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# Question 1 : Flow Networks and Vertex Connectivity

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## Part 1: Flow Network with Vertex Capacities (7 Marks)

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### Problem

We have a flow network (like a system of pipes) where not only the pipes (edges) have a capacity, but the junctions (vertices) also have a limit  $\ell(v)$  on how much can flow through them. We need to show how to build a new, equivalent network that only has pipe capacities, but still respects the original junction limits.

### Solution

We replace each limited vertex  $v$  with two new vertices,  $v_{in}$  and  $v_{out}$ , and an edge between them.

1. **Split the Vertex:** For every vertex  $v$  (except the main source  $s$  or sink  $t$ ), we create two new vertices:  $v_{in}$  (the "entrance") and  $v_{out}$  (the "exit").
2. **Add Internal Edge:** We connect these two new vertices with a single, new edge  $(v_{in}, v_{out})$ .
3. **Assign Capacities:**
  - We set the capacity of this new edge  $(v_{in}, v_{out})$  to be the original vertex limit:  $c'(v_{in}, v_{out}) = \ell(v)$ . This edge now models the vertex's bottleneck.
  - All original edges  $(u, v)$  that used to enter  $v$  are rerouted to enter  $v_{in}$ . They keep their original edge capacity,  $c(u, v)$ .
  - All original edges  $(v, w)$  that used to leave  $v$  are rerouted to leave from  $v_{out}$ . They also keep their original capacity,  $c(v, w)$ .

### Proof of Correctness

We prove that the vertex-capacitated max-flow problem on  $G$  is equivalent to the standard edge-capacitated max-flow problem on the transformed graph  $G'$ .

**Flow Preservation Construction.** Let  $G = (V, E)$  be the original graph with vertex capacities  $\ell(v)$  for all  $v \in V \setminus \{s, t\}$  and edge capacities  $c(u, v)$ . The transformed graph

$G' = (V', E')$  is constructed by replacing every  $v \in V \setminus \{s, t\}$  with two nodes  $v_{in}$  and  $v_{out}$  connected by an edge  $(v_{in}, v_{out})$  of capacity

$$c'(v_{in}, v_{out}) = \ell(v).$$

Every original edge  $(u, v) \in E$  is replaced by an edge  $(u_{out}, v_{in})$  in  $G'$  with the same capacity:

$$c'(u_{out}, v_{in}) = c(u, v).$$

All incoming original edges to  $v$  now end at  $v_{in}$  and all outgoing edges start from  $v_{out}$ . The source  $s$  and sink  $t$  remain unchanged.

**Claim 1: Every feasible flow in  $G$  corresponds to a feasible flow in  $G'$ .** Let  $f$  be any feasible flow in  $G$ . Define a flow  $f'$  in  $G'$  as follows:

$$f'(u_{out}, v_{in}) = f(u, v) \text{ for all } (u, v) \in E,$$

$$f'(v_{in}, v_{out}) = \sum_{(v,w) \in E} f(v, w),$$

where the equality follows from flow conservation at  $v$  in  $G$ . Since  $f$  satisfies edge capacities in  $G$ , we have

$$f'(u_{out}, v_{in}) = f(u, v) \leq c(u, v) = c'(u_{out}, v_{in}).$$

Also, because  $f$  satisfies the vertex-capacity constraint in  $G$ ,

$$f'(v_{in}, v_{out}) = \text{flow through } v \leq \ell(v) = c'(v_{in}, v_{out}).$$

Flow conservation holds at every  $v_{in}$  and  $v_{out}$  in  $G'$  by construction, so  $f'$  is a feasible flow. Moreover, the total flow out of  $s$  in  $G$  equals that in  $G'$ :

$$|f| = |f'|.$$

**Claim 2: Every feasible flow in  $G'$  corresponds to a feasible flow in  $G$ .** Let  $f'$  be any feasible flow in  $G'$ . Define a flow  $f$  in  $G$  by

$$f(u, v) = f'(u_{out}, v_{in}).$$

Since  $f'$  satisfies edge capacities in  $G'$ ,  $f$  satisfies edge capacities in  $G$ . Also, the flow through a vertex  $v$  in  $G$  equals  $f'(v_{in}, v_{out})$  in  $G'$ , and since

$$f'(v_{in}, v_{out}) \leq c'(v_{in}, v_{out}) = \ell(v),$$

the vertex capacity in  $G$  is respected. Flow conservation holds in  $G$  because it holds at  $v_{in}$  and  $v_{out}$  in  $G'$ . The value of the flow is preserved:

$$|f| = |f'|.$$

**Conclusion: Equality of Maximum Flow Values.** From Claim 1 and Claim 2, there is a one-to-one correspondence between feasible flows in  $G$  and feasible flows in  $G'$  with identical flow value. Therefore,

$$\text{max-flow}(G) = \text{max-flow}(G').$$

Thus, the transformation correctly converts vertex capacities into edge capacities without changing the maximum flow value.

