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DeepSense: A Physics-Guided Deep Learning Paradigm for Anomaly Detection in Soil Gas Data at Geologic CO₂ Storage Sites

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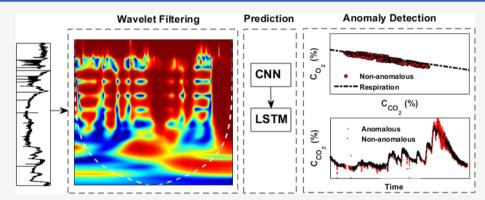


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ABSTRACT: Driven by the collection of enormous amounts of streaming data from sensors, and with the emergence of the internet of things, the need for developing robust detection techniques to identify data anomalies has increased recently. The algorithms for anomaly detection are required to be selected based on the type of data. In this study, we propose a predictive anomaly detection technique, DeepSense, which is applied to soil gas concentration data acquired from sensors being used for environmental characterization at a prospective CO2 storage site in Queensland, Australia. DeepSense takes advantage of deeplearning algorithms as its predictor module and uses a process-based soil gas method as the basis of its anomaly detector module. The proposed predictor framework leverages the power of convolutional neural network algorithms for feature extraction and simultaneously captures the long-term temporal dependency through long short-term memory algorithms. The proposed processbased anomaly detection method is a cost-effective alternative to the conventional concentration-based soil gas methodologies which rely on long-term baseline surveys for defining the threshold level. The results indicate that the proposed framework performs well in diagnosing anomalous data in soil gas concentration data streams. The robustness and efficacy of the DeepSense were verified against data sets acquired from different monitoring stations of the storage site.

KEYWORDS: geological storage of CO₂, environmental monitoring, soil gas sensors, anomaly detection, deep learning, process-based methodology

1. INTRODUCTION

Big data analytics and wireless communication technologies within the internet of things (IoTs) are emerging during a time when climate change and pollution are becoming areas of increased global concern. 1-7 The need for monitoring earth systems is increasing to document and understand these ongoing and rapid environmental changes. The capability of monitoring systems to collect and transfer large amounts of streaming data provides the information needed for environmental monitoring; however, this paradigm produces new challenges in managing and analyzing large amounts of interconnected data. For example, with the increase in the autonomy of operating sensors and digital data transmission, data still need to be checked to ensure that sensors are adequately performing and data are of high quality. Sensor outputs can be affected when connections go offline, sensors

drift or calibrations erode under changing conditions, so the quality of data being collected needs to be monitored and assessed. This is virtually an impossible task to achieve manually with large data streams; therefore, algorithms are needed to (1) achieve trustworthy and high-quality data sets and (2) identify real environmental anomalies apart from sensor malfunction.

One growing area where environmental monitoring requires large data streams is carbon capture and storage (CCS). CCS

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is a technology for reducing greenhouse gases in the atmosphere by capturing CO₂ from large industrial sources before it is emitted to the atmosphere and storing it in deep geological formations. 10 Regulations for CCS require that geologic CO2 storage sites be monitored for leakage throughout the injection and during the post-injection period which can last as long as 100 years. 11 Monitoring is also required throughout the geologic stratigraphy including the storage reservoir, underground sources of drinking water, soil, and atmosphere. 12,13

Soil gas data collection is an important component of the environmental monitoring package at a geologic CO2 storage site. The soil represents the last repository before leakage occurs. Once CO2 from the reservoir crosses the ground surface and enters the atmosphere, it is no longer considered to be stored, and the corresponding number of credits gained from storing the CO₂ must be surrendered. Soil gas monitoring generally consists of making point measurements of CO₂ concentration (or CO2 surface flux) at small areas within a larger area of review to detect potential leakage from the storage site. 12,14 However, CO₂ already exists naturally within soils, derived mostly from microbial and root respiration; thus, it is necessary to determine whether increased concentrations of soil CO2 represent leakage or some other natural perturbation.

One traditional approach to determine whether a CO₂ concentration anomaly represents that leakage is the use of baseline surveys. Baseline surveys determine the representative soil gas composition in the vadose zone prior to the CO₂ injection. 15,16 During the CO2 injection, anomalies in the data that exceed the baseline threshold are thought to signal that a leak has occurred. However, pre-project baseline collection of soil gas data is expensive and time-consuming and can delay a project. Baseline assessments generally require at least 1 year of data collection on CO2 gas concentrations and related environmental parameters such as air and soil temperature, rainfall, and barometric pressure. In addition, recent observations indicate that $\rm CO_2$ concentrations in soils are increasing due to climate change. The result is that "baselines" will shift unpredictably over time and compromise the ability to detect leaks. In fact, using baselines in this context sets up the potential for false positives for leakage because natural increases in CO2 concentrations will be mistaken for leakage. 18,19 This scenario has already happened at the Tomakomai offshore demonstration project in Hokkaido Japan, where baseline methods were used to determine CO₂ leakage thresholds in the seawater column. Although the project was operating with high standards and sound practices, it was halted for 7 months, while the source of the anomaly was investigated. In the end, it was found to be a false positive created by temporal variability of CO2 in seawater.

