

# General Information

**Prof. Antonella Ferrara**

<https://scholar.google.com/citations?user=r5JuMskAAAAJ&hl=en>

**Course Teaching Material:**

KIRO UNIPV <https://elearning.unipv.it/>

- [504462 - PROCESS CONTROL 2025-26 - PROF.SSA FERRARA ANTONELLA](#)

**Lecture Time-table:**

<http://www-3.unipv.it/ingserv/orario2526/1sem/insegnamenti/ProCont.html>

**Exams:**

<https://studentionline.unipv.it/esse3/Home.do>

<https://kirotesting.unipv.it/>

# Introduction

- Program of the course:

**Advanced SISO control schemes:**

Pre-filters and parallel compensators, two degrees of freedom control schemes, compensation of measurable disturbances, systems with delays and Smith Predictor, Padé approximation, decoupling in the frequency domain, control of open loop unstable systems.

**Advanced MIMO control schemes:**

Decoupling based control schemes, decentralized control, relative gain array.

**PID controllers:**

Features and properties. Rules for the empirical calibration. Wind-up and anti wind-up schemes.

**Digital control:**

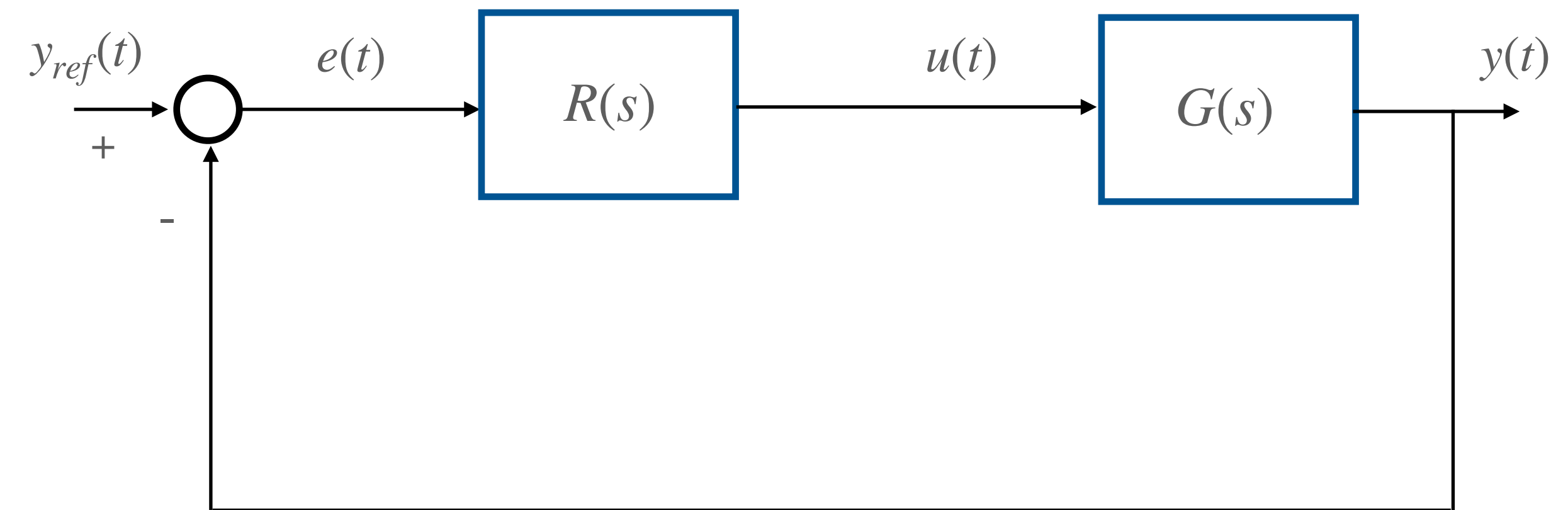
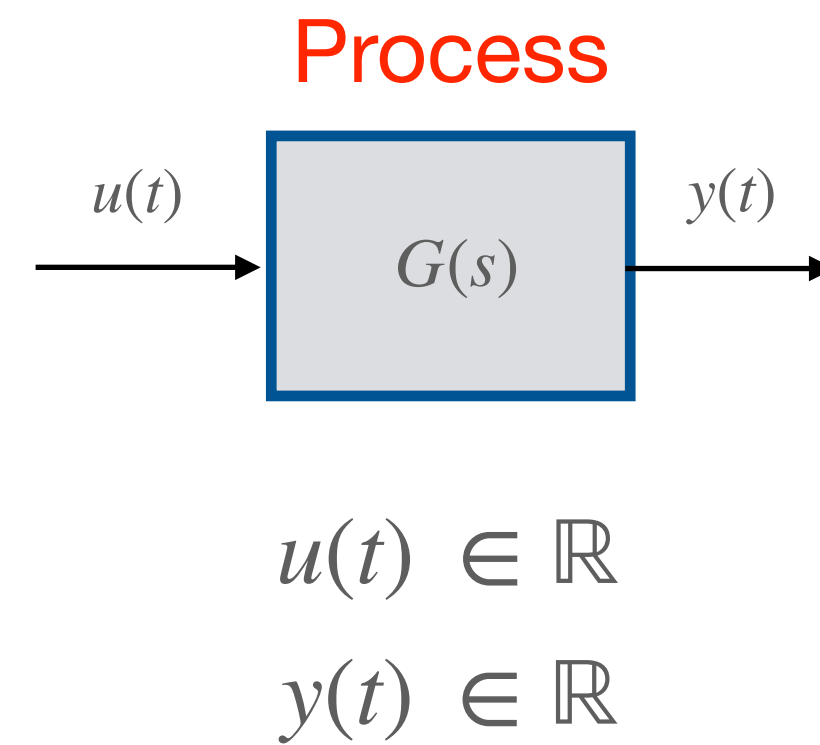
Discrete-time systems. The concept of equilibrium for discrete-time systems. Stability of linear time-invariant discrete-time systems. Jury test. Digital control schemes. Zeta transform and its properties. Transfer functions in the  $z$  domain. Sampling and aliasing. Choice of the sampling time. Zero-order-Hold. Discretization of continuous-time controllers. Bilinear transformation, Euler and Tustin methods.

# Introduction

- Some of the figures in these slides, kindly provided by McGraw-Hill, are those of the Textbook:

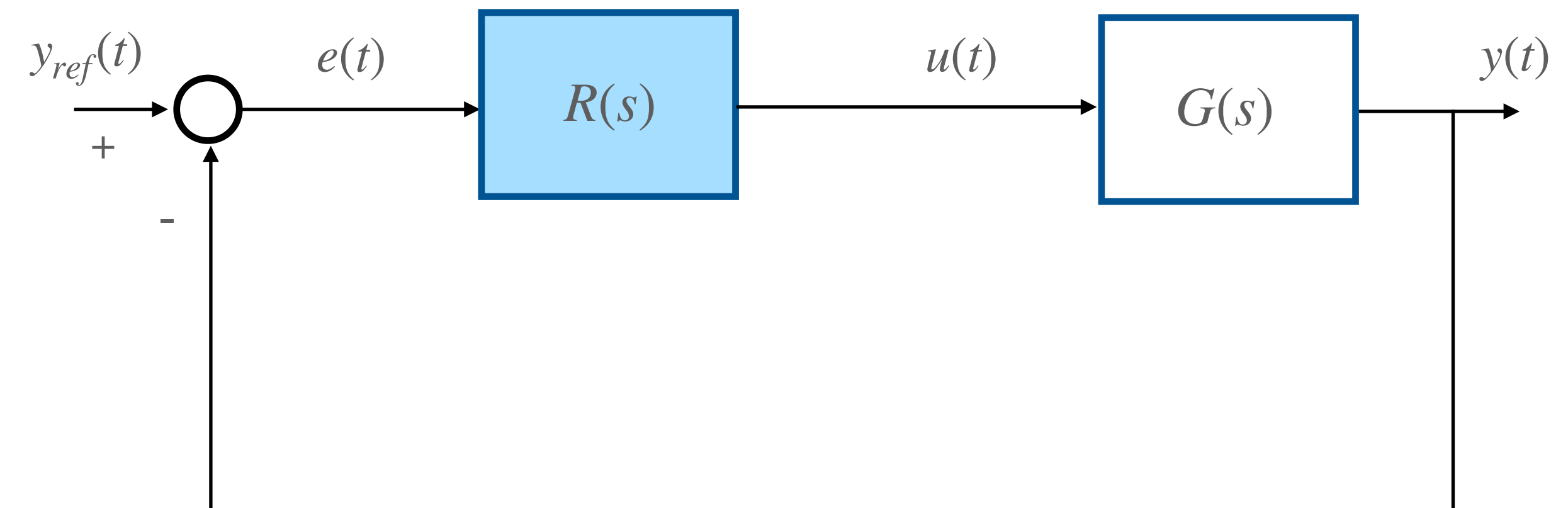
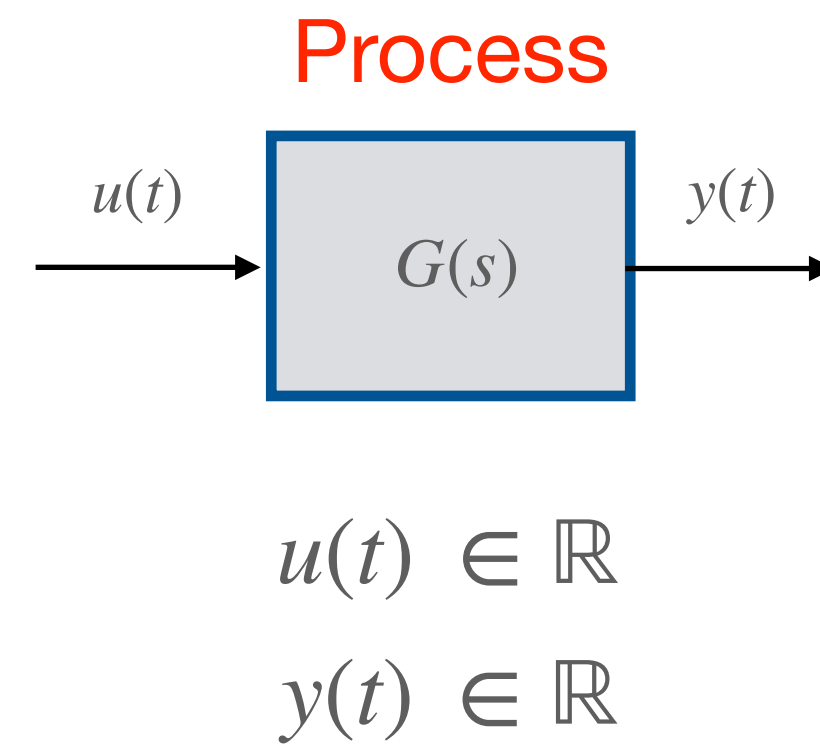


## Design of PID Controllers

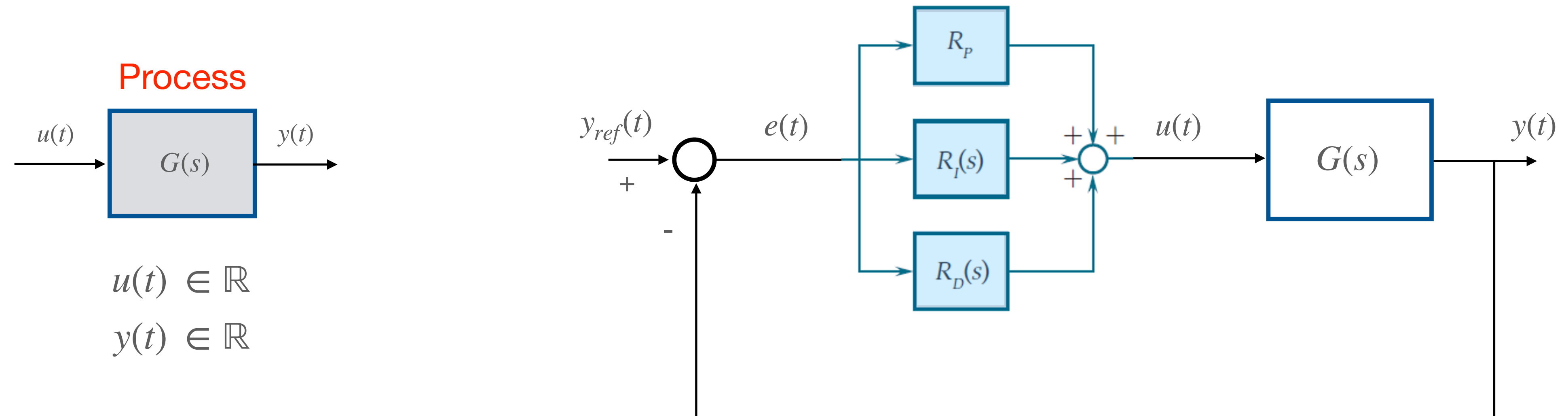


Unitary Feedback Control Scheme

## Design of PID Controllers

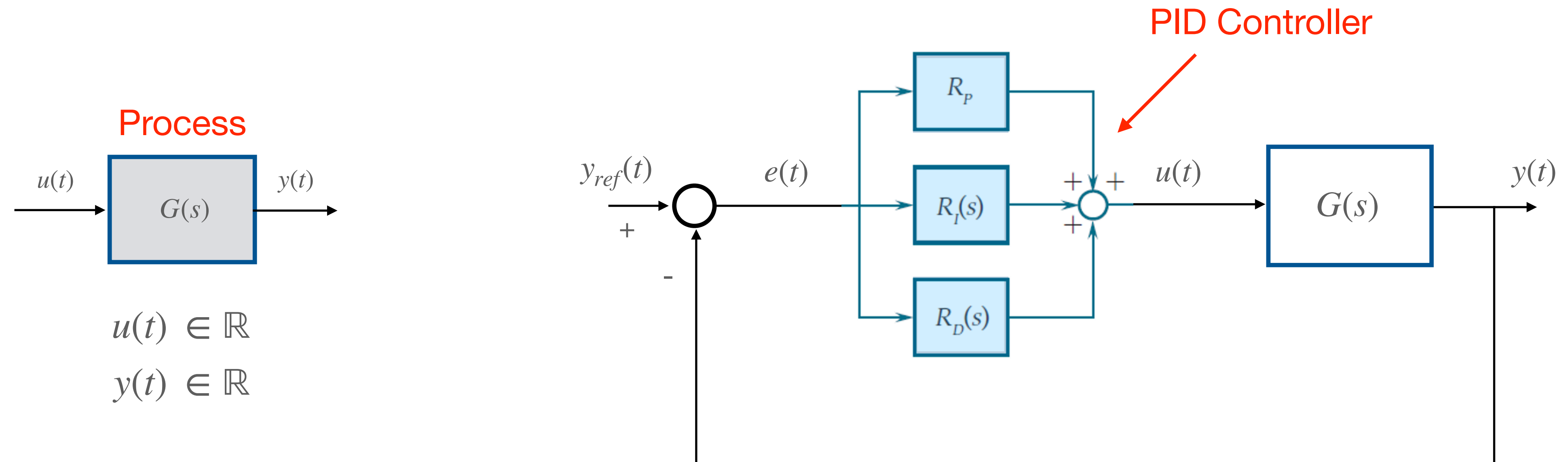


## Design of PID Controllers

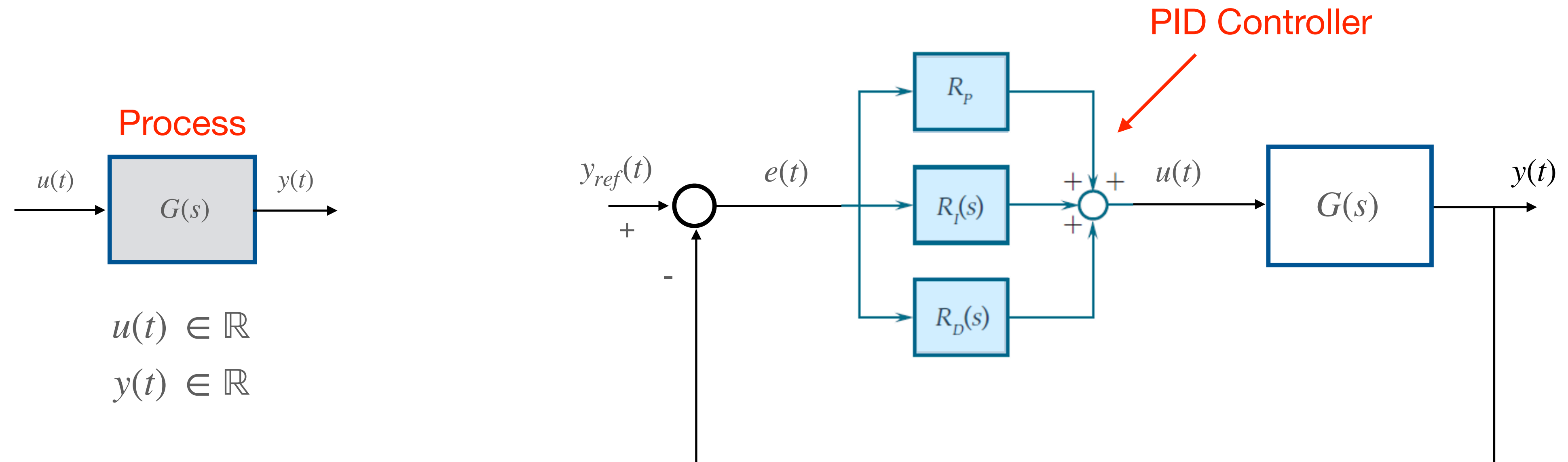




## Design of PID Controllers



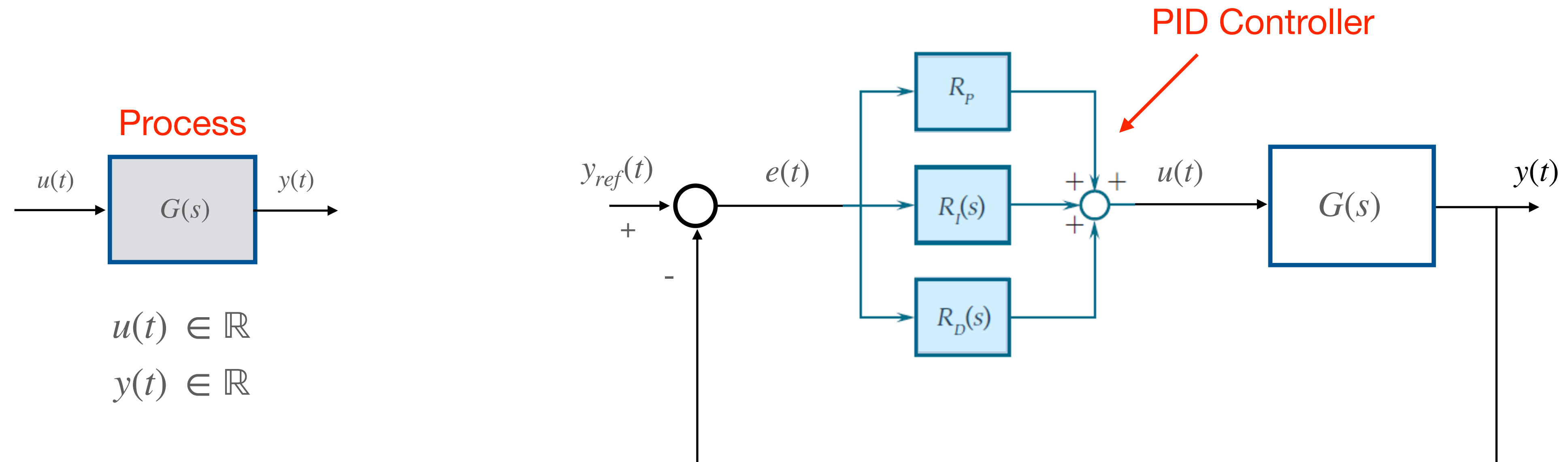
## Design of PID Controllers



**Ideal PID Controller:**  $u(t) = K_p e(t) + K_I \int_0^t e(\tau) d\tau + K_D \frac{de(t)}{dt}$



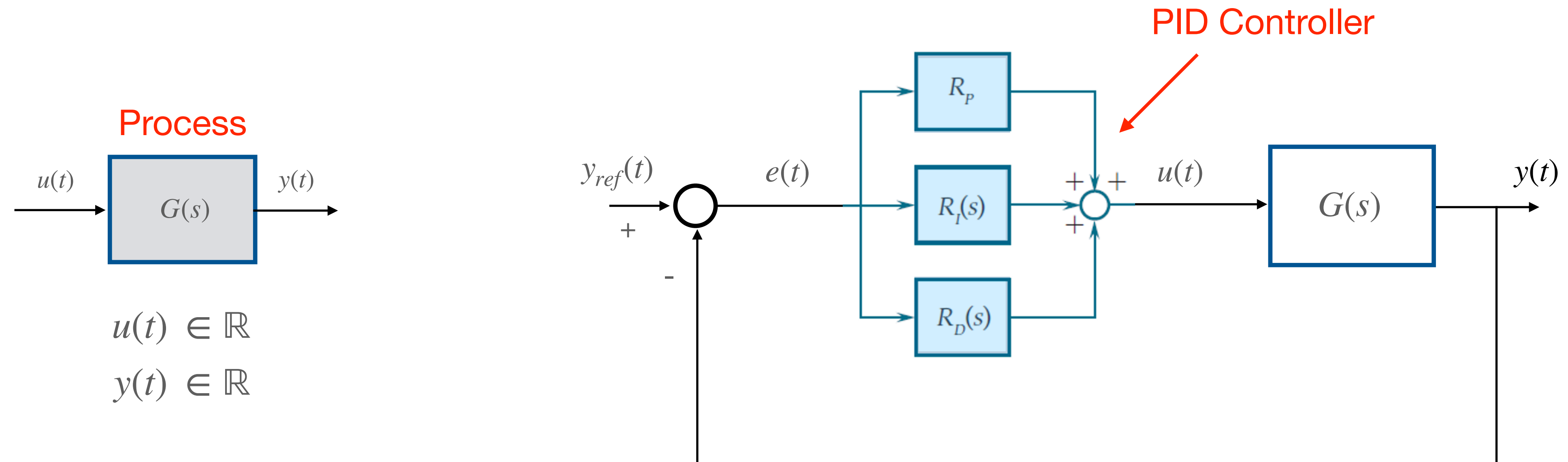
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$$\mathcal{L}\{u(t)\} = U(s) = \mathcal{L}\left\{K_P e(t) + K_I \int_0^t e(\tau) d\tau + K_D \frac{de(t)}{dt}\right\} = \left(K_P + \frac{K_I}{s} + K_D s\right) E(s)$$

## Design of PID Controllers

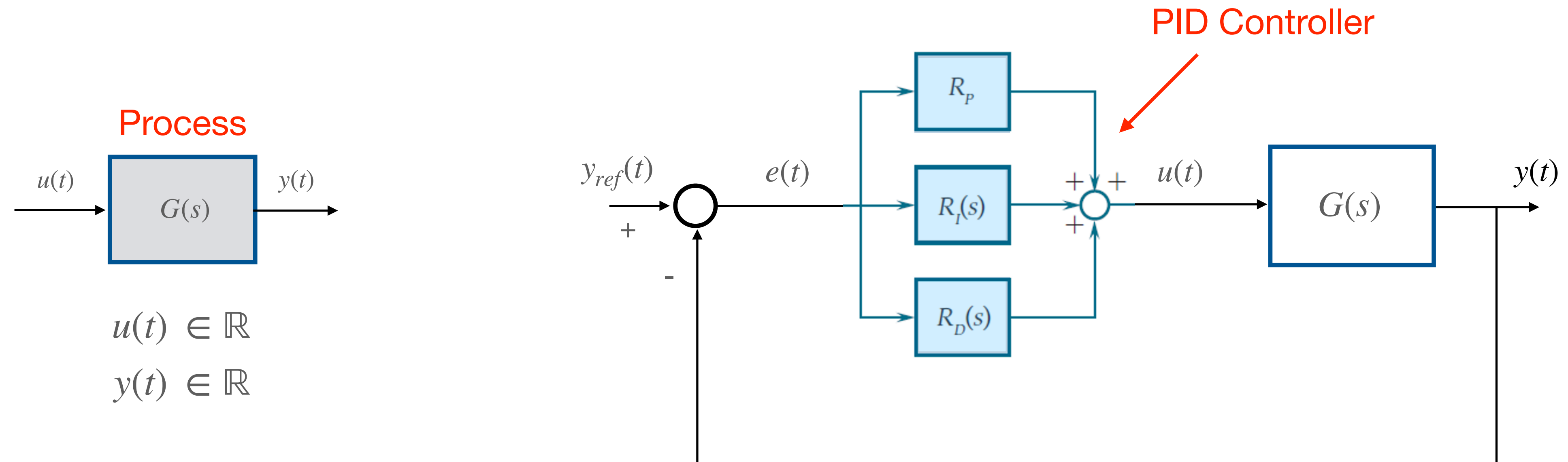


$$R_{PID_{id}}(s) = \frac{U(s)}{E(s)} = K_P + \frac{K_I}{s} + K_D s = \frac{K_D s^2 + K_P s + K_I}{s}$$

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## Design of PID Controllers



Process

$$u(t) \in \mathbb{R}$$

$$y(t) \in \mathbb{R}$$

It is not causal

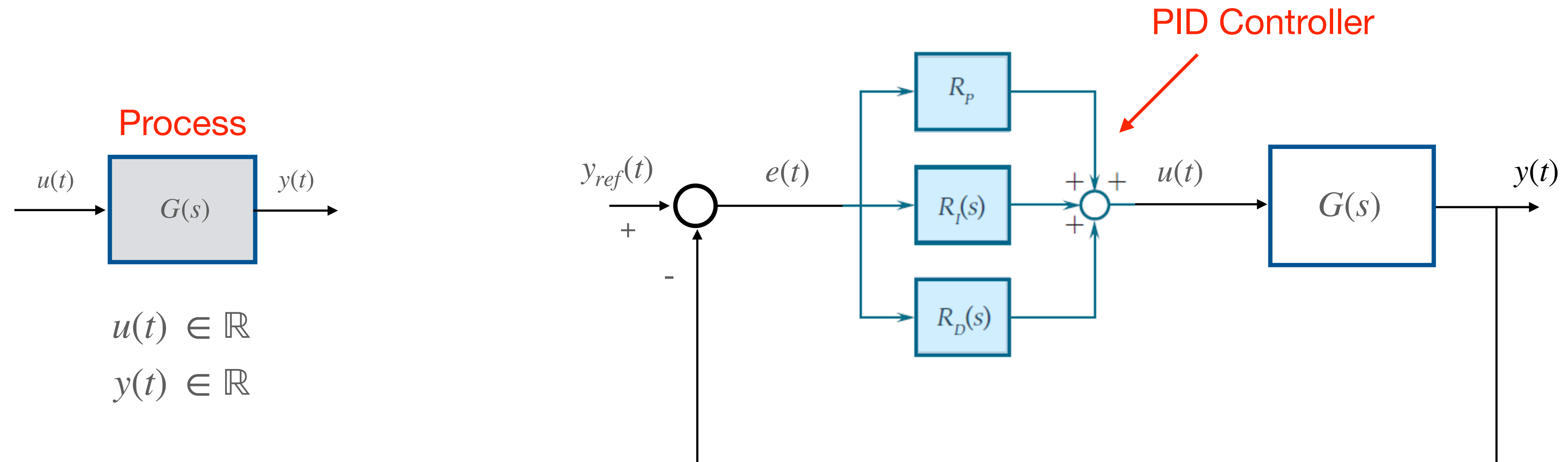
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## Design of PID Controllers



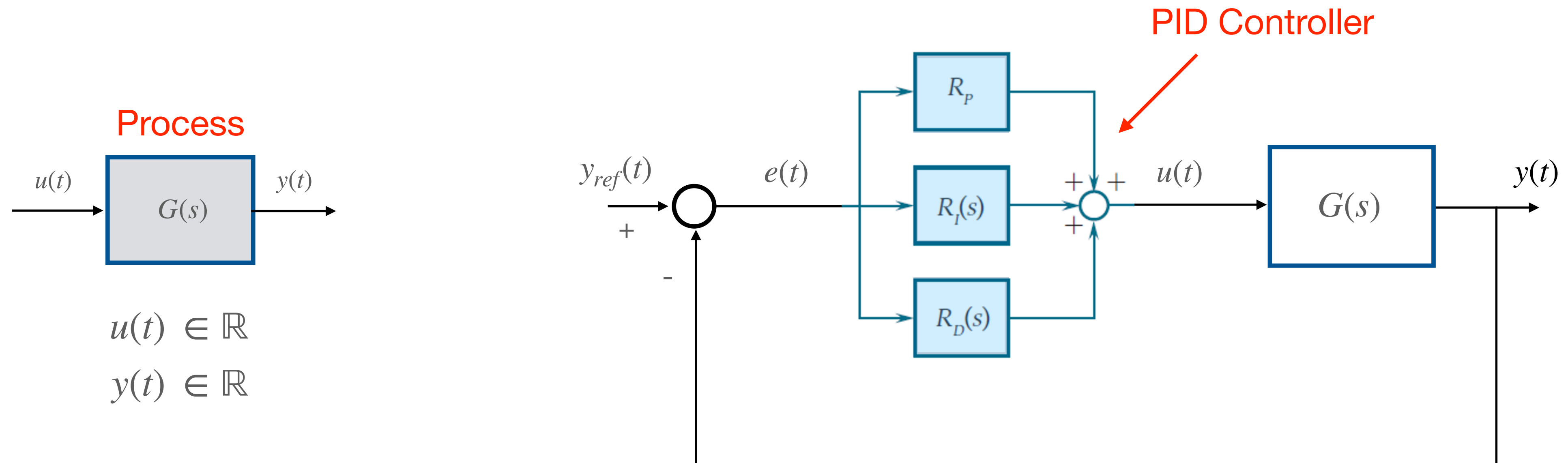
Alternative representation:

$$R_{PID_{id}}(s) = \frac{U(s)}{E(s)} = K_P + \frac{K_I}{s} + K_D s = K_P \left( 1 + \frac{1}{T_I s} + T_D s \right)$$

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## Design of PID Controllers



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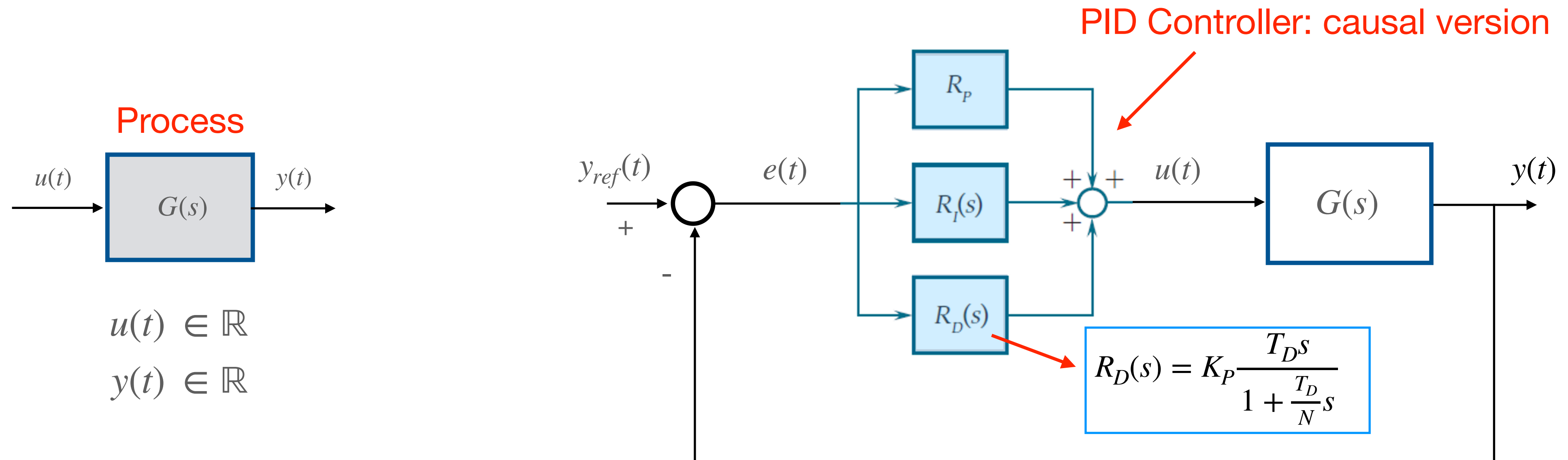
To make it  
causal

$$R_{PID}(s) = K_P \left( 1 + \frac{1}{T_I s} + \frac{T_D s}{1 + \frac{T_D}{N} s} \right)$$

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## Design of PID Controllers