### Marks Distribution (7 marks)

- **(1.5 marks):** For correctly describing the transformation: splitting each vertex  $v$  into  $v_{\text{in}}$  and  $v_{\text{out}}$ .
- **(1.5 mark):** For correctly assigning new capacities:  $c'(v_{\text{in}}, v_{\text{out}}) = \ell(v)$ .
- **(0.5 marks):** For stating that all original incoming and outgoing edges of  $v$  are preserved by redirecting them to  $v_{\text{in}}$  and  $v_{\text{out}}$  respectively.
- **(0.5 marks):** For mentioning that the source  $s$  and sink  $t$  remain unchanged in the transformed graph.
- **(3 marks):** Proof of Correctness: Explaining why any flow through  $v$  must pass through  $(v_{\text{in}}, v_{\text{out}})$  and is therefore bounded by  $\ell(v)$ , and why this ensures the maximum flow in the transformed graph equals the original vertex-capacitated max flow.

## Part 2: Computing Vertex-Connectivity (3 Marks)

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### Problem

The vertex-connectivity  $k$  is the minimum number of vertices you must "delete" to disconnect the graph. Can we use our max-flow knowledge (including the vertex capacity trick from Part 1) to calculate this number?

### Solution

Yes. We can find the connectivity between a pair of nodes  $(s, t)$  and then generalize. To find the  $s - t$  vertex connectivity:

1. **Build a Flow Network:** Take the original undirected graph  $G$  and create a directed graph  $G_{s,t}$  where each edge  $\{u, v\}$  becomes two directed edges,  $(u, v)$  and  $(v, u)$ .
2. **Assign Capacities:** This is the key. We want to find the number of vertices in a cut, not edges.

- Set all edge capacities to infinity ( $c(e) = \infty$ ).
- Set all vertex capacities to 1 ( $\ell(v) = 1$ ) for every vertex  $v$  that is not  $s$  or  $t$ .

3. **Solve:** This new network  $G_{s,t}$  has vertex capacities. We now apply our transformation from Part 1 to convert  $G_{s,t}$  into a standard flow network  $G'_{s,t}$  (where every  $v$  becomes  $v_{in} \rightarrow v_{out}$  with capacity 1).

4. **Find Max Flow:** Compute the maximum  $s - t$  flow in  $G'_{s,t}$ . The overall graph connectivity  $k$  is the minimum of this value over all non-adjacent pairs  $(s, t)$ .

### Proof of Correctness (Why this works)

By setting all vertex capacities to 1, we are saying "only one unit of flow can pass through any vertex." By the max-flow min-cut theorem, the max-flow value is equal to the minimum cut. In our transformed graph  $G'_{s,t}$ , the only edges with finite capacity are the internal  $(v_{in}, v_{out})$  edges, which all have capacity 1.

Therefore, the min-cut must be a set of these internal edges. The value of the max-flow will be equal to the minimum number of these "capacity 1" vertices that must be "removed" to stop all flow from  $s$  to  $t$ . This is the very definition of  $s - t$  vertex connectivity.

### Marks Distribution (3 marks)

- **(1 mark):** For describing the correct setup: setting edge capacities to  $\infty$  and vertex capacities to 1.
- **(1 mark):** For explicitly stating the method: apply the Part 1 transformation, then find the max-flow.
- **(1 mark):** For the Proof of Correctness, explaining why the max-flow in this new graph equals the  $s - t$  vertex connectivity.

### Important Notes

- **No marks will be granted in Part 2 without proper construction** of the transformed graph  $G'_{s,t}$  as described in Part 1.
- **The augmented path algorithm approach will not work for Part 2**, and no marks will be granted for solutions using only the augmented path algorithm.