To avoid the pitfalls of using baselines for soil gas monitoring, a process-based approach can be used to detect leakage. The method is based on the fact that the stoichiometry of the unsaturated zone processes in shallowdepth soil (vadose zone) can be used to identify the processes generating the gas compositions. 14,21 In this approach, atmospheric gas concentrations (CO₂ = 0.04%, O₂ = 21%, and $N_2 = 78\%$) are considered as the initial condition. As biologic respiration occurs via roots and soil microbes, 1 mol or volume percent of O2 is consumed to oxidize organic matter, while producing 1 mol or volume percent of CO₂. Thus, the process of respiration is represented simply by a line

with a slope of -1 on a graph of O_2 versus CO_2 concentration. Any deviation from this trend line can be representative of anomalous conditions happening in the soil. Rainfall can temporarily shift samples to the left of the respiration line due to gases dissolving into wetting fronts that move through the soils, and other processes can also shift these concentrations, but overall, the relationship holds. 14,21

The success of using a process-based approach based on the stoichiometry of respiration in CO₂ storage monitoring creates an opportunity to develop new and innovative data-driven anomaly detection methods. Such methods can be used to restore quality to the data streams when sensors drift or malfunction due to environmental or operational conditions in the field. Anomaly detection techniques identify data points that deviate from what is defined as "normal" conditions. 22,23 Till date, the majority of anomaly detection frameworks rely on either user-defined thresholds or baseline surveys to tag anomalous data. Indeed, the establishment of threshold levels that are independent of users' assumptions and baseline data is required to build robust anomaly detection frameworks. Demonstrating such a trustworthy strategy for predicting unexpected events in CO₂ storage projects is one of the main factors influencing the public advocacy of this technology, which is a requisite precondition for commercialization of carbon storage.2

1.1. Previous Studies. Machine-learning regression algorithms that have been used to capture the characteristics of data streams and forecast future trends for the diagnosis of unexpected events in environmental sensor data historically use baseline data.²⁵ Hill and Minsker²⁶ developed a univariate autoregressive algorithm to detect anomalies in the windspeed sensor data taken from Corpus Christi, Texas. To tag the data as anomalous or non-anomalous, they considered a prediction interval calculated from the historical data and evaluated whether a given data point falls within the prediction interval or not. Zhong et al.²⁷ applied a deep-learning method using a convolutional long short-term memory (LSTM) neural network to detect anomalies in a monitoring well's bottomhole pressure data streams collected from the Cranfield CO2 injection site in Mississippi. Convolutional neural networks (CNNs) are feed-forward artificial neural networks that are originally introduced by Lecun and Bengio²⁸ and widely used in different domains such as natural language processing and computer vision.^{29,30} LSTM network, introduced by Hochreiter and Schmidhuber, 31 is an enhanced recurrent neural network architecture that is capable of learning long-term dependencies in sequential data by using memory cells. Zhong et al.²⁷ trained a neural network model using well's bottomhole pressure data obtained from a baseline experiment with no CO2 leakage and subsequently tested the model on detecting anomalies in a pressure data stream corresponding to a controlled leakage experiment. To identify anomalies in the data stream, they considered a confidence interval using the variance of the predicted data point distribution. Then, a given data point was labeled as normal, if it fell into the pre-defined confidence interval.²⁷

Anomaly detection specific to soil gas sensor data streams has also been addressed in several studies.³²⁻³⁵ As a typical methodology, sensor data points are flagged as anomalous if the deviation from a baseline survey exceeds a pre-defined threshold value (confidence interval). For instance, Gal et al.³² analyzed the soil gas concentration and soil flux data from the total Lacq-Rousse CO2 storage pilot site obtained during the

injection and post-injection period. They defined the threshold level for a "vigilance" state as the average concentration of CO₂ plus twice the standard deviation of the baseline monitoring data. However, the threshold for an anomaly state was chosen to be the average value plus three times the standard deviation.³² Yang et al.³³ assessed the potential for a predetermined monitoring network to identify and locate a leakage-derived flux signal above the "noise" of natural CO₂ flux. To accomplish this, they assumed all baseline CO2 fluxes to be respiration-derived and integrated CO2 generation models with transport models of CO2 from potential subsurface leakage points. The goal was to determine whether and when leakage flux would exceed a statistically determined threshold. Again, the threshold was determined using multiple (105) baseline CO2 flux and corresponding soil temperature measurements.³³ Möller and Schloemer³⁵ employed autoregressive integrated moving average (ARIMA) models to forecast soil gas (CO₂) concentration data streams acquired from different monitoring stations in a few CO2 storage projects in Germany. They selected the threshold level based on the probability functions attributed to the ARIMA models.

