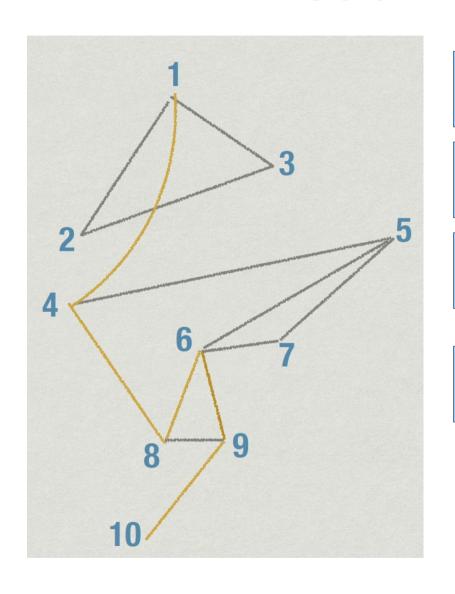
For us it is easy!
We can visualise the path

The algorithm only has information only through adjacency matrix or list data structure, nothing more

Need a systematic way of exploring the graph through only neighbour information

Exploring a graph using BFS

- In Breadth First Search (BFS), the graph is explored level by level starting from any vertex.
 - First visit the vertex
 - Then visit the vertices that are one step away
 - Then visit vertices two steps away



Start with Chennai (9).

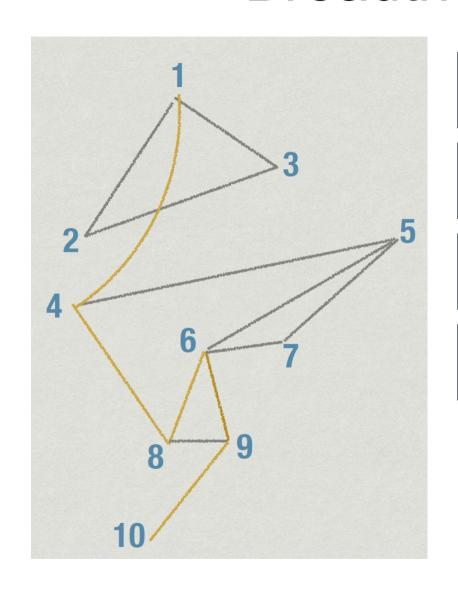
Level 1: 6,8,10

Level 2: 8,9,7,5,6,9,4

6,8,9 are being re-visited again ...

Exploring a graph using BFS

- In Breadth First Search (BFS), the graph is explored level by level starting from any vertex.
 - First visit the vertex
 - Then visit the vertices that are one step away
 - Then visit vertices two steps away
- Remember which vertices have been visited in an array called visited (visited[i] = true when i is visited)

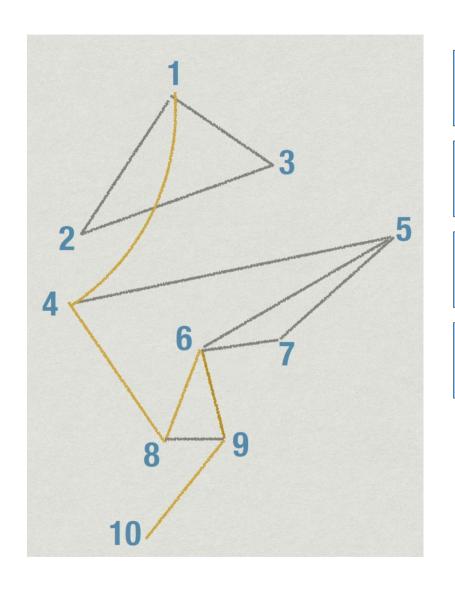


Start with Chennai (9).

Level 1: 6,8,10

Level 2: 7,5,4

Level 3: ?

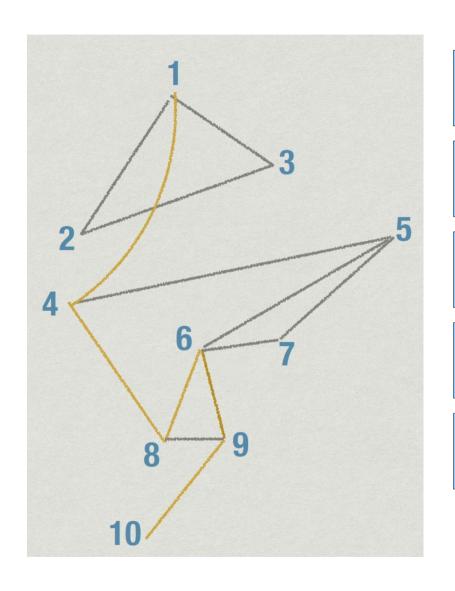


Start with Chennai (9).

Level 1: 6,8,10

Level 2: 7,5,4

Note that 6,8,10 neighbours are exhausted



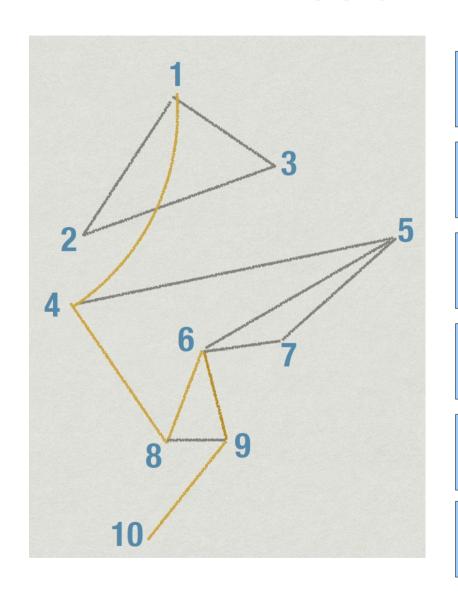
Start with Chennai (9).

Level 1: 6,8,10

Level 2: 7,5,4

Note that 6,8,10 neighbours are exhausted

Look for unvisited neighbours of 7,5,4



Start with Chennai (9).

Level 1: 6,8,10

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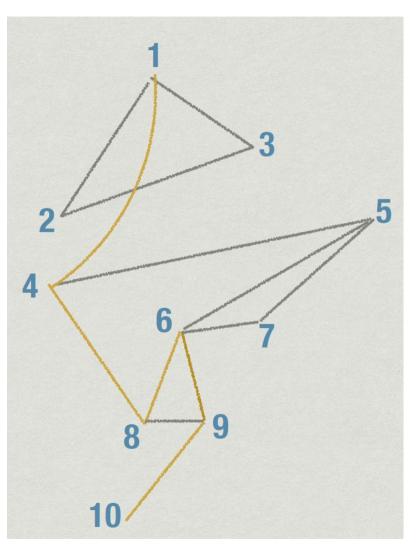
Note that 6,8,10 neighbours are exhausted

Look for unvisited neighbours of 7,5,4

Level 3: 1

Exploring a graph using BFS

- In Breadth First Search (BFS), the graph is explored level by level starting from any vertex.
 - First visit the vertex
 - Then visit the vertices that are one step away
 - Then visit vertices two steps away
- Remember which vertices have been visited in an array called visited (visited[i] = true when i is visited)
- We also have to keep track of visited vertices whose neighbours have still to be explored.
 Keep a queue Q for this.



Start with Chennai (9).

Level 1: 6,8,10

Level 2: 7,5,4

Level 3: 1

Level 4: 2,3 (Varanasi)

Queue Q: $\{\underline{\bf 9}\} \to \{\underline{\bf 6},8,10\} \to \{\underline{\bf 8},10,\,7,5\} \to \{\underline{\bf 10},7,5,4\} \to \{\underline{\bf 7},5,4\} \to \{\underline{\bf 5},4\} \to \{\underline{\bf 4}\} \to \{\underline{\bf 1}\} \to \{\underline{\bf 2},3\}$

```
function BFS(i) // BFS starting from vertex i

//Initialization
for j = 1..n {visited[j] = 0}; Q = []
```

Start with empty Q and by setting visited = false for all vertices

```
function BFS(i) // BFS starting from vertex i

//Initialization
for j = 1..n {visited[j] = 0}; Q = []

//Start the exploration at i
visited[i] = 1; append(Q,i)
```

Append the start node i to the queue and set it as visited

```
function BFS(i) // BFS starting from vertex i
   //Initialization
   for j = 1...n \{ visited[j] = 0 \}; Q = []
   //Start the exploration at i
   visited[i] = 1; append(Q,i)
   //Explore each vertex in Q
   while Q is not empty
                                  Extract head of Queue and
      j = extract_head(Q)
                                    explore its neighbours
      for each (j,k) in E
         if visited[k] == 0
            visited[k] = 1; append(Q, j)
```

```
function BFS(i) // BFS starting from vertex i
   //Initialization
   for j = 1...n \{visited[j] = 0\}; Q = []
   //Start the exploration at i
   visited[i] = 1; append(Q,i)
   //Explore each vertex in Q
   while Q is not empty
                              Stop when queue is empty
      j = extract_head(Q)
      for each (j,k) in E
         if visited[k] == 0
            visited[k] = 1; append(Q, j)
```

Complexity of BFS

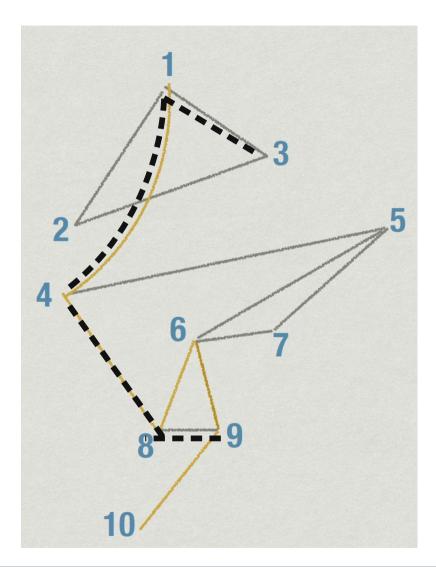
- Each vertex enters Q exactly once
- If the graph is connected, loop to process Q will iterate n times. At each loop step:
 - For each j extracted from Q, examine all its neighbours.
 - For adjacency matrix, this takes O(n) time. So overall, O(n²)

Complexity of BFS

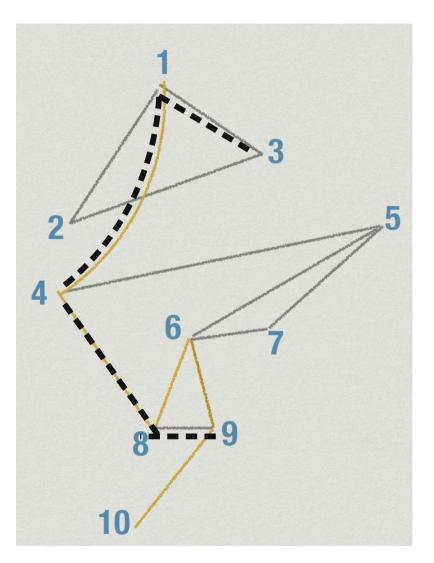
- Each vertex enters Q exactly once
- If the graph is connected, loop to process Q will iterate n times. At each loop step:
 - For each j extracted from Q, examine all its neighbours. For adjacency list, depends on number of neighbours. Difficult to count no of operations.
- Note: each edge (i,j) visited exactly twice once when visiting i and once when visiting j.
 - So examining all edges takes O(m) time!
 - However each node needs to be marked: O(n) time
 - So overall complexity for adjacency list is O(m+n)

Applications of Breadth First Search

How do we find the path?