## Question 2 — Optimal Vertex Cover for a Tree

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### Part (a) — Minimum Vertex Cover on a Tree (6 marks)

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## Expected answer structure

- Describe the greedy / DFS-based idea.
- Give the algorithm explanation (post-order DFS).
- Provide a proof of correctness (split into two 1-mark parts: (i) all edges covered, (ii) cover is minimum).
- Justify why the algorithm runs in linear time.

## Marking rubric (6 marks)

- **Algorithm idea & steps** — 3 marks
  - Correct use of rooting + post-order traversal and the local rule (include parent if any child is excluded). (3/3)
- **Proof of correctness** — 2 marks (split as below)
  - (i) **(1 mark)** Proof that every edge is covered by the produced set.
  - (ii) **(1 mark)** Proof that the produced set is *minimum* (optimal).
- **Time complexity** — 1 mark (linear-time justification).

## Idea

Root the tree arbitrarily and do a post-order DFS. For each node  $u$ , after processing all children, include  $u$  in the vertex cover iff **some child  $c$  has not been included**. This bottom-up rule ensures every edge between a parent and child is covered, while avoiding unnecessary vertices.

## Algorithm (3 marks)

1. Root the tree at any vertex  $r$ .
2. Initialise  $\text{inCover}[v] = \text{false}$  for all vertices  $v$ .
3. Perform a post-order DFS:
  - For node  $u$  (after visiting all children):
    - If  $\exists$  child  $c$  with  $\text{inCover}[c] = \text{false}$ , set  $\text{inCover}[u] = \text{true}$ .
    - Else leave  $\text{inCover}[u] = \text{false}$ .
4. Output the set  $C = \{ v \mid \text{inCover}[v] = \text{true} \}$ .

(Pseudocode optional — clear prose describing the traversal and rule is sufficient.)

## Proof of correctness (2 marks)

**(i) (1 mark) — All edges are covered.**

Consider any edge  $(u, v)$  and suppose  $u$  is the parent and  $v$  the child. When  $u$  was processed in post-order, if  $v$  was not chosen ( $\text{inCover}[v] = \text{false}$ ) then our rule forces  $\text{inCover}[u] = \text{true}$ , so  $(u, v)$  is covered by  $u$ . If  $v$  was chosen, the edge is covered by  $v$ . Hence every edge is covered.

## (ii) (1 mark) — The cover is minimum.

Prove by induction on subtree size.

- Base: leaf nodes — no edges inside the subtree, so leaving leaf unselected is optimal.
  - Inductive step: assume optimal covers for each child subtree. For subtree rooted at  $u$ :
    - If some child  $c$  is not selected by the algorithm, then the edge  $(u, c)$  must be covered, forcing selection of  $u$  in any valid cover (or selecting  $c$  which is not an option), so selecting  $u$  is essential.
    - If all children are selected, leaving  $u$  unselected is safe and strictly better than selecting  $u$ .
- Because subtrees are disjoint and choices don't conflict (tree has no cycles), these local optimal choices combine into a global optimum. Thus the algorithm returns a minimum vertex cover.

## Time complexity (1 mark)

Each vertex is visited once in DFS; each edge considered only when handling its parent or child. Each node's decision is  $O(1)$ . So total time is  $O(V + E)$  — linear time.

## Part (b) — Vertex Cover via Max-Flow / Matching (4 marks)

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**Important: No marks will be granted without construction.**

### Mark distribution:

- Construction – 1.5 marks
- Algorithm – 1 mark
- Proof of Correctness – 1 mark
- Time Complexity – 0.5 mark

### 1. Construction (1.5 marks)

- Color the tree bipartition by BFS (2-coloring): obtain sets  $L$  and  $R$ .

- Build a flow network  $G'$  with source  $s$  and sink  $t$  :
  - For every  $u \in L$ , add edge  $(s, u)$  with capacity 1.
  - For every  $v \in R$ , add edge  $(v, t)$  with capacity 1.
  - For every tree edge  $(x, y)$  with  $x \in L$ ,  $y \in R$ , add edge  $(x, y)$  with capacity 1.
- This is a unit-capacity bipartite network where maximum  $s$ - $t$  flow equals the size of a maximum bipartite matching.