2. OBJECTIVES AND APPROACH

In this study, we present a predictive anomaly detection framework, DeepSense, which is employed to soil gas concentration data streams obtained from a potential future CO2 storage site located in Australia. DeepSense combines deep learning-based algorithms and a process-based methodology to identify streaming data anomalies. The predictive module of DeepSense leverages combined CNNs to learn patterns among the data points and LSTM to capture the temporal dependency in a given sensor signal and forecast its future trends. To define a reliable threshold level for anomaly detection, we employ a processed-based methodology, which relies on the physical process of soil microbial and root respiration in the vadose zone. The main advantage of this anomaly detection methodology compared to the previous studies is that it does not rely on the assumption of a userdefined threshold value or baseline surveys. In the following, we describe the details of DeepSense's architecture. We test and apply the DeepSense on 2 years of soil gas $(CO_2 \text{ and } O_2)$ concentration data streams acquired from commercially available sensors deployed in soil boreholes at the Glenhaven CCS demonstration site. Finally, we evaluate the efficacy and robustness of DeepSense by implementing the framework for anomaly detection in soil gas geochemical data collected at different monitoring stations in the storage site.

3. MATERIALS AND METHODS

3.1. Case Study Site and Data. The soil gas data streams used for this study were collected at a site being considered for a CCS demonstration project located 21 km SW of the town of Wandoan, Queensland, Australia led by Carbon Transport and Storage Corporation Pty Ltd. (CTSCo). The overall objective of the pre-injection study was to demonstrate the technical viability, integration, and safe operation of CCS in the Surat Basin during the feasibility study stage and to undergo assessments and approvals in environmental, social, and technical aspects under relevant government regulations. Part of the study focused on applying a process-based approach to environmental monitoring at the site rather than a historical baseline approach. 14 This approach uses relationships among

coexisting soil gases (CO₂ and O₂) to readily determine whether soil CO₂ originates from natural soil respiration or from migration from a deep geologic CO2 storage site, thus indicating leakage.²

Sensors were deployed in shallow boreholes of 1 m (SV1) and 5 m (SV5) depths at four locations at the site. Sensors included (1) the Vaisala GMP 251 nondispersive infrared CO₂ sensor (range 0-20%, accuracy $\pm 0.2\%$, response time < 1 min, operating conditions -40 to +60 °C, 0-100% RH) and (2) the Apogee SO 210 electrochemical O2 sensor (range 0-100%, accuracy \pm 0.2%, response time 14 s, operating conditions -40 to 60 °C; 0-100% RH). Real-time data were recorded in 15 min intervals by 3G-ftp to a cloud database (PI historian). The total number of sensor data points collected over the monitoring time span of 2 years (May 2016 to June 2018) ranged from 122,346 at site 5 to 153,484 at site 4. More details on the monitoring site and implemented gas sensors can be found in ref 14.

3.2. Implementation of DeepSense. Our proposed datadriven framework, named DeepSense, consists of three main modules including wavelet filtering, 36 predictor, and anomaly detector. Figure S1 in Supporting Information illustrates the general framework of DeepSense. The wavelet filter is initially used to denoise the observed data streams before feeding into the predictor component of DeepSense. The predictor module employs deep neural networks to predict the sensor data streams. The deep learning component of DeepSense is based on a hybrid deep learning model, CNN-LSTM. 29-31,37-41 The proposed CNN + LSTM framework leverages the power of CNNs for feature extraction and simultaneously captures the long-term temporal dependency through the LSTM module. Once the prediction of data is made by the predictor module, the anomaly detection module identifies unexpected events in the data streams and flags the data points as normal or abnormal. The anomaly detection module is guided by a process-based methodology, that is, biological respiration principles in soil. In the Supporting Information, the components of DeepSense are explained in detail.