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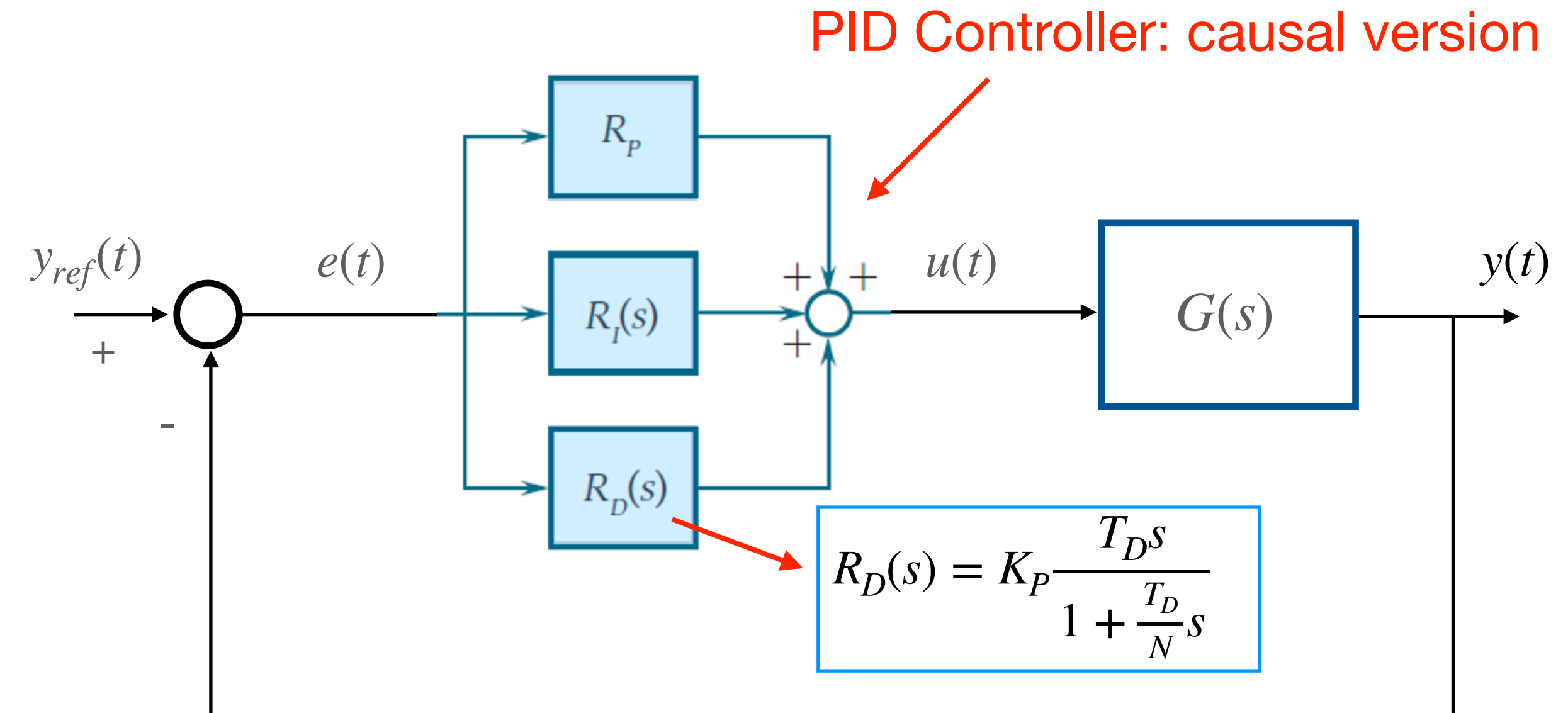
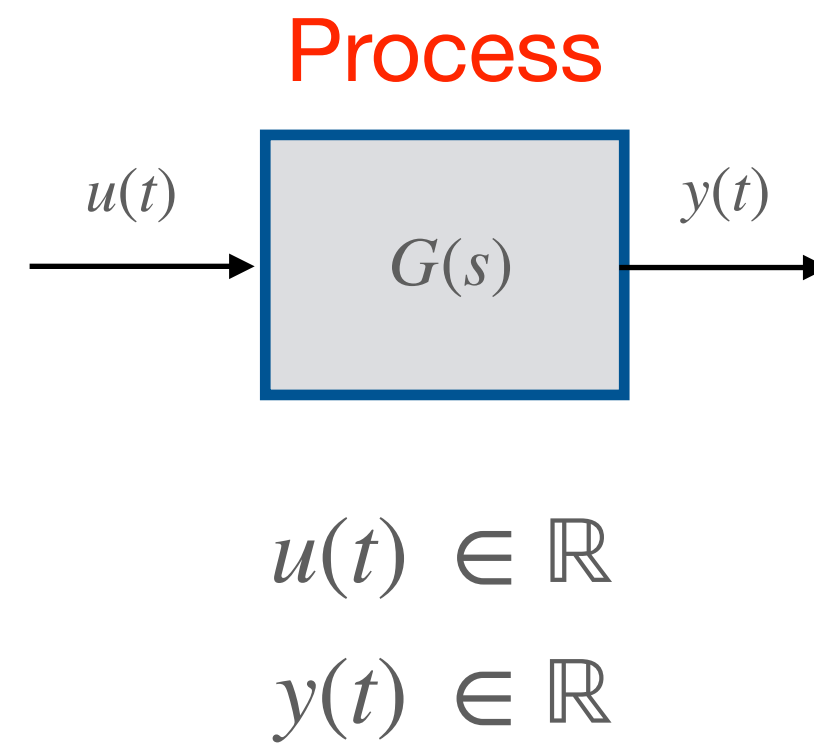
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# Design of PID Controllers

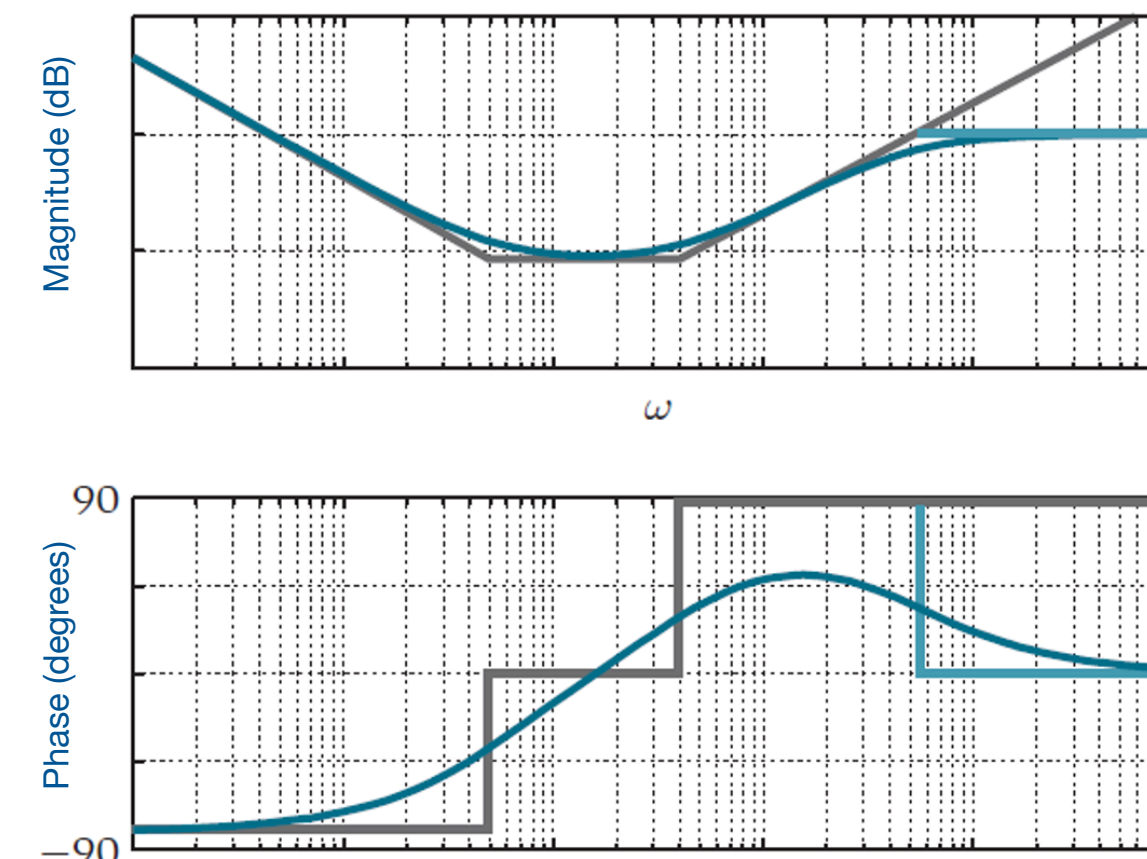


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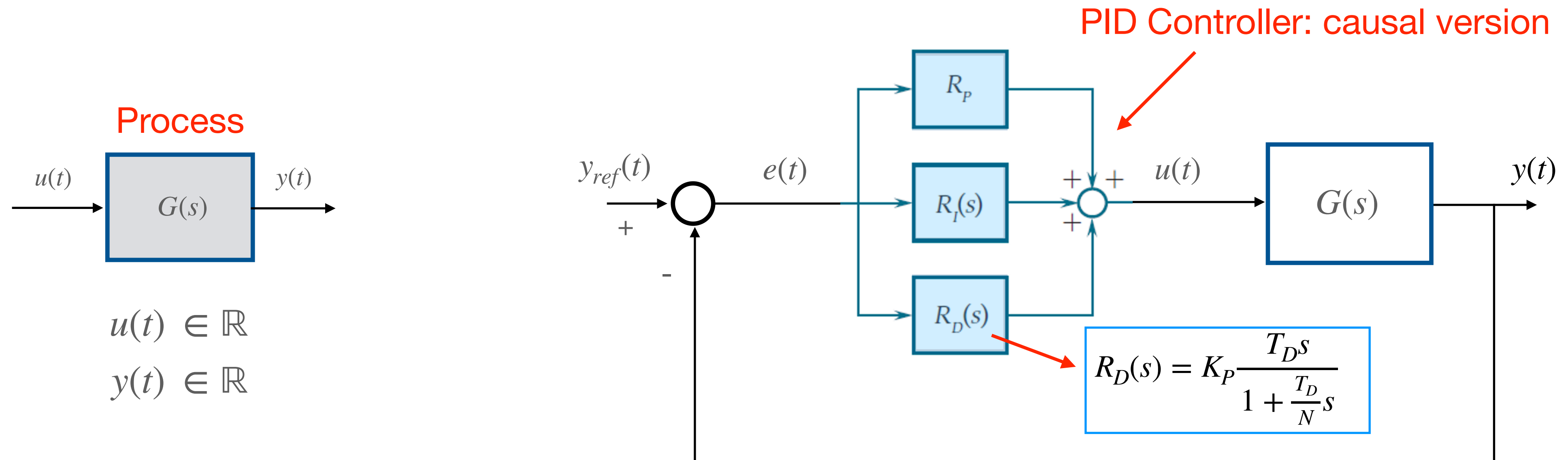


Effect of the new pole on the Bode plots

- Actual plots
- PID Controller: ideal version (asympt. plot)
- PID Controller: causal version (asympt. plot)



## Design of PID Controllers



Alternative representation:

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Effect of the new pole on the PID zeros:

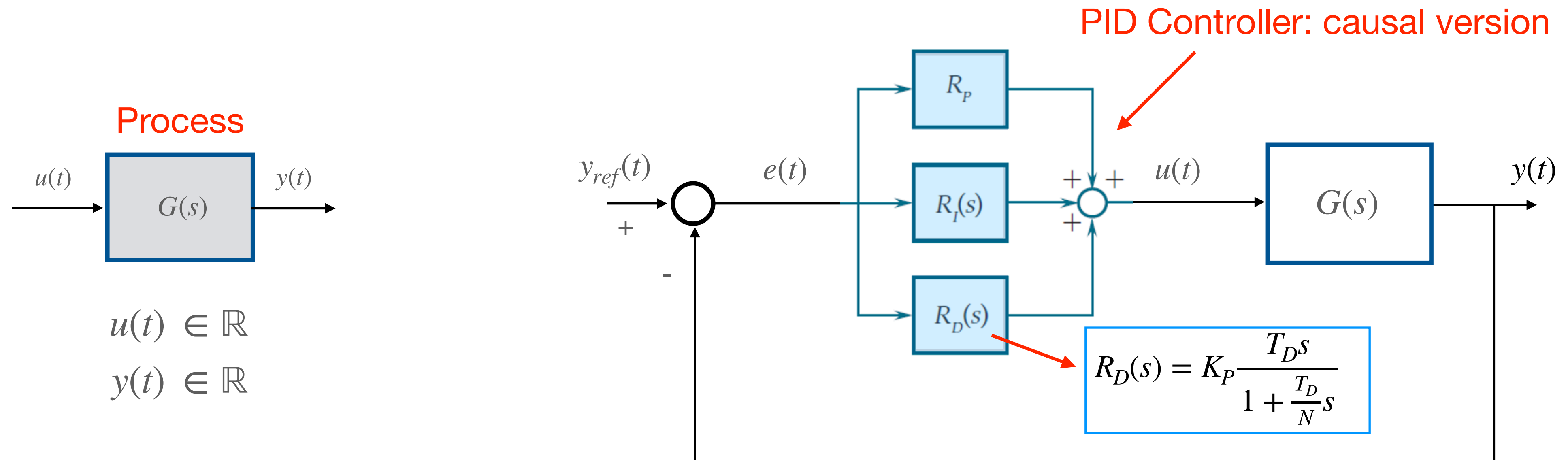
It is causal

$$R_{PID}(s) = K_P \left( 1 + \frac{1}{T_I s} + \frac{T_D s}{1 + \frac{T_D}{N} s} \right)$$



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## Design of PID Controllers



Alternative representation:

$$R_{PID_{id}}(s) = \frac{U(s)}{E(s)} = K_P + \frac{K_I}{s} + K_D s = K_P \left( 1 + \frac{1}{T_I s} + T_D s \right)$$

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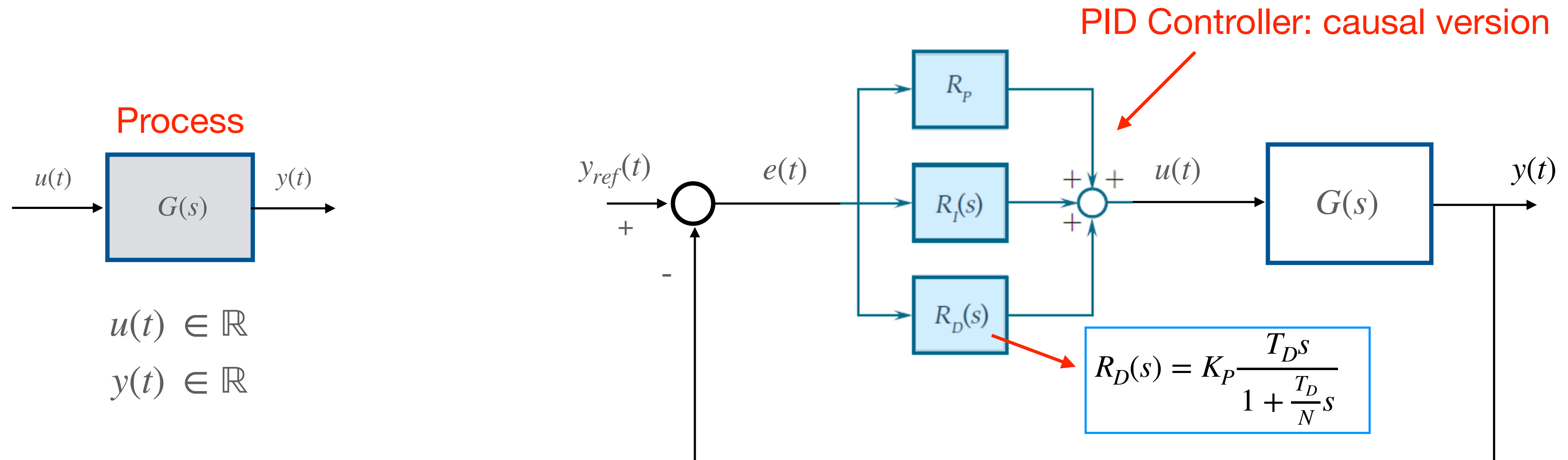
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## Design of PID Controllers



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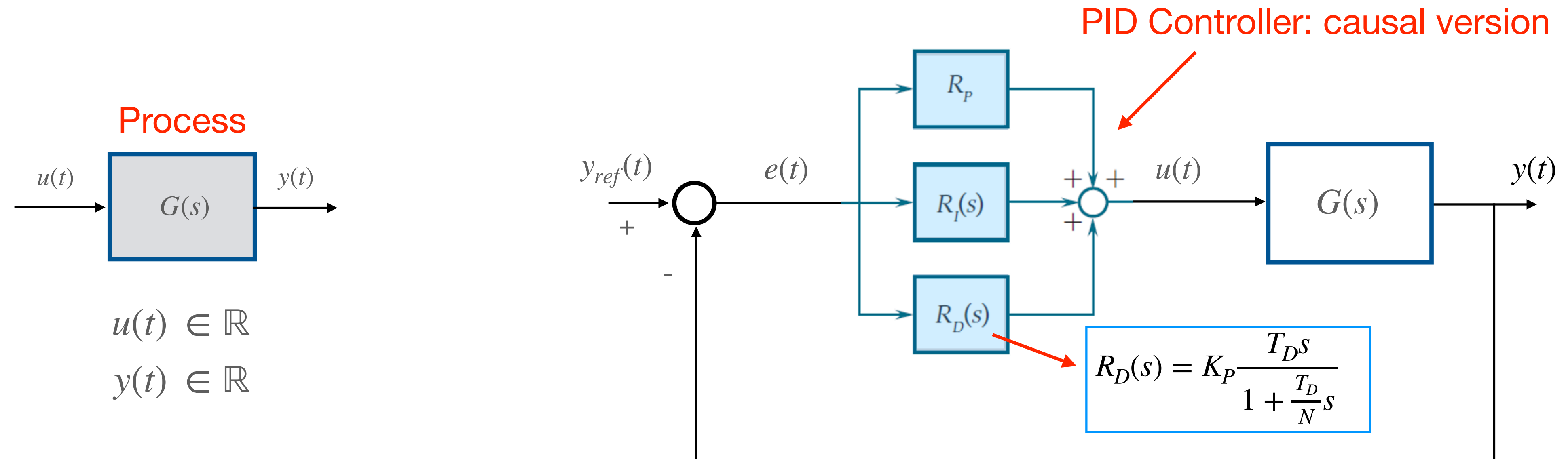
Effect of the new pole on the PID zeros:

It is causal

$$R_{PID}(s) = K_P \left( 1 + \frac{1}{T_I s} + \frac{T_D s}{1 + \frac{T_D}{N} s} \right) \longrightarrow R_{PID}(s) = K_P \left( 1 + \frac{1}{T_I s} + \frac{T_D N s}{T_D s + N} \right) = \frac{K_P \left[ T_D s^2 + \left( 1 + \frac{T_D}{T_I} \right) s + \frac{1}{T_I} N \right]}{s(T_D s + N)}$$

+∞ the zeros are practically the same as before

## Design of PID Controllers



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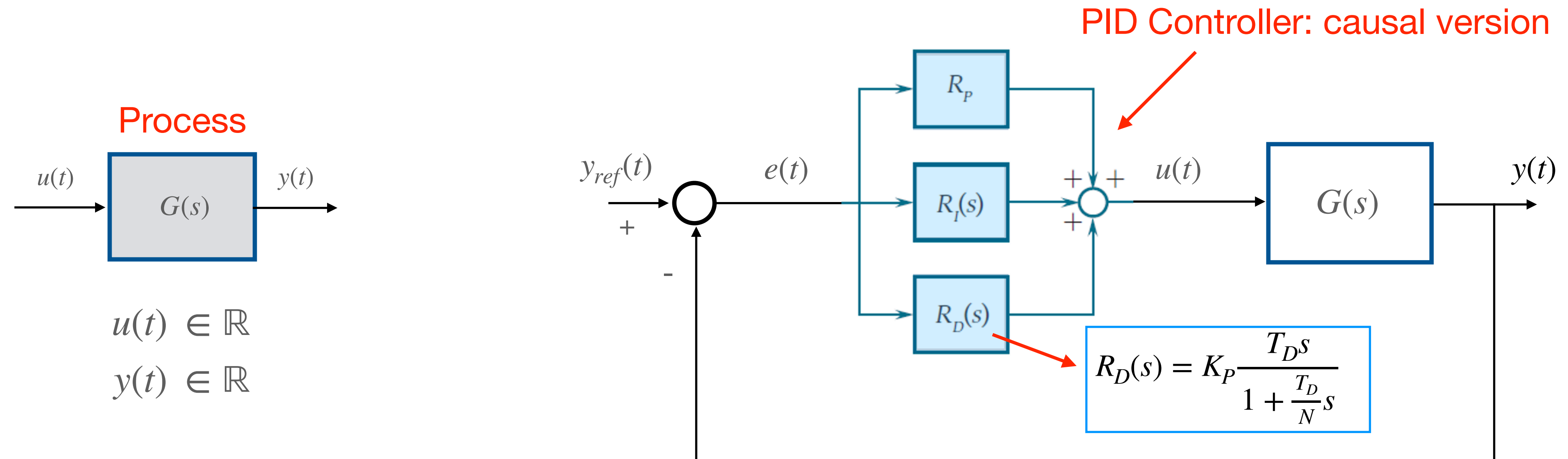
when  $T_I = 4T_D$  the zeros coincide in  $s = -\frac{1}{2T_D}$

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# Design of PID Controllers



Alternative representation:

$$R_{PID_{id}}(s) = \frac{U(s)}{E(s)} = K_P + \frac{K_I}{s} + K_D s = K_P \left( 1 + \frac{1}{T_I s} + T_D s \right)$$

Effect of the new pole on the PID zeros:

choice made to simplify PID parameter tuning  
when  $T_I = 4T_D$  the zeros coincide in  $s = -\frac{1}{2T_D}$

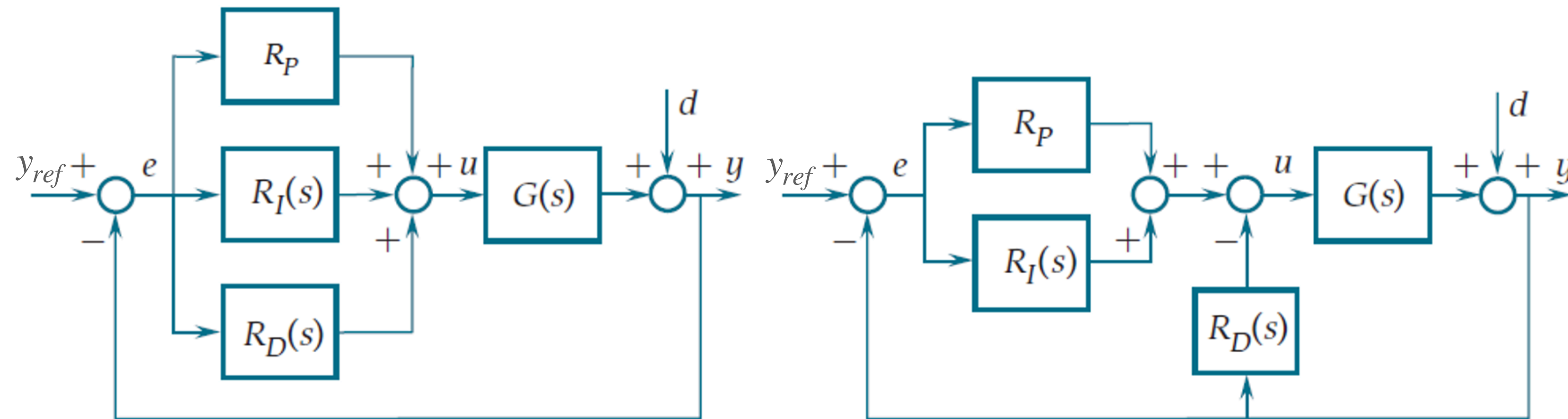
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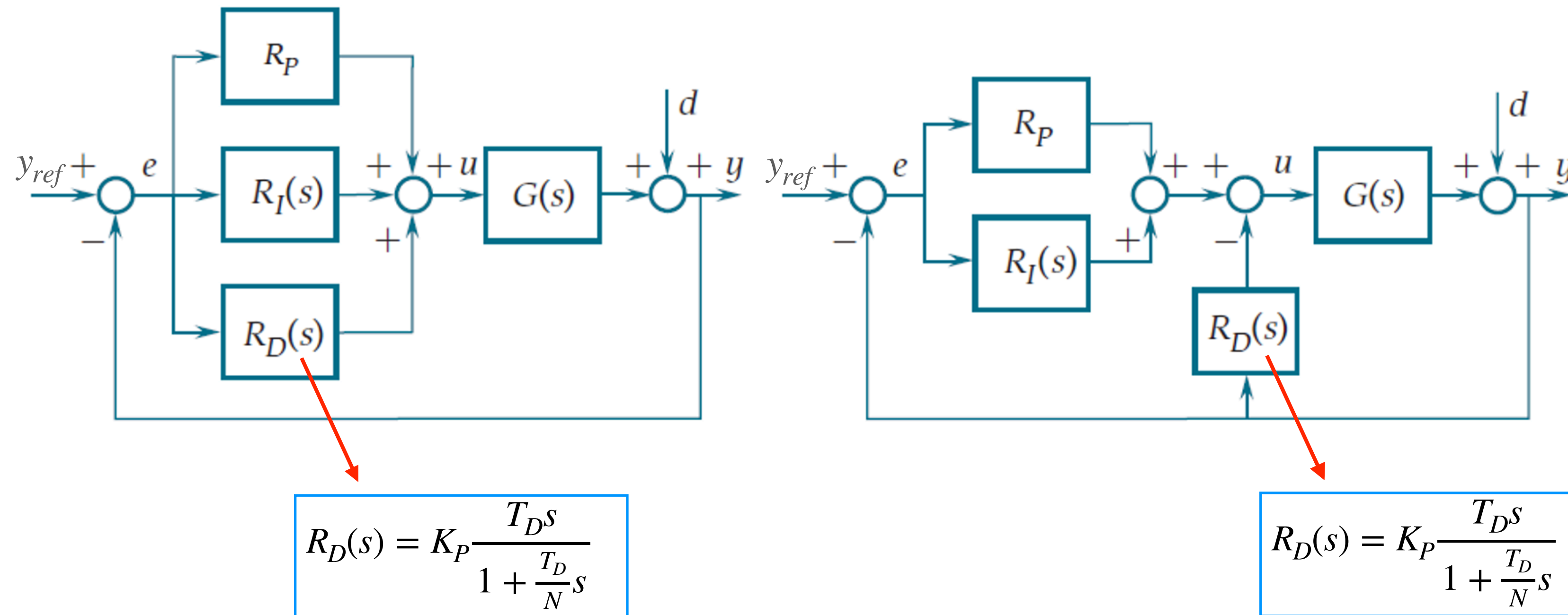
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## Practical Implementation of PID Controllers

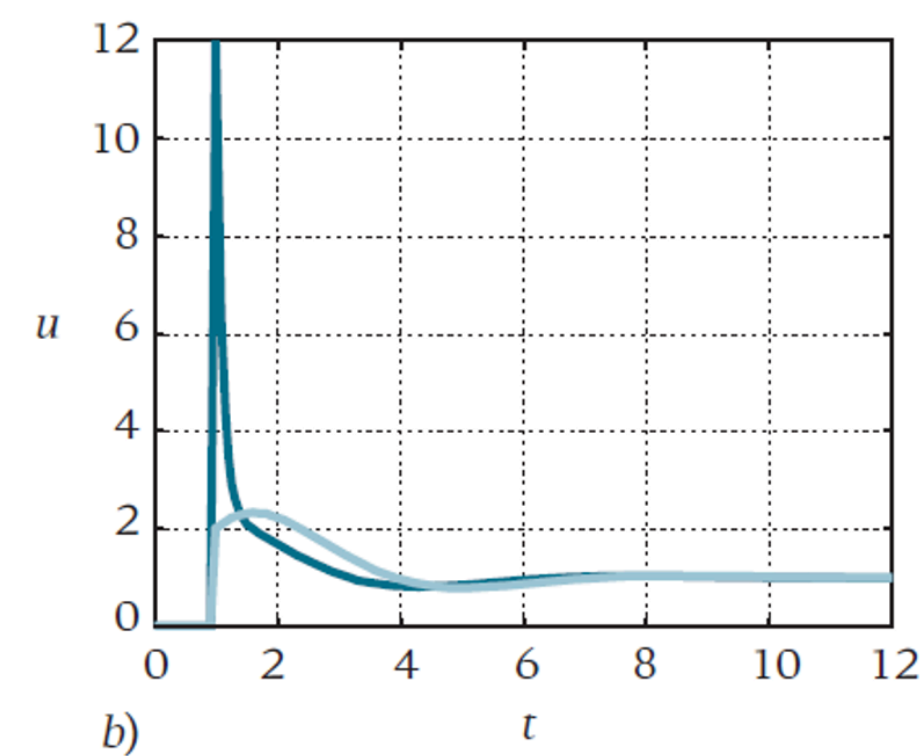
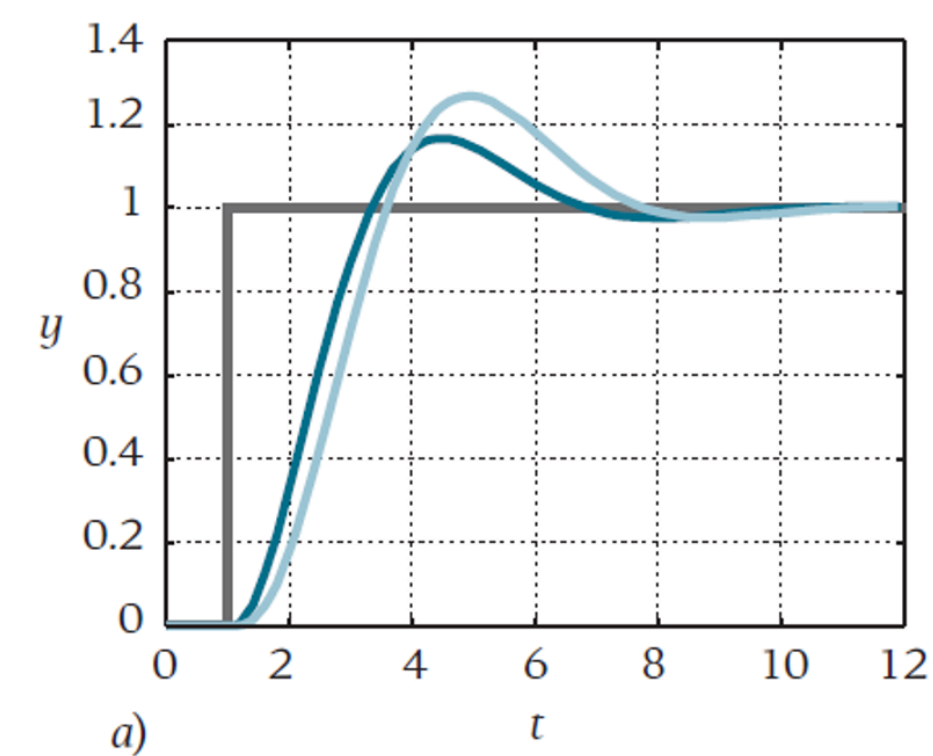
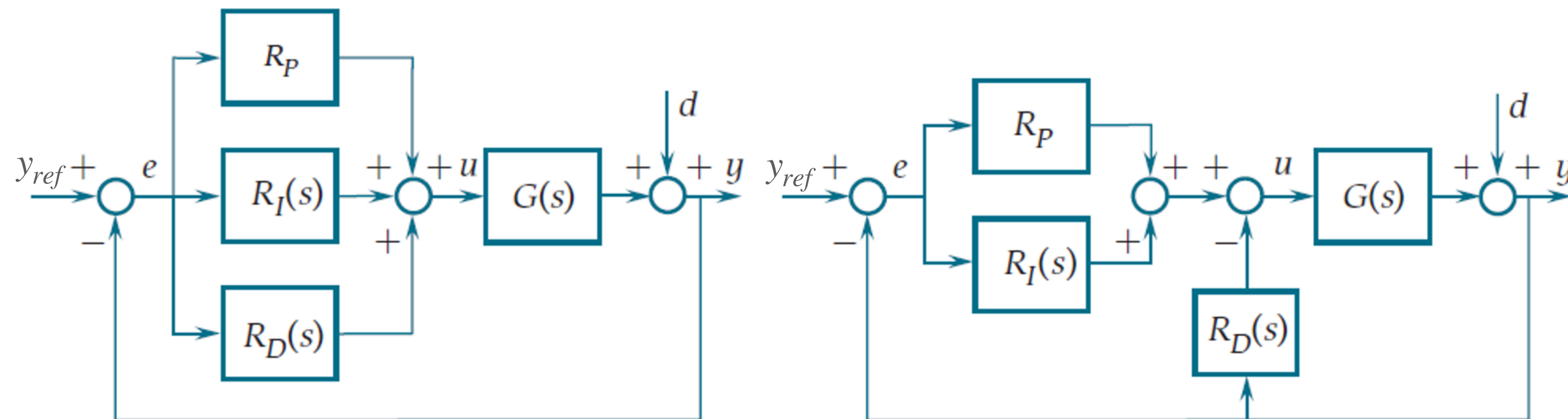