## 2. Algorithm (1 mark)

1. Run a max-flow algorithm (Dinic / Edmonds–Karp) on  $G'$  to compute maximum flow  $f$ .
2. From the residual graph, find set  $S$  of vertices reachable from  $s$ .
3. The minimum vertex cover is:

$$C = (L \setminus S) \cup (R \cap S)$$

## 3. Proof of Correctness (1 mark)

- **Validity:** Every tree edge connects  $L$  and  $R$ . The min-cut / reachable set construction yields a set  $C$  that intersects every edge, so  $C$  is a vertex cover.
- **Optimality / Approximation:** By König's theorem (for bipartite graphs), the size of a minimum vertex cover equals the size of a maximum matching ( $|VC_{\min}| = |M_{\max}|$ ). Thus the min-cut from max-flow yields a minimum vertex cover. Selecting both endpoints of matched edges gives a valid 2-approximation.

## 4. Time Complexity (0.5 mark)

- Network construction:  $O(V)$ .
- Max-flow on a bipartite unit-capacity graph:  $O(E \sqrt{V})$ ; for a tree  $E = V-1 \rightarrow O(V^{1.5})$ .
- With specialized DFS-based augmentations for trees, the algorithm can be implemented in  $O(V)$ .

# Question 3

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## 1.1 Closure of P under Intersection (1 Mark)

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**Answer:** Yes, the class P is closed under intersection.

**Proof:** Let  $L_1$  and  $L_2$  be two languages in P. By definition, there exist deterministic Turing machines (DTMs)  $M_1$  and  $M_2$  that decide them in polynomial time.

Let  $M_1$  decide  $L_1$  in time  $O(n^{k_1})$  for some constant  $k_1$ , and let  $M_2$  decide  $L_2$  in time  $O(n^{k_2})$  for some constant  $k_2$ .

We can construct a new DTM  $M_{\text{int}}$  to decide the language

$$L = L_1 \cap L_2.$$

On any input string  $w$ , the machine  $M_{\text{int}}$  operates as follows:

1. Run  $M_1$  on  $w$ .
2. If  $M_1$  rejects, then  $w \notin L_1$ , so reject.
3. If  $M_1$  accepts, run  $M_2$  on  $w$ .
4. If  $M_2$  accepts, then accept; otherwise, reject.

**Time Complexity Analysis:** The total time taken by  $M_{\text{int}}$  is the sum of the times for  $M_1$  and  $M_2$ :

$$O(n^{k_1}) + O(n^{k_2}) = O(n^{\max(k_1, k_2)}),$$

which is still polynomial.

Since  $M_{\text{int}}$  is a deterministic polynomial-time decider for  $L_1 \cap L_2$ , it follows that  $L_1 \cap L_2 \in P$ .

Hence, P is closed under intersection.  $\square$

## 1.2 Closure of NP under Intersection (2 Marks)

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**Answer:** Yes, the class NP is closed under intersection.

**Proof (using the Verifier definition):** Let  $L_1, L_2 \in \text{NP}$ . By definition, there exist polynomial-time verifiers  $V_1$  and  $V_2$  such that:

$$w \in L_1 \iff \exists c_1 \text{ with } |c_1| = \text{poly}(|w|) \text{ and } V_1(w, c_1) \text{ accepts,}$$

$$w \in L_2 \iff \exists c_2 \text{ with } |c_2| = \text{poly}(|w|) \text{ and } V_2(w, c_2) \text{ accepts.}$$

We construct a verifier  $V_{\text{int}}$  for  $L = L_1 \cap L_2$  as follows.

**Certificate:** Let the certificate for  $w$  be

$c_{\text{int}} = (c_1, c_2),$

where  $c_1$  and  $c_2$  are certificates for  $V_1$  and  $V_2$ , respectively. Since both  $|c_1|$  and  $|c_2|$  are polynomial in  $|w|$ , their combined size  $|c_{\text{int}}| = |c_1| + |c_2|$  is also polynomial.

**Verifier  $V_{\text{int}}$ :** On input  $(w, c_{\text{int}})$  where  $c_{\text{int}} = (c_1, c_2)$ :

1. Run  $V_1(w, c_1)$ .
2. Run  $V_2(w, c_2)$ .
3. Accept if and only if both  $V_1$  and  $V_2$  accept.

**Correctness and Time Complexity:** The runtime of  $V_{\text{int}}$  is

$$O(n^{k_1}) + O(n^{k_2}) = O(n^{\max(k_1, k_2)}),$$

which is polynomial.