In the first step, we built and tested the performance of DeepSense using the SV1 CO₂ and O₂ sensor data stream gathered at site 2. We further investigated the robustness of the developed framework by its implementation to the data streams acquired from other monitoring sensors at other depths and locations. Initially, we selected a segment of the data stream observed in the CO2 and O2 sensors and passed this sequence of data through a cleansing procedure and then the wavelet filtering module of DeepSense. The details of data cleansing are described in Section 4. The processed data stream was then used to build the hybrid CNN + LSTM network. Subsequently, the developed deep learning model along with the anomaly detector module of DeepSense received the second part of the sensor data stream, which was unseen by the network, to flag the anomalous data points. In regards to building the hybrid CNN + LSTM model, we split the data points into training, validation, and test data sets. The training data set was used to fit the forecast model, while the validation data set provided an unbiased evaluation of the fitted model by tuning its hyper-parameters. Finally, the test data set was used to assess the performance of the prediction model. To improve the stability and performance of the model, we normalized the data set using the Min-Max scaling. To do so, we used a transformation in scikit-learn 42 MinMaxScaler to normalize the input variables (i.e., gas

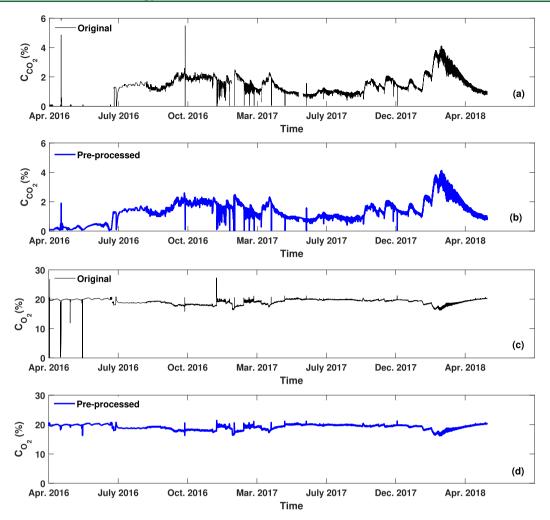


Figure 1. Original (black) and per-processed (blue) signals of CO₂ (a,b) and O₂ (c,d) concentrations. Data streams were collected at site 2 (SV1) over the monitoring time period of 2 years.

concentration data) in the range [0,1], meaning that the minimum and maximum value of data is set to be 0 and 1, respectively.43

To build the predictive model, the denoised signals were initially passed through the CNN module of DeepSense, composed of two consecutive layers. Each CNN layer includes 32 filters of size 3 and follows by a max-pooling layer (see Figure S1). The filter size refers to the width of the filter in CNN. Subsequently, the CNN output layer was fed into the LSTM module, accountable for memorizing the long-term dependencies between the data. The LSTM module is composed of two layers with 32 and 64 units in each LSTM cell, respectively, followed by a flatten layer, fully connected layer (dense), and dropout layer (see Figure S1). In general, the dropout layer reduces overfitting and improves the generalization error by randomly removing a fraction of weights and reducing the interdependence between the network nodes.⁴⁴ The non-linear ReLu activation function⁴⁵ was implemented in the convolutional and the fully connected layers.

Upon feeding the data into the predictive model, we applied a sliding window transformation with the width of 16 to the training data set and then shuffled the data with the shuffle buffer of 1000. 46-48 This procedure would minimize the bias in developing the predictive network and improve the

efficiency of the optimization procedure. The batch size was considered to be 64. We also utilized the early stopping method which may minimize overfitting and improve the generalization of the deep neural network.^{49,50} To implement the early stopping, the model was initially trained on the training data set, and then, its performance on a holdout data set was monitored at each epoch. The training stopped when the score of the cost function started to increase. We used the ADAM optimizer⁵¹ and the mean square error (MSE) as a cost function. We set the maximum number of epochs as 1000. However, the optimum number of training epochs and the time required to train the model were computed at the end of early stopping. To select the optimal hyper-parameters, we used MSE and mean absolute error (MAE) criteria in the validation experiment (see the Supporting Information). The predictive module of DeepSense was implemented in a Python 3.6 environment using the deep learning tool Keras. Tensorflow 2.0 was used as the backend of the Keras library. 42

4. RESULTS

As mentioned before, we initially applied DeepSense to the SV1 soil gas (CO₂ and O₂) data stream collected at site 2 to demonstrate the efficacy of the framework. Figure 1a,c represents the original CO₂ and O₂ concentration data streams acquired in a 2-year monitoring period at site 2. As seen, 4.2

