CSE 431/531: Algorithm Analysis and Design (Fall 2022)

Divide-and-Conquer

Lecturer: Shi Li

Department of Computer Science and Engineering
University at Buffalo

Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
 - Quicksort
 - Lower Bound for Comparison-Based Sorting Algorithms
 - Selection Problem
- 4 Polynomial Multiplication
- Other Classic Algorithms using Divide-and-Conquer
- 6 Solving Recurrences
- Computing *n*-th Fibonacci Number

Greedy Algorithm

- mainly for combinatorial optimization problems
- trivial algorithm runs in exponential time
- greedy algorithm gives an efficient algorithm
- main focus of analysis: correctness of algorithm

Greedy Algorithm

- mainly for combinatorial optimization problems
- trivial algorithm runs in exponential time
- greedy algorithm gives an efficient algorithm
- main focus of analysis: correctness of algorithm

Divide-and-Conquer

- not necessarily for combinatorial optimization problems
- trivial algorithm already runs in polynomial time
- divide-and-conquer gives a more efficient algorithm
- main focus of analysis: running time

Divide-and-Conquer

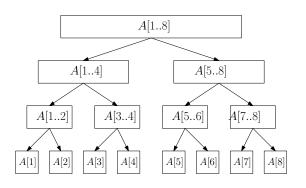
- Divide: Divide instance into many smaller instances
- **Conquer**: Solve each of smaller instances recursively and separately
- **Combine**: Combine solutions to small instances to obtain a solution for the original big instance

```
 \begin{array}{ll} \operatorname{merge-sort}(A,n) \\ 1: \ \ \mathbf{if} \ n=1 \ \ \mathbf{then} \\ 2: \ \ \ \ \mathbf{return} \ A \\ 3: \ \ \mathbf{else} \\ 4: \ \ B \leftarrow \operatorname{merge-sort}\left(A\big[1..\lfloor n/2\rfloor\big],\lfloor n/2\rfloor\right) \\ 5: \ \ C \leftarrow \operatorname{merge-sort}\left(A\big[\lfloor n/2\rfloor+1..n\big],\lceil n/2\rceil\right) \\ 6: \ \ \ \ \ \mathbf{return} \ \ \operatorname{merge}(B,C,\lfloor n/2\rfloor,\lceil n/2\rceil) \\ \end{array}
```

```
\begin{array}{ll} \operatorname{merge-sort}(A,n) \\ & \text{1: if } n=1 \text{ then} \\ & \text{2: } \operatorname{return} A \\ & \text{3: else} \\ & \text{4: } B \leftarrow \operatorname{merge-sort}\left(A\big[1..\lfloor n/2\rfloor\big],\lfloor n/2\rfloor\right) \\ & \text{5: } C \leftarrow \operatorname{merge-sort}\left(A\big[\lfloor n/2\rfloor+1..n\big],\lceil n/2\rceil\right) \\ & \text{6: } \operatorname{return} \operatorname{merge}(B,C,\lfloor n/2\rfloor,\lceil n/2\rceil) \end{array}
```

Divide: trivialConquer: 4, 5Combine: 6

Running Time for Merge-Sort



- Each level takes running time O(n)
- ullet There are $O(\lg n)$ levels
- Running time = $O(n \lg n)$
- Better than insertion sort

• T(n) = running time for sorting n numbers,then

$$T(n) = \begin{cases} O(1) & \text{if } n = 1\\ T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + O(n) & \text{if } n \ge 2 \end{cases}$$

 \bullet T(n) = running time for sorting n numbers,then

$$T(n) = \begin{cases} O(1) & \text{if } n = 1\\ T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + O(n) & \text{if } n \ge 2 \end{cases}$$

• With some tolerance of informality:

$$T(n) = \begin{cases} O(1) & \text{if } n = 1\\ \frac{2T(n/2) + O(n)}{2T(n/2) + O(n)} & \text{if } n \ge 2 \end{cases}$$

• T(n) = running time for sorting n numbers,then

$$T(n) = \begin{cases} O(1) & \text{if } n = 1 \\ T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + O(n) & \text{if } n \geq 2 \end{cases}$$

• With some tolerance of informality:

$$T(n) = \begin{cases} O(1) & \text{if } n = 1\\ \frac{2T(n/2) + O(n)}{2T(n/2) + O(n)} & \text{if } n \ge 2 \end{cases}$$

• Even simpler: T(n) = 2T(n/2) + O(n). (Implicit assumption: T(n) = O(1) if n is at most some constant.)

 \bullet T(n) = running time for sorting n numbers,then

$$T(n) = \begin{cases} O(1) & \text{if } n = 1 \\ T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + O(n) & \text{if } n \geq 2 \end{cases}$$

• With some tolerance of informality:

$$T(n) = \begin{cases} O(1) & \text{if } n = 1\\ \frac{2T(n/2) + O(n)}{2T(n/2) + O(n)} & \text{if } n \ge 2 \end{cases}$$

- Even simpler: T(n) = 2T(n/2) + O(n). (Implicit assumption: T(n) = O(1) if n is at most some constant.)
- \bullet Solving this recurrence, we have $T(n) = O(n \lg n)$ (we shall show how later)

Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
 - Quicksort
 - Lower Bound for Comparison-Based Sorting Algorithms
 - Selection Problem
- Polynomial Multiplication
- 5 Other Classic Algorithms using Divide-and-Conquer
- 6 Solving Recurrences
- Computing *n*-th Fibonacci Number

Counting Inversions

Input: an sequence A of n numbers

Output: number of inversions in A

Counting Inversions

Input: an sequence A of n numbers

Output: number of inversions in A

Example:

10 8 15 9 12

Counting Inversions

Input: an sequence A of n numbers

Output: number of inversions in A

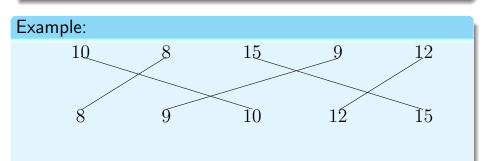
10 8 15 9 12

8 9 10 12 15

Counting Inversions

Input: an sequence A of n numbers

Output: number of inversions in A

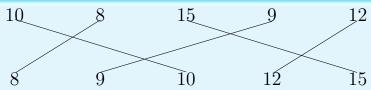


Counting Inversions

Input: an sequence A of n numbers

Output: number of inversions in A

Example:



 \bullet 4 inversions (for convenience, using numbers, not indices): (10,8),(10,9),(15,9),(15,12)

Naive Algorithm for Counting Inversions

count-inversions(A, n)

```
1: c \leftarrow 0
```

2: **for** every $i \leftarrow 1$ to n-1 **do**

3: **for** every $j \leftarrow i + 1$ to n **do**

4: if A[i] > A[j] then $c \leftarrow c + 1$

5: return c

Divide-and-Conquer

$$A:$$
 B C

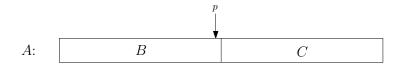
- $p = \lfloor n/2 \rfloor, B = A[1..p], C = A[p+1..n]$
- #invs(A) = #invs(B) + #invs(C) + m $m = \left| \left\{ (i, j) : B[i] > C[j] \right\} \right|$

Q: How fast can we compute m, via trivial algorithm?

A: $O(n^2)$

ullet Can not improve the $O(n^2)$ time for counting inversions.

Divide-and-Conquer



$$\bullet \ p = \lfloor n/2 \rfloor, B = A[1..p], C = A[p+1..n]$$

$$\#\mathsf{invs}(A) = \#\mathsf{invs}(B) + \#\mathsf{invs}(C) + m$$

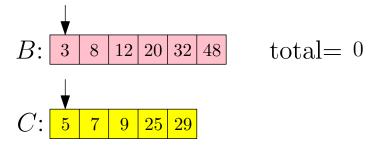
$$m = \left| \left\{ (i,j) : B[i] > C[j] \right\} \right|$$

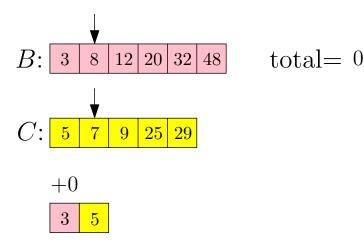
Lemma If both B and C are sorted, then we can compute m in O(n) time!