How do we find the path?



When visiting a vertex, record how we came to this vertex

parent[8] = 9, parent[4]=8, parent[1]=4, parent[3]=1.

When we hit the desired destination, (in this example 3), we can trace the parent chain back to source (9)

$$3 \rightarrow 1 \rightarrow 4 \rightarrow 8 \rightarrow 9$$

Queue Q: $\{9\} \to \{6,8,10\} \to \{8,10,\,7,5\} \to \{10,7,5,4\} \to \{7,5,4\} \to \{5,4\} \to \{4\} \to \{1\} \to \{2,3\}$

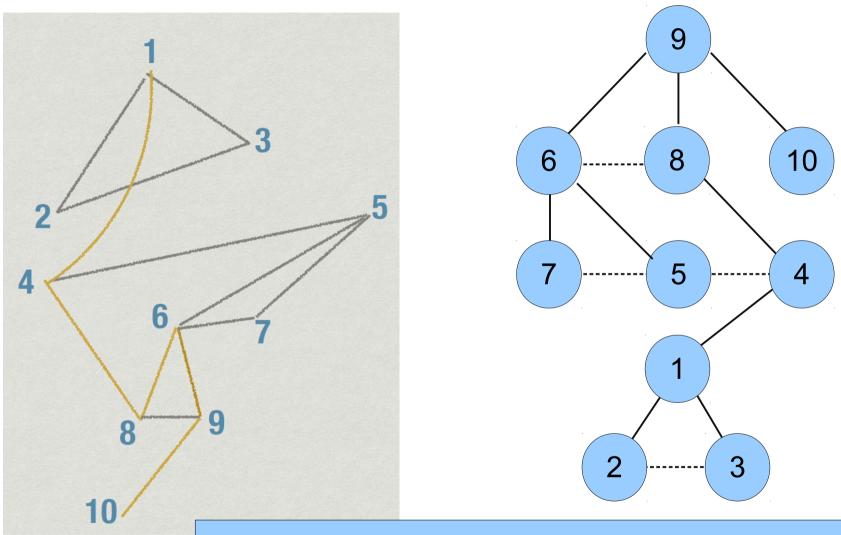
Tracing path to source

```
function BFS(i) // BFS starting from vertex i
   //Initialization
   for j = 1...n {visited[j] = 0; parent[j] = -1}
   0 = \Gamma
   //Start the exploration at i
   visited[i] = 1; append(Q,i)
   //Explore each vertex in Q
   while Q is not empty
      j = extract_head(Q)
      for each (j,k) in E
          if visited[k] == 0
             visited[k] = 1; parent[k] = j; append(Q, j);
```

How do we check if a graph is connected?

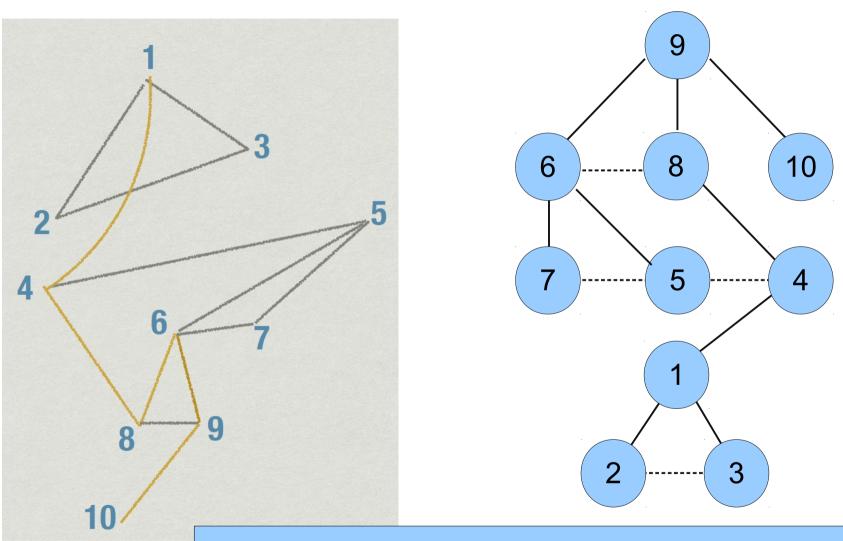
- A graph is said to be connected if there is a path between every pair of vertices
- Can we use BFS to check if a graph is connected?

The BFS traversal structure



Dashed line when a visited node's neighbour is also visited

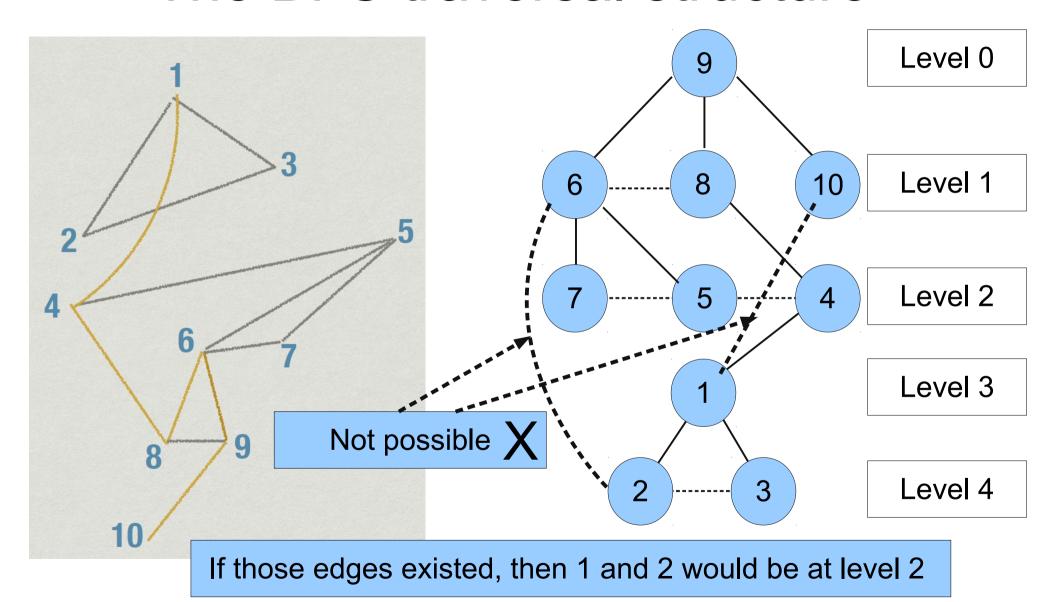
The BFS traversal structure



Dashed line when a visited node's neighbour is also visited

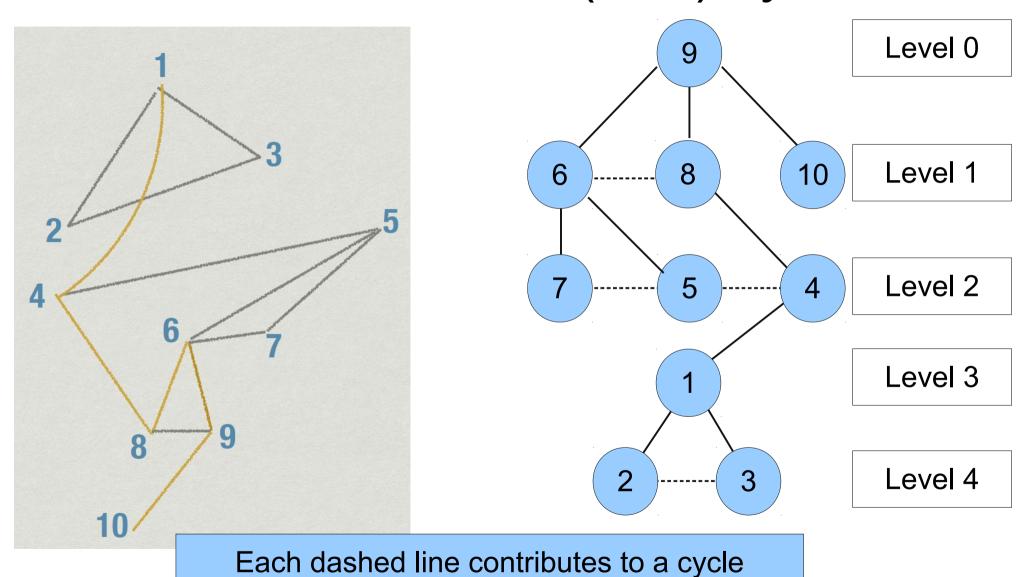
Note that a dashed line can be inside one level or to next level only