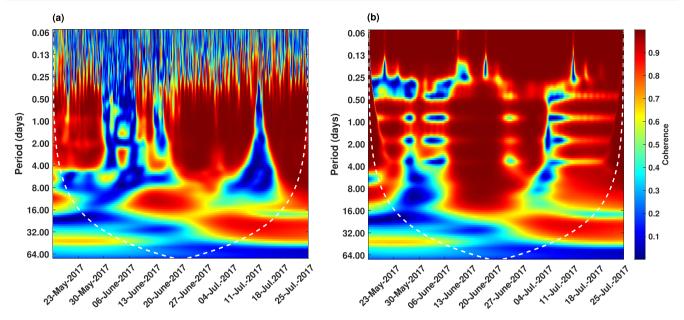


Figure 2. (a) Cross-wavelet coherence analysis of the pre-processed CO₂ and O₂ concentration signals (i.e., before applying the wavelet transform) for a period of 2 months (May 2017–July 2017). (b) Cross-wavelet coherence analysis of the denoised CO₂ and O₂ concentration signals (i.e., after applying the wavelet transform) for the same time period. Warmer colors indicate a higher coherence between the signals. The white dashed line represents the cone of influence. Data correspond to site 2 (SV1).

and 0.06% of data points appeared to be encoded as NaN (not a number), that is, data gaps, in the CO2 and O2 concentration data streams, respectively. These data gaps are associated with the incomplete functioning of sensors when they turned offline. Additionally, there are diurnal fluctuations, that is, outliers, in the data streams creating false-positive signals for CO₂ leakage. Furthermore, large positive and negative spikes to zero or outside of the normal range of measurements have been observed in the sensor data streams. According to a rigorous analysis of the sensor data by Romanak and Bomse, 14 we found that it is needed to eliminate the errant data to make a clean training data set, which is devoid of false-positive signals for leakage. Furthermore, NaN values (i.e., data gaps), which appeared due to the sensor malfunction, need to be imputed as the wavelet and CNN + LSTM algorithms would function with a continuous set of data. To this end, we imputed missing data and outliers using autoregressive modeling. We filled the missing values by fitting forward and reverse autoregressive fits to the data points immediately preceding or following the gaps. Figure 1b,d displays preprocessed (clean) signals of CO₂ and O₂ concentrations.

Subsequently, we employed a wavelet filter to denoise the observed data streams. There are several parameters associated with the wavelet filter, including the type of mother wavelet, decomposition level, and threshold method that need to be tuned to achieve an acceptable level of denoising. To identify the appropriate mother wavelet for the sensor data stream, we examined the performance of Coiflet (coif4 and coif5), Daubechies (db11, db14, and db20), and Symlet (sym9, sym11, and sym14) wavelets.⁵² Then, we applied a threshold value to the wavelet coefficients using typical threshold methods including Rigrsure, Sqtwolog, Heursure, and Minimaxi.53,54 The performance of these threshold methods was evaluated through the root-mean-square error (RMSE) between the pre-processed signal and the denoised one as well as the signal-to-noise ratio (SNR) using the following equations

RMSE =
$$\sqrt{\frac{1}{N} \sum_{i=1}^{N} (X_i - x_i)^2}$$
 (1)

SNR = 10 log
$$\frac{\sum_{i=1}^{N} x_i^2}{\sum_{i=1}^{N} (X_i - x_i)^2}$$
 (2)

where x_i and X_i are the pre-processed and denoised data streams, respectively. According to our experiments, we found that Sym14 with eight levels of decomposition using the Minimaxi technique and soft thresholding yields the best denoising performance. Figure S2 in Supporting Information displays the RMSE and SNR under different Sym14 wavelet decomposition levels employed on the CO2 and O2 signal data using the Minimaxi technique. We observed that increasing the decomposition level leads to an increase in RMSE and a decrease in SNR. According to the results, eight levels of decomposition provide the best performance in terms of eliminating the errant data points, while preserving the intrinsic structure of the signals. Figure S3 in Supporting Information represents the soil gas data stream processed by filling the missing values, filtering the outliers, and cleansing using the wavelet transform. As can be seen, the wavelet filtering removes the large spikes in the time series, while diurnal fluctuations are still discernible in the denoised data streams.