Counting Inversions between ${\cal B}$ and ${\cal C}$

Count pairs i, j such that B[i] > C[j]:

total = 0

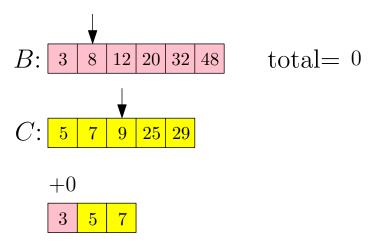


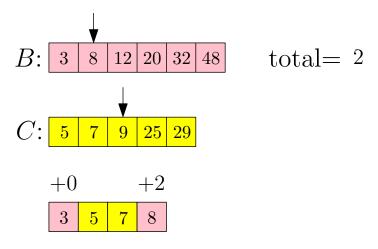


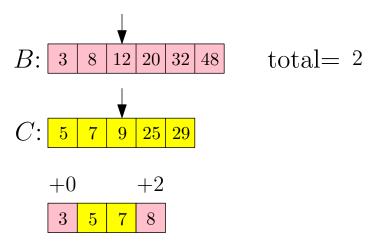
$$B: \boxed{3} \ \boxed{8} \ \boxed{12} \ \boxed{20} \ \boxed{32} \ \boxed{48}$$
 total= 0
$$C: \boxed{5} \ \boxed{7} \ \boxed{9} \ \boxed{25} \ \boxed{29}$$

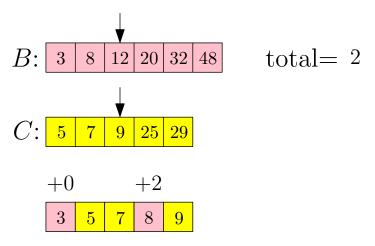
$$+0$$

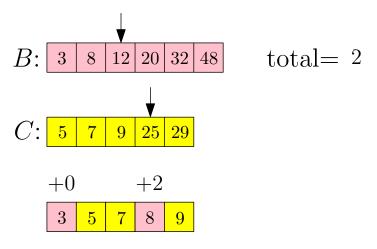
$$\boxed{3} \ \boxed{5} \ \boxed{7}$$

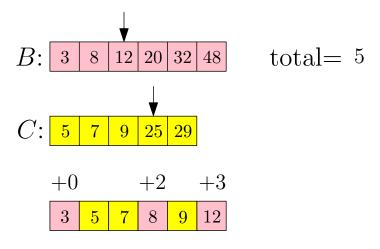


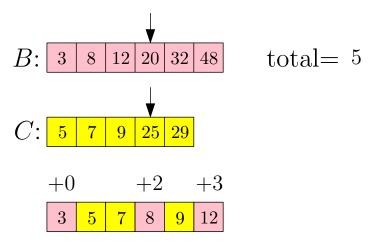


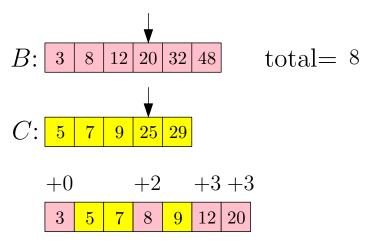


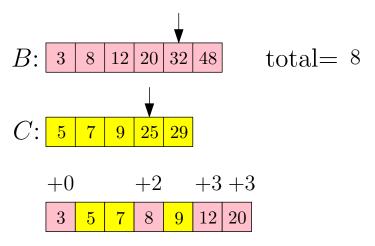


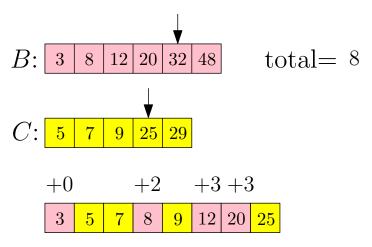


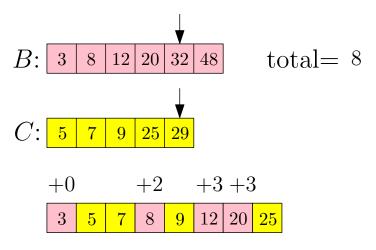


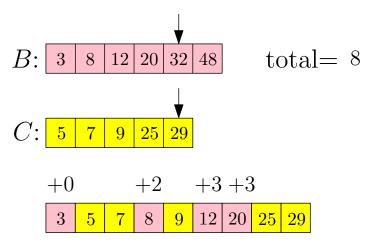


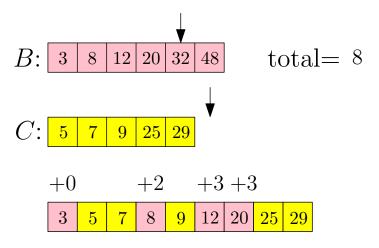












Counting Inversions between ${\cal B}$ and ${\cal C}$

 \bullet Procedure that merges B and C and counts inversions between B and C at the same time

```
merge-and-count(B, C, n_1, n_2)
 1: count \leftarrow 0:
 2: A \leftarrow \text{array of size } n_1 + n_2; i \leftarrow 1; j \leftarrow 1
 3: while i < n_1 or j < n_2 do
         if j > n_2 or (i < n_1 \text{ and } B[i] < C[j]) then
 4:
              A[i+j-1] \leftarrow B[i]: i \leftarrow i+1
 5:
              count \leftarrow count + (j-1)
 6:
         else
 7:
              A[i+j-1] \leftarrow C[j]; j \leftarrow j+1
 8:
 9: return (A, count)
```

Sort and Count Inversions in A

• A procedure that returns the sorted array of A and counts the number of inversions in A:

```
sort-and-count(A, n)
  1: if n = 1 then
      return (A,0)
  3: else
             (B, m_1) \leftarrow \mathsf{sort}\text{-}\mathsf{and}\text{-}\mathsf{count}\Big(A\big[1..\lfloor n/2\rfloor\big], \lfloor n/2\rfloor\Big)
  4:
             (C, m_2) \leftarrow \mathsf{sort}\text{-and-count}\Big(A\big[\lfloor n/2 \rfloor + 1..n\big], \lceil n/2 \rceil\Big)
  5:
             (A, m_3) \leftarrow \mathsf{merge-and-count}(B, C, \lfloor n/2 \rfloor, \lceil n/2 \rceil)
  6:
             return (A, m_1 + m_2 + m_3)
  7:
```

Sort and Count Inversions in A

 A procedure that returns the sorted array of A and counts the number of inversions in A:

```
    Divide: trivial

sort-and-count(A, n)
                                                       Conquer: 4, 5
  1: if n = 1 then

    Combine: 6, 7

     return (A,0)
  3: else
           (B, m_1) \leftarrow \text{sort-and-count} \Big( A \big[ 1..\lfloor n/2 \rfloor \big], \lfloor n/2 \rfloor \Big)
  4:
           (C, m_2) \leftarrow \mathsf{sort}\text{-and-count}\Big(A\big[\lfloor n/2 \rfloor + 1..n\big], \lceil n/2 \rceil\Big)
  5:
            (A, m_3) \leftarrow \mathsf{merge-and-count}(B, C, \lfloor n/2 \rfloor, \lceil n/2 \rceil)
  6:
            return (A, m_1 + m_2 + m_3)
  7:
```

```
sort-and-count(A, n)
```

```
1: if n=1 then
2: return (A,0)
3: else
4: (B,m_1) \leftarrow \text{sort-and-count}\left(A\big[1..\lfloor n/2\rfloor\big],\lfloor n/2\rfloor\right)
5: (C,m_2) \leftarrow \text{sort-and-count}\left(A\big[\lfloor n/2\rfloor+1..n\big],\lceil n/2\rceil\right)
6: (A,m_3) \leftarrow \text{merge-and-count}(B,C,\lfloor n/2\rfloor,\lceil n/2\rceil)
7: return (A,m_1+m_2+m_3)
```

• Recurrence for the running time: T(n) = 2T(n/2) + O(n)

sort-and-count(A, n)

```
1: if n=1 then
2: return (A,0)
3: else
4: (B,m_1) \leftarrow \text{sort-and-count} \left(A\big[1..\lfloor n/2 \rfloor\big], \lfloor n/2 \rfloor\right)
5: (C,m_2) \leftarrow \text{sort-and-count} \left(A\big[\lfloor n/2 \rfloor + 1..n \rfloor, \lceil n/2 \rceil\right)
6: (A,m_3) \leftarrow \text{merge-and-count} (B,C,\lfloor n/2 \rfloor, \lceil n/2 \rceil)
7: return (A,m_1+m_2+m_3)
```

- Recurrence for the running time: T(n) = 2T(n/2) + O(n)
- Running time = $O(n \lg n)$

Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
 - Quicksort
 - Lower Bound for Comparison-Based Sorting Algorithms
 - Selection Problem
- 4 Polynomial Multiplication
- 5 Other Classic Algorithms using Divide-and-Conquer
- 6 Solving Recurrences
- Computing *n*-th Fibonacci Number

Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
 - Quicksort
 - Lower Bound for Comparison-Based Sorting Algorithms
 - Selection Problem
- 4 Polynomial Multiplication
- 5 Other Classic Algorithms using Divide-and-Conquer
- 6 Solving Recurrences
- Computing *n*-th Fibonacci Number

Quicksort vs Merge-Sort

	Merge Sort	Quicksort
Divide	Trivial	Separate small and big numbers
Conquer	Recurse	Recurse
Combine	Merge 2 sorted arrays	Trivial

		29	82	75	64	38	45	94	69	25	76	15	92	37	17	85
--	--	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

29	82	75	64	38	45	94	69	25	76	15	92	37	17	85
29	38	45	25	15	37	17	64	82	75	94	92	69	76	85

29	82	75	64	38	45	94	69	25	76	15	92	37	17	85
00	20	15	05	1 5	37	17	C A	82	75	0.4	00	CO	70	05
29	38	45	25	15	31	17	64	82	75	94	92	69	76	85

29	82	75	64	38	45	94	69	25	76	15	92	37	17	85
29	38	45	25	15	37	17	64	82	75	94	92	69	76	85
25	15	17	29	38	45	37	64	82	75	94	92	69	76	85

Quicksort

```
quicksort(A, n)
```

```
1: if n \leq 1 then return A
2: x \leftarrow lower median of A
3: A_L \leftarrow array of elements in A that are less than x \setminus Divide
4: A_R \leftarrow array of elements in A that are greater than x \setminus Divide
5: B_L \leftarrow quicksort(A_L, \text{length of } A_L) \setminus Conquer
6: B_R \leftarrow quicksort(A_R, \text{length of } A_R) \setminus Conquer
7: t \leftarrow number of times x appear A
8: return concatenation of B_L, t copies of x, and B_R
```

Quicksort

```
quicksort(A, n)
```

```
1: if n \leq 1 then return A
2: x \leftarrow lower median of A
3: A_L \leftarrow array of elements in A that are less than x \\ Divide
4: A_R \leftarrow array of elements in A that are greater than x \\ Divide
5: B_L \leftarrow quicksort(A_L, length of A_L) \\ Conquer
6: B_R \leftarrow quicksort(A_R, length of A_R) \\ Conquer
7: t \leftarrow number of times x appear A
8: return concatenation of B_L, t copies of x, and B_R
```

• Recurrence $T(n) \le 2T(n/2) + O(n)$

Quicksort

quicksort(A, n)

```
1: if n \leq 1 then return A

2: x \leftarrow lower median of A

3: A_L \leftarrow array of elements in A that are less than x \\ Divide

4: A_R \leftarrow array of elements in A that are greater than x \\ Divide

5: B_L \leftarrow quicksort(A_L, length of A_L) \\ Conquer

6: B_R \leftarrow quicksort(A_R, length of A_R) \\ Conquer

7: t \leftarrow number of times x appear A

8: return concatenation of B_L, t copies of x, and B_R
```

- Recurrence $T(n) \le 2T(n/2) + O(n)$
- Running time = $O(n \lg n)$

Assumption We can choose median of an array of size n in O(n) time.