## Practical Implementation of PID Controllers



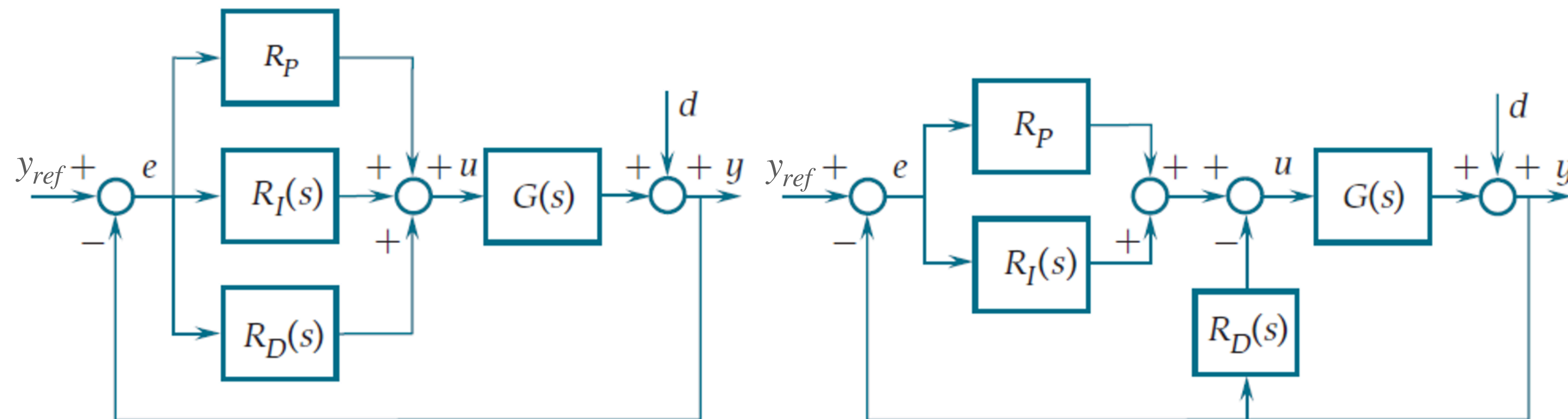


## Practical Implementation of PID Controllers

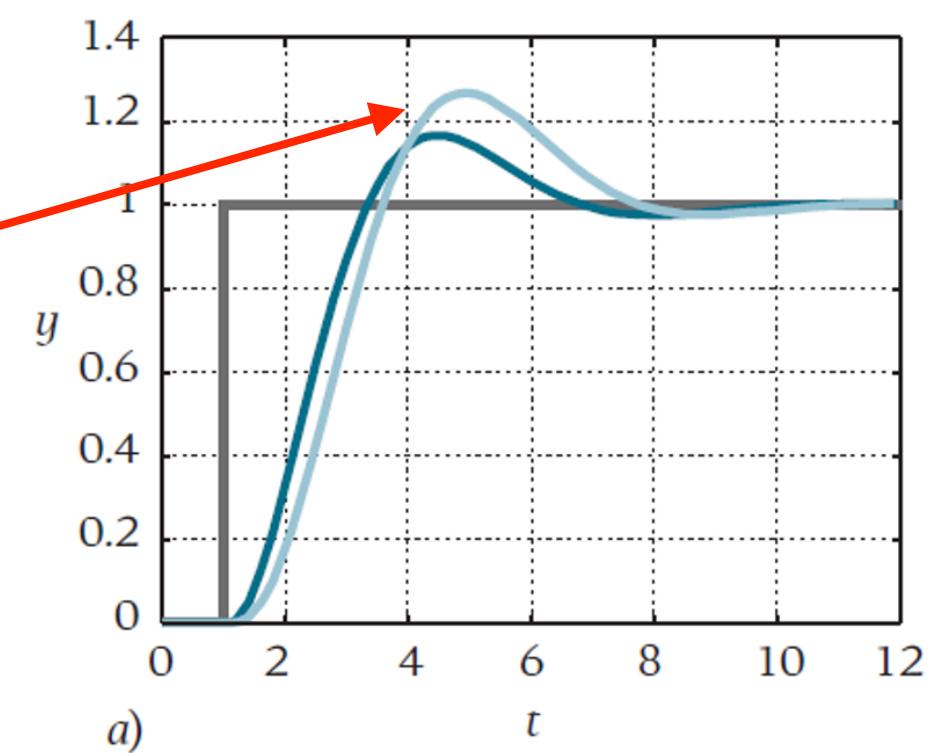


— Error differentiation — Output differentiation

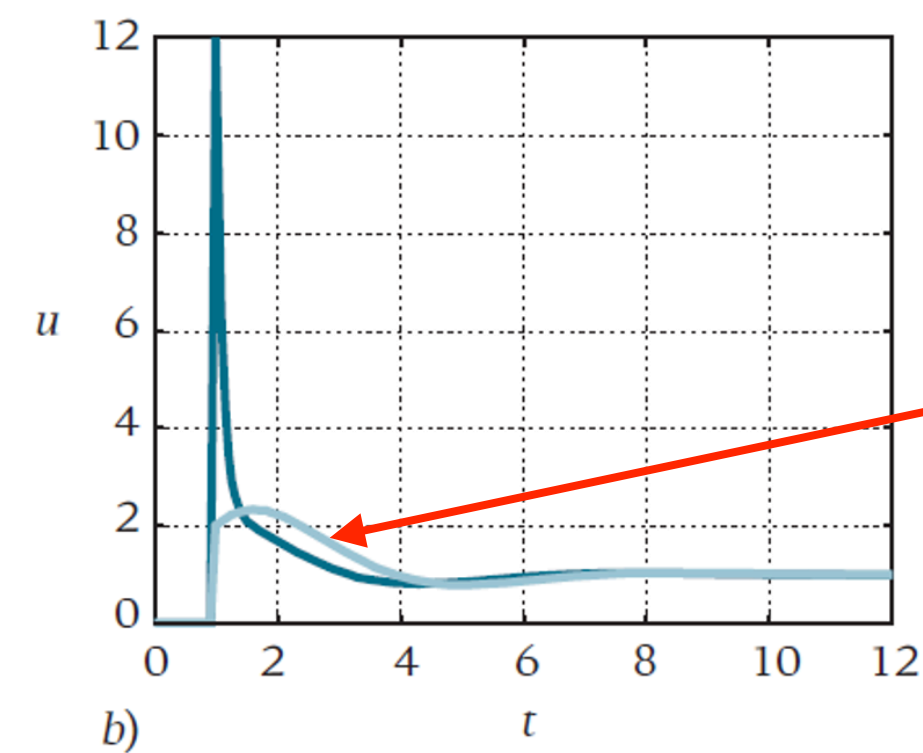
## Practical Implementation of PID Controllers



Lower quality in the transient phase



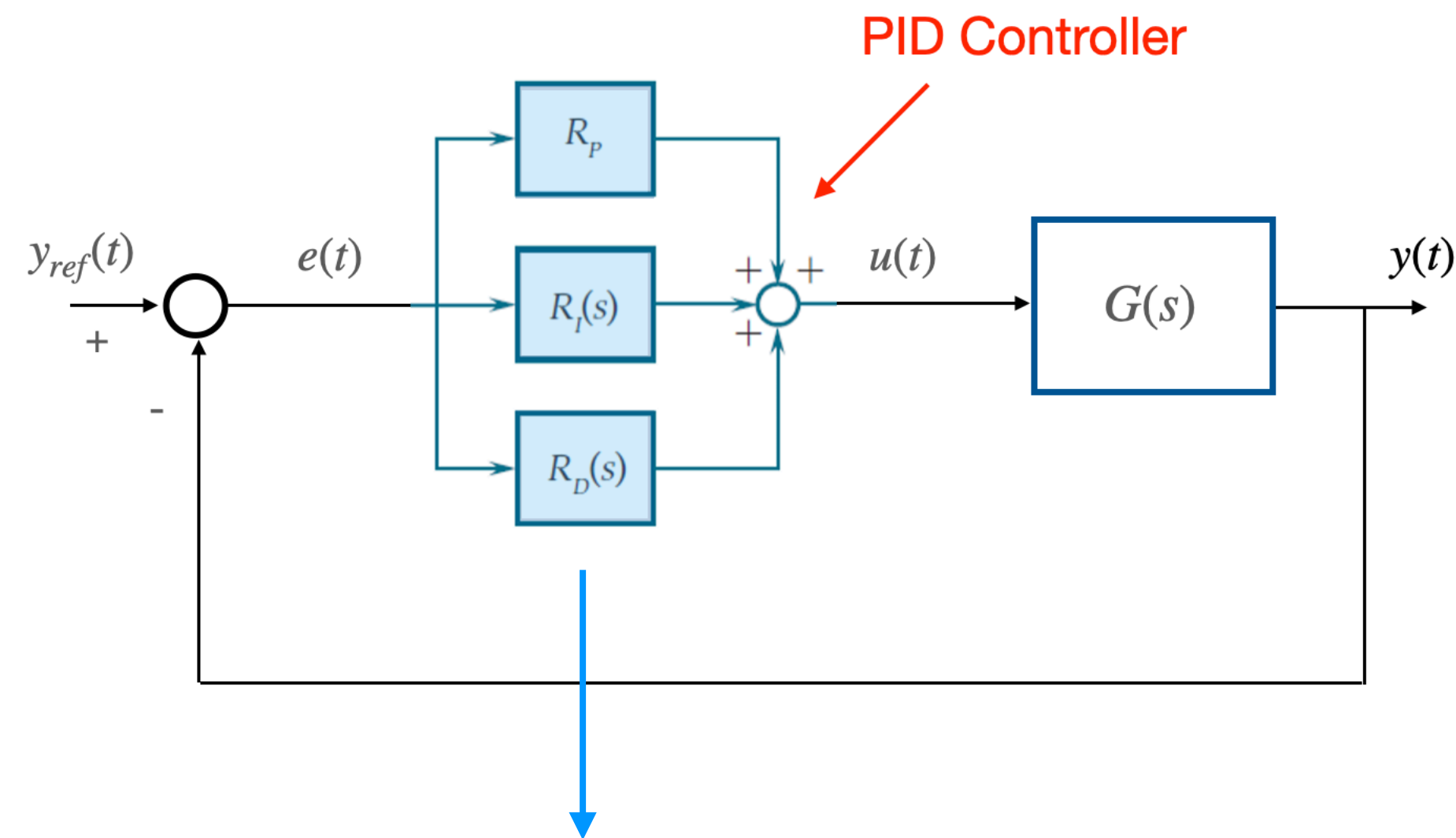
Error differentiation



Output differentiation

Less peaking

## Practical Implementation of PID Controllers: **Tuning Rules**

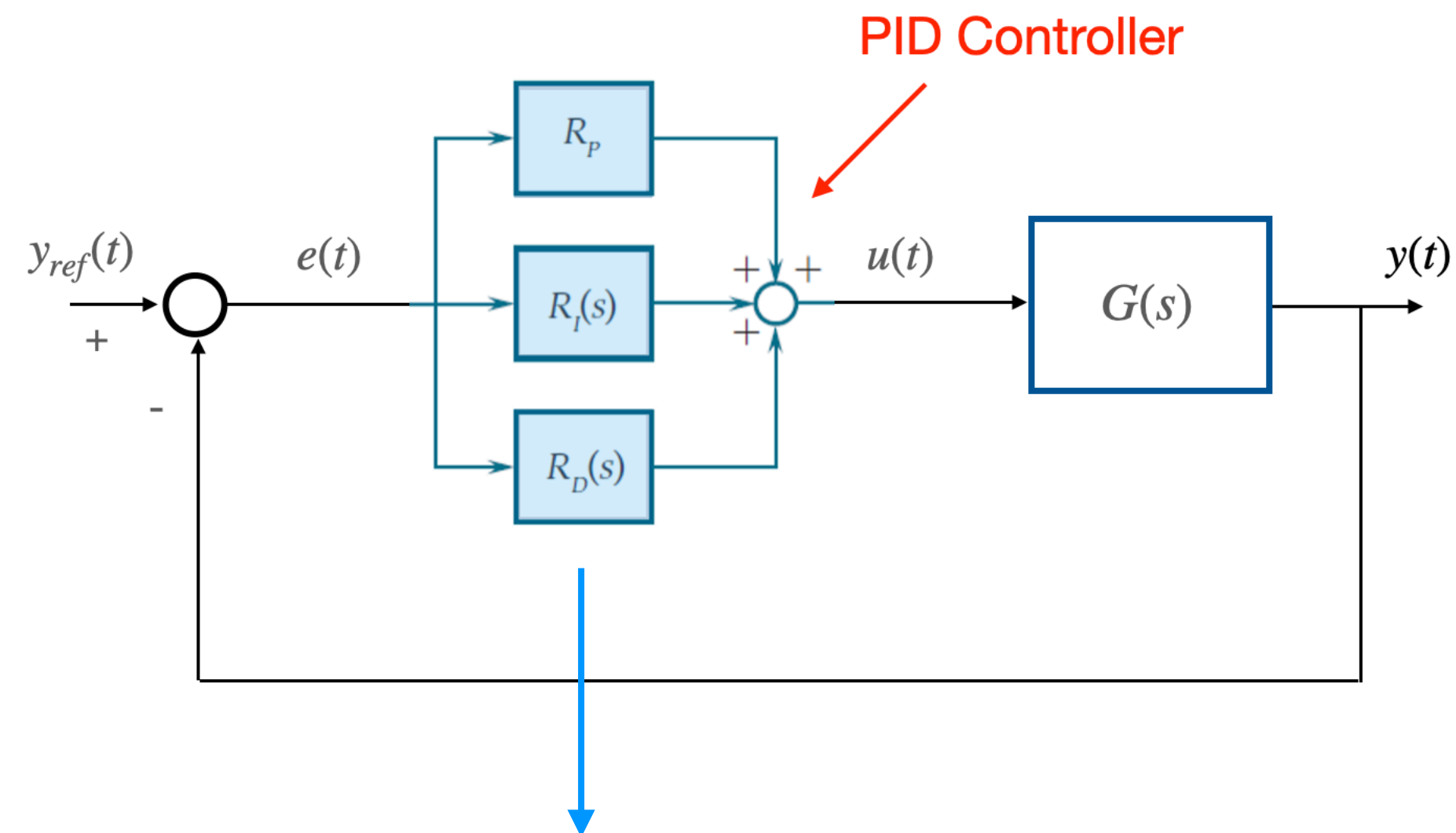


Closed-loop Ziegler-Nichols Method

Testing phase:

$$R_P(s) = K_P, \quad R_I(s) = 0, \quad R_D(s) = 0$$

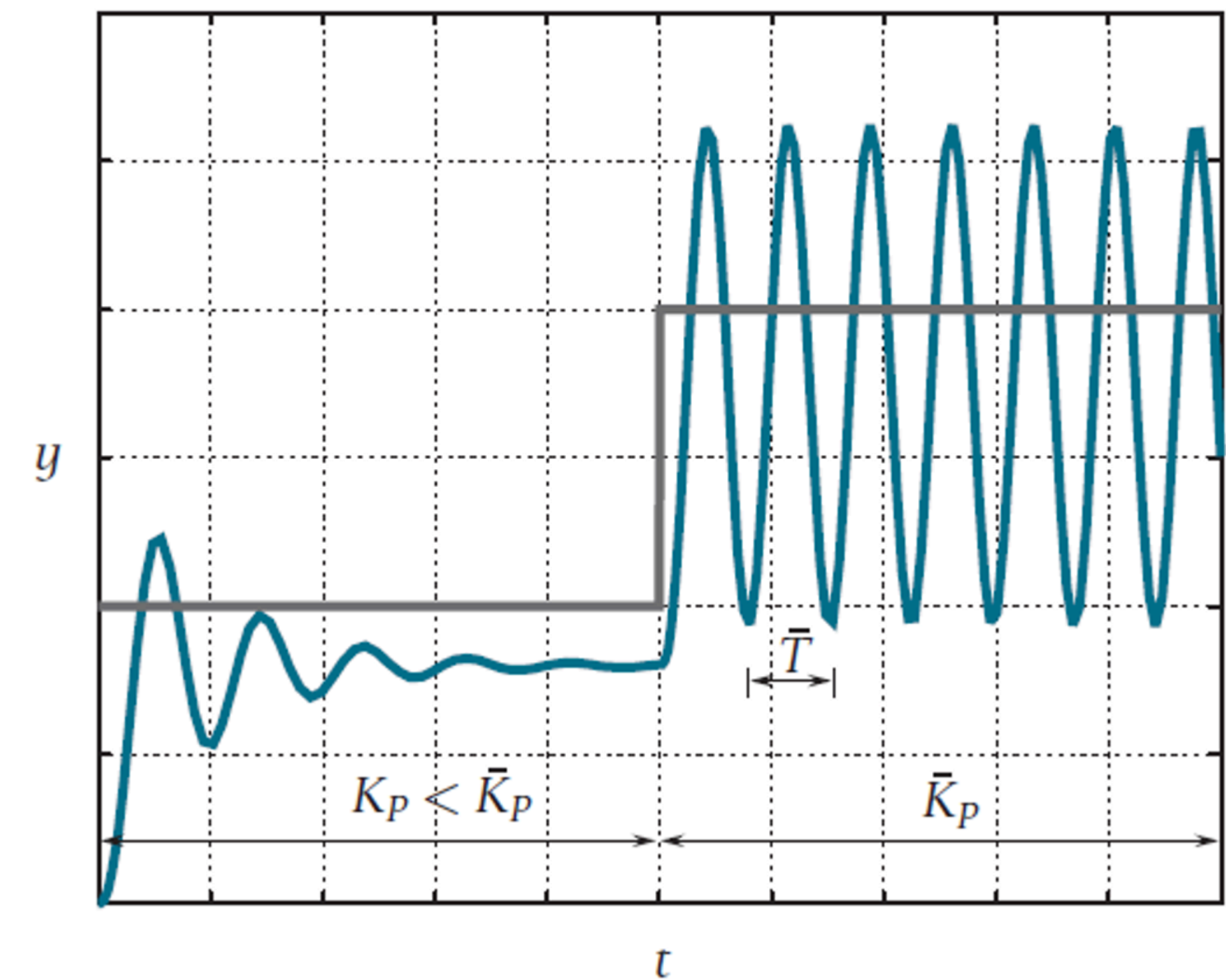
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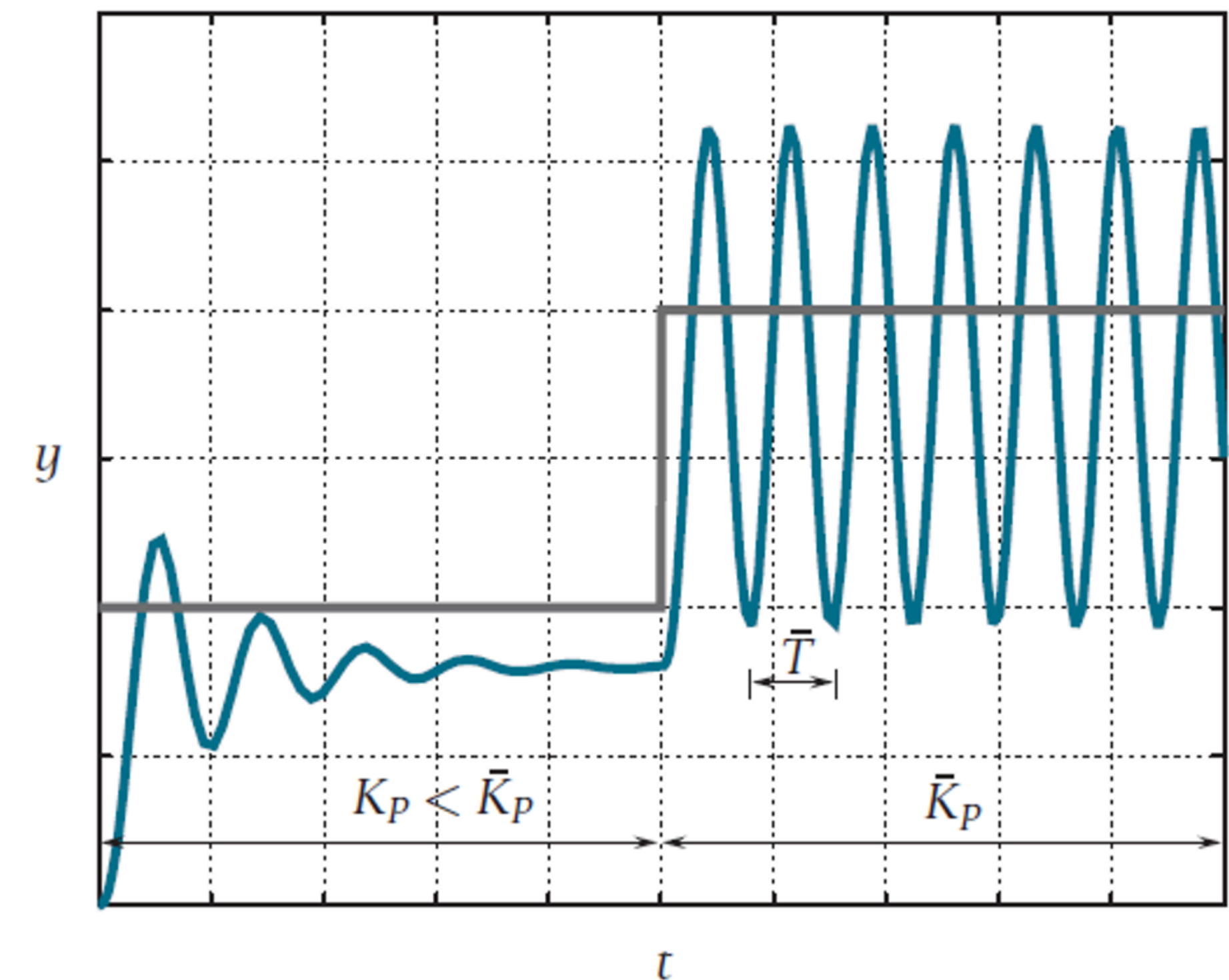


## Practical Implementation of PID Controllers: **Tuning Rules**

### Closed-loop Ziegler-Nichols Method

	$K_P$	$T_I$	$T_D$
P	$0.5\bar{K}_P$		
PI	$0.45\bar{K}_P$	$0.8\bar{T}$	
PID	$0.6\bar{K}_P$	$0.5\bar{T}$	$0.125\bar{T}$

$$R_{PID_{id}}(s) = K_P \left( 1 + \frac{1}{T_I s} + T_D s \right)$$



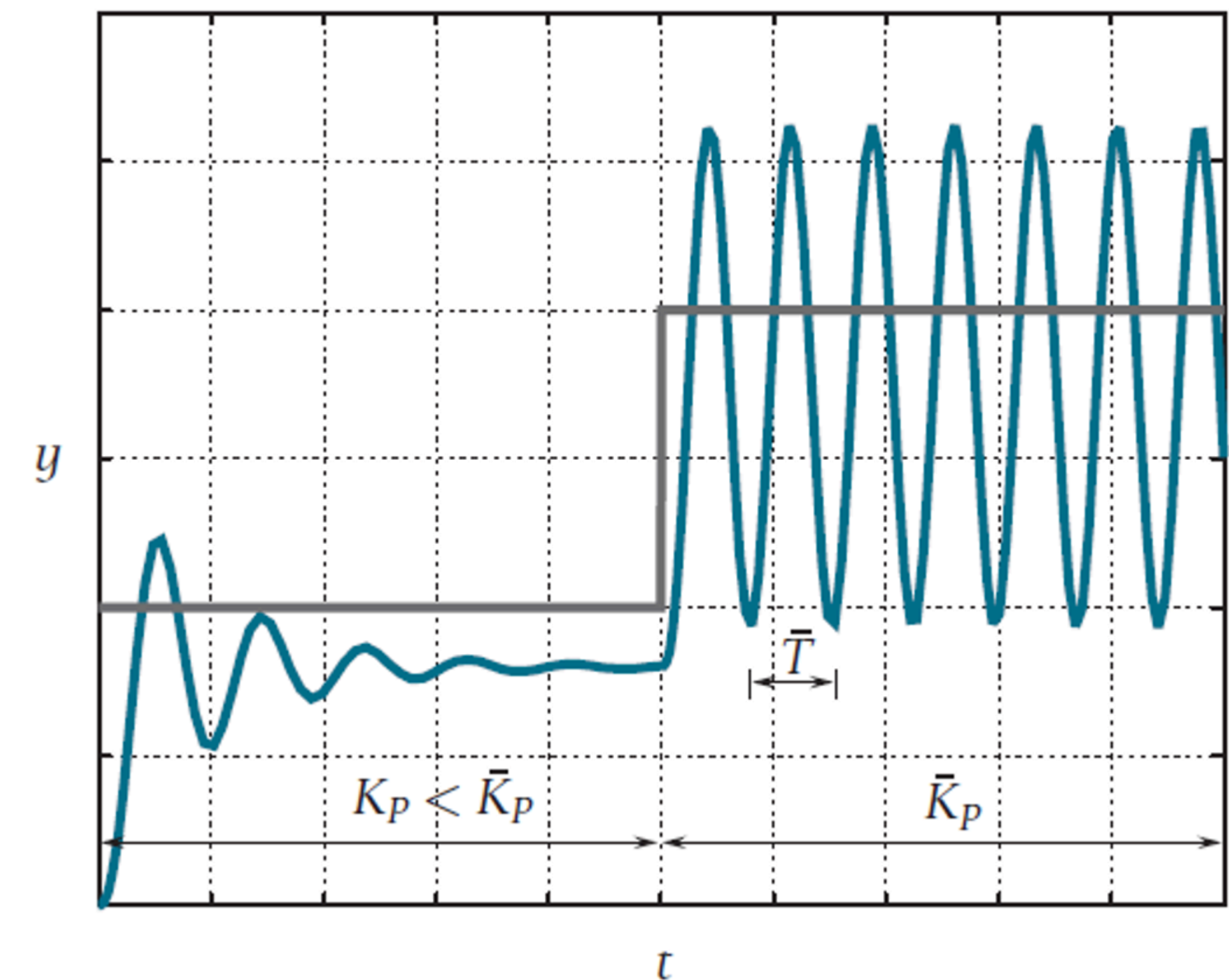
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### Closed-loop Ziegler-Nichols Method

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## Practical Implementation of PID Controllers: **Tuning Rules**

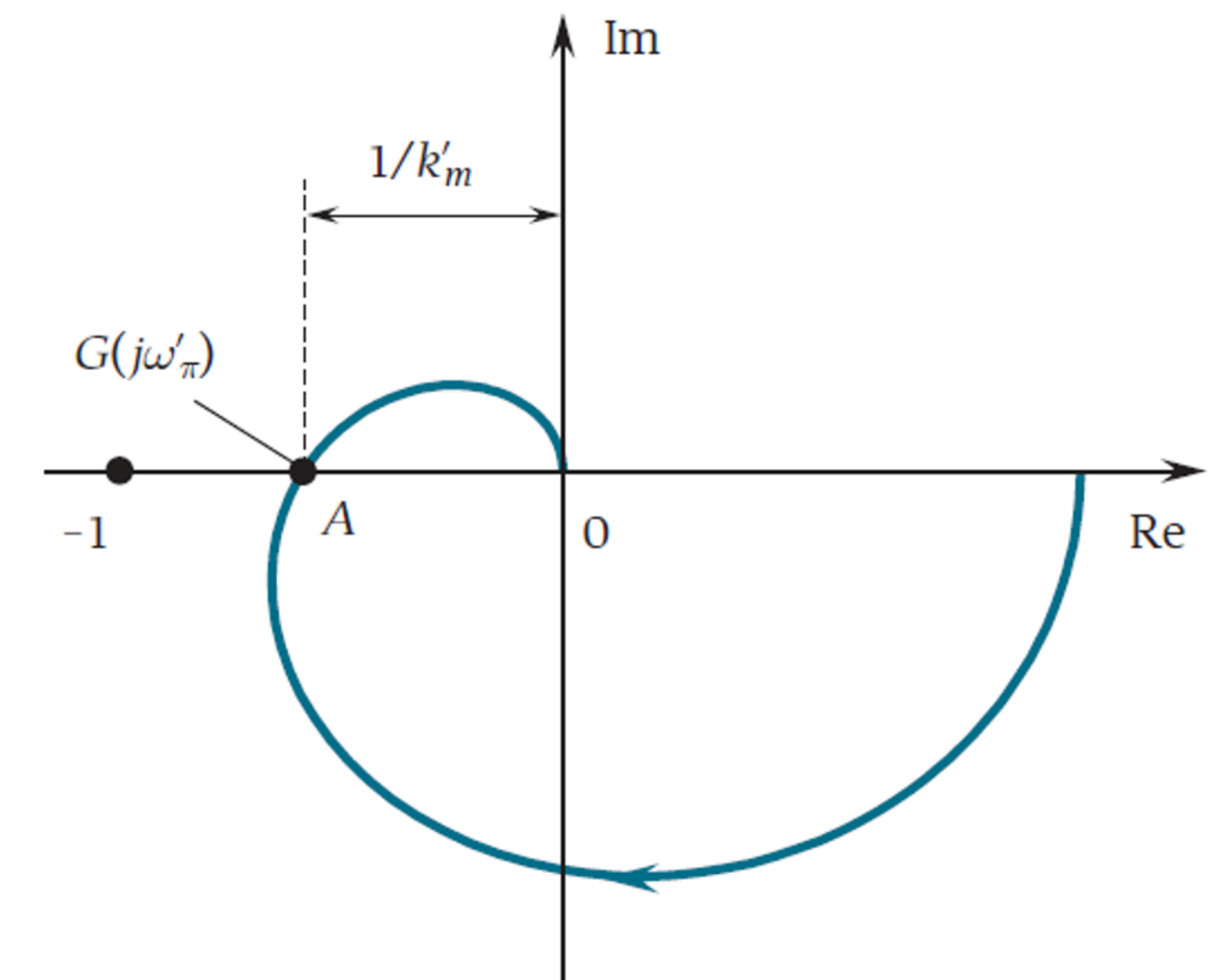
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Closed-loop Ziegler-Nichols Method: Interpretation



$\bar{K}_p = k'_m \rightarrow$  Gain Margin of  $G(s)$

$\bar{T} = \frac{\pi}{\omega'_\pi} \rightarrow$  where  $\omega'_\pi$  is the pulse corresponding to point A



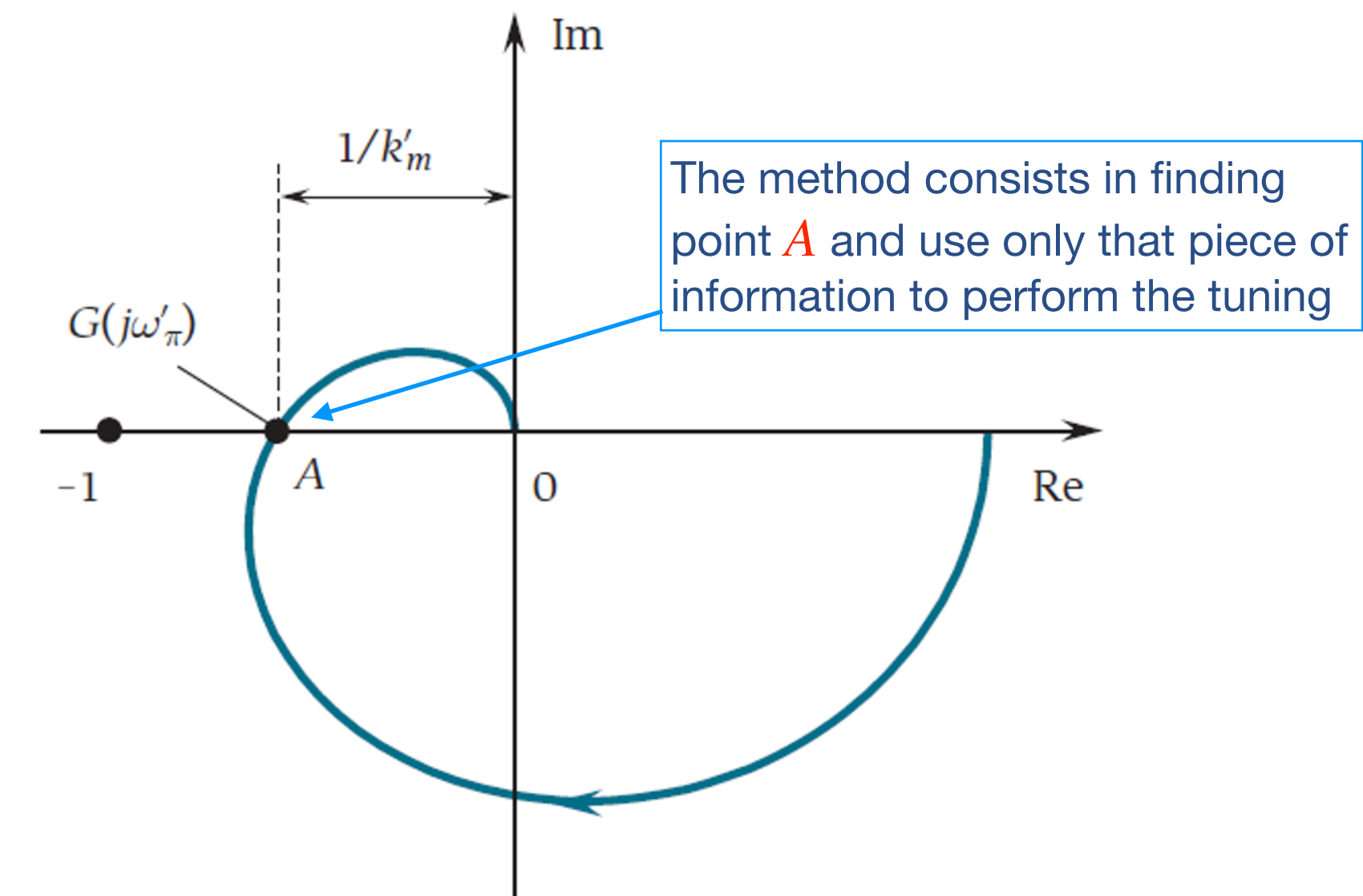
## Practical Implementation of PID Controllers: **Tuning Rules**