**Soundness and Completeness:**

- If  $w \in L_1 \cap L_2$ , then there exist  $c_1$  and  $c_2$  such that  $V_1(w, c_1)$  and  $V_2(w, c_2)$  both accept. Thus,  $V_{\text{int}}(w, (c_1, c_2))$  accepts.
- If  $V_{\text{int}}(w, (c_1, c_2))$  accepts, then both  $V_1(w, c_1)$  and  $V_2(w, c_2)$  accept, implying  $w \in L_1$  and  $w \in L_2$ , so  $w \in L_1 \cap L_2$ .

Hence, there exists a polynomial-time verifier for  $L_1 \cap L_2$ , so  $L_1 \cap L_2 \in \text{NP}$ . Therefore, NP is closed under intersection.  $\square$

## Grading Rubric Summary

### Part 1.1 §: 1 Mark total

- [0.25 marks] Correctly stating "Yes".
- [0.5 marks] Valid proof using two polynomial-time deciders.
- [0.25 marks] Showing the combined runtime is polynomial.

### Part 1.2 (NP): 2 Marks total

- [0.5 marks] Correctly stating "Yes".
- [1.5 marks] Valid proof using verifier definition:
  - [0.5 marks] Defines NP via polynomial-time verifiers and certificates.
  - [0.5 marks] Constructs a combined certificate  $c_{\text{int}} = (c_1, c_2)$  and notes its size is polynomial.
  - [0.5 marks] Defines verifier  $V_{\text{int}}$  that runs both  $V_1$  and  $V_2$  and proves polynomial runtime.

## 2.1 Prove $\text{CLIQUE}_{1000} \in \text{P}$ (1 Mark)

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**Answer:** To prove  $\text{CLIQUE}_{1000} \in \text{P}$ , we must provide a deterministic algorithm that decides it in polynomial time. The input is a graph  $G$ , and  $k = 1000$  is a fixed constant, not part of the input.

Let  $n$  be the number of vertices in  $G$ .

### Algorithm (Brute-Force):

1. Generate all possible subsets of 1000 vertices from  $G$ .
2. The total number of such subsets is  $\binom{n}{1000}$ .
3. For each subset  $S$ :
  - 3.1. Check if  $S$  is a clique. This involves checking if an edge exists between all  $\binom{1000}{2}$  pairs of vertices in  $S$ .
  - 3.2. If all edges exist, Accept and halt.
4. If no such subset is a clique, Reject.

### Time Complexity Analysis:

- Checking one subset takes  $O(1000^2)$  time. Since 1000 is constant, this is  $O(1)$ .
- The number of subsets is  $\binom{n}{1000} = \frac{n(n-1)\dots(n-999)}{1000!} = O(n^{1000})$ .
- Total runtime:  $O(n^{1000}) \times O(1) = O(n^{1000})$ .

Because  $k = 1000$  is fixed,  $O(n^{1000})$  is polynomial. Therefore,  $\text{CLIQUE}_{1000} \in \text{P}$ .

### Grading:

- [0.5 marks] Describes the brute-force subset-checking algorithm.
- [0.5 marks] Correct complexity analysis ( $O(n^{1000})$ ) and explicitly states that it is polynomial since 1000 is constant.

## 2.2 Show $\text{CLIQUE}_{n/2} \in \text{NP-Complete}$ (3 Marks)

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### Definition:

$\text{CLIQUE}_{n/2} = \{ \langle G \rangle \mid G \text{ has } n \text{ vertices and a clique of size } n/2 \}$ .

To prove this, we must show:

1.  $\text{CLIQUE}_{n/2} \in \text{NP}$ .

2. CLIQUE<sub>n/2</sub> is NP-hard.

### 1. CLIQUE<sub>n/2</sub> ∈ NP (1 Mark)

We construct a polynomial-time verifier:

- **Input:**  $\langle G \rangle$ , with  $n = |V(G)|$ .
- **Certificate:** A subset  $S \subseteq V(G)$ .
- **Verifier V:**
  1. Check if  $|S| \geq n/2$  (takes  $O(n)$  time).
  2. Check if  $S$  is a clique: for all pairs in  $S$ , verify edges exist. ( $O(|S|^2) = O(n^2)$  time.)
  3. Accept if both checks pass; otherwise reject.

The verifier runs in  $O(n^2)$  time, hence polynomial. Therefore, CLIQUE<sub>n/2</sub> ∈ NP.