Q: How to remove this assumption?

Assumption We can choose median of an array of size n in O(n) time.

Q: How to remove this assumption?

A:

1 There is an algorithm to find median in O(n) time, using divide-and-conquer (we shall not talk about it; it is complicated and not practical)

Assumption We can choose median of an array of size n in O(n) time.

Q: How to remove this assumption?

A:

- **1** There is an algorithm to find median in O(n) time, using divide-and-conquer (we shall not talk about it; it is complicated and not practical)
- Choose a pivot randomly and pretend it is the median (it is practical)

Quicksort Using A Random Pivot

```
quicksort(A, n)
 1: if n < 1 then return A
 2: x \leftarrow a random element of A (x is called a pivot)
 3: A_L \leftarrow array of elements in A that are less than x
                                                                                   Divide
 4: A_R \leftarrow array of elements in A that are greater than x
                                                                                   Divide
 5: B_L \leftarrow \text{quicksort}(A_L, \text{length of } A_L)
                                                                            \backslash \backslash Conquer
 6: B_R \leftarrow \mathsf{quicksort}(A_R, \mathsf{length} \mathsf{ of } A_R)
                                                                             \backslash \backslash Conquer
 7: t \leftarrow number of times x appear A
 8: return concatenation of B_L, t copies of x, and B_R
```

Assumption There is a procedure to produce a random real number in [0,1].

Q: Can computers really produce random numbers?

Assumption There is a procedure to produce a random real number in [0,1].

Q: Can computers really produce random numbers?

A: No! The execution of a computer programs is deterministic!

Assumption There is a procedure to produce a random real number in [0,1].

Q: Can computers really produce random numbers?

A: No! The execution of a computer programs is deterministic!

 In practice: use pseudo-random-generator, a deterministic algorithm returning numbers that "look like" random

Assumption There is a procedure to produce a random real number in [0,1].

Q: Can computers really produce random numbers?

A: No! The execution of a computer programs is deterministic!

- In practice: use pseudo-random-generator, a deterministic algorithm returning numbers that "look like" random
- In theory: assume they can.

Quicksort Using A Random Pivot

```
quicksort(A, n)

1: if n \le 1 then return A

2: x \leftarrow a random element of A (x is called a pivot)

3: A_L \leftarrow array of elements in A that are less than x \\ Divide

4: A_R \leftarrow array of elements in A that are greater than x \\ Divide

5: B_L \leftarrow quicksort(A_L, length of A_L) \\ Conquer

6: B_R \leftarrow quicksort(A_R, length of A_R) \\ Conquer

7: t \leftarrow number of times x appear A

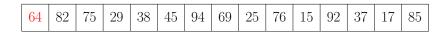
8: return concatenation of B_L, t copies of x, and B_R
```

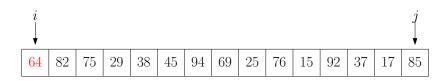
Lemma The expected running time of the algorithm is $O(n \lg n)$.

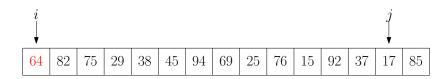
Quicksort Can Be Implemented as an "In-Place" Sorting Algorithm

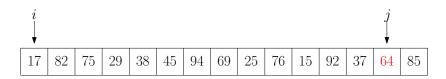
• In-Place Sorting Algorithm: an algorithm that only uses "small" extra space.

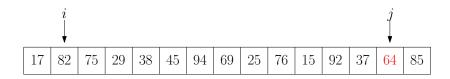
29	82	75	64	38	45	94	69	25	76	15	92	37	17	85
----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

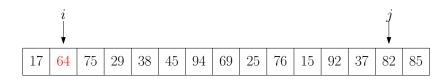


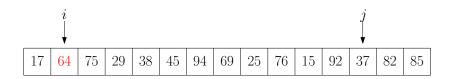


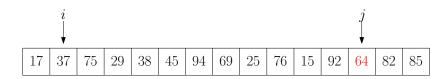


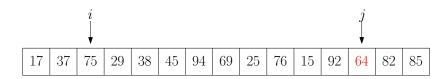


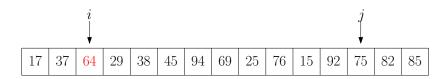


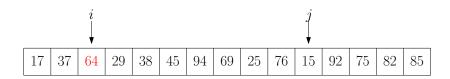


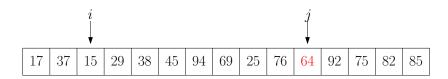


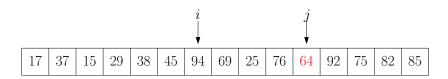


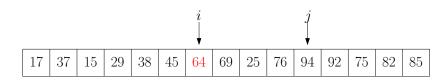


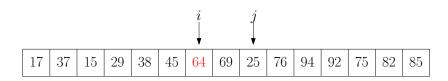


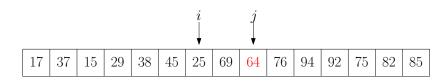


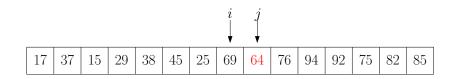


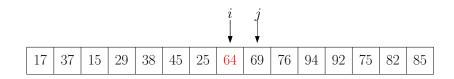


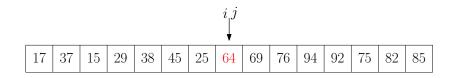




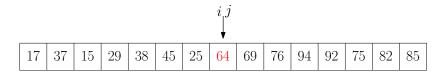








• In-Place Sorting Algorithm: an algorithm that only uses "small" extra space.



 \bullet To partition the array into two parts, we only need ${\cal O}(1)$ extra space.

$\mathsf{partition}(A,\ell,r)$

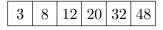
- 1: $p \leftarrow \text{random integer between } \ell \text{ and } r$, swap A[p] and $A[\ell]$
- 2: $i \leftarrow \ell, j \leftarrow r$
- 3: while true do
- 4: while i < j and A[i] < A[j] do $j \leftarrow j 1$
- 5: **if** i = j **then** break
- 6: swap A[i] and A[j]; $i \leftarrow i+1$
- 7: while i < j and A[i] < A[j] do $i \leftarrow i + 1$
- 8: **if** i = j **then** break
- 9: swap A[i] and A[j]; $j \leftarrow j-1$
- 10: return i

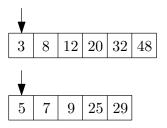
In-Place Implementation of Quick-Sort

$\mathsf{quicksort}(A,\ell,r)$

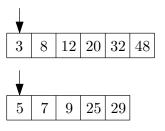
- 1: if $\ell > r$ then return
- 2: $m \leftarrow \mathsf{patition}(A, \ell, r)$
- 3: quicksort $(A, \ell, m-1)$
- 4: quicksort(A, m + 1, r)
- To sort an array A of size n, call quicksort(A, 1, n).

Note: We pass the array A by reference, instead of by copying.



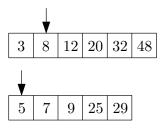


 To merge two arrays, we need a third array with size equaling the total size of two arrays

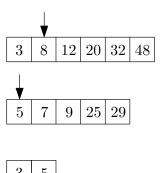


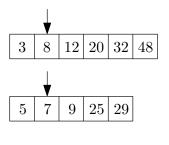
3

 To merge two arrays, we need a third array with size equaling the total size of two arrays

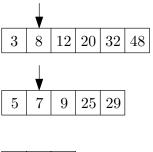


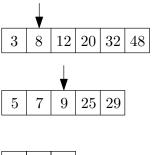
3

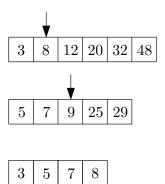


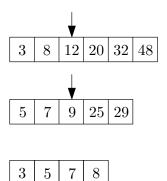


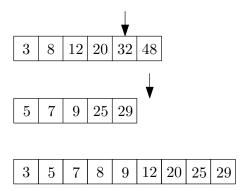


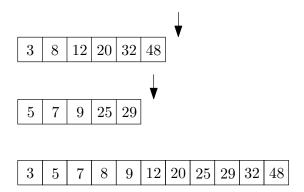












Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
 - Quicksort
 - Lower Bound for Comparison-Based Sorting Algorithms
 - Selection Problem
- 4 Polynomial Multiplication
- 5 Other Classic Algorithms using Divide-and-Conquer
- 6 Solving Recurrences
- Computing *n*-th Fibonacci Number

Q: Can we do better than $O(n \log n)$ for sorting?

Q: Can we do better than $O(n \log n)$ for sorting?

A: No, for comparison-based sorting algorithms.

Q: Can we do better than $O(n \log n)$ for sorting?