The BFS traversal structure

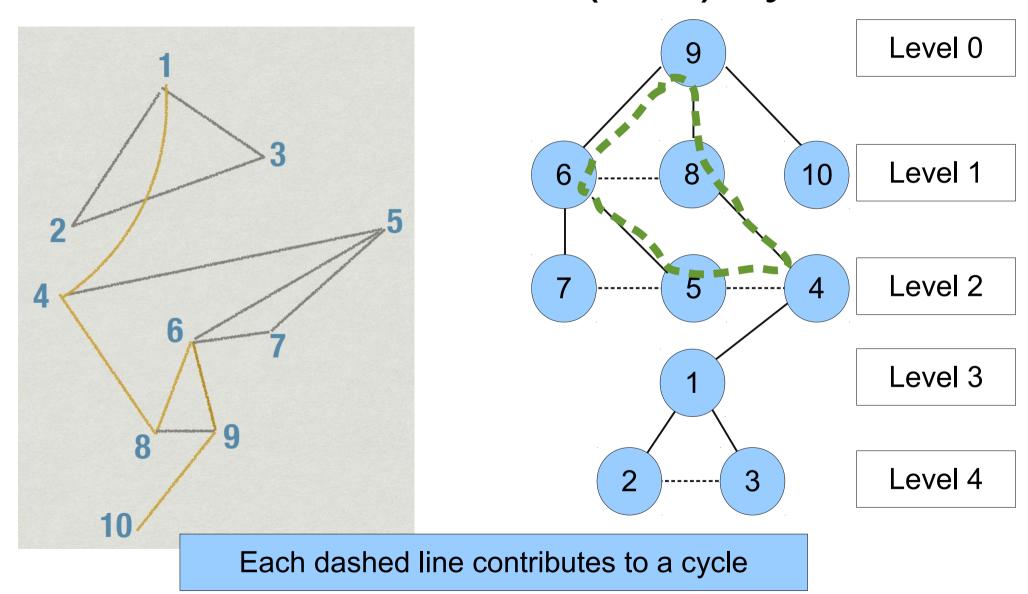


Note that a dashed line can be inside one level or to next level only

BFS can discover (odd) cycles

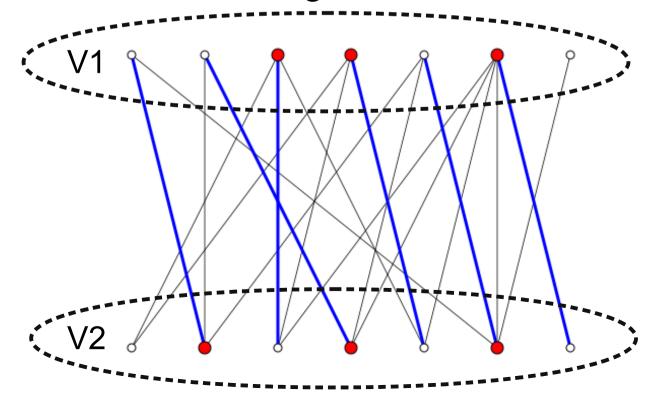


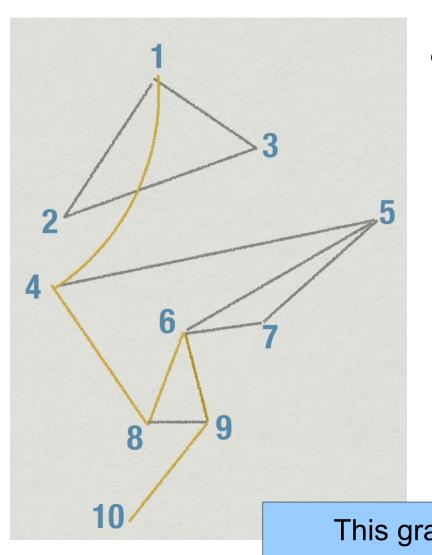
BFS can discover (odd) cycles



If dashed edge is within one level, then the graph has odd cycles

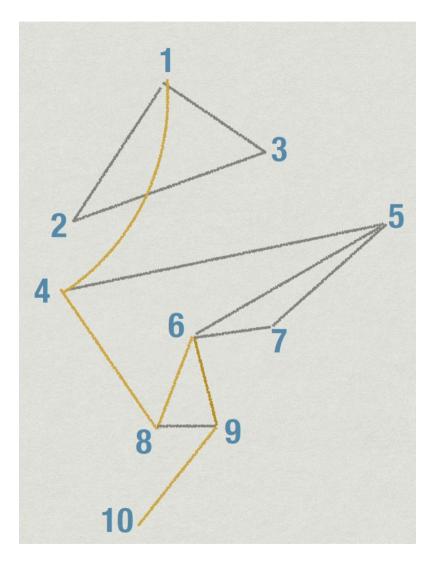
- A graph is called a bipartite graph if its vertices can be partitioned into two subsets V1 and V2, such that all its edges lie only between V1 and V2.
 - i.e. there are no edges within V1 and within V2

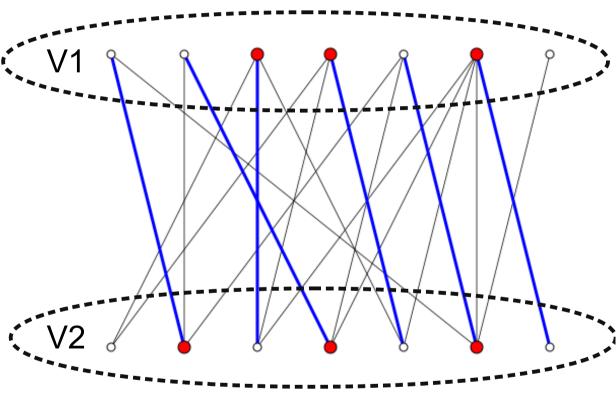




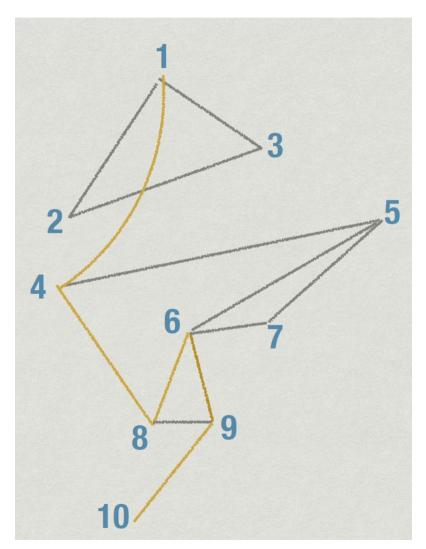
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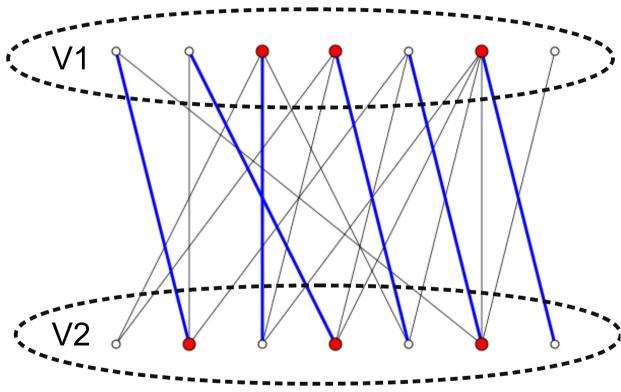
This graph is NOT bipartite





Notice something? A bipartite graph does not have any *odd cycles*

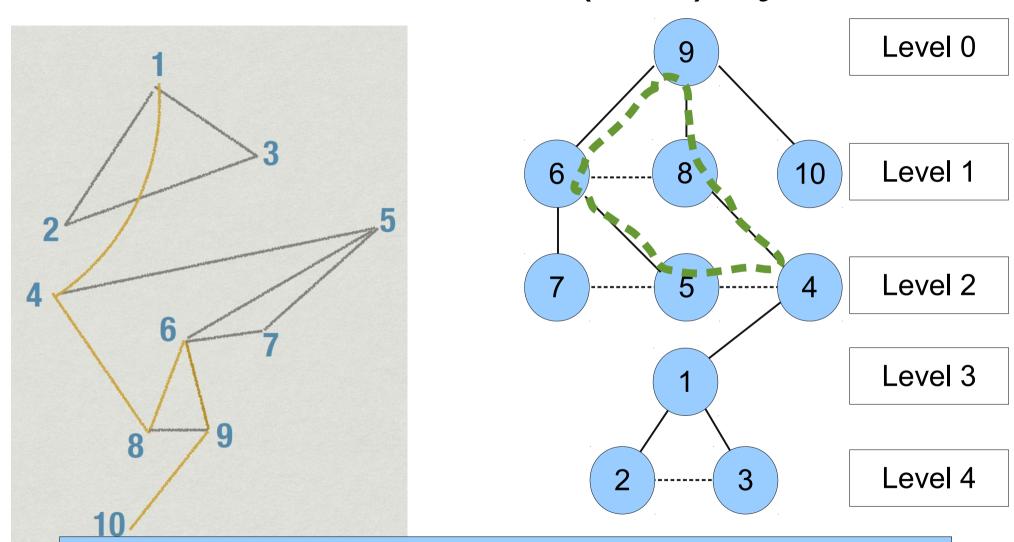




Notice something? A bipartite graph does not have any *odd cycles*

A graph is bipartite if and only if it has no odd cycles

BFS can discover (odd) cycles

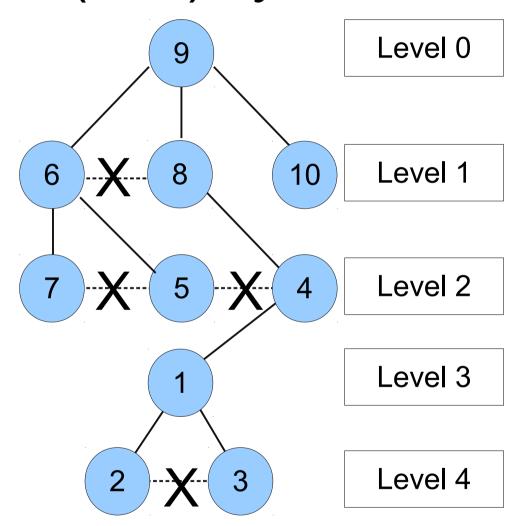


If dashed edge is within one level, then the graph has odd cycles

BFS can discover (odd) cycles

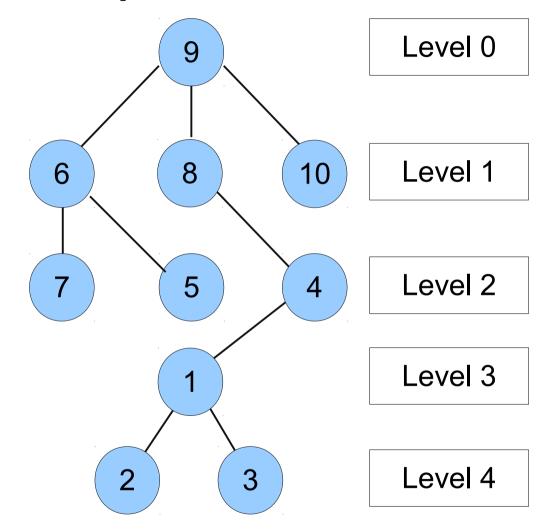
If dashed edge is within one level, then the graph has *odd cycles*

If BFS traversal of a graph does not find edges within the same level, then graph is bipartite



