

CSC 205

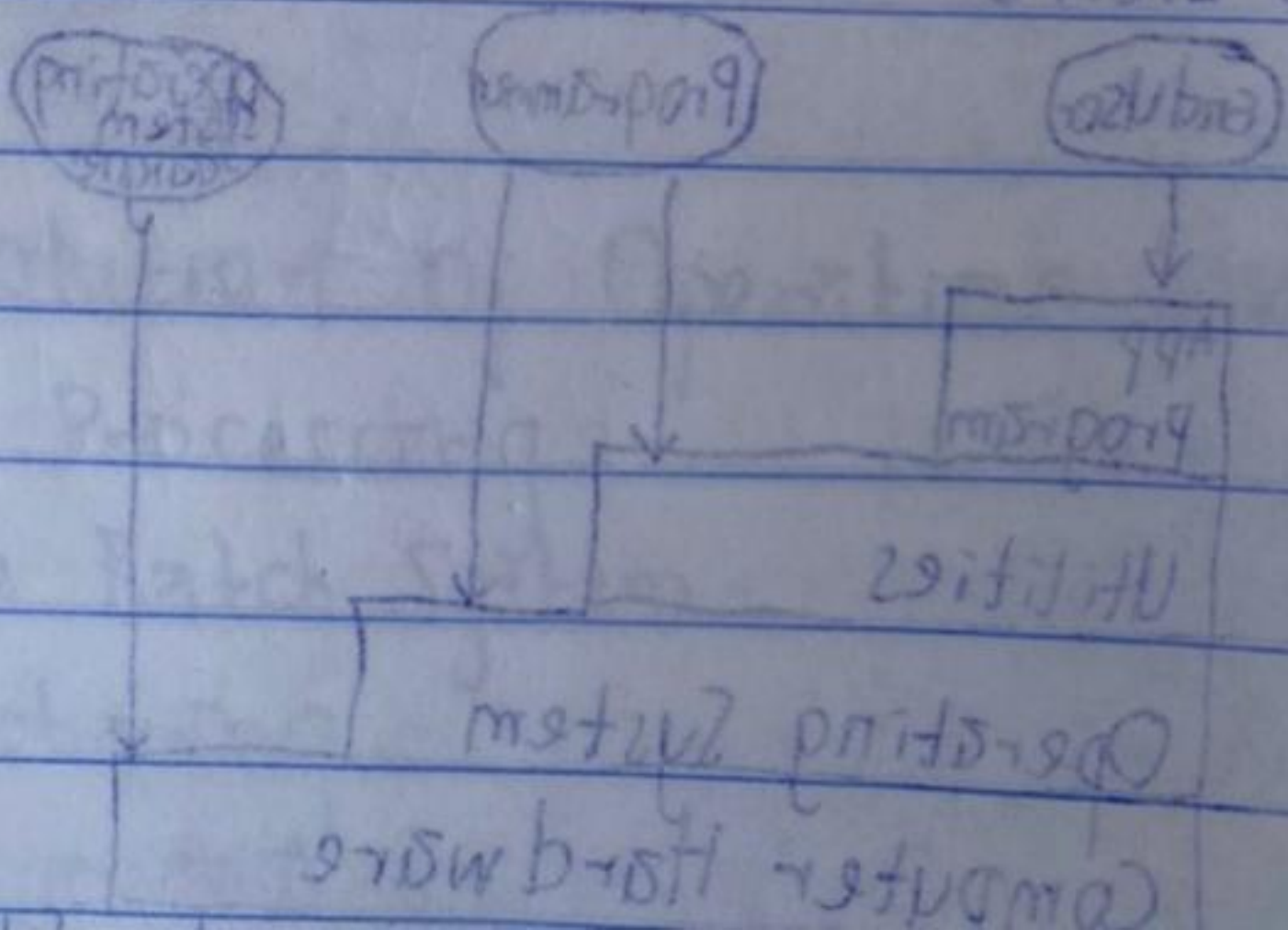
# Operating System I (3 Units) - Comp

## Introduction

Definition of Operating System

History " " "

Operating System Structure.