A: No, for comparison-based sorting algorithms.

Comparison-Based Sorting Algorithms

- To sort, we are only allowed to compare two elements
- We can not use "internal structures" of the elements

• Bob has one number x in his hand, $x \in \{1, 2, 3, \dots, N\}$.

- Bob has one number x in his hand, $x \in \{1, 2, 3, \dots, N\}$.
- You can ask Bob "yes/no" questions about x.

- Bob has one number x in his hand, $x \in \{1, 2, 3, \dots, N\}$.
- You can ask Bob "yes/no" questions about x.

Q: How many questions do you need to ask Bob in order to know x?

- Bob has one number x in his hand, $x \in \{1, 2, 3, \dots, N\}$.
- You can ask Bob "yes/no" questions about x.

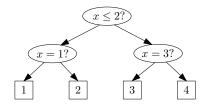
 ${\bf Q}$: How many questions do you need to ask Bob in order to know x?

A: $\lceil \log_2 N \rceil$.

- Bob has one number x in his hand, $x \in \{1, 2, 3, \dots, N\}$.
- You can ask Bob "yes/no" questions about x.

Q: How many questions do you need to ask Bob in order to know x?

A: $\lceil \log_2 N \rceil$.



Q: Can we do better than $O(n \log n)$ for sorting?

A: No, for comparison-based sorting algorithms.

- Bob has a permutation π over $\{1, 2, 3, \dots, n\}$ in his hand.
- You can ask Bob "yes/no" questions about π .

Q: Can we do better than $O(n \log n)$ for sorting?

A: No, for comparison-based sorting algorithms.

- Bob has a permutation π over $\{1, 2, 3, \dots, n\}$ in his hand.
- You can ask Bob "yes/no" questions about π .

Q: How many questions do you need to ask in order to get the permutation π ?

Q: Can we do better than $O(n \log n)$ for sorting?

A: No, for comparison-based sorting algorithms.

- Bob has a permutation π over $\{1, 2, 3, \dots, n\}$ in his hand.
- ullet You can ask Bob "yes/no" questions about π .

Q: How many questions do you need to ask in order to get the permutation π ?

A:
$$\log_2 n! = \Theta(n \lg n)$$

Q: Can we do better than $O(n \log n)$ for sorting?

A: No, for comparison-based sorting algorithms.

- Bob has a permutation π over $\{1,2,3,\cdots,n\}$ in his hand.
- You can ask Bob questions of the form "does i appear before j in π ?"

Q: Can we do better than $O(n \log n)$ for sorting?

A: No, for comparison-based sorting algorithms.

- Bob has a permutation π over $\{1, 2, 3, \dots, n\}$ in his hand. • You can ask Pob questions of the form "does i appear before i in
- You can ask Bob questions of the form "does i appear before j in π ?"

Q: How many questions do you need to ask in order to get the permutation π ?

Q: Can we do better than $O(n \log n)$ for sorting?

A: No, for comparison-based sorting algorithms.

- Bob has a permutation π over $\{1, 2, 3, \dots, n\}$ in his hand.
- You can ask Bob questions of the form "does i appear before j in π ?"

Q: How many questions do you need to ask in order to get the permutation π ?

A: At least $\log_2 n! = \Theta(n \lg n)$

Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
 - Quicksort
 - Lower Bound for Comparison-Based Sorting Algorithms
 - Selection Problem
- 4 Polynomial Multiplication
- Other Classic Algorithms using Divide-and-Conquer
- 6 Solving Recurrences
- Computing *n*-th Fibonacci Number

Selection Problem

Input: a set A of n numbers, and $1 \le i \le n$

Output: the i-th smallest number in A

Selection Problem

Input: a set A of n numbers, and $1 \le i \le n$

Output: the i-th smallest number in A

ullet Sorting solves the problem in time $O(n \lg n)$.

Selection Problem

Input: a set A of n numbers, and $1 \le i \le n$

Output: the i-th smallest number in A

- Sorting solves the problem in time $O(n \lg n)$.
- Our goal: O(n) running time

Recall: Quicksort with Median Finder

quicksort(A, n)

- 1: if n < 1 then return A
- 2: $x \leftarrow \text{lower median of } A$
- 3: $A_L \leftarrow$ elements in A that are less than x
- 4: $A_R \leftarrow$ elements in A that are greater than x
- 5: $B_L \leftarrow \mathsf{quicksort}(A_L, A_L.\mathsf{size})$
- 6: $B_R \leftarrow \mathsf{quicksort}(A_R, A_R.\mathsf{size})$
- 7: $t \leftarrow$ number of times x appear A
- 8: **return** the array obtained by concatenating B_L , the array containing t copies of x, and B_R

▷ Divide

Divide

Selection Algorithm with Median Finder

10:

return x

```
selection(A, n, i)
 1: if n=1 then return A
 2: x \leftarrow lower median of A
                                                              Divide
 3: A_L \leftarrow elements in A that are less than x
 4: A_R \leftarrow elements in A that are greater than x
                                                              ▷ Divide
 5: if i < A_L.size then
       return selection(A_L, A_L.size, i)
                                                           7: else if i > n - A_R.size then
       return selection(A_R, A_R.size, i - (n - A_R.size))
                                                           9: else
```

Selection Algorithm with Median Finder

```
selection(A, n, i)
 1: if n=1 then return A
 2: x \leftarrow \text{lower median of } A
                                                               Divide
 3: A_L \leftarrow elements in A that are less than x
 4: A_R \leftarrow elements in A that are greater than x
                                                               ▷ Divide
 5: if i < A_L.size then
   return selection(A_L, A_L. size, i)
                                                            7: else if i > n - A_R.size then
       return selection(A_R, A_R.size, i - (n - A_R.size))
                                                            9: else
10:
       return x
```

• Recurrence for selection: T(n) = T(n/2) + O(n)

Selection Algorithm with Median Finder

```
selection(A, n, i)
 1: if n=1 then return A
 2: x \leftarrow \text{lower median of } A
 3: A_L \leftarrow elements in A that are less than x
                                                              Divide
 4: A_R \leftarrow elements in A that are greater than x
                                                              ▷ Divide
 5: if i < A_L.size then
   return selection(A_L, A_L. size, i)
                                                            7: else if i > n - A_R.size then
       return selection(A_R, A_R.size, i - (n - A_R.size))
                                                            9: else
10:
       return x
```

- Recurrence for selection: T(n) = T(n/2) + O(n)
- Solving recurrence: T(n) = O(n)

Randomized Selection Algorithm

10:

return x

```
selection(A, n, i)
 1: if n=1 thenreturn A
 2: x \leftarrow \text{random element of } A \text{ (called pivot)}
                                                                Divide
 3: A_L \leftarrow elements in A that are less than x
 4: A_R \leftarrow elements in A that are greater than x
                                                                ▷ Divide
 5: if i < A_L.size then
       return selection(A_L, A_L.size, i)
                                                              7: else if i > n - A_R.size then
       return selection(A_R, A_R.size, i - (n - A_R.size))
                                                              9: else
```

Randomized Selection Algorithm

```
selection(A, n, i)
 1: if n=1 thenreturn A
 2: x \leftarrow \text{random element of } A \text{ (called pivot)}
                                                                Divide
 3: A_L \leftarrow elements in A that are less than x
 4: A_R \leftarrow elements in A that are greater than x
                                                                ▷ Divide
 5: if i < A_L.size then
    return selection(A_L, A_L. size, i)
                                                             7: else if i > n - A_R.size then
       return selection(A_R, A_R.size, i - (n - A_R.size))
                                                             9: else
10:
       return x
```

• expected running time = O(n)

Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
 - Quicksort
 - Lower Bound for Comparison-Based Sorting Algorithms
 - Selection Problem
- Polynomial Multiplication
- 5 Other Classic Algorithms using Divide-and-Conquer
- 6 Solving Recurrences
- Computing *n*-th Fibonacci Number

Input: two polynomials of degree n-1

Output: product of two polynomials

Input: two polynomials of degree n-1

Output: product of two polynomials

Example:

$$(3x^3 + 2x^2 - 5x + 4) \times (2x^3 - 3x^2 + 6x - 5)$$

Input: two polynomials of degree n-1

Output: product of two polynomials

Example:

$$(3x^{3} + 2x^{2} - 5x + 4) \times (2x^{3} - 3x^{2} + 6x - 5)$$

$$= 6x^{6} - 9x^{5} + 18x^{4} - 15x^{3}$$

$$+ 4x^{5} - 6x^{4} + 12x^{3} - 10x^{2}$$

$$- 10x^{4} + 15x^{3} - 30x^{2} + 25x$$

$$+ 8x^{3} - 12x^{2} + 24x - 20$$

$$= 6x^{6} - 5x^{5} + 2x^{4} + 20x^{3} - 52x^{2} + 49x - 20$$

Input: two polynomials of degree n-1

Output: product of two polynomials

Example:

$$(3x^{3} + 2x^{2} - 5x + 4) \times (2x^{3} - 3x^{2} + 6x - 5)$$

$$= 6x^{6} - 9x^{5} + 18x^{4} - 15x^{3}$$

$$+ 4x^{5} - 6x^{4} + 12x^{3} - 10x^{2}$$

$$- 10x^{4} + 15x^{3} - 30x^{2} + 25x$$

$$+ 8x^{3} - 12x^{2} + 24x - 20$$

$$= 6x^{6} - 5x^{5} + 2x^{4} + 20x^{3} - 52x^{2} + 49x - 20$$

- Input: (4, -5, 2, 3), (-5, 6, -3, 2)
- Output: (-20, 49, -52, 20, 2, -5, 6)