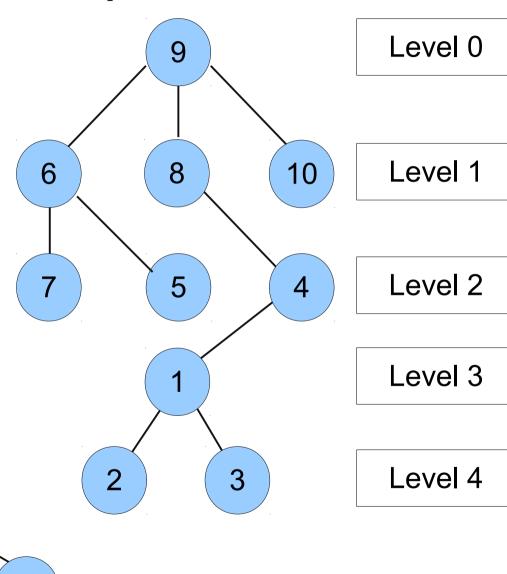
Constructing the partitions

All the vertices at odd levels form one partition V1 while those at the even levels form the other partition V2



Constructing the partitions

All the vertices at odd levels form one partition V1 while those at the even levels form the other partition V2



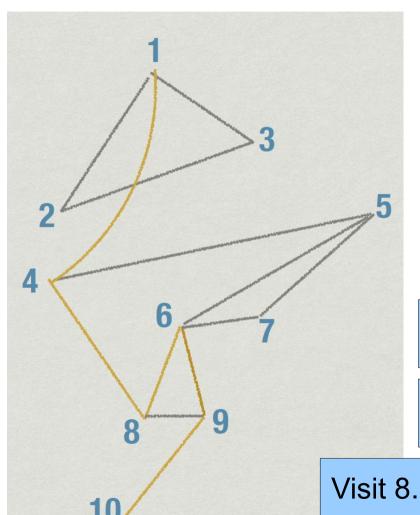
Graph traversal:

Depth First Search

Exploring a graph using DFS

- In Depth First Search (DFS), the graph is explored starting from any vertex by going down one direction till it can go no further. When it hits an end, it backtracks and tries another direction.
 - First visit the vertex
 - Then visit a neighbour of the vertex
 - Then visit a neighbour of that vertex
 - If there is no unvisited neighbour, then go back to parent vertex and try another neighbour from there

Depth First Search



Start with 9 as before. Mark it visited

Visit 6, pick its neighbour – say 7

Visit 7, pick its neighbour – only 5 is there

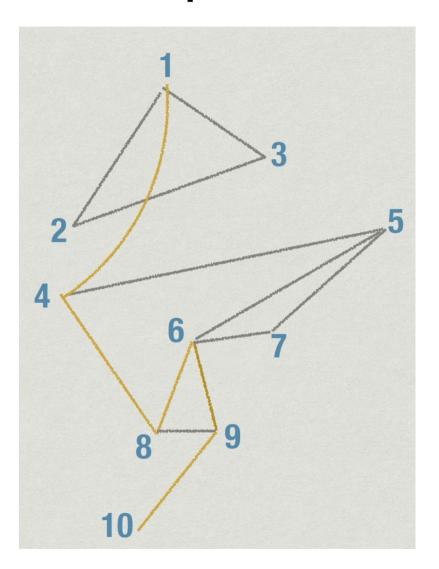
Visit 5. neighbours 6,7 are visited, so pick 4

Visit 4. pick a neighbour – say 8

Visit 8. All neighbours are visited ...go back where?

What data structure do we need to keep track of what to do when we go back?

Depth First Search and stacks

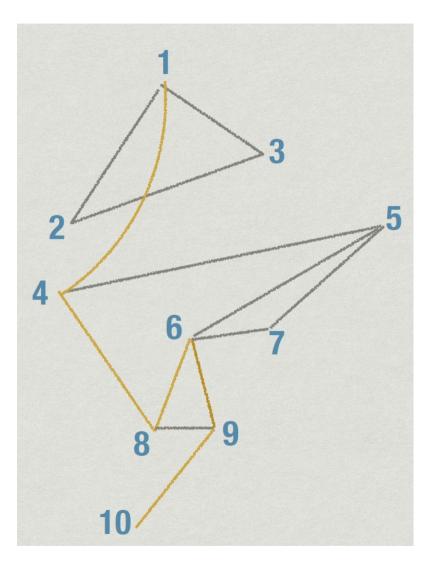


We need a stack!

Similar to returning from subroutines

Which is why DFS is best coded using recursion

Depth First Search and stacks



We need a stack!

Similar to returning from subroutines

Which is why DFS is best coded using recursion

How the stack develops in this example: $(\underline{9}) \rightarrow (\underline{6},8,10) \rightarrow (\underline{7},5,8,10) \rightarrow (\underline{5},5,8,10) \rightarrow (\underline{4},5,8,10) \rightarrow (\underline{8},1,5,8,10) \rightarrow (\underline{1},5,8,10) \rightarrow (\underline{2},3,5,8,10) \rightarrow (\underline{3},3,5,8,10) \rightarrow (3,5,8,10) \rightarrow (5,8,10) \rightarrow (8,10) \rightarrow (\underline{10})$

The marking of a vertex as visited is indicated by showing it in bold underlined

```
//Initialization
for j = 1..n {visited[j] = 0; parent[j] = -1}
```

Start by setting visited = false for all vertices and parent as unknown

```
//Initialization
  for j = 1..n {visited[j] = 0; parent[j] = -1}

function DFS(i) // DFS starting from vertex i

  //Mark i as visited
  visited[i] = 1
```

Call the recursive function DFS with vertex i, which first sets it as visited

```
//Initialization
  for j = 1...n \{ visited[j] = 0; parent[j] = -1 \}
function DFS(i) // DFS starting from vertex i
  //Mark i as visited
  visited[i] = 1
  //Explore each neighbour of i recursively
  for each (i,j) in E
                               Pick an unvisited neighbour of i
     and recursively call DFS for it
        parent[j] = i
        DFS(j)
```

```
//Initialization
   for j = 1...n \{ visited[j] = 0; parent[j] = -1 \}
function DFS(i) // DFS starting from vertex i
  //Mark i as visited
   visited[i] = 1
   //Explore each neighbour of i recursively
   for each (i,j) in E
     if visited[j] == 0
                                     Stop when DFS exits
         parent[j] = i
         DFS(j)
```

Complexity of DFS

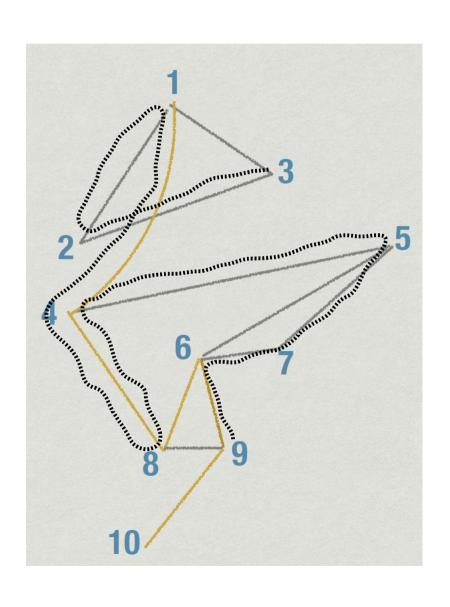
- Each vertex marked and explored exacty once
- DFS(j) will need to explore all neighbours of j
 - For adjacency matrix, this takes O(n) time.
 - So overall, O(n²)

Complexity of DFS

- For adjacency list
 - Like in BFS, we count it differently
- Each edge (i,j) visited exactly twice once when visiting i and once when visiting j.
 - So examining all edges takes O(m) time!
 - However each node needs to be marked: O(n) time
 - So overall complexity for adjacency list is O(m+n)

Applications of Depth First Search

How do we find the path?



When visiting a vertex, record how we came to this vertex

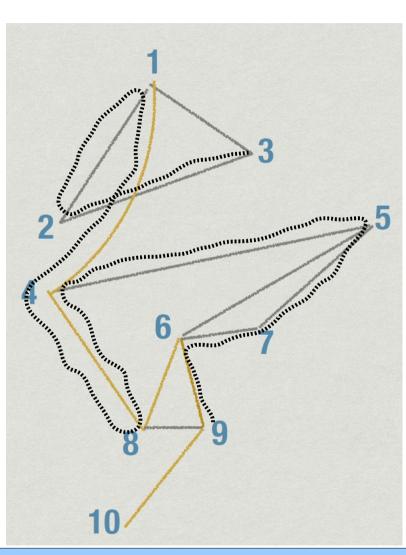
parent[6] = 9, parent[7]=6, parent[5]=7, parent[4]=5, parent[1] = 4, parent[2] = 1, parent[3] = 2

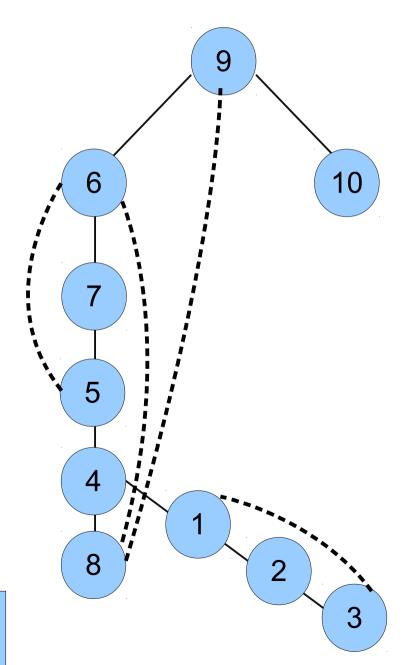
When we hit the desired destination, (in this example 3), we can trace the parent chain back to source (9)

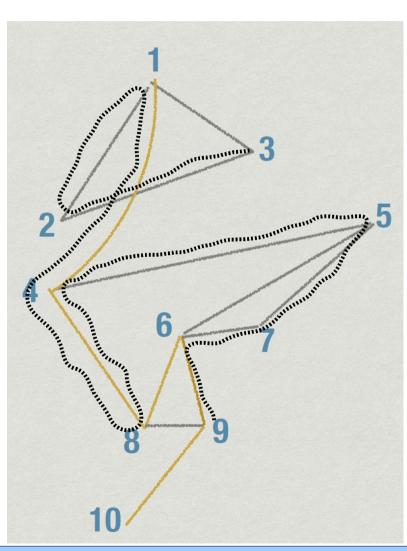
$$3 \rightarrow 2 \rightarrow 1 \rightarrow 4 \rightarrow 5 \rightarrow 7 \rightarrow 6 \rightarrow 9$$

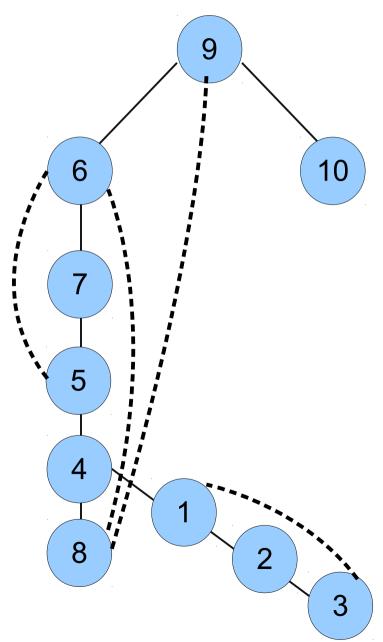
DFS finds a long winded path, then what use is it?