### 2. CLIQUE<sub>n/2</sub> is NP-hard (2 Marks)

We reduce from the standard CLIQUE problem. Given  $\langle G_0, k \rangle$  where  $G_0$  has  $n_0$  vertices, construct a graph  $G'$  such that:

$$\langle G_0, k \rangle \in \text{CLIQUE} \iff \langle G' \rangle \in \text{CLIQUE}_{n/2}.$$

#### Reduction Construction:

- **If  $k \leq n_0/2$ :** Add  $m = n_0 - 2k$  new vertices forming a clique  $K_m$ , connected to all vertices in  $G_0$ . Then  $G' = G_0 + K_m$ ,  $n' = 2n_0 - 2k$ , and the target size is  $n'/2 = n_0 - k$ .

$$\omega(G_0) \geq k \iff \omega(G') \geq n'/2.$$

- **If  $k > n_0/2$ :** Add  $m = 2k - n_0$  isolated vertices. Then  $G' = G_0 \cup I_m$ ,  $n' = 2k$ , and the target size is  $n'/2 = k$ .

$$\omega(G_0) \geq k \iff \omega(G') \geq n'/2.$$

This reduction is polynomial time, proving that CLIQUE<sub>n/2</sub> is NP-hard.

#### Grading:

- [1 mark] For the NP proof (verifier and polynomial analysis).
- [2 marks] For the NP-hard proof: [1 mark] for the general reduction idea; [1 mark] for the correct construction.

## 2.2 Alternate: Show that CLIQUE is NP-Complete

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To show that CLIQUE is NP-Complete, we must satisfy two conditions:

1. CLIQUE  $\in$  NP.
2. CLIQUE is NP-hard (i.e., every problem in NP can be reduced to it). We will prove this by showing that 3-SAT, a known NP-Complete problem, reduces to CLIQUE ( $3\text{-SAT} \leq_P \text{CLIQUE}$ ).

**Definition:**

CLIQUE =  $\langle G, \overset{\text{G has a clique of size k}}{k} \rangle$ ,

where  $G$  is an undirected graph and  $k$  is a positive integer.

### (1) CLIQUE $\in$ NP

A problem is in NP if a given solution (a "certificate") can be verified in polynomial time.

**Instance:** An input  $\langle G, k \rangle$ , where  $G$  is a graph and  $k$  is an integer.

**Certificate:** A set of vertices  $S \subseteq V(G)$ .

**Verifier Algorithm:**

1. Check if  $|S| = k$ .
2. For every pair of distinct vertices  $(u, v) \in S$ , check if the edge  $(u, v)$  exists in  $G$ .
3. Accept if both checks pass; otherwise reject.

**Time Complexity:**

- Checking size:  $O(n)$ .
- Checking edges:  $O(k^2) \leq O(n^2)$ .

The total verification time is  $O(n^2)$ , which is polynomial. Hence, CLIQUE  $\in$  NP.

### (2) CLIQUE is NP-hard (Reduction from 3-SAT)

We show that  $3\text{-SAT} \leq_P \text{CLIQUE}$  by constructing a polynomial-time reduction  $f$ .

**Input (3-SAT):** A 3-CNF formula

$$\varphi = C_1 \wedge C_2 \wedge \cdots \wedge C_m,$$

where each clause  $C_i = (l_{i,1} \vee l_{i,2} \vee l_{i,3})$  contains three literals.

**Output (CLIQUE):** An instance  $\langle G, k \rangle$  such that  $\phi$  is satisfiable  $\iff G$  has a  $k$ -clique.

**Construction:**

1. Set  $k = m$  (the number of clauses).
2. For each literal  $l_{i,j}$  in clause  $C_i$ , create a vertex  $v_{i,j}$ .
3. Add an edge between two vertices  $v_{i,a}$  and  $v_{j,b}$  iff:
  - a) They come from different clauses ( $i \neq j$ ), and
  - b) Their literals are not contradictory (i.e.,  $l_{i,a} \neq \neg l_{j,b}$ ).

**Correctness Proof:**

**( $\Rightarrow$ )** If  $\phi$  is satisfiable, then there exists a truth assignment making each clause true. For each clause  $C_i$ , choose one literal  $l_{i,a}$  that is true under this assignment and select the corresponding vertex  $v_{i,a}$ .

- There is one vertex per clause, so  $|S| = m = k$ .
- Any two chosen literals are true simultaneously, so they cannot be negations of each other. Hence, all selected vertices are connected pairwise, forming a  $k$ -clique.