# Operating System I

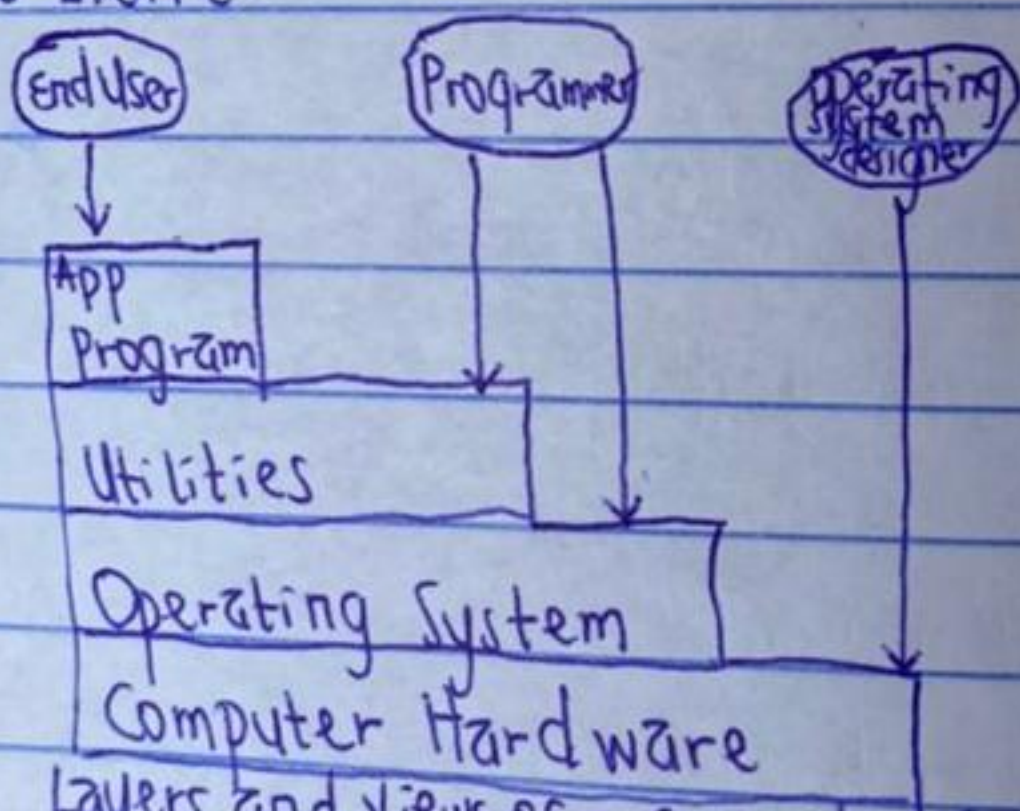
It's a program that controls execution of Application programs and acts as an interface between Application and Computer Hardware

Objective

Convenience

Efficiency.

Ability to Evolve.



Layers and views of a Computer System.

Service rendered by Operating System.

It provides many Services ranging from program development, Program execution, controls of files accessing, System access, error detection and Response, Accounting, Access input/output.

Operating System as a resource Manager.



and processing of data And also for the control of this function. The Operating System is Responsible for Managing these resources.

Resources Manage by the Operating System.

- Processes
- Memory
- Files - Read/Write.
- I/O devices.

Evolution of Operating System.

- Serial Processing
- Simple Batch System.
- Time sharing.
- Multiprocessing.

Serial processing is time consuming as there is no scheduler and set up time which result to inefficiency. To overcome this, they evolved and started using Simple Batch System. To eradicate set up time, they came up with monitor. Monitor was called Operating System back then, the initiative of monitor eradicated the set up time issues. Operating went on and evolved to time sharing where it



are issued to Operating System hereby making it efficient.

Processes → Next Class.

## Process

A process is a program in execution. A process is also defined as an instance of a program running on a computer. It is also defined as the entity that can be assigned to and executed on a processor. We can say a process consists of two essential elements: Program code and a set of data.

What do we mean by program code? Program code are executable programs while set of data is the data associated with the code (i.e. program code).

A process is characterized by the following Element.

- Identifier	Identifier
- State	State
- Priority	;
- Program Counter	;
- Memory Pointer	;
- Context data	;
- I/O Status	acc info

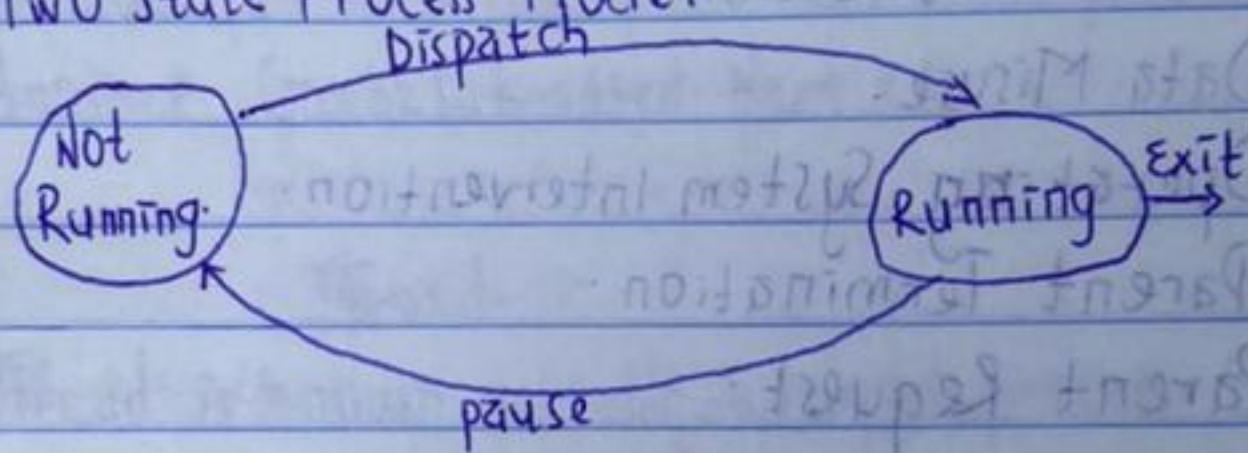
Process control block.