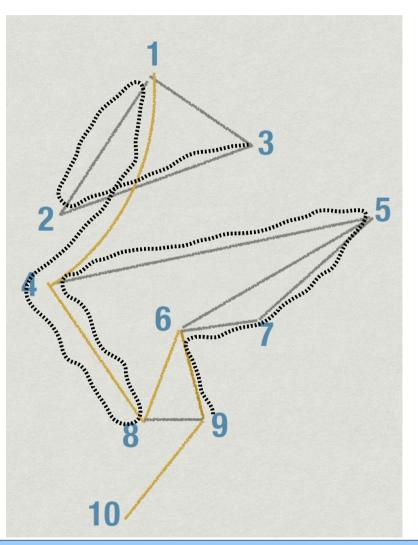
What is the DFS structure?

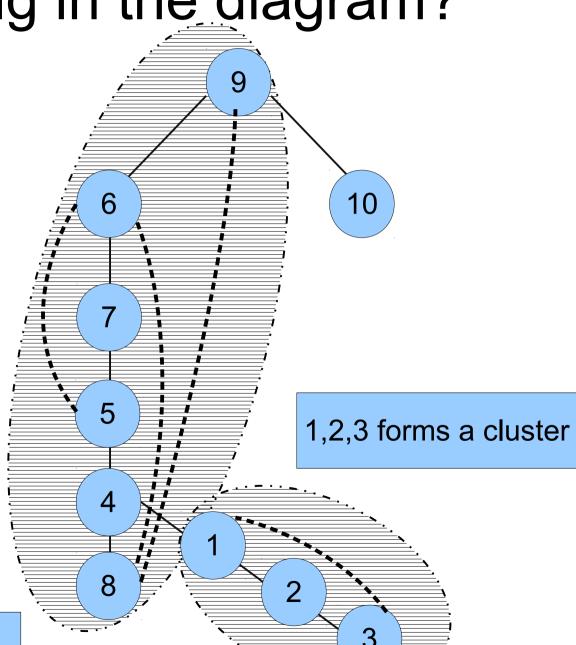


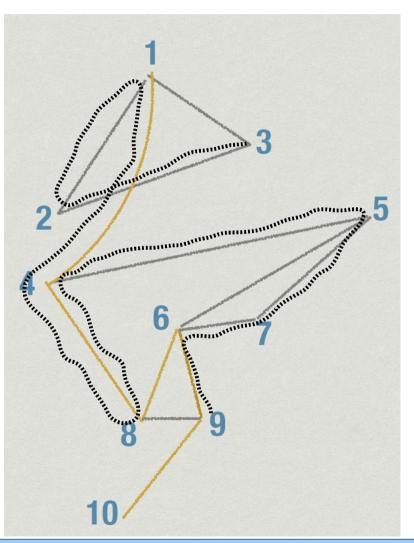


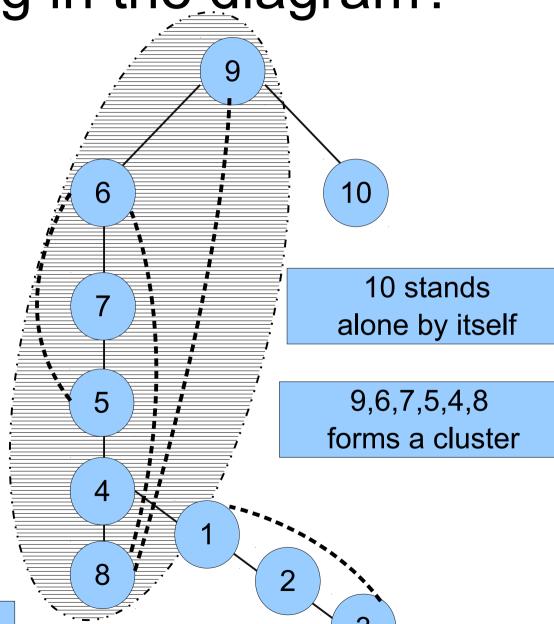






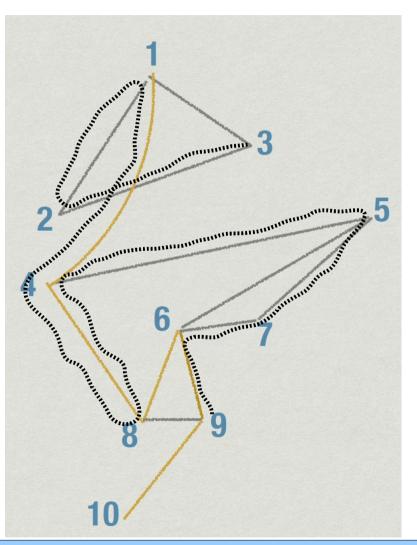




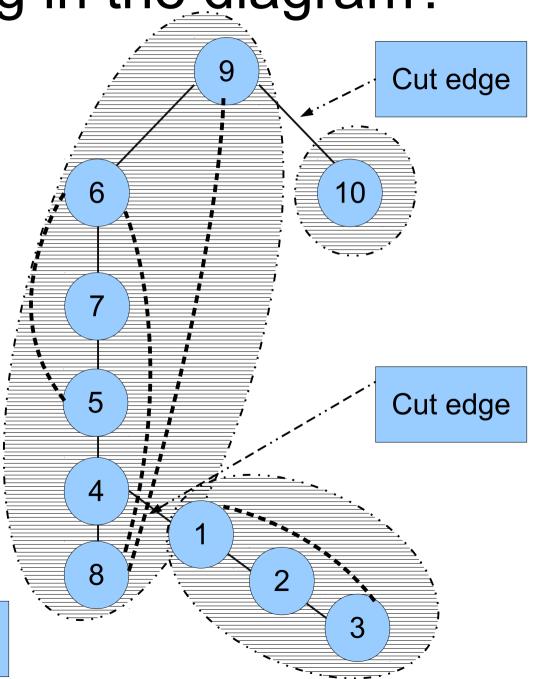


DFS can discover cut edges

 A cut edge is an edge which if removed from a graph, will make a connected graph disconnected. If the graph is already disconnected, the cut edge increases the number of connected components by 1.



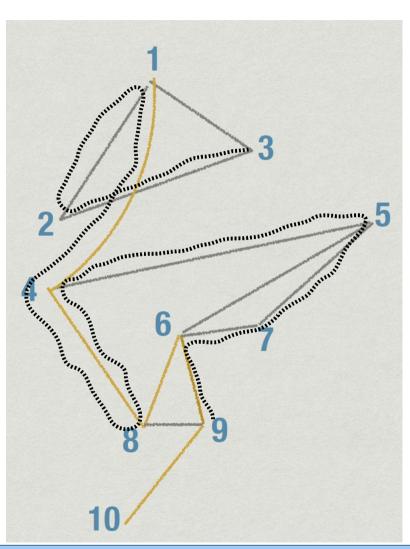
Note that the clusters dont have a cut edge



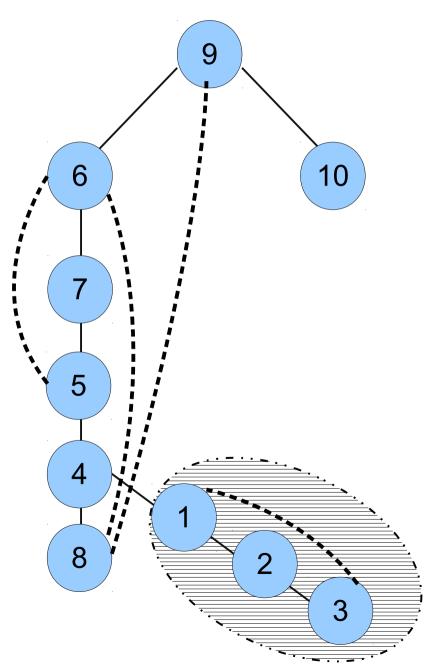
DFS can discover cut edges

- A cut edge is an edge which if removed from a graph, will make a connected graph disconnected. If the graph is already disconnected, the cut edge increases the number of connected components by 1.
- To find the cut edges, we would need to modify DFS so that we can find the clusters which dont have a cut edge within them (i.e. cut edges are between the clusters)

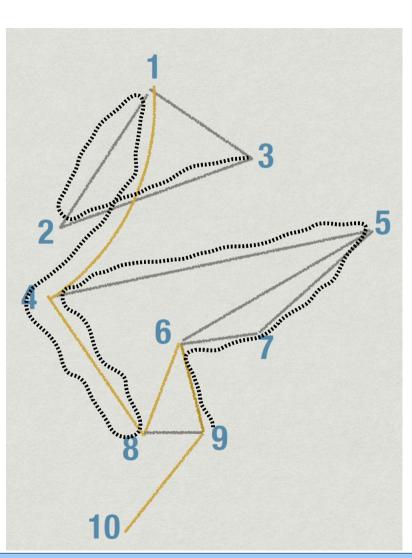
How do we find the cluster 1,2,3?