Naïve Algorithm

polynomial-multiplication (A, B, n)

```
1: let C[k] \leftarrow 0 for every k = 0, 1, 2, \cdots, 2n - 2
```

2: **for** $i \leftarrow 0$ to n-1 **do**

3: **for** $j \leftarrow 0$ to n-1 **do**

4: $C[i+j] \leftarrow C[i+j] + A[i] \times B[j]$

5: return C

Naïve Algorithm

polynomial-multiplication (A, B, n)

```
1: let C[k] \leftarrow 0 for every k = 0, 1, 2, \cdots, 2n - 2
```

2: **for** $i \leftarrow 0$ to n-1 **do**

3: **for** $j \leftarrow 0$ to n-1 **do**

4:
$$C[i+j] \leftarrow C[i+j] + A[i] \times B[j]$$

5: return C

Running time: $O(n^2)$

Divide-and-Conquer for Polynomial Multiplication

$$p(x) = 3x^3 + 2x^2 - 5x + 4 = (3x+2)x^2 + (-5x+4)$$

$$q(x) = 2x^3 - 3x^2 + 6x - 5 = (2x-3)x^2 + (6x-5)$$

Divide-and-Conquer for Polynomial Multiplication

$$p(x) = 3x^3 + 2x^2 - 5x + 4 = (3x + 2)x^2 + (-5x + 4)$$

$$q(x) = 2x^3 - 3x^2 + 6x - 5 = (2x - 3)x^2 + (6x - 5)$$

- p(x): degree of n-1 (assume n is even)
- $p(x) = p_H(x)x^{n/2} + p_L(x)$,
- $p_H(x), p_L(x)$: polynomials of degree n/2 1.

Divide-and-Conquer for Polynomial Multiplication

$$p(x) = 3x^3 + 2x^2 - 5x + 4 = (3x + 2)x^2 + (-5x + 4)$$

$$q(x) = 2x^3 - 3x^2 + 6x - 5 = (2x - 3)x^2 + (6x - 5)$$

- p(x): degree of n-1 (assume n is even)
- $p(x) = p_H(x)x^{n/2} + p_L(x)$,
- $p_H(x), p_L(x)$: polynomials of degree n/2 1.

$$pq = (p_H x^{n/2} + p_L)(q_H x^{n/2} + q_L)$$

$$p(x) = 3x^3 + 2x^2 - 5x + 4 = (3x + 2)x^2 + (-5x + 4)$$

$$q(x) = 2x^3 - 3x^2 + 6x - 5 = (2x - 3)x^2 + (6x - 5)$$

- p(x): degree of n-1 (assume n is even)
- $p(x) = p_H(x)x^{n/2} + p_L(x)$,
- $p_H(x), p_L(x)$: polynomials of degree n/2 1.

$$pq = (p_H x^{n/2} + p_L) (q_H x^{n/2} + q_L)$$

= $p_H q_H x^n + (p_H q_L + p_L q_H) x^{n/2} + p_L q_L$

$$pq = (p_H x^{n/2} + p_L) (q_H x^{n/2} + q_L)$$

= $p_H q_H x^n + (p_H q_L + p_L q_H) x^{n/2} + p_L q_L$

$$pq = (p_H x^{n/2} + p_L) (q_H x^{n/2} + q_L)$$

= $p_H q_H x^n + (p_H q_L + p_L q_H) x^{n/2} + p_L q_L$

$$\begin{split} \mathsf{multiply}(p,q) &= \mathsf{multiply}(p_H,q_H) \times x^n \\ &+ \left(\mathsf{multiply}(p_H,q_L) + \mathsf{multiply}(p_L,q_H) \right) \times x^{n/2} \\ &+ \mathsf{multiply}(p_L,q_L) \end{split}$$

$$pq = (p_H x^{n/2} + p_L) (q_H x^{n/2} + q_L)$$

= $p_H q_H x^n + (p_H q_L + p_L q_H) x^{n/2} + p_L q_L$

$$\begin{split} \mathsf{multiply}(p,q) &= \mathsf{multiply}(p_H,q_H) \times x^n \\ &+ \left(\mathsf{multiply}(p_H,q_L) + \mathsf{multiply}(p_L,q_H) \right) \times x^{n/2} \\ &+ \mathsf{multiply}(p_L,q_L) \end{split}$$

• Recurrence: T(n) = 4T(n/2) + O(n)

$$pq = (p_H x^{n/2} + p_L) (q_H x^{n/2} + q_L)$$

= $p_H q_H x^n + (p_H q_L + p_L q_H) x^{n/2} + p_L q_L$

$$\begin{split} \mathsf{multiply}(p,q) &= \mathsf{multiply}(p_H,q_H) \times x^n \\ &+ \left(\mathsf{multiply}(p_H,q_L) + \mathsf{multiply}(p_L,q_H) \right) \times x^{n/2} \\ &+ \mathsf{multiply}(p_L,q_L) \end{split}$$

- Recurrence: T(n) = 4T(n/2) + O(n)
- $\bullet \ T(n) = O(n^2)$

Reduce Number from 4 to 3

Reduce Number from 4 to 3

$$pq = (p_H x^{n/2} + p_L) (q_H x^{n/2} + q_L)$$

= $p_H q_H x^n + (p_H q_L + p_L q_H) x^{n/2} + p_L q_L$

Reduce Number from 4 to 3

$$pq = (p_H x^{n/2} + p_L) (q_H x^{n/2} + q_L)$$

= $p_H q_H x^n + (p_H q_L + p_L q_H) x^{n/2} + p_L q_L$

•
$$p_H q_L + p_L q_H = (p_H + p_L)(q_H + q_L) - p_H q_H - p_L q_L$$

```
r_H = \mathsf{multiply}(p_H, q_H)

r_L = \mathsf{multiply}(p_L, q_L)
```

$$\begin{split} r_H &= \mathsf{multiply}(p_H, q_H) \\ r_L &= \mathsf{multiply}(p_L, q_L) \\ \mathsf{multiply}(p, q) &= r_H \times x^n \\ &+ \left(\mathsf{multiply}(p_H + p_L, q_H + q_L) - r_H - r_L \right) \times x^{n/2} \\ &+ r_L \end{split}$$

$$\begin{split} r_H &= \mathsf{multiply}(p_H, q_H) \\ r_L &= \mathsf{multiply}(p_L, q_L) \\ \mathsf{multiply}(p, q) &= r_H \times x^n \\ &+ \left(\mathsf{multiply}(p_H + p_L, q_H + q_L) - r_H - r_L \right) \times x^{n/2} \\ &+ r_L \end{split}$$

• Solving Recurrence: T(n) = 3T(n/2) + O(n)

$$\begin{split} r_H &= \mathsf{multiply}(p_H, q_H) \\ r_L &= \mathsf{multiply}(p_L, q_L) \\ \mathsf{multiply}(p, q) &= r_H \times x^n \\ &+ \left(\mathsf{multiply}(p_H + p_L, q_H + q_L) - r_H - r_L \right) \times x^{n/2} \\ &+ r_L \end{split}$$

- Solving Recurrence: T(n) = 3T(n/2) + O(n)
- $T(n) = O(n^{\lg_2 3}) = O(n^{1.585})$

Assumption n is a power of 2. Arrays are 0-indexed.

$\mathsf{multiply}(A,B,n)$

- 1: if n = 1 then return (A[0]B[0])
- 2: $A_L \leftarrow A[0 ... n/2 1], A_H \leftarrow A[n/2 ... n 1]$
- 3: $B_L \leftarrow B[0 ... n/2 1], B_H \leftarrow B[n/2 ... n 1]$
- 4: $C_L \leftarrow \mathsf{multiply}(A_L, B_L, n/2)$
- 5: $C_H \leftarrow \mathsf{multiply}(A_H, B_H, n/2)$
- 6: $C_M \leftarrow \mathsf{multiply}(A_L + A_H, B_L + B_H, n/2)$
- 7: $C \leftarrow \text{array of } (2n-1) \text{ 0's}$
- 8: **for** $i \leftarrow 0$ to n-2 **do**
- 9: $C[i] \leftarrow C[i] + C_L[i]$
- 9: $C[i] \leftarrow C[i] + C_L[i]$
- 10: $C[i+n] \leftarrow C[i+n] + C_H[i]$
- 11: $C[i+n/2] \leftarrow C[i+n/2] + C_M[i] C_L[i] C_H[i]$
- 12: return C

Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
 - Quicksort
 - Lower Bound for Comparison-Based Sorting Algorithms
 - Selection Problem
- 4 Polynomial Multiplication
- 5 Other Classic Algorithms using Divide-and-Conquer
- 6 Solving Recurrences
- Computing *n*-th Fibonacci Number

- Closest pair
- Convex hull
- Matrix multiplication
- ullet FFT(Fast Fourier Transform): polynomial multiplication in $O(n\lg n)$ time

Closest Pair

Input: n points in plane: $(x_1, y_1), (x_2, y_2), \cdots, (x_n, y_n)$

Output: the pair of points that are closest

Closest Pair

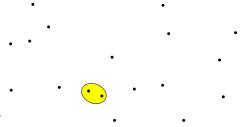
Input: n points in plane: $(x_1, y_1), (x_2, y_2), \cdots, (x_n, y_n)$

Output: the pair of points that are closest

Closest Pair

Input: n points in plane: $(x_1, y_1), (x_2, y_2), \cdots, (x_n, y_n)$

Output: the pair of points that are closest

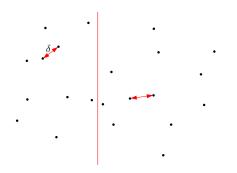


• Trivial algorithm: $O(n^2)$ running time

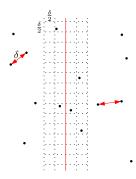
• Divide: Divide the points into two halves via a vertical line