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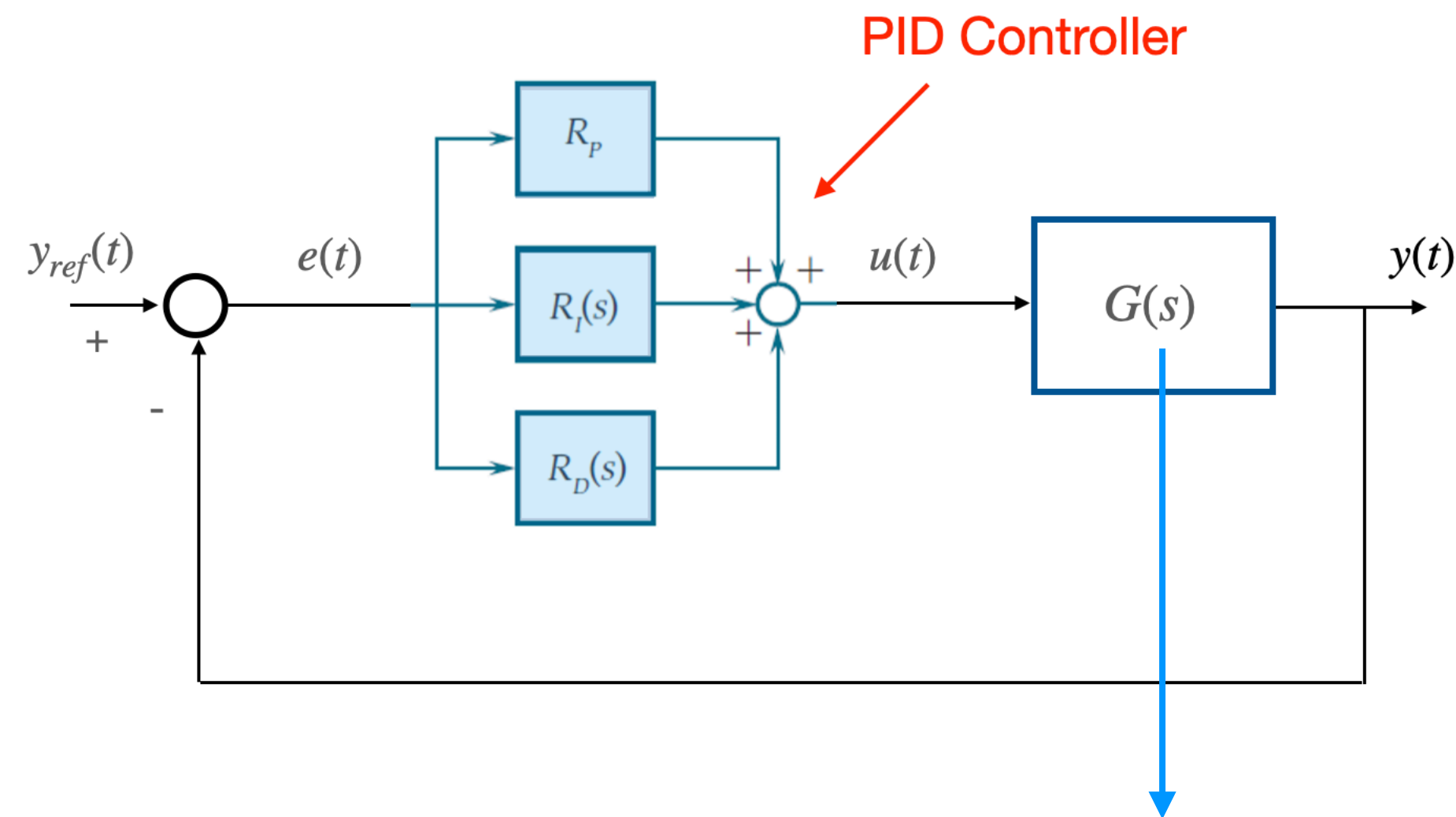
### Closed-loop Ziegler-Nichols Method: Interpretation



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## Practical Implementation of PID Controllers: **Tuning Rules**

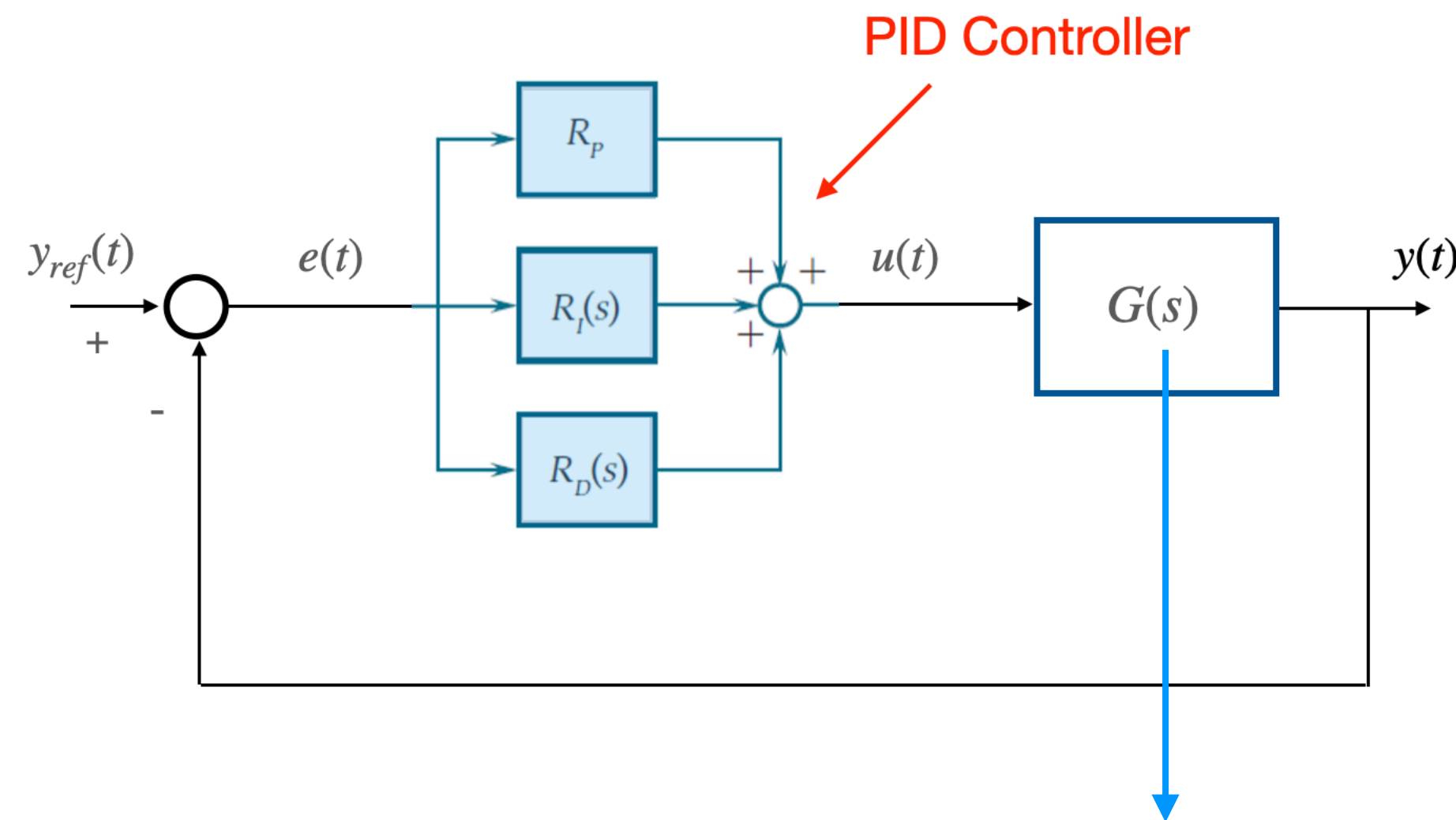


Open-loop Methods

Approximate Model of the Process:

$$G_a(s) = \frac{\mu e^{-\tau s}}{1 + Ts}$$

## Practical Implementation of PID Controllers: **Tuning Rules**



Open-loop Methods

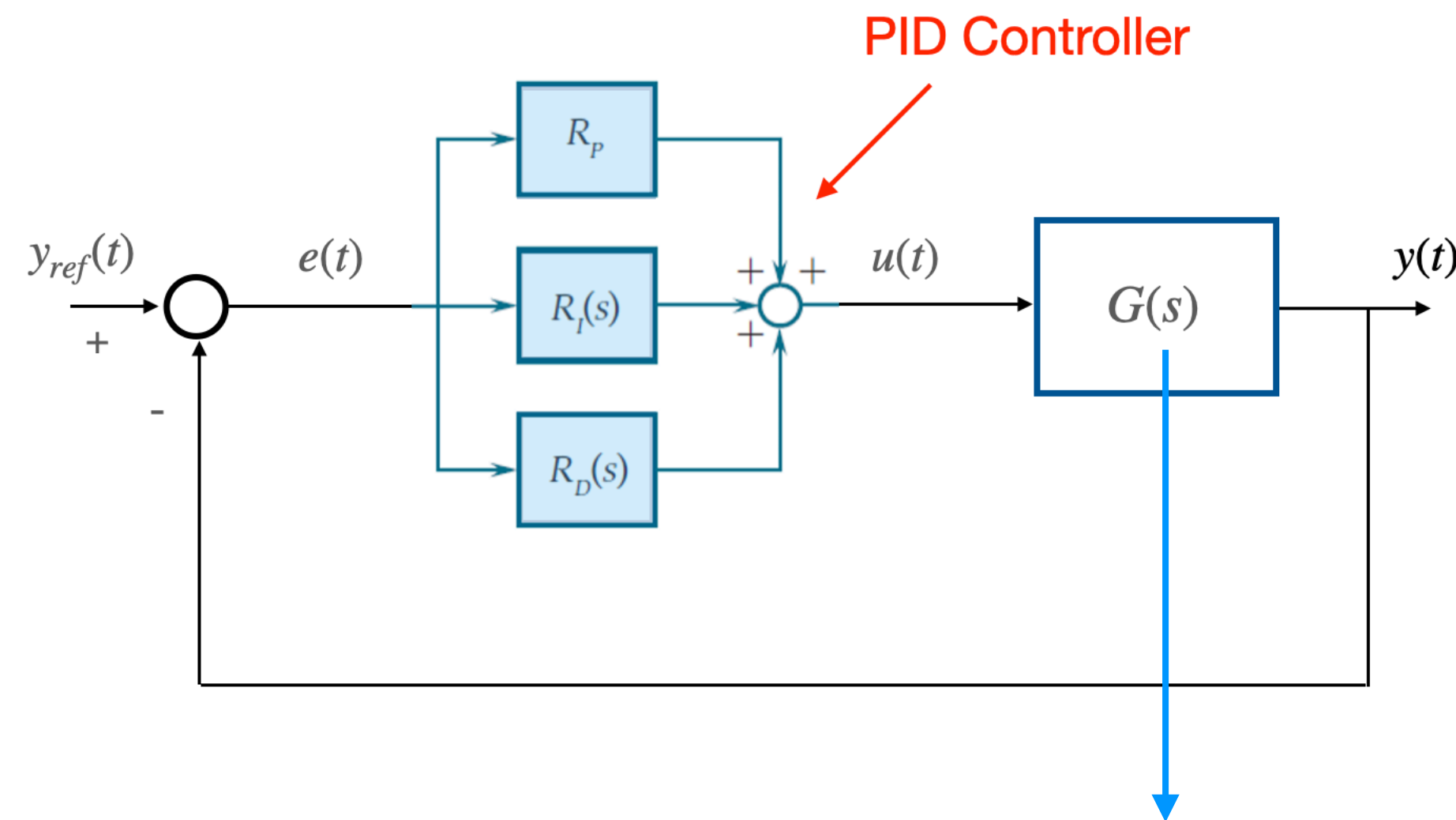
Approximate Model of the Process:

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equivalent delay

equivalent time-constant

# Practical Implementation of PID Controllers: **Tuning Rules**



Approximate Model of the Process:

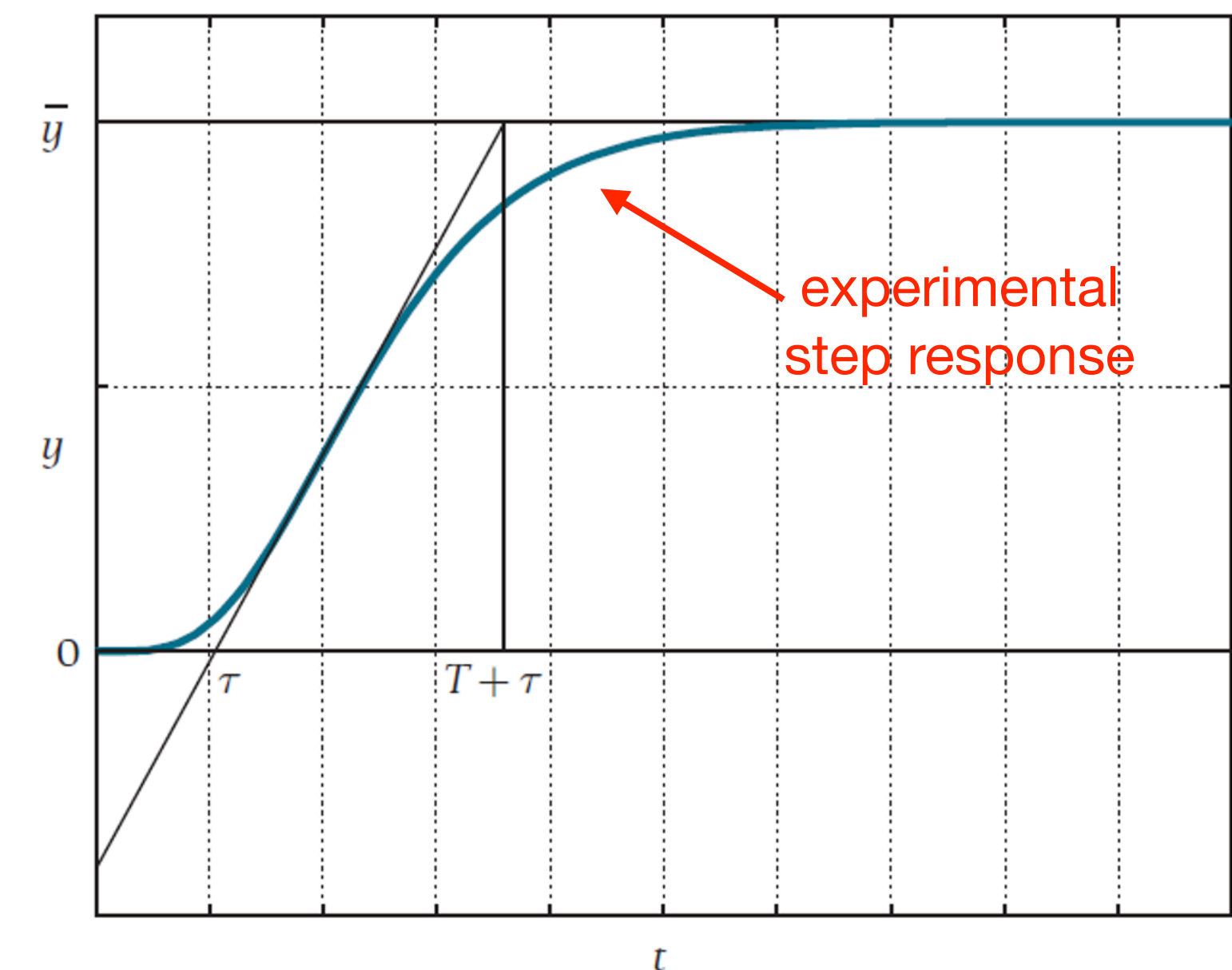
$$G_a(s) = \frac{\mu e^{-\tau s}}{1 + Ts}$$

equivalent delay

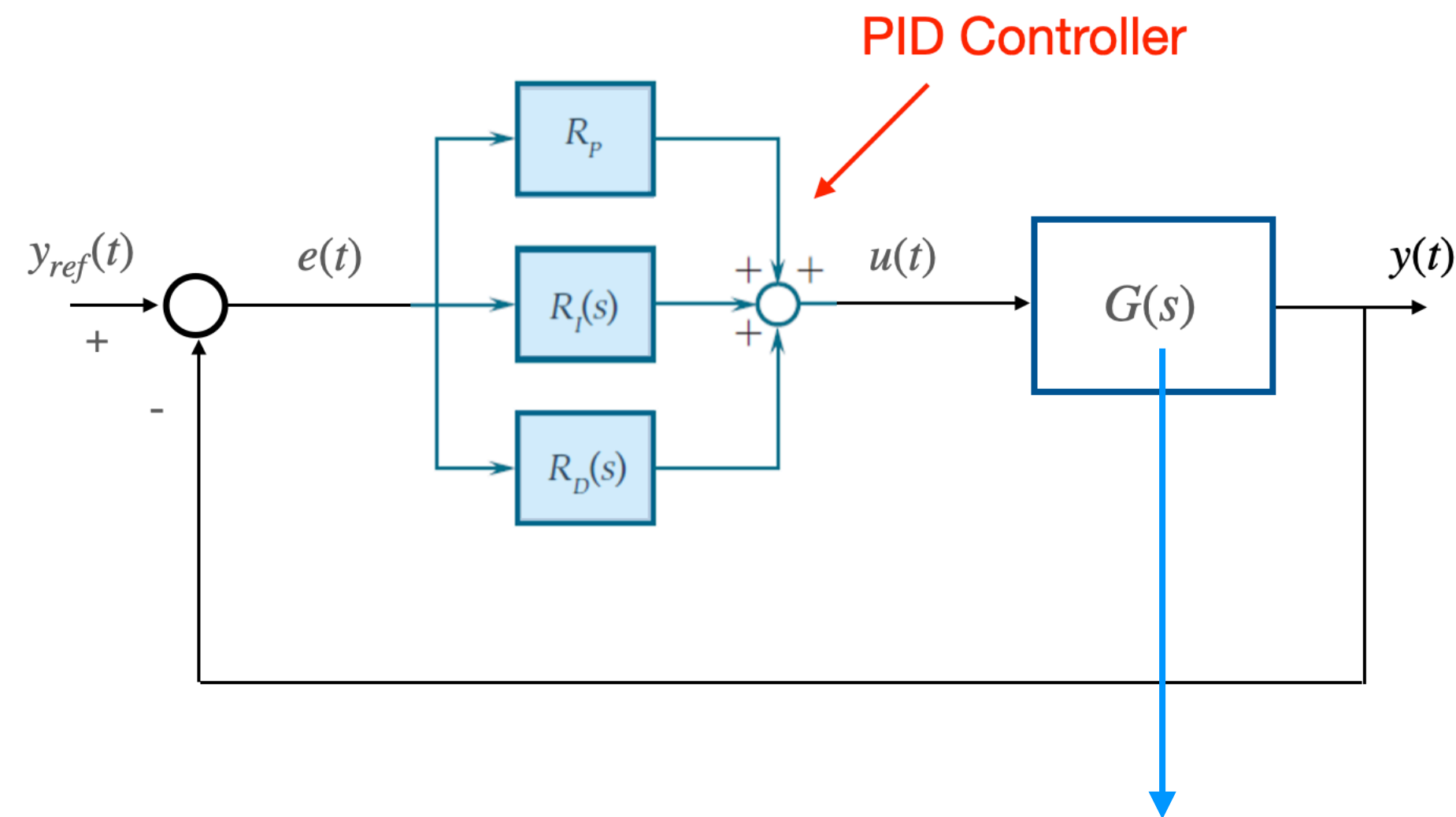
equivalent time-constant

## Open-loop Methods

Parameters  $\tau$ ,  $T$  determination via the Tangent Method



## Practical Implementation of PID Controllers: **Tuning Rules**



Approximate Model of the Process:

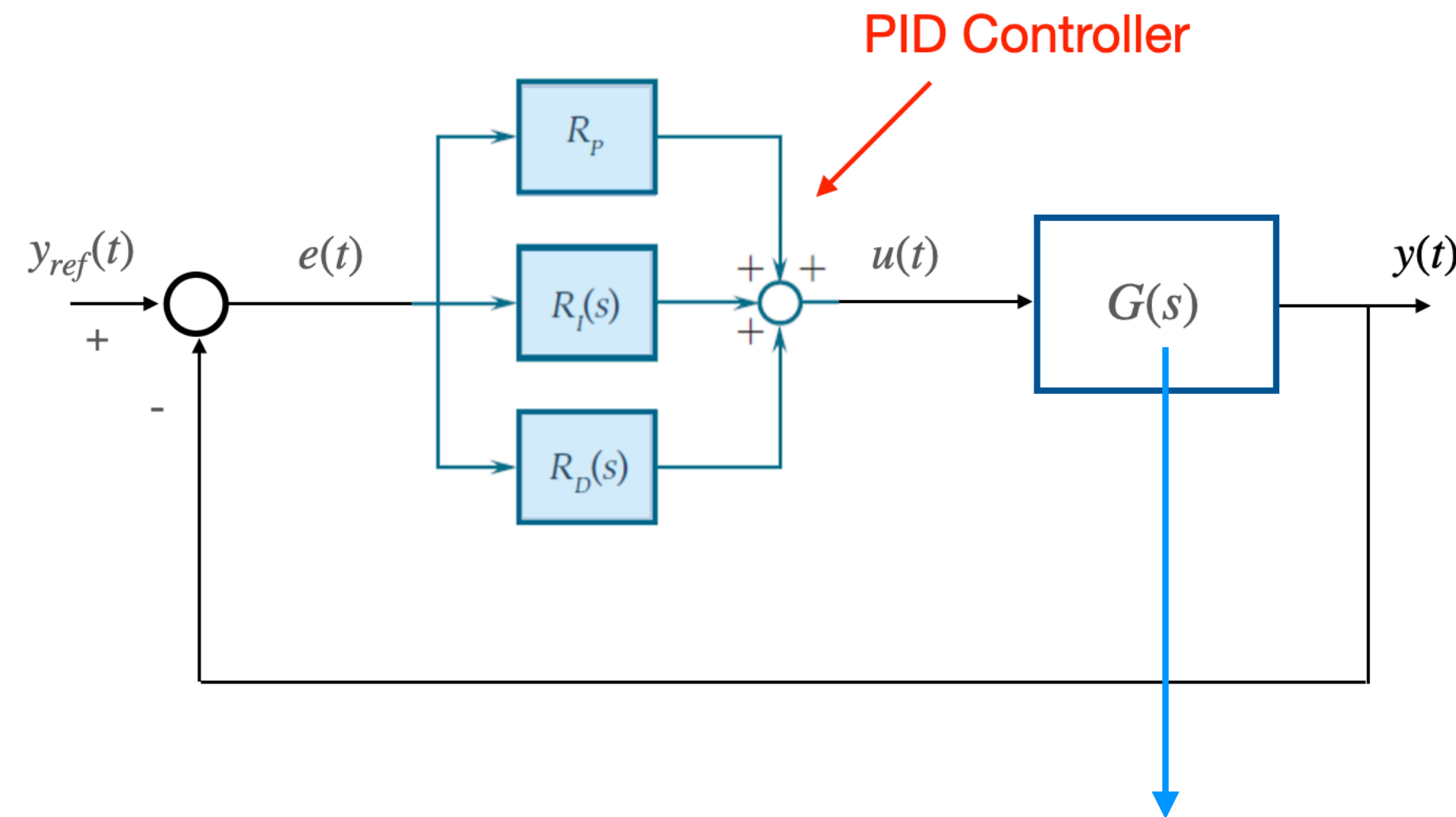
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Open-loop Ziegler-Nichols Method

	$K_P$	$T_I$	$T_D$
P	$\frac{T}{\mu\tau}$		
PI	$\frac{0.9T}{\mu\tau}$	$3\tau$	
PID	$\frac{1.2T}{\mu\tau}$	$2\tau$	$0.5\tau$

$$R_{PID_{id}}(s) = K_P \left( 1 + \frac{1}{T_I s} + T_D s \right)$$

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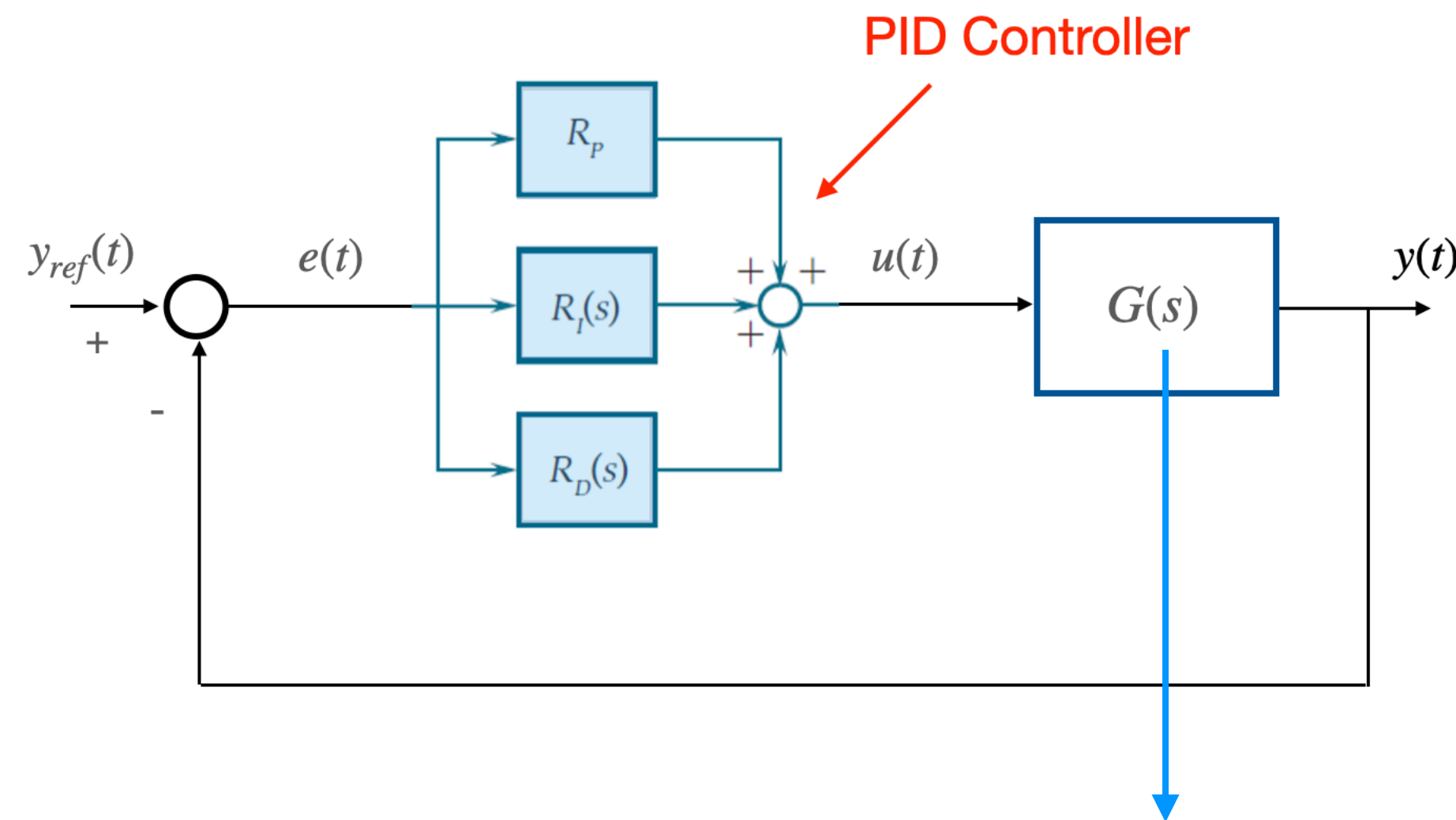
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Note that  $T_I = 4T_D \rightarrow$  the PID zeros coincide in  $s = -\frac{1}{2T_D} = -\frac{1}{\tau}$



## Practical Implementation of PID Controllers: **Tuning Rules**



### Open-loop Cohen-Coon Method

	$K_P$	$T_I$	$T_D$
P	$\frac{3T + \tau}{3\mu\tau}$		
PI	$\frac{10.8T + \tau}{12\mu\tau}$	$\tau \frac{30T + 3\tau}{9T + 20\tau}$	
PID	$\frac{16T + 3\tau}{12\mu\tau}$	$\tau \frac{32T + 6\tau}{12\tau}$	$\frac{4T\tau}{11T + 2\tau}$

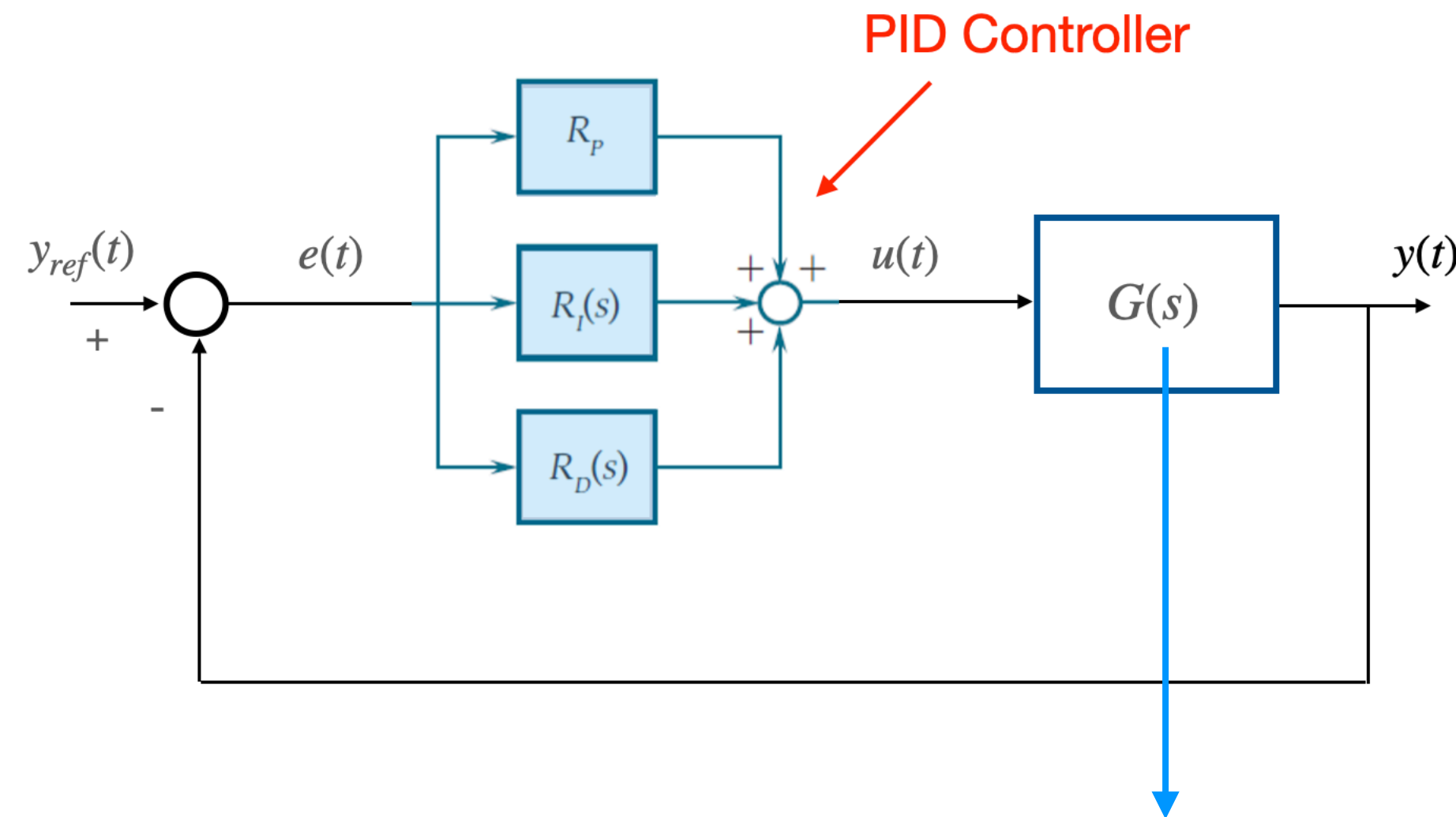
Approximate Model of the Process:

$$G_a(s) = \frac{\mu e^{-\tau s}}{1 + Ts}$$

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## Practical Implementation of PID Controllers: **Tuning Rules**



Approximate Model of the Process:

$$G_a(s) = \frac{\mu e^{-\tau s}}{1 + Ts}$$

### Open-loop Internal Model Control (IMC) Method

	$K_P$	$T_I$	$T_D$
PI	$\frac{T}{\mu(\tau + T_f)}$	$T$	
PID	$\frac{T + 0.5\tau}{\mu(T_f + 0.5\tau)}$	$T + 0.5\tau$	$\frac{0.5\tau T}{T + 0.5\tau}$

$$R_{PIDid}(s) = K_P \left( 1 + \frac{1}{T_I s} + T_D s \right)$$

additional parameter: if  $T_f$  increases, then  $\omega_{BW_{CL}}$  decreases and the phase and gain margins increase

Practical Implementation of PID Controllers: **Tuning Rules**

Comparison:

	$\varphi_m$	$k_m$	$\omega_c$
Cohen - Coon	31°	7.9	0.74
IMC ( $T_f = 0.4$ )	45°	10.8	0.6
IMC ( $T_f = 0.8$ )	53°	12.8	0.5
IMC ( $T_f = 1.2$ )	59°	14.4	0.42

For system:  $G(s) = \frac{1}{(1 + s)^3}$  approximated as:  $G_a(s) = \frac{e^{-0.8s}}{1 + 3.7s}$

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Note that: The IMC method produces more moderate control systems w.r.t. those obtained via the Cohen-Coon Method

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For system:  $G(s) = \frac{1}{(1 + s)^3}$  approximated as:  $G_a(s) = \frac{e^{-0.8s}}{1 + 3.7s}$

**Exercise:**  
 Determine the Control Sensitivity Functions for a PI tuned using Cohen-Coon or the IMC in the table for the considered  $G(s)$

Open-loop Internal Model Control (IMC) Method

	$K_P$	$T_I$	$T_D$
PI	$\frac{T}{\mu(\tau + T_f)}$	$T$	
PID	$\frac{T + 0.5\tau}{\mu(T_f + 0.5\tau)}$	$T + 0.5\tau$	$\frac{0.5\tau T}{T + 0.5\tau}$