Trace: Trace keeps track of sequence of instructions that execute for a particular process.

Dispatcher: Dispatcher is the program that switches the processor from one process to another.

### Two State Process Model



### State transition diagram.

#### Reasons for Process Creation.

- New Batch Job
- Interactive Logon
- Created by Operating System to provide Service.
- Spawned by Existing process

#### Reason FOR PROCESS TERMINATION

- Time Limit exceeded.
- Memory Unavailable.



Bound Violation (Trying to access what is not in its boundary)

- Protection Error
- Arithmetic Error
- Time Overrun
- I/O Failure
- Invalid instruction
- Data Misuse.
- Operating System Intervention.
- Parent Termination.
- Parent Request.



Possible State transition for a Process

- Null  $\rightarrow$  New.
- New  $\rightarrow$  Ready (Admitted).
- Ready  $\rightarrow$  Running.
- Running  $\rightarrow$  Exit.
- Running  $\rightarrow$  Ready.
- Running  $\rightarrow$  Blocked.



Blocked  $\rightarrow$  Ready.

Ready  $\rightarrow$  Exit (Process Timeout)

Block  $\rightarrow$  Exit

## Assignment

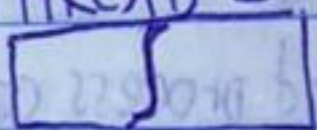
Discuss the Condition for transition from One state to Another. (To be submitted Next Class).

## Thread.

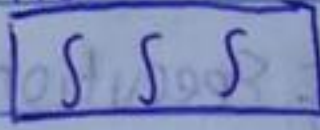
Thread is known as a lightweight process. Every process has two characteristics

Resource Ownership & Schedule. Resources can be Memory. When a process is making use of resources it retains Acquire a Resource Ownership.

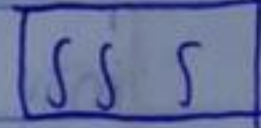
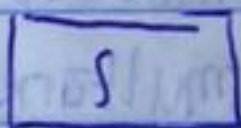
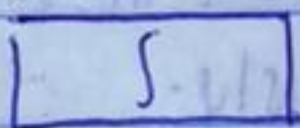
## THREAD - PROCESS RELATIONSHIP.



One P 1T



1P - MT



MP - MT

Thread also has its own thread control block just as process has its own Process control Block.



## Threads.

21-09-2024

Examples/uses of thread in a single user.

Foreground and Background <sup>Problem</sup> ~~word~~: In a Spreadsheet program one thread could display many and read user's input where another thread execute user commands and update the spreadsheet. This arrangement increases the speed of the application by allowing the program to prompt for the next command before the previous command is executed.

Asynchronous Element: Can be implemented as thread as a protection against power failure. One can design a <sup>word</sup> ~~One~~ document to write to its RAM Buffer to disk once every minutes. The thread can be created whose job is to periodically backup data of a ram.

Speed of Execution:- A multithread process can compute one batch of data while reading next batch. On a multi-processor system, multiple threads from the same processes may be able to execute simultaneously.



## KEY STATE OF A THREAD.

- Running
- Ready
- Blocked

We ~~have~~ don't have Suspended State for thread.

The basic thread Operation Associated with a Change in thread State

- Spawn: When a process give birth to another process it affect the State of thread.
- Blocked
- Unblocked
- Finished

## USER LEVEL AND KERNEL LEVEL THREADS.

There are two broad categories of thread Implementation which are

- User Level Threads (ULT)
- Kernel Level Thread (KLT)

1. User Level Threads (ULT): In a pure user level thread facility all the work of thread Management is done by the Application and the kernel is not Aware of the existence of threads. Any



application can be programmed to the multithread by using a thread library.

There are a number of advantages of ULT.

- 1 Thread Switching does not require kernel mode privileges.
- 2 Scheduling can be application specific.
- 3 It <sup>can</sup> ~~was~~ run on any OS.

It has two disadvantages. They are

- 1 Many system calls are blocking. - Therefore when a ULT execute a system not only is that thread blocked but all the threads within the process are blocked.
2. In a pure ULT a multithreaded application cannot take advantage of multiprocessing.