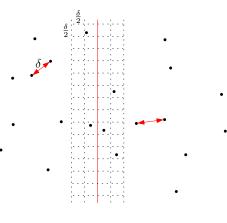
• **Divide**: Divide the points into two halves via a vertical line

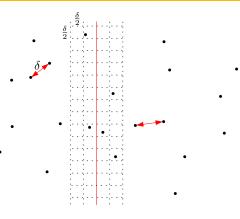
• Conquer: Solve two sub-instances recursively



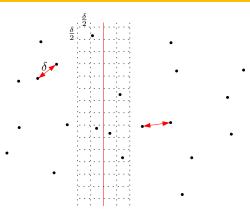
- Divide: Divide the points into two halves via a vertical line
- Conquer: Solve two sub-instances recursively
- **Combine**: Check if there is a closer pair between left-half and right-half



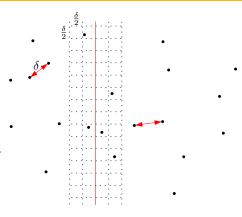




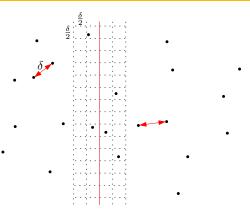
Each box contains at most one pair



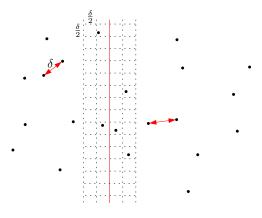
- Each box contains at most one pair
- ullet For each point, only need to consider O(1) boxes nearby



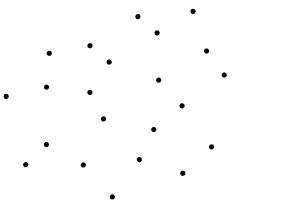
- Each box contains at most one pair
- ullet For each point, only need to consider O(1) boxes nearby
- time for combine = O(n) (many technicalities omitted)

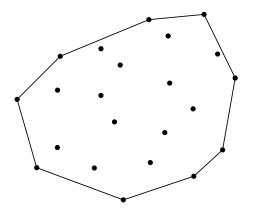


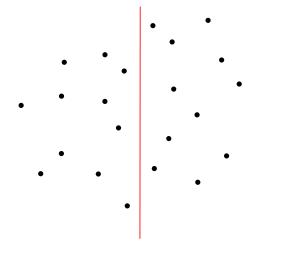
- Each box contains at most one pair
- ullet For each point, only need to consider O(1) boxes nearby
- time for combine = O(n) (many technicalities omitted)
- Recurrence: T(n) = 2T(n/2) + O(n)

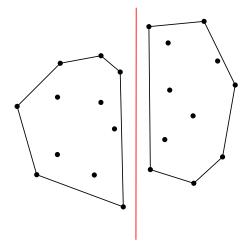


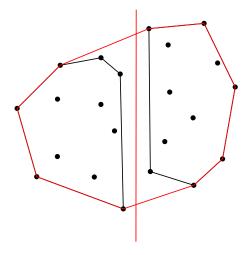
- Each box contains at most one pair
- ullet For each point, only need to consider O(1) boxes nearby
- time for combine = O(n) (many technicalities omitted)
- Recurrence: T(n) = 2T(n/2) + O(n)
- Running time: $O(n \lg n)$











Strassen's Algorithm for Matrix Multiplication

Matrix Multiplication

Input: two $n \times n$ matrices A and B

Output: C = AB

Strassen's Algorithm for Matrix Multiplication

Matrix Multiplication

Input: two $n \times n$ matrices A and B

Output: C = AB

Naive Algorithm: matrix-multiplication (A, B, n)

```
1: for i \leftarrow 1 to n do
```

2: **for**
$$j \leftarrow 1$$
 to n **do**

3:
$$C[i,j] \leftarrow 0$$

4: **for**
$$k \leftarrow 1$$
 to n **do**

5:
$$C[i,j] \leftarrow C[i,j] + A[i,k] \times B[k,j]$$

Strassen's Algorithm for Matrix Multiplication

Matrix Multiplication

Input: two $n \times n$ matrices A and B

Output: C = AB

Naive Algorithm: matrix-multiplication (A, B, n)

```
1: for i \leftarrow 1 to n do
```

2: **for**
$$j \leftarrow 1$$
 to n **do**

3:
$$C[i,j] \leftarrow 0$$

4: **for**
$$k \leftarrow 1$$
 to n **do**

5:
$$C[i,j] \leftarrow C[i,j] + A[i,k] \times B[k,j]$$

6: return C

• running time = $O(n^3)$

Try to Use Divide-and-Conquer

$$\bullet \ C = \begin{pmatrix} A_{11}B_{11} + A_{12}B_{21} & A_{11}B_{12} + A_{12}B_{22} \\ A_{21}B_{11} + A_{22}B_{21} & A_{21}B_{12} + A_{22}B_{22} \end{pmatrix}$$

• matrix_multiplication(A,B) recursively calls matrix_multiplication (A_{11},B_{11}) , matrix_multiplication (A_{12},B_{21}) , . . .

Try to Use Divide-and-Conquer

$$A = \begin{bmatrix} n/2 \\ A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} n/2 \qquad B = \begin{bmatrix} n/2 \\ B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix} n/2$$

$$\bullet \ C = \begin{pmatrix} A_{11}B_{11} + A_{12}B_{21} & A_{11}B_{12} + A_{12}B_{22} \\ A_{21}B_{11} + A_{22}B_{21} & A_{21}B_{12} + A_{22}B_{22} \end{pmatrix}$$

- matrix_multiplication(A,B) recursively calls matrix_multiplication (A_{11},B_{11}) , matrix_multiplication (A_{12},B_{21}) , . . .
- Recurrence for running time: $T(n) = 8T(n/2) + O(n^2)$
- $T(n) = O(n^3)$

Strassen's Algorithm

- $T(n) = 8T(n/2) + O(n^2)$
- Strassen's Algorithm: improve the number of multiplications from 8 to 7!
- New recurrence: $T(n) = 7T(n/2) + O(n^2)$

Strassen's Algorithm

- $T(n) = 8T(n/2) + O(n^2)$
- Strassen's Algorithm: improve the number of multiplications from 8 to 7!
- New recurrence: $T(n) = 7T(n/2) + O(n^2)$
- Solving Recurrence $T(n) = O(n^{\log_2 7}) = O(n^{2.808})$

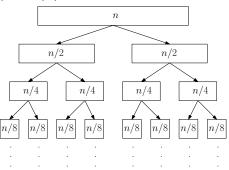
Outline

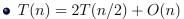
- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
 - Quicksort
 - Lower Bound for Comparison-Based Sorting Algorithms
 - Selection Problem
- 4 Polynomial Multiplication
- 5 Other Classic Algorithms using Divide-and-Conquer
- Solving Recurrences
- Computing *n*-th Fibonacci Number

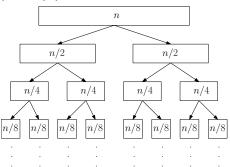
Methods for Solving Recurrences

- The recursion-tree method
- The master theorem

•
$$T(n) = 2T(n/2) + O(n)$$

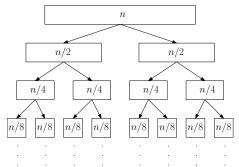






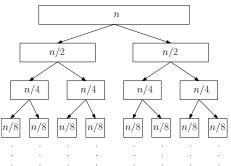
ullet Each level takes running time O(n)

•
$$T(n) = 2T(n/2) + O(n)$$



- Each level takes running time O(n)
- There are $O(\lg n)$ levels

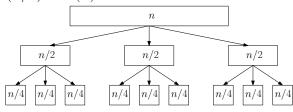
•
$$T(n) = 2T(n/2) + O(n)$$

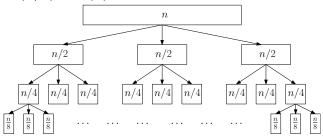


- Each level takes running time O(n)
- There are $O(\lg n)$ levels
- Running time = $O(n \lg n)$

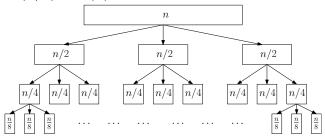
•
$$T(n) = 3T(n/2) + O(n)$$

•
$$T(n) = 3T(n/2) + O(n)$$



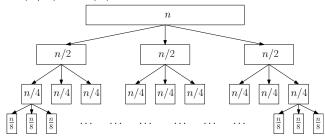


• T(n) = 3T(n/2) + O(n)

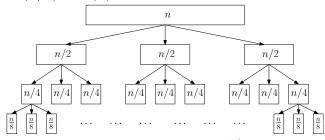


• Total running time at level i?

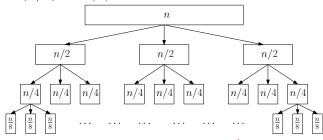
• T(n) = 3T(n/2) + O(n)



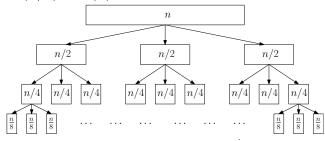
ullet Total running time at level i? $\frac{n}{2^i} imes 3^i = \left(\frac{3}{2}\right)^i n$



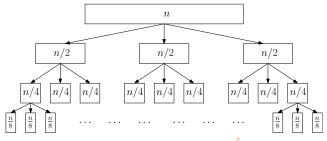
- ullet Total running time at level i? $\frac{n}{2^i} imes 3^i = \left(\frac{3}{2}\right)^i n$
- Index of last level?