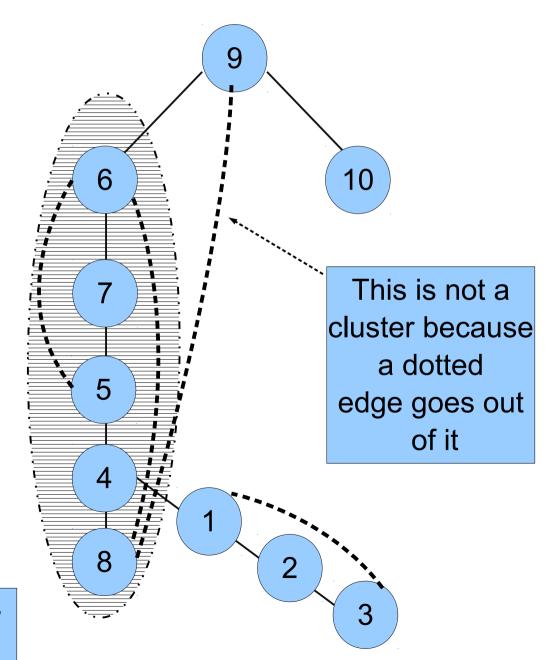
No node from inside the cluster has a dotted edge to any node outside



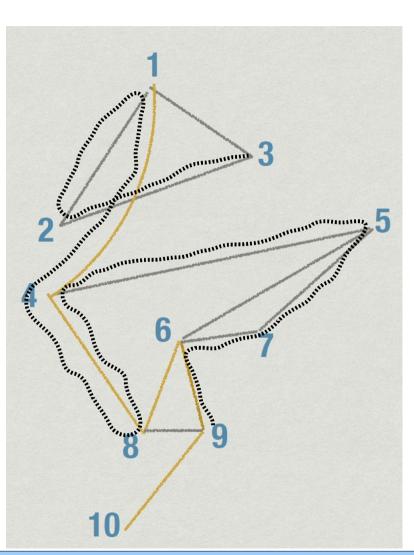
How do we find a cluster?



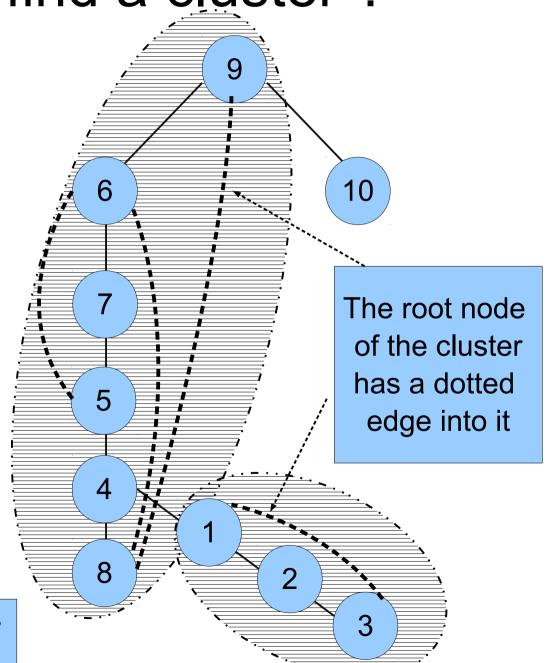
No node from inside the cluster has a dotted edge to any node outside



How do we find a cluster?



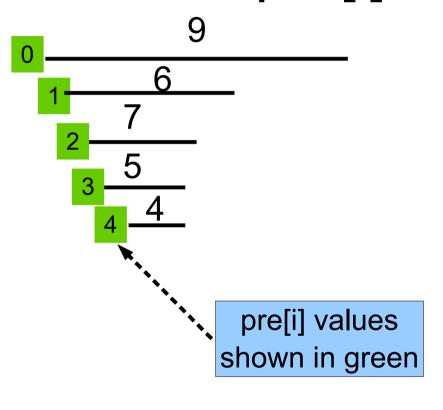
No node from inside the cluster has a dotted edge to any node outside

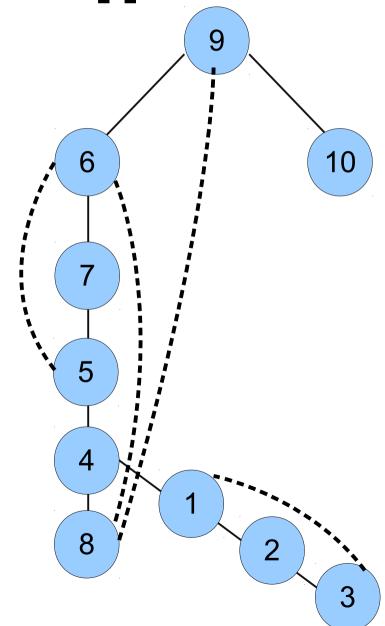


Counting the nodes before and after call to DFS(i)

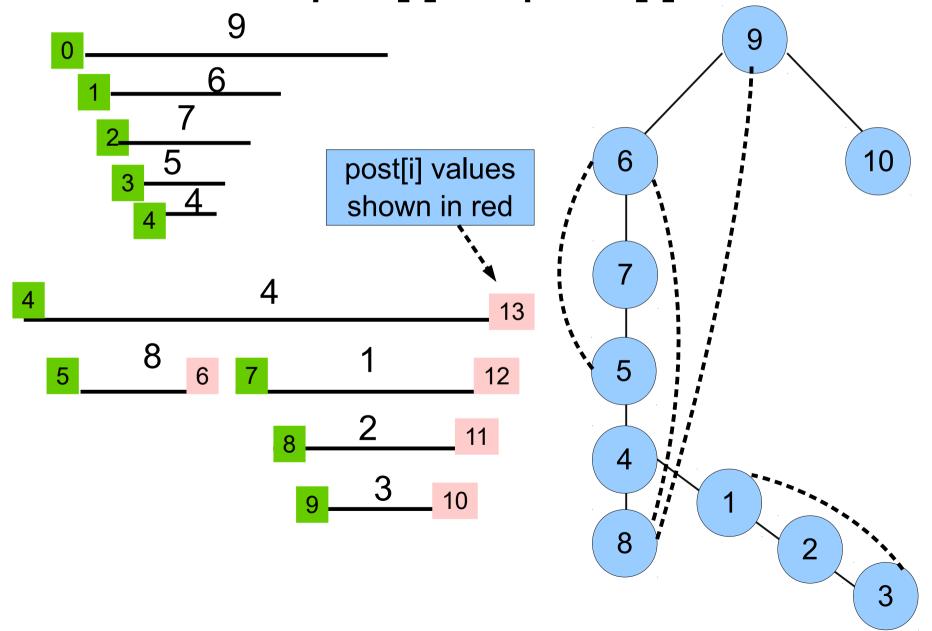
```
//Initialization
   for j = 1..n \{visited[j] = 0; parent[j] = -1\}
   count = 0
                                                    Keep a count
                                                    of node in/outs
function DFS(i) // DFS starting from vertex i
   //Mark i as visited
   visited[i] = 1; pre[i] = count; count+
                                                   pre[i] records
   //Explore each neighbours of i recursively
   for each (i,j) in E
                                                count value on entry
      if visited[j] == 0
                                                  post[i] records
          parent[j] = i
                                                count value on exit
          DFS(j)
          post[i] = count; count++
```

There is a nice parenthesis structure to the pre[i] to post[i] interval

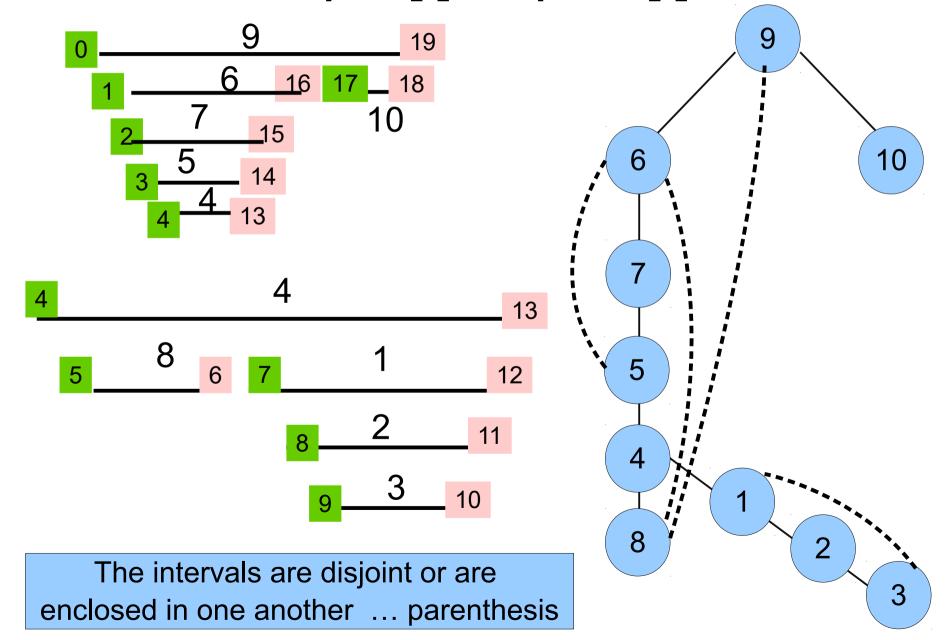




There is a nice parenthesis structure to the pre[i] to post[i] interval

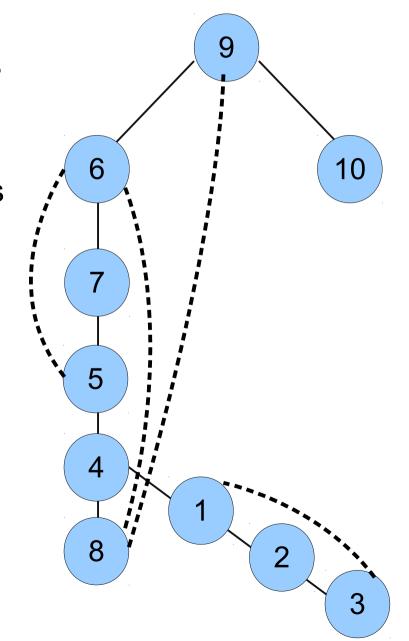


There is a nice parenthesis structure to the pre[i] to post[i] interval



Algorithm to find the clusters

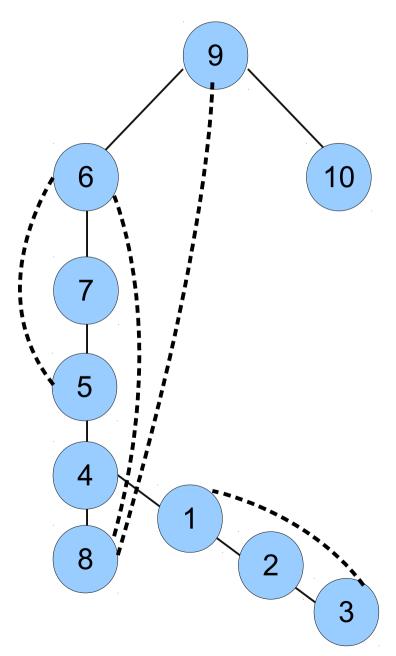
- Keep a counter called low[i] that records the lowest pre[i] value encountered by any descendant of i while traversing
- low[i] will be 0 for i=8 since it neighbours
 9 (which has pre of 0)
- So low[i] will be 0 also for i=9,6,7,5,4 which all have 8 as a descendant