Using the cross-wavelet coherence, 55 we further analyzed the cross-correlation between the CO₂ and O₂ concentration data streams before and after applying the wavelet algorithm to better analyzed the efficacy of the wavelet filtering (see Figure 2). The cross-wavelet between the two signals was calculated using their continuous wavelet transform, following the methodology suggested by Dashtian and Sahimi. 55 Higher coherence indicates a better correlation between the two signals. According to Figure 2a, the small wavelet coherence observed over short time periods prior to implementing the wavelet filter is associated with the spikes in the signals. It can be observed that the correlation between the CO₂ and O₂

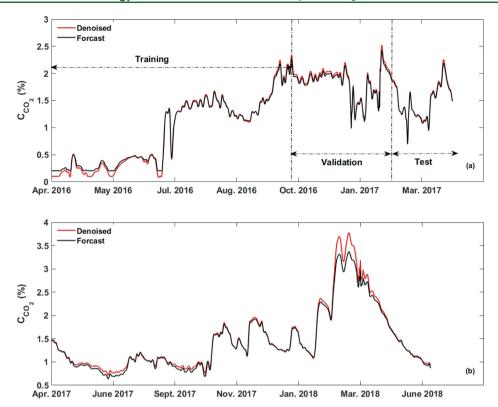


Figure 3. (a) Denoised (red) and predicted (black) CO_2 concentration data streams corresponding to the time period of April 2016 to April 2017. (b) Denoised (red) and predicted (black) CO_2 concentration data streams corresponding to the time period of April 2017 to June 2018. Forecasting was performed using the CNN + LSTM framework. Data correspond to site 2 (SV1).

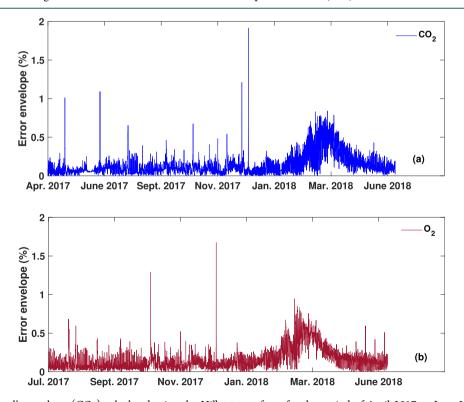


Figure 4. (a) Error signal's envelope (CO_2) calculated using the Hilbert transform for the period of April 2017 to June 2018. (b) Error signal's envelope (O_2) calculated using the Hilbert transform for the period of July 2017 to June 2018. Data correspond to site 2 (SV1).

concentration data streams over the short time scales improved when the spikes in the signals were filtered using the wavelet (Figure 2b). However, their correlation over large time scales has not changed significantly, meaning that the intrinsic pattern in the signals has been preserved following the wavelet denoising.

Following the cleansing and denoising procedures, we split the first half of the CO₂ data stream corresponding to the period of April 2016 to April 2017 into the training (60%), validation (25%), and test (15%) data set. Using the training and validation, we built the hybrid CNN + LSTM model and then validated its performance using the test data. Figure 3a compares the denoised signal of the CO2 concentration and the signal predicted by the predictive module of DeepSense, showing how well the observed data match those predicted using the forecast model. Regarding the O2 concentration data stream, we used the data points corresponding to April 2016 to July 2017 to build its forecast model as 75, 15, and 10% of these data points used for training, validation, and test, respectively. Figure S4a in Supporting Information displays the predicted signal of the O2 concentration for this time period, showing a good fit with the observed data stream. Table S1 in Supporting Information reports the goodness-of-fit statistics for the CNN + LSTM model based on MAE and MSE metrics calculated for the training, validation, and test data sets, corresponding to CO2 and O2 concentration data streams. MAE and MSE of the test data set were, respectively, 0.020 and 0.001 for the CO₂ concentration signal and 0.065 and 0.004 for the O2 concentration signal. Small values of these metrics confirm the excellent performance of the CNN + LSTM module of DeepSense. The calibrated and validated CNN + LSTM model was then employed to predict the nonanomalous behavior of CO2 and O2 concentration data streams for future time steps. Figure 3b represents the observed and predicted signal of CO₂ concentrations for the period of April 2017 to June 2018. The proximity of the observed data and predicted ones is indicative of the efficacy of the proposed forecast model. Figure S4b in Supporting Information displays the same comparison for the O2 concentration signal for the period of July 2017 to June 2018.