- ullet Total running time at level i? $\frac{n}{2^i} imes 3^i = \left(\frac{3}{2}\right)^i n$
- Index of last level? $lg_2 n$



- ullet Total running time at level i? $\frac{n}{2^i} imes 3^i = \left(\frac{3}{2}\right)^i n$
- Index of last level? $lg_2 n$
- Total running time?

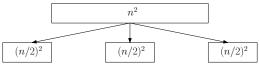


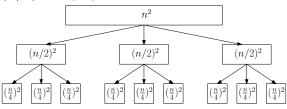
- Total running time at level i? $\frac{n}{2^i} \times 3^i = \left(\frac{3}{2}\right)^i n$
- Index of last level? $lg_2 n$
- Total running time?

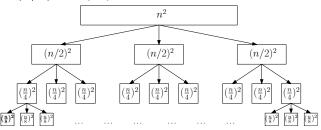
$$\sum_{i=0}^{\lg_2 n} \left(\frac{3}{2}\right)^i n = O\left(n\left(\frac{3}{2}\right)^{\lg_2 n}\right) = O(3^{\lg_2 n}) = O(n^{\lg_2 3}).$$

•
$$T(n) = 3T(n/2) + O(n^2)$$

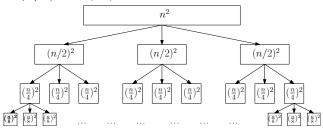
$$T(n) = 3T(n/2) + \frac{O(n^2)}{n^2}$$





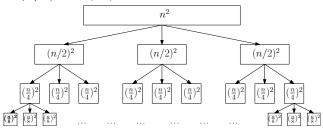


• $T(n) = 3T(n/2) + O(n^2)$



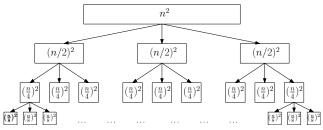
• Total running time at level *i*?

• $T(n) = 3T(n/2) + \frac{O(n^2)}{n^2}$

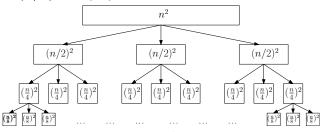


 \bullet Total running time at level $i?~\left(\frac{n}{2^i}\right)^2\times 3^i=\left(\frac{3}{4}\right)^in^2$

• $T(n) = 3T(n/2) + \frac{O(n^2)}{n^2}$

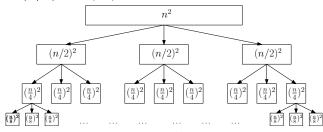


- \bullet Total running time at level $i?~\left(\frac{n}{2^i}\right)^2\times 3^i=\left(\frac{3}{4}\right)^in^2$
- Index of last level?

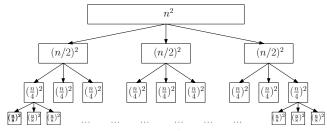


- Total running time at level $i? \left(\frac{n}{2^i}\right)^2 \times 3^i = \left(\frac{3}{4}\right)^i n^2$
- Index of last level? $lg_2 n$

• $T(n) = 3T(n/2) + \frac{O(n^2)}{n^2}$

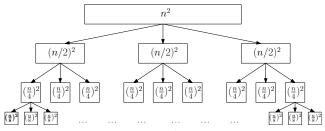


- Total running time at level i? $\left(\frac{n}{2^i}\right)^2 \times 3^i = \left(\frac{3}{4}\right)^i n^2$
- ullet Index of last level? $\lg_2 n$
- Total running time?



- Total running time at level i? $\left(\frac{n}{2^i}\right)^2 \times 3^i = \left(\frac{3}{4}\right)^i n^2$
- Index of last level? $lg_2 n$
- Total running time?

$$\sum_{i=0}^{\lg_2 n} \left(\frac{3}{4}\right)^i n^2 =$$



- Total running time at level i? $\left(\frac{n}{2^i}\right)^2 \times 3^i = \left(\frac{3}{4}\right)^i n^2$
- Index of last level? $lg_2 n$
- Total running time?

$$\sum_{i=0}^{\lg_2 n} \left(\frac{3}{4}\right)^i n^2 = O(n^2).$$

Master Theorem

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)				$O(n \lg n)$
T(n) = 3T(n/2) + O(n)				$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$				$O(n^2)$

Theorem $T(n) = aT(n/b) + O(n^c)$, where $a \ge 1, b > 1, c \ge 0$ are constants. Then,

Master Theorem

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)				$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$				$O(n^2)$

Theorem $T(n) = aT(n/b) + O(n^c)$, where $a \ge 1, b > 1, c \ge 0$ are constants. Then,

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$				$O(n^2)$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$	3	2	2	$O(n^2)$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$	3	2	2	$O(n^2)$

$$T(n) = \begin{cases} & \text{if } c < \lg_b a \\ & \text{if } c = \lg_b a \\ & \text{if } c > \lg_b a \end{cases}$$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$	3	2	2	$O(n^2)$

$$T(n) = \begin{cases} ?? & \text{if } c < \lg_b a \\ & \text{if } c = \lg_b a \\ & \text{if } c > \lg_b a \end{cases}$$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$	3	2	2	$O(n^2)$

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ & \text{if } c = \lg_b a \\ & \text{if } c > \lg_b a \end{cases}$$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$	3	2	2	$O(n^2)$

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ & \text{if } c = \lg_b a \\ \ref{eq:constraints} & \text{if } c > \lg_b a \end{cases}$$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$	3	2	2	$O(n^2)$

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$	3	2	2	$O(n^2)$

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ \ref{eq:constraint} & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$	3	2	2	$O(n^2)$

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

• Ex: $T(n) = 4T(n/2) + O(n^2)$. Which Case?

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

• Ex: $T(n) = 4T(n/2) + O(n^2)$. Case 2.

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

• Ex:
$$T(n) = 4T(n/2) + O(n^2)$$
. Case 2. $T(n) = O(n^2 \lg n)$

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

- Ex: $T(n) = 4T(n/2) + O(n^2)$. Case 2. $T(n) = O(n^2 \lg n)$
- Ex: T(n) = 3T(n/2) + O(n). Which Case?

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

- Ex: $T(n) = 4T(n/2) + O(n^2)$. Case 2. $T(n) = O(n^2 \lg n)$
- Ex: T(n) = 3T(n/2) + O(n). Case 1.

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

- Ex: $T(n) = 4T(n/2) + O(n^2)$. Case 2. $T(n) = O(n^2 \lg n)$
- Ex: T(n) = 3T(n/2) + O(n). Case 1. $T(n) = O(n^{\log_2 3})$

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

- Ex: $T(n) = 4T(n/2) + O(n^2)$. Case 2. $T(n) = O(n^2 \lg n)$
- Ex: T(n) = 3T(n/2) + O(n). Case 1. $T(n) = O(n^{\lg_2 3})$
- Ex: T(n) = T(n/2) + O(1). Which Case?

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

- Ex: $T(n) = 4T(n/2) + O(n^2)$. Case 2. $T(n) = O(n^2 \lg n)$
- Ex: T(n) = 3T(n/2) + O(n). Case 1. $T(n) = O(n^{\lg_2 3})$
- Ex: T(n) = T(n/2) + O(1). Case 2.

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

- Ex: $T(n) = 4T(n/2) + O(n^2)$. Case 2. $T(n) = O(n^2 \lg n)$
- Ex: T(n) = 3T(n/2) + O(n). Case 1. $T(n) = O(n^{\lg_2 3})$
- Ex: T(n) = T(n/2) + O(1). Case 2. $T(n) = O(\lg n)$

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

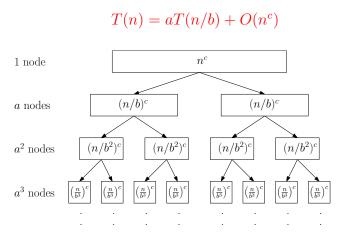
- Ex: $T(n) = 4T(n/2) + O(n^2)$. Case 2. $T(n) = O(n^2 \lg n)$
- Ex: T(n) = 3T(n/2) + O(n). Case 1. $T(n) = O(n^{\lg_2 3})$
- Ex: T(n) = T(n/2) + O(1). Case 2. $T(n) = O(\lg n)$
- Ex: $T(n) = 2T(n/2) + O(n^2)$. Which Case?

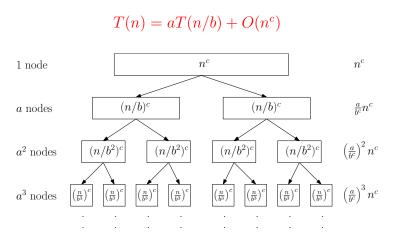
$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

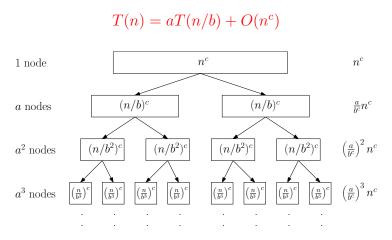
- Ex: $T(n) = 4T(n/2) + O(n^2)$. Case 2. $T(n) = O(n^2 \lg n)$
- Ex: T(n) = 3T(n/2) + O(n). Case 1. $T(n) = O(n^{\lg_2 3})$
- Ex: T(n) = T(n/2) + O(1). Case 2. $T(n) = O(\lg n)$
- Ex: $T(n) = 2T(n/2) + O(n^2)$. Case 3.