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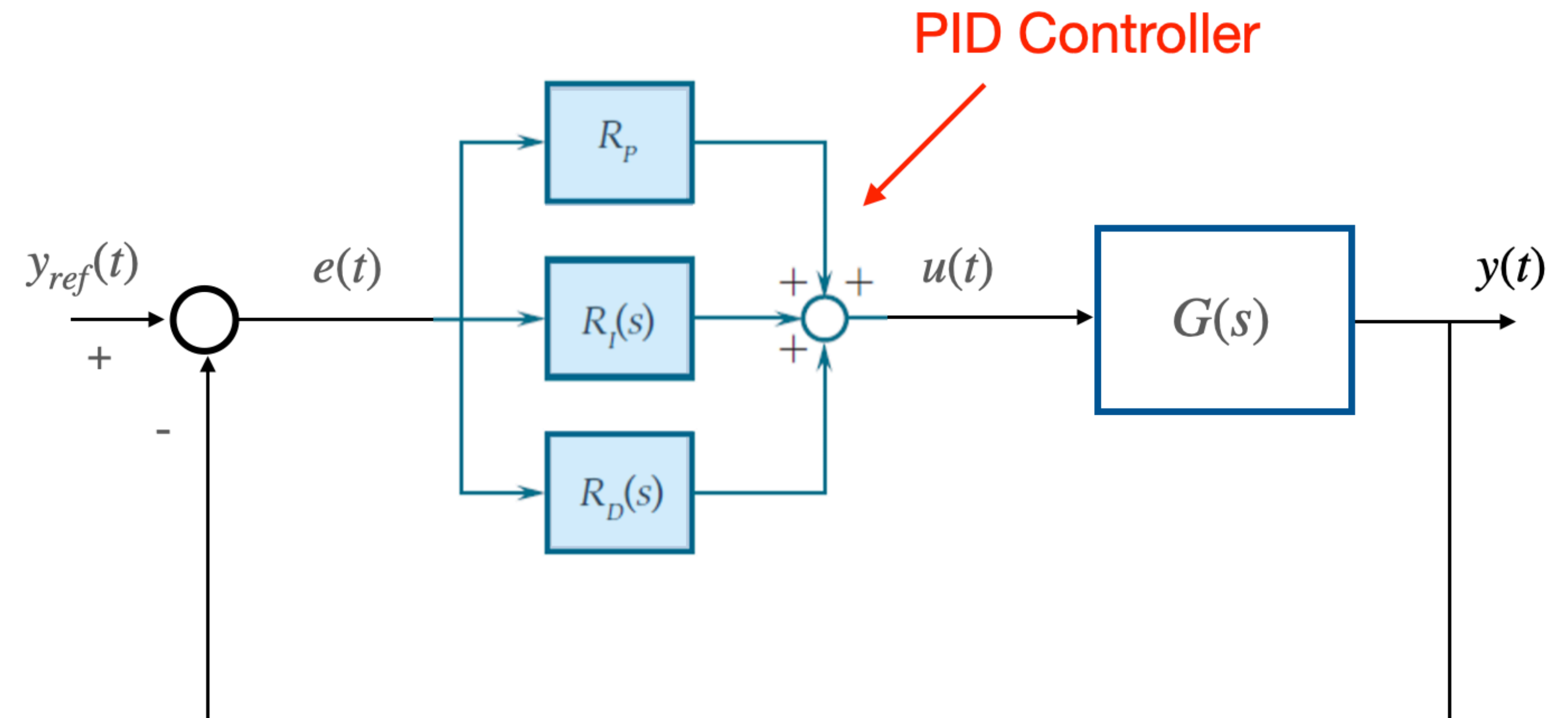
Practical Implementation of PID Controllers: **Example**

Process model:

$$G(s) = \frac{100}{(s + 10)(s + 30)(s + 5)}$$

```
/MATLAB Drive/PID_example1.m  
1 %% System definition  
2 s = tf('s');  
3 G = 100 / ((s + 10)*(s + 30)*(s+5));  
4
```

Control scheme:





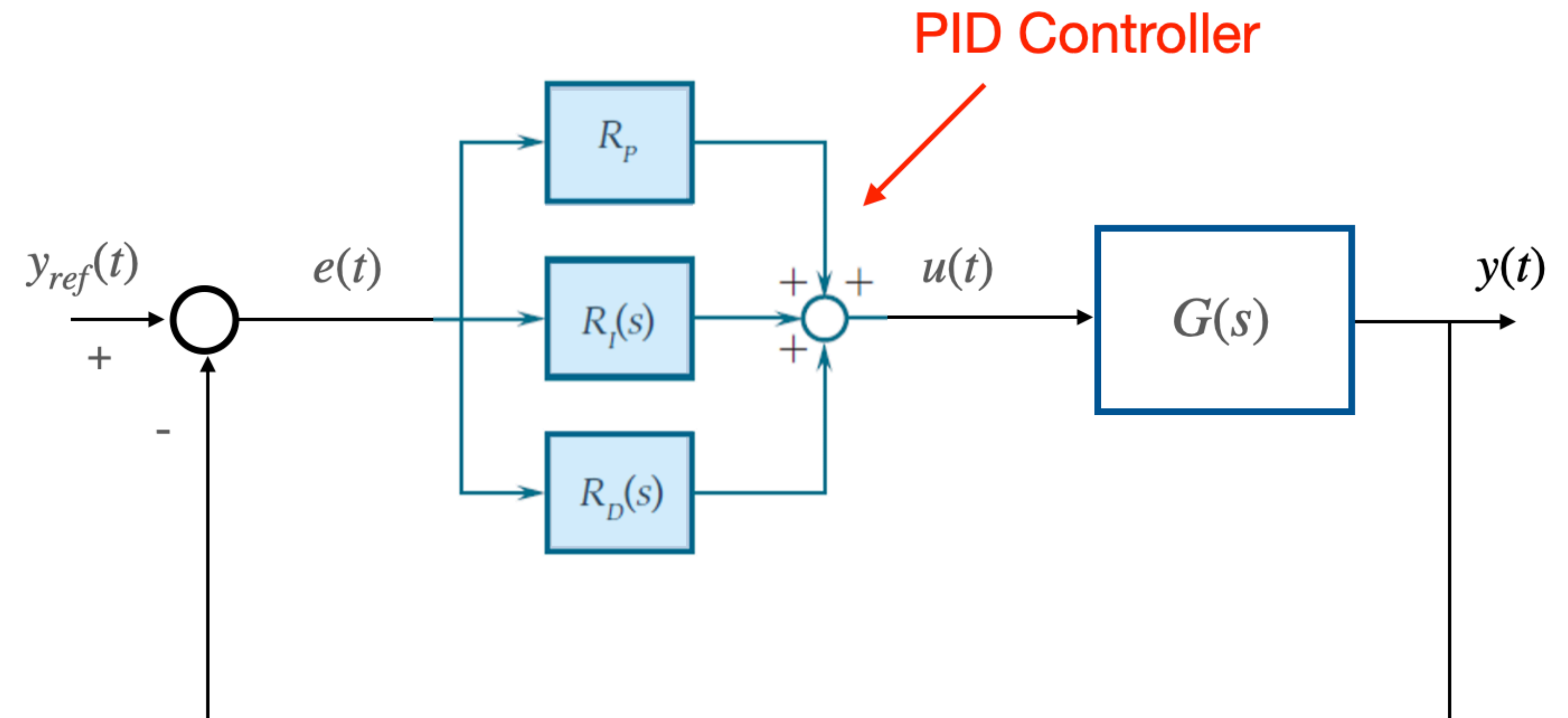
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Control scheme:



**Objective:** Compare the performance of the closed loop system with PID designed via CL Ziegler-Nichols rules and PID designed via OL Ziegler-Nichols rules



## Practical Implementation of PID Controllers: **Example**

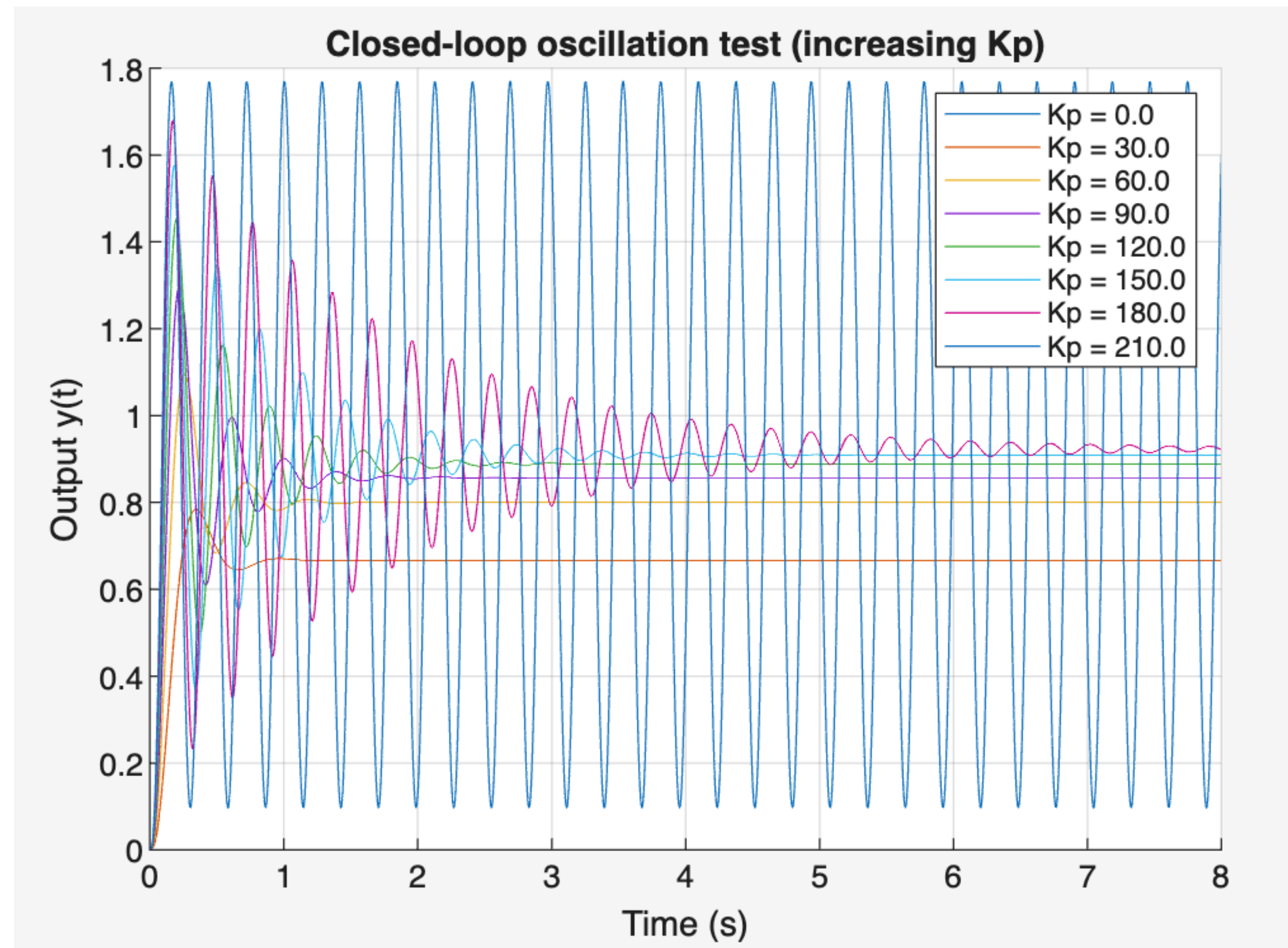
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Simulation for increasing  $K_p$ :



## Practical Implementation of PID Controllers: Example

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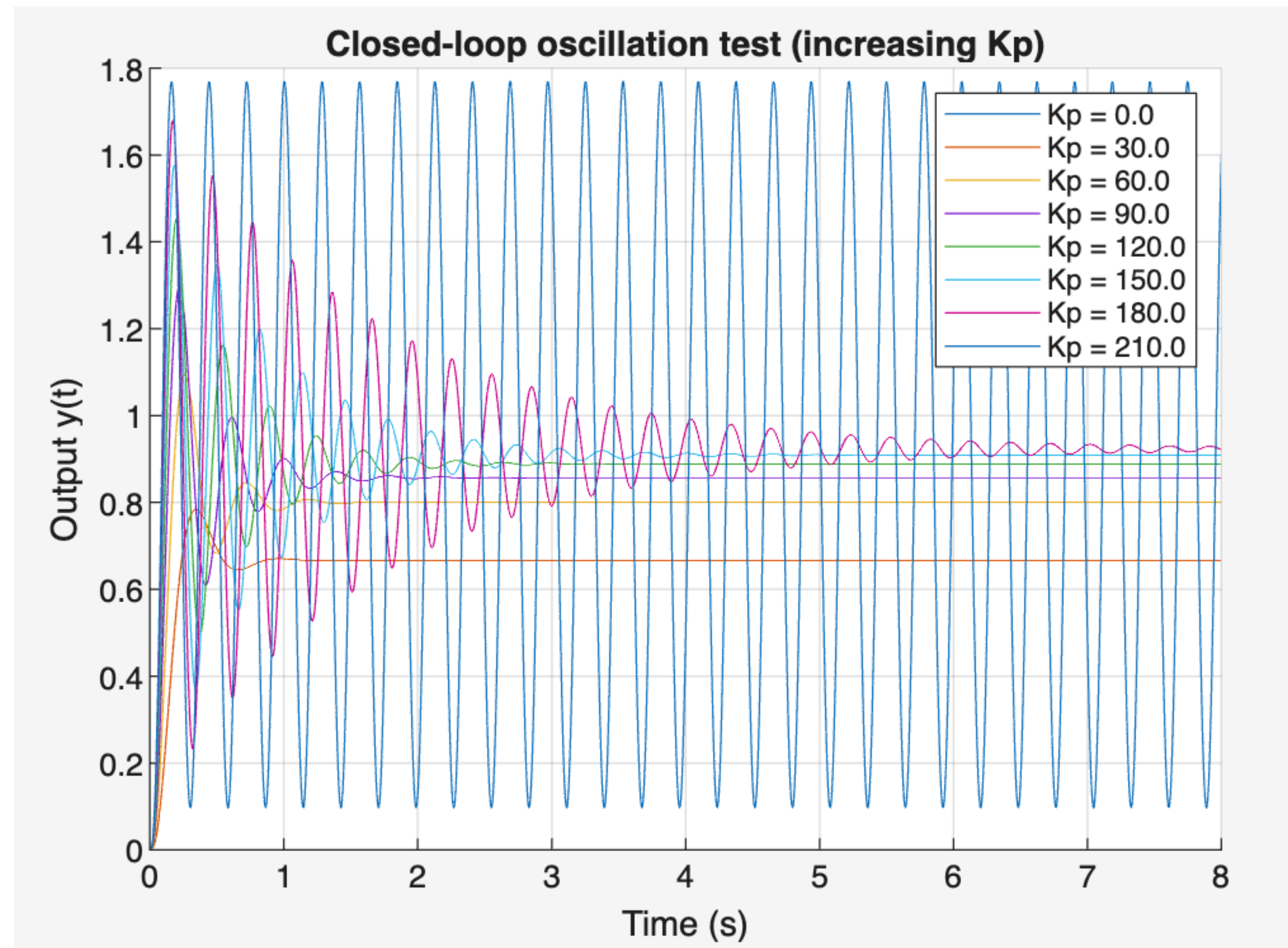
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PID calibration:

```
Estimated critical gain Kcr = 210.00
Estimated oscillation period Tcr = 0.281 s

Closed-loop ZN PID (with derivative filter, tau_f=1.000000e-02):
Kp = 126.000, Ti = 0.141, Td = 0.035
```

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## Practical Implementation of PID Controllers: **Example**

Process model:

$$G(s) = \frac{100}{(s + 10)(s + 30)(s + 5)}$$

Approximate Model of the Process:

$$G_a(s) = \frac{\mu e^{-\tau s}}{1 + Ts}$$

$$\mu = 0.067 \quad \tau = 0.053 \quad T = 0.175$$



## Practical Implementation of PID Controllers: Example

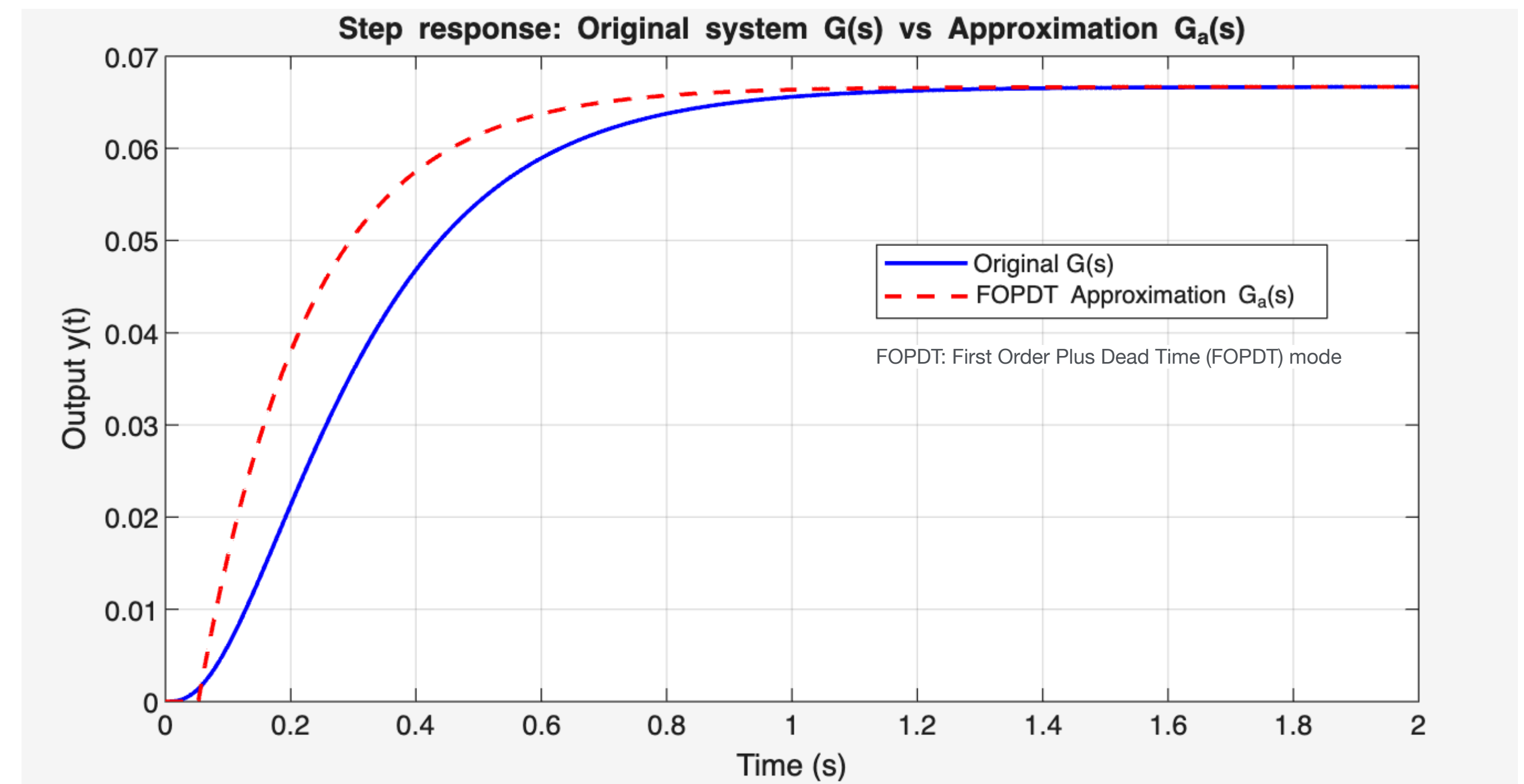
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```