Subsequently, the error signal e was computed using the difference of the pre-processed signal and the CNN + LSTM model's output (see the Supporting Information). Using the Hilbert transform,⁵⁶ the envelope of the error signal was extracted for the anomaly detection. Figure 4 displays the error envelope calculated for the CO₂ and O₂ concentration data streams for the period of April 2017 to June 2018 and July 2017 to June 2018, respectively. The intensity of the error envelope is representative of the anomaly score, which defines the degree of abnormality in the sensor data stream. To identify anomalous data points, we implemented a threshold level to the anomaly score. In other words, a given data point is labeled as an anomaly when its associated error envelope is larger than the selected threshold value. Defining a threshold level to rank the anomaly score is a common practice in anomaly detection algorithms. However, selecting an appropriate threshold value is not an easy task.²³ It has been shown that the threshold of anomalies can highly affect the credibility of the forecasting models.²⁷

In this study, we selected optimal threshed values for CO_2 and O_2 concentration data streams based on the gas ratios expected from microbial and root respiration, as defined by a process-based approach. Indeed, the respiration line (the line with the slope of -1 on a graph of O_2 vs CO_2 concentration, as shown in Figure 5) serves as a target for DeepSense to detect any abnormal data in the soil gas sensors. We first implemented a threshold level on the anomaly score of the CO_2 and O_2 concentration data streams, split the anomalous and non-anomalous data points in each data stream, and finally

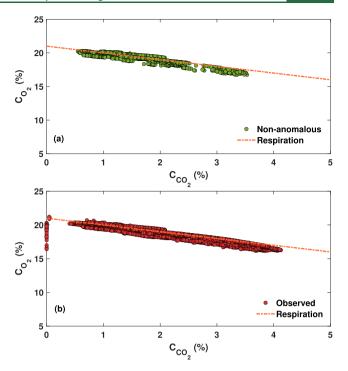


Figure 5. (a) Process-based analysis of the non-anomalous data points (as shown in green) in the CO_2 and O_2 concentration signals. (b) Process-based analysis of the observed data points (as shown in red) in the original CO_2 and O_2 concentration signals. The orange line represents the respiration line. Data correspond to the SV1 soil gas data streams collected at site 2 for the period of July 2017 to June 2018.

examined the cross-correlation between CO₂ and O₂ nonanomalous concentration data points using the process-based methodology. We repeated this procedure for different threshold values until we found its optimal value, which resulted in the best cross-correlation between CO₂ and O₂ non-anomalous concentration data. The threshold values applied on the error envelope were selected between 0.1 and 0.3%. To better show the cross-correlation between the data streams, we also performed Pearson's correlation analysis on the non-anomalous data points. The heatmap, as shown in Figure S5 in Supporting Information, displays the Pearson's coefficient obtained upon applying different threshold values to the anomaly score (i.e., the error envelope, as shown in Figure 4). The minimum value of the correlation coefficient in the heatmap refers to the best negative correlation between the CO₂ and O₂ concentration signals. The threshold values corresponding to the minimum value in the heatmap were selected as the optimal threshold levels for flagging the anomalous data points in the CO2 and O2 concentration signals. According to Figure S5, applying threshold values of 0.2 and 0.3% on the CO₂ and O₂ error envelopes, respectively, yields the best cross-correlation (Pearson's correlation coefficient of -0.6) between the non-anomalous part of the data streams. Figure 5 represents a process-based analysis of the original and non-anomalous data points of the CO2 and O2 concentration signals corresponding to July 2017 to June 2018. The non-anomalous data points were obtained by applying the optimal threshold values on the anomaly scores. We observe that the non-anomalous data points have better aligned close to the respiration line. Finally, Figure 6 separates the anomalous and non-anomalous parts of the concentration

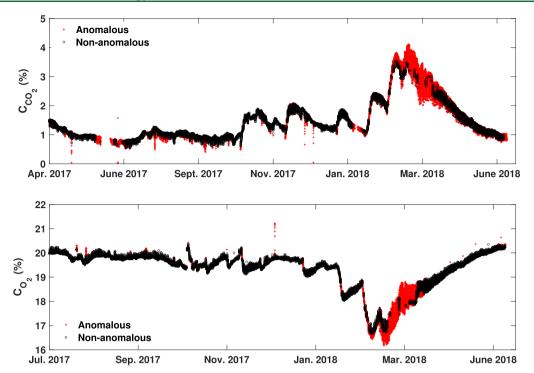


Figure 6. Anomalous (red) and non-anomalous (black) data detected in CO_2 (a) and O_2 (b) original data streams by employing threshold values of 0.2% and 0.3% to the CO_2 and O_2 error signal's envelope, respectively. Data correspond to site 2 (SV1).

signals detected by DeepSense. It can be seen that the majority of the anomalies are detected in the regions where local spikes are highly concentrated in the original data streams.