**( $\Leftarrow$ )** If  $G$  has a  $k$ -clique  $S$ :

- By construction, no two vertices in  $S$  are from the same clause.
- Assign truth values such that every literal corresponding to a vertex in  $S$  is true.
- Because no two literals in  $S$  are contradictory, this assignment is consistent and makes at least one literal in every clause true.

Thus,  $\phi$  is satisfiable.

**Polynomial Time:** The reduction creates  $3m$  vertices and up to  $O(m^2)$  edges, so it runs in polynomial time.

**Conclusion:**

$\phi$  is satisfiable  $\iff \langle G, k \rangle \in \text{CLIQUE}$ .

Therefore,  $3\text{-SAT} \leq_P \text{CLIQUE}$ . Since  $\text{CLIQUE} \in \text{NP}$  and is NP-hard, we conclude:

**CLIQUE IS NP-COMPLETE.**

**Grading (3 Marks):**

- [1 mark] Shows  $\text{CLIQUE} \in \text{NP}$  with a polynomial verifier.
- [2 marks] Shows  $\text{CLIQUE}$  is NP-hard via correct 3-SAT reduction:

- [1 mark] Correct construction (vertices, edges,  $k = m$ ).
- [1 mark] Correct proof of equivalence (both directions).

## 2.3 Show $\text{CLIQUE}_{i+1} \leq_P \text{CLIQUE}_i$ (1 Mark)

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**Answer:** We need to construct a polynomial-time (Karp) reduction.

**Reduction (f):**

1. Given  $G$  with vertices  $V = \{v_1, \dots, v_n\}$ .
2. For each  $v_j$ , construct the subgraph  $G_j = G[N(v_j)]$ , induced by  $v_j$ 's neighbors.
3. Let  $G' = f(G)$  be the disjoint union of all these  $n$  subgraphs:

$$G' = G_1 \cup G_2 \cup \dots \cup G_n.$$

4. This construction is polynomial ( $O(n^3)$  time).

**Correctness:**

- **( $\Rightarrow$ )** If  $G$  has an  $(i + 1)$ -clique  $S$ , pick any vertex  $v_j \in S$ . The other  $i$  vertices of  $S$  form an  $i$ -clique in  $G_j$ . So  $G'$  has an  $i$ -clique.
- **( $\Leftarrow$ )** If  $G'$  has an  $i$ -clique in some  $G_j$ , then  $\{v_j\}$  plus those  $i$  vertices form an  $(i+1)$ -clique in  $G$ .

**Grading:**

- [1 mark] Correct Karp reduction (disjoint union of neighborhood subgraphs) with reasoning. Partial credit (0.5) if Turing reduction confused with Karp.

## 2.4 Spot the Error and Suggest Modifications (2 Marks)

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**The Flawed "Proof":**

"Consider induction on the clique size  $k$ . The base case is  $k = 1$ , and clearly  $\text{CLIQUE}_1 \in P$ . Also, you just proved that if  $\text{CLIQUE}_k \in P$ , then  $\text{CLIQUE}_{k+1} \in P$ . From induction, we know  $\forall k : \text{CLIQUE}_k \in P$ . Thus  $P = \text{NP}$ ."

### 1. The Error

The final conclusion "Thus  $P = NP$ " is a non-sequitur. The inductive argument correctly shows that each  $CLIQUE_k$  (for fixed  $k$ ) is in  $P$ , but not that the general  $CLIQUE$  problem (where  $k$  is input) is in  $P$ .

**Explanation:**

- For fixed  $k$ ,  $CLIQUE_k$  runs in  $O(n^k)$ , which is polynomial in  $n$ .
- The general  $CLIQUE$  problem takes  $\langle G, k \rangle$  as input; runtime  $O(n^k)$  is exponential in the input size (since  $k$  is variable, encoded in  $\log k$  bits).
- Hence, proving  $\forall k : CLIQUE_k \in P$  does not imply  $CLIQUE \in P$ .

The author confuses a family of fixed-parameter problems (each in  $P$ ) with the general parameterized version.

**2. Correction**

- The inductive argument is correct for fixed  $k$ .
- The step claiming  $P = NP$  must be removed—it does not follow.
- The proof cannot be modified to prove  $P = NP$ .

**Grading:**

- [2 marks] Correctly identifies the error as a false conclusion and explains the difference between  $k$  as a constant vs. an input variable.