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

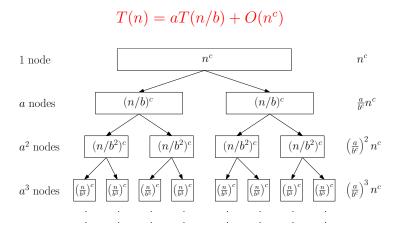
- Ex: $T(n) = 4T(n/2) + O(n^2)$. Case 2. $T(n) = O(n^2 \lg n)$
- Ex: T(n) = 3T(n/2) + O(n). Case 1. $T(n) = O(n^{\log_2 3})$
- Ex: T(n) = T(n/2) + O(1). Case 2. $T(n) = O(\lg n)$
- Ex: $T(n) = 2T(n/2) + O(n^2)$. Case 3. $T(n) = O(n^2)$



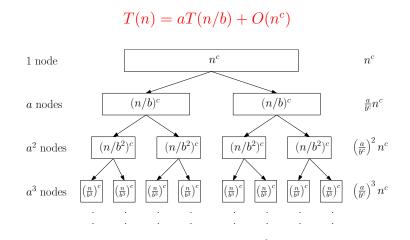




ullet $c<\lg_b a$: bottom-level dominates: $\left(rac{a}{b^c}
ight)^{\lg_b n} n^c = n^{\lg_b a}$



- $c < \lg_b a$: bottom-level dominates: $\left(\frac{a}{b^c}\right)^{\lg_b n} n^c = n^{\lg_b a}$
- ullet $c = \lg_b a$: all levels have same time: $n^c \lg_b n = O(n^c \lg n)$



- \bullet $c<\lg_b a$: bottom-level dominates: $\left(\frac{a}{b^c}\right)^{\lg_b n} n^c = n^{\lg_b a}$
- $c = \lg_b a$: all levels have same time: $n^c \lg_b n = O(n^c \lg n)$
- $c > \lg_b a$: top-level dominates: $O(n^c)$

Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
 - Quicksort
 - Lower Bound for Comparison-Based Sorting Algorithms
 - Selection Problem
- 4 Polynomial Multiplication
- Other Classic Algorithms using Divide-and-Conquer
- 6 Solving Recurrences

Fibonacci Numbers

- $F_0 = 0, F_1 = 1$
- $F_n = F_{n-1} + F_{n-2}, \forall n \ge 2$
- \bullet Fibonacci sequence: $0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, \cdots$

n-th Fibonacci Number

Input: integer n > 0

Output: F_n

$\mathsf{Fib}(n)$

```
1: if n = 0 return 0
```

2: if n = 1 return 1

3: return Fib(n-1) + Fib(n-2)

Q: Is the running time of the algorithm polynomial or exponential in n?

Fib(n)

- 1: if n = 0 return 0
- 2: if n = 1 return 1
- 3: return Fib(n-1) + Fib(n-2)

 ${f Q:}$ Is the running time of the algorithm polynomial or exponential in n?

A: Exponential

$\mathsf{Fib}(n)$

- 1: if n = 0 return 0
- 2: if n = 1 return 1
- 3: return Fib(n-1) + Fib(n-2)

Q: Is the running time of the algorithm polynomial or exponential in n?

A: Exponential

• Running time is at least $\Omega(F_n)$

Fib(n)

- 1: if n = 0 return 0
- 2: if n=1 return 1
- 3: return Fib(n-1) + Fib(n-2)

Q: Is the running time of the algorithm polynomial or exponential in n?

A: Exponential

- ullet Running time is at least $\Omega(F_n)$
- F_n is exponential in n

Computing F_n : Reasonable Algorithm

Fib(n)

- 1: $F[0] \leftarrow 0$
- 2: $F[1] \leftarrow 1$
- 3: **for** $i \leftarrow 2$ to n **do**
- 4: $F[i] \leftarrow F[i-1] + F[i-2]$
- 5: return F[n]
- Dynamic Programming

Computing F_n : Reasonable Algorithm

Fib(n)

- 1: $F[0] \leftarrow 0$
- 2: $F[1] \leftarrow 1$
- 3: **for** $i \leftarrow 2$ to n **do**
- 4: $F[i] \leftarrow F[i-1] + F[i-2]$
- 5: return F[n]
- Dynamic Programming
- Running time = ?

Computing F_n : Reasonable Algorithm

Fib(n)

- 1: $F[0] \leftarrow 0$
- 2: $F[1] \leftarrow 1$
- 3: **for** $i \leftarrow 2$ to n **do**
- 4: $F[i] \leftarrow F[i-1] + F[i-2]$
- 5: return F[n]
- Dynamic Programming
- Running time = O(n)

Computing F_n : Even Better Algorithm

$$\begin{pmatrix} F_{n} \\ F_{n-1} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} F_{n-1} \\ F_{n-2} \end{pmatrix}$$
$$\begin{pmatrix} F_{n} \\ F_{n-1} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{2} \begin{pmatrix} F_{n-2} \\ F_{n-3} \end{pmatrix}$$
$$\cdots$$
$$\begin{pmatrix} F_{n} \\ F_{n-1} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n-1} \begin{pmatrix} F_{1} \\ F_{0} \end{pmatrix}$$

- 1: if n = 0 then return $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$
- 2: $R \leftarrow \mathsf{power}(\lfloor n/2 \rfloor)$
- 3: $R \leftarrow R \times R$
- 4: if n is odd then $R \leftarrow R \times \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$
- 5: return R

Fib(n)

- 1: if n = 0 then return 0
- 2: $M \leftarrow \mathsf{power}(n-1)$
- 3: return M[1][1]

- 1: if n = 0 then return $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$
- 2: $R \leftarrow \mathsf{power}(\lfloor n/2 \rfloor)$
- 3: $R \leftarrow R \times R$
- 4: if n is odd then $R \leftarrow R \times \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$
- 5: return R

Fib(n)

- 1: if n = 0 then return 0
- 2: $M \leftarrow \mathsf{power}(n-1)$
- 3: **return** M[1][1]

Recurrence for running time?

- 1: if n = 0 then return $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$
- 2: $R \leftarrow \mathsf{power}(\lfloor n/2 \rfloor)$
- 3: $R \leftarrow R \times R$
- 4: if n is odd then $R \leftarrow R \times \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$
- 5: return R

Fib(n)

- 1: if n = 0 then return 0
- 2: $M \leftarrow \mathsf{power}(n-1)$
- 3: **return** M[1][1]
- Recurrence for running time? T(n) = T(n/2) + O(1)

- 1: if n = 0 then return $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ 2: $R \leftarrow \mathsf{power}(\lfloor n/2 \rfloor)$
- 3: $R \leftarrow R \times R$
- 4: if n is odd then $R \leftarrow R \times \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$
- 5: **return** R

$\mathsf{Fib}(n)$

- 1: if n = 0 then return 0
- 2: $M \leftarrow \mathsf{power}(n-1)$
- 3: **return** M[1][1]
- Recurrence for running time? T(n) = T(n/2) + O(1)
- $\bullet \ T(n) = O(\lg n)$

Q: How many bits do we need to represent F(n)?

Q: How many bits do we need to represent F(n)?

A: $\Theta(n)$

Q: How many bits do we need to represent F(n)?

A: $\Theta(n)$

• We can not add (or multiply) two integers of $\Theta(n)$ bits in O(1) time

Q: How many bits do we need to represent F(n)?

A: $\Theta(n)$

- \bullet We can not add (or multiply) two integers of $\Theta(n)$ bits in O(1) time
- ullet Even printing F(n) requires time much larger than $O(\lg n)$

Q: How many bits do we need to represent F(n)?

A: $\Theta(n)$

- \bullet We can not add (or multiply) two integers of $\Theta(n)$ bits in O(1) time
- ullet Even printing F(n) requires time much larger than $O(\lg n)$

Fixing the Problem

To compute F_n , we need $O(\lg n)$ basic arithmetic operations on integers

- Divide: Divide instance into many smaller instances
- Conquer: Solve each of smaller instances recursively and separately
- **Combine**: Combine solutions to small instances to obtain a solution for the original big instance

- Divide: Divide instance into many smaller instances
- Conquer: Solve each of smaller instances recursively and separately
- **Combine**: Combine solutions to small instances to obtain a solution for the original big instance
- Write down recurrence for running time
- Solve recurrence using master theorem

• Merge sort, quicksort, count-inversions, closest pair, \cdots : $T(n) = 2T(n/2) + O(n) \Rightarrow T(n) = O(n \lg n)$

- Merge sort, quicksort, count-inversions, closest pair, \cdots : $T(n) = 2T(n/2) + O(n) \Rightarrow T(n) = O(n \lg n)$
- Integer Multiplication: $T(n) = 3T(n/2) + O(n) \Rightarrow T(n) = O(n^{\lg_2 3})$

- Merge sort, quicksort, count-inversions, closest pair, \cdots : $T(n) = 2T(n/2) + O(n) \Rightarrow T(n) = O(n \lg n)$
- Integer Multiplication: $T(n) = 3T(n/2) + O(n) \Rightarrow T(n) = O(n^{\lg_2 3})$
- Matrix Multiplication: $T(n) = 7T(n/2) + O(n^2) \Rightarrow T(n) = O(n^{\lg_2 7})$

- Merge sort, quicksort, count-inversions, closest pair, \cdots : $T(n) = 2T(n/2) + O(n) \Rightarrow T(n) = O(n \lg n)$
- Integer Multiplication: $T(n) = 3T(n/2) + O(n) \Rightarrow T(n) = O(n^{\lg_2 3})$
- Matrix Multiplication: $T(n) = 7T(n/2) + O(n^2) \Rightarrow T(n) = O(n^{\lg_2 7})$
- Usually, designing better algorithm for "combine" step is key to improve running time