4.1. Robustness of DeepSense. The robustness of deep neural networks is a key element in validating the performance of these models. Robustness refers to the effectiveness of the DeepSense algorithm when tested on data taken from sensors in other locations. To study the robustness of DeepSense and demonstrate its generalization ability, we implemented the framework to the SV5 soil gas data taken at site 4. The predictive module of DeepSense was trained, tested, and validated using the data points from the period of May 2016 to December 2016 for the CO₂ concentration data stream. The anomaly detector module was then applied to the data collected after that time period. To build the forecast model for the O₂ concentration signal, we used the data points from the period of May 2016 to February 2017. Figure S6 in Supporting Information shows the original, denoised, and predicted signals of CO₂ and O₂ concentration corresponding to the entire 2year monitoring period. The close match between the observed and predicted signals demonstrates the reasonable forecasting performance of DeepSense. Table S2 in Supporting Information presents the goodness-of-fit statistics for the model based on MAE and MSE metrics corresponding to the training, validation, and test data sets for CO₂ and O₂ concentration signals. MAE and MSE of the test data set were, respectively, 0.017 and 0.0001 for the CO₂ concentration signal and 0.039 and 0.002 for the O2 concentration signal. Figure 7 demonstrates the process-based analysis of the original and non-anomalous data points of the CO2 and O2 data streams. We observed that DeepSense has been able to effectively detect data points deviating from the respiration line.

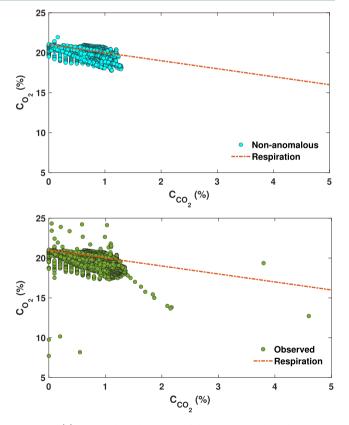


Figure 7. (a) Process-based analysis of the non-anomalous data points detected in the CO_2 and O_2 concentration signals. (b) Process-based analysis of the observed data points in the original CO_2 and O_2 concentration signals. The orange line represents the respiration line. Data correspond to the SV5 soil gas data streams collected at site 4 for the period of May 2016 to June 2018.

5. DISCUSSION AND IMPLICATIONS

We see continuously that algorithms for leakage detection consider only CO2 gas and rely on lengthy and timeconsuming baseline measurements which we now know to be inappropriate for setting thresholds. Following these routines, baseline monitoring would be an essential prerequisite for defining a reliable threshold value to detect anomaly labels using supervised algorithms. However, given that baselines are shifting upward due to climate change, 17-19 this approach is not reliable for leakage detection. When using a process-based approach, the respiration line serves as a target for DeepSense to detect any abnormal data in the soil gas sensors. DeepSense performs fast and does not require any prior baseline survey as its anomaly detection module, guided by a process-based methodology, provides a reasonable threshold level for tagging anomalous data. 18,19 In other words, the process-based anomaly detection method can be a cost-effective alternative to the conventional concentrationbased soil gas methodologies, which rely on long-term baseline

The DeepSense algorithm can be easily implemented for real-time anomaly detection in streaming data from the soil gas sensors. To improve the learning process of the DeepSense framework in a long-term application, the deep learning model should be retrained periodically as new data are being acquired from the sensors. Incorporating the data pre-processing (i.e., imputation of missing data) into the DeepSense framework has made the algorithm suitable for a streaming setting as we can continuously transform the raw data to the framework. The frequency with which the incremental learning process should be achieved depends on the type of data and the application. For instance, the current study shows that feeding the 1-year soil gas data to the DeepSense for its training suffices for these specific sensors deployed at the Glenhaven carbon storage demonstration site, meaning that retraining of the model may not be required within a 1-year period. However, the training frequency should be adopted according to the nature of data streams. Another consideration in online training is that the rate of data acquisition might be faster than the retraining process. Therefore, one should consider incorporating a mechanism, by which the existing model can be cached inmemory to continuing the anomaly detection, while the new model is training. This would facilitate rapid updates and avoids network latency.

Finally, because this work was carried out pre-injection, any anomaly was known to be from the sensor malfunction rather than leakage. We first aim to test algorithms for creating a clean sensor signal. In subsequent studies, we hope to further study the method to detect leakage anomalies.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.est.1c04048.

Goodness-of-fit statistics of the CNN + LSTM forecast model for the data streams collected at site 2 (SV1) and site 4 (SV5); general framework of DeepSense; RMSE and SNR for the wavelet denoising; per-processed and denoised signals of CO₂ and O₂ concentrations; prediction of the O2 concentration data stream using the CNN + LSTM framework; heatmap displaying the cross-correlation between the non-anomalous data

points of CO2 and O2 concentration data streams; and CO₂ and O₂ concentration data streams corresponding to the SV5 soil gas data collected at site 4 (PDF)

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Notes

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