There are two major solutions to ULT disadvantages.

- 1 Avoid thread and adopt the use of process.
2. By using a technique called Jacketing.  
The purpose of Jacketing is to converting blocking system call into non-blocking system calls. When system call are made the Jacket will remove the blocked thread in order not to affect the unblocked threads.

#### KERNEL LEVEL THREAD

In KLT, all the work of thread management is done by the



kernel. This approach will conquer the two ULT disadvantages.

### CONCURRENCY

Multiprogramming is a rudimentary form of parallel processing in which several programs are run at the same time on a Uniprocessor.

Multiprocessing is a mode of operation in which two or more processors in a computer simultaneously process two or more different portions of the same program.

Distributed processing is a setup in which multiple individual central processing units (CPU) work on the same programs, functions or systems to provide more capability for a computer or other device.

**Multiprogramming**:- The management of multiple processes within a Uniprocessor.

**Multiprocessing**:- The management of multiple processes within



multiple processor.

Distributed Processing:- The management of multiple distributed Computer Systems.

### KEY TERMS IN CONCURRENCY

- Atomic Operation
- Critical Section
- Dead Lock
- Live Lock
- Mutual Exclusion.
- Race Condition.
- Starvation.

1 Atomic Operation:- A Sequence of one or more statements that appears to be indivisible i.e. no other process can see an intermediate state or interrupt the operation.

2 Critical Section:- A section of code within a process that requires access to shared resources and that must not be executed while another process is in a corresponding section of code.



3 Dead lock: A situation in which two or more processes are unable to proceed because each is waiting for the other to do something.

4 Live lock: A situation in which two or more processes continuously change their state in response to changes in the other process without doing useful work.

5 Mutual Exclusion: Requirement that one process is in a critical section that accesses shared resources no other process may be in a critical section that access any of those shared resources.

6 Race Condition: A situation in which multiple threads or processes read and write a shared data item and the final result depends on the relative timing of their execution.

7 Starvation: A situation in which a runnable process is overlooked indefinitely by the scheduler although it is able to proceed but it is never chosen.



## Principles of Concurrency

05/10/2021

\* It is difficult for the OS to manage the allocation of memory resources.

It is very difficult to locate the programming error because result is non deterministic.

## Sharing of Global Resources

Consider the following Procedure:

```
void echo()
```

```
{
```

```
    char cin = getChar();
```

```
    char cout = cin;
```

```
    putchar(cout);
```

1. Process  $P_1$  invokes echo procedure and is interrupted immediately after getChar returns its value and stored it in cin. At this point, the most recently entered characters is stored in variable cin.

2. Process  $P_2$  is activated and invoke the echo procedures which runs to conclusion, and display a single x ter y on the screen



- Process P, resumed, by this time, the value x has been Overwritten in chm and lost. Instead chm contains y, this is transferred to chout and displayed.

Design And Management issue that has to do with the Existence of Concurrency

- 1) Operating System must be able to keep track of various processes. This done with the use of process control block.
- 2) Operating system must allocate and disallocate various resources for each active process resources like processor time, memory, files, I/O devices.
- 3) Operating system must protect data and physical resources of each process against unintended inference by other processes.
- 4) The functioning of a process and the output to be produced must be independent of the speed at which it execution is carried out relative to the speed of other concurrent processes.



## Process Interaction

- 1) Process Unaware of each Other.
- 2) Processes Indirectly aware of each Other.
- 3) Processes Directly aware of each Other.

## Potential Control problem

- 1) Operating system must be able to keep track of various processes. This done with the use of process control block.
- 2) Operating system must allocate and deallocate various resources for each active process. resources like processor time, memory, files, I/O channel.
- 3) Operating system must protect data and physical resources of each process against interference by other processes.
- 4) The functioning of a process and the output to be produced must be independent of the speed at which it execution is carried out relative to the speed of other concurrent processes.



## Common Concurrency Mechanisms.

- Semaphores:- It is an integer value used for signaling among processes. Only three operations may be performed on a Semaphore.
- Binary Semaphore
- Mutex
- Condition variable
- Monitor
- Event flags
- Mailboxes / Messages
- Spinlocks.

Deadlock can be defined as the permanent blocking of a set of processes that either compete for system resources or communicate with each other.

Consumable Resources is one that be created (produced) and destroyed (consumed). Typically, there is no limit on the number of consumable resources of a particular type.

Resource Allocation Graphs is a useful tool in characterizing the allocation of resources to processes.



## The conditions for Deadlock

- |              |   |                  |
|--------------|---|------------------|
| possit exist | 1 | Mutual exclusion |
| possit exist | 2 | Hold and wait    |
| possit exist | 3 | No Preemption.   |
| Existence    | 4 | Circular Wait.   |