76 %% === COMPARE STEP RESPONSE: REAL G(s) vs APPROXIMATED G_a(s) ===
77 t_sim = 0:0.001:2;
78 [yG, tG] = step(G, t_sim);
79 [yGa, tGa] = step(G_a, t_sim);
80
81 figure;
82 plot(tG, yG, 'b', 'LineWidth', 1.5); hold on;
83 plot(tGa, yGa, 'r--', 'LineWidth', 1.5);
84 xlabel('Time (s)');
85 ylabel('Output y(t)');
86 title('Step response: Original system G(s) vs Approximation G_a(s)');
87 legend('Original G(s)', 'FOPDT Approximation G_a(s)');
88 grid on;
89

```

Practical Implementation of PID Controllers: **Example**

Process model:

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Open-loop ZN PID:

$$K_p = 60.000, T_i = 0.105, T_d = 0.026$$



## Practical Implementation of PID Controllers: Example

### Example:

/MATLAB Drive/PID\_example1.m

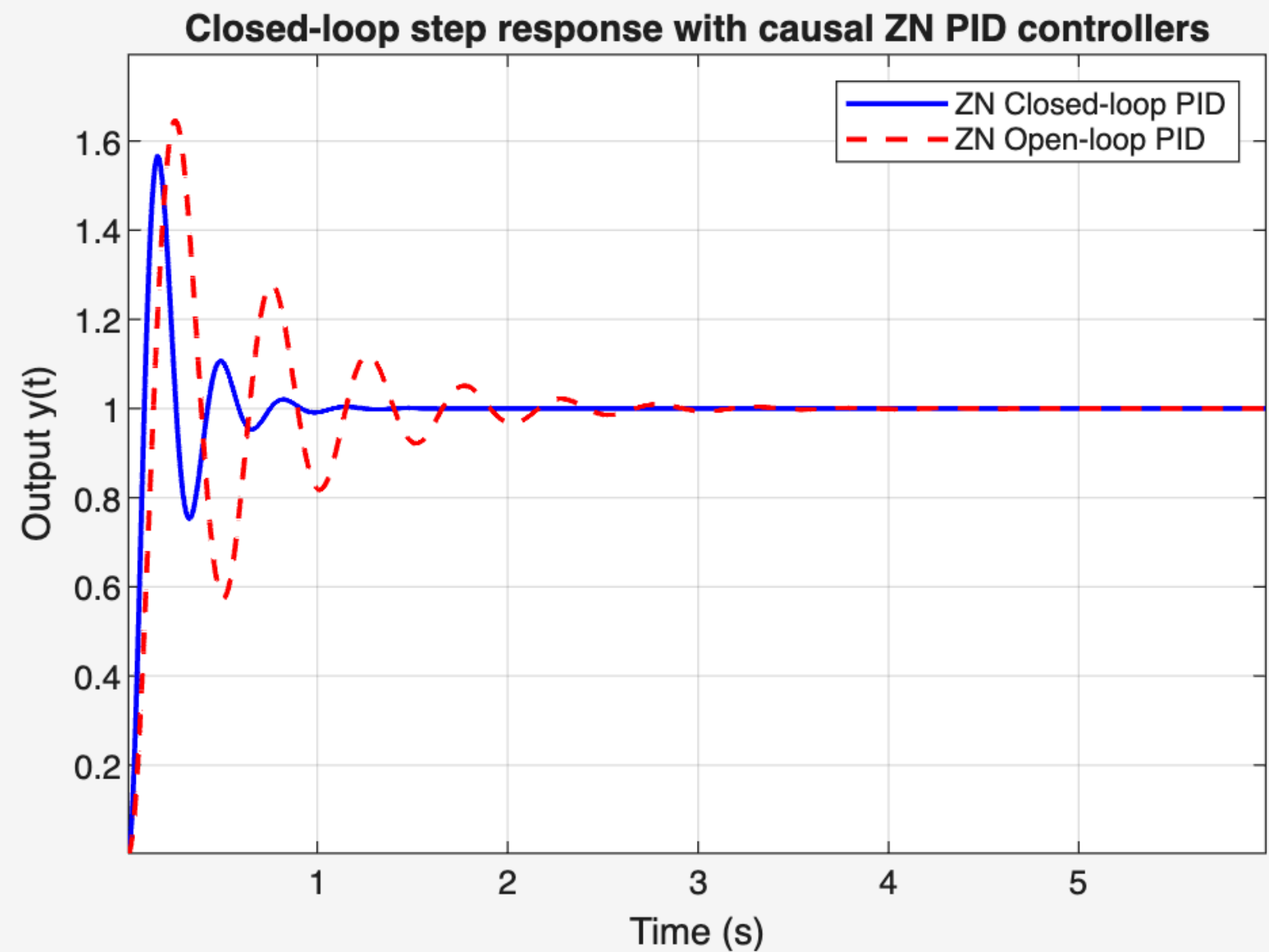
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```

Estimated critical gain  $K_{cr} = 210.00$   
Estimated oscillation period  $T_{cr} = 0.281$  s

Closed-loop ZN PID (with derivative filter,  $\tau_f=1.000000e-02$ ):  
 $K_p = 126.000$ ,  $T_i = 0.141$ ,  $T_d = 0.035$

Approximate FOPDT model parameters:  
 $\mu = 0.067$ ,  $\tau = 0.053$ ,  $T = 0.175$

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## Practical Implementation of PID Controllers: Example

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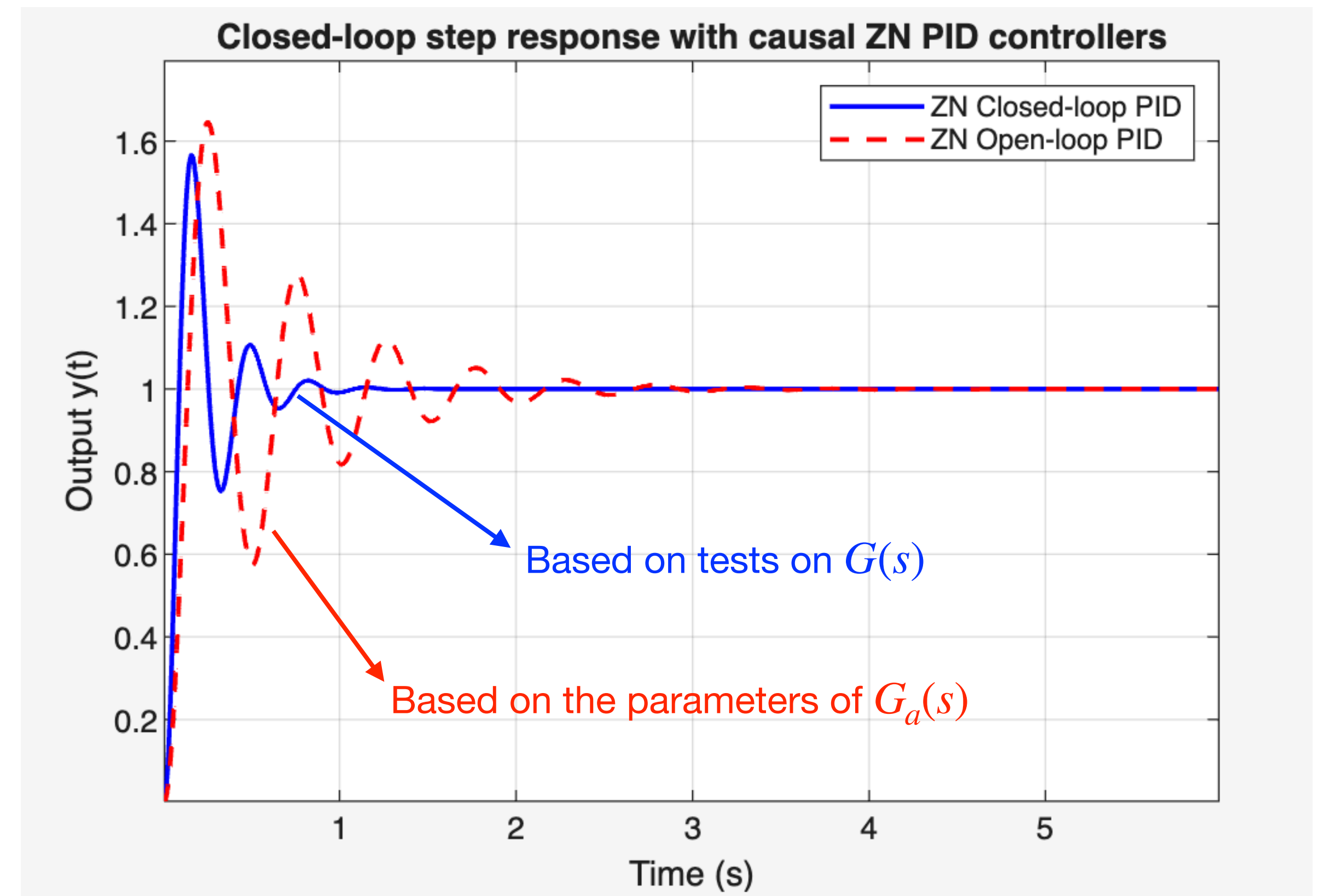
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## Practical Implementation of PID Controllers: Example

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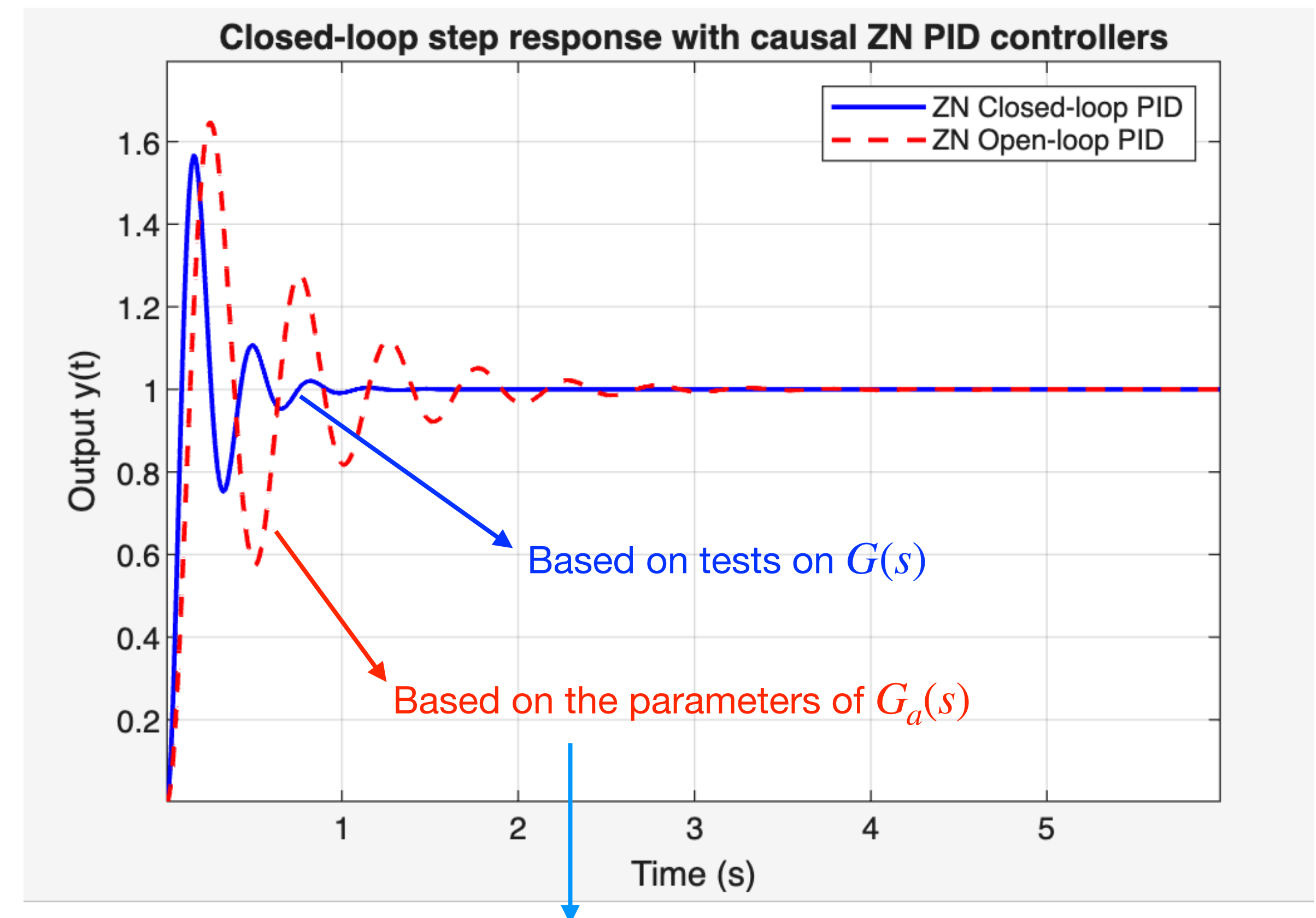
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in the example we are approximating a 3rd order system with a first order system with delay!

Practical Implementation of PID Controllers: **Example**

Process model:

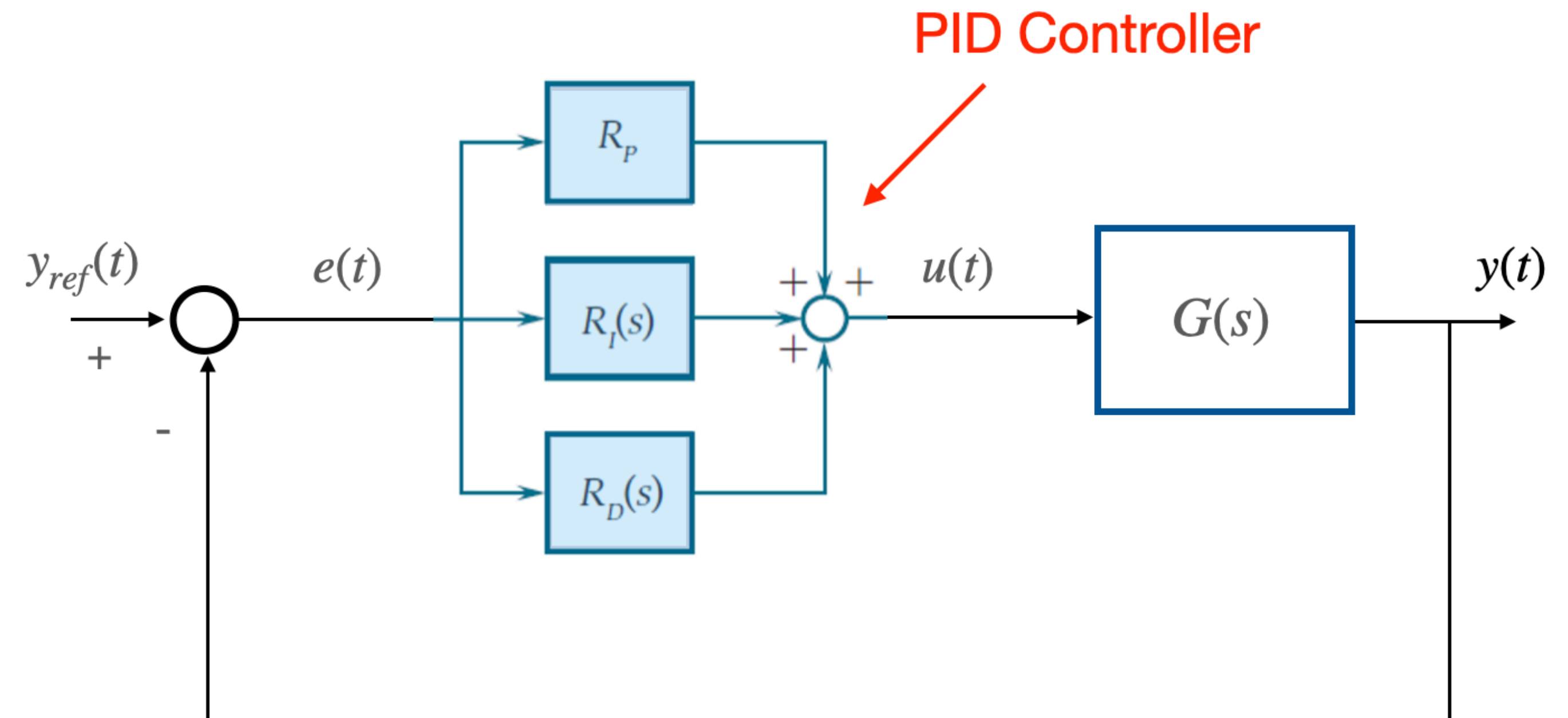
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$$G_a(s) = \frac{\mu e^{-\tau s}}{1 + Ts}$$

$$\mu = 0.067 \quad \tau = 0.053 \quad T = 0.175$$

Control scheme:





## Practical Implementation of PID Controllers: Example

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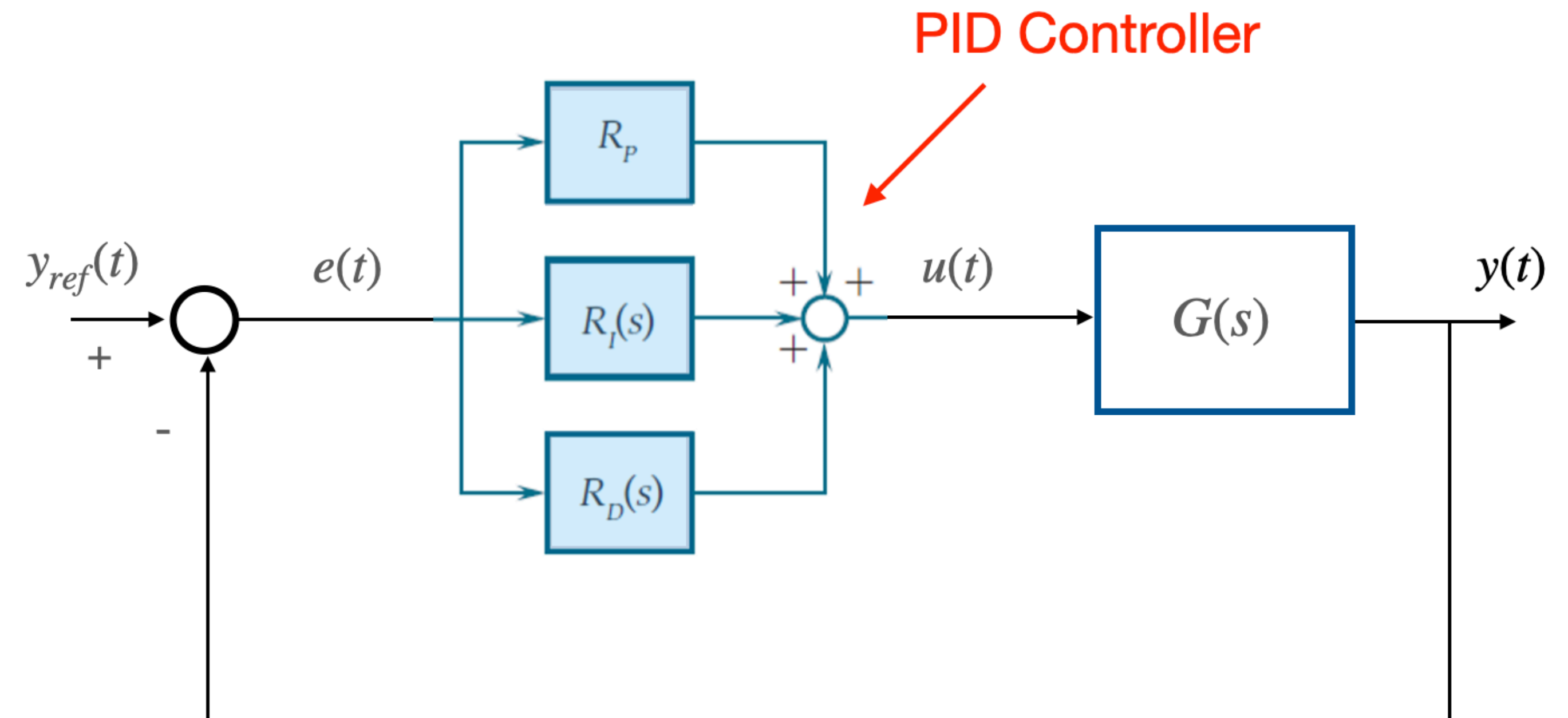