Exercise: Modify the pseudo-code for DFS to add low values and to print the clusters

Algorithm to find the clusters

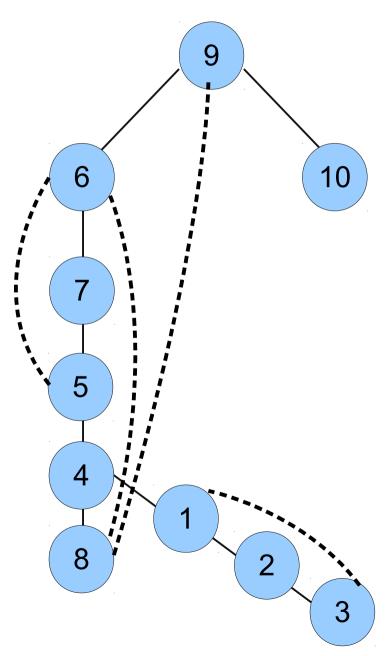
- Keep a counter called low[i] that records the lowest pre[i] value encountered by any descendant of i while traversing
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- So low[i] will be 0 also for i=9,6,7,5,4 which all have 8 as a descendant
- low[i] will be 7 for i=3 since pre of 1 is 7
- So low[i] will be 7 also for i=1,2



Algorithm to find the clusters

- Keep a counter called low[i] that records the lowest pre[i] value encountered by any descendant of i while traversing
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- low[i] will be 7 for i=3 since pre of 1 is 7
- So low[i] will be 7 also for i=1,2
- All vertices i with the same low[i] value belong to one cluster

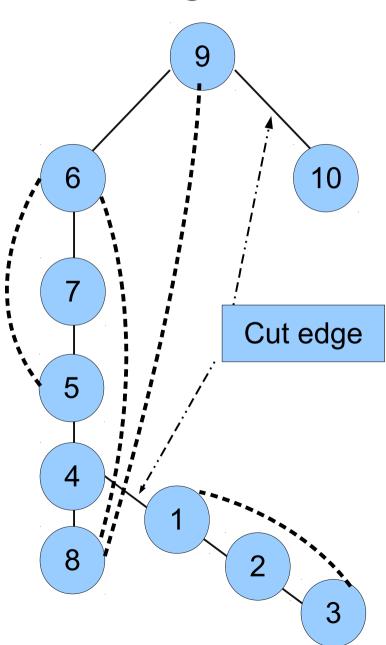
Exercise: Modify the pseudo-code for DFS to add low values and to print the clusters



Algorithm to find the cut-edges

- low[i] will be 0 for i=8 since it neighbours
 9 (which has pre of 0)
- So low[i] will be 0 also for i=9,6,7,5,4 which all have 8 as a descendant
- low[i] will be 7 for i=3 since pre of 1 is 7
- So low[i] will be 7 also for i=1,2
- If i is a vertex with low[i] = pre[i], then the edge (parent[i],i) is a cut-edge
- Edge (4,1) and (9.10) are cut-edges

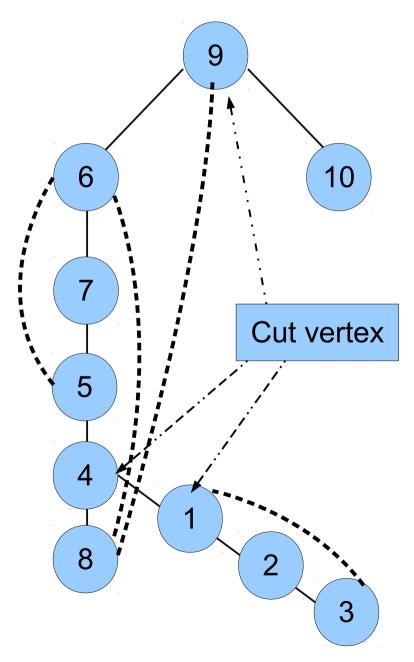
Exercise: Modify the pseudo-code for DFS to add low values and to print the clusters



Cut vertex (or articulation point)

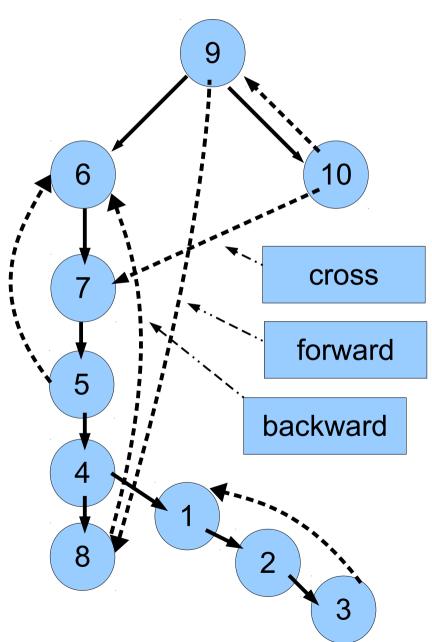
- A cut-vertex of a graph is a vertex such that if it is removed from the graph, it will disconnect the graph (or if the graph is already connected, then it increases the number of connected components by 1)
- Cut-edge will have at least one cut-vertex

In class assignment for next class: Find all the cut vertices of a graph using DFS

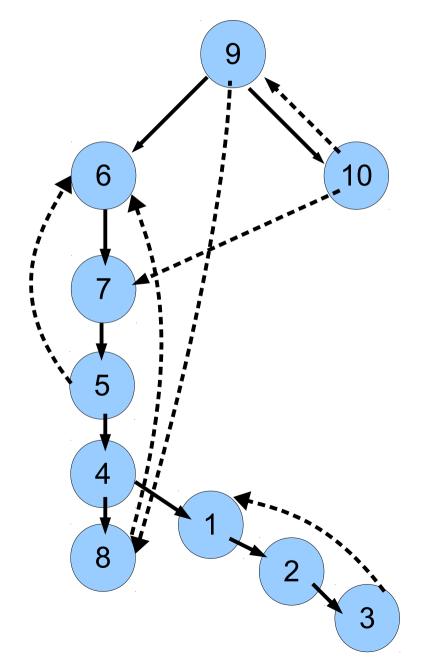


Directed graphs: Applications of DFS

- Suppose the given graph was directed as shown, and we did a DFS on it using the same traversal method to get the structure as shown
- The edges that are formed when the node is first visited is shown bold as in the undirected case (these are called the DFS tree edges, sinced they form a tree). Edges other than these are dotted edges.
- The dotted edges can now be of three types – backward, forward or cross.
 Forward goes from ancestor to descendant. Backward from descendant to ancestor. Cross is all the other dotted edges.



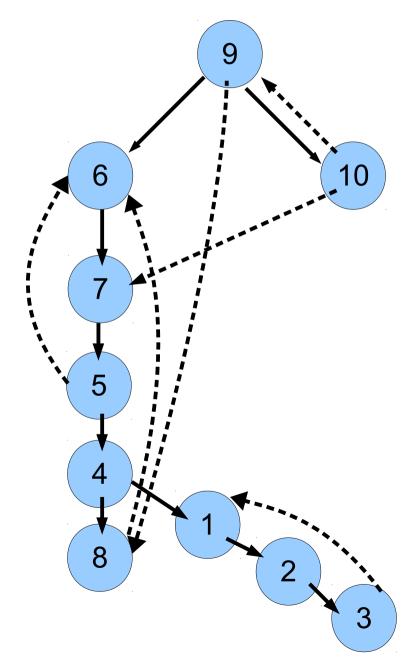
 We had used the word "cluster" loosely without definition – we can now define it formally



Strongly connected component

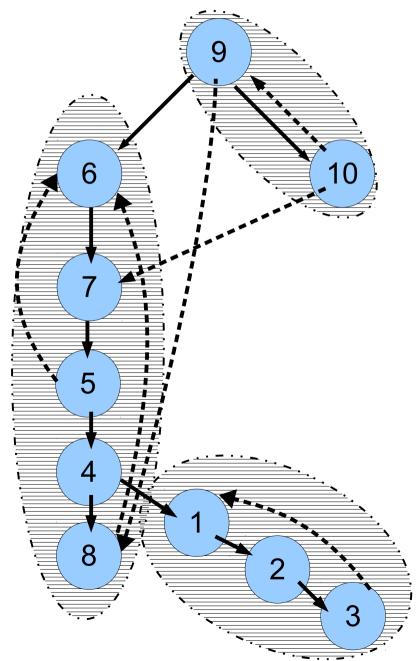
A strongly connected component (SCC) of a graph G = (V,E) is a maximal set of vertices
 U ⊆ V such that for any pair of vertices u,v ∈ U,
 there is a path from u to v and a path from v to u in G.

Can you spot the SCCs in this directed graph?



Can you spot the SCCs in this directed graph?

In class assignment for next class: Find all the SCCs in a directed graph using DFS



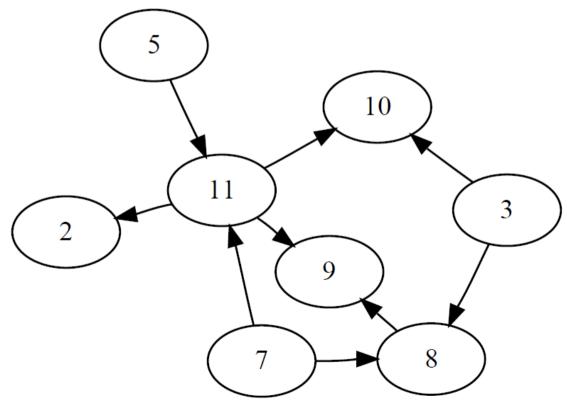
Acyclic directed graphs

- A cycle is a sequence of vertices v₁,v₂,v₃,...v_n such that (v₁,v₁₊₁) ∈ E, v₁ = v_n and at least one of the v₁ is distinct from v₁. It is a simple cycle if all the v₁ are distinct from v₁
- A graph is called acyclic if it does not contain any (directed) cycles.
- A topological sort of an acyclic directed graph G
 is a linear ordering of its vertices such that if
 (u,v) ∈ E, then u appears before v in the
 ordering.