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**Objective:** Compare the performance of the closed loop system with PID designed via Cohen-Coon rules and PID designed via the IMC method



## Practical Implementation of PID Controllers: Example

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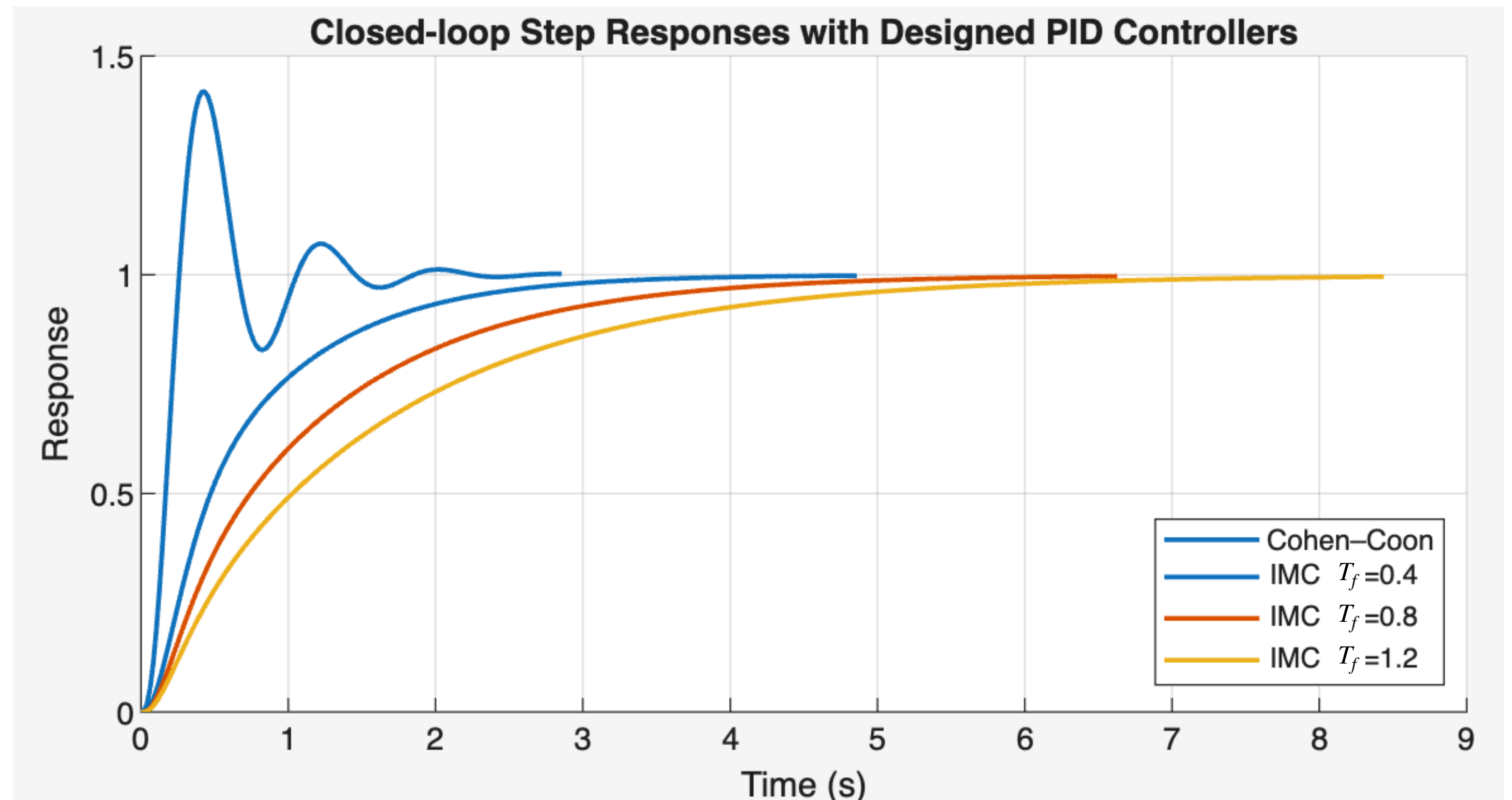
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Cohen-Coon PID (with derivative filter, tau\_f=0.01):  
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IMC PID (  $T_f = 0.4$ , tau\_f=0.01):  
Kp = 6.8182, Ki = 20.4545, Kd = 0.6136

IMC PID (  $T_f = 0.8$ , tau\_f=0.01):  
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IMC PID (  $T_f = 1.2$ , tau\_f=0.01):  
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## Practical Implementation of PID Controllers: Example

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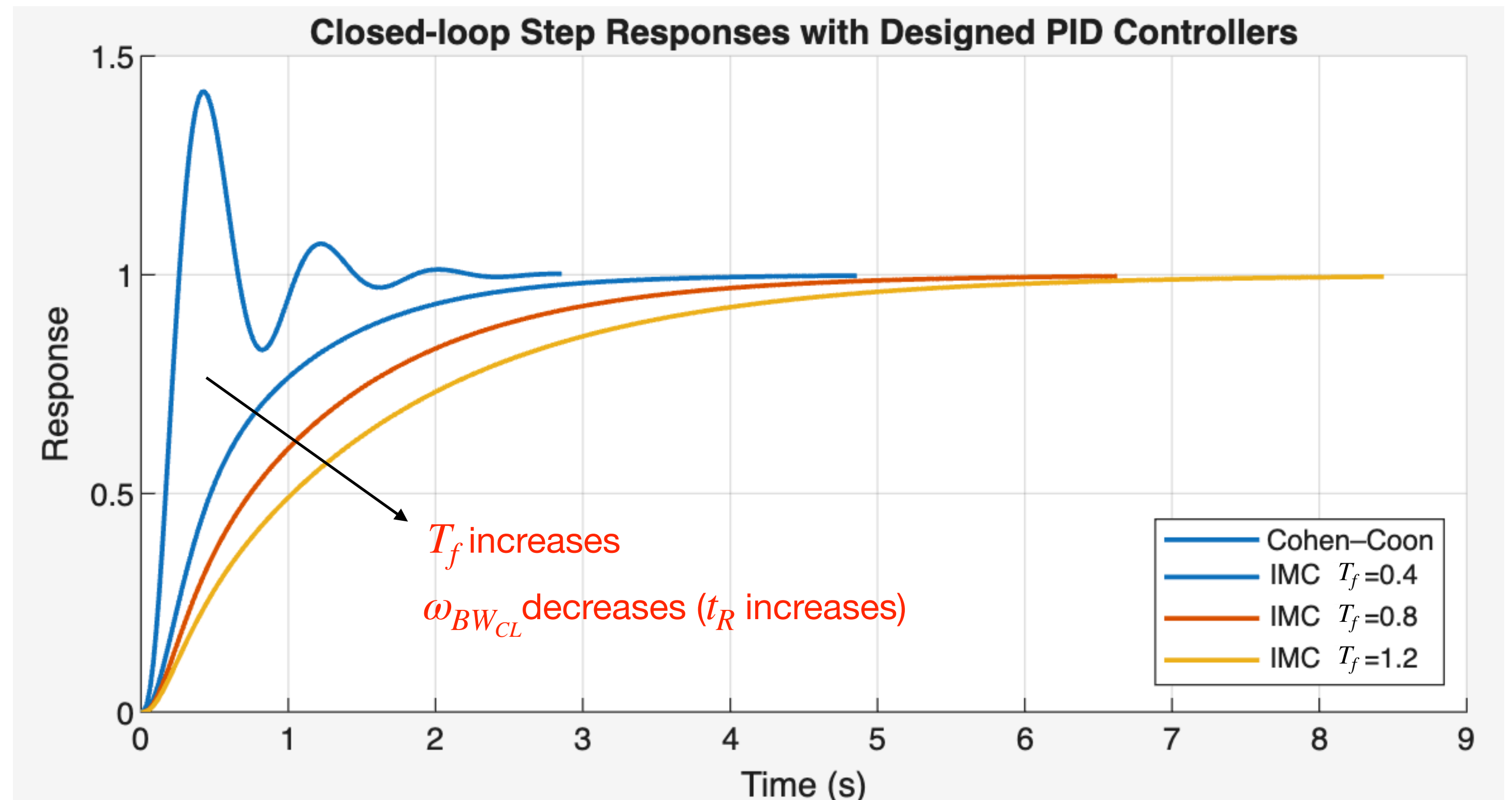
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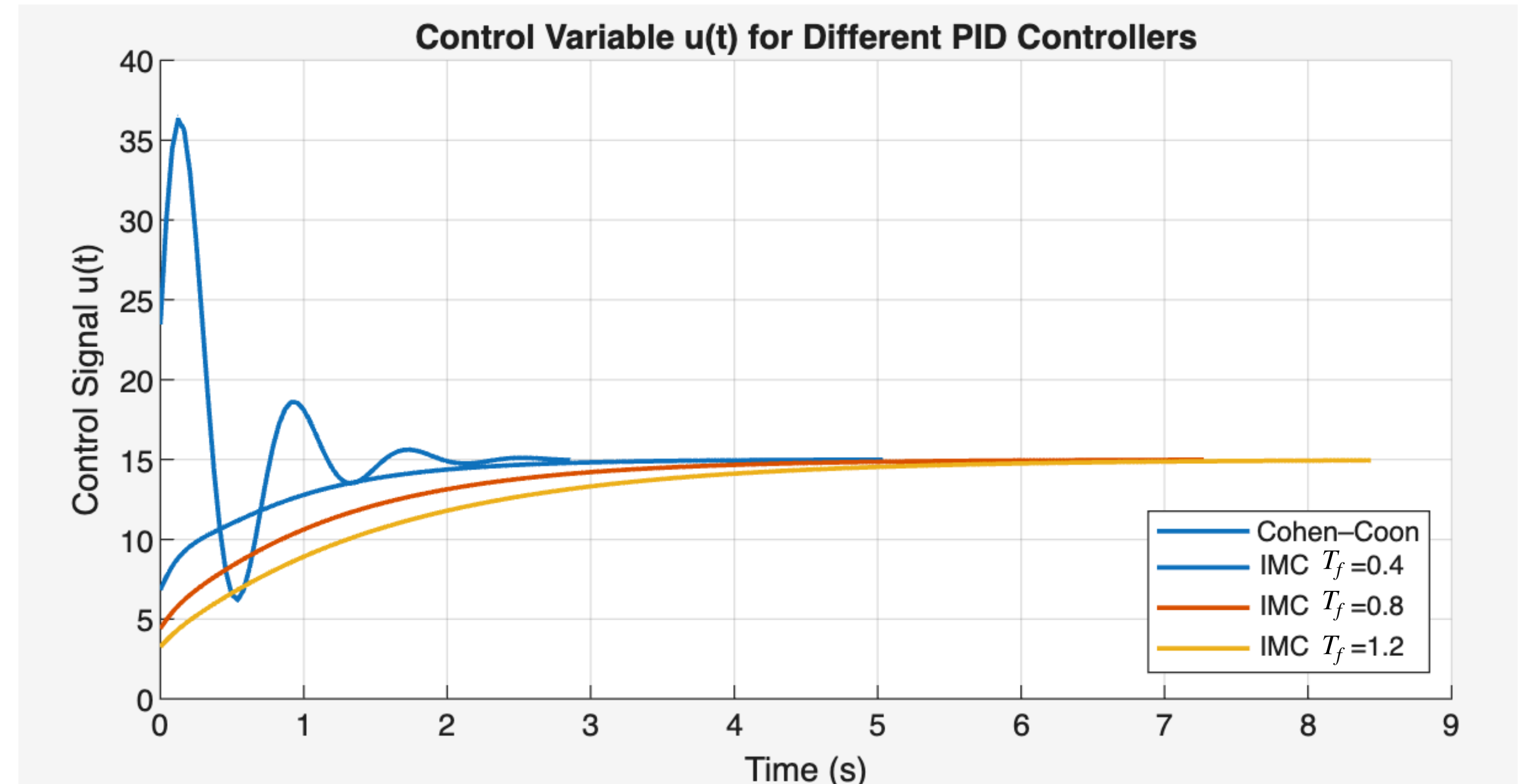
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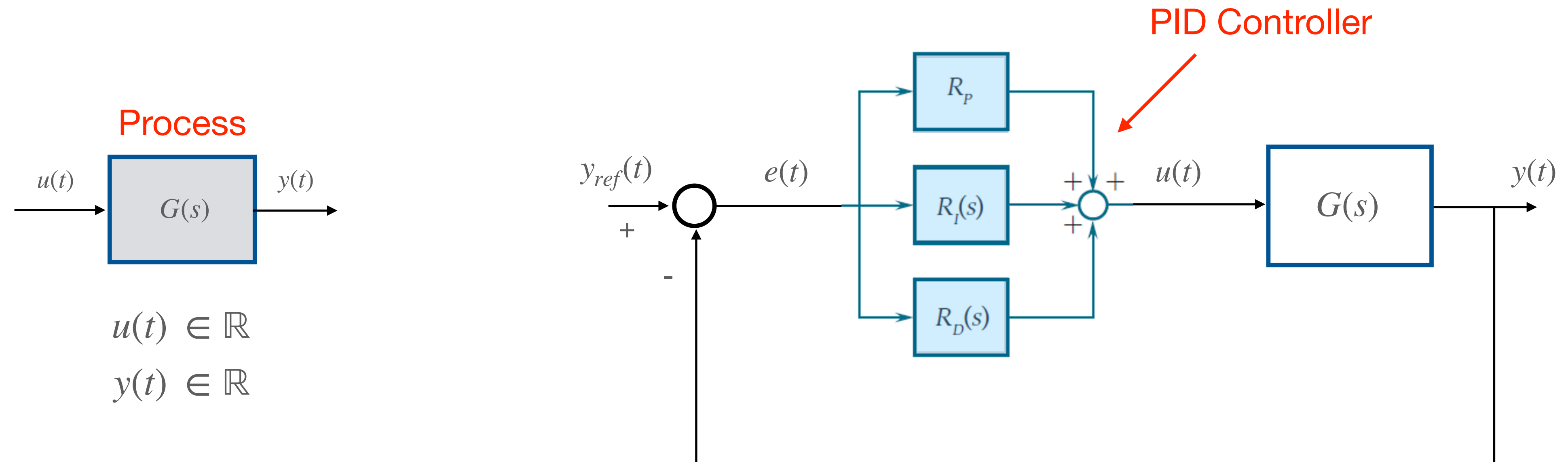
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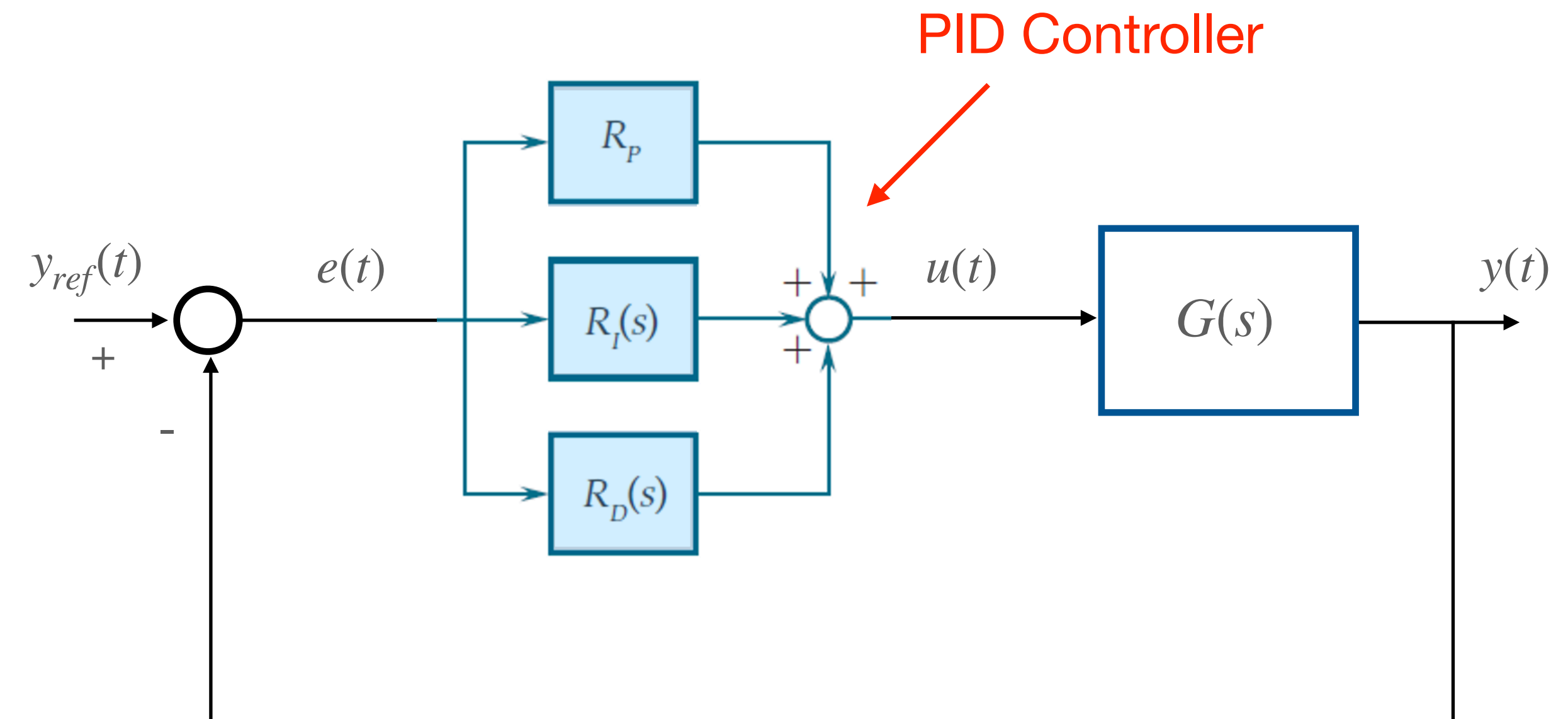
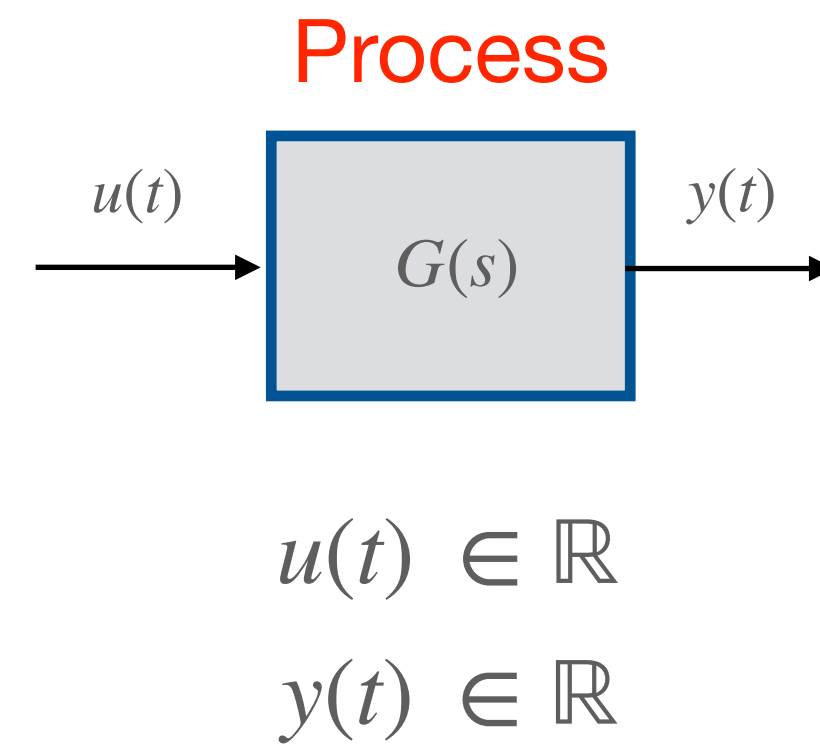
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## PID Controllers: Wind-up Effect

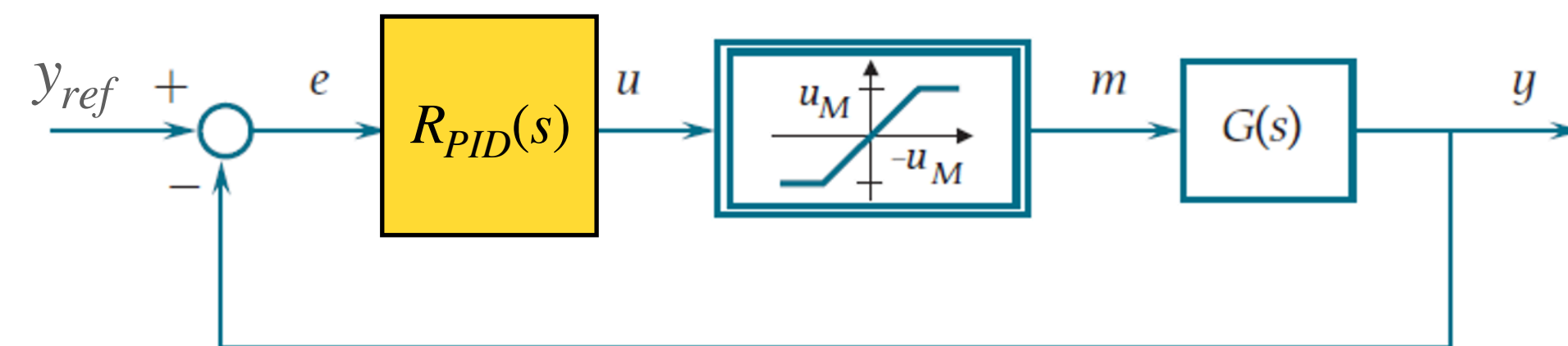




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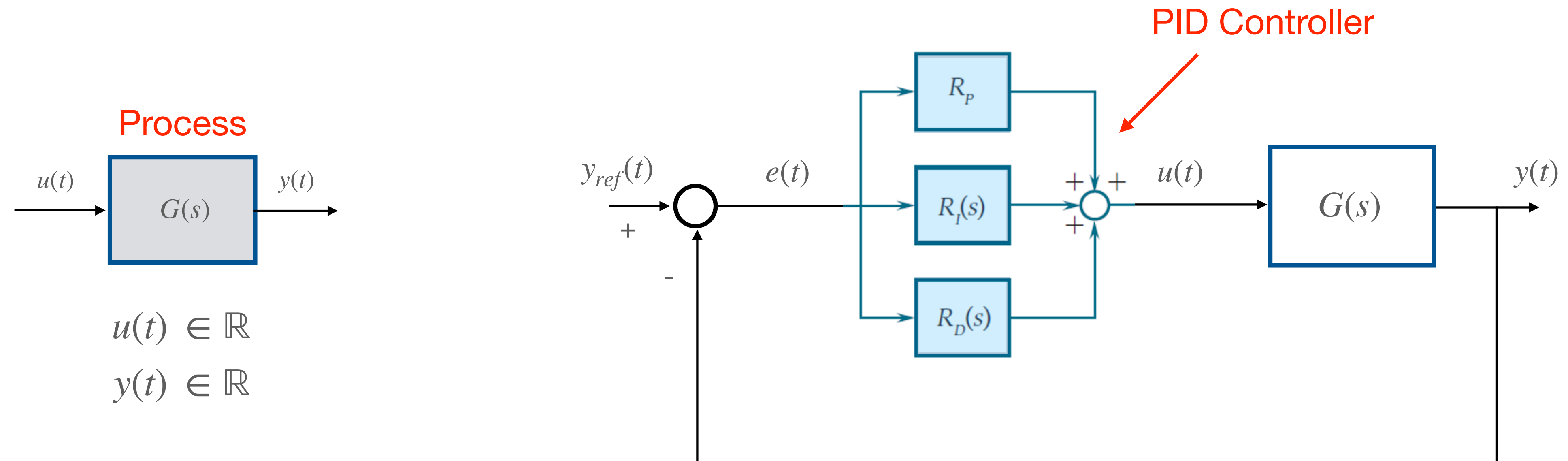


### Realistic situation

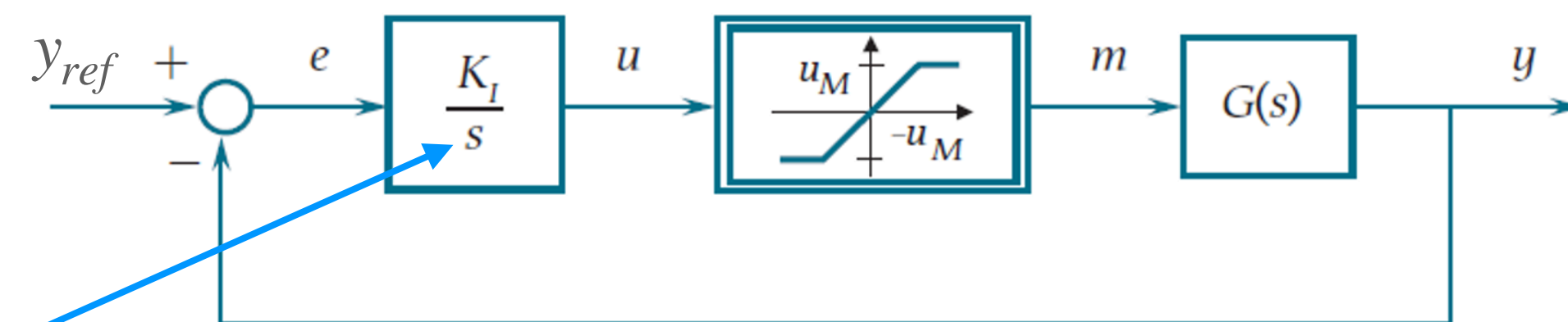




## PID Controllers: Wind-up Effect

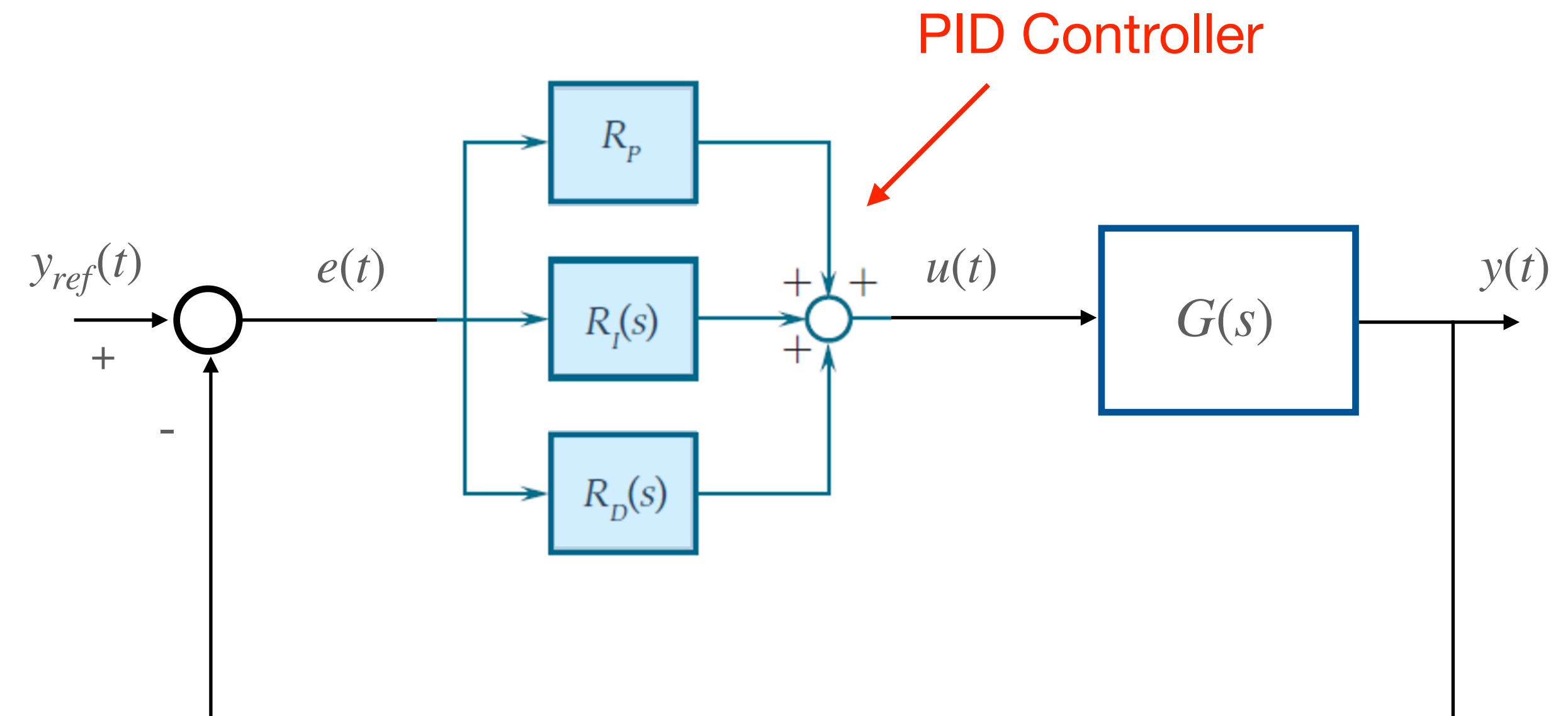
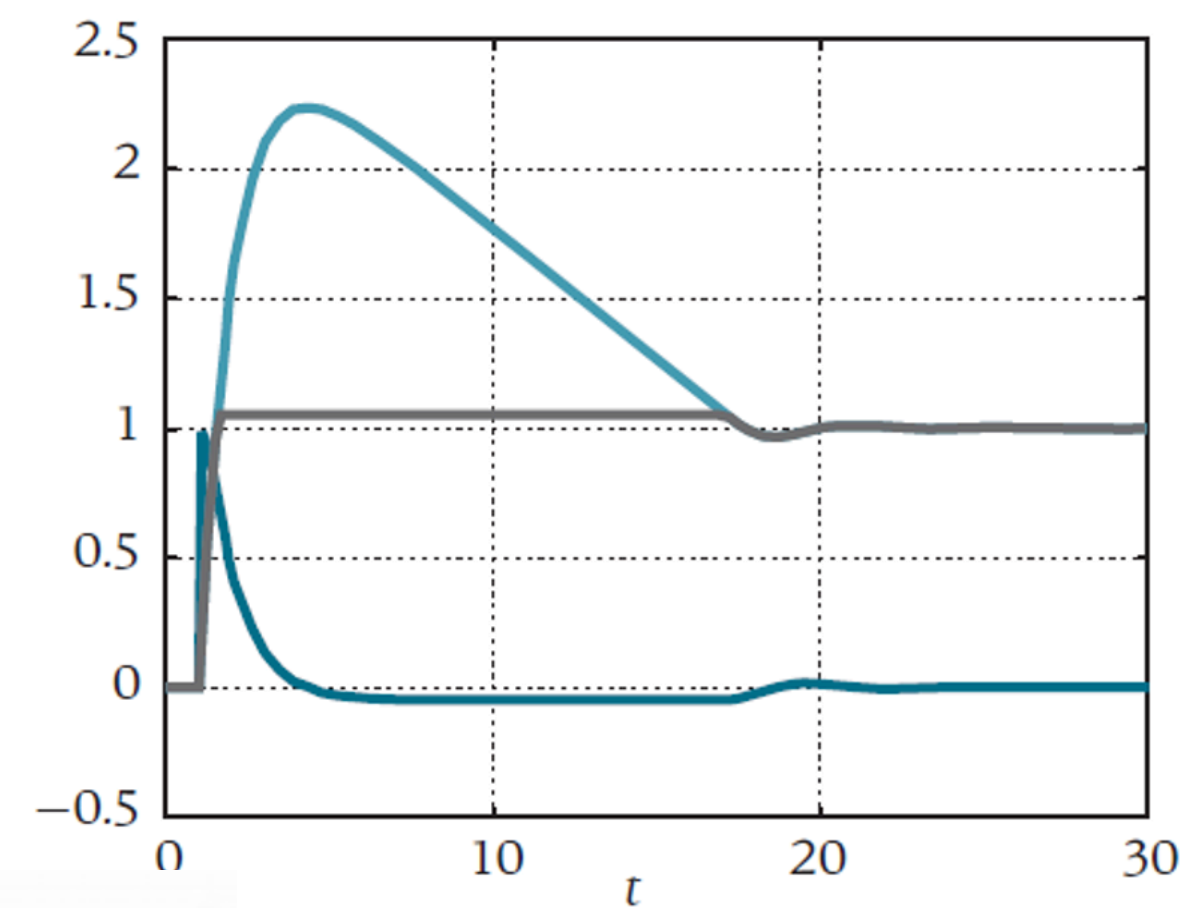
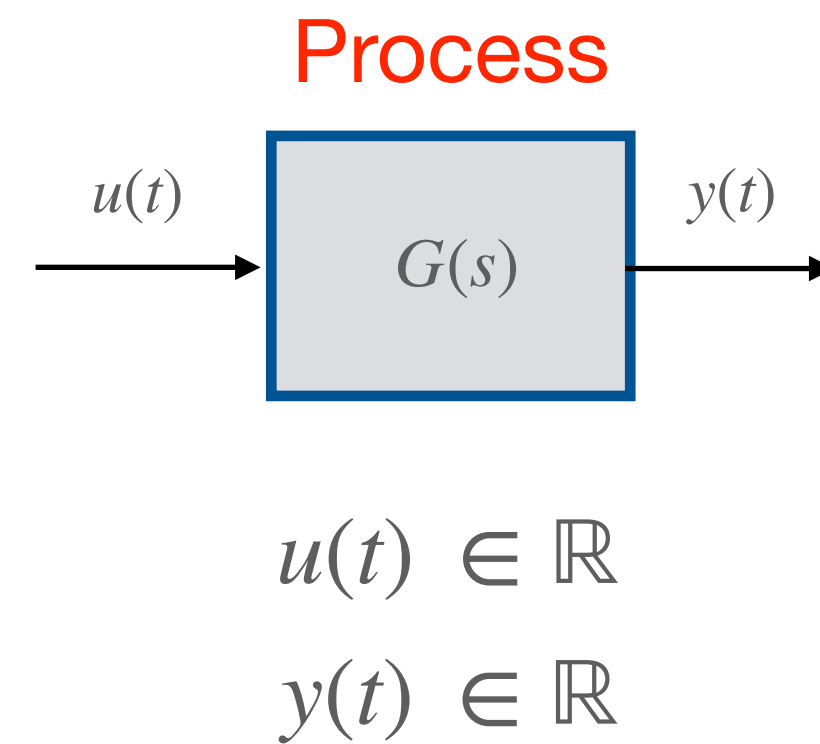


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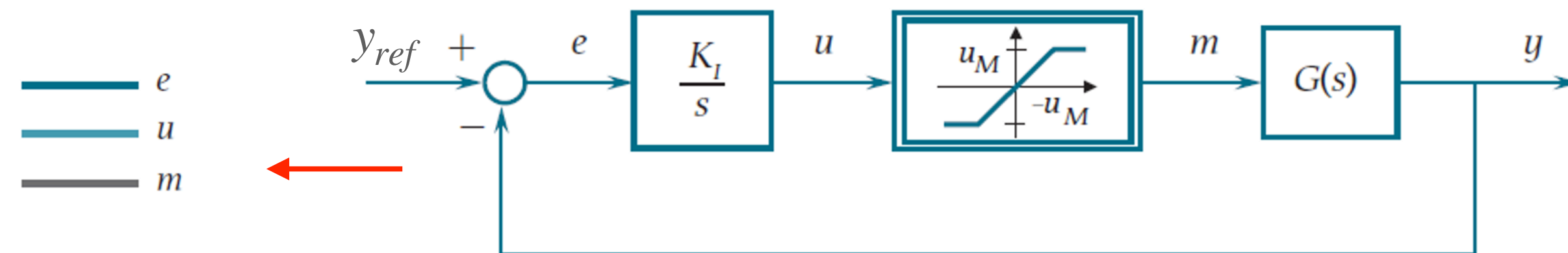


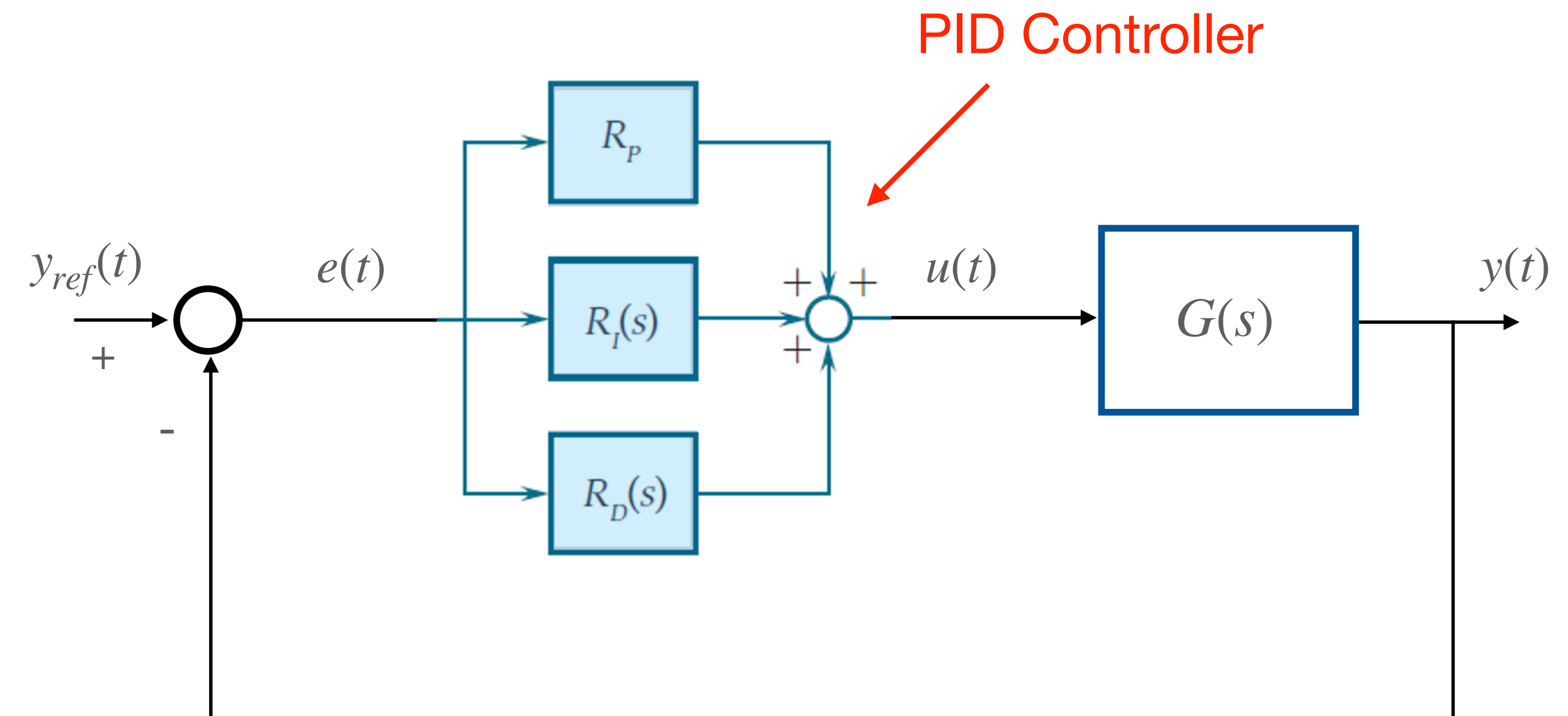
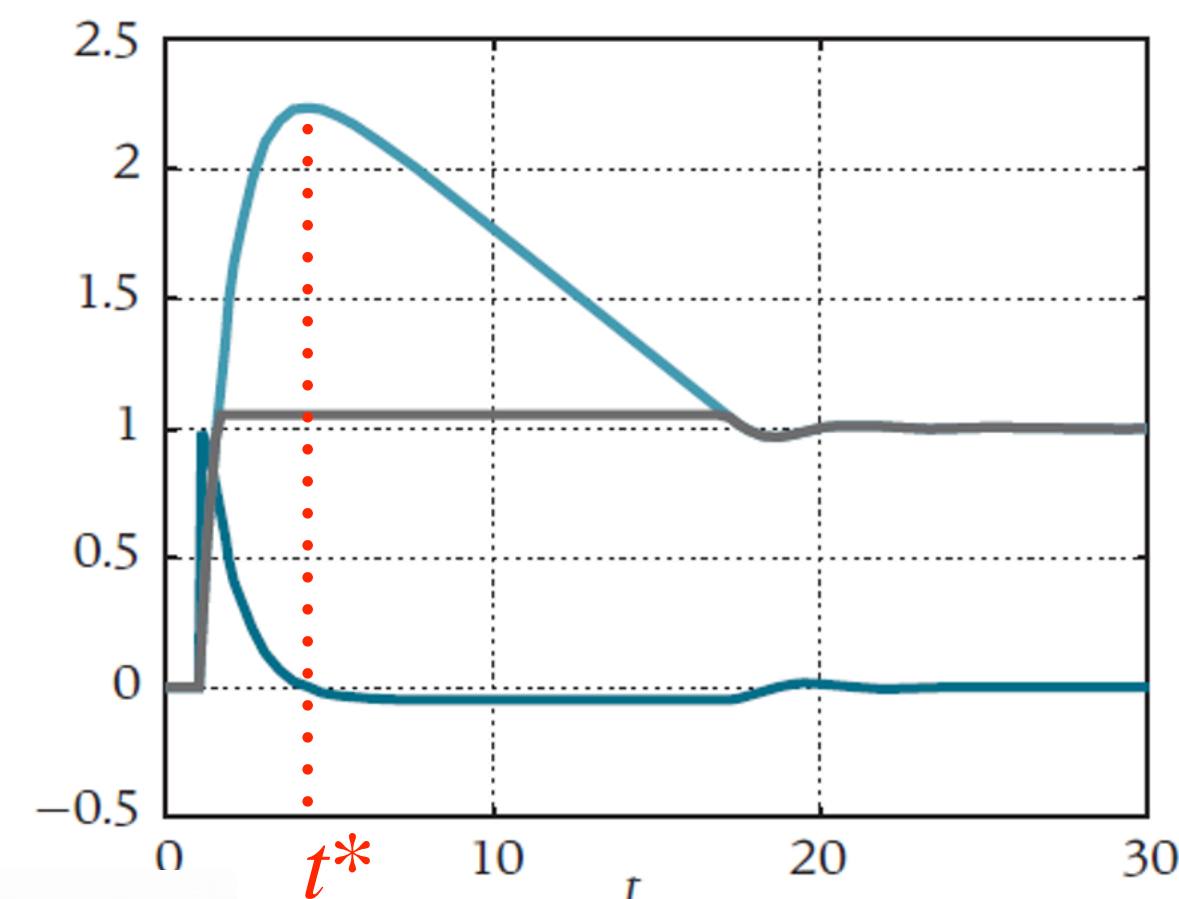
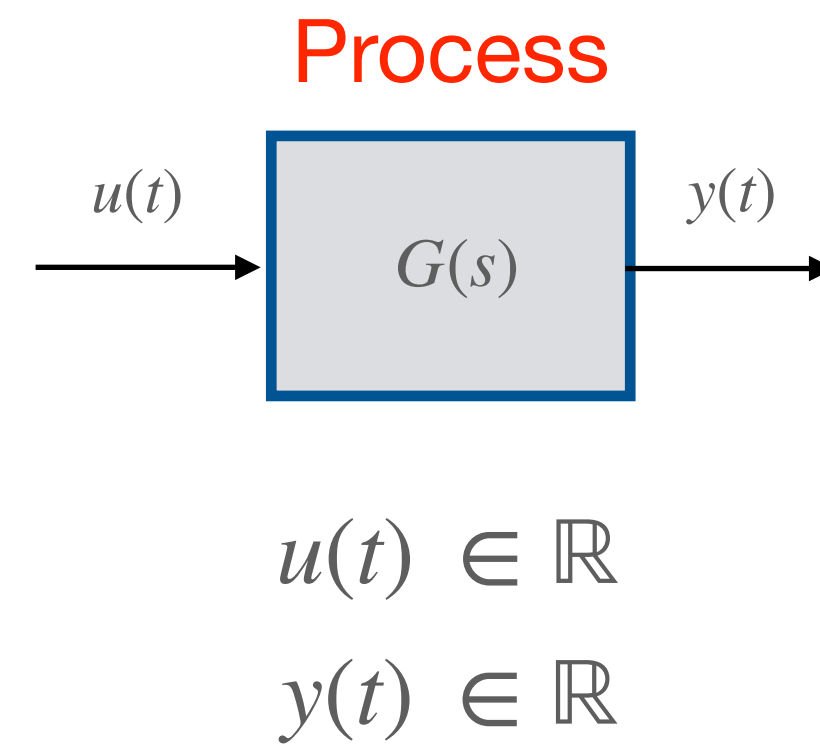
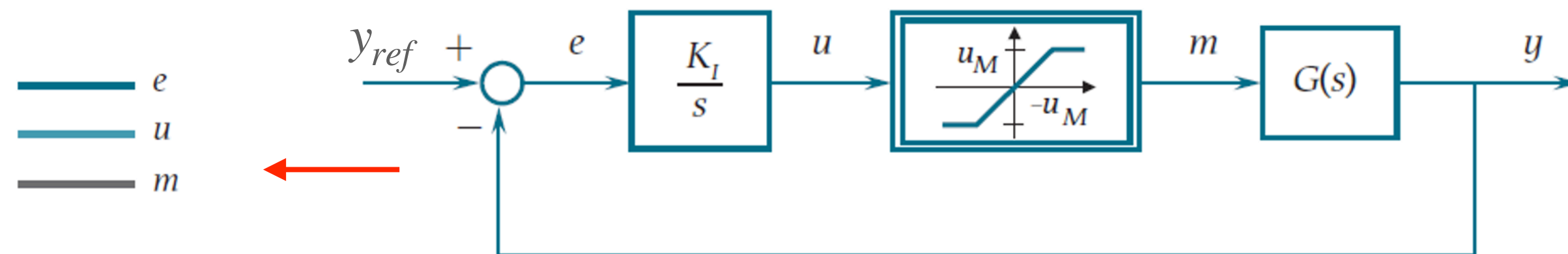
Only the integral component is considered

## PID Controllers: Wind-up Effect

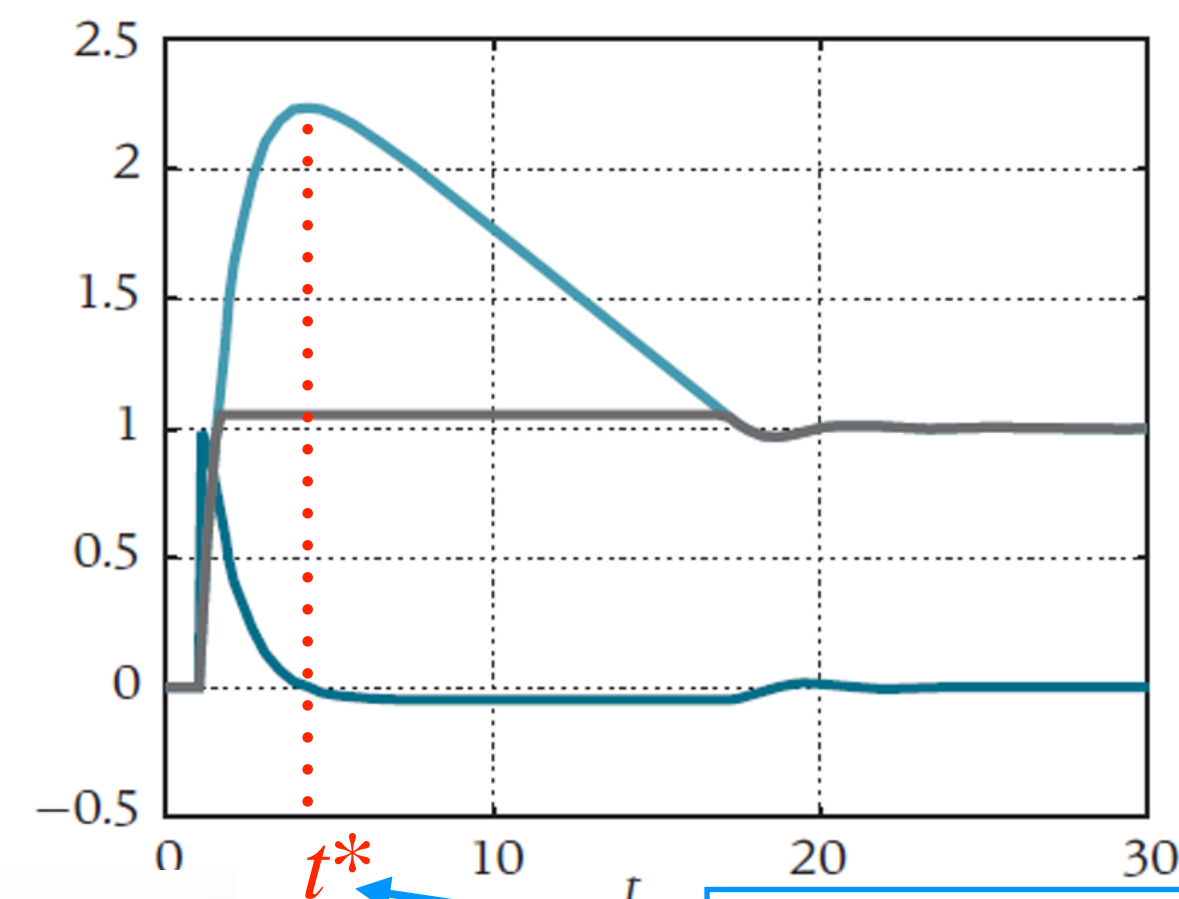
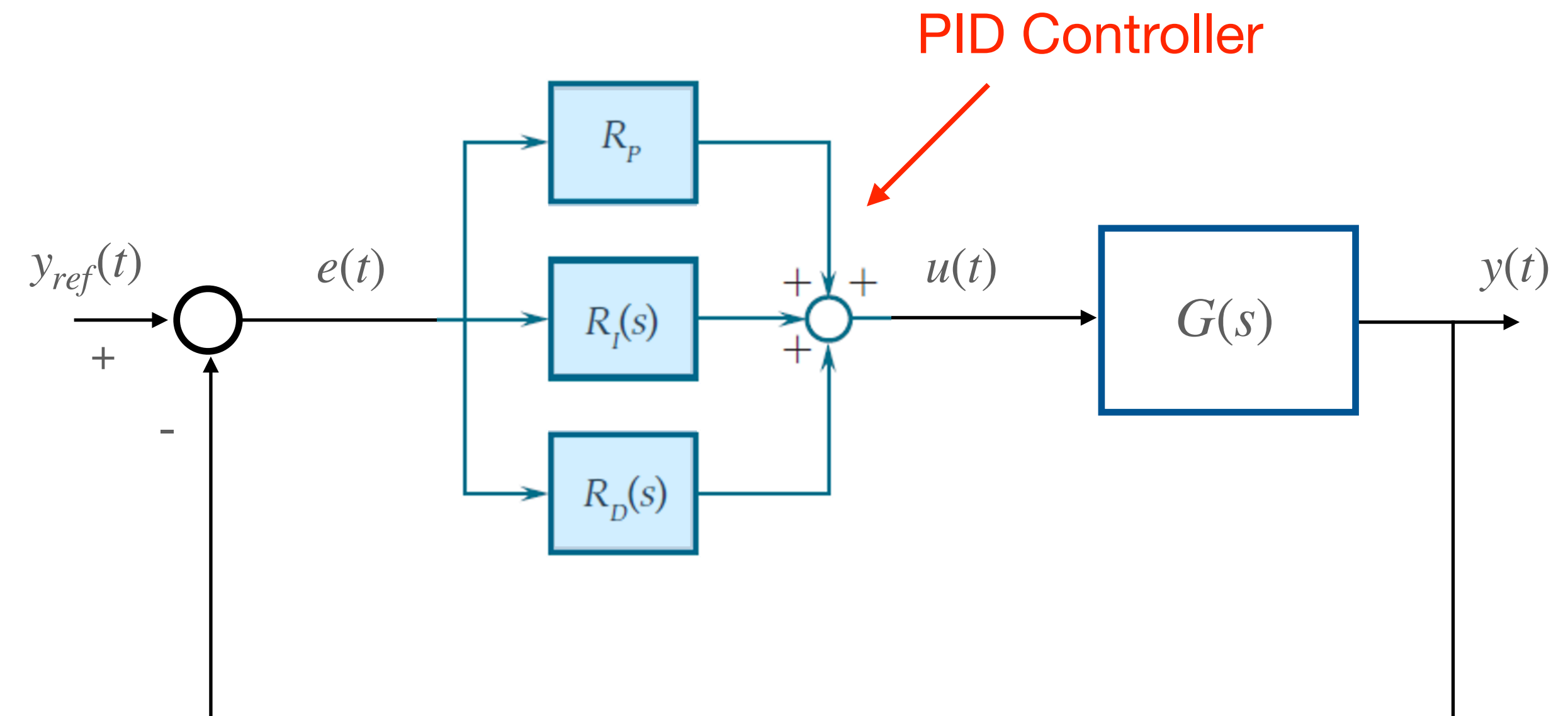
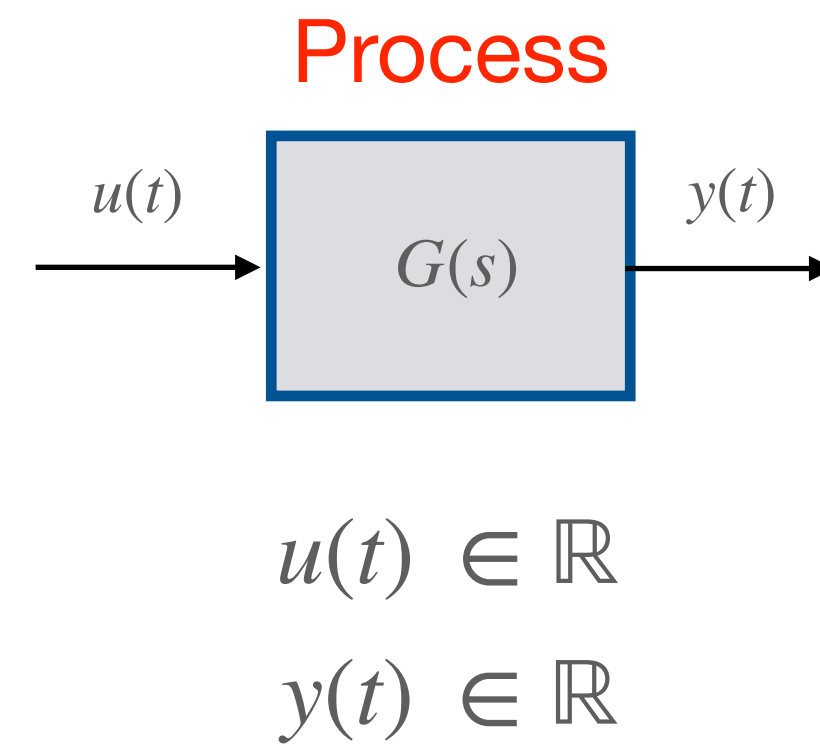


### Realistic situation

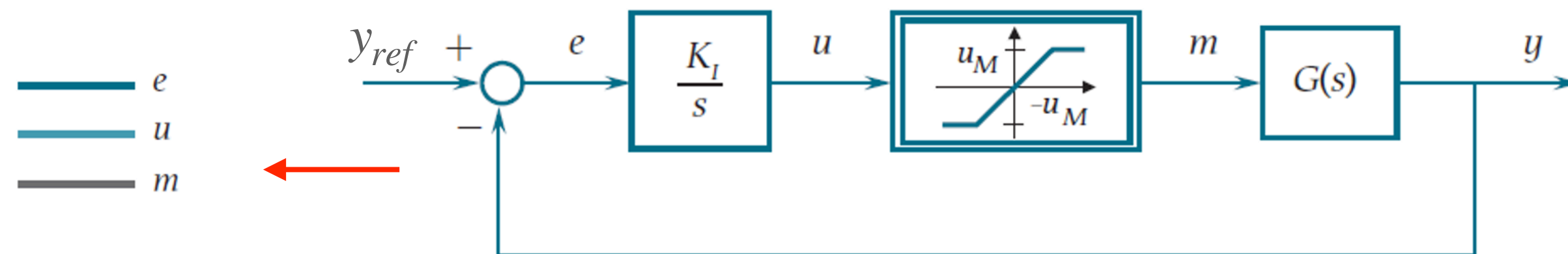


PID Controllers: **Wind-up Effect****Realistic situation**

## PID Controllers: Wind-up Effect

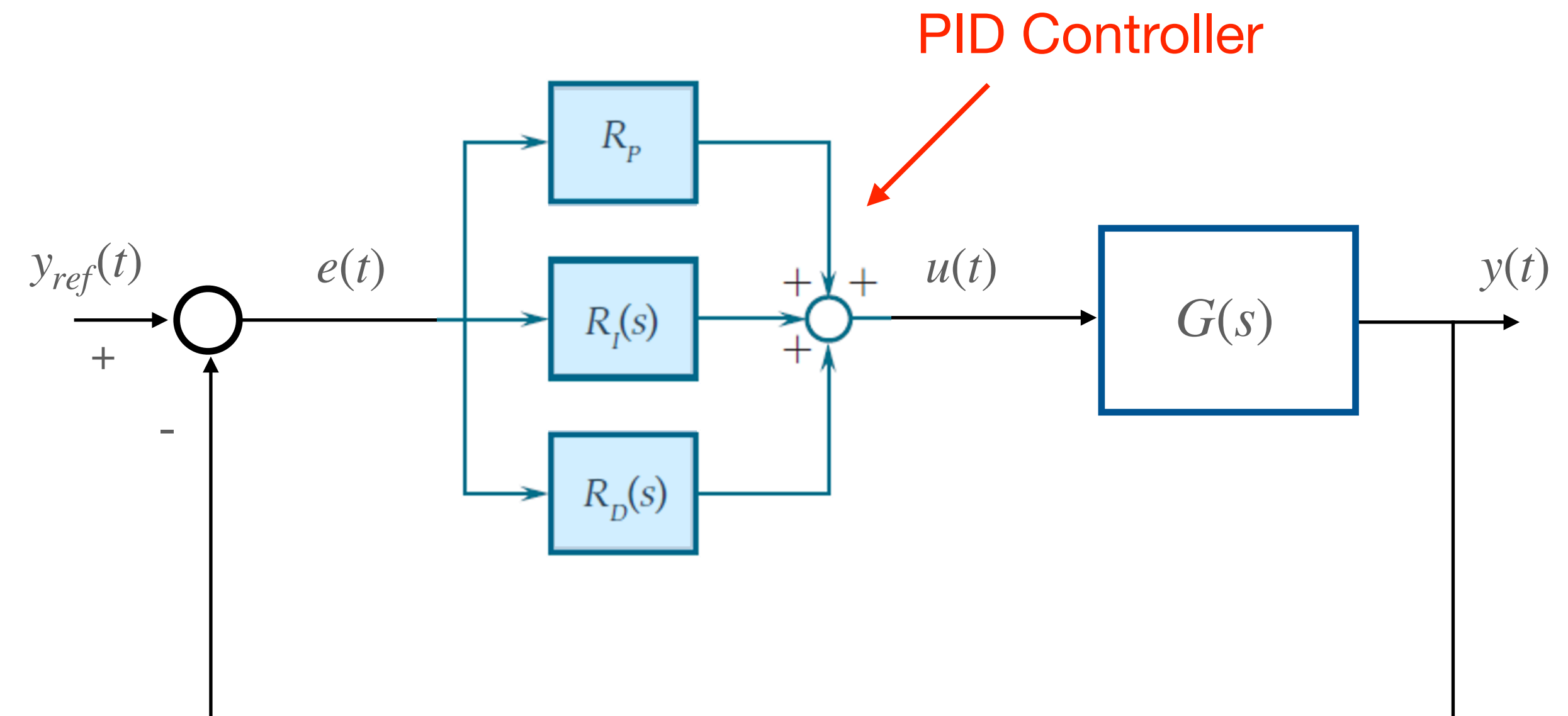
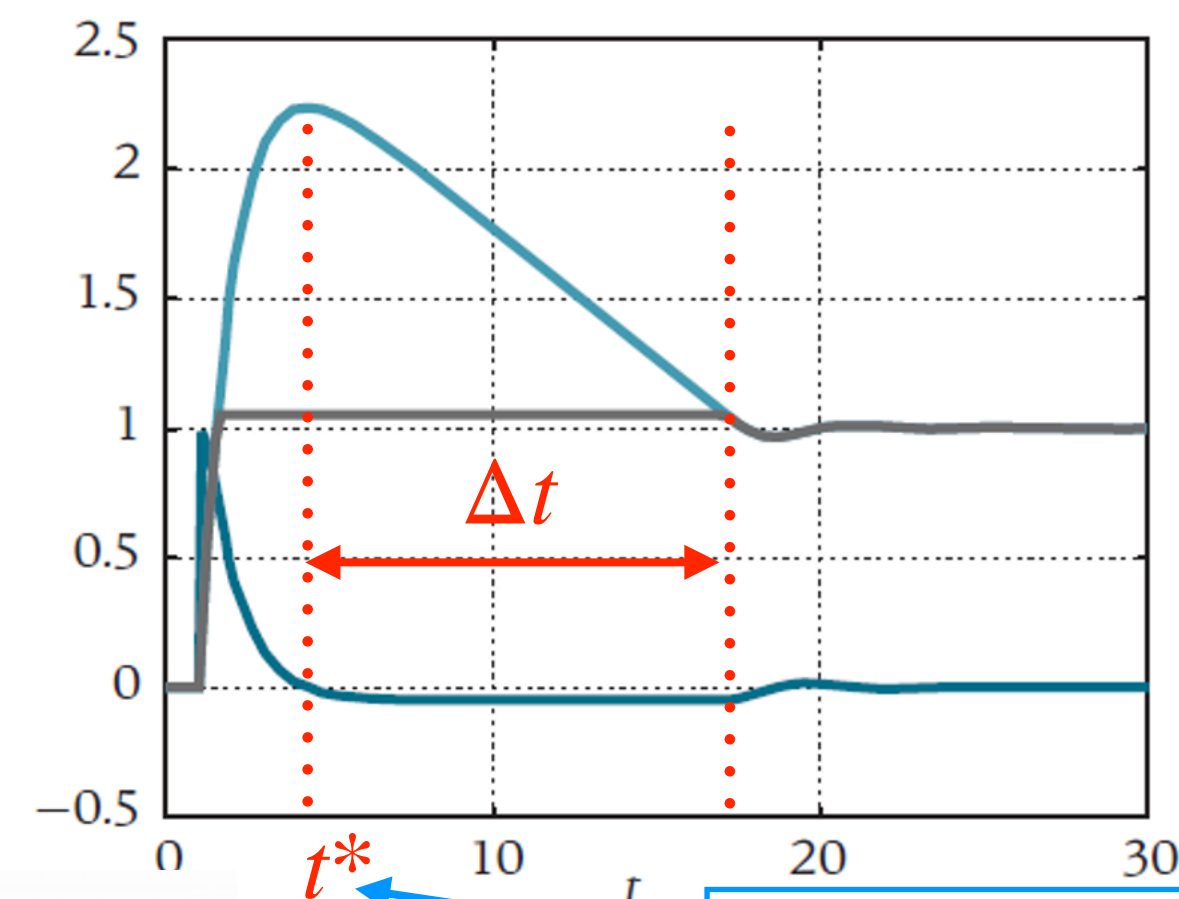
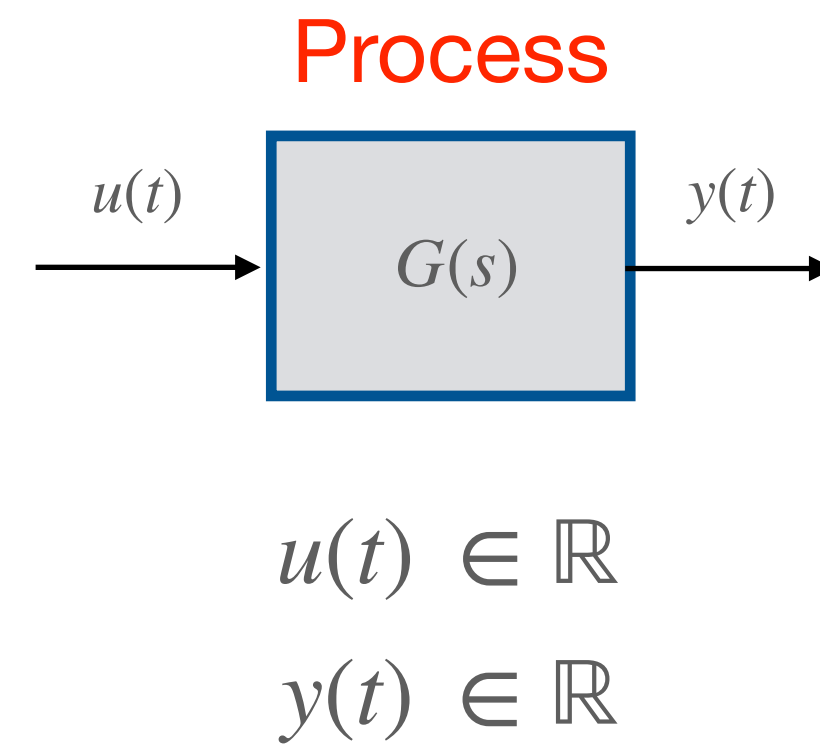


### Realistic situation

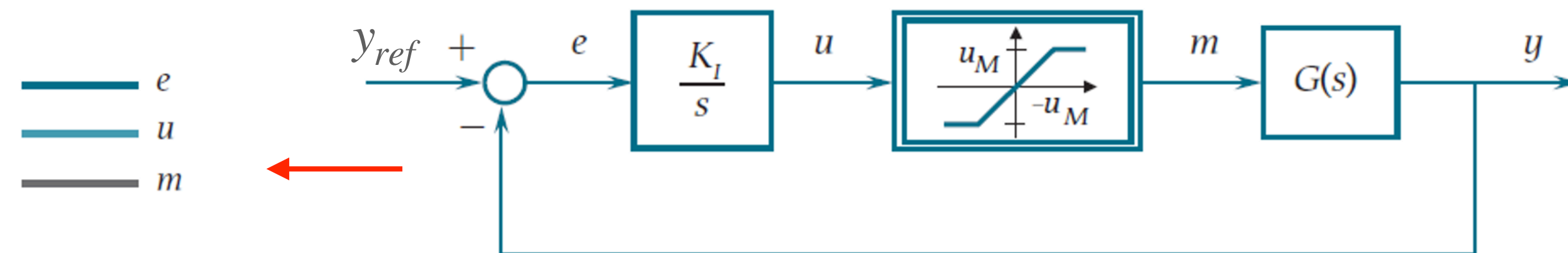


Ideal situation: the control action exits the saturation mode when  $e$  changes its sign (no useless waiting time due to wind-up)

## PID Controllers: Wind-up Effect



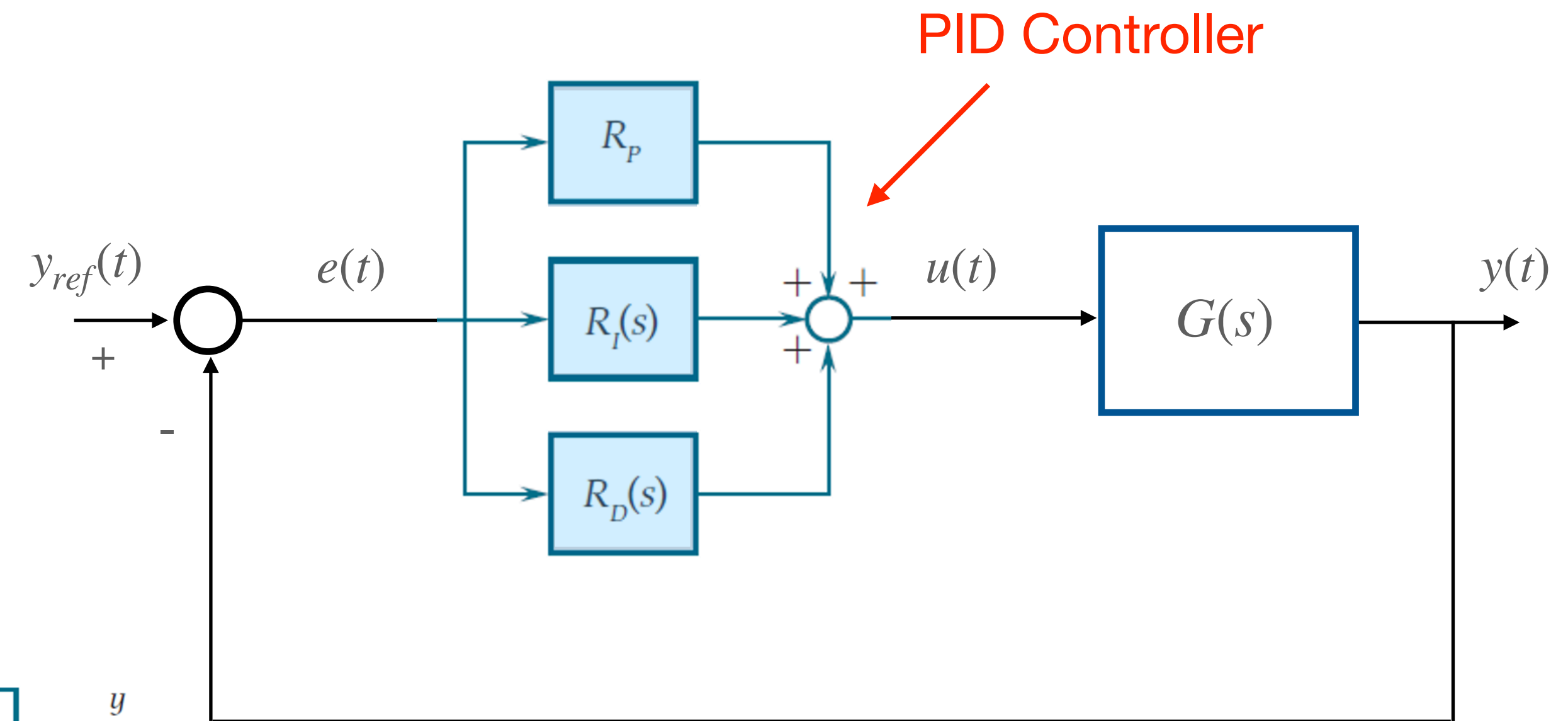
### Realistic situation



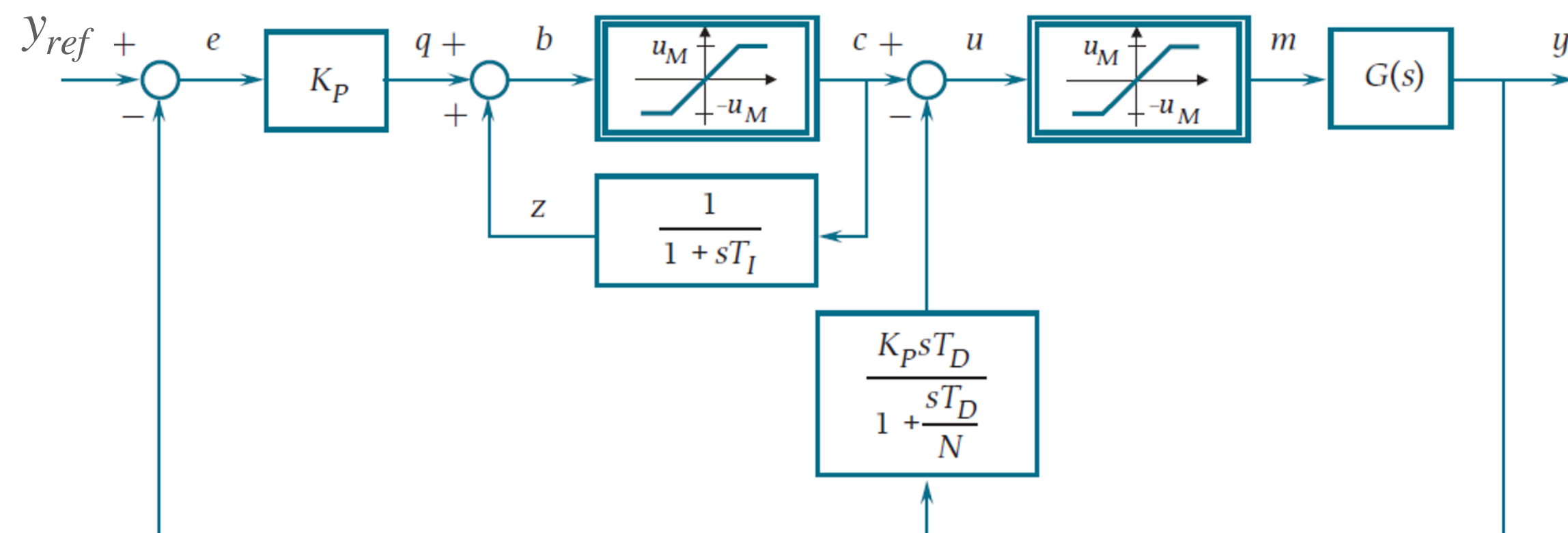
Ideal situation: the control action exits the saturation mode when  $e$  changes its sign (no useless waiting time due to wind-up)



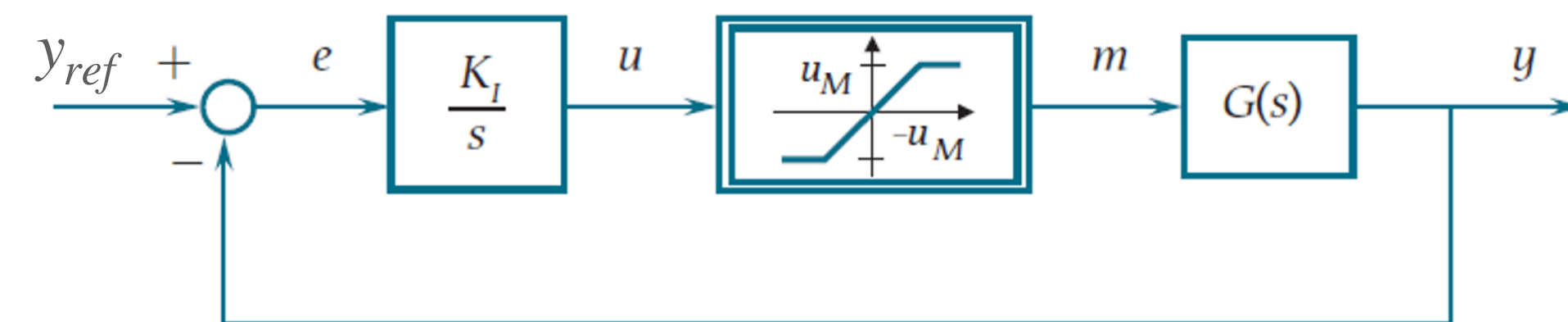
# PID Controllers: Wind-up Effect



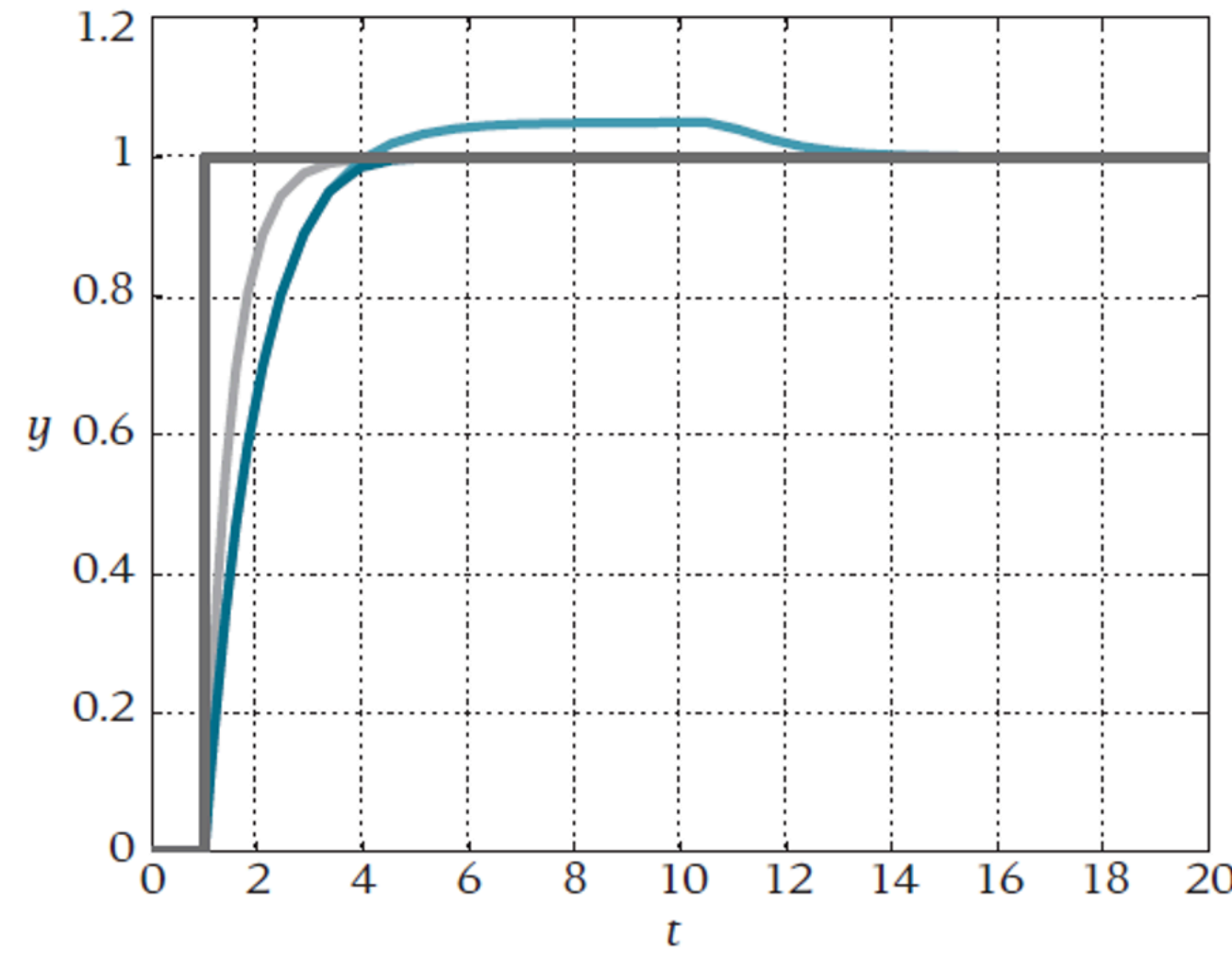
## Solution: Anti-wind-up scheme



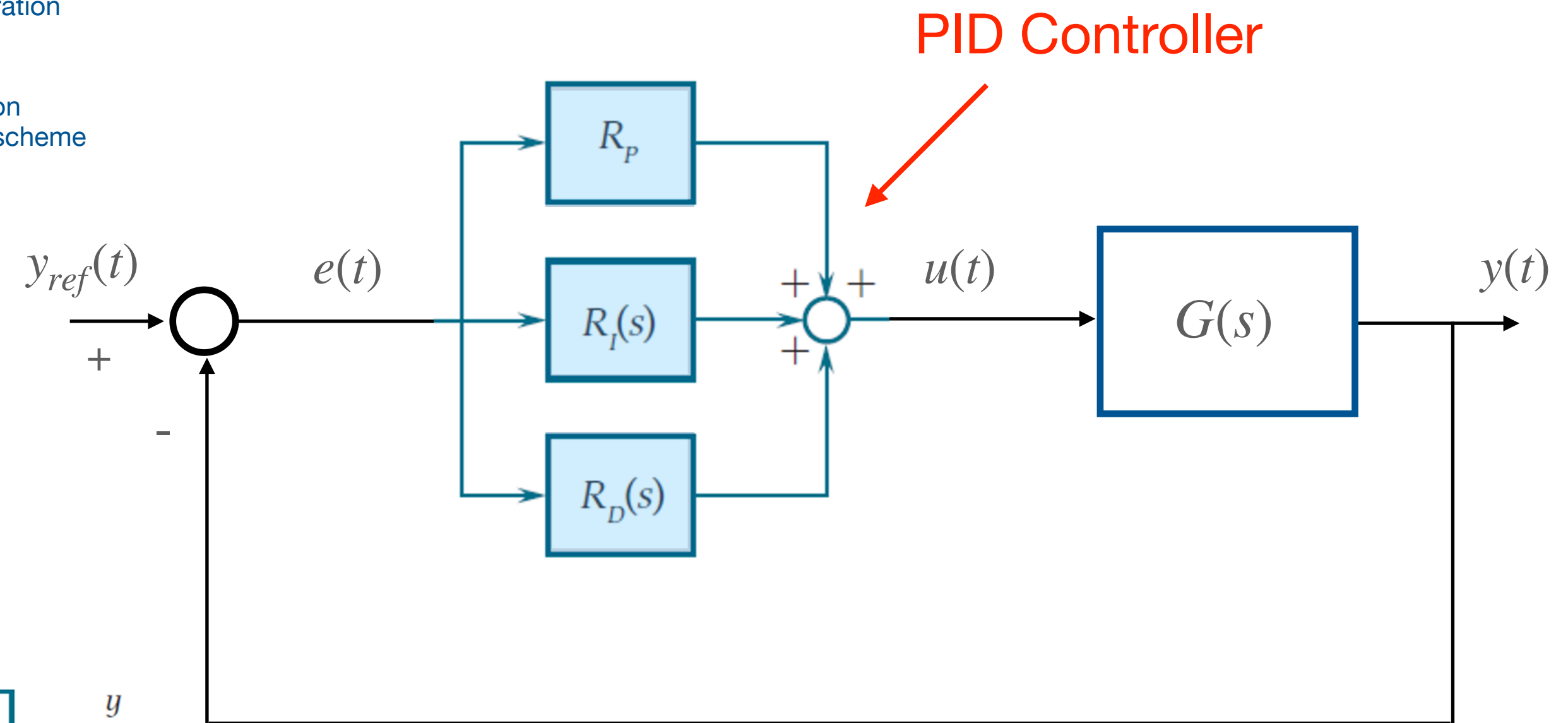
## Realistic situation



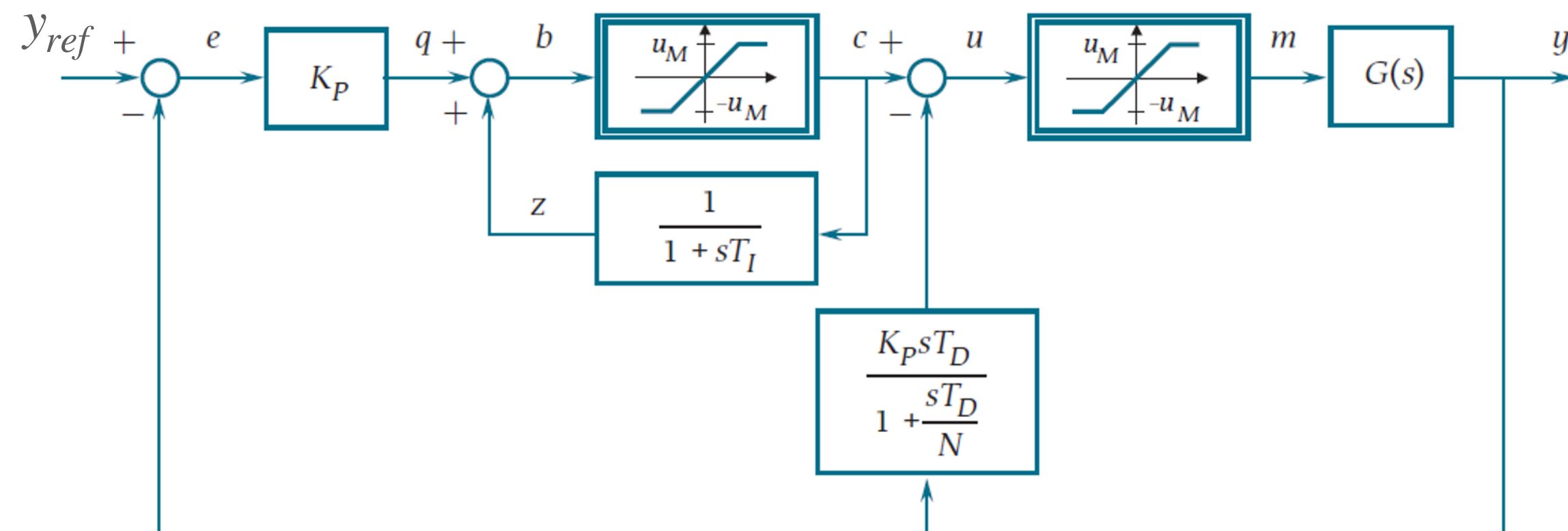
# PID Controllers: Wind-up Effect



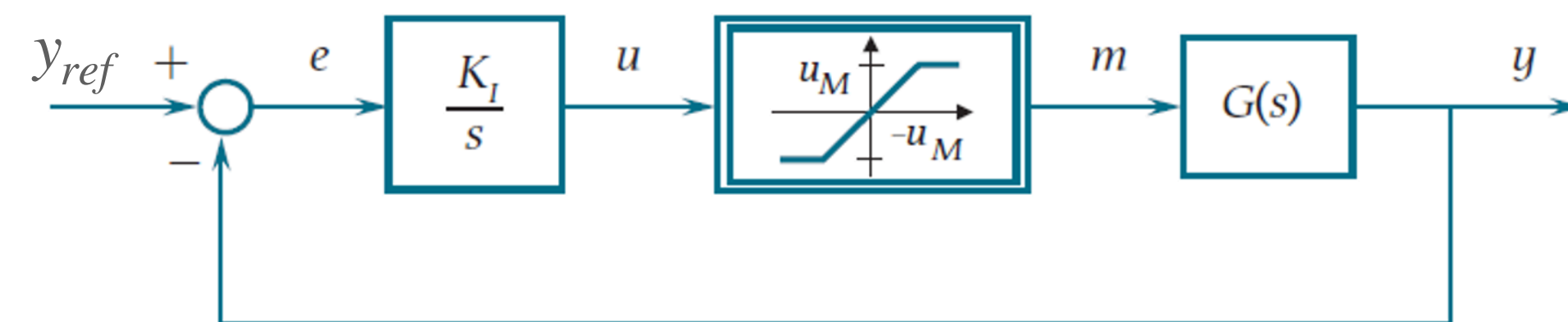
- System without saturation
- System with saturation but no anti-wind-up scheme
- System with saturation and anti-wind-up scheme



## Solution: Anti-wind-up scheme



## Realistic situation

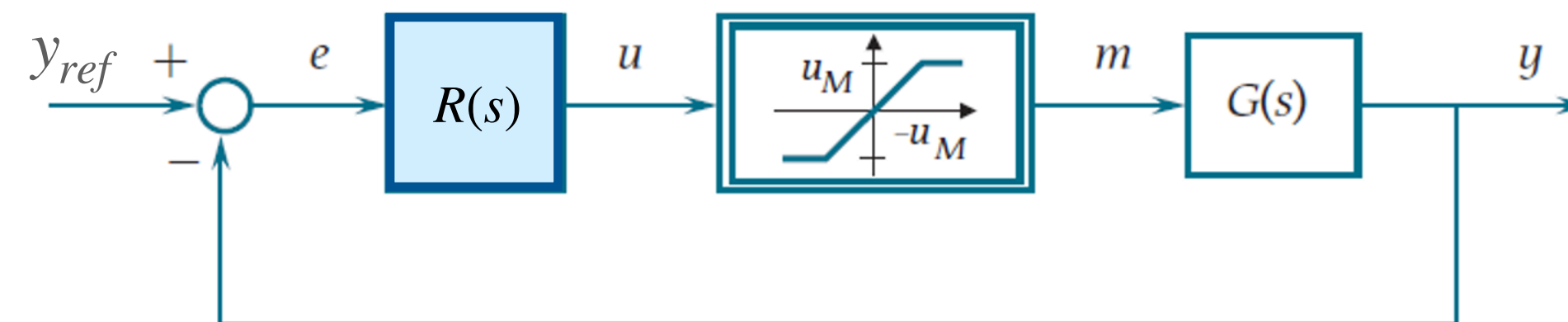


## PID Controllers: Wind-up Effect

Generic integral controller

$$R(s) = \frac{N_R(s)}{D_R(s)}, \quad D_R(0) = 0$$

Realistic situation

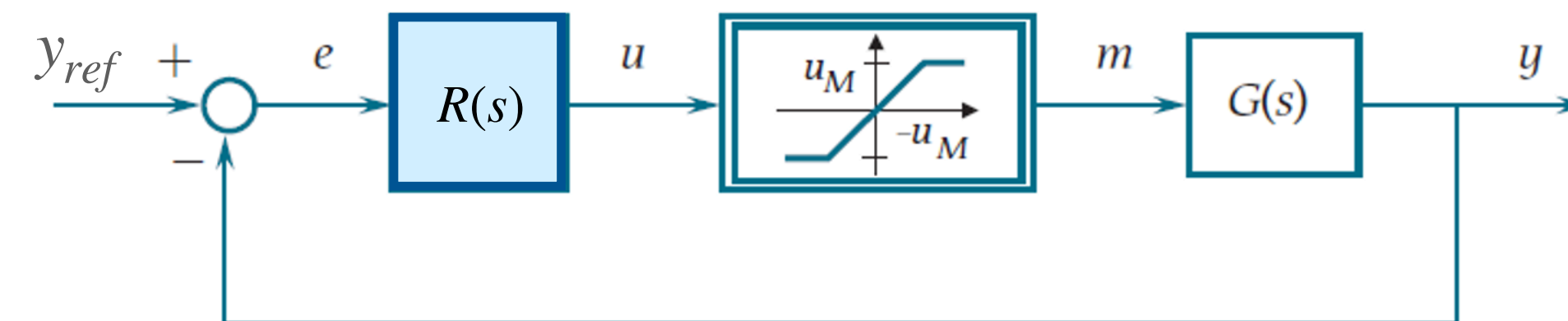


## PID Controllers: Wind-up Effect

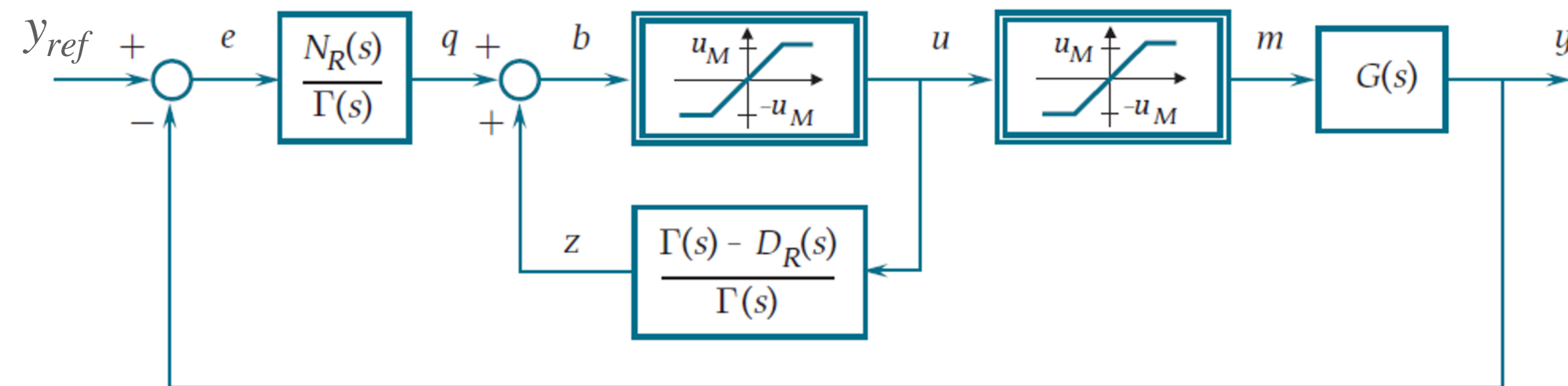
Generic integral controller

$$R(s) = \frac{N_R(s)}{D_R(s)}, \quad D_R(0) = 0$$

Realistic situation



Solution: Anti-wind-up scheme for generic integral controller

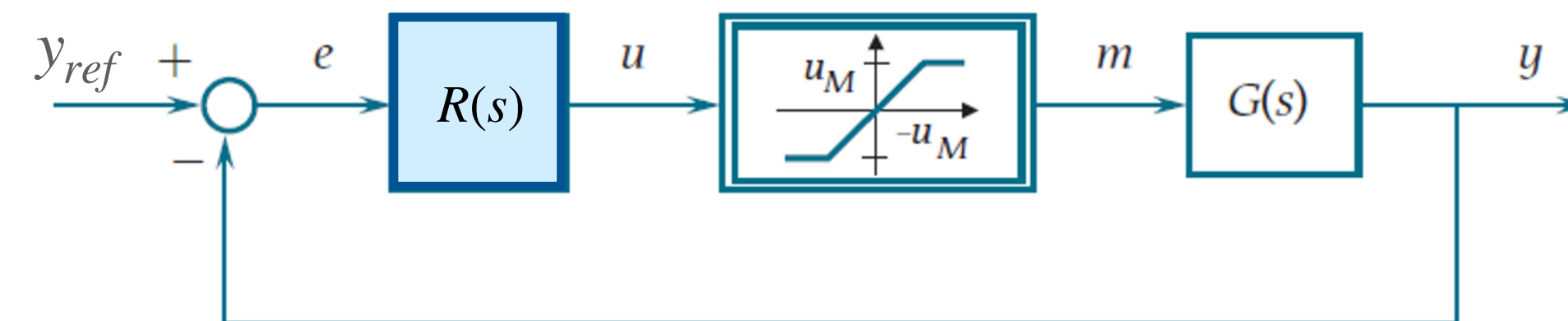


## PID Controllers: Wind-up Effect

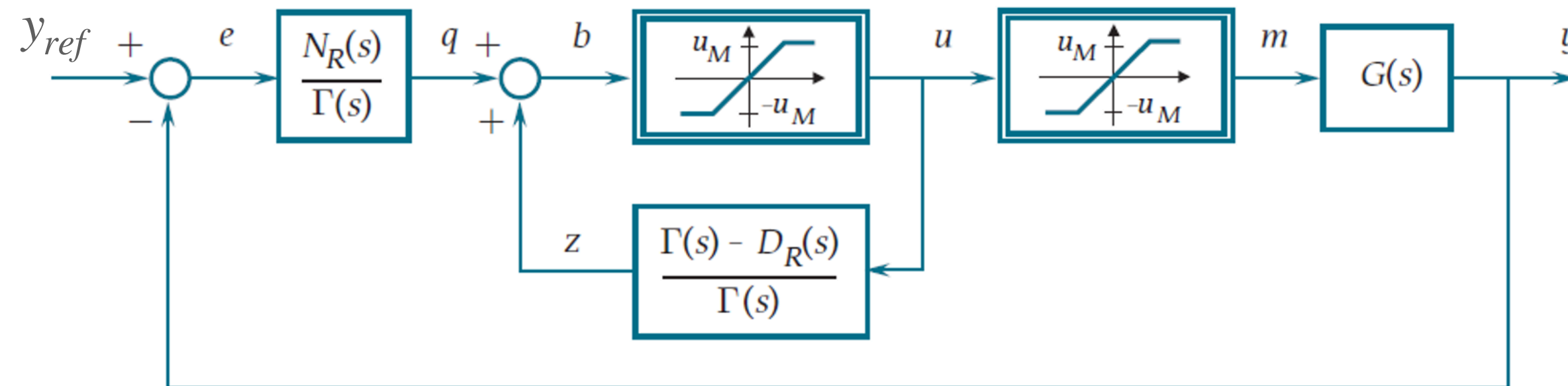
Generic integral controller

$$R(s) = \frac{N_R(s)}{D_R(s)}, \quad D_R(0) = 0$$

Realistic situation



Solution: Anti-wind-up scheme for generic integral controller



Alternative (accessible signal downstream of saturation)