Topological sort of an acyclic graph

A topological sort of an acyclic directed graph G
is a linear ordering of its vertices such that if
(u,v) ∈ E, then u appears before v in the
ordering.

Can you find a topological sort of this graph?

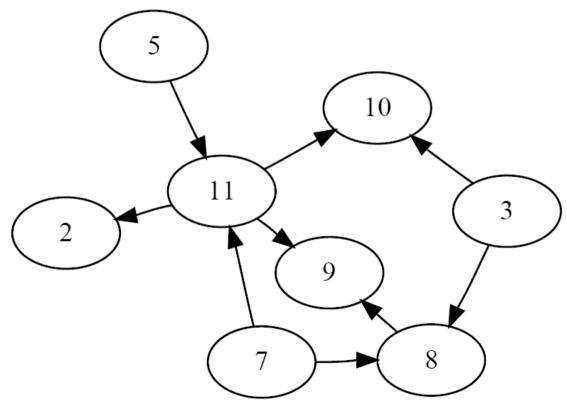


Topological sort of an acyclic graph

A topological sort of an acyclic directed graph G
is a linear ordering of its vertices such that if
(u,v) ∈ E, then u appears before v in the
ordering.

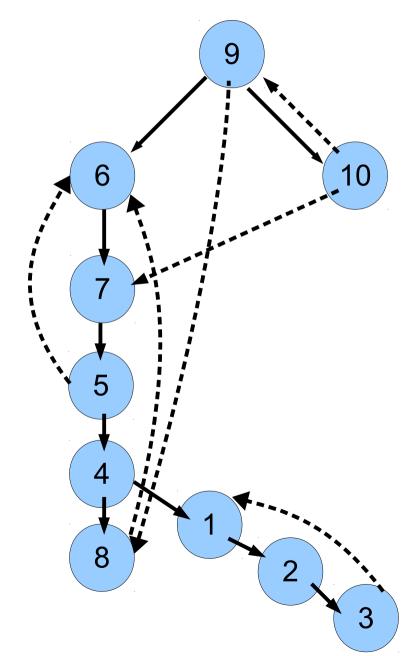
5,2,7,3,8,11,10,9

There are many others



Acyclic graphs

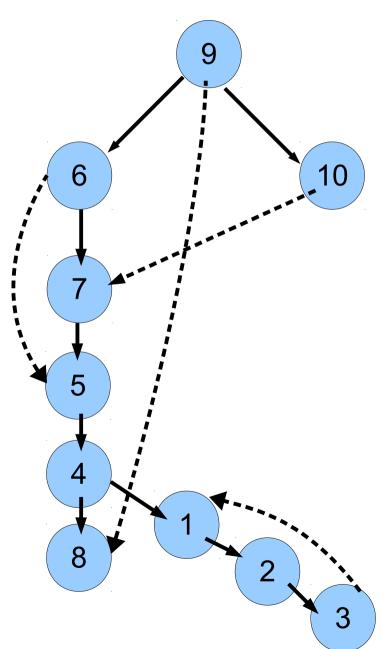
- This graph is not acyclic
- 9,10,9 is a cycle
- 1,2,3,1 is a cycle
- 6,7,5,6 is a cycle
- 6,7,5,4,8,6 is a cycle

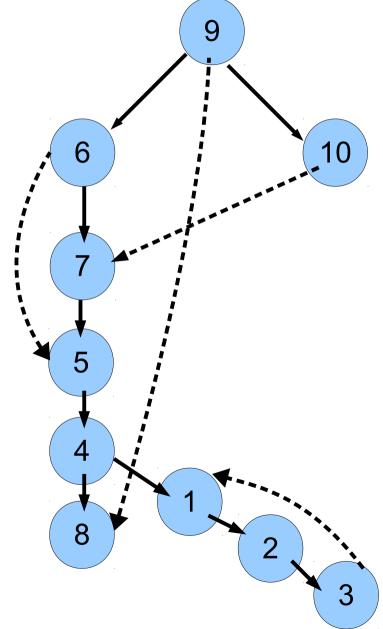


Acyclic graphs

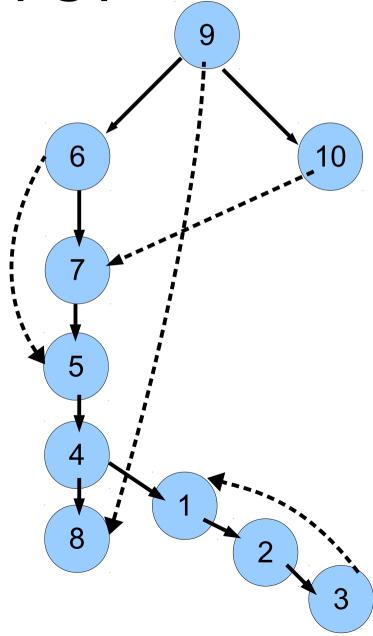
- This graph is not acyclic
- 9,10,9 is a cycle
- 1,2,3,1 is a cycle
- 6,7,5,6 is a cycle
- 6,7,5,4,8,6 is a cycle

 Dropped two edges and changed directions on one to make it acyclic

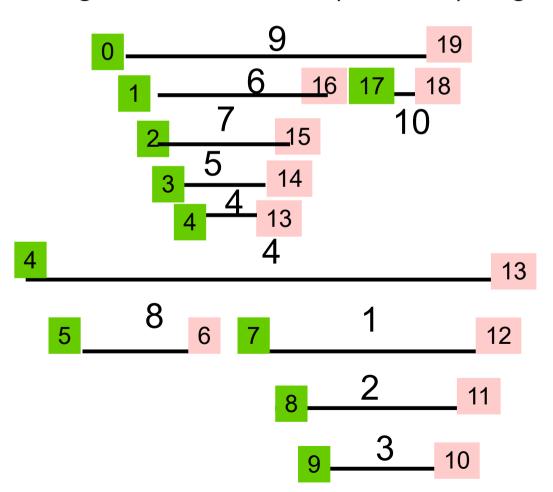


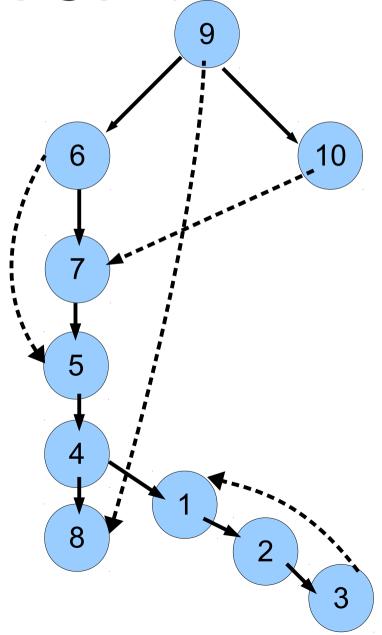


 Note that the pre and post count values are exactly the same as before, even though we have more (directed) edges.



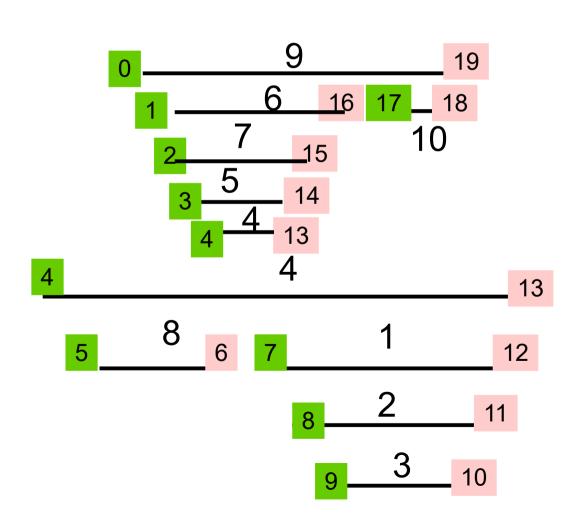
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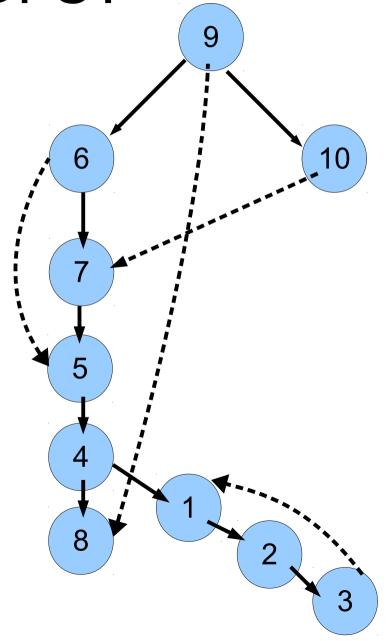




See anything interesting in the pre and

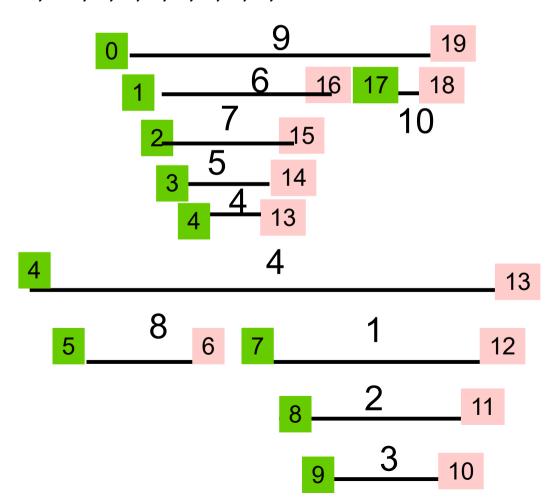
post values?

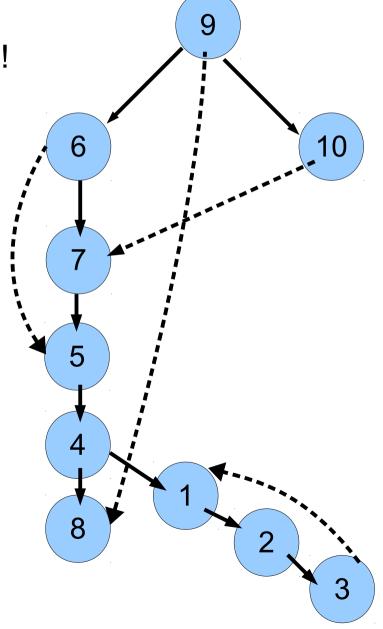




 The order of the post values if reversed gives us a topological sort of the graph !!

• 9,10,6,7,5,4,1,2,3,8





The End